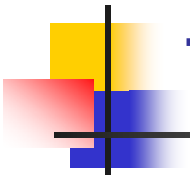


7. Quicksort

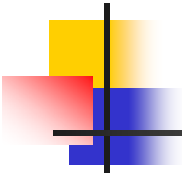
Hsu, Lih-Hsing

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7.1 Description of quicksort

- *Divide*
- *Conquer*
- *Combine*

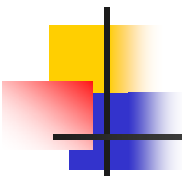


QUICKSORT(A, p, r)

```

1  if  $p < r$ 
2  then  $q \leftarrow PARTITION(A, p, r)$ 
3  QUICKSORT( $A, p, q$ )
4  QUICKSORT( $A, q+1, r$ )

```

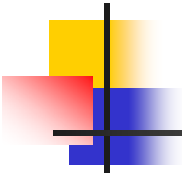


Partition(A, p, r)

```

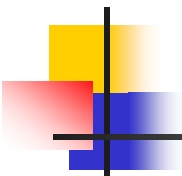
1   $x \leftarrow A[r]$ 
2   $i \leftarrow p - 1$ 
3  for  $j \leftarrow p$  to  $r - 1$ 
4      do if  $A[j] \leq x$ 
5          then  $i \leftarrow i + 1$ 
6              exchange  $A[i] \leftrightarrow A[j]$ 
7  exchange  $A[i + 1] \leftrightarrow A[r]$ 
8  return  $i + 1$ 

```

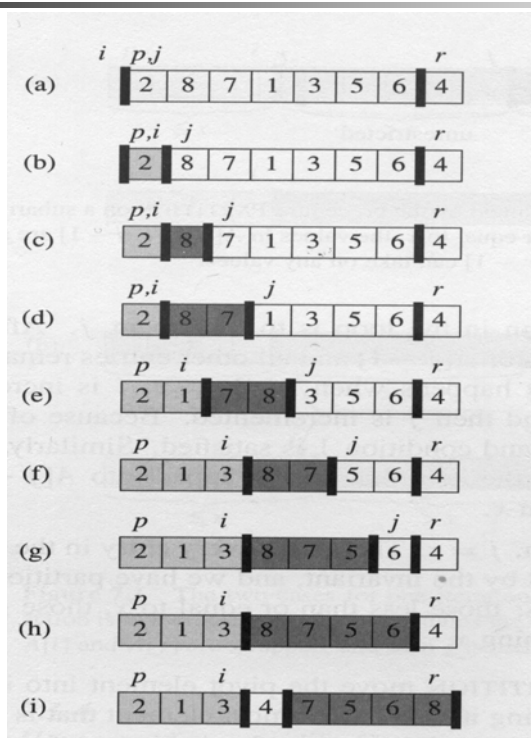


At the beginning of each iteration of the loop of lines 3-6, for any array index k ,

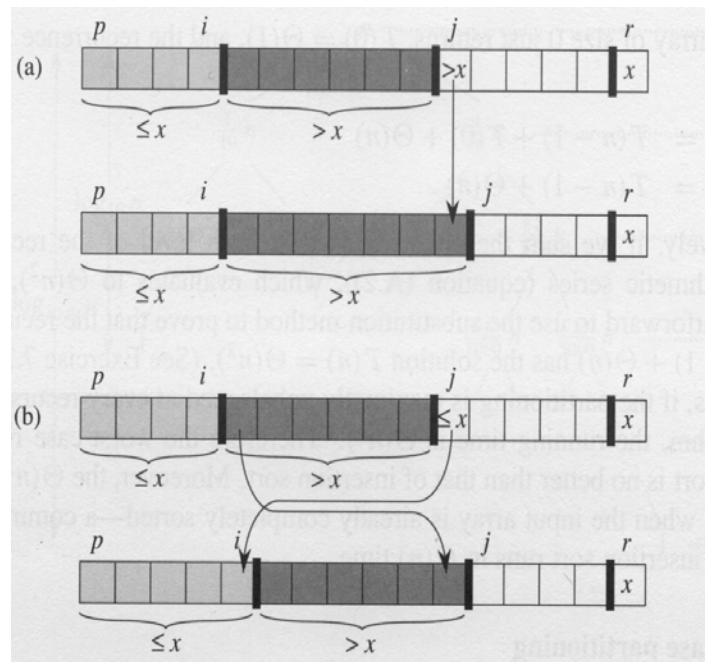
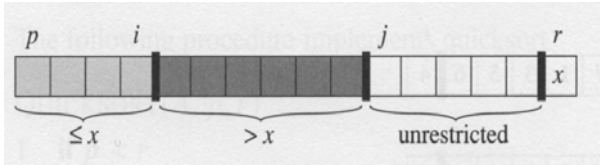
1. if $p \leq k \leq i$, then $A[k] \leq x$.
2. if $i + 1 \leq k \leq j - 1$, then $A[k] > x$.
3. if $k = r$, then $A[k] = x$.



The operation of *Partition* on a sample array



Two cases for one iteration of procedure *Partition*



Complexity:

Partition on $A[p \dots r]$ is $\Theta(n)$
where $n = r - p + 1$

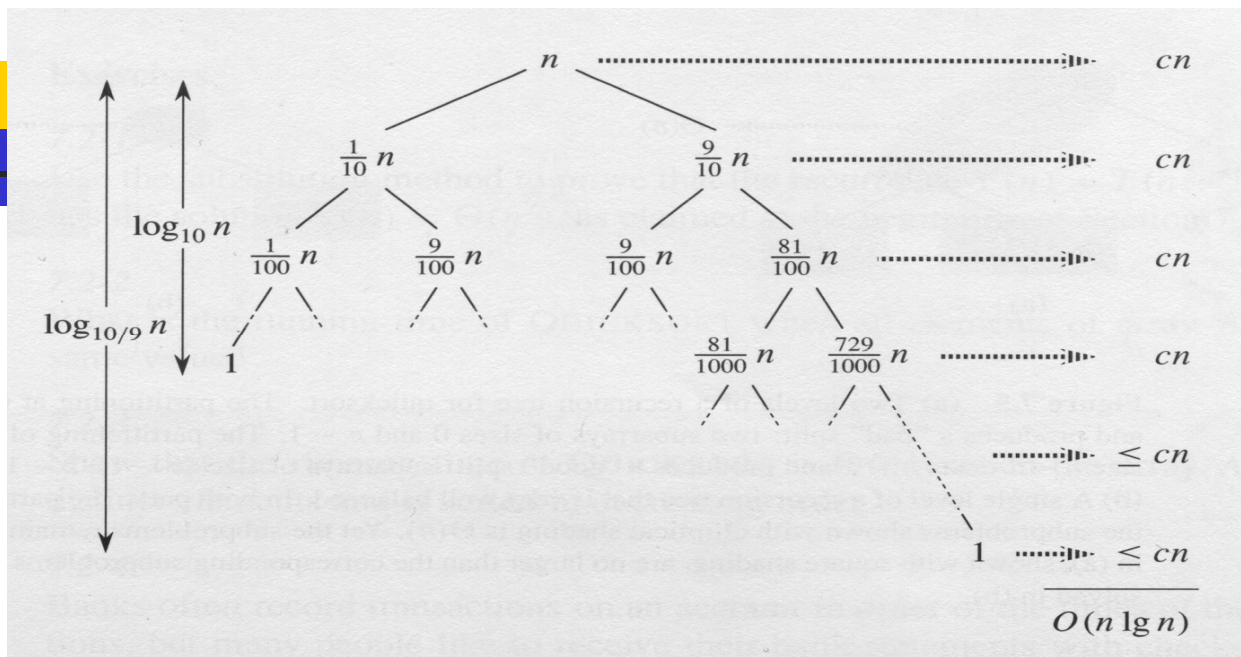
7.2 Performance of quicksort

Worst-case partition:

$$\begin{aligned} T(n) &= T(n-1) + \Theta(n) \\ &= \sum_{k=1}^n \Theta(k) = \Theta\left(\sum_{k=1}^n k\right) = \Theta(n^2) \end{aligned}$$

Best-case partition:

$$\begin{aligned} T(n) &= 2T(n/2) + \Theta(n) \\ \Rightarrow T(n) &= \Theta(n \log n) \end{aligned}$$

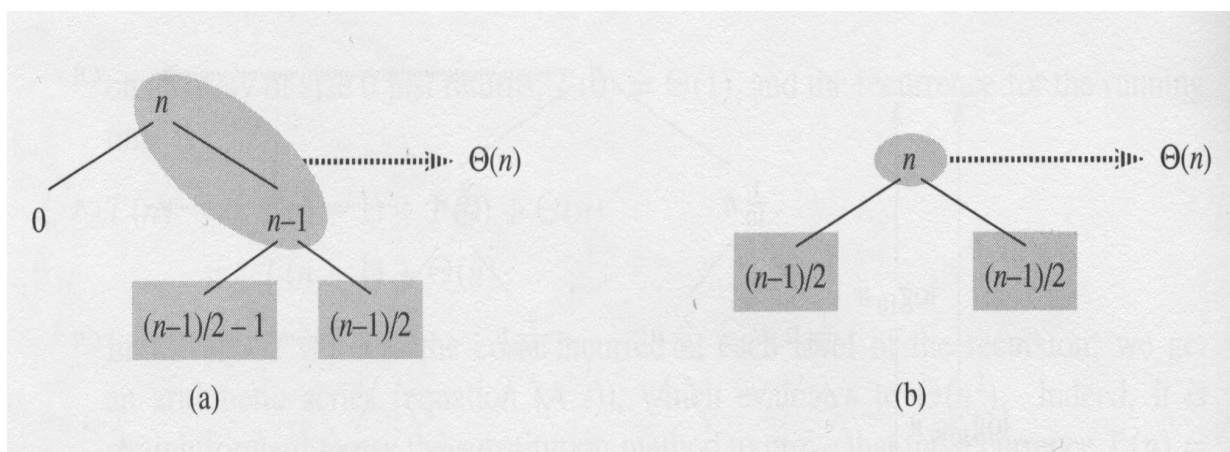


Balanced partition $T(n) = \Theta(n \log n)$

$$T(n) = T(9n/10) + T(n/10) + \Theta(n)$$

$$\Rightarrow T(n) = \Theta(n \log n)$$

Intuition for the average case $T(n) = \Theta(n \log n)$



7.3 Randomized versions of partition

RANDOMIZED_PARTITION(A, p, r)

- 1 $i \leftarrow \text{RANDOM}(p, r)$
- 2 exchange $A[p] \leftrightarrow A[i]$
- 3 **return** PARTITION(A, p, r)

RANDOMIZED_QUICKSORT(A, p, r)

- 1 **if** $p < r$
- 2 **then**
 - $q \leftarrow \text{RANDOMIZED_PARTITION}(A, p, r)$
- 3 RANDOMIZED_QUICKSORT(A, p, q)
- 4 RANDOMIZED_QUICKSORT($A, q+1, r$)

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7.4 Analysis of quicksort

7.4.1 Worst-case analysis

$$T(n) = \max_{0 \leq q \leq n-1} (T(q) + T(n - q - 1)) + \Theta(n)$$

guess $T(n) \leq cn^2$

$$T(n) \leq \max_{0 \leq q \leq n-1} (cq^2 + c(n - q - 1)^2) + \Theta(n)$$

$$= c \max_{0 \leq q \leq n-1} (q^2 + (n - q - 1)^2) + \Theta(n)$$

$$\leq cn^2 - 2c(n - 1) + \Theta(n)$$

$$\leq cn^2$$

pick the constant c large enough so that the $2c(n - 1)$ term dominates the $\Theta(n)$ term.

$$\Rightarrow T(n) = \Theta(n^2)$$

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7.4-2

Show that $q^2 + (n-q)^2$ achieves a maximum over

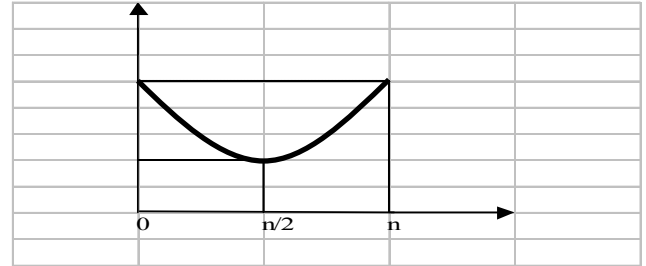
$q = 1, 2, \dots, n-1$ when $q = 1$ or $q = n-1$

ans: 先令 $f(q) = q^2 + (n-q)^2$

一次微分: $f'(q) = 2q - 2(n-q) = 4q - 2n$

令 $f'(q) = 0 \Rightarrow 4q - 2n = 0 \Rightarrow q = \frac{n}{2}$ (極小值)

二次微分: $f''(q) = 4$ (開口向上)



因為 $1 \leq q \leq n-1$ 所以 $f(1) = f(n-1) = 1 + (n-1)^2$ (相對極大值)

7.4.2 Expected running time

- Running time and comparisons
- Lemma 7.1
 - Let X be the number of comparisons performed in line 4 of *partition* over the entire execution of *Quicksort* on an n -element array. Then the running time of *Quicksort* is $O(n+X)$

we define

$$X_{ij} = I_{\{z_i \text{ is compared to } z_j\}},$$

$$X = \sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}.$$

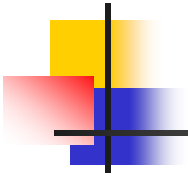
$$E[X] = E\left[\sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}\right]$$

$$= \sum_{i=1}^{n-1} \sum_{j=i+1}^n E[X_{ij}]$$

$$= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \Pr\{z_i \text{ is compared to } z_j\}$$

$$\begin{aligned} \Pr\{z_i \text{ is compared to } z_j\} &= \Pr\{z_i \text{ or } z_j \text{ is first pivot chosen from } Z_{ij}\} \\ &= \Pr\{z_i \text{ is first pivot chosen from } Z_{ij}\} \\ &\quad + \Pr\{z_j \text{ is first pivot chosen from } Z_{ij}\} \\ &= \frac{1}{j-i+1} + \frac{1}{j-i+1} \\ &= \frac{2}{j-i+1} \end{aligned}$$

$$\therefore E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1}.$$

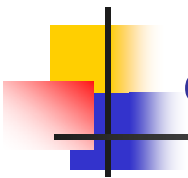


$$\begin{aligned}
 E[X] &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1} \\
 &= \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{2}{k+1} \\
 &< \sum_{i=1}^{n-1} \sum_{k=1}^n \frac{2}{k} \\
 &= \sum_{i=1}^{n-1} O(\lg n) \\
 &= O(n \lg n)
 \end{aligned}$$

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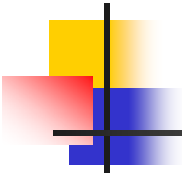
another analysis

$$\begin{aligned}
 T(n) &= \\
 \frac{1}{n} (T(1) + T(n-1) + \sum_{q=1}^{n-1} (T(q) + T(n-q))) + \Theta(n) \\
 \left. \begin{aligned} T(1) &= 1 \\ T(n-1) &= O(n^2) \end{aligned} \right\} \Rightarrow \frac{1}{n} (T(1) + T(n-1)) = O(n)
 \end{aligned}$$

$$\begin{aligned}
 T(n) &= \\
 \frac{1}{n} (T(1) + T(n-1) + \sum_{q=1}^{n-1} (T(q) + T(n-q))) + \Theta(n) \\
 &= \frac{1}{n} \left(\sum_{q=1}^{n-1} T(q) + T(n-q) \right) + \Theta(n) \\
 &= \frac{2}{n} \left(\sum_{k=1}^{n-1} T(k) \right) + \Theta(n)
 \end{aligned}$$

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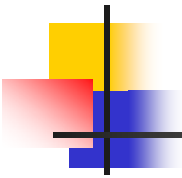
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guess $T(n) \leq an \log n + b$

$$\begin{aligned} T(n) &\leq \frac{2}{n} \left(\sum_{k=1}^{n-1} ak \log k + b \right) + \Theta(n) \\ &= \frac{2a}{n} \sum_{k=1}^{n-1} k \log k + \frac{2b}{n}(n-1) + \Theta(n) \end{aligned}$$

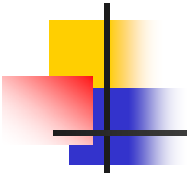
We will prove $\sum_{k=1}^{n-1} k \log k \leq \frac{n^2 \log n}{2} - \frac{n^2}{8}$



$$\begin{aligned} T(n) &\leq \frac{2a}{n} \left(\frac{1}{2} n^2 \log n - \frac{n^2}{8} \right) + \frac{2b(n-1)}{n} + \Theta(n) \\ &\leq an \log n - \frac{an}{4} + 2b + \Theta(n) \\ &= an \log n + b + \left(\Theta(n) + b - \frac{an}{4} \right) \\ &\leq an \log n + b \end{aligned}$$

Choose a large enough so that $\frac{an}{4} \geq \Theta(n) + b$.

$$\Rightarrow T(n) = O(n \log n).$$



$$\begin{aligned}
 \sum_{k=1}^{n-1} k \log k &= \sum_{k=1}^{\lceil n/2 \rceil - 1} k \log k + \sum_{k=\lceil n/2 \rceil}^{n-1} k \log k \\
 &\leq (\log n - 1) \sum_{k=1}^{\lceil n/2 \rceil - 1} k + \log n \sum_{k=\lceil n/2 \rceil}^{n-1} k \\
 &= \log n \sum_{k=1}^{n-1} k - \sum_{k=1}^{\lceil n/2 \rceil - 1} k \\
 &\leq \frac{n(n-1) \log n}{2} - \frac{1}{2} \left(\frac{n}{2} - 1 \right) \frac{n}{2} \\
 &\leq \frac{n^2 \log n}{2} - \frac{n^2}{8} \\
 &\text{if } n \geq 2.
 \end{aligned}$$

Another approach: Using

$$\int x \ln x dx = \frac{1}{2} x^2 \ln x - \frac{1}{4} x^2$$