

# Algorithms and Complexity

## Homework Assignment 2

Dumb Ways to Die

2015-10-25

## 2 Network Flows, Matchings, and Paths

### 2.1 Flows

**Exercise 2.1.** [Flow Conservation Properties]

1. *Proof.* Obviously,

$$\sum_{u,v \in S} f(u, v) = \sum_{u,v \in S} f(v, u) \quad (1)$$

holds for any  $S \subseteq U$ .

Because An  $s$ - $t$ -flow  $f$  satisfies skew symmetry, which indicates

$$f(u, v) + f(v, u) = 0$$

Thus, we have

$$\sum_{u,v \in S} f(u, v) + \sum_{u,v \in S} f(v, u) = \sum_{u,v \in S} (f(u, v) + f(v, u)) = 0 \quad (2)$$

According to (1) and (2), we prove that

$$\sum_{u,v \in S} f(u, v) = 0 \quad (3)$$

holds for any  $S \subseteq V$ . □

2. *Proof.* Let  $S = V$ , because of (3) we have

$$\sum_{u,v \in V} f(u, v) = \sum_{u \in V \setminus \{s, t\}} \sum_{v \in V} f(u, v) + \sum_{v \in V} f(s, v) + \sum_{v \in V} f(t, v) = 0$$

The flow conservation shows

$$\sum_{v \in V} f(u, v) = 0, \forall u \in V \setminus \{s, t\}$$

Thus

$$\sum_{u,v \in V} f(u, v) = 0 + \sum_{v \in V} f(s, v) + \sum_{v \in V} f(t, v) = 0$$

Besides,  $val(f) = \sum_{v \in V} f(s, v)$  and  $f(t, v) = -f(v, t)$ , which indicates

$$val(f) = \sum_{v \in V} f(s, v) = 0 - \sum_{v \in V} f(t, v) = \sum_{v \in V} f(v, t)$$

□

3. *Proof.* Because of the flow conservation law

$$\sum_{v \in V} f(u, v) = 0, \forall u \in V \setminus \{s, t\}$$

We have

$$val(f) = \sum_{v \in V} f(s, v) + \sum_{u \in S \setminus \{s\}} \sum_{v \in V} f(u, v) = \sum_{u \in S} \sum_{v \in V} f(u, v)$$

We can resolve the sum into:

$$\sum_{u \in S} \sum_{v \in V} f(u, v) = \sum_{u \in S} \sum_{v \in V \setminus S} f(u, v) + \sum_{u \in S} \sum_{v \in S} f(u, v)$$

Because of the equation(3), we know that  $\sum_{u, v \in S} f(u, v) = 0$ . Thus

$$val(f) = \sum_{u \in S} \sum_{v \in V \setminus S} f(u, v) + \sum_{u, v \in S} f(u, v) = \sum_{u \in S} \sum_{v \in V \setminus S} f(u, v)$$

So the following holds.

$$f(S, V \setminus S) = val(f) \tag{4}$$

□

**Exercise 2.2.** [Flow is Smaller than Cut]

*Proof.*  $f$  is a flow in  $G$  and  $S$  be a cut. By (4) we have

$$val(f) = f(S, V \setminus S) = \sum_{u \in S} \sum_{v \in V \setminus S} f(u, v)$$

And capacity constrains shows

$$f(u, v) \leq c(u, v), \forall u, v \in V$$

Then we have

$$\sum_{u \in S} \sum_{v \in V \setminus S} f(u, v) \leq \sum_{u \in S} \sum_{v \in V \setminus S} c(u, v)$$

That is

$$val(f) = f(S, V \setminus S) \leq c(S, V \setminus S)$$

□

**Exercise 2.3.**

*Proof.* If there is no flow from  $s$  to  $t$  of value  $k$ , then there must be at least a cut  $S, V \setminus S$  of value  $c(S) < k$ .

If  $r \in S$  then  $S, V$  is a cut that separates  $r$  and  $t$ . Since there is a flow from  $r$  to  $t$  of value  $k$  and flow is smaller than cut, there comes a contradiction.

If  $r \in V \setminus S$  then  $S, V$  is a cut that separates  $s$  and  $r$ . Since there is a flow from  $s$  to  $r$  of value  $k$  and flow is smaller than cut, there comes a contradiction. □

## 2.2 Matchings

**Exercise 2.4.** [Matchings in Regular Bipartite Graphs]

*Proof.* For simplicity assume that  $|V_1| \leq |V_2|$ . If  $|V_1| > |V_2|$ , we can swap  $|V_1|$  and  $|V_2|$ .

Consider a network  $G = (V', E', c)$  with

$$V' = V_1 \cup V_2 \cup \{s, t\}$$

and

$$E' = E \cup \{(s, u) | u \in V_1\} \cup \{(v, t) | v \in V_2\}$$

and

$$c(u, v) = \begin{cases} +\infty & u \in V_1, v \in V_2, (u, v) \in E \\ 1 & u = s, v \in V_1 \\ 1 & u \in V_2, v = t \\ 0 & \text{otherwise} \end{cases}$$

Let

$$f(u, v) = \begin{cases} \frac{1}{d_1} & u \in V_1, v \in V_2, (u, v) \in E \\ -\frac{1}{d_1} & u \in V_2, v \in V_1, (v, u) \in E \\ 1 & u = s, v \in V_1 \\ -1 & u \in V_1, v = t \\ \frac{d_2}{d_1} & u \in V_2, v = t \\ -\frac{d_2}{d_1} & u = t, v \in V_2 \\ 0 & \text{otherwise} \end{cases}$$

$|V_1|d_1 = |V_2|d_2$ , so  $d_1 \geq d_2$ . Thus  $\frac{d_2}{d_1} \leq 1$ .

Obviously,

$$\forall u, v \in V' \quad f(u, v) = f(v, u), f(u, v) \leq c(u, v)$$

Additionally, We can find that

$$\sum_{v \in V'} f(u, v) = 1 - d_1 \times \frac{1}{d_1} = 0$$

and

$$\sum_{v \in V'} f(u, v) = \frac{d_2}{d_1} - d_2 \times \frac{1}{d_1} = 0$$

for all  $u \in V_1$ .

So  $f$  is a flow and  $val(f) = |V_1|$ .

Let  $S = \{s\}$ , thus  $S, V' \setminus S$  is a cut.

$$c(S, V' \setminus S) = |V_1| = val(f)$$

Therefore,  $f$  is a maximum flow.

**Lemma 1.** *If each edge in a flow network has integral capacity, then there exists an integral maximal flow.*

From the lemma above we can know there is an integral maximal flow of value  $|V_1|$ .

From **Exercise 2.1.2** we can get

$$\sum_{u \in V'} f(u, v) = 0 \quad \forall v \in V_1$$

thus

$$\sum_{u \in V', u \neq s} f(u, v) = -f(s, v) = -1 \quad \forall v \in V_1$$

Thus

$$\sum_{u \in V', u \neq s} f(v, u) = 1 \quad \forall v \in V_1$$

and

$$f(v, u) \geq 0 \quad \forall u, v \in V_1, u \neq s$$

So for all  $v \in V_1$ , there is a  $m_v \in V_2$  such that

$$f(v, m_v) = 1$$

and  $m_v$  is unique for any  $v$  in  $V_1$ .

Similarly, for all  $v \in V_2$ , there is a  $m_v \in V_1$  such that

$$f(m_v, v) = 1$$

and  $m_v$  is unique for any  $v$  in  $V_2$ .

Let

$$M = \{(v, m_v) | \forall v \in V_1\}$$

Obviously,  $M \subseteq E$ . And every  $v \in V$  is incident to exactly one edge of  $M$ .

So  $M$  is a *matching* in  $G$  of size  $|V_1|$ .

Finally, there must be a *matching* in  $G$  of size  $\min(|V_1|, |V_2|)$ . □

Note that the conclusion might not be true when  $d_1 = 0$  or  $d_2 = 0$ .

Counterexample:  $G = (V = \{1, 2\}, E = \emptyset)$ , then we make  $V_1 = \{1\}$ ,  $d_1 = 0$ ,  $V_2 = \{2\}$  and  $d_2 = 0$ . We can see that  $\min(|V_1|, |V_2|) = 1$  but the maximum matching is 0.

### **Exercise 2.5.** [Matchings in $H_n$ ]

*Proof.* Consider  $i \leq n/2$  and the graph  $H_n[L_i \cup L_{i+1}]$ . For any  $u$  in  $L_i$ , there are exactly  $i$  “1” in  $u$ . So we can choose one “0”, replace it with one “1”. Thus we can get a new node  $u'$ , and  $u, u'$  are connected.

Thus  $\deg(u) = n - i \quad \forall u \in L_i$ . Similarly  $\deg(u) = n - (i + 1) \quad \forall u \in L_{i+1}$ . From **Exercise 2.4** we can know that there is a matching of size  $\min(|L_i|, |L_{i+1}|) = |L_i| = \binom{n}{i}$ . □

## 2.3 Vertex Disjoint Paths

### Exercise 2.6.

1. The transformation from  $G$  to  $G'$  has some functions following:

(a) Two injections from  $V$  to  $V'$ :

$$f_1 : V \longrightarrow V'$$

$$v \longmapsto v_{in}$$

$$f_2 : V \longrightarrow V'$$

$$v \longmapsto v_{out}$$

and  $f_1(V) \cap f_2(V) = \emptyset$  and  $f_1(V) \cup f_2(V) = V'$  are satisfied.

(b) A injection from  $E$  to  $E'$

$$e : E \longrightarrow E'$$

$$\langle u, v \rangle \longmapsto \langle f_2(u), f_1(v) \rangle$$

And for any  $v \in V$ , there is an *extra edge*  $\langle f_1(v), f_2(v) \rangle$  in  $E'$ .

(c) The function  $c'$  is defined as

$$c'(\langle u', v' \rangle) = \begin{cases} c(v) & \langle u', v' \rangle \text{ is an extra edge which is referred in (b).} \\ \infty & \langle u', v' \rangle \text{ is not an extra edge.} \end{cases}$$

where  $u', v' \in V'$ .

2. For example, there is a graph with vertex capacities.

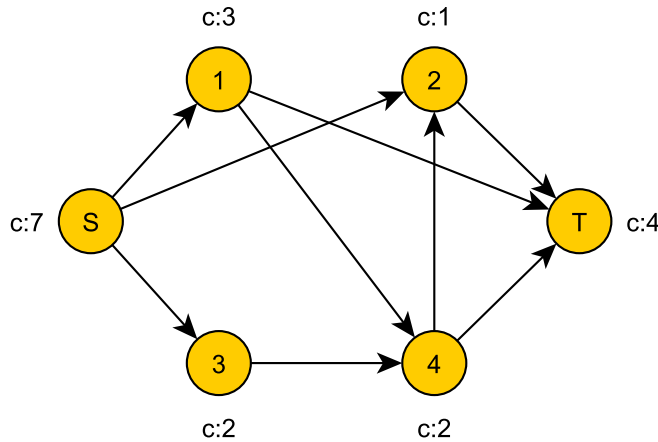


Figure 1: A graph  $G$  with vertex capacities

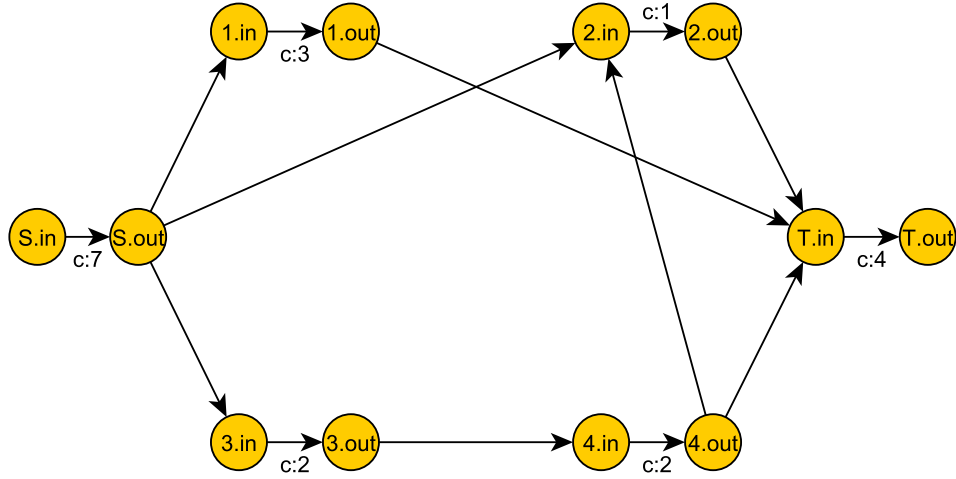


Figure 2:  $G'$  which is transformed from  $G$

The mapping from  $V$  to  $V'$  can be showed in following picture.

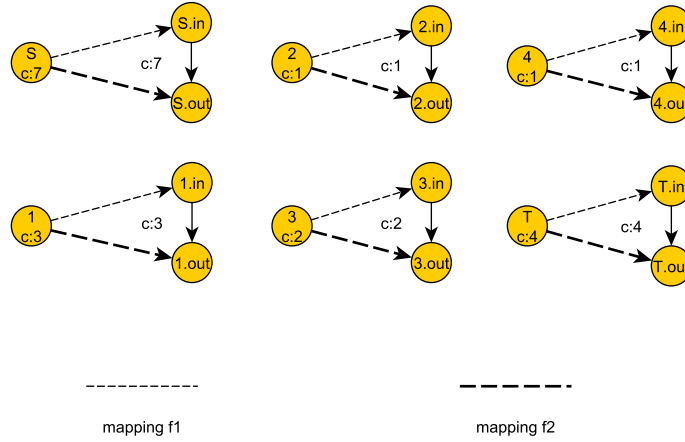


Figure 3: The mapping between  $V$  and  $V'$

The maximum flow in  $G$ (from  $s$  to  $t$ ) is equal to the maximum flow in  $G'$  (from  $S.1$  to  $T.1$ ) which equals to 6.

3. (a) Make a graph with vertex capacities  $G' = (V, E, c)$  where  $c : V \rightarrow R$  is defined as

$$c(v) = \begin{cases} k & v \in \{s, t\} \\ 1 & v \in V \setminus \{s, t\} \end{cases}$$

- (b) Then, if there is a flow from  $s$  to  $t$  of value  $k$ ,  $k$  disjoint paths from  $S$  to  $T$  will be existed. As we have known in subproblem 2, we can transform  $G'$  to  $G''$  and use *Dinic* which can compute the maximum flow in  $O(|V|^2|E|)$  to solve this problem.

- (c) After this transformation, we have  $|V'| = 2|V|$ ,  $|E'| = |E| + |V|$ . So the running time will be

$$O(|V'|^2|E'|) = O(4|V|^2|E| + 4|V|^3) = O(|V|^2|E|)$$

<sup>1</sup>

### Exercise 2.7.

1. Produce the graph  $G$  with the following steps:
  - (a) For any  $v \in L_i$ , there is a directed edge from  $s$  to  $v$ .
  - (b) For any  $u \in L_j, v \in L_{j+1} (j \in \{i, i+1, \dots, n-i-1\})$ , there is a directed edge from  $u$  to  $v$  if and only if  $\{u, v\} \in H_n$ .
  - (c) For any  $v \in L_{n-i}$ , there is a directed edge from  $v$  to  $t$ .
  - (d) Set the capacities of  $s$  and  $t$  as  $\binom{n}{i}$ , set the capacities of other vertices as 1.
  - (e) Define  $\{s\}$  as  $L_{i-1}$  and  $\{t\}$  as  $L_{n-i+1}$  to simplify the statement.
2. The problem can be transformed to whether there is a flow from  $s$  to  $t$  of value  $\binom{n}{i}$ .
3. Show that there must be a flow from  $s$  to  $t$  of value  $\binom{n}{i}$ .
  - (a) Push a flow of value 1 from  $s$  to each vertex in  $L_i$ . Obviously, the total flow of  $s$  is equal to  $\binom{n}{i}$  which is no more the capacity of  $s$ .
  - (b) Each vertex in  $L_i$  has  $n-i$  directed edges to the vertices in  $L_{i+1}$  and pushes the flow it received totally to them averagely. So that each vertex in  $L_{i+1}$  will get a flow of value  $1 \cdot \frac{i+1}{n-i} = \frac{i+1}{n-i}$  which will no more than 1 when  $2i \leq n$ .
  - (c) For each level  $k (i+1 \leq k \leq n-i)$  and  $k \in N^*$ , the vertices in  $L_k$  will receive flows from the level  $k-1$  and push all it received to the level  $k+1$  averagely.
  - (d) So for each level  $k = i+1, i+2, \dots, n-i$ , the flow of single vertex in  $L_k$  can be calculate by the formula following:

$$\begin{aligned}
 f &= \prod_{j=i+1}^k \frac{j}{n-j+1} \\
 &= \frac{\prod_{a=i+1}^k a}{\prod_{b=n-k+1}^{n-i} b} \\
 &= \frac{(i+1)(i+2) \cdots k}{(n-k+1)(n-k+2) \cdots (n-i)} \\
 &= \prod_{j=0}^{k-i-1} \frac{i+1+j}{n+1-k+j}
 \end{aligned}$$

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<sup>1</sup>In general,  $|E|$  will be greater than  $|V|$ .

Obviously, for any  $j \in \{0, 1, 2, \dots, k - i - 1\}$  where  $i + 1 \leq k \leq n - i$ ,  $\frac{i+1+j}{n+1-k+j} \leq 1$  is satisfied. Because of this,  $f$  will be never greater than 1. So for any vertex  $u \in G$  has

$$\sum_{v \in V, f(u,v) > 0} \leq c(u)$$

- .
- (e) Each vertex  $v$  in  $L_{n-i}$  will receive flows of value 1 in total from  $L_{n-i-1}$  and it will push them to  $t$ . So  $t$  will get  $\binom{n}{i}$  flows. Thus there exists a flow from  $s$  to  $t$  of value  $\binom{n}{i}$ .
  - 4. Because the capacities in  $G$  are all integers, there must be an integral maximum flow in  $G$ .
  - 5. Find the integral maximum flow.
  - 6. The capacity of each vertex in  $V \setminus \{s, t\}$  is exactly 1, so the flow of each edge will be exactly 0 or 1 in  $G$  with an integral maximum flow. *Delete edges whose flows are equal to 0.* And then delete  $s, t$ , the edges from  $s$  to  $L_i$  and the edges from  $L_{n-i}$  to  $t$  as well.
  - 7. After that  $G$  will remain  $\binom{n}{i}$  paths that satisfy the requirements in the problem.