Weakly-affine monads

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Weakly Markov categories and weakly affine monads

Let \mathcal{C} be a GS-category. For every object X, the set $\mathcal{C}(X,I)$ has a canonical commutative

monoid structure as follows: the monoidal unit is the discard map $X \to I$, and given $a, b: X \to I$, their product ab is given by copying, as follows.

How to call them? effects? co-states?

If a morphism $f: X \to Y$ is copyable and discardable, precomposition with f induces a morphism of monoids $C(Y, I) \to C(X, I)$.

The monoid $\mathcal{C}(X,I)$ acts on the set $\mathcal{C}(X,Y)$: given $a:X\to I$ and $f:X\to Y,\ a\cdot f$ is given as follows,



and the product $(f,g) \mapsto f \cdot g := (f \otimes g) \circ \operatorname{copy}_X$ is equivariant for this action in both

▶ **Definition 1.1.** A GS-category \mathcal{C} is called weakly Markov if for every object X, the monoid C(X, I) is a group.

Every Markov category is weakly Markov: for each X, the monoid $\mathcal{C}(X,I)$ is the trivial 27

Definition 1.2. Given two parallel morphisms $f, g: X \to Y$ in a weakly Markov GScategory C, we say that f and g are equivalent, and write $f \sim g$, if they lie in the same orbit for the action of $\mathcal{C}(X,I)$, i.e. if there is $a\in\mathcal{C}(X,I)$ such that $a\cdot f=g$. We say they are uniquely equivalent if there is a unique $a \in \mathcal{C}(X, I)$ such that $a \cdot f = g$.

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Let's now consider the case where the GS structure comes from a commutative monad on a cartesian monoidal category \mathcal{D} . In this case, the monoid structure of Kleisli morphisms $X \to 1$ comes from the following canonical internal monoid structure of T1 in \mathcal{D} , given by

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$$1 \xrightarrow{\eta} T1$$
, $T1 \times T1 \xrightarrow{c} T(1 \times 1) \xrightarrow{\cong} T1$.

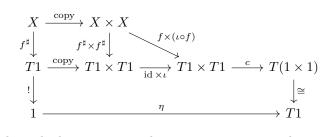
The monoid structure of Kleisli morphisms $X \to 1$ is now given as follows. The unit is given by

$$X \xrightarrow{!} 1 \xrightarrow{\eta} T1,$$

and the multiplication of the morphisms $f^{\sharp}, g^{\sharp}: X \to T1$ is

$$X \xrightarrow{\operatorname{copy}} X \times X \xrightarrow{f^{\sharp} \times g^{\sharp}} T1 \times T1 \xrightarrow{c} T(1 \times 1) \xrightarrow{\cong} T1.$$

- **Definition 1.3.** A commutative monad T on a cartesian monoidal category is called *weakly affine* if T1 with its canonical internal monoid structure is a group.
- ▶ Proposition 1.4. Let \mathcal{D} be a cartesian monoidal category, and let T be a commutative monad on \mathcal{D} . The Kleisli category of T is weakly Markov if and only if T is weakly affine.
- Proof. First, suppose that T1 is an internal group, and denote by $\iota: T1 \to T1$ its inversion map. The inverse of the morphism $f^{\sharp}: X \to T1$ in $\mathrm{Kl}_T(X,1)$ is given by $\iota \circ f$: indeed, the following diagram commutes,



where the bottom rectangle commutes since ι is the inversion map for T1. The analogous diagram with $\iota \times id$ in place of $id \times \iota$ commutes analogously.

Conversely, suppose that for every X, the monoid structure on $\mathrm{Kl}_T(X,1)$ has inverses.

Then in particular we can take X=T1, and the inverse of the Kleisli morphism id: $T1 \to T1$ is an inversion map for T1.

1.1 In terms of the Yoneda embedding

- 56 For context:
- **Proposition 1.5.** A monoid (M, m, e) is a group if and only if the associativity square

$$M \times M \times M \xrightarrow{m \times \mathrm{id}} M \times M$$

$$\downarrow_{\mathrm{id} \times m} \qquad \downarrow_{m}$$

$$M \times M \xrightarrow{m} M$$

$$(1)$$

is a pullback.

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60 The same can be said more generally for a monoid object in a cartesian monoidal category.

Proof. The square (1) is a pullback, both of sets and of groups, if and only if given $a, g, h, c \in$ M such that ag = hc, there exists a unique $b \in M$ such that g = bc and h = ab. First,
suppose that g is a group. The only possible choice of b is

$$b = a^{-1}h = gc^{-1},$$

which is unique by uniqueness of inverses.

Conversely, suppose that (1) is a pullback. We can set g, h = e and c = a so that ae = ea = a. Instantiating the pullback property, there is a unique b such that ab = e and ba = e, that is, $b = a^{-1}$.

Let \mathcal{D} be a cartesian monoidal category. Consider the presheaf category $[\mathcal{D}^{op}, \mathbf{Set}]$, equipped with the Day convolution product,

$$F \boxtimes G \cong \int^{A,B \in \mathcal{D}} \mathcal{D}(-,A \times B) \times F(A) \times G(B).$$

The Yoneda embedding $\mathcal{D} \to [\mathcal{D}^{\mathrm{op}}, \mathbf{Set}]$ is strong monoidal: indeed, for each X,

$$1 \cong \mathcal{D}(X,1),$$

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since 1 is terminal, and for each X and Y, by Yoneda reduction,

$$\mathcal{D}(-,X)\boxtimes\mathcal{D}(-,Y)\cong\int_{-76}^{A,B\in\mathcal{D}}\mathcal{D}(-,A\times B)\times\mathcal{D}(-,X)\times\mathcal{D}(-,Y)$$

$$\cong\mathcal{D}(-,X\times Y).$$

Therefore, and by the universal property of products, at the level of individual hom-sets the
Day convolution product of representable presheaves just takes the cartesian products of
sets:

$$(\mathcal{D}(-,X)\boxtimes\mathcal{D}(-,Y))(A)\cong\mathcal{D}(A,X\times Y)\cong\mathcal{D}(A,X)\times\mathcal{D}(A,Y).$$

Take now an object M of \mathcal{D} . Since the Yoneda embedding is fully faithful and strong monoidal, a monoid structure (M, m, e) on M is equivalently a monoid structure on the representable presheaf $\mathcal{D}(-, M)$. This makes the individual hom-sets monoids, with unit and multiplication as follows for each object X:

$$1 \xrightarrow{\cong} \mathcal{D}(X,1) \xrightarrow{e_*} \mathcal{D}(X,M)$$

$$\mathcal{D}(X,M) \times \mathcal{D}(X,M) \xrightarrow{\cong} \mathcal{D}(X,M \times M) \xrightarrow{m_*} \mathcal{D}(X,M)$$

This is precisely the monoid structure that we have defined in the previous section for M-T1

Proposition 1.6. M is an internal group if and only if all the monoids $\mathcal{D}(X, M)$ are groups.

Proof. By Proposition 1.5, M is a group object if and only if its associativity square (1) is a pullback. Since the hom-functor preserves and reflects all limits in its second argument, we have that (1) is a pullback if and only if for each object X, the following diagram (or

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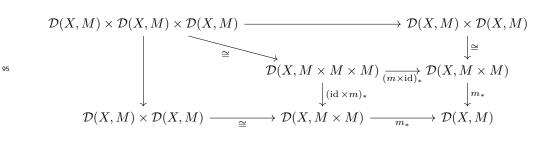
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equivalently, its bottom right square) is a pullback,



where the unlabelled arrows are the unique ones that make the diagram commute. Again by Proposition 1.5, the diagram above is a pullback if and only if $\mathcal{D}(X, M)$ is a group.

1.2 Examples of weakly affine monads

▶ Example 1.7. We present a family of examples of commutative monads that are weakly affine but not affine. Let A be a commutative monoid (written multiplicatively). Then the functor $T_A := A \times -$ on Set has a canonical structure of commutative monad, where the lax structure components $c_{X,Y}$ are given by multiplying elements in A while carrying the elements of X and Y along.

Since $T_A(1) \cong A$, the monad T_A is weakly affine if and only if A is a group, and affine if and only if $A \cong 1$.

▶ Example 1.8. Let M^* : Set \to Set be the monad assigning to every set the set of finitely supported discrete *nonzero* measures on M^* , or equivalently let $M^*(X)$ for any set X be the set of nonzero finitely supported functions $X \to [0, \infty)$. The monad structure is defined in terms of the same formulas as for the distribution monad on Set and the components $c_{X,Y}$ are also given by the formation of product measures, or equivalently point-wise products of functions $X \to [0, \infty)$.

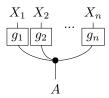
Since $M^*1 \cong (0, \infty) \not\cong 1$, this monad is not affine. However the monoid structure of $(0, \infty)$ induced by M^* is the usual multiplication of positive real numbers, which form a group. Therefore M^* is weakly affine, and its Kleisli category is weakly Markov.

On the other hand, if the zero measure is included, then we obtain a commutative monad M which can be seen as the monad of semimodules for the semiring of nonnegative reals. Since $M1 \cong [0, \infty)$ is not a group under multiplication, M is not weakly affine.

Example 1.9. Here is a negative example. Consider the free abelian group monad F on **Set**. Its functor takes a set X and forms the set FX of finite multisets (with repetition, where order does not matter) of elements of X and their formal inverses. We have that $F1 \cong \mathbb{Z}$, which is an abelian group under addition. However, the monoid structure on F1 induced by the monoidal structure of the monad corresponds to the *multiplication* in \mathbb{Z} , which does not have inverses. Therefore F is not weakly affine.

1.3 Conditional independence in weakly Markov categories

Definition 1.10. A morphism $f: A \to X_1 \otimes \cdots \otimes X_n$ in a A GS-category $\mathcal C$ is said to exhibit conditional independence of the X_i given A if and only if it can be expressed as a product of the following form.

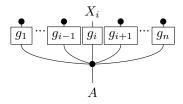


Note that this is slightly different from [?, Definition 6.6], although it is equivalent for the case of Markov categories.

Proposition 1.11. Let $f: A \to X_1 \otimes \cdots \otimes X_n$ be a morphism in a GS-category \mathcal{C} . Then f exhibits conditional independence of the X_i given A if and only if it is equivalent to the product of all its marginals. Moreover, in that case f is uniquely equivalent to the product of its marginals.

This generalizes the fact that, in Markov categories, a distribution exhibiting conditional independence is the product of its marginals [?, Section 12].

Proof. Denote the marginals of f by f_1, \ldots, f_n . Suppose that f is a product as in Definition 1.10. For each $i = 1, \ldots, n$, by marginalizing, we get that f_i is equal to the following.



Therefore for each i we have that $f_i \sim g_i$.

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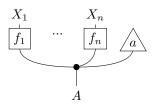
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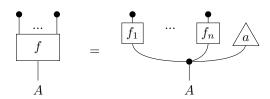
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Conversely, suppose that f is equivalent to the product of its marginals, i.e. that there exists $a: X \to I$ such that f is equal to the following.



One can then choose $g_i = f_i$ for all i < n, and $g_n = a \cdot f_n$, so that f is in the form of Definition 1.10. Moreover, by marginalizing over all the X_i at once, we see that



so that a is uniquely determined.

▶ Remark 1.12. For n = 2, a morphism $f : A \to X \otimes Y$ in a weakly Markov GS-category \mathcal{C} exhibits conditional independence of X and Y given A if and only if the following equation holds.

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$$\begin{array}{ccc}
X & Y \\
\hline
f & f \\
A
\end{array} =
\begin{array}{ccc}
X & Y \\
\hline
f & f \\
A
\end{array}$$

Lemma 1.13. Let \mathcal{D} be a cartesian monoidal category, and let T be a commutative monad on \mathcal{D} . A Kleisli morphism $f^{\sharp}: A \to T(X_1 \times \cdots \times X_n)$ exhibits conditional independence of the X_i given A if and only if it factors as follows

for some Kleisli maps $g_i^{\sharp}: A \to TX_i$, where the map c above is the one obtained by iterating the multiplication of the monoidal structure (such a map is unique by associativity).

Proof. In terms of the base category \mathcal{D} , a Kleisli morphism in the form of Definition 1.10 reads as follows.

$$A \xrightarrow{\text{copy}} A \times \cdots \times A \xrightarrow{g_1^{\sharp} \times \cdots \times g_n^{\sharp}} TX_1 \times \cdots \times TX_n \xrightarrow{c} T(X_1 \times \cdots \times X_n).$$

Therefore $f^{\sharp}: A \to T(X_1 \times \cdots \times X_n)$ is exhibiting conditional independence if and only if it is in the form above.

▶ **Definition 1.14.** Let \mathcal{C} be a GS-category. We say that \mathcal{C} satisfies the *localized independence* property if whenever a morphism $f: A \to X \otimes Y \otimes Z$ exhibits conditional independence of $X \otimes Y$ (jointly) and Z given A, as well as conditional independence of X and $Y \otimes Z$ given X, then it exhibits conditional independence of X, Y and Z given X.

Theorem 1.15. Let \mathcal{D} be a cartesian monoidal category, and let T be a commutative monad on \mathcal{D} . The following conditions are equivalent.

- 1. T is weakly affine;
- 70 **2.** Kl(T) is weakly Markov;
- 3. Kl(T) satisfies the localized independence property;
- 4. For all objects X, Y, and Z, the following associativity diagram is a pullback.

$$T(X) \times T(Y) \times T(Z) \xrightarrow{\operatorname{id} \times c_{Y,Z}} T(X) \times T(Y \times Z)$$

$$c_{X,Y} \times \operatorname{id} \downarrow \qquad \qquad \downarrow c_{X,Y} \times Z$$

$$T(X \times Y) \times T(Z) \xrightarrow{c_{X} \times Y,Z} T(X \times Y \times Z)$$

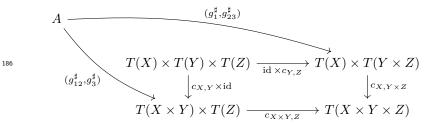
$$(2)$$

Proof. $1 \Leftrightarrow 2$: see Proposition 1.4.

 $2 \Rightarrow 3$: Suppose $f: A \to X \otimes Y \otimes Z$ exhibits conditional independence of $X \otimes Y$ (jointly) and Z given A, as well as conditional independence of X and $Y \otimes Z$ given A By marginalizing out X, we have that f_{YZ} exhibits conditional independence of Y and Z given X. Since by hypothesis X exhibits conditional independence of X and $X \otimes Z$ given X, by Proposition 1.11 we have that X is equivalent to the product of X and X is equivalent to the product of X and X is equivalent to the product of X and X is equivalent to the product of X and X is equivalent to the product of X and X is equivalent to the product of X and X is equivalent to the product of X and X is equivalent to the product of X and X is equivalent to the product

of all its marginals. Using Proposition 1.11 in the other direction, thie means that f exhibits conditional independence of X, Y and Z given A.

 $3 \Rightarrow 4$: By the universal property of products, a cone over the cospan in (2) consists of maps $g_1^{\sharp}: A \to TX$, $g_{23}^{\sharp}: A \to T(Y \times Z)$, $g_{12}^{\sharp}: A \to T(X \times Y)$ and $g_3^{\sharp}: A \to TZ$ such that the following diagram commutes.



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By Lemma 1.13, this amounts to a Kleisli map $f^{\sharp}: A \to T(X \times Y \times Z)$ exhibiting conditional independence of X and $Y \otimes Z$ given A, as well as of $X \otimes Y$ and Z given A. By the localized independence property, we then have that f exhibits conditional independence of all X, Y and Z given A, and so, again by Lemma 1.13, f^{\sharp} factors through the product $TX \times TY \times TZ$. More specifically, by marginalizing over Z, we have that g_{12}^{\sharp} factors through $TX \times TY$, i.e. the following diagram on the left commutes for some $h_1^{\sharp}: A \to TX$ and $h_2^{\sharp}: A \to TY$, and similarly, by marginalizing over X, the diagram on the right commutes for some $\ell_2^{\sharp}: A \to TY$ and $\ell_3^{\sharp}: A \to TZ$.

$$(h_{1}^{\sharp},h_{2}^{\sharp}) \downarrow \qquad \qquad (\ell_{2}^{\sharp},\ell_{3}^{\sharp}) \downarrow \qquad \qquad (f_{2}^{\sharp},\ell_{3}^{\sharp}) \downarrow \qquad \qquad TX \times TY \xrightarrow{c} T(X \times Y) \qquad TY \times TZ \xrightarrow{c} T(Y \times Z)$$

196 In other words, the upper and the left curved triangles in the following diagram commute.

$$A \xrightarrow{(g_{1}^{\sharp},g_{23}^{\sharp})} A \xrightarrow{(g_{1}^{\sharp},h_{2}^{\sharp},g_{3}^{\sharp})} T(X) \times T(Y) \times T(Z) \xrightarrow{\operatorname{id} \times c_{Y,Z}} T(X) \times T(Y \times Z)$$

$$\downarrow c_{X,Y} \times \operatorname{id} \qquad \qquad \downarrow c_{X,Y \times Z}$$

$$\uparrow T(X \times Y) \times T(Z) \xrightarrow{c_{X \times Y,Z}} T(X \times Y \times Z)$$

By marginalizing over Y and Z, there exists a unique $a^{\sharp}: A \to T1$ such that $h_1 = a \cdot g_1$.

Therefore

$$g_{12} = h_1 \cdot h_2 = (a \cdot g_1) \cdot h_2 = g_1 \cdot (a \cdot h_2),$$

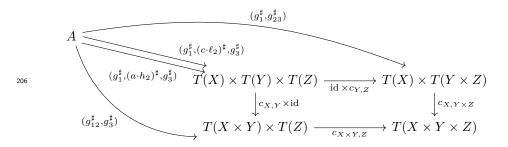
and so in the diagram above we can equivalently replace h_1 and h_2 with g_1 and $a \cdot h_2$.

Similarly by marginalizing over X and Y, there exists a unique $c^{\sharp}: A \to T1$ such that $\ell_3 = c \cdot g_3$, so that

$$g_{23} = \ell_2 \cdot \ell_3 = \ell_2 \cdot (c \cdot g_3) = (c \cdot \ell_2) \cdot g_3$$

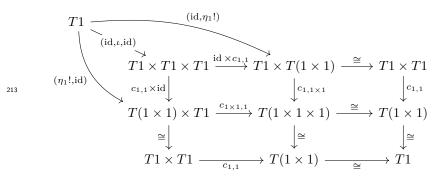
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and in the diagram above we can replace ℓ_2 and ℓ_3 with $c \cdot \ell_2$ and g_3 , as follows.



Now, marginalizing over Z and Z, we see that necessarily $a \cdot h_2 = c \cdot \ell_2$. Therefore there is a unique map $A \to TX \times TY \times TZ$ making the whole diagram commute, which means that (2) is a pullback.

4 \Rightarrow 1: If T is weakly affine, then taking X = Y = Z = 1 in (2) shows that this monoid must be an abelian group: we obtain a unique arrow $\iota : T(1) \to T(1)$ making the following diagram commute,



and the commutativity shows that ι satisfies the equations making it the inversion map for a group structure.

2 Additional material (to be added to section)

▶ Proposition 2.1. Let $(G, \cdot, 1)$ be a group and let X be a set. A function $\alpha : M \times X \to X$ determines a left action if and only if the square

$$G \times G \times X \xrightarrow{\cdot \times \mathrm{id}} M \times X$$

$$\downarrow_{\mathrm{id} \times \alpha} \qquad \qquad \downarrow_{\alpha}$$

$$G \times X \xrightarrow{\alpha} X$$

$$(3)$$

220 commutes and it is a pullback.

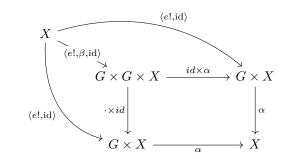
Proof. By definition, the square (3) commutes if and only if α and \cdot are compatible. Now we show that the commutative square (3) is a pullback if and only if α satisfies the identity axiom, i.e. $\alpha(e,x)=x$ for every x in X. Now, if (3) is a pullback, then there exists a

function $\beta: X \to G$ such that the diagram

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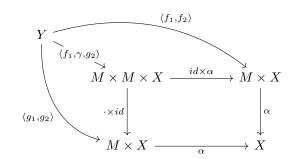
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commutes, where $e!: X \to G$ is the function assigning the identity element e to every element x of X. Now, since the left triangle commutes, then we have that $e = e \cdot \beta(x)$ for every x of X, i.e. $\beta(x) = e$ for every x of X. Now, since the right triangle commutes, we can conclude that $\alpha(\beta(x), x) = \alpha(e, x) = x$ for every x in X.

Now we show that $\alpha(e,x)=x$ implies that the commutative square (3) is a pullback. Let us consider a set Y and the functions $\langle f_1, f_2 \rangle : Y \to G \times X$ and $\langle g_1, g_2 \rangle : Y \to G \times X$ such that $\alpha(f_1(y), f_2(y)) = \alpha(g_1(y), g_2(y))$. By applying $\alpha(f_1(y)^{-1}, -)$ to both sides, and then combining the compatibility of α with the assumption that $\alpha(e, x) = x$, we can conclude that $f_2(y) = \alpha(f_1(y)^{-1} \cdot g_1(y), g_2(y))$. Therefore, we can conclude that the diagram



commutes, where the function $\gamma: Y \to M$ is defined by $\gamma(y) := f_1^{-1}(y) \cdot g_1(y)$. By the unicity of the inverse in a group, this function is also unique, and hence we can conclude that the commutative square (3) is a pullback.

Proposition 2.2. If T is weakly affine, then for every object X, the morphism $c_{1,X}$: $T(1) \times T(X) \to T(X)$ determines a (left) group action.

Proof. The compatibility axiom follows from the fact that the diagram

$$T(1) \times T(1) \times T(X) \xrightarrow{\operatorname{id} \times c_{1,X}} T(1) \times T(X)$$

$$\downarrow c_{1,1} \times \operatorname{id} \downarrow \qquad \qquad \downarrow c_{1,X}$$

$$T(1) \times T(X) \xrightarrow{c_{1,X}} T(X)$$

commutes for every strong and commutative monad. Moreover, following the same proof used for Proposition 2.1, we can conclude that the identity axiom is satisfied since T is weakly affine. In particular, because T(1) is a group by $\ref{eq:total_strong_total_st$

ightharpoonup Proposition 2.3. Let T be a weakly affine monad. If the diagram

$$T(1) \xrightarrow{\mathrm{id}} T(1)$$

$$\downarrow \downarrow \qquad \qquad \downarrow \eta_{T1}$$

$$T(1) \xrightarrow{T(\eta_1)} T^2(1)$$

commutes, then $T^2(1) \cong T(1)$ in A.

Proof. To prove the result it is enough to show that $T(1) \cong 1$ in the Kleisli category \mathcal{A}_T .

We know from Lemma that T(1) is a group in \mathcal{A} , where the arrow $\eta_1 \colon 1 \to T(1)$ is the unit of the group, and $\iota \colon T(1) \to T(1)$ is the inversion map. Therefore, we have that the composition $\iota \eta_1 \colon 1 \to T(1)$ has to be equal to η_1 . Therefore, we can consider the arrows $1 \to T(1)$ and $T(1) \to 1$ in the Kleisli category \mathcal{A}_T given by $T(\eta_1)\eta_1$ and ι respectively. The composition $T(\eta_1)\eta_1$ with ι in \mathcal{A}_T is given by $\mu_{1,1}T(\iota)T(\eta_1)\eta_1$. Employing the naturality of η_1 and the fact that $\iota \eta_1 = \eta_1$, it is direct to check that $\mu T(\iota)T(\eta_1)\eta_1 = \eta_1$, that is the identity $1 \to 1$ in \mathcal{A}_T . Now to show that the other composition gives the identity on T(1) in \mathcal{A}_T , it is enough to show that $T(\eta_1)\iota = \eta_{T(1)}$, but this follows by hypothesis.

(Paolo) Credo che $T(\eta_1)\iota \neq \eta_{T(1)}$ nell'esempio delle misure nonzero. Per ogni x in $(0,\infty)=T1$ abbiamo che $\eta_{T(1)}(x)=\delta_x$ (delta di Dirac), mentre $T\eta_1(\iota(x))=T\eta_1(1/x)=1/x\,\delta_1$.

▶ Corollary 2.4. Let T be a weakly affine monad. If the diagram

$$T(1) \xrightarrow{\mathrm{id}} T(1)$$

$$\downarrow \downarrow \qquad \qquad \downarrow \eta_{T1}$$

$$T(1) \xrightarrow[T(\eta_1)]{} T^2(1)$$

commutes, then T(1) is an idempotent group, namely $\iota = \mathrm{id}_{T1}$.

Proof. By ?? we have that T(1) is a group. If $\eta_{T1} = T(\eta_1)\iota$, then we can apply the multiplication of the monad to both sides, obtaining $\iota = \mathrm{id}_{T1}$.

The following result shows that weak affinity occurs frequently. Recall that a strong monad $T: \mathcal{A} \to \mathcal{A}$ on a category \mathcal{A} with finite products is **affine** if $T(1) \cong 1$ (see also Remark ??).

Three relevant examples of affine monads are the distribution monad on **Set** (for discrete probability), the Giry monad on the category of measurable spaces (for measure-theoretic probability, see Examples ?? and ??), and the expectation monad, see [?].

Property 2.5. We are not aware of any relation between weakly affine monads in our sense and Jacobs' strongly affine monads [?], other than the fact that strongly affine implies affine implies weakly affine.

Property 2.5. We are not aware of any relation between weakly affine monads in our sense and Jacobs' strongly affine monads [?], other than the fact that strongly affine implies affine implies weakly affine.