

# 1 RNA-Seq expression analysis

## 1.1 Introduction

RNA sequencing (**RNA-Seq**) is a high-throughput method used to profile the **transcriptome**, quantify gene expression and discover novel RNA molecules. This tutorial uses RNA sequencing of **malaria parasites** to walk you through transcriptome visualisation, performing simple quality control checks and will show you how to profile transcriptomic differences by identifying differentially expressed genes.

For an introduction to RNA-Seq principles and best practices see:

### **A survey of best practices for RNA-Seq data analysis**

Ana Conesa, Pedro Madrigal, Sonia Tarazona, David Gomez-Cabrero, Alejandra Cervera, Andrew McPherson, Micha Wojciech Szczeniak, Daniel J. Gaffney, Laura L. Elo, Xuegong Zhang and Ali Mortazavi  
*Genome Biol.* 2016 Jan 26;17:13 doi:[10.1186/s13059-016-0881-8](https://doi.org/10.1186/s13059-016-0881-8)

## 1.2 Learning outcomes

By the end of this tutorial you can expect to be able to:

- Align RNA-Seq reads to a reference genome and a transcriptome
- Visualise transcription data using standard tools
- Perform QC of NGS transcriptomic data
- Quantify the expression values of your transcripts using standard tools

## 1.3 Tutorial sections

This tutorial comprises the following sections:

1. [Introducing the tutorial dataset](#)
2. [Mapping RNA-Seq reads to the genome with HISAT2](#)
3. [Visualising transcriptomes with IGV](#)
4. [Transcript quantification with Kallisto](#)
5. [Identifying differentially expressed genes with Sleuth](#)
6. [Interpreting the results](#)
7. [Key aspects of differential expression analysis](#)

## 1.4 Authors

This tutorial was written by [Victoria Offord](#) based on materials from [Adam Reid](#).

## 1.5 Running the commands from this tutorial

You can run the commands in this tutorial either directly from the Jupyter notebook (if using Jupyter), or by typing the commands in your terminal window.

### 1.5.1 Running commands on Jupyter

If you are using Jupyter, command cells (like the one below) can be run by selecting the cell and clicking *Cell -> Run* from the menu above or using *Ctrl Enter* to run the command. Let's give this a try by printing our working directory using the `pwd` command and listing the files within it. Run the commands in the two cells below.



```
pwd
```



```
ls -l
```

### 1.5.2 Running commands in the terminal

You can also follow this tutorial by typing all the commands you see into a terminal window. This is similar to the "Command Prompt" window on MS Windows systems, which allows the user to type DOS commands to manage files.

To get started, select the cell below with the mouse and then either press control and enter or choose *Cell -> Run* in the menu at the top of the page.



```
echo cd $PWD
```

Open a new terminal on your computer and type the command that was output by the previous cell followed by the enter key. The command will look similar to this:



```
cd /home/manager/pathogen-informatics-training/Notebooks/RNA-Seq/
```

Now you can follow the instructions in the tutorial from here.

## 1.6 Prerequisites

This tutorial assumes that you have the following software or packages and their dependencies installed on your computer. The software or packages used in this tutorial may be updated from time to time so, we have also given you the version which was used when writing the tutorial.

Package	Link for download/installation instructions	Version tested
HISAT2	<a href="https://ccb.jhu.edu/software/hisat2/index.shtml">https://ccb.jhu.edu/software/hisat2/index.shtml</a>	2.0.4
samtools	<a href="https://github.com/samtools/samtools">https://github.com/samtools/samtools</a>	1.9
IGV	<a href="https://software.broadinstitute.org/software/igv/">https://software.broadinstitute.org/software/igv/</a>	2.3.81
kallisto	<a href="https://pachterlab.github.io/kallisto/download">https://pachterlab.github.io/kallisto/download</a>	0.43.0
R	<a href="https://www.r-project.org/">https://www.r-project.org/</a>	3.2.2
sleuth	<a href="https://pachterlab.github.io/sleuth/download">https://pachterlab.github.io/sleuth/download</a>	0.30.0
bedtools	<a href="http://bedtools.readthedocs.io/en/latest/content/installation.html">http://bedtools.readthedocs.io/en/latest/content/installation.html</a>	2.25.0

## 1.7 Let's get started!

To get started with the tutorial, head to the first section: [introducing the tutorial dataset](#).

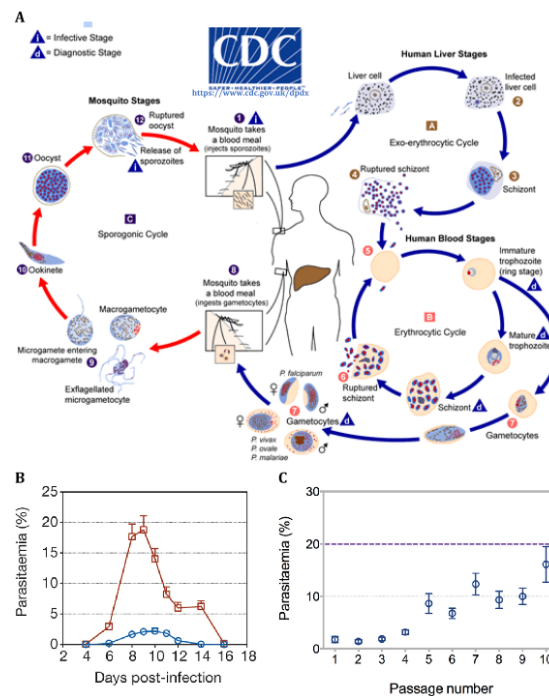
The answers to all questions in the tutorial can be found in [answers.ipynb](#).

## 2 Introducing the tutorial dataset

Working through this tutorial, you will investigate the effect of vector transmission on gene expression of the malaria parasite. The dataset you will be using for this tutorial and *Figure 1* have been taken from the following publication:

### Vector transmission regulates immune control of *Plasmodium* virulence

Philip J. Spence, William Jarra, Prisca Lévy, Adam J. Reid, Lia Chappell, Thibaut Brugat, Mandy Sanders, Matthew Berriman and Jean Langhorne  
*Nature*. 2013 Jun 13; 498(7453): 228–231 doi:10.1038/nature12231



**Figure 1. Serial blood passage increases virulence of malaria parasites.**

### 2.1 Is the transcriptome of a mosquito-transmitted parasite different from one which has not passed through a mosquito?

The key reason for asking this question is that parasites which are transmitted by mosquito (MT) are less virulent (severe/harmful) than those which are serially blood passed (SBP) in the laboratory. *Figure 1A* shows the malaria life cycle, the red part highlighting the mosquito stage. *Figure 1B* shows the difference in virulence, measured by blood parasitemia (presence of parasites in the blood), between mosquito-transmitted and serially blood passed parasites.

*Figure 1C* shows that increasing numbers of blood passage post mosquito transmission results in increasing virulence, back to around 20% parasitemia. Subsequent mosquito transmission of high virulence parasites render them low virulence again.

We hypothesise that parasites which have been through the mosquito are somehow better able to control the mosquito immune system than those which have not. This control of the immune

system would result in lower parasitemia because this is advantageous for the parasite. Too high a parasitemia is bad for the mouse and therefore bad for the parasite.

## 2.2 Exercise 1

In this tutorial, you will be analysing **five RNA samples**, each of which has been sequenced on an Illumina HiSeq sequencing machine. There are **two conditions**: serially blood-passaged parasites (**SBP**) and mosquito transmitted parasites (**MT**). One with **three biological replicates** (SBP), one with **two biological replicates** (MT).

Sample name	Experimental condition	Replicate number
MT1	mosquito transmitted parasites	1
MT2	mosquito transmitted parasites	2
SBP1	serially blood-passaged parasites	1
SBP2	serially blood-passaged parasites	2
SBP3	serially blood-passaged parasites	3

The tutorial files can be found in the data directory. Let's go there now!

**Move into the directory containing the tutorial data files.**



```
cd data
```

**Check to see if the tutorial FASTQ files are there.**



```
ls *.fastq
```

**If the previous `ls` command didn't return anything, download and uncompress the tutorial FASTQ files.**



```
wget ftp://ftp.sanger.ac.uk/pub/project/pathogens/workshops/RNASeq_fq.tar.gz
tar -xf RNASeq_fq.tar.gz
mv RNASeq_tutorial_fastqs/* .
gunzip *.fastq.gz
```

The FASTQ files contain the raw sequence reads for each sample. There will typically be four lines per read:

1. Header
2. Sequence
3. Separator (usually a '+')
4. Encoded quality value

Take a look at one of the FASTQ files.



```
head MT1_1.fastq
```

You can find out more about the FASTQ format at [https://en.wikipedia.org/wiki/FASTQ\\_format](https://en.wikipedia.org/wiki/FASTQ_format).

---

## 2.3 Questions

### 2.3.1 Q1: Why is there more than one FASTQ file per sample?

*Hint: think about why there is a MT1\_1.fastq and a MT1\_2.fastq*

### 2.3.2 Q2: How many reads were generated for the MT1 sample?

*Hint: we want the total number of reads from both files (MT1\_1.fastq and MT1\_2.fastq) so perhaps think about the FASTQ format and the number of lines for each read or whether there's anything you can use in the FASTQ header to search and count...*

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## 2.4 What's next?

For a quick recap of what the tutorial covers and the software you will need, head back to the [Introduction](#).

Otherwise, let's get started with [mapping RNA-Seq reads to the genome using HISAT2](#).

## 3 Mapping RNA-Seq reads to the genome using HISAT2

### 3.1 Introduction

For this exercise, we have reduced the number of reads in each sample to around 2.5 million to reduce the mapping time. However, this will be sufficient to detect most differentially expressed genes.

The objectives of this part of the tutorial are:

- use HISAT2 to build an index from the reference genome
- use HISAT2 to map RNA-Seq reads to the reference genome

#### 3.1.1 Mapping RNA-Seq reads to a genome

By this stage, you should have already performed a standard NGS quality control check on your reads to see whether there were any issues with the sample preparation or sequencing. For more information, see our [NGS Data formats and QC tutorial](#).

Next, we map our RNA-Seq reads to a reference genome to get context. This allows you to visually inspect your RNA-Seq data, identify contamination, novel exons and splice sites as well as giving you an overall feel for your transcriptome.

**HISAT2** To map the RNA-Seq reads from our five samples to the reference genome, we will be using **HISAT2**, a fast and sensitive splice-aware aligner. HISAT2 compresses the genome using an indexing scheme based on the [Burrows-Wheeler transform \(BWT\)](#) and [Ferragina-Manzini \(FM\) index](#) to reduce the amount of space needed to store the genome. This also makes the genome quick to search, using a whole-genome FM index to anchor each alignment and then tens of thousands local FM indexes for very rapid extensions of these alignments.

For more information, and to find the original version of *Figure 2*, please see the HISAT paper:

**HISAT: a fast spliced aligner with low memory requirements**

Daehwan Kim, Ben Langmead and Steven L Salzberg

*Nat Methods*. 2015 Apr;12(4):357-60. doi:[10.1038/nmeth.3317](https://doi.org/10.1038/nmeth.3317)

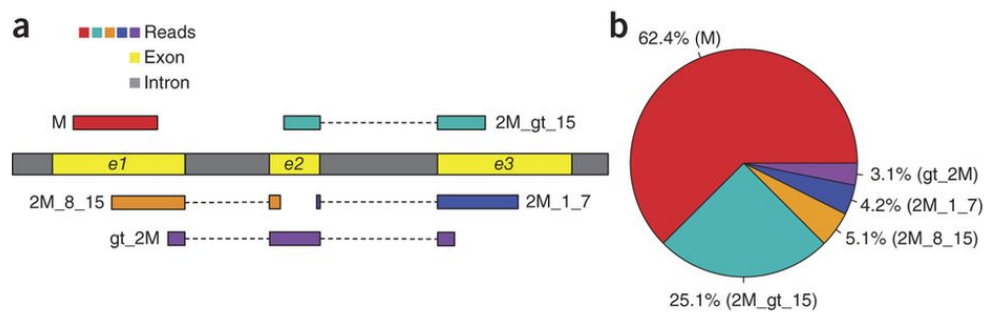
HISAT2 is a splice-aware aligner which means it takes into account that when a read is mapped it may be split across multiple exons with (sometimes large) intronic gaps between aligned regions. As you can see in *Figure 2*, HISAT2 splits read alignments into five classes based on the number of exons the read alignment is split across and the length of the anchor (longest continuously mapped portion of a split read):

- Aligns to a single exon (*M*)
- Alignment split across 2 exons with long anchors over 15bp (*2M\_gt\_15*)
- Alignment split across 2 exons with intermediate anchors between 8bp and 15bp (*2M\_8\_15*)
- Alignment split across 2 exons with short anchors less than 7bp (*2M\_1\_7*)
- Alignment split across more than 2 exons (*gt\_2M*)

HISAT2 used the global index to place the longest continuously mapped portion of a read (*anchor*). This information is then used to identify the relevant local index. In most cases, HISAT2 will only

need to use a single local index to place the remaining portion of the read without having to search the rest of the genome.

For the human genome, HISAT2 will build a single global index and 48,000 local FM indexes. Each of the local indexes represents a 64kb genomic region. The majority of human introns are significantly shorter than 64kb, so >90% of human introns fall into a single local index. Moreover, each of the local indexes overlaps its neighbour by ~1kb which means that it also has the ability to detect reads spanning multiple indexes.



**Figure 2. Read types and their relative proportions from 20 million simulated 100-bp reads**

There are five HISAT2 RNA-seq read mapping categories: (i) M, exonic read; (ii) 2M\_gt\_15, junction reads with long, >15-bp anchors in both exons; (iii) 2M\_8\_15, junction reads with intermediate, 8- to 15-bp anchors; (iv) 2M\_1\_7, junction reads with short, 1- to 7-bp, anchors; and (v) gt\_2M, junction reads spanning more than two exons (Figure 2A). Exonic reads span only a single exon and represent over 60% of the read mappings in the 20 million 100-bp simulated read dataset.

## 3.2 Exercise 2

Be patient, each of the following steps will take a couple of minutes!

**Make sure you are in the data directory with the tutorial files.**



```
cd data
```

**Look at the usage instructions for hisat2-build.**



```
hisat2-build -h
```

This not only tells us the version of HISAT2 we're using (essential for publication methods):

HISAT2 version 2.0.4 by Daehwan Kim

But, that we also need to give hisat2-build two pieces of information:

Usage: hisat2-build [options]\* <reference\_in> <ht2\_index\_base>



These are:

- `<reference_in>`  
location of our reference sequence file (PccAS\_v3\_genome.fa)
- `<ht2_index_base>`  
what we want to call our HISAT2 index files (PccAS\_v3\_hisat2.idx)

**Build a HISAT2 index for our *Plasmodium chabaudi chabaudi* AS (*P. chabaudi*) reference genome using `hisat2-build`.**



```
hisat2-build PccAS_v3_genome.fa PccAS_v3_hisat2.idx
```

You can see the generated index files using:



```
ls PccAS_v3_hisat2.idx*
```

**Look at the usage for `hisat2`.**



```
hisat2 -h
```

Here we can see that `hisat2` needs several bits of information so that it can do the mapping:

```
hisat2 [options]* -x <ht2-idx> {-1 <m1> -2 <m2> | -U <r>} [-S <sam>]
```

- `-x <ht2-idx>`  
the prefix that we chose for our index files with `hisat2-build` (PccAS\_v3\_hisat2.idx)
- `{-1 <m1> -2 <m2> | -U <r>}`  
the left (-1) and right (-2) read files for the sample (MT1\_1.fastq and MT1\_2.fastq respectively)
- `[-S <sam>]`  
the name of the file we want to write the output alignment to (MT1.sam) as, by default, `hisat2` will print the results to the terminal (stdout)

We will also be adding one more piece of information, the maximum intron length (default 500,000 bases). For this analysis, we want to set the maximum intron length to 10,000. We can do this by adding the option `--max-intronlen 10000`.

**Map the reads for the MT1 sample using HISAT2.**



```
hisat2 --max-intronlen 10000 -x PccAS_v3_hisat2.idx \  
-1 MT1_1.fastq -2 MT1_2.fastq -S MT1.sam
```

HISAT2 has written the alignment in SAM format. This is a format which allows humans to look at our alignments. However, we need to convert the SAM file to its binary version, a BAM file. We do this for several reasons. Mainly we do it because most downstream programs require our alignments to be in BAM format and not SAM format. However, we also do it because the BAM file is smaller and so takes up less (very precious!) storage space. For more information, see the format guide: <http://samtools.github.io/hts-specs/SAMv1.pdf>.

**Convert the SAM file to a BAM file.**

```
samtools view -b -o MT1.bam MT1.sam
```

We now need to sort the BAM file ready for indexing. When we aligned our reads with HISAT2, the alignments were produced in the same order as the sequences in our FASTQ files. To index the BAM file we need the alignments to be ordered by their respective positions in the reference genome. We can do this using `samtools sort` which will sort the alignments by their co-ordinates for each chromosome.

**Sort the BAM file.**

```
samtools sort -o MT1_sorted.bam MT1.bam
```

Next, we need to index our BAM file. This makes searching the alignments much more efficient. It allows programs like IGV (which we will be using to visualise the alignment) to quickly get the alignments that overlap the genomic regions you're looking at. We can do this with `samtools index` which will generate an index file with the extension `.bai`.

**Index the BAM file so that it can be read efficiently by IGV.**

```
samtools index MT1_sorted.bam
```

Now repeat this process of mapping, converting (SAM to BAM), sorting and indexing with the reads from the MT2 sample.

Hopefully, the sorted and indexed BAM files have already been generated for you. Let's check.



```
ls SBP*bam*
```

If this doesn't return `.bam` and `.bai` files for your three SBP samples, run these commands.



```
chmod +x map_SBP_samples.sh  
./map_SBP_samples.sh
```

These commands run a bash script which will do the mapping, converting, sorting and indexing for all of the SBP samples. There's a great introduction to bash scripting and loops as part of our [Unix tutorial](#).

If you have time at the end of the tutorial, feel free to take a look at the script and a breakdown of what it does in [Running commands on multiple samples](#). Bash scripts and loops are a useful way of automating an analysis and running the same commands for multiple samples. Imagine if you had 50 samples and not 5!

---

## 3.3 Questions

### 3.3.1 Q1: How many index files were generated when you ran `hisat2-build`?

*Hint: look for the files with the `.ht2` extension*

### 3.3.2 Q2: What was the *overall alignment rate* for each of the MT samples (MT1 and MT2) to the reference genome?

*Hint: look at the the output from the `hisat2` commands*

### 3.3.3 Q3: How many MT1 and MT2 reads were not aligned to the reference genome?

*Hint: look at the the output from the `hisat2` commands, you're looking for reads (not read pairs) which have aligned 0 times (remember that one read from a pair may map even if the other doesn't)*

---

## 3.4 What's next?

For a quick recap of what the tutorial covers head back to the [introduction](#).

If you want a reintroduction to the tutorial dataset, head back to [introducing the tutorial dataset](#).

Otherwise, let's continue on to [visualising transcriptomes with IGV](#).

## 4 Visualising transcriptomes with IGV

### 4.1 Introduction

**Integrative Genome Viewer (IGV)** allows us to visualise genomic datasets. We have a quick start guide [here](#) which contains the information you need to complete Section 4.2. The IGV user guide contains more information on all of the IGV features and functions: <http://software.broadinstitute.org/software/igv/UserGuide>.

The objectives of this part of the tutorial are:

- load a reference genome into IGV and navigate the genome
  - load an annotation file into IGV and explore gene structure
  - load read alignments into IGV and inspect read alignments
- 

### 4.2 Exercise 3

First, we will use `samtools` to create an index for the *P. chabaudi* reference genome, which IGV will use to traverse the genome. This index file will have the extension `.fai` and should always be in the same directory as the reference genome.

**Make sure you are in the data directory with the tutorial files.**



```
cd data
```

**Index the genome fasta file (required by IGV).**



```
samtools faidx PccAS_v3_genome.fa
```

**Start IGV.**



```
igv.sh
```

This will open the IGV main window. Now, we need to tell IGV which genome we want to use. IGV has many pre-loaded genomes available, but *P. chabaudi* is not one of them. This means we will need to load our genome from a file.

**Load your reference genome into IGV. Go to "Genomes -> Load Genome from File...". Select "PccAS\_v3\_genome.fa" and click "Open". For more information, see [Loading a reference genome](#) in our quick start guide.**

We not only want to see where our reads have mapped, but what genes they have mapped to. For this, we have an annotation file in [GFF3 format](#). This contains a list of features, their co-ordinates and orientations which correspond to our reference genome.

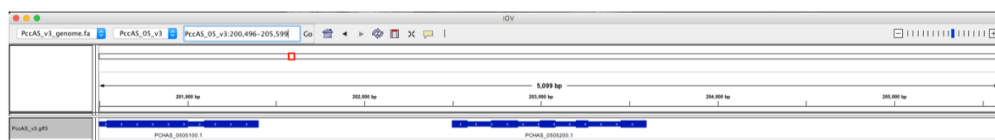
```
##gff-version 3
##sequence-region PccAS_01_v3 1745 580742
##sequence-region PccAS_02_v3 825 578413
...
PccAS_01_v3  DEFAULT  gene      1745   3349   .   +   .   ID=PCHAS_0100100
PccAS_01_v3  DEFAULT  mRNA     1745   3349   .   +   .   ID=PCHAS_0100100.1;Parent=PCHAS_0100100
PccAS_01_v3  DEFAULT  CDS      1745   1845   .   +   0   ID=PCHAS_0100100.1:exon:1;...
PccAS_01_v3  DEFAULT  CDS      2047   2317   .   +   1   ID=PCHAS_0100100.1:exon:2;...
```

### Example from PccAS\_v3 GFF3

Load your annotation file into IGV. Go to "File -> Load from File...". Select "PccAS\_v3.gff3" and click "Open". For more information, see [Loading gene annotations](#) in our quick start guide.

This will load a new track called "PccAS\_v3.gff3". The track is currently shown as a density plot. You will need to zoom in to see individual genes.

Search for the gene PCHAS\_0505200 by typing "PCHAS\_0505200" in the search box to zoom in and centre the view on PCHAS\_0505200.



IGV - PCHAS\_0505200

To get a clearer view of the gene structure, right click on the annotation track and click "Expanded".

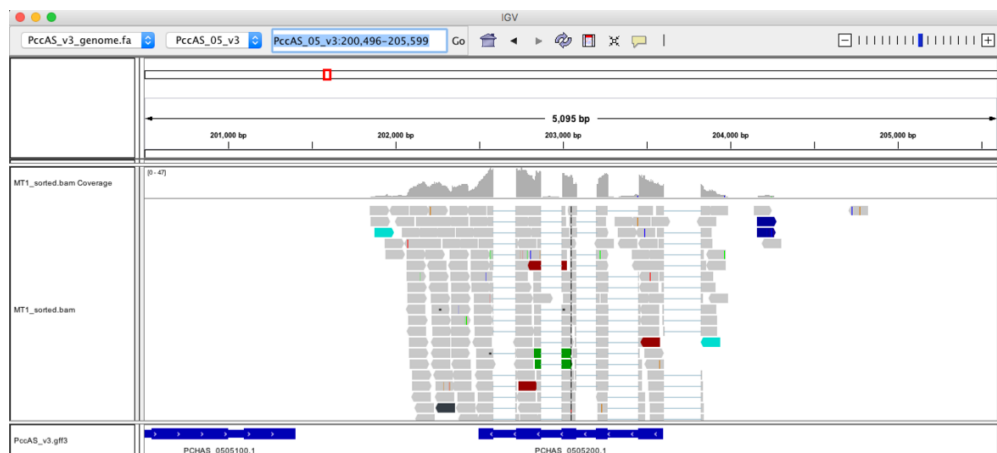


IGV - PCHAS\_0505200 expanded

In the annotation track, genes are presented as blue boxes and lines. These boxes represent exons, while the lines represent intronic regions. Arrows indicate the direction (or strand) of transcription for each of the genes. Now we have our genome and its annotated features, we just need the read alignments for our five samples.

Load your alignment file for the MT1 sample into IGV. Go to "File -> Load from File...". Select "MT1\_sorted.bam" and click "Open". For more information, see [Loading alignment files](#) in our quick start guide.

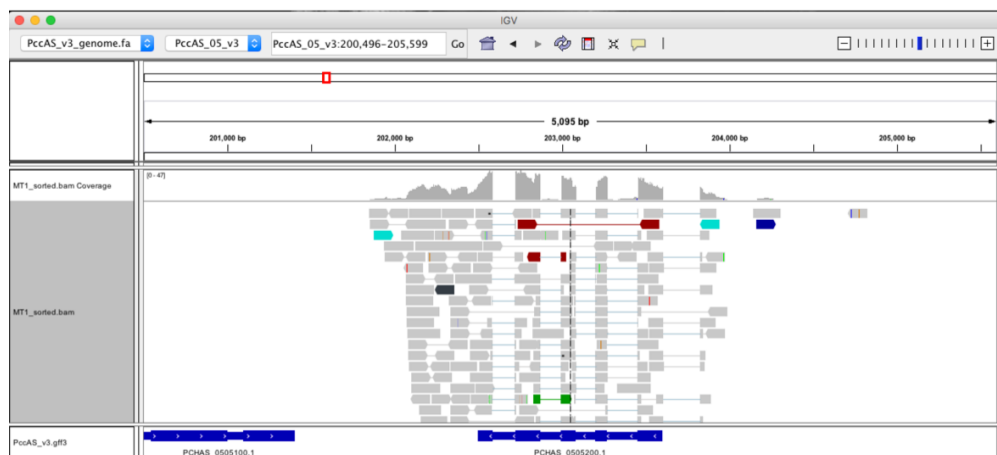
*Note: BAM files and their corresponding index files must be in the same directory for IGV to load them properly.*



IGV - MT1 read alignment

This will load a new track called "MT1\_sorted.bam" which contains the read alignments for the MT1 sample. We can change how we visualise our data by altering the view options. By default, IGV will display reads individually so they are compactly arranged. If you were to hover over a read in the default view, you will only get the details for that read. However, if we change our view so that the reads are visualised as pairs, the read pairs will be joined together by line and when we hover over either of the reads, we will get information about both of the reads in that pair.

To view our reads as pairs, right click on the MT1\_sorted.bam alignment track and click "View as pairs".



IGV - paired view

To condense the alignment, right click on the MT1\_sorted.bam alignment track and click "Squished".

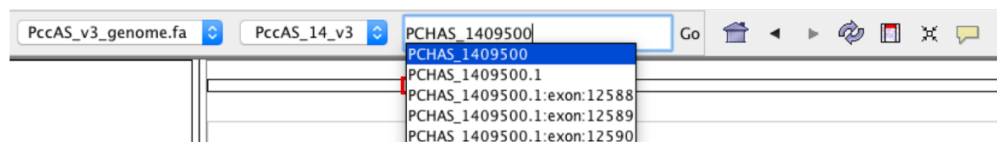


IGV - squished view

For more information on sorting, grouping and visualising read alignments, see the [IGV user guide](#).

Load the remaining sorted BAM files for the MT2 sample and the three SBP samples.

Using the search box in the toolbar, go to PCHAS\_1409500. For more information, see [Jump to gene or locus](#) in our quick start guide.



IGV - search PCHAS\_1409500

The first thing to look at is the coverage range for this viewing window on the left-hand side. The three SBP samples have 2-3 times more reads mapping to this gene than the two MT samples. While at first glance it may seem like this gene may be differentially expressed between the two conditions, remember that some samples may have been sequenced to a greater depth than others. So, if a sample has been sequenced to a greater depth we would expect more reads to map in general.



IGV - coverage PCHAS\_1409500

From the gene annotation at the bottom we can also see that there are three annotated exon/CDS features for this gene. However, the coverage plot suggests there may be a fourth unannotated exon which, given the direction of the gene, could suggest a 5' untranslated region (UTR). Note the clean drop off of the coverage at around position 377,070.

---

## 4.3 Questions

### 4.3.1 Q1: How many CDS features are there in "PCHAS\_1402500"?

*Hint: Look at [Jump to gene or locus](#) in our quick start guide.*

### 4.3.2 Q2: Does the RNA-seq mapping agree with the gene model in blue?

*Hint: Look at the coverage track and split read alignments.*

### 4.3.3 Q3: Do you think this gene is differentially expressed and is looking at the coverage plots alone a reliable way to assess differential expression?

*Hint: Look at the coverage similarities/differences between the MT and SBP samples.*

---

## 4.4 What's next?

You can head back to [mapping RNA-Seq reads to the genome using HISAT2](#) or continue on to [transcript quantification with Kallisto](#).



## 5 Transcript quantification with Kallisto

### 5.1 Introduction

After visually inspecting the genome alignment, the next step in a typical RNA-Seq analysis is to estimate transcript abundance. To do this, reads are assigned to the transcripts they came from. These assignments are then used to quantify gene or transcript abundance (expression level).

For this tutorial, we are using [Kallisto](#) to assign reads to a set of transcript sequences and quantify transcript abundance. Kallisto does not assemble transcripts and cannot identify novel isoforms. So, when a reference transcriptome isn't available, the transcripts will need to be assembled *de novo* from the reads. However, for this tutorial, we already have a reference transcriptome available.

The objectives of this part of the tutorial are:

- use Kallisto to index a transcriptome
- use Kallisto to estimate transcript abundance

#### 5.1.1 Quantifying transcripts with Kallisto

Many of the existing methods used for estimating transcript abundance are **alignment-based**. This means they rely on mapping reads onto the reference genome. The gene expression levels are then calculated by counting the number of reads overlapping the transcripts. However, read alignment is a computationally and time intensive process. So, in this tutorial, we will be running [Kallisto](#) which uses a fast, **alignment-free** method for transcript quantification.

#### Near-optimal probabilistic RNA-seq quantification

Nicolas L Bray, Harold Pimentel, Páll Melsted and Lior Pachter

*Nat Biotechnol.* 2016 May;34(5):525-7. doi: [10.1038/nbt.3519](https://doi.org/10.1038/nbt.3519)

Kallisto uses a process called **pseudoalignment** to make it efficient. Rather than looking at where the reads map, Kallisto uses the *compatibility* between the reads and transcripts to estimate transcript abundance. Thus, most transcript quantification with Kallisto can be done on a simple laptop (Figure 3).

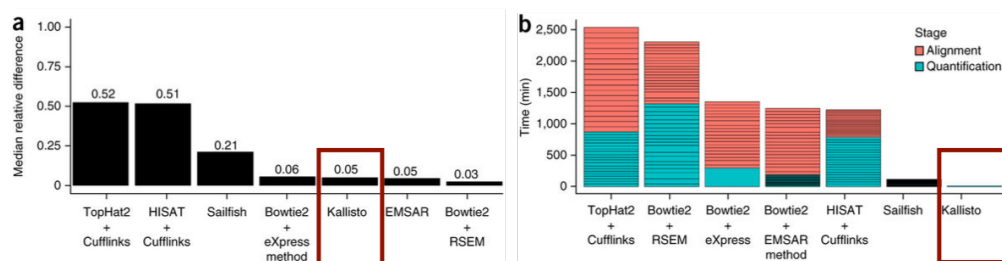


Figure 3. Performance of kallisto and other methods

#### Figure 3. Performance of kallisto and other methods

(a) Accuracy of kallisto, Cufflinks, Sailfish, EMSAR, eXpress and RSEM on 20 RSEM simulations of 30 million 75-bp paired-end reads. (b) Total running time in minutes for processing the 20 simulated data sets of 30 million paired-end reads described in a. Please see the [Kallisto publication](#) for original figure and more information.

**Step 1: building a Kallisto index** As with alignment-based methods, Kallisto needs an index. To generate the index, Kallisto first builds a transcriptome de Bruijn Graph (T-BDG) from all of the  $k$ -mers (short sequences of  $k$  nucleotides) that it finds in the transcriptome. Each node in the graph corresponds to a  $k$ -mer and each transcript is represented by its path through the graph. Using these paths, each  $k$ -mer is assigned a  $k$ -compatibility class. Some  $k$ -mers will be redundant i.e. shared by the same transcripts. These are skipped to make the index compact and quicker to search. A great worked example of this process can be found [here](#).

The command `kallisto index` can be used to build a Kallisto index from transcript sequences.



```
kallisto index
```

Here we can see the version of Kallisto that we're using (useful for publication methods) and the information that we'll need to give `kallisto index`. The only information we need to give `kallisto index` is the location of our transcript sequences (`PccAS_v3_transcripts.fa`). However, it's useful to have a meaningful filename for the resulting index. We can add this by using the option `-i` which expects a value, our index prefix (`PccAS_v3_kallisto`).

**Step 2: estimating transcript abundance** With this Kallisto index, you can use `kallisto quant` to estimate transcript abundances. You will need to run this command separately for each sample.



```
kallisto quant
```

We can see that `kallisto quant` needs us to tell it where our sample reads are. Although we don't have to, it's usually a good idea to keep the results of each quantification in a different directory. This is because the output filename are always the same (e.g. `abundances.tsv`). If we ran a second analysis, these could get overwritten. To use a different output directory, we can use the `-o` option. We will also be using the `-b` option for bootstrapping.

**Bootstrapping** Not all reads will be assigned unambiguously i.e. to a single transcript. This means that there will be "noise" in our abundance estimates where reads can be assigned to multiple transcripts. Because Kallisto is so quick, it has time to quantify the uncertainty in its abundance estimates using random resampling and replacement. This process is called **bootstrapping** and indicates how reliable the expression estimates are from the observed pseudoalignment. The bootstrap values can be used downstream to distinguish the technical variability from the biological variability in your experiment.

---

## 5.2 Exercise 4

Make sure you are in the data directory with the tutorial files.



```
cd data
```

Build an index called *PccAS\_v3\_kallisto* from transcript sequences in *PccAS\_v3\_transcripts.fa*.



```
kallisto index -i PccAS_v3_kallisto PccAS_v3_transcripts.fa
```

Quantify the transcript expression levels for the MT1 sample with 100 bootstrap samples and calling the output directory *MT1*.



```
kallisto quant -i PccAS_v3_kallisto -o MT1 -b 100 MT1_1.fastq MT1_2.fastq
```

You'll find your Kallisto results in a new output directory which we called **MT1**. Let's take a look.



```
ls MT1
```

Running `kallisto quant` generated three output files in our MT1 folder:

- **abundance.h5**  
HDF5 binary file containing run info, abundance estimates, bootstrap estimates, and transcript length information.
- **abundance.tsv**  
Plain text file containing abundance estimates (doesn't contain bootstrap estimates).
- **run\_info.json**  
JSON file containing information about the run.

*Note: when the number of bootstrap values (-b) is very high, Kallisto will generate a large amount of data. To help, it outputs bootstrap results in HDF5 format (abundance.h5). This file can be read directly by [sleuth](#).*

In the **MT1/abundance.tsv** file we have the abundance estimates for each gene for the MT1 sample. Let's take a quick look.



```
head MT1/abundance.tsv
```

In **MT1/abundance.tsv** there are five columns which give us information about the transcript abundances for our MT1 sample.

- **target\_id**  
Unique transcript identifier.
- **length**  
Number of bases found in exons.
- **eff\_length**  
*Effective length.* Uses fragment length distribution to determine the effective number of positions that can be sampled on each transcript.
- **est\_counts**  
Estimated counts\*. This may not always be an integer as reads which map to multiple transcripts are fractionally assigned to each of the corresponding transcripts.
- **tpm**  
*Transcripts per million.* Normalised value accounting for length and sequence depth bias.

In the last column we have our normalised abundance value for each gene. These are our transcripts per million or TPM. If you have time at the end of this tutorial, see our [normalisation guide](#) which covers common normalisation methods and has a bonus exercise.

To get the result for a specific gene, we can use `grep`.



```
grep PCHAS_0100100 MT1/abundance.tsv
```

If we wanted to get the TPM value for a particular gene, we can use `awk`.



```
awk -F"\t" '$1=="PCHAS_0100100" {print $5}' MT1/abundance.tsv
```

Use `kallisto quant` four more times, for the MT2 sample and the three SBP samples.

---

## 5.3 Questions

### 5.3.1 Q1: What *k*-mer length was used to build the Kallisto index?

*Hint: look at the terminal output from `kallisto index`*

### 5.3.2 Q2: How many transcript sequences are there in *PccAS\_v3\_transcripts.fa*?

*Hint: you can use `grep` or look at the terminal output from `kallisto quant` or in the `run_info.json` files*

### 5.3.3 Q3: What is the transcripts per million (TPM) value for PCHAS\_1402500 in each of the samples?

*Hint: use `grep` to look at the `abundance.tsv` files*

### 5.3.4 Q4: Do you think PCHAS\_1402500 is differentially expressed?

---

## 5.4 What's next?

You can head back to [visualising transcriptomes with IGV](#) or continue on to [identifying differentially expressed genes with sleuth](#).

## 6 Identifying differentially expressed genes with Sleuth

### 6.1 Introduction

In the previous sections we have quantified our transcript abundance and looked at why counts are normalised. In this section you will be using [sleuth](#) to do some simple quality checks and get a first look at the results.

The objectives of this part of the tutorial are:

- use sleuth to perform quality control checks
- use sleuth to identify differentially expressed (DE) transcripts
- use sleuth to investigate DE transcripts

#### 6.1.1 Differential expression analysis (DEA)

Differential expression analysis tries to identify genes whose expression levels differ between experimental conditions. We don't normally have enough replicates to do traditional tests of significance for RNA-Seq data. So, most methods look for outliers in the relationship between average abundance and fold change and assume most genes are not differentially expressed.

Rather than just using a fold change threshold to determine which genes are differentially expressed, DEAs use a variety of statistical tests for significance. These tests give us a **p-value** which is an estimate of how often your observations would occur by chance.

However, we perform these comparisons for each one of the thousands of genes/transcripts in our dataset. A p-value of 0.01 estimates a probability of 1% for seeing our observation just by chance. In an experiment like ours with 5,000 genes we would expect 5 genes to be significantly differentially expressed by chance (i.e. even if there were no difference between our conditions). Instead of using a p-value we can use a **q-value** which accounts for the multiple testing and adjusts the p-value accordingly.

#### 6.1.2 sleuth

[sleuth](#) is a companion tool for [Kallisto](#). Unlike most other tools, sleuth can utilize the technical variation information generated by Kallisto so that you can look at both the technical and biological variation in your dataset.

For the DEA, sleuth essentially tests two models, one which assumes that the abundances are equal between the two conditions (reduced) and one that does not (full). To identify DE transcripts it identifies those with a significantly better fit to the “full” model. For more information on sleuth and how it works, see Lior Pachter's blog post [A sleuth for RNA-Seq](#).

sleuth is written in the [R](#) statistical programming language, as is almost all RNA-Seq analysis software. Helpfully, it produces a web page that allows interactive graphical analysis of the data. However, we strongly recommend learning R for anyone doing a significant amount of RNA-seq analysis. It is nowhere near as hard to get started with as full-blown programming languages such as Perl or Python!

## 6.2 Exercise 5

For this tutorial, we've provided a series of R commands as an R script that will get sleuth running. **Make sure you are in the data directory with the tutorial files.**



```
cd data
```

### 6.2.1 Running sleuth

The commands we need to run sleuth are in the file `sleuth.R`. There's a great overview of the commands and what they do by the developers of sleuth [here](#). Using R is not as hard as it seems, most of this script was copied from the manual!

**Open `sleuth.R` and have a quick look at the commands.**



```
cat sleuth.R
```

You may also want to have a look at `hiseq_info.txt` which is where we define which condition each sample is associated with.



```
cat hiseq_info.txt
```

**You can run scripts containing R commands using `Rscript` followed by the script name. Run `sleuth.R`.**



```
Rscript sleuth.R
```

You won't see any output from this script in the notebook, just a \* next to the command input ([\*]) to let you know it's running.

If you were to run the script directly on the command line, sleuth will return a link which you can follow (<http://127.0.0.1:42427>). This will take you to a web page where you can navigate and explore the sleuth results.

**\*\* Click the link below or type the URL your a web browser (e.g. chrome or firefox) to open the sleuth results.\*\***

<http://127.0.0.1:42427>

You should now see a page with the heading "sleuth live". If not, just give the script a little longer and then refresh the page.

### 6.2.2 Using sleuth to quality check (QC) transcript quantification

Quality control checks are absolutely vital at every step of the experimental process. We can use sleuth to perform simple quality checks (QC) on our dataset.

At the top of the page, sleuth provides several tabs which we can use to determine whether the data is of good quality and whether we should trust the results we get.

First, let's take a look at a summary of our dataset.

In the web page that has been launched, click on "*Summaries -> processed data*".

Notice that the number of reads mapping differs quite a bit between MT and SBP samples? This is why we QC our data. In the MT samples >95% of the reads mapped to the genome, but only 15-30% are assigned to the transcriptome compared to >75% for the SBP samples. This suggests that there may be some residual ribosomal RNA left over from the RNA preparation. It's not a problem as we have enough reads and replicates for our analysis.

processed data

Names of samples, number of mapped reads, number of bootstraps performed by kallisto, and sample to covariate mappings.

kallisto version(s): 0.43.0

Show 25 entries

sample	reads_mapped	reads_proc	frac_mapped	bootstraps_present	bootstraps_used	condition
MT1	168298	1250000	0.1346	100	100	MT
MT2	341149	1250000	0.2729	100	100	MT
SBP1	1017818	1250000	0.8143	100	100	SBP
SBP2	951731	1250000	0.7614	100	100	SBP
SBP3	966663	1250000	0.7733	100	100	SBP

Showing 1 to 5 of 5 entries

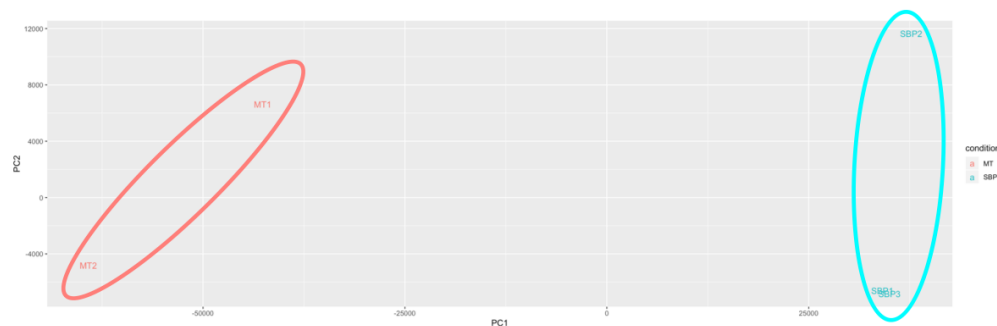
Previous 1 Next

Download Table

sleuth - processed data table

In some cases, we can identify samples which don't agree with other replicates (**outliers**) and samples which are related by experimental bias (**batch effects**). If we don't have many replicates, it's hard to detect outliers and batch effects meaning our power to detect DE genes is reduced.

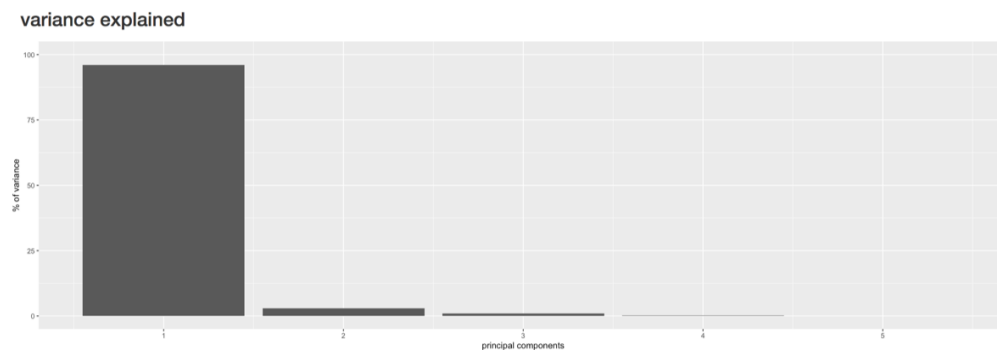
**Principal component analysis (PCA)** plots can be used to look at variation and strong patterns within the dataset. Batch effects and outliers often stand out quite clearly in the PCA plot and mean that you can account for them in any downstream analysis.



sleuth - PCA plot

Our samples form two condition-related clusters with the two MT samples (red) on the left and the three SBP samples on the right (blue). If we look at the variance bar plot, we can see that the

first principal component (PC1) accounts for >90% of the variation in our dataset. As the samples are clearly clustered on the x-axis (PC1) this suggests that most of the variation in the dataset is related to our experimental condition (Mt vs SBP).



sleuth - variance bar plot

### 6.2.3 Using sleuth to look at DE transcripts

We used the output from Kallisto to identify DE transcripts using sleuth. Let's take a look and see if we found any.

To see the results of the sleuth DEA, go to "*analyses -> test table*".

test table

Table of transcript names, gene names (if supplied), sleuth parameter estimates, tests, and summary statistics. [What do the column names mean?](#)

fit:  beta:  table type:

Show  entries

target_id	description	pval	qval	b	se_b	mean_obs	var_obs	tech_var	sigma_sq	smooth_sigma_sq	final_sigma_sq
PCHAS_0420800	hypothetical protein, pseudogene	0.000000e+00	0.000000e+00	-4.533267	0.1049194	7.947168	6.1741517	0.0007167526	0.011281626	0.01249295	0.01249295
PCHAS_1100300	CIR protein	4.181559e-84	8.724822e-81	4.173495	0.2147801	5.990678	5.2354177	0.0355216965	-0.022189493	0.01983491	0.01983491
PCHAS_0902400	histone H4, putative	1.055959e-74	1.468839e-71	-2.247036	0.1228781	6.659965	1.5283374	0.0015008966	0.016613673	0.01661793	0.01661793
PCHAS_0902500	histone H2B, putative	1.703942e-60	1.777638e-57	-2.065962	0.1259195	6.467845	1.2869171	0.0015795677	0.007029692	0.01744730	0.01744730
PCHAS_0702100	fam-a protein	1.125871e-36	0.396522e-34	-3.308070	0.2615178	4.014704	3.3445509	0.0242426628	0.057827230	0.03147513	0.05782723
PCHAS_0625000	lysophospholipase, putative	2.640683e-36	1.836595e-33	-3.286645	0.2612108	3.474759	3.2927774	0.0433405370	0.026214665	0.03853678	0.03853678
PCHAS_0400300	CIR protein	4.151719e-24	2.475017e-21	-4.591849	0.4533829	2.673322	6.4136774	0.1447773921	-0.027237379	0.10188982	0.10188982
PCHAS_0302100	CIR protein	2.765332e-22	1.442467e-19	-3.750792	0.3863285	2.832702	4.2285815	0.0860407750	-0.087309364	0.08105891	0.08105891
PCHAS_0401000	conserved Plasmodium chabaudi protein, unknown function	6.327522e-22	2.933861e-19	-1.685394	0.1751228	4.690290	0.8650022	0.0101870055	0.006927637	0.02661458	0.02661458
PCHAS_0109400	histone H3, putative	7.378663e-22	3.079116e-19	-2.268827	0.2361122	6.121534	1.5941743	0.0022506657	0.064648087	0.01912937	0.06464809

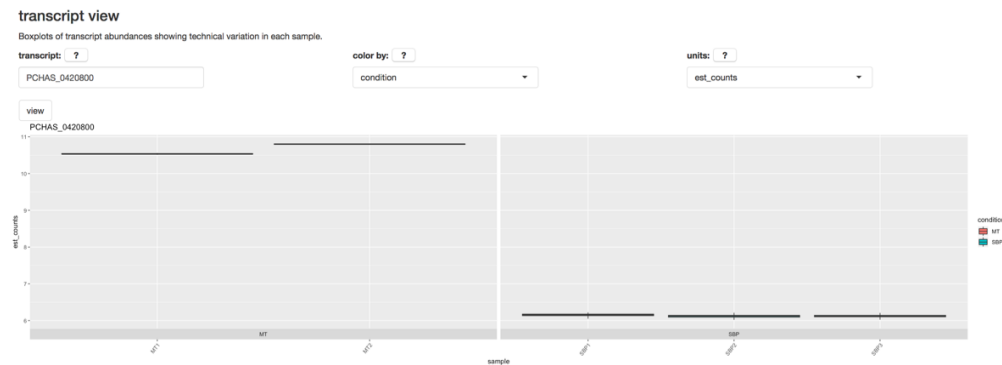
target\_id description pval qval b se\_b mean\_obs var\_obs tech\_var sigma\_sq smooth\_sigma\_sq final\_sigma\_sq

sleuth - transcript table

The important columns here are the **q-value** and the **beta value** (analogous to fold change). By default, the table is sorted by the q-value. We can see that our top transcript is PCHAS\_0420800, a hypothetical protein/pseudogene. Now let's take a closer look at that transcript.



Go to "*analyses -> transcript view*". Enter "PCHAS\_0420800" into the "*transcript*" search box. Click "*view*".



sleuth - transcript view

On the left you have the abundances for the MT replicates and on the right, the SBP replicates. We can see that this transcript is more highly expressed in the MT samples than in the SBP samples. This is also reflected by the fold change in the test table ( $b = -4.5$ ). The  $b$  value is negative as it represents the fold change in SBP samples relative to those in the MT samples.

Finally, let's take a look at the gene level.

To see the results of the sleuth DEA, go to "*analyses -> test\_table*". Under "*table type*" select "*gene table*". Click on the column header "*qval*" in the table to sort the rows by ascending  $q$ -value.

test table

Table of transcript names, gene names (if supplied), sleuth parameter estimates, tests, and summary statistics. What do the column names mean?

fit: full      beta: conditionSBP      table type: gene table      group by: description

Show 10 entries

description	target_id	pval	qval	num_transcripts	all_target_ids
hypothetical protein, pseudogene	PCHAS_0420800	0.000000e+00	0.000000e+00	3	PCHAS_0420800
CIR protein	PCHAS_1100300	4.161559e-84	8.724822e-81	180	PCHAS_1100300
histone H4, putative	PCHAS_0902400	1.055059e-74	1.468839e-71	1	PCHAS_0902400
histone H2B, putative	PCHAS_0902500	1.703042e-60	1.777638e-57	1	PCHAS_0902500
fam-a protein	PCHAS_0702100	1.125871e-38	9.396522e-34	142	PCHAS_0702100
lysophospholipase, putative	PCHAS_0625000	2.640683e-36	1.836595e-33	28	PCHAS_0625000
conserved Plasmodium chabaudi protein, unknown function	PCHAS_0401000	6.327522e-22	2.933861e-19	8	PCHAS_0401000
histone H3, putative	PCHAS_0109400	7.378663e-22	3.079116e-19	2	PCHAS_0109400
histone H2A, putative	PCHAS_1116500	2.348455e-21	8.909184e-19	1	PCHAS_1116500
haloacid dehalogenase-like hydrolase, putative	PCHAS_1041800	2.369086e-18	5.817619e-16	10	PCHAS_1041800

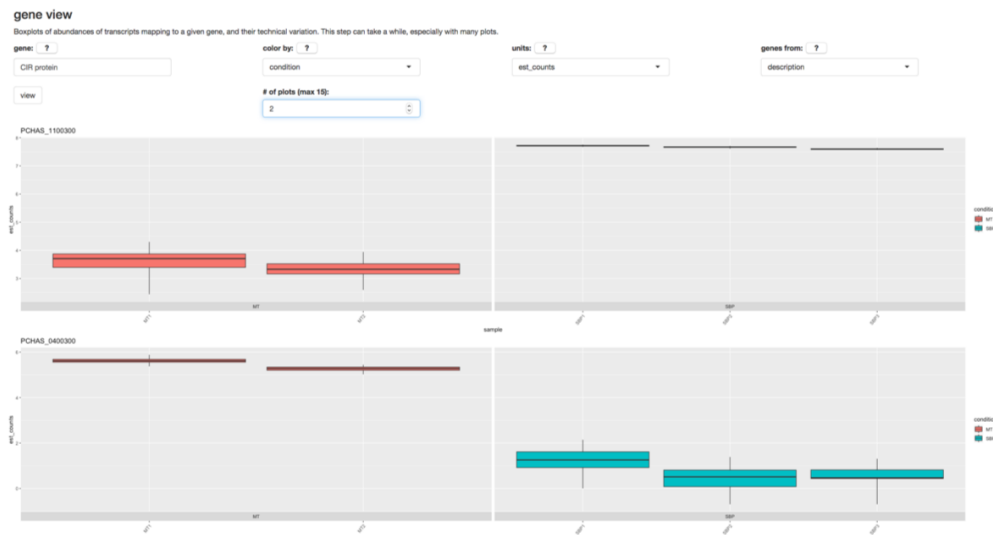
Search:

description    target\_id    pval    qval    num\_transcripts    all\_target\_ids

sleuth - gene table

The transcripts have now been grouped by their descriptions. Let's take a closer look at the CIR proteins.

Go to "*analyses -> gene view*". In the "*gene*" search box enter "CIR protein" (without the quotes).



sleuth - gene view

Here we can see the individual CIR protein transcript abundances. We can see that PCHAS\_1100300 is more highly expressed in the SBP samples and PCHAS\_0302100 is more highly expressed in the MT samples.

## 6.3 Questions

6.3.1 Q1: Is our gene from earlier, PCHAS\_1402500, significantly differentially expressed?

## 6.4 What's next?

You can head back to [transcript quantification with Kallisto](#) or continue on to [interpreting the results](#).

## 7 Interpreting the results

### 7.1 Introduction

The main objective of this part of the tutorial is to use simple Unix commands to get a list of significantly differentially expressed genes. Using this gene list and the quantitative information from our analysis we can then start to make biological inferences about our dataset.

Using the R script (`sleuth.R`), we printed out a file of results describing the differentially expressed genes in our dataset. This file is called `kallisto.results`.

The file contains several columns, of which the most important are:

- **Column 1:** `target_id` (gene id)
- **Column 2:** description (some more useful description of the gene than its id)
- **Column 3:** `pval` (p value)
- **Column 4:** `qval` (p value corrected for multiple hypothesis testing)
- **Column 5:** `b` (fold change)

With a little Linux magic we can get the list of differentially expressed genes with only the columns of interest as above.

---

### 7.2 Exercise 6

Make sure you are in the data directory with the tutorial files.



```
cd data
```

To get the genes which are most highly expressed in our SBP samples, we must first filter our results. There are two columns we want to filter our data on: **b** (column 5) and **qval** (column 4). These columns represent whether the gene is differentially expressed and whether that change is significant.

The following command will get those genes which have an adjusted p value (`qval`) less than 0.01 and a positive fold change. These genes are more highly expressed in the SBP samples.



```
awk -F "\t" '$4 < 0.01 && $5 > 0' kallisto.results | cut -f1,2,3,4,5 | head
```

We used `awk` to filter the gene list and print only the lines which met our search criteria (`qval > 0.01, b > 0`). The option `-F` tells `awk` what delimiter is used to separate the columns. In this case, it was a tab or its regular expression `"\t"`. We then use `cut` to only print out columns 1-5. You can also do that within the `awk` command. Finally, we use `head` to get the first 10 lines of the output.

Alternatively, we can look for the genes which are more highly expressed in the MT samples.



```
awk -F "\t" '$4 < 0.01 && $5 < 0' kallisto.results | cut -f1,2,3,4,5 | head
```

It can be useful to have a quick look and compare gene lists. For example, whether a certain gene product is seen more often in the genes most highly expressed in one condition or another. A quick and dirty method would be to use the gene descriptions (or gene products).

You could extract the gene products (column 2) for genes which are more highly expressed in the SBP samples using `sort` and then `uniq`.



```
awk -F "\t" '$4 < 0.01 && $5 < 0 {print $2}' kallisto.results | sort | uniq
```

We can count each time these unique gene products occur in the list using `uniq -c`.



```
awk -F "\t" '$4 < 0.01 && $5 < 0 {print $2}' kallisto.results | \
  sort | uniq -c
```

And, if we wanted to make it a bit easier to see commonly found gene products we can sort this again by the frequency count we got from the `uniq` command. The `sort` command will put these in ascending numerical (`-n`) order.



```
awk -F "\t" '$4 < 0.01 && $5 < 0 {print $2}' kallisto.results | \
  sort | uniq -c | sort -n
```

If you wanted to look for the frequency of a particular gene product you could also use `grep`.



```
awk -F "\t" '$4 < 0.01 && $5 < 0 {print $2}' kallisto.results | grep -c CIR
```

Or building on the earlier command:



```
awk -F "\t" '$4 < 0.01 && $5 < 0 {print $2}' kallisto.results | \
  sort | uniq -c | grep CIR
```

If you want to read more about this work related to this data it is published:

**Vector transmission regulates immune control of *Plasmodium* virulence**

Philip J. Spence, William Jarra, Prisca Lévy, Adam J. Reid, Lia Chappell, Thibaut Brugat, Mandy Sanders, Matthew Berriman and Jean Langhorne

*Nature*. 2013 Jun 13; 498(7453): 228–231 doi:[10.1038/nature12231](https://doi.org/10.1038/nature12231)

## 7.3 Questions

### 7.3.1 Q1: How many genes are more highly expressed in the SBP samples?

Hint: try replacing `head` in the earlier command with another unix command to count the number of lines

### 7.3.2 Q2: How many genes are more highly expressed in the MT samples?

*Hint: try replacing `head` in the earlier command with another unix command to count the number of lines*

### 7.3.3 Q3: Do you notice any particular genes that came up in the analysis?

*Hint: look for gene products that are seen more often in genes more highly expressed in the SBP samples than those more highly expressed in the MT samples*

---

## 7.4 What's next?

You can head back to [identifying differentially expressed genes with Sleuth](#) or continue on to [key aspects of differential expression analysis](#).

## 8 Key aspects of differential expression analysis

### 8.1 Replicates and power

In order to accurately ascertain which genes are differentially expressed and by how much it is necessary to use replicated data. As with all biological experiments doing it once is simply not enough. There is no simple way to decide how many replicates to do, it is usually a compromise of statistical power and cost. By determining how much variability there is in the sample preparation and sequencing reactions, we can better assess how highly genes are really expressed and more accurately determine any differences. The key to this is performing biological rather than technical replicates. This means, for instance, growing up three batches of parasites, treating them all identically, extracting RNA from each and sequencing the three samples separately. Technical replicates, whereby the same sample is sequenced three times do not account for the variability that really exists in biological systems or the experimental error between batches of parasites and RNA extractions.

*Note: more replicates will help improve power for genes that are already detected at high levels, while deeper sequencing will improve power to detect differential expression for genes which are expressed at low levels.*

### 8.2 p-values vs. q-values

When asking whether a gene is differentially expressed we use statistical tests to assign a **p-value**. If a gene has a p-value of 0.05, we say that there is only a 5% chance that it is not really differentially expressed. However, if we are asking this question for every gene in the genome (~5500 genes for *Plasmodium*), then we would expect to see p-values less than 0.05 for many genes even though they are not really differentially expressed. Due to this statistical problem, we must correct the p-values so that we are not tricked into accepting a large number of erroneous results. **Q-values** are p-values which have been corrected for what is known as multiple hypothesis testing. Therefore, it is a q-value of less than 0.05 that we should be looking for when asking whether a gene is differentially expressed.

### 8.3 Alternative software

If you have a good quality genome and genome annotation such as for model organisms e.g. human, mouse, *Plasmodium*; map to the transcriptome to determine transcript abundance. This is even more relevant if you have variant transcripts per gene as you need a tool which will do its best to determine which transcript is really expressed. As well as [Kallisto](#) (Bray et al. 2016; PMID: 27043002), there is [eXpress](#) (Roberts & Pachter, 2012; PMID: 23160280) which will do this.

Alternatively, you can map to the genome and then call abundance of genes, essentially ignoring variant transcripts. This is more appropriate where you are less confident about the genome annotation and/or you don't have variant transcripts because your organism rarely makes them or they are simply not annotated. [Tophat2](#) (Kim et al., 2013; PMID: 23618408), [HISAT2](#) (Pertea et al. 2016; PMID: 27560171), [STAR](#) (Dobinet et al., 2013; PMID: 23104886) and [GSNAP](#) (Wu & Nacu, 2010; PMID: 20147302) are all splice-aware RNA-seq read mappers appropriate for this task. You then need to use a tool which counts the reads overlapping each gene model. [HTSeq](#) (Anders et al.,

2015; PMID: [25260700](#)) is a popular tool for this purpose. [Cufflinks](#) (Trapnell et al. 2012; PMID: [22383036](#)) will count reads and determine differentially expressed genes.

There are a variety of programs for detecting differentially expressed genes from tables of RNA-seq read counts. [DESeq2](#) (Love et al., 2014; PMID: [25516281](#)), [EdgeR](#) (Robinson et al., 2010; PMID: [19910308](#)) and [BaySeq](#) (Hardcastle & Kelly, 2010; PMID: [20698981](#)) are good examples.

## 8.4 What do I do with a gene list?

Differential expression analysis results are a list of genes which show differences between two conditions. It can be daunting trying to determine what the results mean. On one hand, you may find that there are no real differences in your experiment. Is this due to biological reality or noisy data? On the other hand, you may find several thousands of genes are differentially expressed. What can you say about that?

Other than looking for genes you expect to be different or unchanged, one of the first things to do is look at **Gene Ontology (GO) term enrichment**. There are many different algorithms for this, but you could annotate your genes with functional terms from GO using for instance [Blast2GO](#) (Conesa et al., 2005; PMID: [16081474](#)) and then use [TopGO](#) (Alexa et al., 2005; PMID: [16606683](#)) to determine whether any particular sorts of genes occur more than expected in your differentially expressed genes.

---

## 8.5 Congratulations, you have reached the end of this tutorial!

We hope you've enjoyed our RNA-Seq tutorial. You can find the answers to all of the questions in this tutorial [here](#). To revisit the previous section, click [here](#). Or, to go back to the beginning click [here](#).

## 9 Normalisation

### 9.1 Introduction

In the previous section, we looked at estimating transcript abundance with Kallisto. The abundances are reported as **transcripts per million (TPM)**, but what does TPM mean and how is it calculated?

The objectives of this part of the tutorial are:

- *understand why RNA-Seq normalisation metrics are used*
- *understand the difference between RPKM, FPKM and TPM*
- *calculate RPKM and TPM for a gene of interest*

There are many useful websites, publications and blog posts which go into much more detail about RNA-Seq normalisation methods. Here are just a couple (in no particular order):

- [What the FPKM? A review of RNA-Seq expression units](#)
  - [RPKM, FPKM and TPM, clearly explained](#)
  - [A survey of best practices for RNA-seq data analysis](#)
  - [The RNA-seq abundance zoo](#)
- 

### 9.2 Why do we use normalisation units instead of raw counts?

Raw reads counts are the number of reads originating from each transcript which can be affected by several factors:

- **sequencing depth (total number of reads)**  
The more we sequence a sample, the more reads we expect to be assigned.
- **gene/transcript length**  
The longer the gene or transcript, the more reads we expect to be assigned to it.

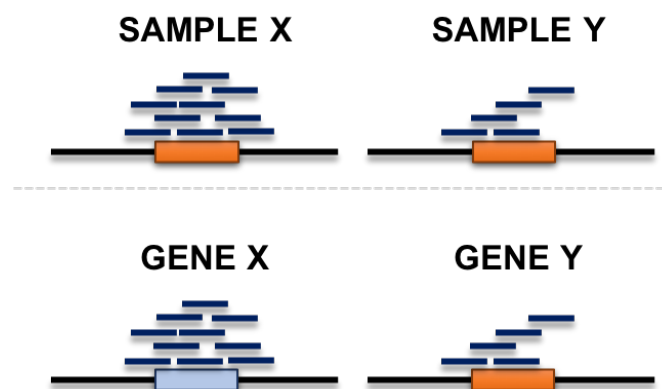


Figure 4. Effect of sequencing depth and gene length on raw read counts



Look at the top part of Figure 4. In which sample, X or Y, is the gene more highly expressed?

Neither, it's the same in both. What we didn't tell you was that the total number of reads generated for sample A was twice the number than for sample B. That meant almost twice the number of reads are assigned to the same gene in sample A than in sample B.

Look at the bottom part of Figure 4. Which gene, X or Y, has the greatest gene level expression?

Neither, they are both expressed at the same level. This time we didn't tell you that gene X is twice the length of gene Y. This meant that almost twice the number reads were assigned to gene X than gene Y.

In the top part of Figure 4, the gene in sample X has twice the number of reads assigned to it than the same gene in sample Y. What isn't shown is that sample X had twice the number or total reads than sample Y so we would expect more reads to be assigned in sample X. Thus, the gene is expressed at roughly the same level in both samples. In the bottom part of Figure 4, gene X has twice the number of reads assigned to it than gene Y. However, gene X is twice the length of gene Y and so we expect more reads to be assigned to gene X. Again, the expression level is roughly the same.

### 9.2.1 Reads per kilobase per million (RPKM)

Reads per kilobase (of exon) per million (reads mapped) or **RPKM** is a **within sample** normalisation method which takes into account sequencing depth and length biases.

To calculate RPKM, you first normalise by sequencing depth and then by gene/transcript length.

1. **Get your *per million* scaling factor**

Count up the total number of reads which have been assigned (mapped) in the sample. Divide this number by 1,000,000 (1 million) to get your *per million* scaling factor (N).

2. **Normalise for sequencing depth**

Divide the number of reads which have been assigned to the gene or transcript (C) by the *per million* scaling factor you calculated in step 1. This will give you your reads per million (RPM).

3. **Get your *per kilobase* scaling factor**

Divide the total length of the exons in your transcript or gene in base pairs by 1,000 (1 thousand) to get your *per kilobase* scaling factor (L).

4. **Normalise for length**

Divide your RPM value from step 2 by your *per kilobase* scaling factor (length of the gene/-transcript in kilobases) from step 3. This will give you your reads per kilobase per million or RPKM.

This can be simplified into the following equation:

$$RPKM = \frac{C}{LN}$$

Where:

- **C** is number of reads mapped to the transcript or gene
- **L** is the total exon length of the transcript or gene in kilobases
- **N** is the total number of reads mapped in millions

### 9.2.2 Fragments per kilobase per million (FPKM)

Fragments per kilobase per million or **FPKM** is essentially the same as RPKM except that:

- RPKM is designed for **single-end** RNA-Seq experiments
- FPKM is designed for **paired-end** RNA-Seq experiments

In a paired-end RNA-Seq experiment, two reads may be assigned to a single fragment (in any orientation). Also, in some cases, only one of those reads will be assigned to a fragment (singleton). The only difference between RPKM and FPKM is that FPKM takes into consideration that two reads may be assigned to the same fragment.

### 9.2.3 Transcripts per million (TPM)

Calculating the **transcripts per million** or **TPM** is a similar process to RPKM and FPKM. The main difference is that you will first normalise for length bias and then for sequencing depth bias. In a nut shell, we are swapping the order of normalisations.

1. **Get your *per kilobase* scaling factor**  
Divide the total length of the exons in your transcript in base pairs by 1,000 (1 thousand) to get your *per kilobase* scaling factor.
  2. **Normalise for length**  
Divide the number of reads which have been assigned to the transcript by the *per kilobase* scaling factor you calculated in step 1. This will give you your reads per kilobase (RPK).
  3. **Get the sum of all RPK values in your sample**  
Calculate the RPK value for all of the transcripts in your sample. Add all of these together to get your total RPK value.
  4. **Get your *per million* scaling factor**  
Divide your total RPK value from step 3 by 1,000,000 (1 million) to get your *per million* scaling factor.
  5. **Normalise for sequencing depth**  
Divide your RPK value calculated in step 2 by the *per million* scaling factor from step 4. You now have your transcripts per millions value or TPM.
-

### 9.3 Calculating RPKM and TPM values

To try and answer this, let's look at a worked example. Here, we have three genes (A-C) and three biological replicates (1-3).

Gene	Length	Replicate 1	Replicate 2	Replicate 3
<b>A</b>	2,000 bases	10	12	30
<b>B</b>	4,000 bases	20	25	60
<b>C</b>	1,000 bases	5	8	15

There are two things to notice in our dataset:

- Gene B has twice number reads mapped than gene A, possibly as it's twice the length
- Replicate 3 has more reads mapped than any of the other replicates, regardless of which gene we look at

#### 9.3.1 Calculating RPKM

**Step 1: get your per million scaling factor** In the table below is the total number of reads which mapped for each of the replicates. To get our *per million* scaling factor, we divide each of these values by 1,000,000 (1 million).

Gene	Replicate 1	Replicate 2	Replicate 3
Total reads mapped	3,500,000	4,500,000	10,600,000
Per million reads	3.5	4.5	10.6

**Step 2: normalise for sequencing depth** We now divide our read counts by the *per million* scaling factor to get our reads per million (RPM).

Before:

Gene	Replicate 1	Replicate 2	Replicate 3
<b>A</b>	10	12	30
<b>B</b>	2	25	60
<b>C</b>	5	8	15

After:

Gene	Replicate 1 RPM	Replicate 2 RPM	Replicate 3 RPM
<b>A</b>	2.857	2.667	2.830
<b>B</b>	5.714	5.556	5.660
<b>C</b>	1.429	1.778	1.415

**Step 3: get your *per kilobase* scaling factor** Here we have our gene length in base pairs. For our *per kilobase* scaling factor we need to get our gene length in kilobases by dividing it by 1,000.

Gene	Length (base pairs)	Length (kilobases)
<b>A</b>	2,000	2
<b>B</b>	4,000	4
<b>C</b>	1,000	1

**Step 4: normalise for length** Finally, we divide our RPM values from step 2 by our *per kilobase* scaling factor from step 3 to get our reads per kilobase per million (RPKM).

Before:

Gene	Replicate 1 RPM	Replicate 2 RPM	Replicate 3 RPM
<b>A</b>	2.857	2.667	2.830
<b>B</b>	5.714	5.556	5.660
<b>C</b>	1.429	1.778	1.415

After:

Gene	Replicate 1 RPKM	Replicate 2 RPKM	Replicate 3 RPKM
<b>A</b>	1.43	1.33	1.42
<b>B</b>	1.43	1.39	1.42
<b>C</b>	1.43	1.78	1.42

Notice that even though replicate 3 had more reads assigned than the other samples and a greater sequencing depth, its RPKM is quite similar. And, that although gene B had twice the number of reads assigned than gene A, its RPKM is the same. This is because we have normalised by both length and sequencing depth.

### 9.3.2 Calculating TPM

Now we're going to calculate the TPM values for the same example data. As a reminder, here are our three genes (A-C) and three biological replicates (1-3).

Gene	Length	Replicate 1	Replicate 2	Replicate 3
<b>A</b>	2,000 bases	10	12	30
<b>B</b>	4,000 bases	20	25	60
<b>C</b>	1,000 bases	5	8	15

**Step 1: get your *per kilobase* scaling factor** Again, our gene lengths are in base pairs. For our *per kilobase* scaling factor we need to get our gene length in kilobases by dividing it by 1,000.

Gene	Length (base pairs)	Length (kilobases)
<b>A</b>	2,000	2
<b>B</b>	4,000	4
<b>C</b>	1,000	1

**Step 2: normalise for length** Now we divide the number of reads which have been assigned to each gene by the *per kilobase* scaling factor we just calculated. This will give us our reads per kilobase (RPK).

Before:

Gene	Replicate 1	Replicate 2	Replicate 3
<b>A</b>	10	12	30
<b>B</b>	2	25	60
<b>C</b>	5	8	15

After:

Gene	Replicate 1	Replicate 2	Replicate 3
<b>A</b>	5	6	15
<b>B</b>	5	6.25	15
<b>C</b>	5	8	15

**Step 3: get the sum of all RPK values in your sample** Next, we sum the RPK values for each of our replicates. This will give use our total RPK value for each replicate. To make this example scalable, we assume there are other genes so the total RPK is made up.

Gene	Replicate 1	Replicate 2	Replicate 3
A	5	6	15
B	5	6.25	15
C	5	8	15
...	...	...	...
<b>Total RPK</b>	<b>150,000</b>	<b>202,500</b>	<b>352,500</b>

**Step 4: get your *per million* scaling factor** Here, instead of dividing our total mapped reads by 1,000,000 (1 million) to get our *per million* scaling factor, we divide our total RPK values by 1,000,000 (1 million).

Gene	Replicate 1	Replicate 2	Replicate 3
Total RPK	150,000	202,500	352,500
Per million RPK	0.1500	0.2025	0.3525

**Step 5: normalise for sequencing depth** Finally, we divide our individual RPK values from step 2 by the *per million* scaling factor in step 4 to give us our TPM values.

Before:

Gene	Replicate 1	Replicate 2	Replicate 3
A	5	6	15
B	5	6.25	15
C	5	8	15

After:

Gene	Replicate 1	Replicate 2	Replicate 3
A	33.33	29.63	31.21
B	33.33	30.86	31.91
C	33.33	39.51	36.88

## 9.4 Which normalisation unit should I use?

Well, there's a lot of debate around this, so let's look at our total normalised values for each replicate.

### 9.4.1 RPKM

Gene	Replicate 1 RPKM	Replicate 2 RPKM	Replicate 3 RPKM
<b>A</b>	1.43	1.33	1.42
<b>B</b>	1.43	1.39	1.42
<b>C</b>	1.43	1.78	1.42
<b>Total RPKM</b>	<b>4.29</b>	<b>4.50</b>	<b>4.25</b>

### 9.4.2 TPM

Gene	Replicate 1	Replicate 2	Replicate 3
<b>A</b>	33.33	29.63	31.21
<b>B</b>	33.33	30.86	31.91
<b>C</b>	33.33	39.51	36.88
<b>Total TPM</b>	<b>100</b>	<b>100</b>	<b>100</b>

Notice that that total TPM value for each of the replicates is the same. This is not true for RPKM and FPKM where the total values differ. With TPM, having the same total value for each replicate makes it easier to compare the proportion of reads mapping to each gene across replicates (although you shouldn't really compare across experiments). With RPKM and FPKM, the differing total values make it much harder to compare replicates.

## 9.5 Questions

Below is the information for each of the five samples. You will need this information to answer the questions. We have put all of commands used to get this information in the [answers](#).

Sample	Total mapped reads	Transcript length	Assigned reads	Total RPK
MT1	2,353,750	3,697	2,541	293,431
MT2	2,292,271	3,709	3,392	675,190
SBP1	2,329,235	3,699	14,605	1,719,970
SBP2	2,187,718	3,696	17,302	1,429,540
SBP3	2,163,979	3,699	14,646	1,561,310

*Note: values have been rounded up to integers to make calculations easier. Assigned reads are the `est_count` from Kallisto for PCHAS\_1402500. Transcript lengths are the `est_length` from Kallisto for PCHAS\_1402500.*

**Q1: Using the `abundance.tsv` files generated by Kallisto and the information above, calculate the RPKM for PCHAS\_1402500 in each of our five samples.**

Sample	Per million scaling factor	RPM	Per kilobase scaling factor	RPKM
MT1				
MT2				
SBP1				
SBP2				
SBP3				

**Q2: Using the `abundance.tsv` files generated by Kallisto and the information above, calculate the TPM for PCHAS\_1402500 in each of our five samples.**

*Hint: don't forget to get your per million scaling factor.*

Sample	Per kilobase scaling factor	Reads per kilobase (RPK)	TPM
MT1			
MT2			
SBP1			
SBP2			
SBP3			



**Q3: Do these match the TPM values from Kallisto?**

*Hint: look at the `abundance.tsv` files for each of your samples.*

**Q4: Do you think PCHAS\_1402500 is differentially expressed between the MT and SBP samples?**

---

## 9.6 What's next?

You can head back to [transcript quantification with Kallisto](#) or continue on to [Identifying differentially expressed genes with Sleuth](#).

## 10 Running commands on multiple samples

Now, fair warning, you're going to wish we'd told you this earlier on. However, then you wouldn't have had the fun of running and updating each of the previous commands, growling at typos and generally wishing that you'd gone for that cup of coffee before starting this tutorial.

Here we go....we can use a **loop** to run the same commands for multiple samples.

There's a great introduction to bash scripting and loops as part of our [Unix tutorial](#). But let's take a look at how we could have generated genome alignments for all of our samples using a single loop.

**First let's go to our data directory.**



```
cd data
```

Whenever you write a loop, it's always a good idea to build it up slowly to check that it's doing what you think.



```
for r in *.fastq
do
    echo $r
done
```

This loop looks for all (\*) files which end with ".fastq". The for loop then executes a sequence of commands for each file name that it finds. In the first iteration its "MT1\_1.fastq", then "MT1\_2.fastq" and so on... In each iteration, we assigned each filename that it found to a variable called "r".

```
for r in *.fastq
```

Then, to check we got what we expected, we printed what the variable "r" represented back to the terminal. Because we want to use the variable ("r") we created we need to use dollar (\$) symbol.

```
echo $r
```

Now, if we left things as they are, we would be running the commands twice for each sample. This is because we have two FASTQ files for each sample i.e. "\_1.fastq" and "\_2.fastq". Let's change our loop so that we only get the "\_1.fastq" files.



```
for r1 in *_1.fastq
do
    echo $r1
done
```

Great! Now, the only problem here is that we're going to want to use both the "\_1.fastq" and the "\_2.fastq" files in our mapping. We can get around this by removing the "\_1.fastq" from the filename to give us our sample name.

```
sample=${r1/_1.fastq/}
```

This will replace the "\_1.fastq" at the end of the filename we stored as "r1" with nothing.

We've added a little descriptive message so that when we run our loop we know which iteration it's on and what it's doing. Let's try adding our HISAT2 mapping command.

*Note: we assume that the HISAT2 index has already been generated as that's a command you'll only need to run once.*



```
for r1 in *_1.fastq
do
    sample=${r1/_1.fastq/}
    echo "Processing sample: "$sample

    echo "Mapping sample: "$sample
    hisat2 -max-intronlen 10000 -x PccAS_v3_hisat2.idx \
        -1 $sample"_1.fastq" -2 $sample"_2.fastq" -S $sample".sam"
done
```

Notice that because we're using a filename which starts with our variable, but ends with a set phrase, we need to write the phrase in double quotes.

`$sample"_1.fastq"`

Now let's add in our samtools commands.



```
for r1 in *_1.fastq
do
    sample=${r1/_1.fastq/}
    echo "Processing sample: "$sample

    echo "Mapping sample: "$sample
    hisat2 -max-intronlen 10000 -x PccAS_v3_hisat2.idx \
        -1 $sample"_1.fastq" -2 $sample"_2.fastq" -S $sample".sam"

    echo "Converting SAM to BAM: "$sample
    samtools view -b -o $sample".bam" $sample".sam"

    echo "Sorting BAM: "$sample
    samtools sort -o $sample"_sorted.bam" $sample".bam"

    echo "Indexing BAM: "$sample
    samtools index $sample"_sorted.bam"
done
```

Finally, we don't really want to keep intermediate SAM and unsorted BAM files if we don't have to. They just take up precious space. So, let's make our samtools command a one-liner, passing the stdout from one command to another.



```
for r1 in *_1.fastq
do
    sample=${r1/_1.fastq/}
    echo "Processing sample: "$sample
    hisat2 -max-intronlen 10000 -x PccAS_v3_hisat2.idx \
    -1 $sample"_1.fastq" -2 $sample"_2.fastq" \
    | samtools view -b - \
    | samtools sort -o $sample"_sorted.bam" - \
    && samtools index $sample"_sorted.bam"
done
```

You could also have used this approach for transcript quantification with Kallisto, assuming you had already generated the Kallisto index.



```
for r1 in *_1.fastq
do
    echo $r1
    sample=${r1/_1.fastq/}
    echo "Quantifying transcripts for sample: "$sample
    kallisto quant -i PccAS_v3_kallisto -o $sample -b 100 \
    $sample'_1.fastq' $sample'_2.fastq'
done
```