

# Augmenting Amdahl's Second Law: A Simple Theoretical Model for Cost Effective Balanced HPC Infrastructure for Data Driven Science

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**Abstract**—The I/O- and memory-bound nature of data-driven scientific applications are posing several challenges to next generation HPC system designers as they strive for system balance with respect to processor speed, I/O bandwidth and memory while also maintaining a balance between performance and economy. Augmenting Amdahl's design principles for balanced system, collectively known as *Amdahl's second law* in the context of current big data analytic software (e.g., Hadoop, Giraph, etc.) and recent advances in hardware is relevant to design cost efficient HPC infrastructure for data-intensive scientific applications. This paper proposes a simple, easy to use, general purpose, additive model for cost/performance to quantify and optimize the system balance (among CPU, I/O bandwidth and size of DRAM) in terms of both software characteristics as well as the current hardware cost. Our performance model reveals that a balanced HPC system needs almost 0.19GBPS I/O bandwidth, and almost 3GB of DRAM per GHz of CPU speed considering Intel Xeon processing architecture which is widely used by the HPC system designers. However, our model can be used for any given architecture. To the best of our knowledge, this is the first attempt to theoretically extend Amdahl's second law considering more degrees of freedom, such as, workload characteristics and hardware cost.

We validated our result by evaluating three fundamentally different cluster architecture, 1) a traditional HPC cluster called SupermikeII, 2) a regular datacenter called SwatIII, and 3) a novel micro brick based hyperscale system called CeresII. CeresII has 6-Xeon cores each running at 2GHz, 1-NVMe SSD with 2-GBPS I/O bandwidth and 64GB DRAM, thus closely resembles to the optimum produced by our model. We evaluated these three clusters with respect to two widely used Hadoop-benchmark (e.g., terasort and wordcount) as well as our own genome assembler based on Hadoop and Giraph which serves as a very good real world example of I/O-, compute- and memory-intensive workload. CeresII outperformed all other architecture for all the benchmark in terms of both execution time and cost/performance while using same processing power as the other two and significantly less memory than SwatIII.

**Index Terms**—Balanced HPC System, Amdahl's Second Law, Data-driven Science, Hadoop, Giraph.

## I. INTRODUCTION

CURRENT compute technologies for terabyte scale scientific and experimental data analysis today are demanding more compute cycles per processor, with extreme I/O performance also required. The hardware cost for storing and processing this big data is increasing linearly with the increase

in volume and complexity of these scientific data. Consequently, HPC system designers are facing relentless pressure to reduce the system's cost while providing the expected level of performance also. Complicating the scenario, the exponential growth of scientific big data is rapidly changing the fundamental model of computation involved in scientific computation. A growing number of codes in different scientific areas are being written using state of the art big data analytic software such as, Hadoop, Giraph, etc which carefully consider data locality.

As a consequence, most of the existing HPC systems focusing only on tera to peta FLOP scale processing speed are found to be unbalanced for data-intensive scientific applications either in terms of performance, or economy or both. Furthermore, the system characteristics of the data-intensive scientific applications varies tremendously, especially because of varying nature and volume of data. This workload diversity also indicates huge potential for improved efficiency via balanced system designs. Hardware vendors as well as cloud vendors (e.g., Amazon, Google, R-HPC, etc.) are investing huge amount of money for this. Millions of dollars are being spent for programs such as, NSF Cloud, XEDE, etc. where several industries and universities collaborate to find such balanced architectures to drive the next generation cloud research.

At this inflection point of HPC landscape, the next generation system designers must consider more degrees of freedom for cluster architecture than for existing HPC clusters which focus only on doing calculation at blazing speed. They must address such questions as: how much I/O bandwidth is required per processing core? How much memory is required to optimize the performance and cost? These complex performance and economic factors together motivates new design of HPC infrastructure. However, these factors together impose several challenges to the system designers who are striving for system balance in terms of processing speed, I/O bandwidth, memory, and the cost of the infrastructure. There is limited studies which address the challenges in developing a cost effective, balanced distributed cyber infrastructure to analyze large scale scientific big data.

With this motivation, this paper takes an initial attempt to theoretically augment Amdahl's design principles for balanced system (collectively known as *Amdahl's second law*) considering the workload characteristics as well as the price of hardware components. It proposes a simple additive model for cost/performance to quantify the system balance between

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CPU speed, I/O bandwidth, and size of DRAM in terms of software application characteristics and cost of hardware. Our model does not assume any specific software framework or hardware technologies, rather, it provides an easy to use, general guideline for designing a balanced system. However, the outcome of the model (i.e., configuration of an optimal balanced system) indicates the use of solid state drives (SSD) instead of hard disk drive (HDD) in a multicore machine. Assuming an equal distribution of I/O- and compute work in a data-intensive application, our model suggests, that a balanced HPC system needs almost 0.2-GBPS I/O bandwidth ( $x\%$  variation from original Amdahl's law), and almost 4-GB of DRAM ( $y\%$  variation from original Amdahl's law) per GHz of CPU speed in the current scenario using Intel Xeon processor architecture which is widely used by the HPC system designers. The variation is coming because of the cost component which was ignored in Amdahl's second law in its pristine form.

We validated our model by evaluating three fundamentally different cluster architecture as follows: 1) a traditional HPC cluster, called SuperMikeII (located at LSU, USA) that offers 382 computing nodes each with 16-Xeon cores, 1-HDD, and 32-GB DRAM, 2) a regular datacenter architecture, called SwatIII (located at Samsung, Korea) that has 128 nodes each with 16-Xeon cores, 4-HDD, and 256-GB DRAM and 3) a new MicroBrick-based architecture, called CeresII (also located at Samsung, Korea). Each CeresII node has 6-Xeon cores, 1-NVMe SSD with 2-GBPS I/O bandwidth and 6-GB DRAM per node, thus closely resembles to the optimum produced by our model. We evaluated these three architectures with respect to three different benchmark applications. Two of them are widely used benchmark Hadoop applications (e.g., TeraSort and WordCount) and the other one is our own benchmark genome assembler developed atop Hadoop and Giraph which serves as a good real-world example of a data-, compute- and memory-intensive workload. For all the three benchmark evaluation, CeresII, with the most optimum configuration outperformed the others in terms of both performance and cost/performance when using same amount of processing cores as SuperMikeII and SwatIII across the cluster, and significantly less (almost half) DRAM than SwatIII.

## II. RELATED WORK

Numerous studies have been performed evaluating the performance implication of different big data analytic software on different types of hardware infrastructure. We categorize these existing studies into three different classes: First, experimental evaluation of different cluster architecture for big data software. Second, simulation and analytical performance modeling of big data software. Third, system characterization using Amdahl's second law which is the most relevant to our current effort. In this section we discuss the previous works done in these three categories.

### A. Experimental evaluation of different cluster architecture for big data software.

Kang [1] compared the execution time of sort, join, WordCount, Bayesian, and DFSIO workloads using SSD and HDD

and obtained better performance using SSD. Wu [2] found that Hadoop performance can be increased almost linearly with the increasing fraction of SSDs in the storage system. They used the TeraSort benchmark for their study. Additionally, they also showed that in an SSD-dominant cluster, Hadoop's performance is almost insensitive to different Hadoop performance parameters such as block-size and buffer-size. Moon [3] showed a significant cost benefit by storing the intermediate Hadoop data in SSD, leaving HDDs to store Hadoop Distributed File System (HDFS [4]) data. They also used the TeraSort benchmark in their study. A similar result can be found in the study by Li [5] and Krish [6] where SSDs are used to store temporary data to reduce disk contention and HDDs are used to store the HDFS data. They all reached the same conclusion as Moon [3]. Tan [7] also reached the similar conclusion for two other workloads including a Hive workload and an HBase workload.

Michael [8] investigated the performance characteristics of the scaled out and scaled up architecture for interactive queries and found better performance using a scaled out cluster. On the other hand, Appuswamy [9] reached an entirely different conclusion in their study. They observed a single scaled up server to perform better than a 8-nodes scaled out cluster for eleven different enterprise-level Hadoop workloads including log-processing, sorting, Mahout machine learning, etc. However, these studies do not provide any quantitative measure for scale up or scale out.

However, an older study by Kung [10] can shed light on feasibility of the scaling up approach. Kung analyzed several HPC problems and theoretically showed that the memory needs to be increased exponentially to restore the balance of the system when the number of processors is increased by a certain factor. For example, best known external sorting algorithm needs the local memory to be increased by an exponent of  $\alpha$  when number of processors are increased by a factor of  $\alpha$  (i.e.,  $M_{new} = M_{old}^{\alpha}$ ) to restore the balance of the system. Although this study is not directly related to this paper, some of the problems considered in [10] constitutes the core part of today's big data analytic framework. For example, Hadoop uses the similar external sorting in its shuffle phase. Hence, from the perspective of balanced system design arbitrary scaling up the size of DRAM is possibly not a feasible option. Rather, the amount of RAM should be decided based upon cost.

### B. Simulation and analytical performance modeling of big data software

Simulation and analytical model are widely used for predicting performance of different big data software (mainly Hadoop) and analyzing design trade-offs in a large number of hardware domains.

At the border level, all simulators generate fake Hadoop MapReduce jobs and tune different hardware and software parameters in a simulated environment mostly using prior experiences or trial-and-error to obtain optimize the performance of some parts of the Hadoop framework or a given Hadoop job. For example, HSim [11] mainly focused on the

Hadoop job parameters to optimize the performance of the entire job. SimMR [12] on the other hand focus on simulating the Hadoop task scheduling algorithms. MRSim [13] and MRPerf [14] unified all these aspects in a single simulator. They simulate the hardware environment using discrete event simulator packages such as, SimJava, GridSim, NS2, etc. Then they execute the fake Hadoop job on a small subset of data to predict the performance. Given prior experience, simulators can predict hardware alternative, thus capable to save huge cost comparing to experimental evaluation on real hardware discussed before. However, most of these simulators comes with lots of overhead. They are time and resource consuming and often fail to assess the real system characteristics in the presence of huge volumes of big data. Furthermore, the trial-and-error method of performance optimization considering broad range of available hardware infrastructure with more 200 Hadoop parameters is challenging and most of the time unreliable.

On the other hand, analytical models for abstract away several performance parameters and predict the performance of a Hadoop job mainly using single or multi layer queuing network. For example, Viana [15] model the pipeline parallelism of a Hadoop job using queuing network to predict the performance of the job. Wu [16] proposed a layered queue network model to predict the performance of a Hadoop job in a cloud environment. In a recent work Ahn [17] proposed a queuing theory model to predict the performance of Hadoop job atop different storage technologies, i.e., HDD and SSD. Krevart [18] computed the I/O complexity of the Hadoop job for data-intensive applications and proposed a model to quantify the hardware resource wasted by Hadoop. They (Krevart) shouted for improving the big data analytic software.

### C. System characterization using Amdahl's second law

Computer scientist Gene Amdahl postulated several design principles for a balanced system design collectively known as Amdahl's Second Law. He stated a balanced system needs 1-bit of sequential I/O per second (Amdahl's I/O number), and 1-byte of memory (Amdahl's memory number) per CPU instruction per second. Jim Gray [19] in 2000 revised Amdahl's second law by suggesting to measure the instruction rate and I/O rate on the relevant workload. We will discuss it in more details later in Section-IV.

Bell and Gray [20] also classified the existing supercomputers based upon Amdahl's second law to clarify the future roadmap of the HPC architecture. Chang [21] used Amdahl's second law to better understand the design implications of data analytic systems by quantifying workload requirements. Cohen [22] applied Amdahl's second law to study the interplay between processor architecture and network interconnect in a datacenter.

Szalay [23], used Amdahl's I/O and memory numbers to propose an energy efficient balanced cluster architecture Amdahl's balanced blades. [23] used SSD and low power processors (such as, Intel Atom, Zotac etc) to achieve the system balance. In this study, the authors ignored the CPU micro architecture and simplified the Amdahl's I/O and Memory number by dividing the I/O bandwidth (bit/s) and size of

DRAM (GB) respectively by CPU-speed (GHz). The cluster architecture was balanced as the simplified Amdahl's I/O number was close to unity. In a recent study [24] Zheng evaluated a similar architecture as Amdahl's balanced blade for Hadoop applications and showed Atom processor is the system's bottleneck

## III. MOTIVATION: ARCHITECTURAL (IM)BALANCE IN TRADITIONAL HPC CLUSTER AND RECENT TREND IN HARDWARE

### A. Hadoop and Giraph Programming Model

Hadoop was originated as the open-source counterpart of Google's MapReduce [18]. Hadoop read the input data from the underlying Hadoop Distributed File System (HDFS) in the form of disjoint sets or blocks of records. Then, in the MapReduce abstraction, a user-defined map function is applied to each disjoint set concurrently to extract information from each record in the form of intermediate key-value pairs. These key-value pairs are then grouped by the unique keys and shuffled to the reducers. Finally, a user-defined reduce function is applied to the value-set of each key, and the final output is written to the HDFS. According to the programming model, users need to provide only the map and reduce function. The data flow characteristics is similar for all other phases across all jobs.

### B. Network Architecture

Traditional HPC clusters uses a hierarchy of switches with a fat tree model of connectivity. This approach typically uses layered architecture. The hosts or servers are connected to the bottom layer, called access layer which is then aggregated to an intermediate layer of switches, called edge layer or leaf. The edge layer switches are then connected to the top layer switches, called core layer or spine where the actual bandwidth of the network is scaled. To prevent over subscription, the link speeds got progressively higher from access layer to leaves to spine starting from only few Mbps to several Gbps. This architecture may suffer from bottleneck issues thereby introduces architectural imbalance in the modern datacenter or cloud infrastructures as the bandwidth of the host adapter is increasing in an outstanding pace (an observation by Cohen [24]). Furthermore, to accomodate many servers with fewer switches (thus, reducing the cost of the network) a blocking is used which again limits the performance of big data genomic applications which demand more bandwidth.

As an alternative, the simple Clos based architecture is gaining popularity where all the lower layer switches (i.e., leaf) are connected to all the top layer switches (i.e., spine) using a full mesh topology, thus achieving a non-blocking model using inexpensive devices. The data-intensive applications based of Hadoop or Giraph, which transmits huge amount of data over network in different phases of computation, can use more bandwidth from this all-to-all connection model.

### C. Storage Architecture

The performance of any system is traditionally constrained by the I/O subsystem. So is the traditional HPC cluster which

are normally equipped with only one hard disk drive. Over the span of last 10 years the the hard disk speed is improved by only twice from 7000RPM to 15000RPM. Consequently, the I/O throughput increased from 80MB/s to 150MB/s. At this rate, to read just a 1TB hard disk takes almost two hours. In real world scenario, where a huge amount of data is read/write from/to the local disk the performance may be even worse. So, in many state of the art data center cluster and cloud infrastructure the data is stripped across multiple smaller disks to improve the I/O throughput.

Alternatively, the SSDs can be used. It uses the similar flash memory that is used in memory subsystem, thus increases the per-disk I/O throughput by almost 4-times than an HDD. Current SSDs offer almost 550MB/s I/O throughput in a moderate cost while maintaining considerable storage capacity. Due to the high bandwidth of SSDs, the most intuitive approach to build a high performance cluster is to use multiple SSDs with high end processors. However, the disk controllers are found to

#### D. Memory Architecture

With the introduction of DDR (Double Data Rate) technology the memory bandwidth has been improved significantly because it reads one word of data during the positive edge and one word during the negative edge of the processor clock pulse. Most of the current HPC cluster as well as the computation clusters use either DDR2 or DDR3 RAM (random access memory or, simply main memory) modules. There is hardly any difference among current clusters (including traditional HPC clusters and datacenters) in terms of memory architecture or processormemory communication model.

However, for improved performance, sufficient memory should be provided to the cluster that can hold the required computation in conjunction with the big data. Furthermore, more memory improves the caching effect which again improves the applications performance. However, the main memory is costly which makes the job of building the balanced system complicated. Again, the SSD is nowadays increasingly considered as a new layer between memory (Since they use similar flash arrays as RAM) and storage due to its increased I/O throughput which may change the designers approach towards a balanced system in near future. There are several important reasons to model the performance for a cloud application on a given processor architecture using analytical approach. The most common one is evaluating alternative hardware configurations parameters to estimate performance for a given cloud application without simulation. The performance estimation model aids processor architects in the designing and fine-tuning of future processors.

### IV. AMDAHL'S SECOND LAW, GRAY'S AMMENDMENT, AND THEIR LIMITATIONS

#### A. Original Form of Amdahl's Second Law

Computer scientist Gene Amdahl postulated several design principle in late 1960 to make a balanced system. As mentioned earlier, these design principals are collectively known as Amdahls second law which are as follows:

- 1) Amdahls I/O Law: A balanced computer system needs one bit of sequential I/O per second per instruction per second. From this point we will mention this law as Amdahls I/O number. Alternatively, Amdahl's I/O number of a balanced system can be expressed as 0.125 GBPS/GIPS (by changing in conventional units).
- 2) Amdahls memory Law: A balanced computer system needs one byte of memory per instruction per second. IFrom this point we will mention this law as Amdahls memory number.

Using the notations in Table-I the original Amdahl's I/O and meory number can be expressed as:

$$\beta_{io} = 0.125 \quad (1)$$

$$\beta_{mem} = 1 \quad (2)$$

#### B. Gray's Ammendment to Amdahl's Second law

Computer scientist Jim Gray reevaluated and ammended Amdahl's second law in the context of modern data engineering. The revised laws are as follows:

- 1) Revised Amdahls I/O law: A system needs 8 MIPS/MBpsIO, but the instruction rate and IO rate must be measured on the relevant workload. (Sequential workloads tend to have low CPI (clocks per instruction), while random workloads tend to have higher CPI.)
- 2) Revised Amdahls memory law: Alpha (the MB/MIPS ratio) is rising from 1 to 4. This trend will likely continue.

The underlying implication of the Gray's first ammendment (i.e., Revised Amdahl's I/O Law) is that, it aims for systems with high Amdahl I/O numbers at a given performance level that match the Amdahl I/O numbers of the applications. Regarding Amdahl memory number, in stead of any theoretical justification, Gray put forward a statistics reflecting the contemporary state of cluster architecture.

Using the notations in Table-I Gray's ammendments to original Amdahl's second law can be expressed as follows:

$$\beta_{io} = \gamma_{io} \quad (3)$$

$$\beta_{mem} = 4 \quad (4)$$

#### C. Limitations of Existing Laws and Current Problem Definition

Amdahl's second law for balanced system does not consider the impact of application balance (or, applications' resource requirement) on cluster architecture. Because of the diverse resource requirements, one-size-fit-all design as suggested in the original law (expressed as the constants in the right hand side of Equation-1 and 2) cannot satisfy the different resource balance ratios for a collection of analytic applications.

Gray's ammendment to Amdahl's second law is more realistic in the sense that it consider the impact of application balance (or, applications' resource requirement) on the cluster architecture. However, it is limiting to reflect the interplay between application balance and technology-cost balance. The

TABLE I: Notations and their meaning

$R_{cpu}$	CPU speed of a system $S$ (GHz)
$R_{io}$	I/O bandwidth of the system $S$ (GBPS)
$R_{mem}$	DRAM size of the system $S$ (GB)
$W_{cpu}$	Fraction work done by the CPU for a given application $W$
$W_{io}$	Fraction of work done by the disk(s) for $W$
$W_{mem}$	Fraction of work done by DRAM for $W$
$P_{cpu}$	Price per GHz of CPU speed
$P_{io}$	Price per GBPS of I/O bandwidth
$P_{mem}$	Price per GB of DRAM
$\beta_{io}$	System balance between I/O bandwidth and CPU speed for system $S$ ( $= R_{io}/R_{cpu}$ )
$\beta_{mem}$	System balance between DRAM size and CPU speed for system $S$ ( $= R_{mem}/R_{cpu}$ )
$\gamma_{io}$	Application balance between CPU and I/O bandwidth for application $W$ ( $= W_{io}/W_{cpu}$ ). This term quantifies to what extent the application is I/O-intensive or, compute-intensive.
$\gamma_{mem}$	Application balance between CPU and DRAM size for application $W$ ( $= W_{mem}/W_{cpu}$ ). This term quantifies to what extent the application is memory-intensive or, compute-intensive
$\delta_{io}$	Technology-Cost balance of between CPU and I/O bandwidth for system $S$ ( $= P_{io}/P_{cpu}$ )
$\delta_{mem}$	Technology-Cost balance between CPU and DRAM for system $S$ ( $= P_{mem}/P_{cpu}$ )

cost of hardware components has already changed the performance point and will keep on changing as the technology advances. For example, in the last two years the cost of Intel Xeon E5 series processor has fallen from \$400 to \$26 (www.cpubenchmark.net). The cost of DDR3 RAM has fallen from \$200 to \$100. The cost of disk storage media remains almost same.

Hence, we need a theoretical model for balanced cluster architecture considering both the application characteristics for optimal performance as well as the economy. Using the notations described in Table-I the optimal system balance (i.e.,  $\beta_{io}^{opt}$  and  $\beta_{mem}^{opt}$ ) needs to be expressed as a function of application balance (i.e.,  $\gamma_{io}$  and  $\gamma_{mem}$ ) and technology-cost balance (i.e.,  $\delta_{io}$  and  $\delta_{mem}$  in Table-I). Mathematically it can be written as:

$$\beta_{io}^{opt} = f_1(\gamma_{io}, \delta_{io}) \quad (5)$$

$$\beta_{mem}^{opt} = f_2(\gamma_{mem}, \delta_{mem}) \quad (6)$$

## V. PROPOSED MODEL FOR SYSTEM BALANCE: AUGMENTING AMDAHL'S SECOND LAW

### A. Model Assumptions

The model first ignores the same CPU micro architecture. The practical implication of this assumption is that, the optimum system balance (i.e.,  $\beta_{io}$  and  $\beta_{mem}$ ) may vary with different CPU microarchitectures such as, Intel Atom and Xeon, etc. However, the system designers can use this model repeatedly for different micro architectures without any loss of generality. On the other hand, the processor micro architecture does not change as frequently as their speed (refer to Intel's *Tick* and *Tock* model for release of new processor technology). Thus, the proposed model significantly reduces the search space for the designers of balanced system.

Second, for simplicity, we assume that the model is additive. That is, we ignore the overlap between work done by CPU, memory and I/O subsystem. This way, the total execution time ( $T_{total}$ ) of an application can be written as:

$$T_{total} = T_{cpu} + T_{io} + T_{mem} \quad (7)$$

$$\Rightarrow T_{total} = \frac{W_{cpu}}{R_{cpu}} + \frac{W_{io}}{R_{io}} + \frac{W_{mem}}{R_{mem}} \quad (8)$$

Third, we assume the total cost of the system as the summation of individual cost of CPU, I/O and memory subsystem only. We ignore several constant component such as, base cost, service cost, etc. This way, the total system cost ( $C_{total}$ ) can be written as:

$$C_{total} = C_{cpu} + C_{io} + C_{mem} \quad (9)$$

$$\Rightarrow C_{total} = P_{cpu}R_{cpu} + P_{io}R_{io} + P_{mem}R_{mems} \quad (10)$$

### B. Model Derivation

Assuming the performance as the inverse of the total execution time, the cost/performance (denoted as  $f_{cp}$ ) can be expressed as:

$$f_{cp} = C_{total} \times T_{total} \quad (11)$$

$$\Rightarrow f_{cp} = (C_{cpu} + C_{io} + C_{mem}) \times (T_{cpu} + T_{io} + T_{mem}) \quad (12)$$

$$\begin{aligned} \Rightarrow f_{cp} = & C_{cpu}T_{cpu} + C_{cpu}T_{io} + C_{cpu}T_{mem} \\ & + C_{io}T_{cpu} + C_{io}T_{io} + C_{io}T_{mem} \\ & + C_{mem}T_{cpu} + C_{mem}T_{io} + C_{mem}T_{mem} \end{aligned} \quad (13)$$

Expanding all the time ( $T$ ) and cost ( $C$ ) terms using Equation-8 and 10 respectively, and then, substituting with the notation used for system balance in Table-I (i.e.,  $\beta_{io}$  and  $\beta_{mem}$ ), Equation-13 can be rewritten as:

$$\begin{aligned} f_{cp} = & P_{cpu}W_{cpu} + \frac{1}{\beta_{io}}P_{cpu}W_{cpu} + \frac{1}{\beta_{mem}}P_{cpu}W_{mem} \\ & + \beta_{io}P_{io}W_{cpu} + P_{io}W_{io} + \frac{1}{\alpha}P_{io}W_{mem} \\ & + \beta_{mem}P_{mem}W_{cpu} + \alpha P_{mem}W_{io} + P_{mem}W_{mem} \end{aligned} \quad (14)$$

Partially differentiating with respect to  $\beta_{io}$ ,

$$\frac{\partial f_{cp}}{\partial \beta_{io}} = -\frac{1}{\beta_{io}^2}P_{cpu}W_{cpu} + P_{io}W_{cpu} \quad (15)$$

To find the optimum balance ( $\beta_{io}^{opt}$ ) between CPU speed and I/O bandwidth, Equation-15 should equal to 0. Then, solving for  $\beta_{io}$  and replacing with the workload and cost balance terms mentioned in Table-I we get,

$$\beta_{io}^{opt} = \sqrt{\frac{\gamma_{io}}{\delta_{io}}} \quad (16)$$

Similarly, the optimum balance ( $\beta_{mem}^{opt}$ ) between CPU speed and size of DRAM can be derived as,

$$\beta_{mem}^{opt} = \sqrt{\frac{\gamma_{mem}}{\delta_{mem}}} \quad (17)$$

Equation-16 and 17 reveals a convincing physical interpretation for contributions of application balance and technology-cost balance to system balance. Given a CPU micro architecture, these two equations provide the required I/O bandwidth and size of DRAM respectively to maintain the system balance for different types of applications while considering the price trend of different hardware components, such as, CPU, disk drives and DRAM.

### C. Some Useful Implications

For an improved processor micro architecture operated at same core speed (such as, Intel Haswell comparing to Intel Xeon), the cost is likely to be higher. That is,  $\delta_{io}$  and  $\delta_{mem}$  will decrease with a corresponding increase in  $\beta_{io}$  and  $\beta_{mem}$ , thus driving the need for more I/O bandwidth and DRAM size. However, the growth is limited by the square root.

It should be noticed that the model did not consider sequential and random I/O bandwidth separately. Designers need to be careful about the application characteristics. An application with many random I/O may find it beneficial to use SSD instead of HDD to align with the optimum I/O balance (i.e.,  $\beta_{io}$ ) produced by the model. For example, Hadoop involves a huge number of random I/O in its shuffle phase. To provide such a huge random I/O bandwidth, SSD can be used.

### D. An Illustrative Example of Applying the Model to build a Balanced Cluster

In this section we demonstrate an example of how to apply our model to build a cost effective balanced cluster. To do that, we chose Intel Xeon as the processor micro architecture. To reflect today's data-, compute-, and memory-intensive scientific application, we consider the work done by CPU, I/O and memory subsystem (i.e.,  $W_{cpu}$ ,  $W_{io}$ , and  $W_{mem}$ ) is equal for that application. That is, using the notation in Table-I the application balance can be written as:

$$\gamma_{io} = \gamma_{mem} = 1 \quad (18)$$

Table-II shows the price of different hardware components for different hardware vendors. Column *Unit Price* shows the current price trend for mostly used processor, storage and memory technologies in their corresponding unit. For this example, we consider Intel Xeon micro architecture. As it can be seen in Table-II, the cost per MBPS sequential I/O for both SSD and HDD is almost similar irrespective of change in storage technology provided the same storage space per disk. Whereas, the cost per GB of DRAM is increased almost double from DDR2 to DDR3. We calculated the average cost per GBPS of I/O bandwidth, and average cost per GB of DRAM respectively and divided it with cost per GHz of CPU Speed to get the technology-cost balance. Using the notation in Table-I technology-cost balance can be written as:

$$\delta_{io} = 27.44 \quad (19)$$

$$\delta_{mem} = 0.10 \quad (20)$$

TABLE II: Cost of different hardware components

Hardware components	Cost(\$)	Unit Price
Intel Xeon 64bit 2.6 GHz E5 series (8-cores) processor	1566	\$40/GHz
Western Digital RE4 HDD (120MBps), 500GB	132	\$1.1/MBPS
Western Digital VelociRaptor HDD (150MBPS), 500GB	157	\$1.04/MBPS
Samsung 840Pro Series SATAIII SSD (400MBPS), 500GB	450	\$1.12/MBPS
Samsung DDR3 16GB memory module	159	\$10/GB
32GB 1600MHz RAM (decided by Dell)	140	\$4.37/GB

Using Equation-16 and replacing the value of  $\gamma_{io}$  and  $\delta_{io}$  from Equation-18 and 19 respectively, we can get the system's I/O balance in terms of GBPS/GHz as:

$$\beta_{io}^{opt} = 0.18 \quad (21)$$

Similarly, using Equation-17, 18, and 20, we can get the system's DRAM balance in terms of GBPS/GHz as:

$$\beta_{mem}^{opt} = 3.04 \quad (22)$$

## VI. EVALUATION METHODOLOGY

### A. Experimental Testbeds

As mentioned earlier, in our study we evaluate three different cluster architectures. Table-III shows the overview of our experimental testbeds. The first one, SuperMikeII represents a traditional HPC cluster. This LSU HPC cluster oers a total of 440 computing nodes. However, a maximum 128 can be allocated at a time to a single user. SwatIII represents a regular datacenter. This Samsung datacenter has 128 nodes. However, we used maximum 16 nodes for our experiments. The last one, CeresII is a novel hyperscale system based on Samsung MicroBrick. We use SuperMikeII as the baseline conguration and compare the performance of other clusters with respect to this. We always use homogeneous conguration across any cluster. We reported the performance and the price of dierent clusters in terms of the Hadoop datanodes (DN) only. For the masternode, we always use a minimal configuration based upon the resources in the cluster. In the subsequent sections we use the term node and datanode interchangeably.

### B. Cluster Characterization using $\gamma$ and $\beta_{io}$

Figure-1a and 1b shows the change in system's optimum I/O and memory balance respectively with respect to Intel Xeon processor architecture for varying application balance (i.e., application's resource requirement). Based upon this figure characterize each of our experimental testbeds on the basis of  $\beta_{io}$  and  $\beta_{mem}$  and discuss the major pros and cons of each different architecture.

1) *SuperMikeII (Traditional HPC cluster)*: SuperMikeII has two 8-core Intel Sandybridge Xeon processor per node thus oering huge processing power. However, each SuperMikeII node is equipped with only one HDD, thus, limited in terms of I/O bandwidth. Also, each SuperMikeII node has only 32GB DRAM. Thus, SuperMikeII has both,  $\beta_{io} = 0.003$

TABLE III: Experimental Testbeds

Cluster name	Processor	CPU Core Speed (GHz)	#Cores /node	CPU Speed (GHz) /node	#Disks /node and type	Seq I/O Bandwidth /Disk (GBPS)	Total Seq I/O Bandwidth (GBPS) /node	DRAM /node (GB)	Maximum #Nodes available	$\beta_{io}$	$\beta_{mem}$
SuperMikeII (Traditional Supercomputer)	Two 8-core SandyBridge Xeon	2.6	16	41.6	1-HDD (SATA)	0.15	0.15	32GB	128	0.003	0.77
SwatIII (Regular Datacenter)	Two 8-core SandyBridge Xeon	2.6	16	41.6	4-HDD (SATA)	0.15	0.60	256	16	0.015	6.15
CeresII (MicroBrick-based Hyperscale System)	One 6-core Xeon	2	6	12	1-SSD (NVMe)	2	2	64	40	0.33	5.33

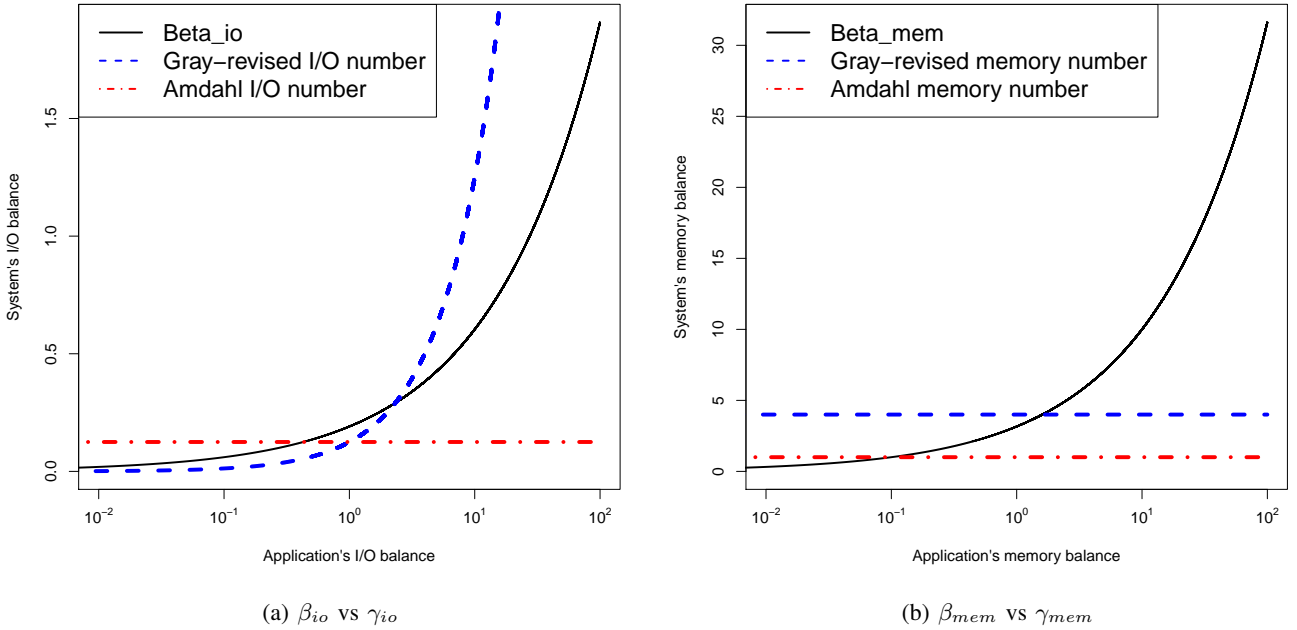


Fig. 1: Change in system's optimum I/O and memory balance ( $\beta_{io}$  and  $\beta_{mem}$ ) with respect to Intel Xeon processor ( $\delta_{io} = 13.57$  and  $\delta_{mem} = 0.08$ ) for different types of applications (varying values of  $\gamma_{io}$  and  $\gamma_{mem}$ )

and  $\beta_{mem} = 0.77$  a magnitude smaller than the optimum produced by our model for a data-, compute- and memory-intensive application as shown in Equation-16 and Equation-17. According to Figure-1 SuperMikeII can provide optimal performance only for compute-intensive applications, precisely for those application with  $\gamma_{io} = x$  and  $\gamma_{mem} = y$ .

2) *SwatIII (Existing Datacenter)*: Unlike SuperMikeII which use only one HDD per node, SwatIII uses 4-HDDs per node using JBOD (Just a Bunch of Disk) conguration while using the same processor (i.e. two 8-core Intel SandyBridge Xeon) as SuperMikeII. Since the I/O throughput increases linearly with number of disks, SwatIII's  $\beta_{io} = 0.015$  is higher than SuperMikeII but lower than the optimum produced by our model for an I/O- and compute-intensive application (Equation-16). On the other hand, each SwatIII node has 256GB DRAM, thus achieve very high value for  $\beta_{mem} =$

6.15. It is to be noticed that  $\beta_{mem}$  of SwatIII is even higher than the optimum produced by the model (Equation-17). Thus, according to Figure-1 SwatIII can produce optimal performance for moderately I/O-intensive applications and for memory-intensive applications, precisely when  $\gamma_{io} = x$  and  $\gamma_{mem} = y$ .

3) *CeresII (MicroBrick-based Hyperscale System)*: The last one, CeresII is a novel hyperscale system based on Samsung MicroBricks. It uses one 6-core Intel Xeon processor with a core frequency of 2-GHz. Each MicroBrick (or, simply the computation module) of CeresII consists of a 6-core Intel Xeon processor with a core frequency of 2-GHz, two NVMe-SSD (Samsung) each with an I/O bandwidth of 2GBPS, and 64-GB DRAM.  $\beta_{io}$  of CeresII is 0.32 which is closer to the optimum produced by our model in Equation-16. On the other hand,  $\beta_{mem}$  of each CeresII module is 5.33. Although, it is higher

than the optimal, it is significantly less than SwatIII. Thus, CeresII is the most balanced cluster among all the available resources and we expect to get the best cost to performance for today's I/O-, compute- and memory-intensive applications for which  $\gamma_{io} = \gamma_{mem} = 1$ .

## VII. BENCHMARK APPLICATIONS: THEIR SIZES AND CHARACTERISTICS

### A. Choice of data processing framework

To evaluate the relative merits of the clusters with respect to data-intensive scientific applications, first we need a big data analytic platform. The obvious selection is Hadoop which is nowadays the de facto standard for big data analysis and rapidly gaining popularity in scientific computing as well. We also evaluate the cluster hardware with respect to Giraph which is a large scale, vertex centric, in-memory graph processing framework developed atop Hadoop using Valiant's theory for Bulk Synchronous processing. We use Cloudera-Hadoop-2.3.0 and Giraph-1.1.0 for the entire study and use the Cloudera-Manager-5.0.0 for monitoring the system behavior.

Since our goal is to evaluate the underlying hardware components and their, we avoid any unnecessary change in the source code of Hadoop or Giraph. To evaluate the balance between the hardware components, we set up the Hadoop parameters such that the application can make use of the maximum available processing speed, I/O bandwidth and DRAM. However, it is worthy to mention here, due to limited I/O bandwidth in SuperMikeII (traditional HPC cluster with only 1-HDD per node) we could launch only 8 concurrent YARN containers (or simply, 8 concurrent map/reduce tasks) i.e., half of the total number of cores to get the optimal performance. Appendix-A shows a brief description of our Hadoop optimization techniques.

### B. Benchmark Application

Table-IV summarizes the details of the benchmark applications, the data size handled by each of these applications and their corresponding characteristics. We used three benchmark application for our evaluation: TeraSort, WordCount, and a real world genome assembly application. A brief description of each of these applications are as follows:

1) *TeraSort*: The first one is TeraSort, a standard benchmark for any data processing framework. The Hadoop version of TeraSort samples and partitions the input data in its map phase based upon only first few characters. The reduce phase then uses quicksort algorithm to sort each of the partition locally.

We generated the data for this application using TeraGen program. We used 1TB of data as input for TeraSort. TeraSort generate almost similar amount data in the intermediate shuffle phase as their input. The output data size of TeraSort is same as its input, i.e., 1TB in this work. The map phase of TeraSort is CPU-intensive as it reads only the first few characters of each row in the input dataset to generate the key (called sample-key) for each data. However, based upon the data size reduce phase can be severely I/O-intensive. The entire dataset needs to be transferred to different reducers and each reducer needs

to read each row at least once to sort the data set. Again, for huge volume of data partition, that cannot fit in memory, an external sort may be applied making the reduce phase severely I/O bound.

2) *WordCount*: The second one is WordCount, another widely used Hadoop benchmark. The map phase of WordCount parse the input data set line by line to extract each word. Each word is emitted with a 1 as its initial count which is then summed up in the reduce phase to output its frequency.

We ran the TeraGen program and generated another 1TB random dataset as the input for the WordCount benchmark. Like TeraGen, WordCount also generates almost similar amount of intermediate shuffle data. However, unlike TeraGen, the output of WordCount may vary based upon the frequency of different words in the randomly generated dataset. In our case it was almost 950GB. Since both the map and reduce phase read the entire data set sequentially just once, both the map and reduce phase of WordCount is CPU-intensive.

3) *Genome Assembly*: The third one is a genome assembly application that we developed based on Hadoop and Giraph to address the challenges in large scale genome assembly that recently made its way to the forefront of big data challenges. The first phase uses Hadoop to scan the genome data set to filter out the lines containing only nucleotide characters (i.e., A, T, G, and C) and then divides each of those lines into smaller fragments of length  $k$  known as  $k$ -mer. Map phase emits each two subsequent  $k$ -mers as key-value pair representing a vertex and an edge from it. The reduce phase then aggregates all the edges emitted from each vertex to write the entire graph structure to HDFS. The second phase of the assembly application uses Giraph to compress each of the linear chains in the graph structure to one vertex and remove the tip and bubble structure in the graph (included because of sequencing error). After removing the tips and bubble structure the graph may have some new linear chains which are compressed again. Similarly, new tip and bubble structures are removed again. So, the process continues incrementally as a series of Giraph jobs until there is no tip or bubble in the graph. Appendix-B shows the overview of our genome assembly application.

In this work we use two different genome datasets: 1) a moderate size bumble bee genome dataset (90GB) and 2) a large size human genome dataset (452GB). The corresponding graph sizes are 95GB and 3.2TB based upon the number of unique  $k$ -mers (using  $k = 31$  in both the cases) in the data set. The Hadoop stage of the assembly application is severely shuffle intensive. For both the dataset the size of the temporary shuffle data is almost 21-times more than the input size. The bumble bee genome is available in Genome Assembly Gold-standard Evaluation (GAGE [25]) website<sup>1</sup> in fastq format. The Human genome is available in NCBI website with accession number SRX016231<sup>2</sup>.

<sup>1</sup><http://gage.cbcb.umd.edu/>

<sup>2</sup>[http://www.ncbi.nlm.nih.gov/sra/SRX016231\[accn\]](http://www.ncbi.nlm.nih.gov/sra/SRX016231[accn])



TABLE IV: Data size for different benchmark applications

Job name	Job Type	Input	Final output	# jobs	Shuffled data	HDFS Data	Application Characteristics
Terasort	Hadoop	1TB	1TB	1	1TB	1TB	Map: CPU-intensive, Reduce: I/O-intensive
Wordcount	Hadoop	1TB	1TB	1	1TB	950GB	Map and Reduce: CPU-Intensive
Graph Construction (moderate size bumble bee genome)	Hadoop	90GB (500-million reads)	95GB	2	2TB	136GB	Map and Reduce: CPU- and I/O-intensive
Graph Simplification (moderate size bumble bee genome)	Series of Giraph jobs	95GB (71581898 vertices)	640MB (62158 vertices)	15	-	966GB	Memory-intensive
Graph Construction (large size human genome)	Hadoop	452GB (2-billion reads)	3TB	2	9.9TB	3.2TB	Map and Reduce: CPU- and I/O-intensive
Graph Simplification (large size human genome)	Series of Giraph jobs	3.2TB (1483246722 vertices)	3.8GB (3032297 vertices)	15	-	4.1TB	Memory-Intensive

TABLE V: Performance result of different cluster architecture for different applications

Cluster Configurations	SuperMikeII	SwatIII	CeresII
Total cost (\$)	57060	57060	57060
Cost/DN (\$)	3804	6911	2282
#DN	15	8	25
Total processing speed (GHz)	624.00	330.83	300.00
Total I/O bandwidth (GBPS)	2.25	4.80	50.00
Total storage space (TB)	7.50	16.00	25.00
Total DRAM Size (TB)	0.48	2.00	1.60

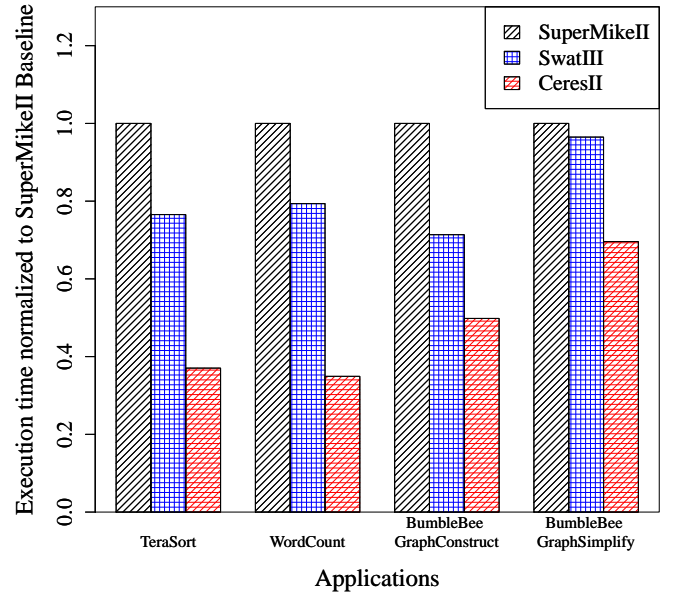
TABLE VI: Resources available for each cluster architecture keeping the total cost of the cluster same. The cost of 15 datanodes of SuperMikeII is used as baseline

## VIII. RESULT AND DISCUSSION

### A. Overall performance Evaluation Keeping Cost of the Cluster Same

To show the balance between performance and economy we keep the total cost same across all the clusters. Table-II shows the available resources for all the three cluster architectures available to us i.e. SuperMikeII, SwatIII and CeresII while keeping the total cost same across all the clusters. We used the cost of 15 datanodes of SuperMikeII as the baseline. Then, we divided this baseline-cost by the cost of each node in SwatIII and CeresII to count the number of nodes to be used in these two different clusters.

We ran the first three applications described in Table-?? in all the three cluster configurations and measured their execution time. All results are the means of at least 3 runs of each job on each configuration. Figure-V shows the results normalized to the SuperMikeII baseline. That is, the execution time of SuperMikeII is always 1. We see that CeresII being closer to the optimal produced by our model performs surprisingly well. For both TeraSort and WordCount benchmark application, CeresII shows almost 65% improvement over the SuperMikeII baseline. For Hadoop and Giraph stage of the



bumble bee (90GB) genome assembly application, it shows almost 50% and 30% improvement respectively over the baseline. Comparing to SwatIII, CeresII shows almost 40% improvement in execution time for TeraSort and WordCount. For the our genome assembly benchmark evaluation it CeresII shows more 20% and 26% improvement in the Hadoop and Giraph stage respectively.

### B. Phase by phase analysis on Different Cluster Configuration

## IX. CONCLUSION

The conclusion goes here.

## APPENDIX A

### HADOOP CONFIGURATION AND OPTIMIZATION

Since our goal is to evaluate the underlying hardware components and their, we avoid any unnecessary change

in the source code of Hadoop or Giraph. To evaluate the balance between the hardware components, we set up the Hadoop parameters such that the application can make use of the maximum available processing speed, I/O bandwidth and DRAM. We use Cloudera-Hadoop-2.3.0 and Giraph-1.1.0 for the entire study and use the Cloudera-Manager-5.0.0 for monitoring the system behavior. In this section we provide our evaluation methodology in details. A brief description of the Hadoop-parameters that we changed are as follows.

**Number of concurrent YARN containers:** After performing rigorous testing, we observed, that for SuperMikeII and SwatIII-Basic-HDD (1-HDD/DN cases), 8-containers/DN (i.e., half of total cores/node) show the best result. For any other cluster, number of concurrent containers per datanode is kept equal to the number of cores per node.

**Amount of memory per container and Java-heap-space:** In each node in any node of any cluster, we kept 10% of the memory for the system use. The rest of the memory is equally divided among the launched containers. The Java heap space per worker is always set to lower than memory per container as per normal recommendation.

**Total number of Reducers:** Based on the observation of job profiles, we observed that 2-times of reducers than number of concurrent containers produce good performance in general.

**Giraph workers:** The number of Giraph workers is set according to the number of concurrent YARN containers.

**Other Giraph parameters:** We always used enough memory to accommodate the graph structure in memory and always avoided using the out-of-core execution feature of Giraph, which writes huge data to the disk. We also avoided using the checkpoint feature for the same reason.

## APPENDIX B GENOME ASSEMBLY ALGORITHMS

The motivation behind selecting genome assembly application is that, the high throughput next generation DNA sequencing machines (e.g., Illumina Genome Analyzer) has outpaced Moore's law and started producing a huge amount of short read sequences typically in the scale of several Gigabytes to Terabytes. Furthermore, the size of the de Bruijn graph built from these vast amount of short reads may be a magnitude higher than the reads itself making the entire assembly pipe line severely data-intensive.

De novo genome assembly refers to the construction of an entire genome sequence from a huge amount of small, overlapping and erroneous fragments called short read sequences while no reference genome is available. The problem can be mapped as a simplified de Bruijn graph traversal [26]. We classified the de novo assembly in two stages as follows: a) Hadoop-based de Bruijn graph-construction and b) Giraph-based graph-simplification. In this section, we provide a brief overview of each stage of the assembler.

### A. Hadoop-based De Bruijn graph-construction (I/O- and compute-intensive workload)

After filtering the actual short reads (i.e., the line containing only nucleotide characters *A*, *T*, *G*, and *C*) from a standard

fastq file, an extremely shuffle-intensive Hadoop job creates the graph from these reads. the Hadoop map task divides each read into several short fragments of length  $k$  known as  $k$ -mers. Two subsequent  $k$ -mers are emitted as an intermediate key-value pair that represents a vertex and an edge (emitted from that vertex) in the de Bruijn graph. The reduce function aggregates the edges (i.e the value-list) of each vertex (i.e., the  $k$ -mer emitted as key) and, finally, writes the graph structure in the HDFS in the adjacency-list format. Based upon the value of  $k$  (determined by biological characteristics of the species), the job produces huge amount of shuffled data. For example, for a read-length of 100 and  $k$  of 31 the shuffled data size is found to be 20-times than the original fastq input. On the other hand, based upon the number of unique  $k$ -mers, the final output (i.e., the graph) can vary from 1 to 10 times of the size of the input.

### B. Giraph-based Graph Simplification (memory- and compute-intensive workload)

The large scale graph data structure produced by the last MapReduce stage is analyzed here. This stage consists of a series of memory-intensive Giraph jobs. Each Giraph job consists of three different types of computation: compress linear chains of vertices followed by removing the tip-structure and then the bubble-structure (introduced due to sequencing errors) in the graph. Giraph can maintain a counter on the number of supersteps and the master-vertex class invokes each type of computation based on that.

## ACKNOWLEDGMENT

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