# **CSC311 HW3**

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1.

(a)

The dimension of  $W^{(1)}$  is d\*dThe dimension of  $W^{(2)}$  is d\*1The dimension of  $z_1$  is d\*1The dimension of  $z_2$  is d\*1

(b)

There are a total of d\*d+d parameters

(c) 
$$\overline{y} = \frac{dL}{dy} = y - t$$
 $\overline{W}^{(2)} = \frac{dL}{dW^{(2)}} = \frac{dL}{dy} * \frac{dy}{dW^{(2)}} = \overline{y} * z_2$ 
 $\overline{z_2} = \frac{dL}{dz_2} = \frac{dL}{dy} * \frac{dy}{dz_2} = \overline{y} * W^{(2)}$ 
 $\overline{h} = \frac{dL}{dh} = \frac{dL}{dz_2} * \frac{dz_2}{dh} = \overline{z_2}$ 
 $\overline{z_1} = \frac{dL}{dz_1} = \frac{dL}{dh} * \frac{dh}{dz_1} = \overline{z_2} * \sigma'(z_1)$ 
 $\overline{W}^{(1)} = \frac{dL}{dW^{(1)}} = \frac{dL}{dz_1} * \frac{dz_1}{dW^{(1)}} = \overline{z_2} * \sigma'(z_1) * x^T$ 
 $\overline{x} = \frac{dL}{dx} = \frac{dL}{dz_1} * \frac{dz_1}{dx} + \frac{dL}{dz_2} * \frac{dz_3}{dx} = \overline{z_2} * \sigma'(z_1) * W^{(1)} + \overline{y} * W^{(2)}$ 

(a)

Solve  $\frac{dy_k}{dz_{k'}}$ 

Given that 
$$y_k = \frac{exp(z_k)}{\sum\limits_{k=1}^{K} exp(z_{k'})}$$

$$(1) If k' = k$$

$$\frac{dy_k}{dz_{k'}} = \frac{\exp(z_k) * \sum_{k=1}^{K} \exp(z_{k'}) - \exp(z_k) * \exp(z_{k'})}{(\sum_{k=1}^{K} \exp(z_{k'}))^2} = (\frac{\exp(z_k)}{\sum_{k=1}^{K} \exp(z_{k'})}) * (1 - \frac{\exp(z_k)}{\sum_{k=1}^{K} \exp(z_{k'})}) = y_k * (1 - y_k) = y_k - y_k^2$$

(2) If 
$$k' \neq k$$

$$\frac{dy_k}{dz_{k'}} = \frac{0 * \sum_{k=1}^{K} exp(z_{k'}) - exp(z_k) * exp(z_{k'})}{(\sum_{k=1}^{K} exp(z_{k'}))^2} = (\frac{exp(z_k)}{\sum_{k=1}^{K} exp(z_{k'})}) * (-\frac{exp(z_{k'})}{\sum_{k'=1}^{K} exp(z_{k'})}) = y_k * (-y_{k'}) = -y_k * y_{k'}$$
(b)

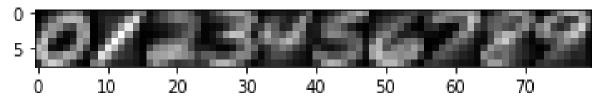
(b) 
$$\frac{dL_{CE}}{dw_k} = \frac{dL_{CE}}{dy_k} * \frac{dy_k}{dz_k} * \frac{dz_k}{dw_k}$$

$$\frac{dL_{CE}}{dy_k} = -\sum_{k=1}^K t_k * \frac{1}{y_k}$$

$$\frac{dL_{CE}}{dw_k} = \left( \left( -\sum_{K'=K} t_k * \frac{1}{y_k} \right) * \left( y_k - y_k^2 \right) - \sum_{K' \neq K} \frac{t_{k'}}{y_k} \left( -y_k y_{k'} \right) \right) * x = \left( -t_k + y_k \sum_{k'} t_{k'} \right) x = \left( y_k - t_k \right) x$$

(3) 0.

Here are 10 plotted mean:



1.

1.

(a)

Test accuracy for k=1

0.96875

Train accuracy for k=1

1.0

(b)

Test accuracy for k=15

0.96

Train accuracy for k=15

0.9612857142857143

2.

Randomly return one from all ties with the use of random.choice(). This is a fair method since all tied labels are equally likely to be the label of the new input. Therefore, I choose to use random in situation of a tie.

3.

For 10 fold

Train accuracy:

k= 1, accuracy = 0.9651428571428571

k=2, accuracy = 0.959

k = 3, accuracy = 0.9641428571428572

k = 4, accuracy = 0.9611428571428572

k = 5, accuracy = 0.9627142857142857

k = 6, accuracy = 0.9595714285714285

k= 7, accuracy = 0.9584285714285714

k = 8, accuracy = 0.9565714285714286

k = 9, accuracy = 0.9545714285714286

k = 10, accuracy = 0.9542857142857143

k= 11, accuracy = 0.9522857142857143

k = 12, accuracy = 0.9512857142857143

k = 13, accuracy = 0.9524285714285714

k= 14, accuracy = 0.9501428571428571

k = 15, accuracy = 0.9491428571428571

The average accuracy is 0.9567238095238095

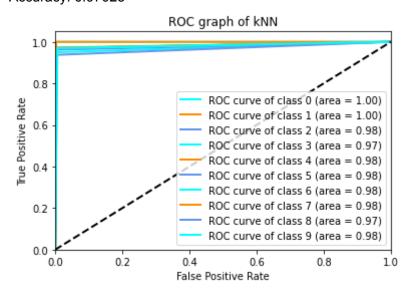
# Test accuracy:

- k= 1, accuracy = 0.953000000000001
- k= 2, accuracy = 0.941000000000001
- k = 3, accuracy = 0.9520000000000002
- k= 4, accuracy = 0.9460000000000001
- k=5, accuracy = 0.952
- k = 6, accuracy = 0.9465
- k=7, accuracy = 0.9515
- k = 8, accuracy = 0.942499999999999
- k= 9, accuracy = 0.946000000000001
- k = 10, accuracy = 0.94275
- k= 12, accuracy = 0.940000000000001
- k = 13, accuracy = 0.93575
- k = 14, accuracy = 0.93575

The average is 0.9412238095238095

The best is when k = 1.

3. kNN: Accuracy: 0.97025



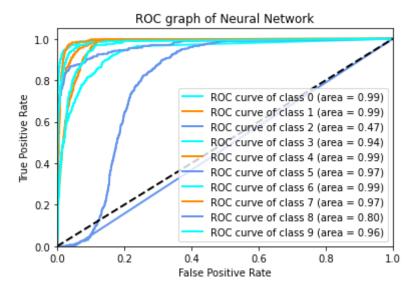
### confusion matrix:

```
[[400 0 0 0 0 0 0 0 0 0 0 0]
[ 0 400 0 0 0 0 0 0 0 0 0 0]
[ 4 0 388 0 1 1 2 2 1 1]
[ 0 1 3 380 0 8 1 1 5 1]
[ 0 1 0 0 388 0 1 1 0 9]
[ 2 0 1 6 0 385 1 1 4 0]
[ 2 4 1 0 1 1388 0 3 0]
```

[ 0 2 1 0 2 0 0389 0 6] [ 1 2 1 1 1 10 1 1375 7] [ 0 0 0 1 4 0 0 7 0388]] Precision: 0.9703887732518048

Recall: 0.9702500000000001

# NN:



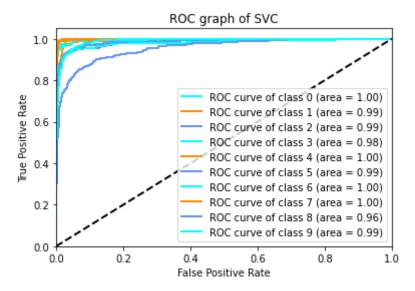
Test accuracy: 0.86225
Train accuracy: 0.86225

confusion matrix:

[[390 3 0 3 0 0 3 0 0 1] [0391 0 0 1 0 1 0 7 0] [14 2 0 21 2 17 13 3 78 250] [1 0 0 367 0 11 0 4 14 3] [0 0 0 0 391 0 4 0 0 5] [2 0 0 10 0 379 0 2 5 2] [3 3 0 0 3 0 391 0 0 0] [0 0 0 1 2 0 0 387 2 8] [1 7 0 3 1 5 0 3 377 3] [0 0 0 1 5 2 0 12 4 376]]

Precision: 0.7941800408615676 Recall: 0.8622500000000001

SVM:



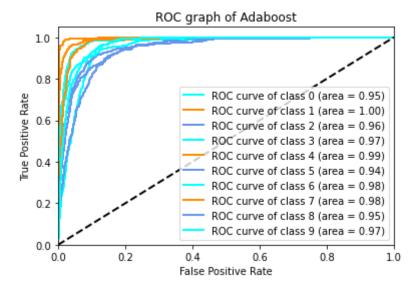
Accuracy: 0.93225

confusion matrix:

[[391 0 0 0 3 1 1 1 3 0]
[ 0 386 1 1 4 0 1 0 7 0]
[ 0 4 367 5 2 3 7 1 9 2]
[ 5 1 10 356 0 20 0 2 5 1]
[ 0 5 1 0 388 0 1 0 2 3]
[ 3 2 1 25 0 362 1 2 3 1]
[ 4 7 3 0 5 1 380 0 0 0]
[ 1 2 0 0 1 1 0 390 0 5]
[ 6 7 9 10 1 17 1 1 346 2]
[ 1 6 1 2 5 3 0 9 10 363]]
Precision: 0.9323913701166033

Recall: 0.93225

# Adaboost:



Accuracy: 0.80975

### confusion matrix:

```
[[362 1 1 1 1 0 25 3 0 7 0]
[2376 0 2 12 0 3 1 4 0]
[19 1 319 8 1 18 14 0 18 2]
[1 0 25 342 0 17 1 0 13 1]
[3 5 3 0 364 0 8 5 5 7]
[4 0 4 64 2 311 1 0 12 2]
[146 5 6 0 4 39 198 0 2 0]
[0 1 1 18 3 1 0 281 5 90]
[18 3 6 11 1 12 0 1 346 2]
[3 1 0 2 11 0 0 21 22 340]]
```

Precision: 0.8233458387457826

Recall: 0.80975

kNN performed the best in term of accuracy and overall performance. Adaboost performed the worst.

It is a bit of a surprise but as expected. As we have limited data and a small number of dimensions. Simpler methods like kNN is suitable for this type of data set while more complex models like neural network and adaboost require larger and more complex dataset to show its value to users.