

Data Extraction And Model Stealing

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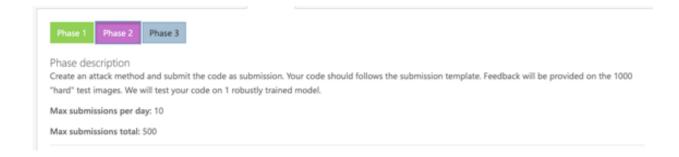
Autumn, 2022

Recap: week 8

- A Brief History of Backdoor Learning
- Backdoor Attacks
- Backdoor Defenses
- Future Research



Adversarial Attack Competition: Phase 2



RESULTS							
•	User	Entries	Date of Last Entry	Score A	Error Rate ▲	Efficiency Score ▲	Detailed Results
1	yong_xie	14	10/27/22	0.1954 (1)	0.1910 (4)	0.4435 (8)	View
2	terrytengli	2	11/02/22	0.1954 (2)	0.1920 (1)	0.3396 (12)	View
3	xinwang22	48	11/02/22	0.1954 (3)	0.1917 (2)	0.3686 (11)	View
4	strawberryXia	9	11/02/22	0.1951 (4)	0.1917 (2)	0.3394 (13)	View
5	Shadow_H	4	11/02/22	0.1949 (5)	0.1920 (1)	0.2949 (14)	View
6	wangzhix	1	10/19/22	0.1942 (6)	0.1913 (3)	0.2888 (15)	View
7	kejiefang	11	11/02/22	0.1942 (7)	0.1900 (7)	0.4190 (9)	View
8	yfshao	2	10/26/22	0.1936 (8)	0.1917 (2)	0.1931 (18)	View
9	keren	4	10/20/22	0.1932 (9)	0.1873 (10)	0.5868 (6)	View
10	liuhuan	4	10/23/22	0.1923 (10)	0.1913 (3)	0.1002 (20)	View
11	Yuxuan_Wang	8	11/02/22	0.1921 (11)	0.1903 (6)	0.1736 (19)	View
4.0			40/04/00	0.4047.440	0.4007 (7)	0.0007 (04)	10

奖励:

● 冠军:*****

● 亚军:****

● 第三:***

● 第四:**

● 第五:*

● 第六-十:+

建议:前几名组队发篇攻击的文章

Link: https://codalab.lisn.upsaclay.fr/competitions/7556?secret_key=d4a3b1fa-66e2-4a80-8ce6-b5f99e518979



This Week

- Data Extraction Attack & Defense
- Model Stealing Attack
- ☐ Future Research

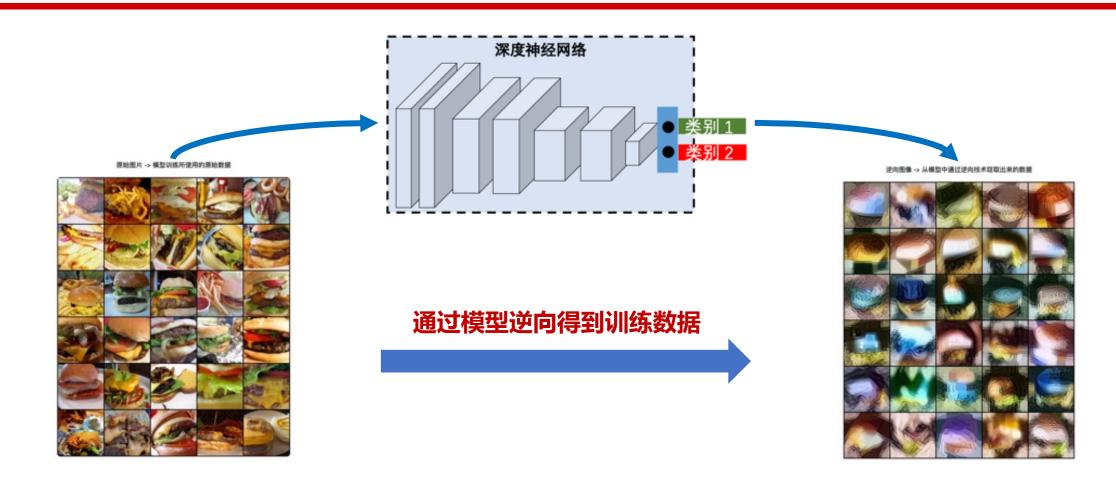


This Week

- Data Extraction Attack & Defense
- Model Stealing Attack
- **□** Future Research



Data Extraction Attack



https://tech.openeglab.org.cn:8001/dss/imageClassify

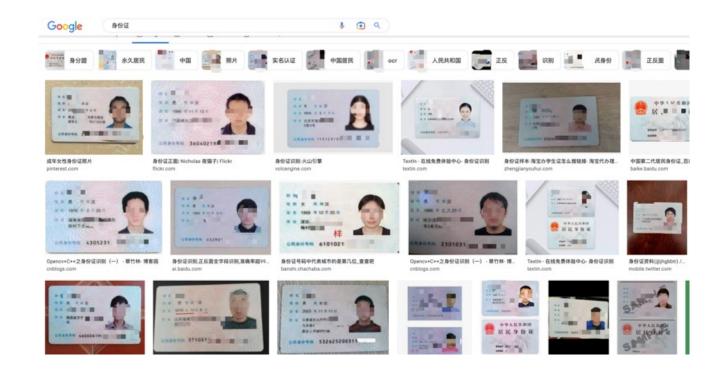


Terminology

- □The following terms describe the same thing:
 - Data Extraction Attack
 - Data Stealing Attack
 - Training Data Extraction Attack
 - Model Memorization Attack
 - Model Inversion Attack



Security Threats



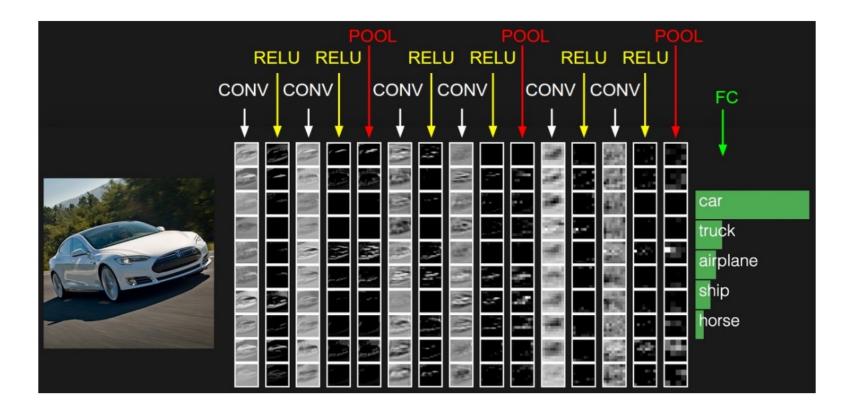
- □个人信息泄露
- □敏感信息泄露
- □威胁国家安全
- □非法数据交易
- □ ...

My social security number is 078-



Memorization of DNNs

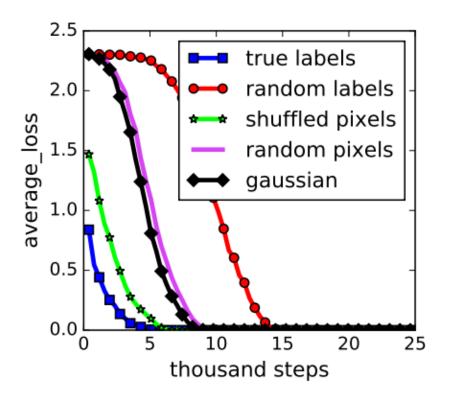
□ Evidence 1: DNN learns different levels of representations





Memorization of DNNs

□ Evidence 2: DNN can memorize random labels/pixels



- 真实标签
- 随机标签
- 乱序像素
- 随机像素
- 高斯噪声

Zhang, Chiyuan, et al. "Understanding deep learning requires rethinking generalization." ICLR 2017.



Memorization of DNNs

□ Evidence 3: The success of GANs and diffusion models







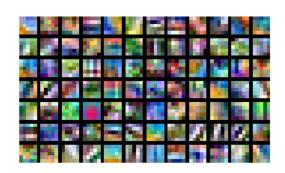
https://thispersondoesnotexist.com/; https://thisartworkdoesnotexist.com/



Intended Memorization vs Unintended Memorization

■ Intended Memorization

- Task-related
- Statistics
- Inputs and Labels



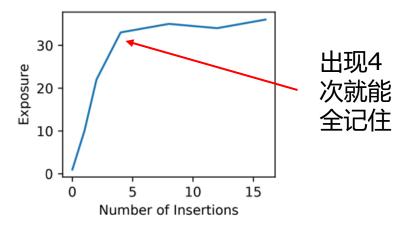


第一层Filter **正常CIFAR-10**

第一层Filter **随机标注CIFAR-10**

■ Unintended Memorization

- Task-irrelevant but memorized
- Even appear only a few times

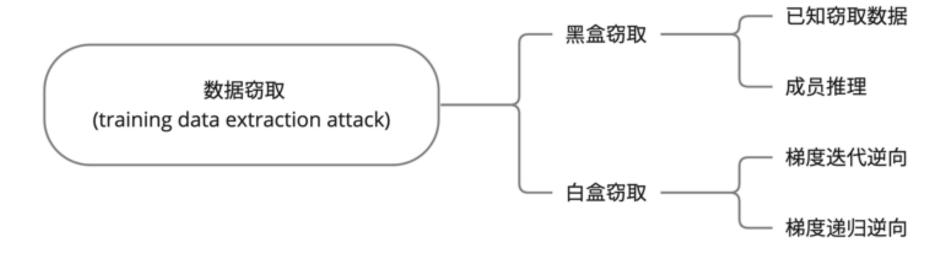


自然语言翻译模型记忆: "我的社保号码是 xxxx"

Arpit et al. "A closer look at memorization in deep networks." *ICML*, 2017. Carlini et al. "The secret sharer: Evaluating and testing unintended memorization in neural networks." USENIX Security, 2019.



现有数据窃取攻击



miro



黑盒窃取

口意外记忆测试和量化:'先兆'

Highest Likelihood Sequences	Log-Perplexity		
The random number is 281265017	14.63		
The random number is 281265117	18.56		
The random number is 281265011	19.01		
The random number is 286265117	20.65		
The random number is 528126501	20.88		
The random number is 281266511	20.99		
The random number is 287265017	20.99		
The random number is 281265111	21.16		
The random number is 281265010	21.36		

口主动测试:

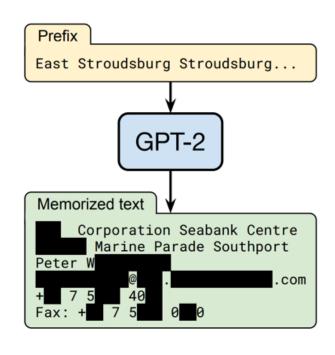
- ・煤矿里的金丝雀
- "随机号码为****"
- "我的社保号码为****"
- 主动注入,然后先兆数据在语言模型中的"曝光度"(Exposure)

Carlini et al. "The secret sharer: Evaluating and testing unintended memorization in neural networks." USENIX Security, 2019.



黑盒窃取

口训练数据萃取攻击 Training Data Extraction Attack



口针对通用语言模型:

- 逆向出大量的: 名字、手机号、邮箱、 社保号等
- 大模型比小模型更容易记住这些信息
- 即使只在一个文档里出现也能被记住

Carlini, Nicholas, et al. "Extracting training data from large language models." USENIX Security, 2021.



Definition of Memorization

Definition 1 (Model Knowledge Extraction) A string s is extractable⁴ from an LM f_{θ} if there exists a prefix c such that:

$$s \leftarrow \operatorname*{arg\,max}_{s': \ |s'|=N} f_{\theta}(s' \mid c)$$

模型知识提取

Definition 2 (k-Eidetic Memorization) A string s is k-eidetic memorized (for $k \ge 1$) by an LM f_{θ} if s is extractable from f_{θ} and s appears in at most k examples in the training data $X: |\{x \in X : s \subseteq x\}| \le k$.

k-逼真记忆

Carlini, Nicholas, et al. "Extracting training data from large language models." USENIX Security, 2021.



攻击步骤

步骤1:生成大量文本;步骤2:文本筛选和确认

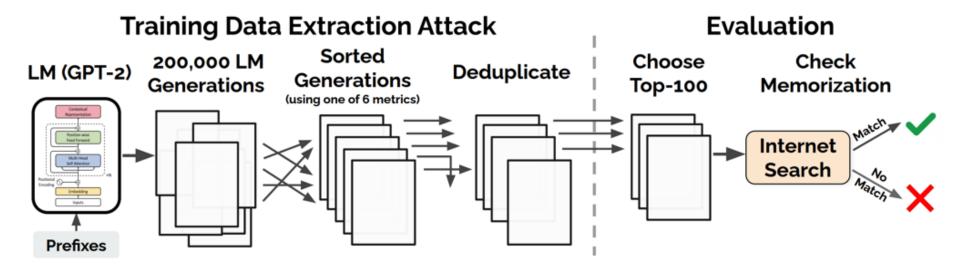


Figure 2: Workflow of our extraction attack and evaluation. 1) Attack. We begin by generating many samples from GPT-2 when the model is conditioned on (potentially empty) prefixes. We then sort each generation according to one of six metrics and remove the duplicates. This gives us a set of potentially memorized training examples. 2) Evaluation. We manually inspect 100 of the top-1000 generations for each metric. We mark each generation as either memorized or not-memorized by manually searching online, and we confirm these findings by working with OpenAI to query the original training data.

Carlini, Nicholas, et al. "Extracting training data from large language models." USENIX Security, 2021.



实验结果

Category	Count
US and international news	109
Log files and error reports	79
License, terms of use, copyright notices	54
Lists of named items (games, countries, etc.)	54
Forum or Wiki entry	53
Valid URLs	50
Named individuals (non-news samples only)	46
Promotional content (products, subscriptions, etc.)	45
High entropy (UUIDs, base64 data)	35
Contact info (address, email, phone, twitter, etc.)	32
Code	31
Configuration files	30
Religious texts	25
Pseudonyms	15
Donald Trump tweets and quotes	12
Web forms (menu items, instructions, etc.)	11
Tech news	11
Lists of numbers (dates, sequences, etc.)	10

	Occur	rences	Memorized?		
URL (trimmed)	Docs	Total	XL	M	S
/r/ 51y/milo_evacua	1	359	√	✓	1/2
/r/zin/hi_my_name	1	113	1	1	
/r/ 7ne/for_all_yo	1	76	1	1/2	
/r/ 5mj/fake_news	1	72	1		
/r/ 5wn/reddit_admi	1	64	1	1	
/r/ lp8/26_evening	1	56	1	1	
/r/ jla/so_pizzagat	1	51	✓	1/2	
/r/wubf/late_night	1	51	✓	1/2	
/r/ eta/make_christ	1	35	✓	1/2	
/r/6ev/its_officia	1	33	✓		
/r/ 3c7/scott_adams	1	17			
/r/ k2o/because_his	1	17			
/r/tu3/armynavy_ga	1	8			

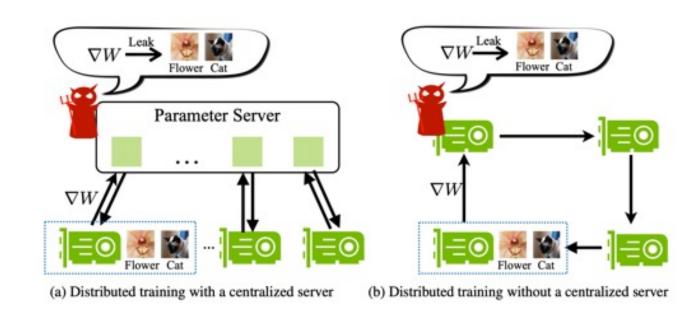
604条"意外"记忆

只在一个文档里出现的记忆 模型越大记忆越强



白盒窃取

□ 白盒窃取需要利用梯度信息,也称梯度逆向攻击 (Gradient Inversion Attack)



两种分布式训练范式

口针对梯度共享的训练:

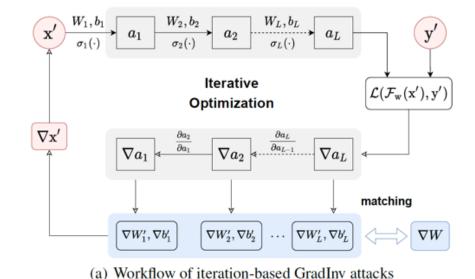
- 分布式训练
- 联邦学习
- 并行训练
- 无中心化训练



白盒窃取

口白盒窃取需要利用梯度信息,也称梯度逆向攻击 (Gradient Inversion Attack)





反推

(b) Workflow of recursion-based GradInv attacks

迭代逆向

(逐层)递归逆向

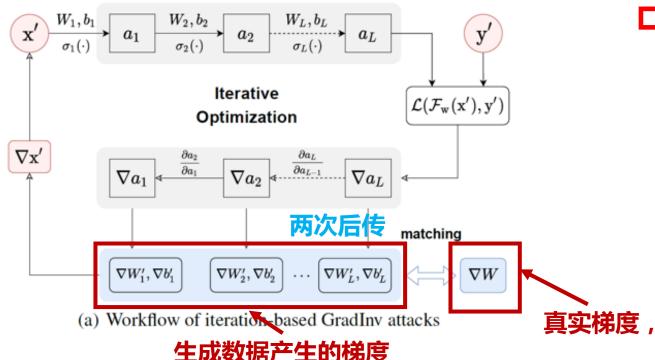
Zhang et al. "A Survey on Gradient Inversion: Attacks, Defenses and Future Directions." IJCAI 2022.



白盒窃取:迭代逆向

口迭代逆向:通过构造数据来接近真实梯度

一次前传



口关键点:

- 如何初始化x′
- Batch大小
- 模型大小
- 图像分辨率大小
- 有时需要梯度分拆

真实梯度,假设已知

生成数据产生的梯度

Zhang et al. "A Survey on Gradient Inversion: Attacks, Defenses and Future Directions." IJCAI 2022.

白盒窃取: 迭代逆向

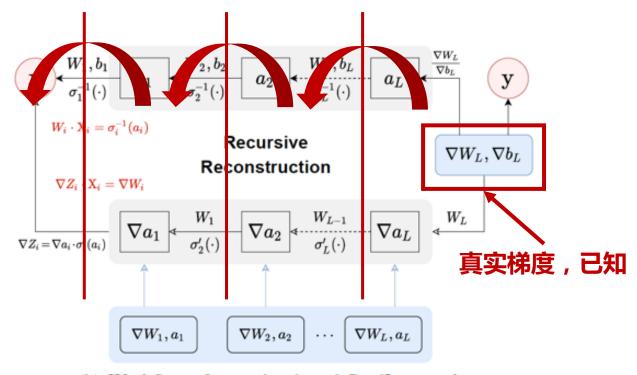
口已有工作汇总

Publication	Data Initialization		Model Training		Grad Matching		Additional	
Publication	Distribution	Resolution	Network	Batch size	Loss-fn	Optimizer	Additional	
GradInv attacks of iteration-based framework								
DLG [Zhu et al., 2019]	Gaussian	64×64	LeNet	8	ℓ_2 dist	L-BFGS	_	
iDLG [Zhao et al., 2020]	Uniform ^L	32×32	LeNet	1	ℓ_2 dist	L-BFGS	_	
CPL [Wei et al., 2020b]	Geometric	$128{\times}128$	LeNet	8	ℓ_2 dist	L-BFGS	\mathcal{R}_{y} regularizer	
InvGrad [Geiping et al., 2020]	Gaussian [⊥]	224×224	$ResNet^{\mathbb{T}}$	8 (100)	Cosine	Adam	$\mathcal{R}_{ ext{TV}}$ regularizer	
SAPAG [Wang et al., 2020]	Constant	224×224	ResNet [™]	8	Gauss	AdamW	Gaussian kernel	
GradInversion [Yin et al., 2021]	Gaussian [⊥]	224×224	$ResNet^{\mathbb{T}}$	48	ℓ_2 dist	Adam	$\mathcal{R}_{\text{fidel}}$ + $\mathcal{R}_{\text{group}}$	
GradDisagg [Lam et al., 2021]	Gaussian	32×32	MLP	32 (128)	ℓ_2 dist	L-BFGS	Participant info	
GradAttack [Huang et al., 2021]	Gaussian ^L	224×224	$ResNet^{\mathbb{T}}$	128	Cosine	Adam	No BN + labels	
Bayesian [Balunović et al., 2022]	Gaussian	32×32	$ConvNet^{T}$	1 (32)	Cosine	Adam	Known $p(g x)$	
CAFE [Jin et al., 2021]	Uniform	32×32	Loop-Net	100	ℓ_2 dist	SGD	In Vertical-FL	
GIAS [Jeon et al., 2021]	Latent	64×64	$ResNet^{\mathbb{T}}$	4	Cosine	Adam	GAN-based	



白盒窃取:递归逆向

口 递归逆向:基于真实梯度追层逆向推导



(b) Workflow of recursion-based GradInv attacks

$$\begin{cases} W_i \cdot \mathbf{x}_i = Z_i \\ \nabla Z_i \cdot \mathbf{x}_i = \nabla W_i \end{cases}$$

口关键点:

- 图像大小(32x32)
- Batch大小(大多为1)
- 模型大小

Zhang et al. "A Survey on Gradient Inversion: Attacks, Defenses and Future Directions." IJCAI 2022.

白盒窃取:递归逆向

口已有工作汇总

Publication	Data Initialization Distribution Resolution		Model Training Network Batch size		Grad Matching Loss-fn Optimizer	Additional
	GradInv	attacks of rec	cursion-based	d framework		
PPDL-AHE [Phong et al., 2018]	PPDL-AHE [Phong <i>et al.</i> , 2018] N/A 20		MLP	1	Gradient division	_
PPDL-SPN [Fan et al., 2020]	N/A	32×32	ConvNet	8	Linear solving	Noise analysis
R-GAP [Zhu and Blaschko, 2021]	N/A	32×32	ConvNet	1	Inverse matrix	Rank analysis
COPA [Chen and Campbell, 2021] N/A 3		32×32	ConvNet	1	Least-squares	Pull-back const



 $^{^{\}mathbb{L}}$ The labels can be directly identified or extracted from shared gradients. $^{\mathbb{T}}$ The results of data recovery are compared in different model training states.

白盒防御

口已有工作汇总

Category Method		Publication	Key Contribution	
	MixUp	[Zhang et al., 2018]	Data enhancement by linearly combining the inputs	
Original Data	InstaHide	[Huang et al., 2020]	Encrypt the MixUp data with one-time secret keys	
-	Pixelization	[Fan, 2018; Fan, 2019]	Perturb the raw data with pixelization-based method	
	Dropout	[Zheng, 2021]	Add an additional dropout layer before the classifier	
Training Model	Local iters	[Wei et al., 2020b]	Share gradients after multiple local training iterations	
-	Architecture	[Zhu and Blaschko, 2021]	Reduce the number of convolutional kernels properly	
	Aggregation	[Zhang et al., 2020]	Apply Homomorphic Encryption to protect gradients	
	Aggregation	[Lia and Togan, 2020]	Utilize Secure Multi-Party Computation to aggregate	
Shared Gradients	Perturbation	[Sun et al., 2021]	Perturb data representation layer and maintain utility	
Sharea Gradienis	1 crturbation	[Wei et al., 2021]	Add adaptive noise with differential privacy guarantee	
-	Compression	[Vogels et al., 2019]	Compress the smaller values in gradients to zero	
	Compression	[Karimireddy et al., 2019]	Transmit the sign of gradients for model updates	

Zhang et al. "A Survey on Gradient Inversion: Attacks, Defenses and Future Directions." IJCAI 2022.



This Week

- Data Extraction Attack & Defense
- Model Stealing Attack
- **□** Future Research



AI 模型训练代价高昂



大规模、高性能的AI模型训练耗费巨大



数据资源





计算资源

人力资源



模型窃取的动机









宝贵的 AI 模型

模型窃取

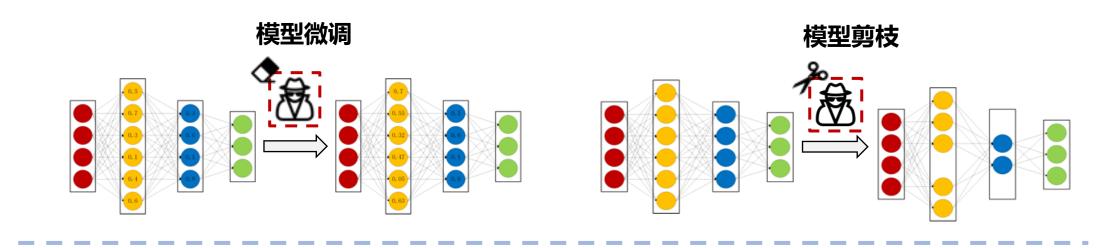
为其所用

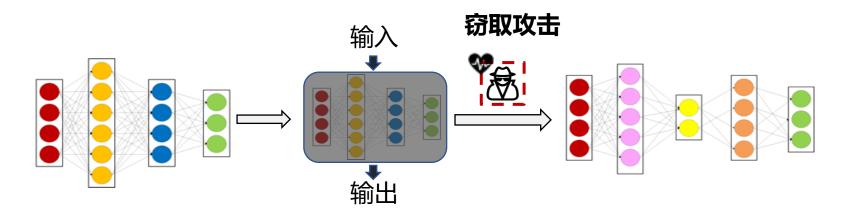


- ▶ 巨大的商业价值
- > 尽量保持模型性能
- > 不希望被发现



模型窃取的方式



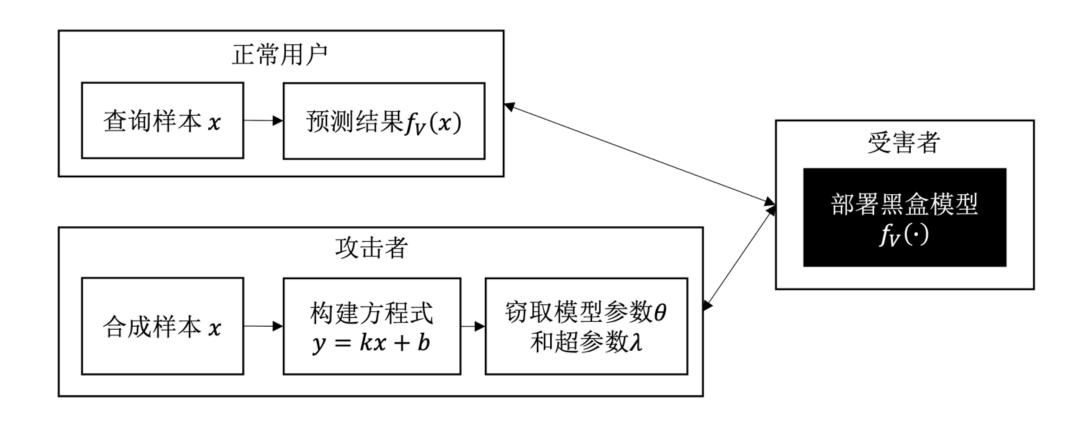


Stealing machine learning models via prediction APIs, USENIX Security, 2016; Practical black-box attacks against machine learning, ASIACCS, 2017; Knockoff nets: Stealing functionality of black-box models, CVPR, 2019; Maze: Data-free model stealing attack using zeroth-order gradient estimation, CVPR, 2021;



基于方程式求解的攻击

口攻击思路示例





基于方程式求解的攻击

口 100% 窃取某些商业模型所需的查询数和时间

Service	Model Type	Data set	Queries	Time (s)
Amazan	Logistic Regression	Digits	650	70
Amazon	Logistic Regression	Adult	1,485	149
D;~MI	Decision Tree	German Credit	1,150	631
BigML	Decision Tree	Steak Survey	4,013	2,088

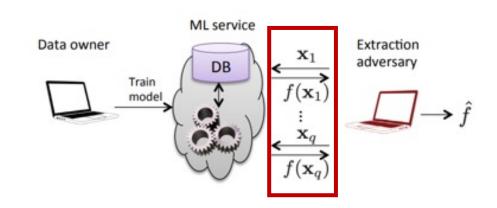
Service	White-box	Monetize	Confidence Scores	Logistic Regression	SVM	Neural Network	Decision Tree
Amazon [1]	X	X	/	/	X	X	X
Microsoft [38]	X	X	/	/	/	/	/
BigML [11]	/	/	/	✓	X	X	✓
PredictionIO [43]	/	X	X	/	/	X	/
Google [25]	X	/	✓	✓	/	✓	✓

Tramèr, Florian, et al. "Stealing machine learning models via prediction {APIs}." USENIX Security, 2016.



基于方程式求解的攻击:窃取参数

口攻击算法



- 参数个数为d
- · 通过d+1个输入,构造d+1个下列方程

$$\theta^{\top} \boldsymbol{x} = \sigma^{-1}(f(\boldsymbol{x}))$$

· 求解方程得到 θ

口主要特点:

- 针对传统机器学习模型:SVM、LR、DT
- 可精确求解,需要模型返回精确的置信度
- 窃取得到的模型还可能泄露训练数据(数据逆向攻击)

Tramèr, Florian, et al. "Stealing machine learning models via prediction {APIs}." USENIX Security, 2016.



基于方程式求解的攻击:窃取超参

口攻击思想:模型训练完了的状态应该是Loss梯度为0

$$\mathcal{L}(\theta) = \mathcal{L}(\boldsymbol{x}, y, \theta) + \lambda R(\theta)$$
 窃取超参数 λ

$$\frac{\partial \mathcal{L}(\theta)}{\partial \theta} = \boldsymbol{b} + \lambda \boldsymbol{a} = 0$$

$$m{b} = egin{bmatrix} rac{\partial \mathcal{L}(x,y, heta)}{\partial heta_1} \\ rac{\partial \mathcal{L}(x,y, heta)}{\partial heta_2} \\ draverset \\ rac{\partial \mathcal{L}(x,y, heta)}{\partial heta_n} \end{bmatrix}, \; m{a} = egin{bmatrix} rac{\partial R(heta)}{\partial heta_1} \\ rac{\partial R(heta)}{\partial heta_2} \\ draverset \\ rac{\partial R(heta)}{\partial heta_2} \end{bmatrix}$$

$$\hat{\lambda} = -(\boldsymbol{a}^{\top}\boldsymbol{a})^{-1}\boldsymbol{a}^{\top}\boldsymbol{b}.$$

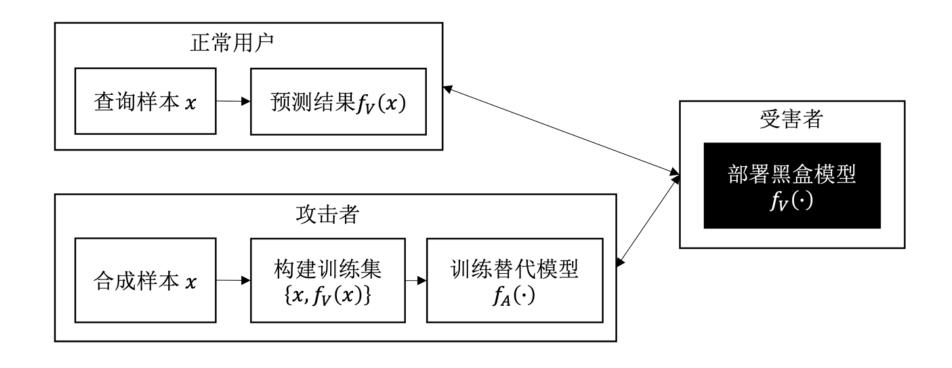
口主要特点:

- · 需要知道Loss的形式
- 需要在所有数据上做矩阵运算
- R只与模型参数 θ 有关

Wang, Binghui, and Neil Zhenqiang Gong. "Stealing hyperparameters in machine learning." S&P, 2018.



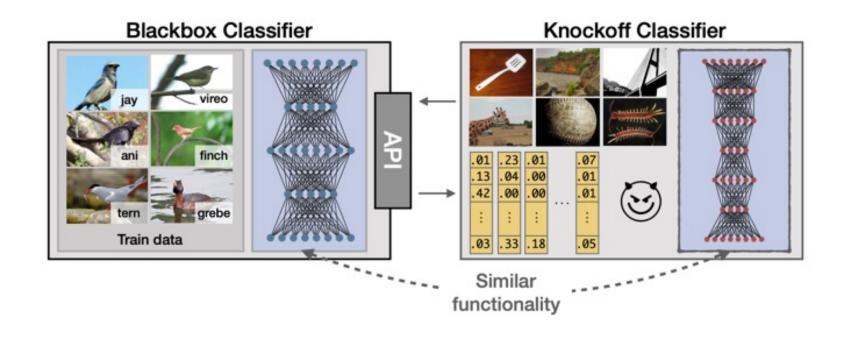
口 攻击思想:在查询目标模型的过程中训练一个替代模型模拟其行为



Orekondy et al. "Knockoff nets: Stealing functionality of black-box models." CVPR, 2019.



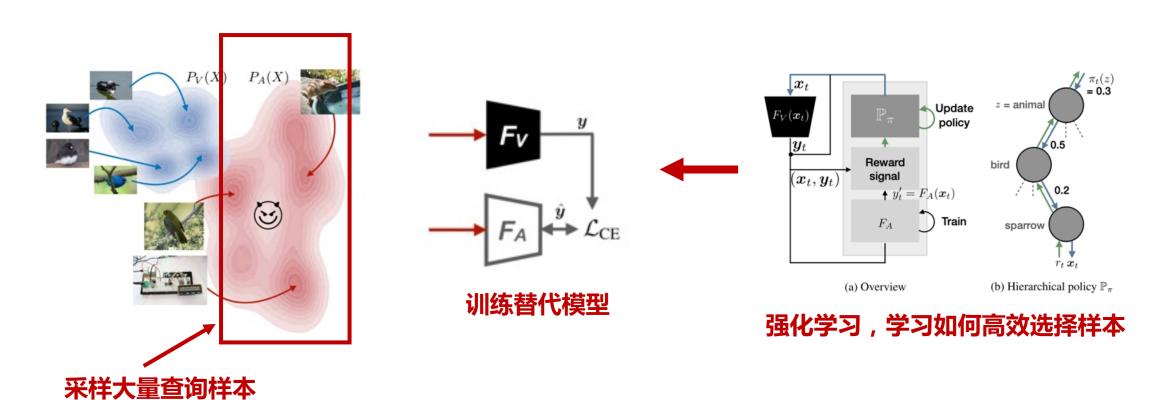
□ Knockoff Nets 攻击:"仿冒网络"



Orekondy et al. "Knockoff nets: Stealing functionality of black-box models." CVPR, 2019.



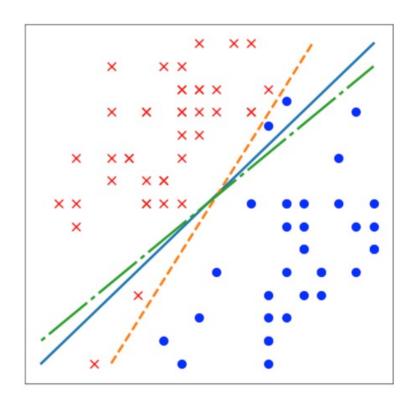
□ Knockoff Nets 攻击:攻击流程



Orekondy et al. "Knockoff nets: Stealing functionality of black-box models." CVPR, 2019.



□ 高准确 (accuracy) vs 高保真 (fidelity) 窃取攻击



口 蓝色:目标决策边界

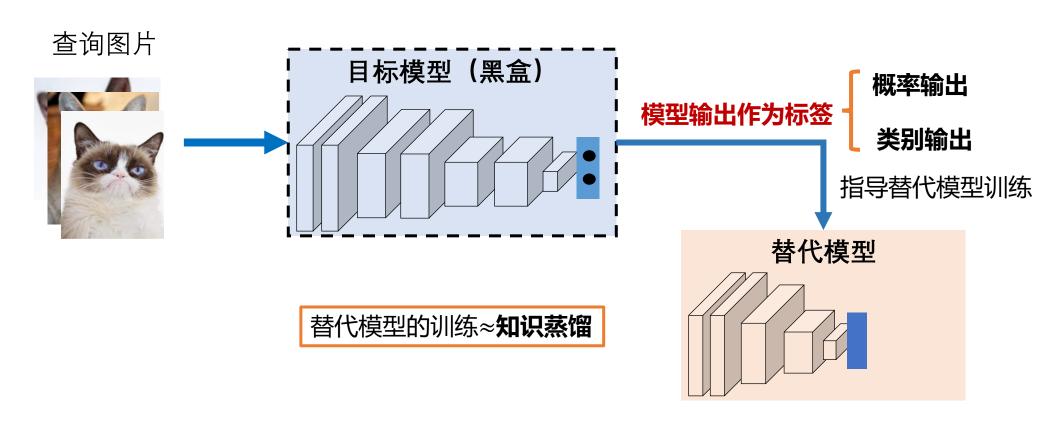
」橙色:高准确窃取

□绿色:高保真窃取

Jagielski, Matthew, et al. "High accuracy and high fidelity extraction of neural networks." USENIX Security, 2020.



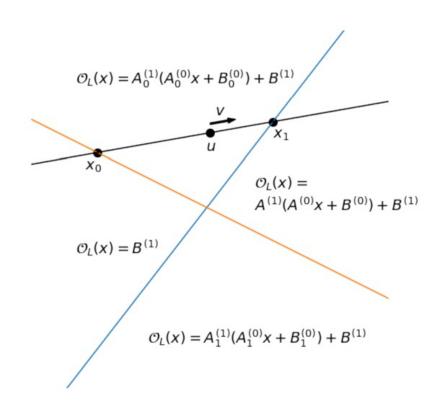
□ 高准确 (accuracy) vs 高保真 (fidelity) 窃取攻击



Jagielski, Matthew, et al. "High accuracy and high fidelity extraction of neural networks." USENIX Security, 2020.



口功能等同窃取Functionally Equivalent Extraction



口 攻击步骤:

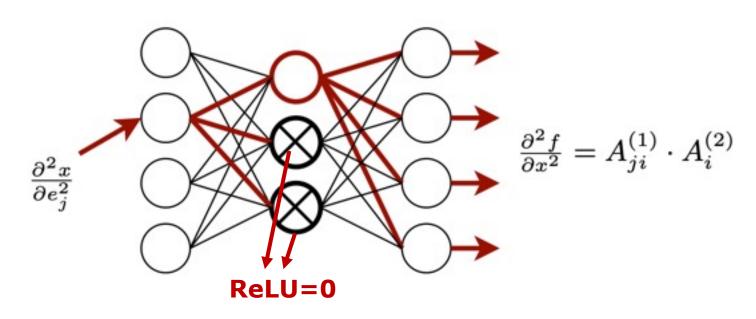
- · 寻找在某个Neuron上 , 让 ReLU=0的关键点
- 在关键点两侧探索边界,确定对 应权重
- 只能窃取两层网络

Symbol	Definition				
d	Input dimensionality				
h	Hidden layer dimensionality $(h < d)$				
K	Number of classes				
$A^{(0)} \in \mathbb{R}^{d \times h}$	Input layer weights				
$B^{(0)} \in \mathbb{R}^h$	Input layer bias				
$A^{(1)} \in \mathbb{R}^{h \times K}$	Logit layer weights				
$B^{(1)} \in \mathbb{R}^K$	Logit layer bias				

Jagielski, Matthew, et al. "High accuracy and high fidelity extraction of neural networks." USENIX Security, 2020.



口加密分析窃取Cryptanalytic Extraction



□ 思想: ReLU的二级导为0+有限差分(finite difference)

Carlini et al. "Cryptanalytic extraction of neural network models." Annual International Cryptology Conference, 2020.



口加密分析窃取 Cryptanalytic Extraction

窃取0-deep神经网络:

$$f(\boldsymbol{x}) = \boldsymbol{w}^{(1)} \cdot \boldsymbol{x} + b^{(1)}$$

$$f(x + e_i) - f(x) = w^{(1)} \cdot (x + e_i) - w^{(1)} \cdot x = w^{(1)} \cdot e_i$$

窃取1-deep神经网络:

$$f(\mathbf{x}) = \mathbf{w}^{(2)} ReLU(\mathbf{w}^{(1)} \mathbf{x} + b^{(1)}) + b^{(2)}$$

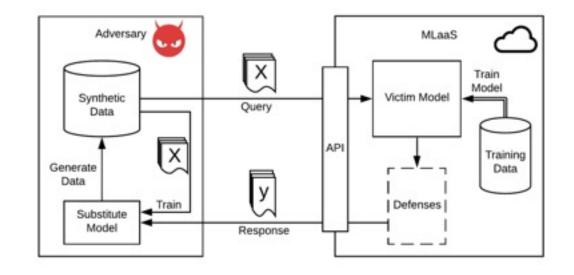
$$\alpha_{+}^{i} = \frac{\partial f(\mathbf{x})}{\partial \epsilon \mathbf{e}_{i}} \mid_{\mathbf{x} = \mathbf{x} + \epsilon \mathbf{e}_{i}}$$

$$\alpha_{-}^{i} = \frac{\partial f(\mathbf{x})}{\partial \epsilon \mathbf{e}_{i}} \mid_{\mathbf{x} = \mathbf{x} - \epsilon \mathbf{e}_{i}}$$

$$\frac{\alpha_{+}^{k} - \alpha_{-}^{k}}{\alpha_{+}^{i} - \alpha_{-}^{i}} = \frac{\mathbf{w}_{j,k}^{(1)}}{\mathbf{w}_{i,i}^{(1)}}$$

Carlini et al. "Cryptanalytic extraction of neural network models." Annual International Cryptology Conference, 2020.

口估计合成攻击 Estimation Synthesis (ES) Attack



思想: 初始化合成数据集,然后根据模型返回训练替代模型

- · E-step:在合成数据上知识 蒸馏更新替代模型
- · S-step:合成数据,使用对 抗生成网络

口特点:

- 不需要原始训练数据或先验
- 不需要目标模型先验

Yuan, Xiaoyong, et al. "ES attack: Model stealing against deep neural networks without data hurdles." 2022.



口 ES攻击算法: 蒸馏+生成的结合

Algorithm 1 ES Attack

INPUT:

The black-box victim model f_v

Number of classes K

Number of stealing epochs N

Number of training epochs for each stealing epoch M

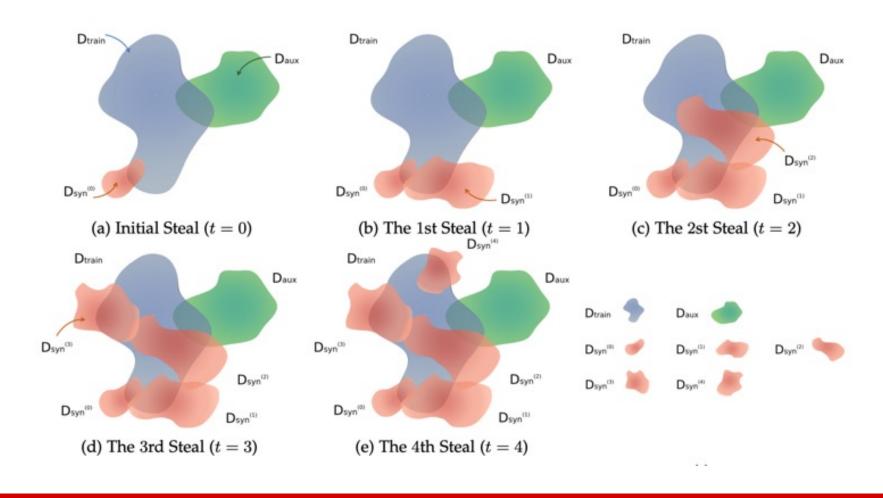
OUTPUT:

The substitute model $f_s^{(N)}$

- 1: Initialize a synthetic dataset $\mathcal{D}_{\text{syn}}^{(0)}$ by randomly sampling \boldsymbol{x} from a Gaussian distribution.
- 2: Construct an initial substitute model $f_s^{(0)}$ by initializing the parameters in the model.
- 3: for $t \leftarrow 1$ to N do
- 4: **E-Step:** Estimate the parameters in the substitute model $f_s^{(t)}$ using knowledge distillation for M epochs on the synthetic dataset $\mathcal{D}_{\text{syn}}^{(t-1)}$.
- 5: **S-Step:** Synthesize a new dataset $\mathcal{D}_{\text{syn}}^{(t)}$ based on the knowledge of the substitute model $f_s^{(t)}$.
- 6: end for
- 7: return $f_s^{(N)}$.



口 ES攻击合成的数据分布



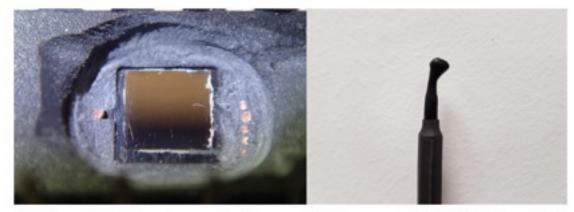
train:原始训练数据 aux:公共数据集

syn:合成数据集

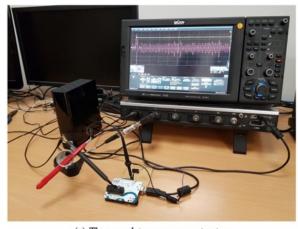


基于侧信道攻击的窃取

口侧信道 (side-channel) 攻击窃取神经网络



(a) Target 8-bit microcontroller me-(b) Langer RF-U 5-2 Near-field chanically decapsulated Electromagnetic passive Probe



(c) The complete measurement setup

通过探测运行神经网络的微处理器的电力使用情况,来窃取神经网络的权重

Batina et al. CSI neural network: Using side-channels to recover your artificial neural network information, USENIX Security, 2019



Future Research

- 口 攻击方面:
 - > 更高效的攻击
 - > 攻击更多的数据、更大的模型
- 口防御方面:
 - > 减少模型输出或梯度对信息的泄露:差分隐私
 - > 以攻为守:反向渗透



C U Next Week!

Course page:

https://trustworthymachinelearning.github.io/

Textbook:

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