

# Grover's Algorithm

Poll

# Search Problem

Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  and say we want to find an  $x$  such that  $f(x) = 1$ , or conclude no such  $x$  exists.

**Example:** Determining if a formula is satisfiable is an instance of such a problem

$$(x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee \neg x_2 \vee x_3) \wedge (x_1 \vee \neg x_2 \vee \neg x_3) \wedge (\neg x_1 \vee x_2 \vee \neg x_3)$$

Function  $f$  evaluates to truth value of an assignment  $x = x_1 x_2 x_3$ .

# Search Problem

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**Example:** Determining if a formula is satisfiable is an instance of such a problem

$$(\textcolor{red}{x}_1 \vee \neg \textcolor{blue}{x}_2 \vee \textcolor{red}{x}_3) \wedge (\neg \textcolor{blue}{x}_1 \vee \neg \textcolor{blue}{x}_2 \vee \textcolor{red}{x}_3) \wedge (\textcolor{red}{x}_1 \vee \neg \textcolor{blue}{x}_2 \vee \neg \textcolor{blue}{x}_3) \wedge (\neg \textcolor{blue}{x}_1 \vee \textcolor{red}{x}_2 \vee \neg \textcolor{blue}{x}_3)$$

This formula is satisfied by  $x_1 = 0, x_2 = 0, x_3 = 0$ .

Given such a formula it is a hard problem in general to tell if it is satisfiable!

# Search Problem

Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  and say we want to find an  $x$  such that  $f(x) = 1$ , or conclude no such  $x$  exists.

Classically a randomized algorithm requires  $\Omega(2^n)$  evaluations of  $f$  to solve this problem.

In 1996 Grover gave a quantum algorithm that can solve this problem with  $O(\sqrt{2^n})$  evaluations of  $f$ .

This is "only" a quadratic speedup, but for a problem with tons of applications.

We are first going to study this problem with the promise that there is exactly one  $x$  such that  $f(x) = 1$  .

**Example:** Let's start with the case  $f : \{0, 1\}^2 \rightarrow \{0, 1\}$  .

**Possible truth tables for  $f$ .**

input	output
00	1
01	0
10	0
11	0

input	output
00	0
01	0
10	1
11	0

input	output
00	0
01	1
10	0
11	0

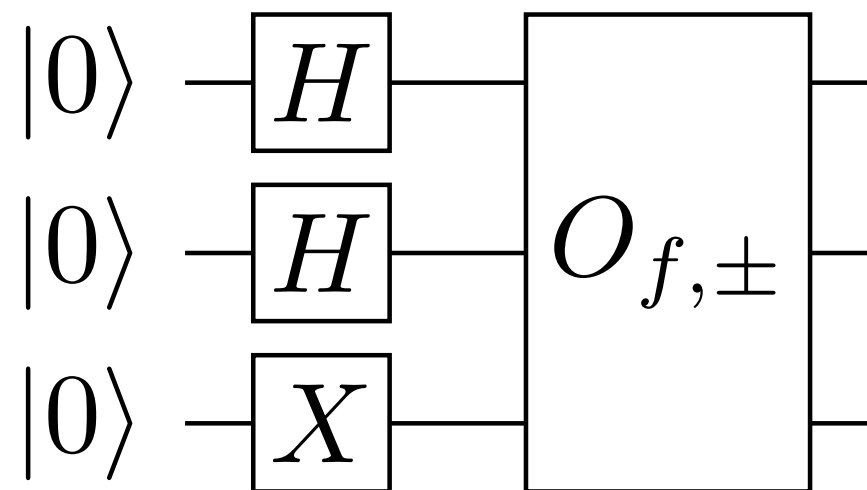
input	output
00	0
01	0
10	0
11	1

# First Algorithm

Let's say we have a phase oracle  $O_{f,\pm}$  for  $f : \{0, 1\}^2 \rightarrow \{0, 1\}$ .

$$O_{f,\pm}|x\rangle|b\rangle = (-1)^{b \cdot f(x)}|x\rangle|b\rangle$$

We begin in the familiar way:



$$\sum_{x \in \{0,1\}^2} (-1)^{f(x)} |x\rangle |1\rangle$$

# First Algorithm

$$\sum_{x \in \{0,1\}^2} (-1)^{f(x)} |x\rangle |1\rangle$$

Depending on what  $f$  is, we are now in one of the 4 states (ignoring normalization and last register).

$$\begin{bmatrix} -1 \\ 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ -1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \\ -1 \end{bmatrix}$$

These states are orthogonal! We can distinguish them with certainty by a measurement.

Concretely, let's apply the unitary

$$R = \frac{1}{2} \begin{bmatrix} -1 & 1 & 1 & 1 \\ 1 & -1 & 1 & 1 \\ 1 & 1 & -1 & 1 \\ 1 & 1 & 1 & -1 \end{bmatrix}$$

$$R \sum_{x \in \{0,1\}^2} (-1)^{f(x)} |x\rangle = \begin{cases} |00\rangle & \text{if } f(00) = 1 \\ |01\rangle & \text{if } f(01) = 1 \\ |10\rangle & \text{if } f(10) = 1 \\ |11\rangle & \text{if } f(11) = 1 \end{cases}$$

Thus measuring in the computational basis we identify  $f$  with certainty after a single query.



# Implementing R

$$\frac{1}{2} \begin{bmatrix} -1 & 1 & 1 & 1 \\ 1 & -1 & 1 & 1 \\ 1 & 1 & -1 & 1 \\ 1 & 1 & 1 & -1 \end{bmatrix}$$

We see that  $R = 2|u\rangle\langle u| - \mathbb{I}$  where  $|u\rangle$  is the uniform superposition.

As  $R = H^{\otimes 2}(2|00\rangle\langle 00| - \mathbb{I})H^{\otimes 2}$  it suffices to implement

$$2|00\rangle\langle 00| - \mathbb{I}$$

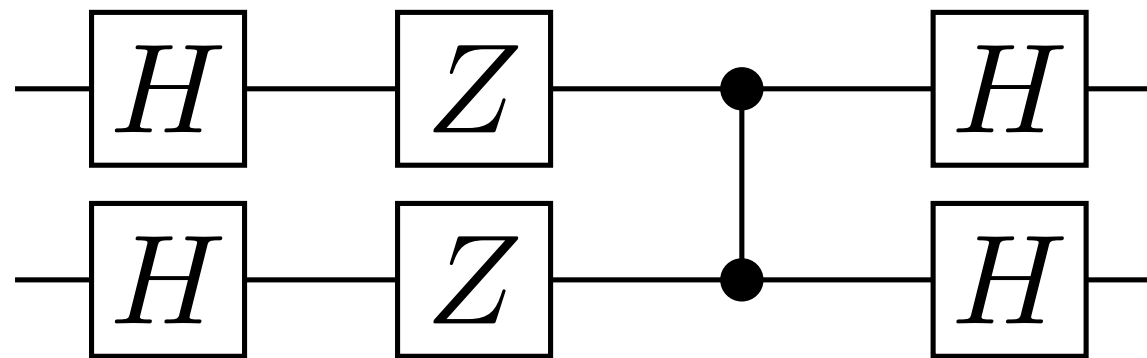
# Implementing R

$$\frac{1}{2} \begin{bmatrix} -1 & 1 & 1 & 1 \\ 1 & -1 & 1 & 1 \\ 1 & 1 & -1 & 1 \\ 1 & 1 & 1 & -1 \end{bmatrix}$$

As  $R = H^{\otimes 2}(2|00\rangle\langle 00| - \mathbb{I})H^{\otimes 2}$  it suffices to implement

$$2|00\rangle\langle 00| - \mathbb{I}$$

We want to multiply every comp. basis vector by  $-1$  except for  $|00\rangle$ .



# Grover

Now let's consider  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  with the promise there is a unique  $x$  with  $f(x) = 1$ . Let  $N = 2^n$ .

We will call this  $x$  the "marked element".

When  $x$  is the marked element we denote the phase oracle by  $O_x$ .

We will also drop the last (control) register of the oracle.

$$O_x|y\rangle = \begin{cases} -|y\rangle & \text{if } y = x \\ |y\rangle & \text{otherwise} \end{cases}$$

# Two important states

$$O_x|y\rangle = \begin{cases} -|y\rangle & \text{if } y = x \\ |y\rangle & \text{otherwise} \end{cases}$$

The oracle  $O_x = \mathbb{I} - 2|x\rangle\langle x|$  is reflection about the plane orthogonal to  $|x\rangle$ .

The other important state for the alg. is the uniform superposition  $|u\rangle = H^{\otimes n}|0^n\rangle$ .

We begin in  $|u\rangle$  and want to get close to  $|x\rangle$ .

The whole alg. takes place in this 2D plane.

# Grover Iterate

The Grover iterate is the product of the two reflections

$$G = (2|u\rangle\langle u| - \mathbb{I})(\mathbb{I} - 2|x\rangle\langle x|)$$

The Grover iterate can be implemented with one query and  $O(n)$  many other gates.

The algorithm is  $G^k H^{\otimes n} |0^n\rangle$  for a smartly chosen  $k$ .

# Geometric Picture

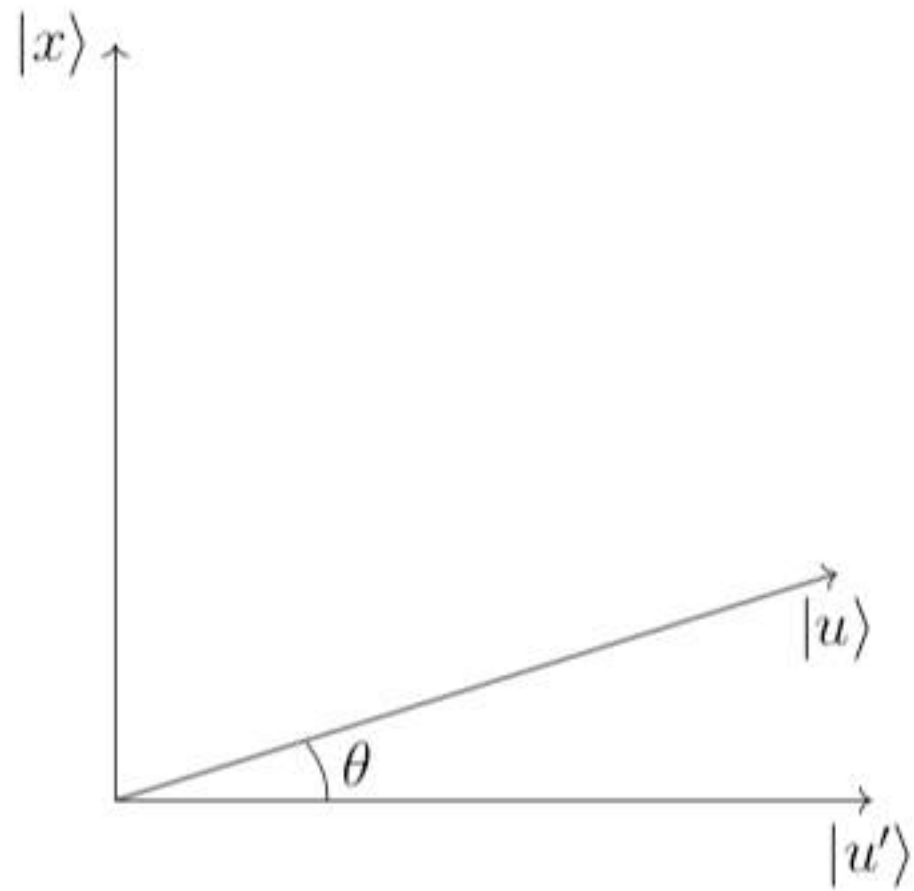
Let  $|u'\rangle$  be the uniform superposition over the unmarked strings

$$|u'\rangle = \frac{1}{\sqrt{N-1}} \sum_{\substack{y \in \{0,1\}^n \\ y \neq x}} |y\rangle$$

We have  $\langle x|u'\rangle = 0$  and

$$|u\rangle = \frac{1}{\sqrt{N}} (\sqrt{N-1}|u'\rangle + |x\rangle)$$

# Geometric Picture



$$|u\rangle = \frac{1}{\sqrt{N}} (\sqrt{N-1}|u'\rangle + |x\rangle)$$

What is the angle  $\theta$  ?

$$\langle u|u'\rangle = \sqrt{1 - 1/N} = \cos(\theta)$$

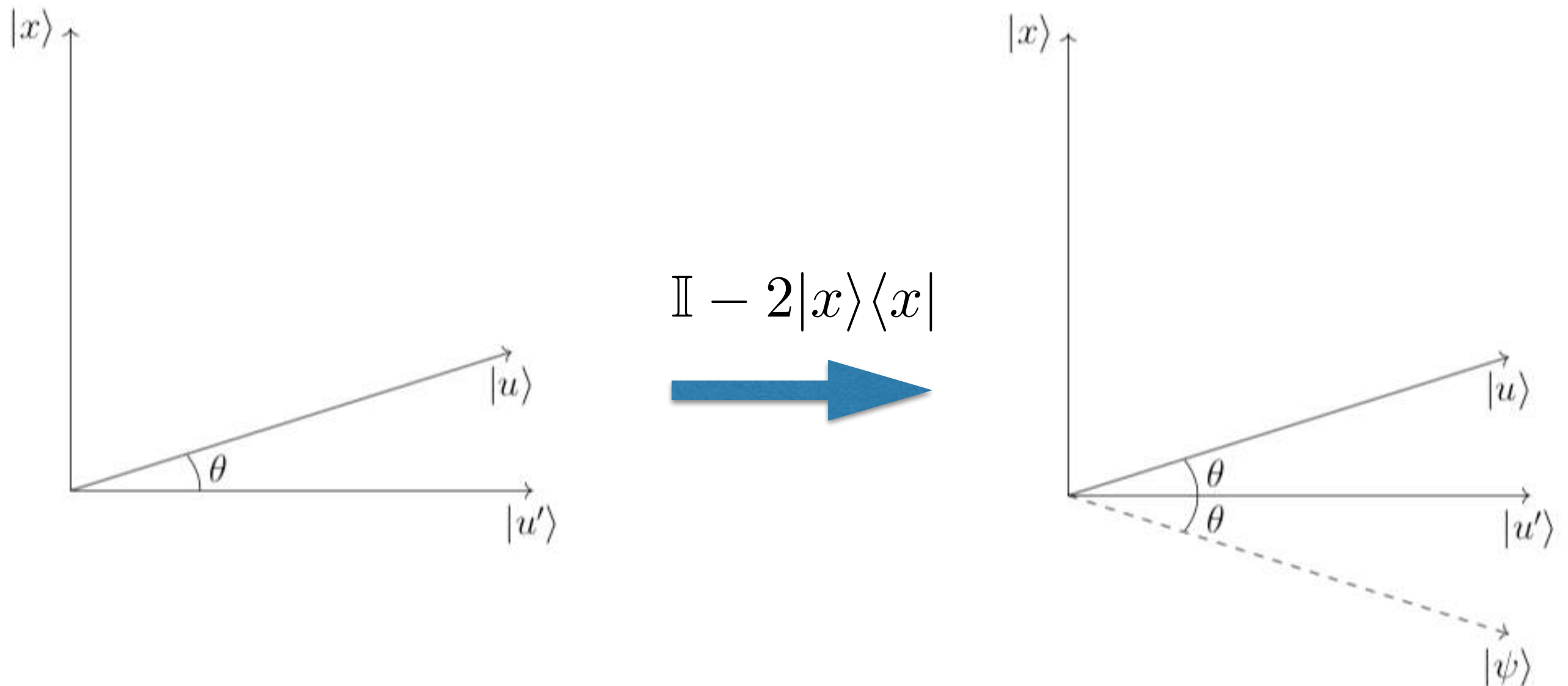
$$\sin(\theta) = \sqrt{1/N}$$

$$\theta = \arcsin(\sqrt{1/N})$$

# Geometric Picture

$$G = (2|u\rangle\langle u| - \mathbb{I})(\mathbb{I} - 2|x\rangle\langle x|)$$

What does the Grover iterate do to  $|u\rangle$ ?

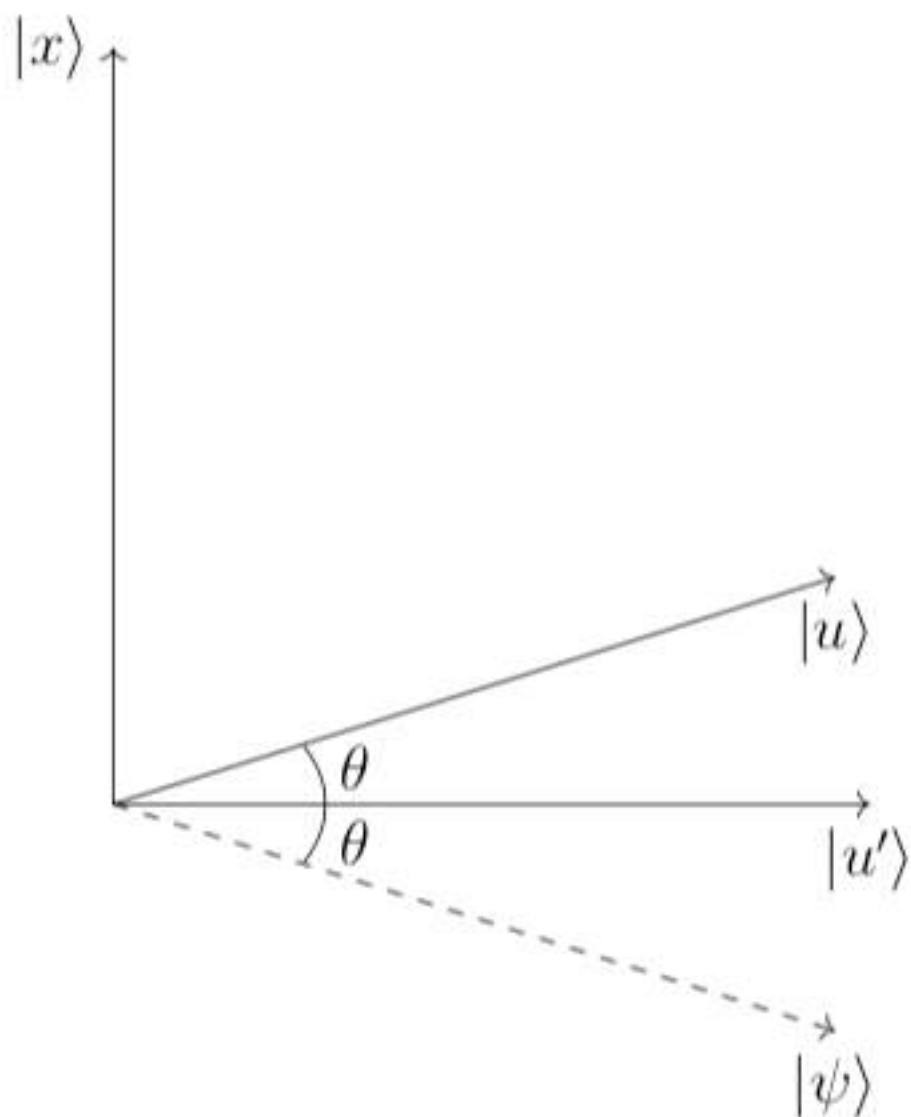




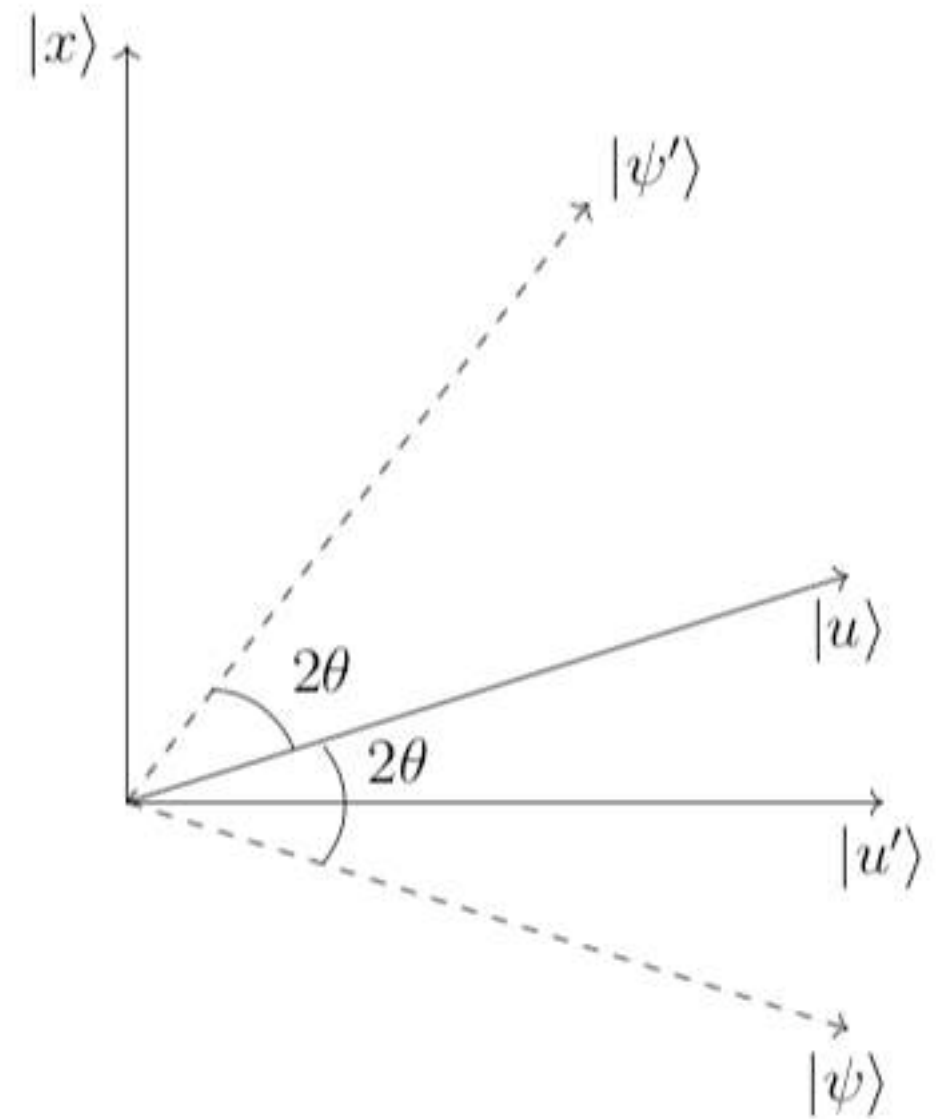
# Geometric Picture

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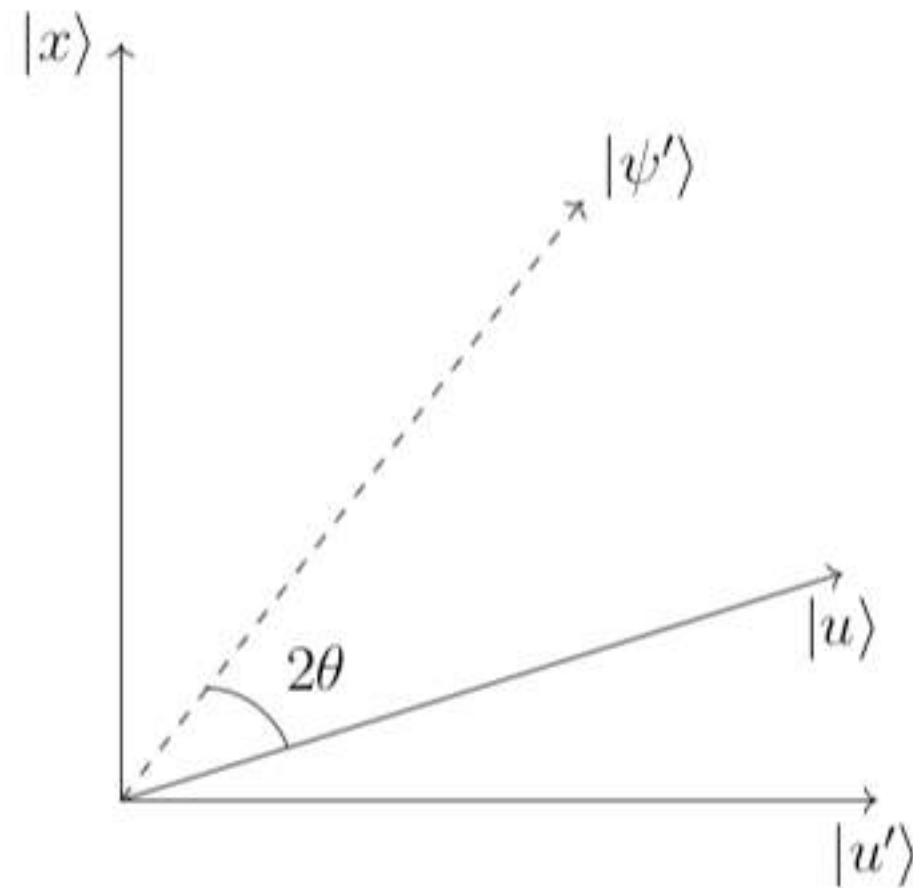
$$2|u\rangle\langle u| - \mathbb{I}$$



# Geometric Picture

$$G = (2|u\rangle\langle u| - \mathbb{I})(\mathbb{I} - 2|x\rangle\langle x|)$$

What does the Grover iterate do to  $|u\rangle$ ?



$G$  rotates  $|u\rangle$  by an angle of  $2\theta$ .

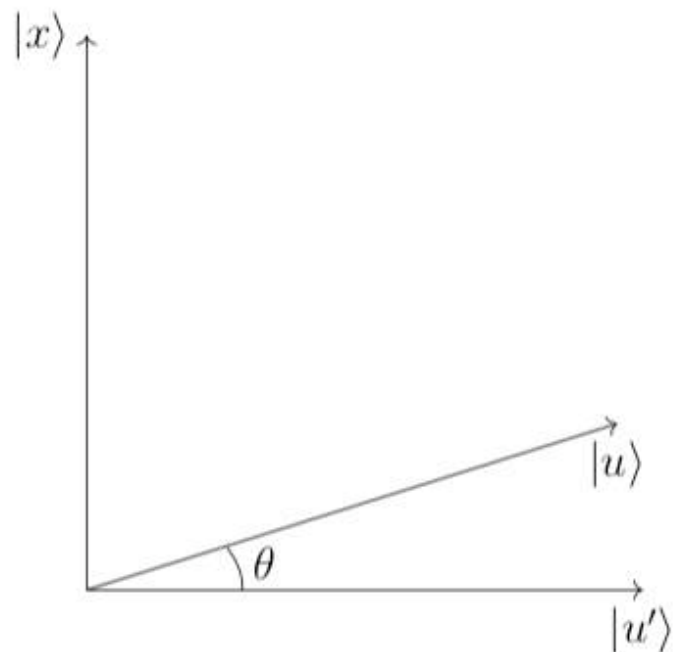
# Geometric Picture

Let's look at the action of  $G$  in the 2D plane spanned by  $|u'\rangle$  and  $|x\rangle$ .

$$G = (2|u\rangle\langle u| - \mathbb{I})(\mathbb{I} - 2|x\rangle\langle x|)$$

$$\begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$



Multiplying these out + trig identities gives

$$\begin{bmatrix} \cos(2\theta) & -\sin(2\theta) \\ \sin(2\theta) & \cos(2\theta) \end{bmatrix}$$

# Success Probability

$$G^k|u\rangle = \cos((2k+1)\theta)|u'\rangle + \sin((2k+1)\theta)|x\rangle$$

The success probability measuring after  $k$  iterations is

$$\sin^2((2k+1)\theta)$$

The ideal choice is  $\bar{m} = \frac{\pi}{4\theta} - \frac{1}{2}$  but this may not be an integer.

Take  $m = \left\lfloor \frac{\pi}{4\theta} \right\rfloor$ . Then  $|m - \bar{m}| \leq \frac{1}{2}$ .

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# Success Probability

The ideal choice is  $\bar{m} = \frac{\pi}{4\theta} - \frac{1}{2}$  but this may not be an integer.

Take  $m = \left\lfloor \frac{\pi}{4\theta} \right\rfloor$ . Then  $|m - \bar{m}| \leq \frac{1}{2}$ .

$$\begin{aligned}\sin((2m + 1)\theta) &\geq \sin(\pi/2 - \theta) \\ &= \cos(\theta) = \sqrt{1 - 1/N}\end{aligned}$$

With this choice the success probability is  $1 - 1/N$ .

# Complexity

$$\sin(\theta) = \frac{1}{\sqrt{N}} \leq \theta$$

We do  $m = \left\lfloor \frac{\pi}{4\theta} \right\rfloor \leq \frac{\pi}{4} \sqrt{N}$  applications of the Grover iterate.

$$G = (2|u\rangle\langle u| - \mathbb{I})(\mathbb{I} - 2|x\rangle\langle x|)$$

Each iteration can be performed with one query and  $O(n)$  other gates.

We can achieve success probability  $1 - 1/N$  after  $O(\sqrt{N})$  queries and a quantum circuit of size  $O(\sqrt{N} \log(N))$ .

# Notes

We only assumed  $N$  is a power of 2 in order to create the uniform superposition using Hadamards.

For arbitrary  $N$  one can use an approximate Fourier transform  $F_N$  to create the uniform superposition  $F_N|0\rangle$ .

Everything else goes through as before.

I think of Grover's algorithm searching a "database" represented by  $z \in \{0, 1\}^N$ .

# Activity

Think about the following two questions

- 1) How can you construct a quantum circuit to implement  $2|u\rangle\langle u| - \mathbb{I}$  with  $O(n)$  gates?
- 2) The algorithm we have given assumes there is a unique marked element. Use this algorithm as a black box to solve the general problem with  $O(\sqrt{N})$  many quantum queries.



# Removing Uniqueness

# More marked elements

Again suppose we have phase oracle access to a function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  and want to find a "marked element"  $x$  such that  $f(x) = 1$ .

$$f^{-1}(1)$$

Suppose that  $T = |\{x : f(x) = 1\}|$  and that  $T$  is **known**.

A fairly straightforward generalization of Grover's algorithm now finds a marked element after  $O(\sqrt{2^n/T})$  many queries.

# Setup

Let  $N = 2^n$  . Now we take as our basis vectors

$$|\psi_{\text{good}}\rangle = \frac{1}{\sqrt{T}} \sum_{x \in f^{-1}(1)} |x\rangle \quad |\psi_{\text{bad}}\rangle = \frac{1}{\sqrt{N-T}} \sum_{x \in f^{-1}(0)} |x\rangle$$

The phase oracle is  $O_f = \mathbb{I} - 2|\psi_{\text{good}}\rangle\langle\psi_{\text{good}}|$  .

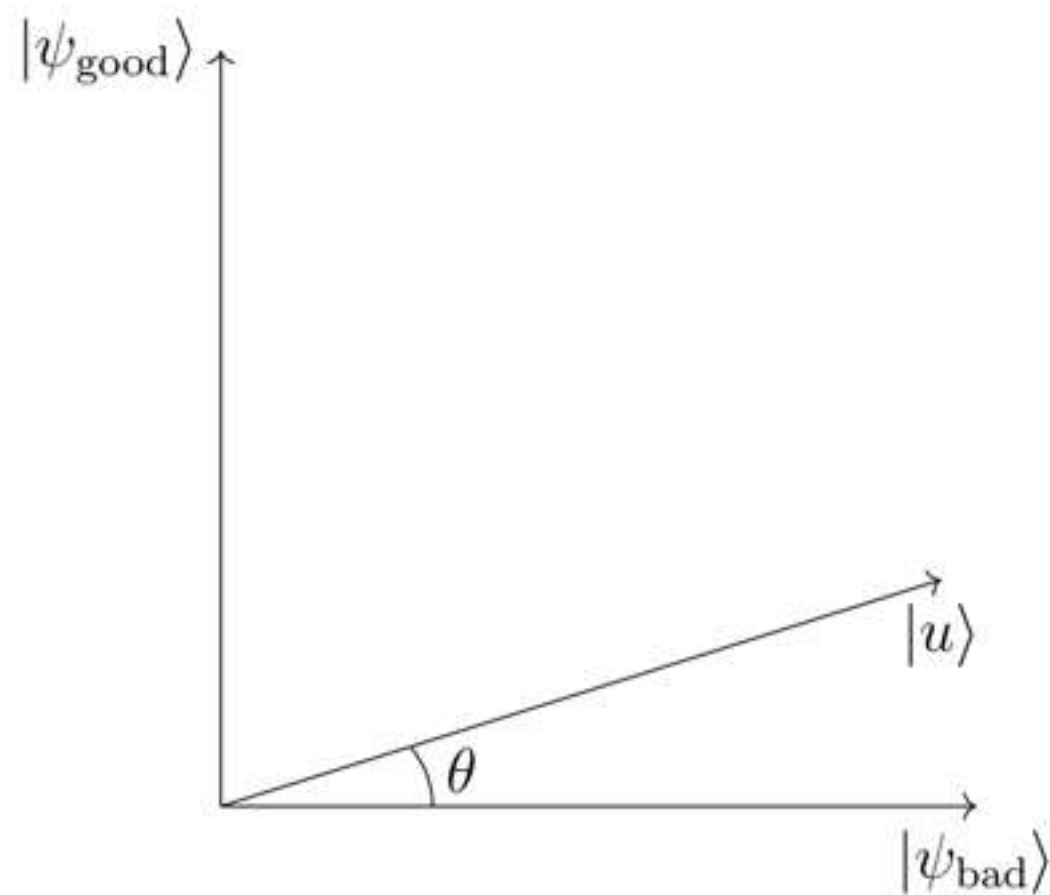
The Grover iterate is as before

$$G = (2|u\rangle\langle u| - \mathbb{I})(\mathbb{I} - 2|\psi_{\text{good}}\rangle\langle\psi_{\text{good}}|)$$

where  $|u\rangle = H^{\otimes n}|0^n\rangle$  .

# Algorithm

The algorithm is again  $G^k H^{\otimes n} |0^n\rangle$  for an appropriate value of  $k$ .



$G$  is rotation by  $2\theta$   
where  $\cos(\theta) = \langle u | \psi_{\text{bad}} \rangle$   
 $= \sqrt{1 - T/N}$

To maximize  $\sin^2((2k + 1)\theta)$

take  $k = \left\lfloor \frac{\pi}{4\theta} \right\rfloor \leq \frac{\pi}{4} \sqrt{N/T}$

# Conclusion

**Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  with  $|f^{-1}(1)| = T$  and assume that  $T$  is known.**

**There is a quantum algorithm to find a marked element after  $O(\sqrt{N/T})$  many queries to  $f$  and circuit size  $O(\sqrt{N/T} \log(N))$ .**

# Application: Collision

Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$  with the promise that  $f$  is either 2-to-1 or 1-to-1. Let  $N = 2^n$ .

Determine which one is the case.

**2-to-1:** for every  $z \in \text{range}(f)$  there are exactly two  $x, y \in \{0, 1\}^n$  with  $f(x) = f(y) = z$ .

Where have we seen a 2-to-1 function before?

# Application: Collision

Where have we seen a 2-to-1 function before?

Simon's problem! This could be solved with only  $O(n)$  queries but the function had a lot of additional structure.

Can you use Grover's algorithm to solve the collision problem with  $O(\sqrt{N})$  queries?

# Activity

We can actually do better!

Think about how to use Grover's algorithm to solve the collision problem with  $O(N^{1/3})$  queries to  $f$ .