

# Error Reduction in the Tuning of Six Hole's Ocarina

Fernando Pujaico Rivera

## Abstract

In this paper we propose an optimal method for tuning a six hole's ocarina, this optimization will be subordinated by the minimization of  $\|\hat{\mathbf{f}}^2 - \mathbf{f}^2\|^2$ , the square norm of square difference between the desired and obtained frequency. The results show that it is not possible tuning a John Taylor style six hole's ocarina with an equal temperament scale, but the method proposed here obtain an optimal approximation with heterogeneous errors less than 43.697% of a semitone.

## 1 Introduction

The fingering style of the pendant-type ocarina was developed around 1964 by the English mathematician John Taylor [Met+85, pp. 79] [Soc01, pp. 10].

## 2 Fingering chart in 6 hole's ocarina

The Fig. 1 represents the hole distribution of the ocarina used in this work, where  $S_0$  represent the sound hole (voicing) and the holes  $S_1, S_2, S_3, \dots$  and  $S_6$  represent the tone-holes. The fingering chart used in this

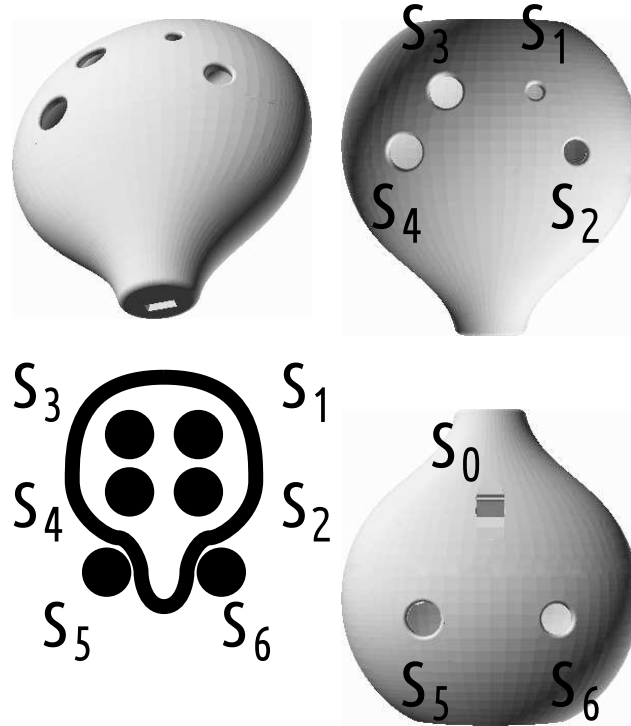


Figure 1: View of 6 hole ocarina.

ocarina model is based in the 4 holes fingering style proposed by John Taylor [Met+85, pp. 79, 146]. In the Table 1 we can see the fingering chart that indicates the configuration of open or close holes to produce the

tones from  $C$  until  $D$  (a higher octave ), where a value  $S_i = 1$  represents an open hole and the value  $S_i = 0$  a closed hole.

Note	$S_1$	$S_2$	$S_3$	$S_4$	$S_5$	$S_6$
$C$	0	0	0	0	0	0
$C\#$	0	0	0	0	0	1
$D$	1	0	0	0	0	0
$D\#$	1	0	0	0	0	1
$E$	0	1	0	0	0	0
$F$	1	1	0	0	0	0
$F\#$	0	0	1	0	0	0
$G$	1	0	1	0	0	0
$G\#$	0	1	1	0	0	0
$A$	1	1	1	0	0	0
$A\#$	1	0	1	1	0	0
$B$	0	1	1	1	0	0
$C$	1	1	1	1	0	0
$C\#$	0	1	1	1	1	0
$D$	1	1	1	1	1	0

Table 1: Fingering chart

### 3 Math and music

In this paper we analyze a tuning method with 12 tone equal temperament; so that, the frequency interval between any pair of adjacent notes has the same ratio  $\rho = \sqrt[12]{2}$ . The Table 2 shows how are distributed the musical notes from tone  $C$  until  $D$  (a higher octave ), where  $f_0$  represent the frequency of  $C$  note and the next notes follow the progression  $\hat{f}_i = \rho^i f_0$  with  $i$  representing the separation degree with  $C$ .

$\hat{f}_0$	$\hat{f}_1$	$\hat{f}_2$	$\hat{f}_3$	$\hat{f}_4$	$\hat{f}_5$	$\hat{f}_6$	$\hat{f}_7$
$C$	$C\#$	$D$	$D\#$	$E$	$F$	$F\#$	$G$
$f_0$	$\rho^1 f_0$	$\rho^2 f_0$	$\rho^3 f_0$	$\rho^4 f_0$	$\rho^5 f_0$	$\rho^6 f_0$	$\rho^7 f_0$
$\hat{f}_8$	$\hat{f}_9$	$\hat{f}_{10}$	$\hat{f}_{11}$	$\hat{f}_{12}$	$\hat{f}_{13}$	$\hat{f}_{14}$	
$G\#$	$A$	$A\#$	$B$	$C$	$C\#$	$D$	
$\rho^8 f_0$	$\rho^9 f_0$	$\rho^{10} f_0$	$\rho^{11} f_0$	$\rho^{12} f_0$	$\rho^{13} f_0$	$\rho^{14} f_0$	

Table 2: Musical notes

## 4 Math and ocarina

### 4.1 Analyzing a whistle

We can think of an ocarina as a whistle with tone-holes in its resonant cavity, so that when we open and close some of these holes we can modify the tone of generated sound. Thus to understand the math of an ocarina first we should to know the science behind the whistles or more formally a Helmholtz resonant cavity [Cor11] (see Fig. 2) excited by a jet-edge-resonator system (see Fig. 3) [Gib13, pp. 3] [NWS53, pp. 138]. In

this sense the Eq. 1

$$f_0 = \frac{c}{2\pi} \sqrt{\frac{A_0}{l_0 V}} \quad (1)$$

shows the way of to calculate the frequency  $f_0$  of a theoretic<sup>1</sup> whistle [Gib13, pp. 3] [Kob+09, pp. 5] [Oka+19, pp. 265] in relation with the constants  $\pi$  and  $c$  (the velocity of sound), and the variables:  $V$  the volume of its resonant cavity,  $A_0$  is its cross-section area and  $l_0$  the effective length of the neck in the resonator.

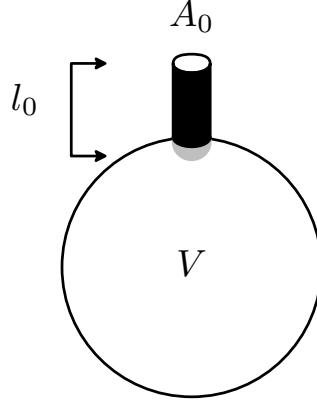


Figure 2: whistle.

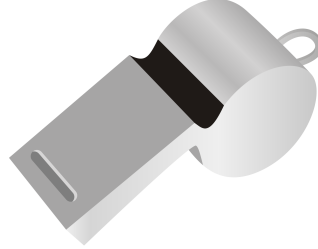


Figure 3: whistle.

## 4.2 Analyzing an ocarina

The fundamental resonant frequency  $f$  of an ocarina, that have at least one circular tone-hole in addition to the sound hole (see Fig. 4), can be represented like a function of the total tone-holes area  $A$ .

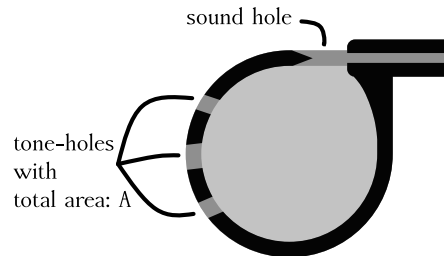


Figure 4: Theoretic ocarina

In the article “La Ocarina de Zanahoria a Carrot Ocarina” [MP10] were analyzed data about of the increment of resonant frequency  $f$  in relation to the augment of area  $A$ , showing that in that study case the

<sup>1</sup>A sphere-like resonant cavity and the open area of the neck is much less than the total surface area of the resonant cavity. .

relationships between the variables can be approximated with a line

$$f = c_1 + c_2 A. \quad (2)$$

where  $c_1 = 595.859069$ ,  $c_2 = 4.324273$  and a mean square error  $MSE = 8051.1$ . The Fig. 5 show the linear curve in relation to the collected data.

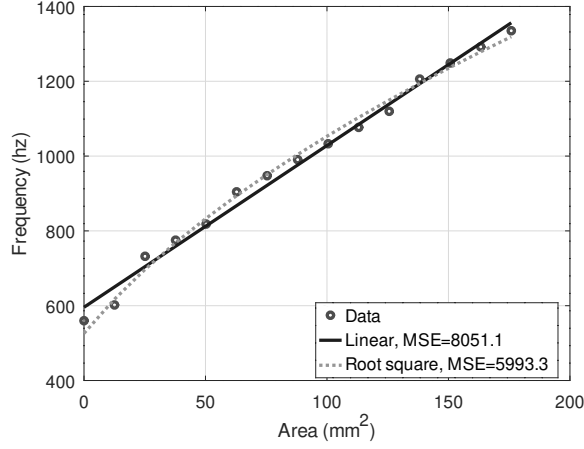


Figure 5: Comparing models.

By other side, if we follow the equation proposed by Bart Hopkin in its book “Air Columns and Toneholes” [Cab02, pp. 44] [Hop99], we can fit the data of Fig. 5 with a root square function

$$f = d_1 \sqrt{d_2 + A}, \quad (3)$$

where  $d_1 = 91.215856$ ,  $d_2 = 33.262474$  and a mean square error  $MSE = 5993.3$ . We must highlight that  $d_2$  represents the area of sound hole.

This last model fit better the data, also the equation proposed by Hopkin keep coherence with the Helmholtz resonator equation (see Eq. 1). In the next section we use the model proposed by Hopkin to obtain a optimal tuning in John Taylor style six hole’s ocarina.

### 4.3 Resonance frequency in ocarinas

If we assume the equation 4 proposed by Bart Hopkin [Cab02, pp. 44] [Hop99],

$$f = \frac{c}{2\pi} \sqrt{\frac{\frac{A_0 S_0}{l_0} + \frac{A_1 S_1}{l_1} + \frac{A_2 S_2}{l_2} + \dots}{V}} \quad (4)$$

as a good approximation to estimate the fundamental frequency  $f$  of globular resonators that have at least one circular pitch hole in addition to the sound hole (a.k.a. an ocarina). in which  $A_i$  and  $l_i$  are the area and the effective length of the  $S_i$  tone-hole, so that the variable  $S_i$  has only two values  $\{0, 1\}$  according the Table 1, additionally  $S_0 = 1$  and represent the sound hole, being  $A_0$  and  $l_0$  their corresponding variables.

#### 4.3.1 Reordering the resonance equation

so that  $h_i \propto \frac{A_i}{l_i}$

$$h_i = \left( \frac{c^2}{4\pi^2 V} \right) \left( \frac{A_i}{l_i} \right) \quad (5)$$

$$f^2 = \sum_{i=0}^6 S_i h_i \quad (6)$$

Corollary 4.1

$$f_0^2 = h_0 \quad (7)$$

## 5 Problem Statement

**Definition 5.1 (Square value of the desired frequencies)** *Known the frequency relation between the notes showed in the Table 2, we define the variable  $\hat{z}_i \equiv \hat{f}_i^2 \equiv (\rho^i f_0)^2$ , so that the column vector  $\hat{\mathbf{z}} = [\hat{z}_1, \hat{z}_2, \dots, \hat{z}_{14}]^T$  that contain the square value of the desired frequencies is represented as in the Eq. 8.*

$$\hat{\mathbf{z}} \equiv \mathbf{P} f_0^2 \quad (8)$$

where  $\mathbf{P} = [\rho^2, \rho^4, \rho^6, \rho^8, \dots, \rho^{28}]^T$ .

**Definition 5.2 (Square value of the generated frequencies)**

$$\mathbf{z}(\mathbf{h}) = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} h_0 + \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} h_1 \\ h_2 \\ h_3 \\ h_4 \\ h_5 \\ h_6 \end{bmatrix} \quad (9)$$

$$\mathbf{z}(\mathbf{h}) = \mathbf{L} h_0 + \mathbf{A} \mathbf{h} \quad (10)$$

where  $\mathbf{h} = [h_1, h_2, \dots, h_6]^T$ .

### 5.1 minimizacion de errores

$$e^2 = \|\hat{\mathbf{z}} - \mathbf{z}(\mathbf{h})\|^2 \quad (11)$$

$$\mathbf{h}^* = (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T (\mathbf{P} - \mathbf{L}) h_0 \quad (12)$$

$$\mathbf{z}^* = \left( \mathbf{L} + \mathbf{A} (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T (\mathbf{P} - \mathbf{L}) \right) f_0^2 \quad (13)$$

## 6 Resultados

### 6.1 Error analisis 1

$$E_1 = 100 \frac{f_b - f_a}{f_a} \% \quad (14)$$

### 6.1.1 The biggest accepted tuning error $E_1$

To obtain the percent value of the biggest accepted tuning error, It is necessary to use the fact that between any two consecutive notes with frequencies  $f_a$  and  $\rho f_a$ , exist a proportion of  $\rho \approx 1.059463$ , this mean that the next consecutive note is ever a  $+5.9463\%$  that the last note. So that if we set as maximum error, the midway position in geometric proportion in between notes, then the biggest accepted positive tuning error in a note to avoid a recognition mistake, it is equal to  $+2.9302\% \equiv 100(\sqrt{\rho}f_a - f_a)/f_a \%$ . By other side the biggest accepted negative tuning error in a note, to avoid a recognition mistake, it is equal to  $-2.8468\% \equiv 100(f_a/\sqrt{\rho} - f_a)/f_a \%$ .

## 6.2 Error analisis 2

O error porcentual entre duas frequências  $f_a$  e  $f_b$  em relação a um semitom ( $\rho$ ) é iguala a  $E_2$ ,

$$E_2 = 100 \frac{\ln(f_b) - \ln(f_a)}{\ln(\rho)} \%. \quad (15)$$

### 6.2.1 The biggest accepted tuning error $E_2$

To obtain the percent value of the biggest accepted tuning error  $E_2$ , we use a similar critéria to seen in the Sec. 6.1.1, so that if we set as maximum error, the midway position in geometric proportion in between notes (ex:  $C$  and  $C\#$ ), then the biggest accepted positive tuning error in a note to avoid a recognition mistake, it is equal to  $+50\% \equiv 100 \frac{\ln(\sqrt{\rho}f_a) - \ln(f_a)}{\ln(\rho)} \%$ . By other side the biggest accepted negative tuning error in a note, to avoid a recognition mistake, it is equal to  $-50\% \equiv 100 \frac{\ln(f_a/\sqrt{\rho}) - \ln(f_a)}{\ln(\rho)} \%$ .

## 6.3 Ordenando dados

En la Tabla 3

Note	Temperada (hz)	Optima (hz)	$E_1$	$E_2$
$C$	$1.0000 f_0$	$1.0000 f_0$	$0.000 \%$	$0.000 \%$
$C\#$	$1.0595 f_0$	$1.0591 f_0$	$-0.030 \%$	$-0.524 \%$
$D$	$1.1225 f_0$	$1.1371 f_0$	$1.308 \%$	$22.506 \%$
$D\#$	$1.1892 f_0$	$1.1895 f_0$	$0.024 \%$	$0.415 \%$
$E$	$1.2599 f_0$	$1.2574 f_0$	$-0.204 \%$	$-3.528 \%$
$F$	$1.3348 f_0$	$1.3690 f_0$	$2.556 \%$	$43.697 \%$
$F\#$	$1.4142 f_0$	$1.4005 f_0$	$-0.973 \%$	$-16.926 \%$
$G$	$1.4983 f_0$	$1.5015 f_0$	$0.210 \%$	$3.639 \%$
$G\#$	$1.5874 f_0$	$1.5944 f_0$	$0.443 \%$	$7.652 \%$
$A$	$1.6818 f_0$	$1.6838 f_0$	$0.122 \%$	$2.108 \%$
$A\#$	$1.7818 f_0$	$1.8138 f_0$	$1.796 \%$	$30.819 \%$
$B$	$1.8877 f_0$	$1.8915 f_0$	$0.198 \%$	$3.421 \%$
$C$	$2.0000 f_0$	$1.9674 f_0$	$-1.628 \%$	$-28.417 \%$
$C\#$	$2.1189 f_0$	$2.1490 f_0$	$1.419 \%$	$24.401 \%$
$D$	$2.2449 f_0$	$2.2161 f_0$	$-1.282 \%$	$-22.333 \%$

Table 3: Table taken from sss

Considerando um LA de 440 Hz

## 7 Conclusion

The conclusion goes here.

Note	Temperada (hz)	Optima (hz)	$E_2$
$C$	523.2511	523.2511	0.000 %
$C\#$	554.3653	554.1976	-0.524 %
$D$	587.3295	595.0147	22.506 %
$E$	659.2551	657.9130	-3.528 %
$G$	783.9909	785.6404	3.639 %
$B$	987.7666	989.7206	3.421 %
$D$	1174.6591	1159.6030	-22.333 %

Table 4: Table taken from sss

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