

# Minimum Spanning Trees

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# Minimum Spanning Trees (MST)

## Definitions:

- A subgraph of an undirected graph that spans (includes) all nodes, is connected and has minimum total edge weight.

## Prim's Algorithm

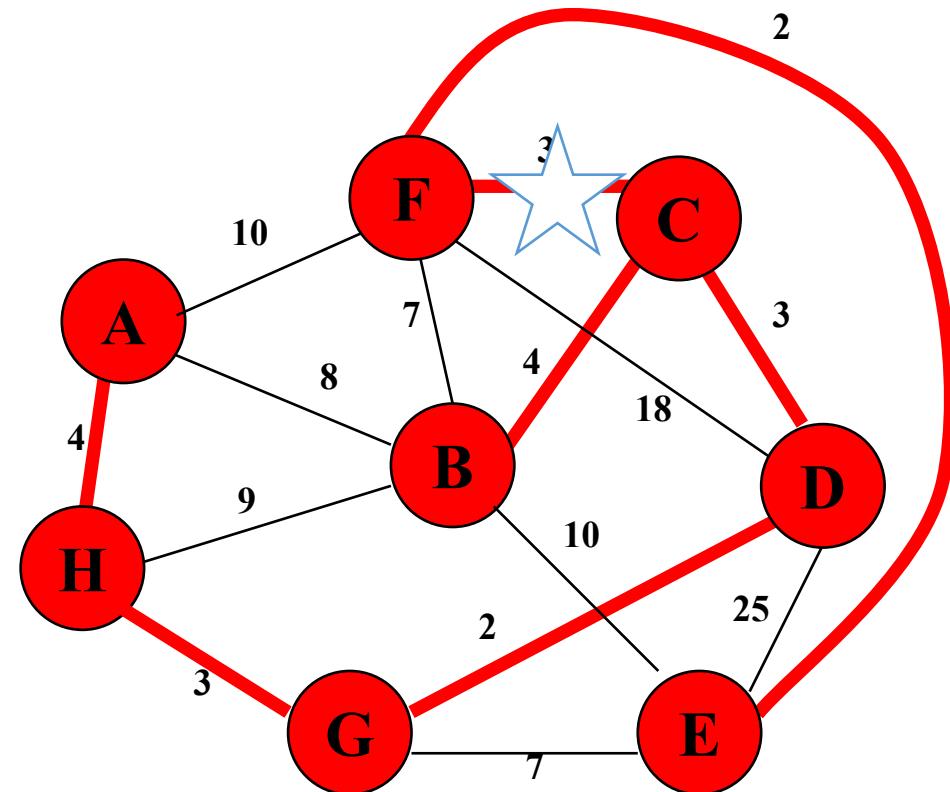
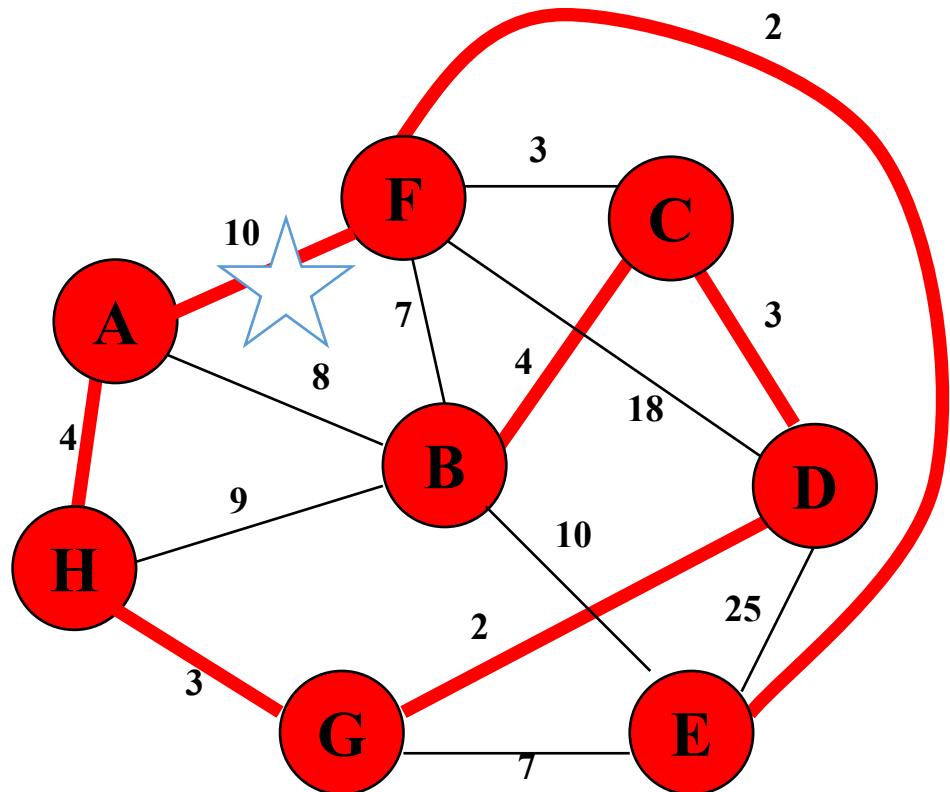
- Start with any vertex  $s$  and **greedily** grow a tree  $T$  from  $s$ .
- At each step, add the **cheapest** edge to  $T$  that has exactly one endpoint in  $T$
- Similar to Dijkstra's Algorithm

## Kruskal's Algorithm

- Sort edges in ascending order of cost.
- Add the next edge to  $T$  unless doing so would create a cycle
- Focuses on edges, rather than nodes

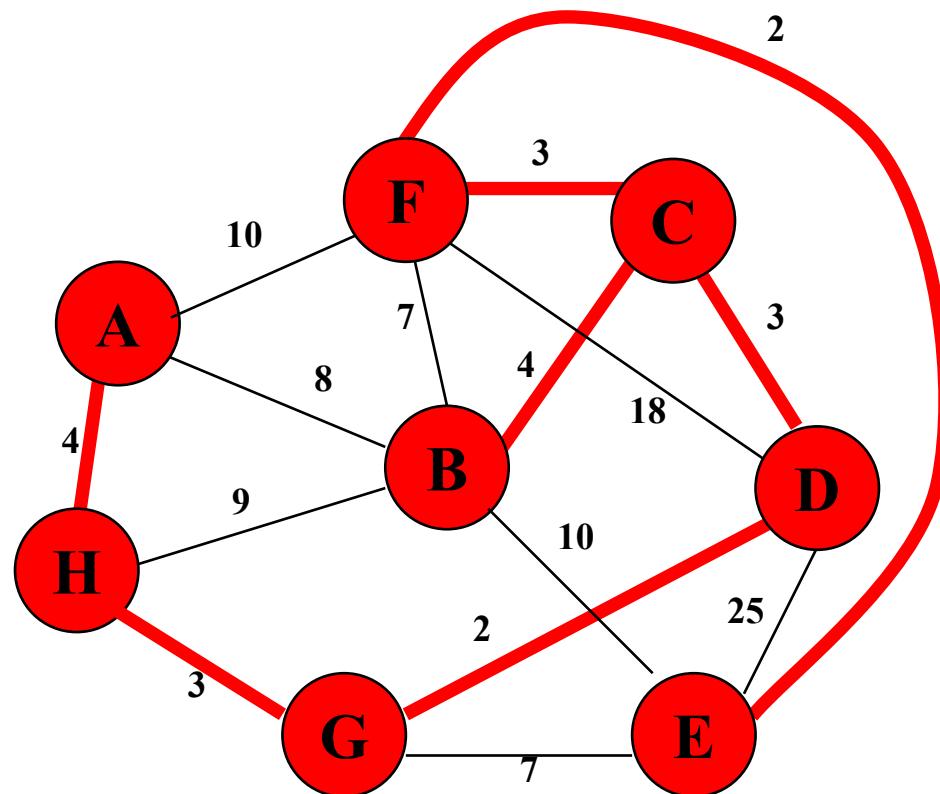
# Minimum Spanning Trees (MST)

Given an undirected graph G, finding a spanning tree that includes all nodes and has minimum total edge weight.



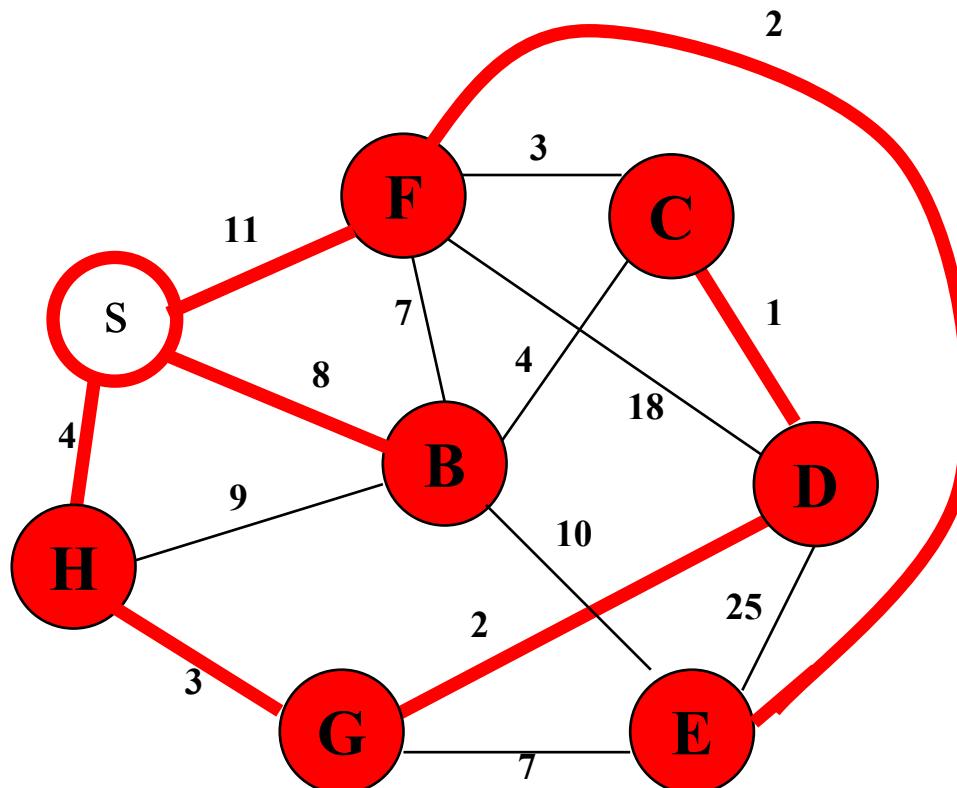
# Minimum Spanning Trees (MST)

Given an undirected graph  $G$ , finding a spanning tree that includes all nodes and has minimum total edge weight.



# Single-Source Shortest Path Problem

Finding shortest paths from a source vertex  $s$  to all other vertices in the graph.



# Algorithm Characteristics

- Prim's Algorithm
  - Start with any vertex  $s$  and **greedily** grow a tree  $T$  from  $s$ .
  - At each step, add the cheapest edge to  $T$  that has exactly one endpoint in  $T$ .
- Kruskal's Algorithm
  - Sort edges in ascending order of cost.
  - Add the next edge to  $T$  unless doing so would create a cycle
  - Focuses on edges, rather than nodes
- Both work with undirected graphs
- Both are **greedy** algorithms that produce optimal solutions

# Prim's Algorithm

- Start with any vertex  $s$  and greedily grow a tree  $T$  from  $s$ .
- Repeat the same process until no more nodes outside  $T$ 
  - Among all nodes outside  $T$ , pick a node, say  $v$ , with the **cheapest edge to  $T$**  (denoted  $d_v$ )
  - Connect  $v$  to  $T$

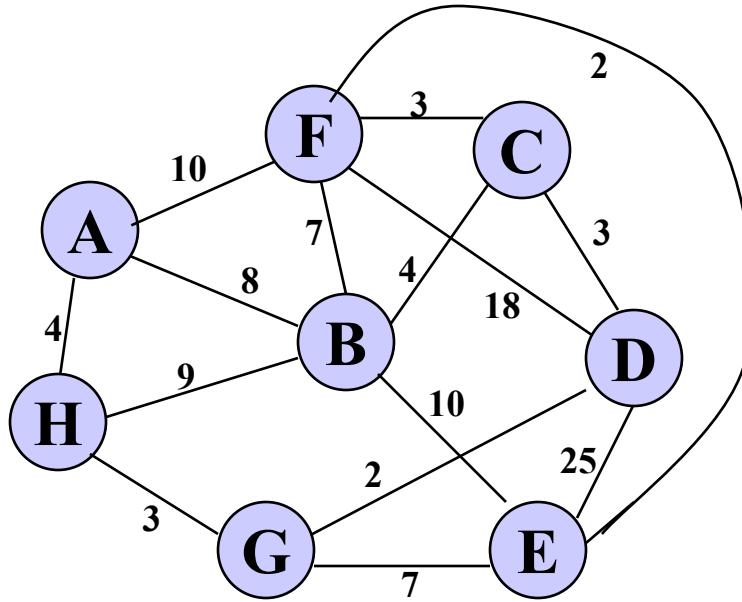
# Dijkstra's Algorithm

- Start with any vertex  $s$  and greedily grow a tree  $T$  from  $s$ .
- Repeat the same process until no more nodes outside  $T$ 
  - Among all nodes outside  $T$ , pick a node, say  $v$ , with the **shortest path length to  $s$**  (denoted  $d_v$ )
  - Connect  $v$  to  $T$

Similar to Prim's Algorithm except that

- There is a source node  $s$
- $d_v$  records shortest path length to  $s$ , not the cheapest edge to  $T$

# Prim's Algorithm: Walk-Through



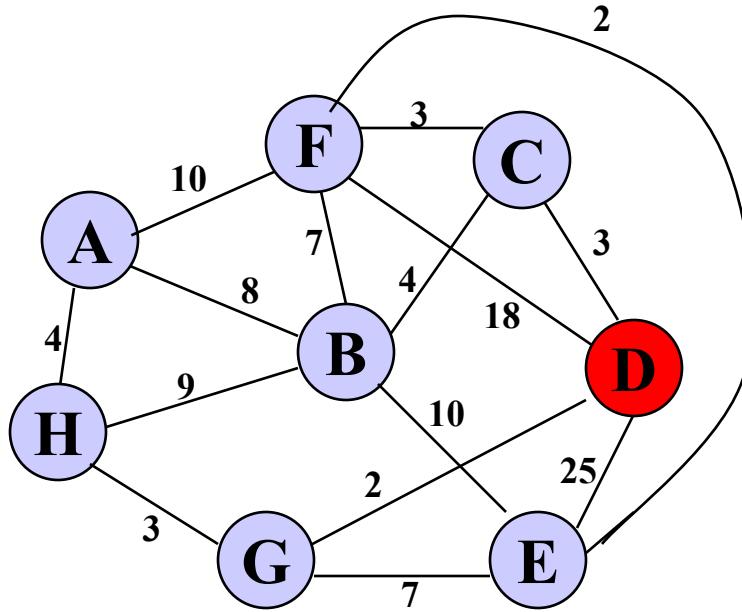
Initialize array

	$K$	$d_v$	$p_v$
A	F	$\infty$	-
B	F	$\infty$	-
C	F	$\infty$	-
D	F	$\infty$	-
E	F	$\infty$	-
F	F	$\infty$	-
G	F	$\infty$	-
H	F	$\infty$	-

$d_v$  = Cheapest edge cost to T

$p_v$  = Node in T to which the cheapest edge is connected

# Prim's Algorithm: Walk-Through



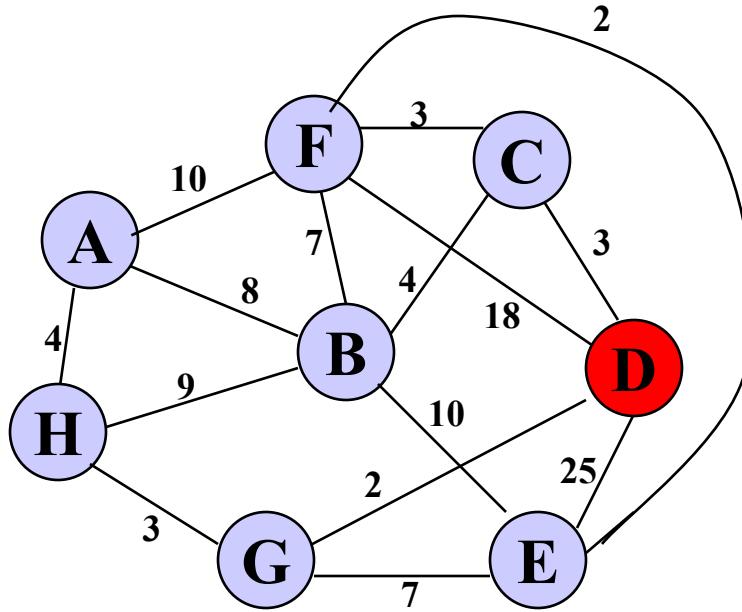
Start with any node, say D

	$K$	$d_v$	$p_v$
A			
B			
C			
D	T	0	-
E			
F			
G			
H			

$d_v$  = Cheapest edge cost to T

$p_v$  = Node in T to which the cheapest edge is connected

# Prim's Algorithm: Walk-Through



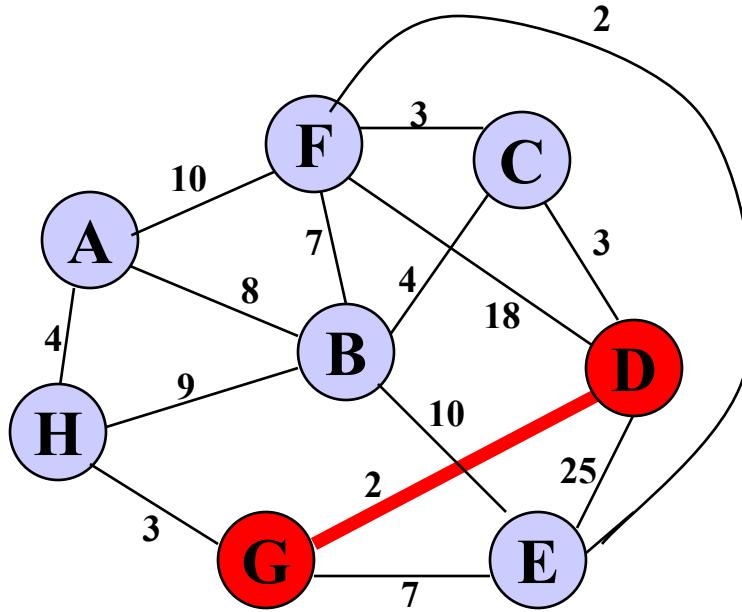
Update distances of  
adjacent, unselected nodes

	<i>in tree</i>	$d_v$	$p_v$
A			
B			
C		3	D
D	T	0	-
E		25	D
F		18	D
G		2	D
H			

$d_v$  = Cheapest edge cost to T

$p_v$  = Node in T to which the cheapest edge is connected

# Prim's Algorithm: Walk-Through



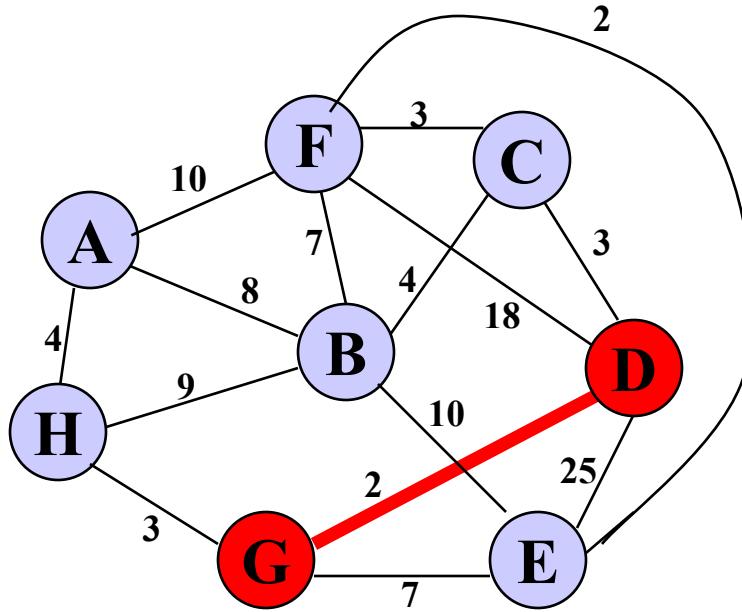
Select node with minimum distance

	$K$	$d_v$	$p_v$
A			
B			
C		3	D
D	T	0	-
E		25	D
F		18	D
G	T	2	D
H			

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$p_v$  = Node in T to which the cheapest edge is connected

# Prim's Algorithm: Walk-Through



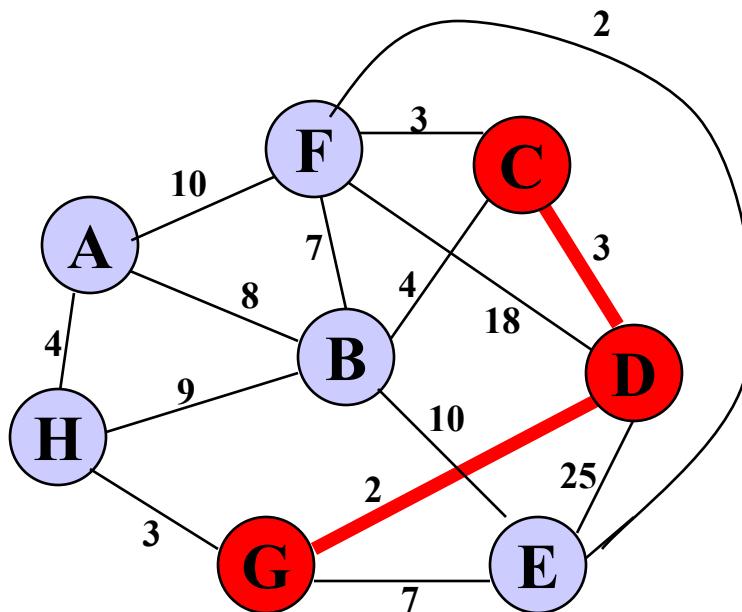
Update distances of  
adjacent, unselected nodes

	$K$	$d_v$	$p_v$
A			
B			
C		3	D
D	T	0	-
E		7	G
F		18	D
G	T	2	D
H		3	G

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# Prim's Algorithm: Walk-Through



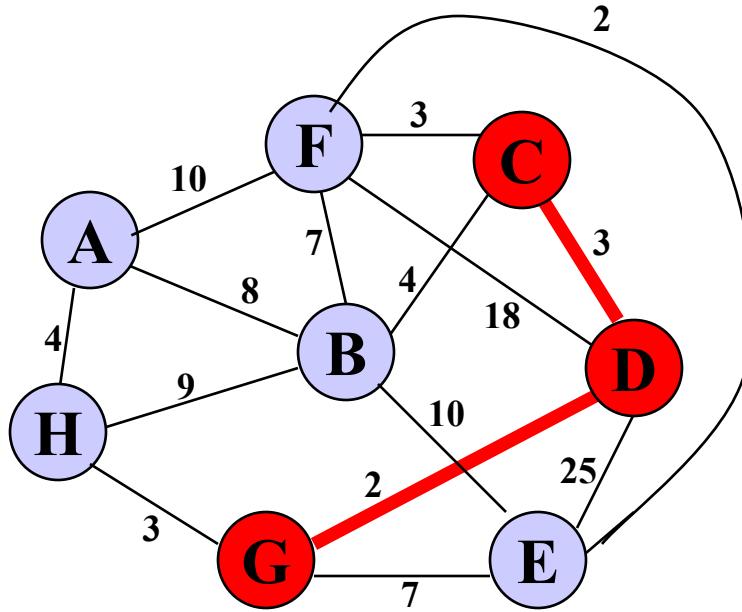
Select node with minimum distance

	$K$	$d_v$	$p_v$
A			
B			
C	T	3	D
D	T	0	-
E		7	G
F		18	D
G	T	2	D
H		3	G

$d_v$  = Cheapest edge cost to T

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# Prim's Algorithm: Walk-Through



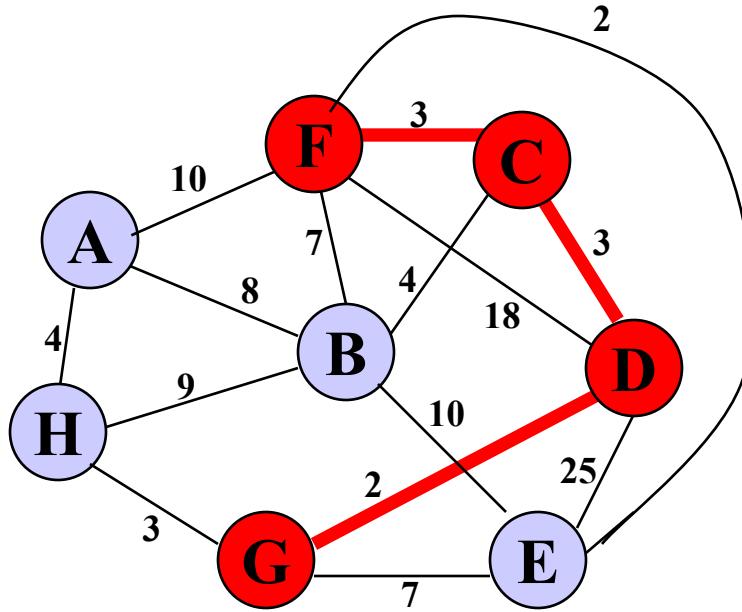
Update distances of adjacent, unselected nodes

	$K$	$d_v$	$p_v$
A			
B		4	C
C	T	3	D
D	T	0	-
E		7	G
F		3	C
G	T	2	D
H		3	G

$d_v$  = Cheapest edge cost to T

$p_v$  = Node in T to which the cheapest edge is connected

# Prim's Algorithm: Walk-Through



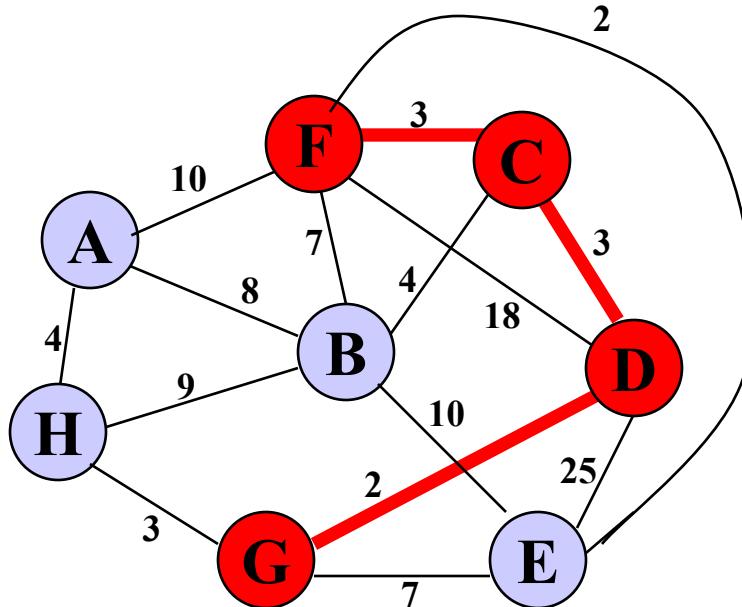
Select node with minimum distance

	$K$	$d_v$	$p_v$
A			
B		4	C
C	T	3	D
D	T	0	-
E		7	G
F	T	3	C
G	T	2	D
H		3	G

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$p_v$  = Node in T to which the cheapest edge is connected

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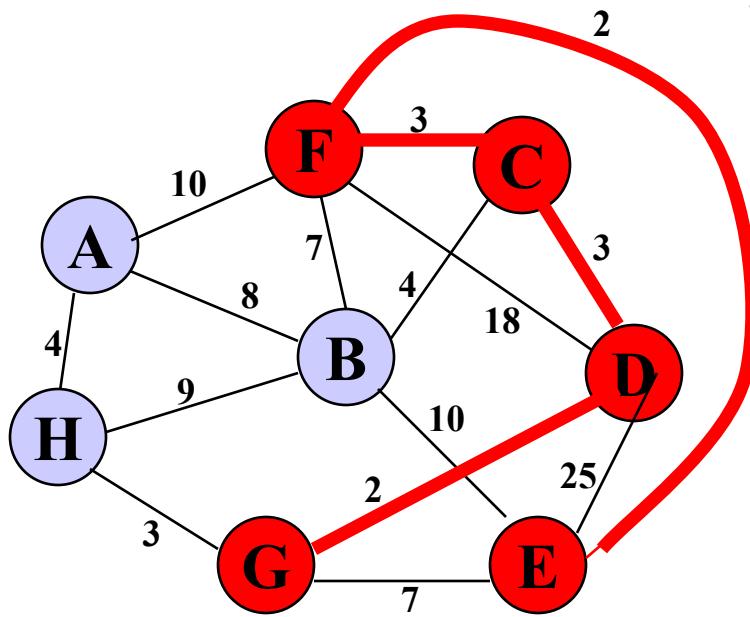
Update distances of  
adjacent, unselected nodes

	$K$	$d_v$	$p_v$
A		10	F
B		4	C
C	T	3	D
D	T	0	-
E		2	F
F	T	3	C
G	T	2	D
H		3	G

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$p_v$  = Node in T to which the cheapest edge is connected

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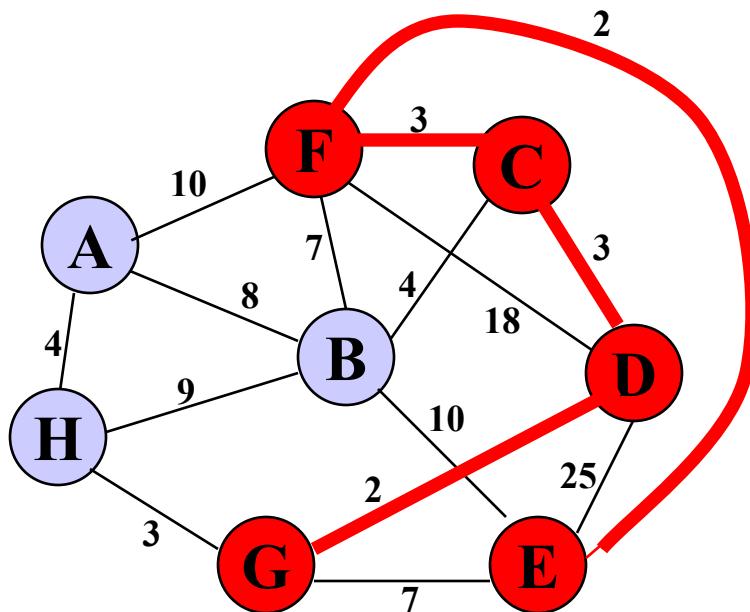
Select node with minimum distance

	$K$	$d_v$	$p_v$
A		10	F
B		4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C
G	T	2	D
H		3	G

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# Prim's Algorithm: Walk-Through



Update distances of  
adjacent, unselected nodes

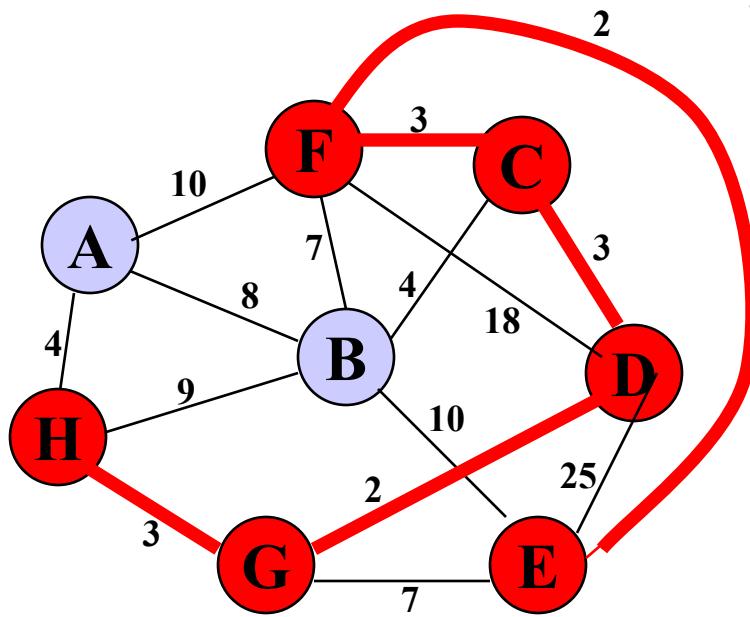
	$K$	$d_v$	$p_v$
A		10	F
B		4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C
G	T	2	D
H		3	G

Table entries unchanged

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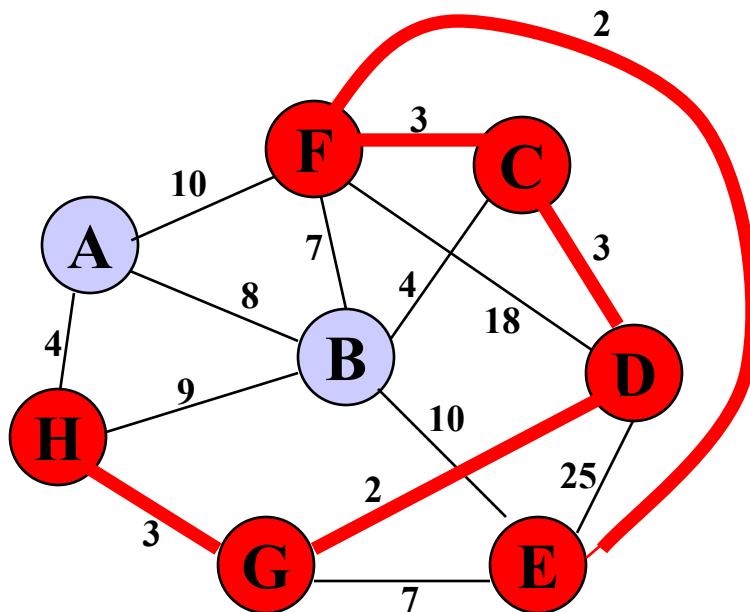
Select node with minimum distance

	$K$	$d_v$	$p_v$
A		10	F
B		4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C
G	T	2	D
H	T	3	G

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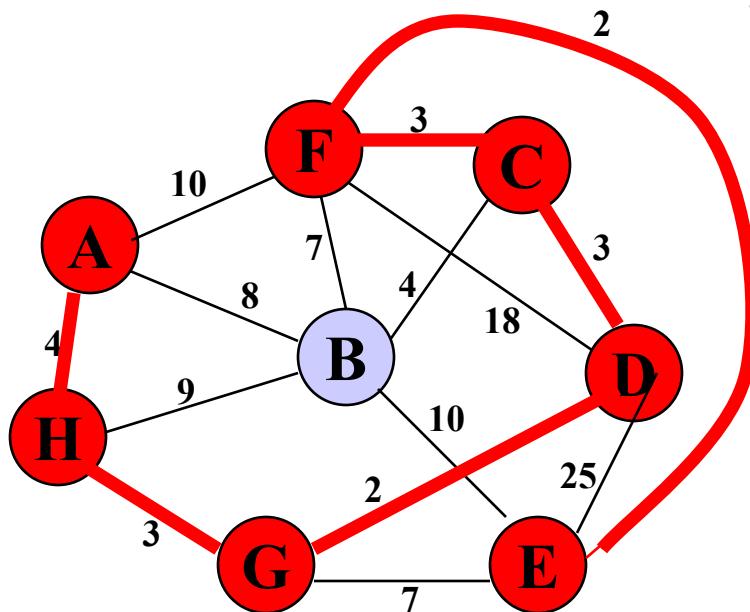
Update distances of  
adjacent, unselected nodes

	$K$	$d_v$	$p_v$
A		4	H
B		4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C
G	T	2	D
H	T	3	G

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# Prim's Algorithm: Walk-Through



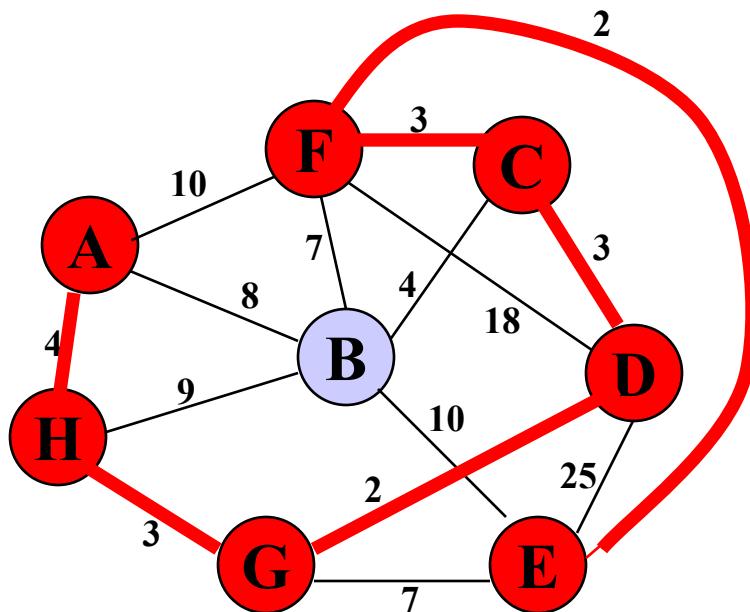
Select node with minimum distance

	$K$	$d_v$	$p_v$
A	T	4	H
B		4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C
G	T	2	D
H	T	3	G

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# Prim's Algorithm: Walk-Through



Update distances of  
adjacent, unselected nodes

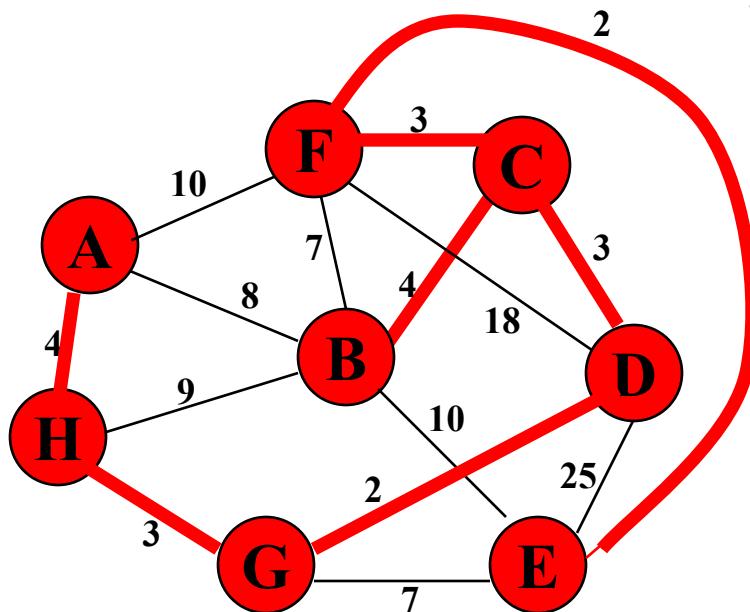
	$K$	$d_v$	$p_v$
A	T	4	H
B		4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C
G	T	2	D
H	T	3	G

Table entries unchanged

$d_v$  = Cheapest edge cost to T

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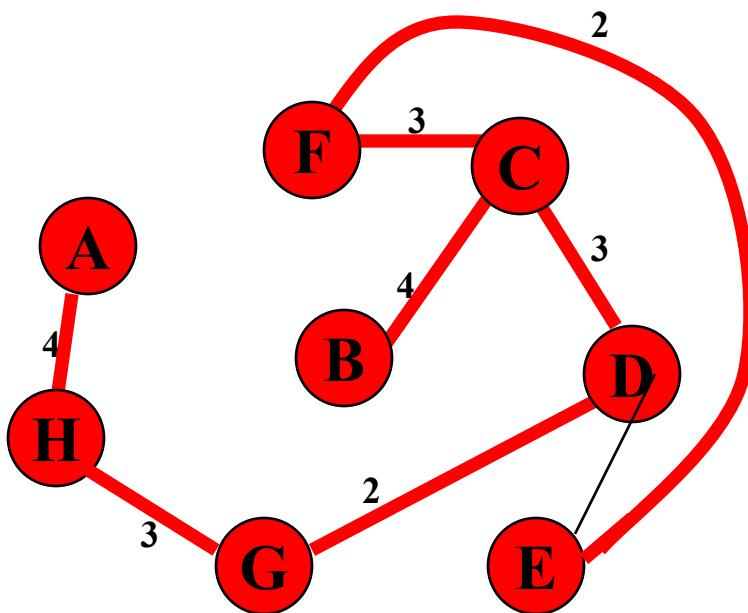
Select node with minimum distance

	$K$	$d_v$	$p_v$
A	T	4	H
B	T	4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C
G	T	2	D
H	T	3	G

$d_v$  = Cheapest edge cost to T

$p_v$  = Node in T to which the cheapest edge is connected

# Prim's Algorithm: Walk-Through



Cost of Minimum  
Spanning Tree =  $\Sigma d_v = \mathbf{21}$

	$K$	$d_v$	$p_v$
A	T	4	H
B	T	4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C
G	T	2	D
H	T	3	G

$d_v$  = Cheapest edge cost to T

$p_v$  = Node in T to which the cheapest edge is connected

# Prim's Algorithm:

MST-PRIM( $G, w, r$ )

1 for each  $u \in G.V$

2    $u.key \leftarrow \infty$

3    $u.\pi \leftarrow \text{NIL}$

4    $r.key \leftarrow 0$

5    $Q \leftarrow G.V$

6   while  $Q \neq \emptyset$

7      $u \leftarrow \text{EXTRACT-MIN}(Q)$

8     for each  $v \in G.\text{Adj}[u]$

9       if  $v \in Q$  and  $w(u, v) < v.key$

10           $v.\pi \leftarrow u$

11           $v.key \leftarrow w(u, v)$

USING A BINARY HEAP:  $O(V \log V + E \log V)$

USING A FIBONACCI HEAP:  $O(E + V \log V)$

# Kruskal's Algorithm:

KRUSKAL(G):

1  $A = \emptyset$

2 foreach  $v \in G.V$ :

3   MAKE-SET( $v$ )

4 foreach  $(u, v)$  ordered by  $\text{weight}(u, v)$ , increasing:

5   if  $\text{FIND-SET}(u) \neq \text{FIND-SET}(v)$ :

6      $A = A \cup \{(u, v)\}$

7      $\text{UNION}(u, v)$

8 return  $A$

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

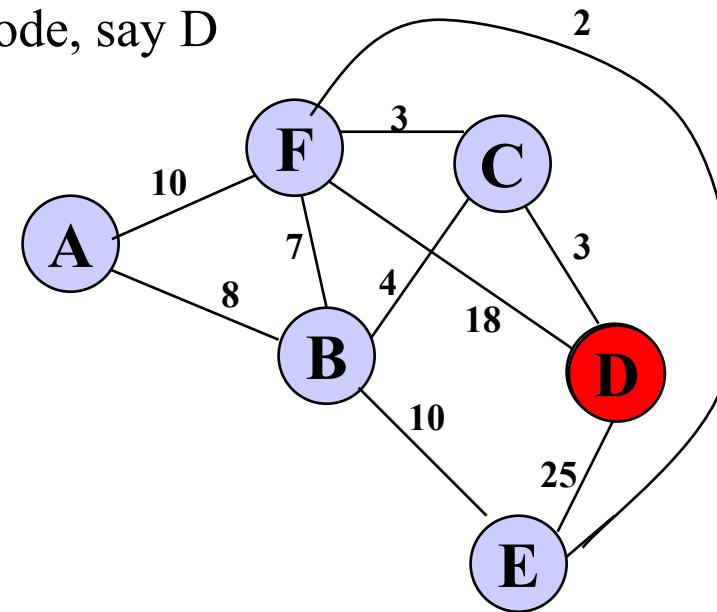
Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

# Prim's Algorithm

	$K$	$d_v$	$p_v$
A			
B			
C			
D	T	0	-
E			
F			

Start with any node, say D



Fill in the above table (5pt)

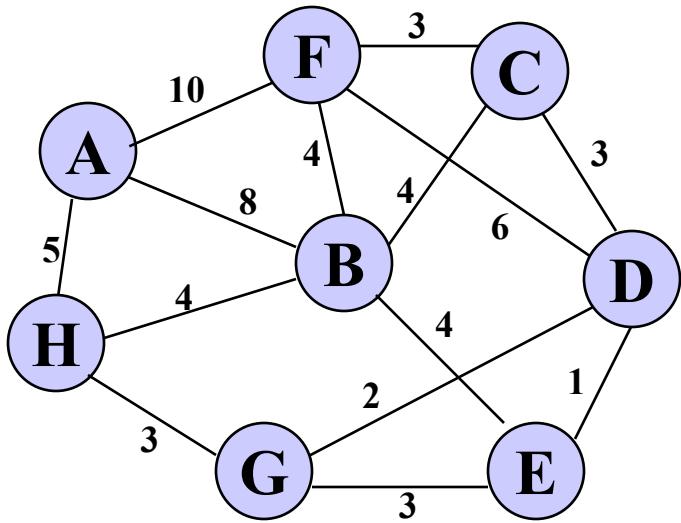
What is the cost of the minimum spanning tree? (1pt)

# Kruskal's Algorithm

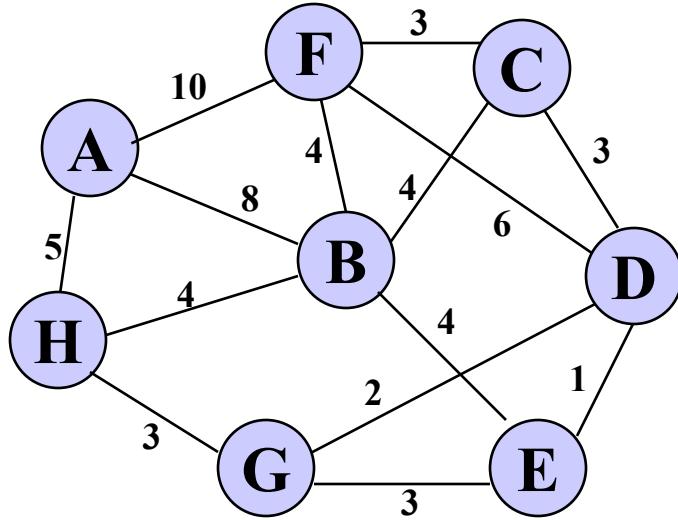
- Work with edges, rather than nodes
- Two steps:
  - Sort edges by increasing edge weight
  - Select the first  $|V| - 1$  edges that do not generate a cycle

# Kruskal's Algorithm: Walk-Through

Consider an undirected, weight graph



# Kruskal's Algorithm: Walk-Through



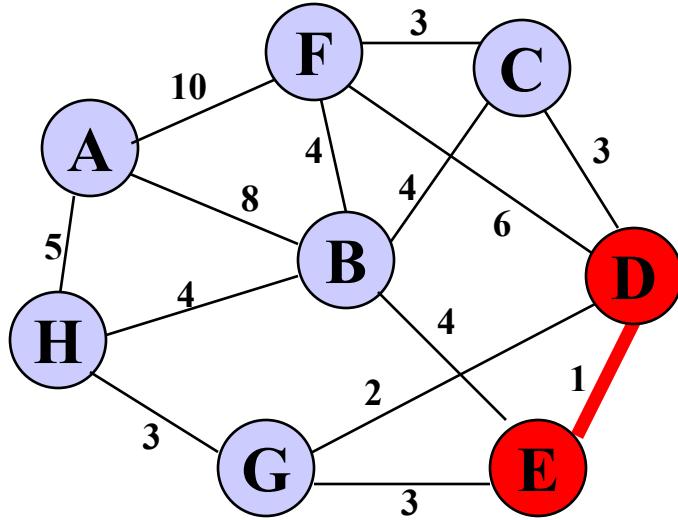
Sort the edges by increasing edge weight

edge	$d_v$	
(D,E)	1	
(D,G)	2	
(E,G)	3	
(C,D)	3	
(G,H)	3	
(C,F)	3	
(B,C)	4	

edge	$d_v$	
(B,E)	4	
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle

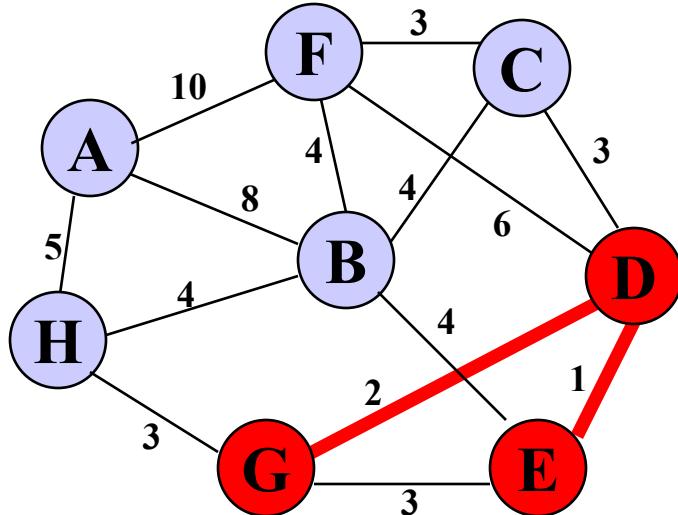


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	
(E,G)	3	
(C,D)	3	
(G,H)	3	
(C,F)	3	
(B,C)	4	

edge	$d_v$	
(B,E)	4	
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle

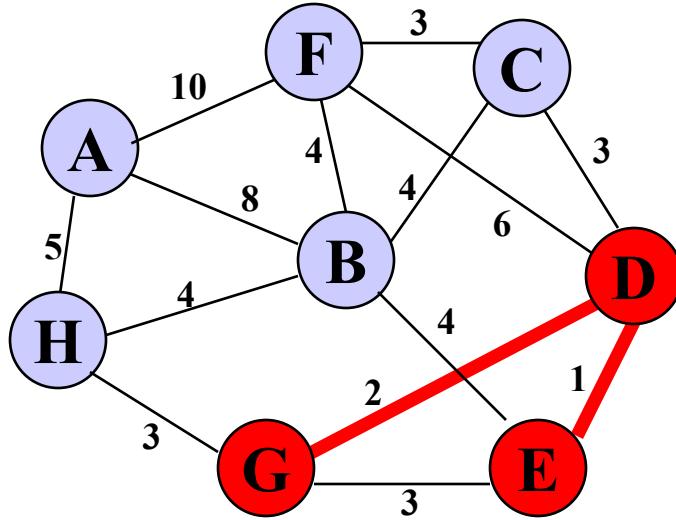


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	
(C,D)	3	
(G,H)	3	
(C,F)	3	
(B,C)	4	

edge	$d_v$	
(B,E)	4	
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle



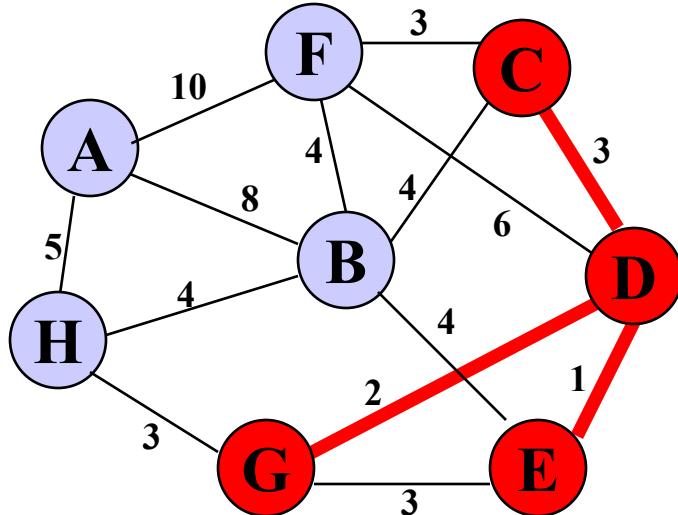
edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	
(G,H)	3	
(C,F)	3	
(B,C)	4	

edge	$d_v$	
(B,E)	4	
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

Accepting edge (E,G) would create a cycle

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle

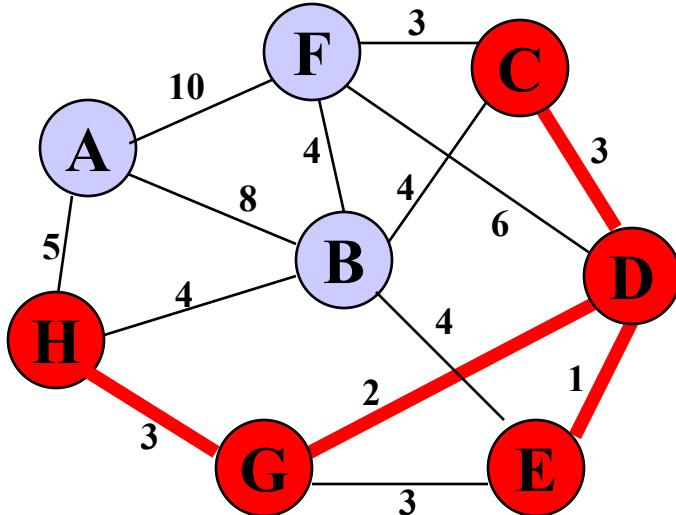


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	
(C,F)	3	
(B,C)	4	

edge	$d_v$	
(B,E)	4	
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle

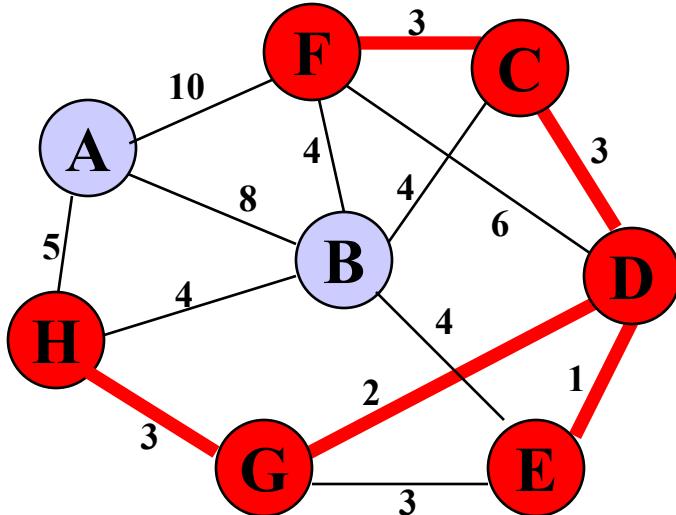


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	✓
(C,F)	3	
(B,C)	4	

edge	$d_v$	
(B,E)	4	
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle

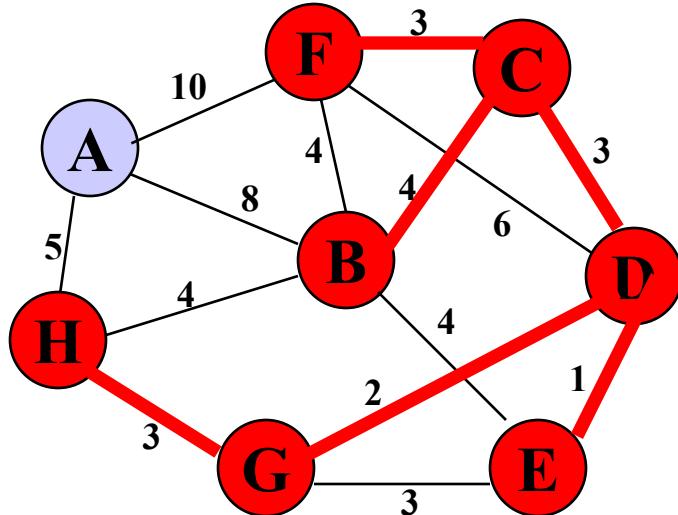


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	✓
(C,F)	3	✓
(B,C)	4	

edge	$d_v$	
(B,E)	4	
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

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Select first  $|V|-1$  edges which do not generate a cycle

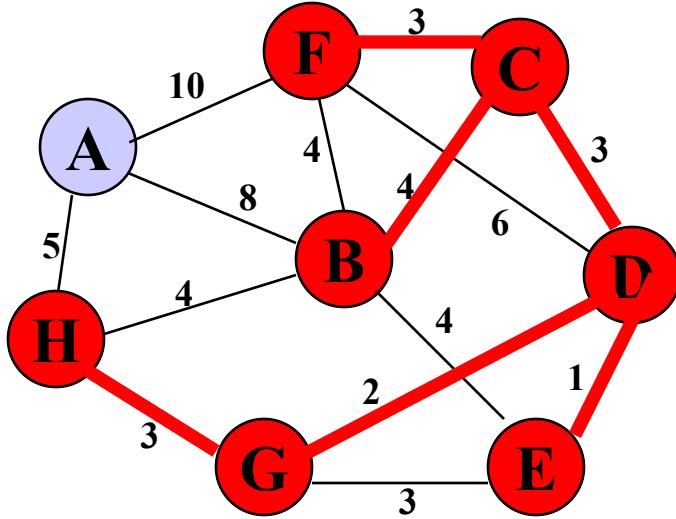


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	✓
(C,F)	3	✓
(B,C)	4	✓

edge	$d_v$	
(B,E)	4	
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

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Select first  $|V|-1$  edges which do not generate a cycle

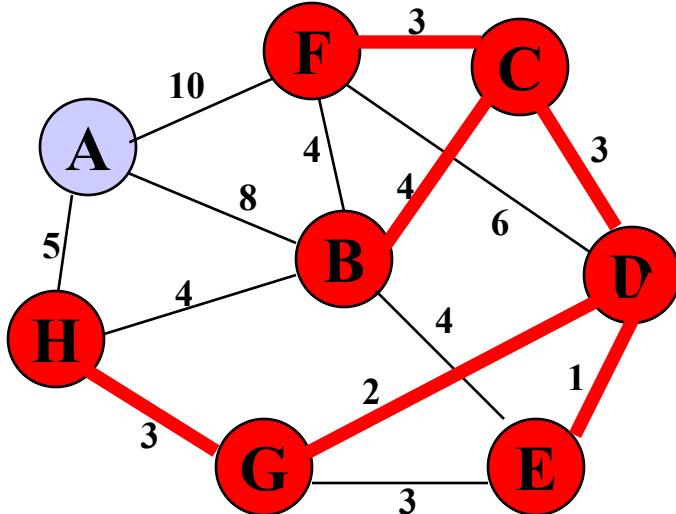


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	✓
(C,F)	3	✓
(B,C)	4	✓

edge	$d_v$	
(B,E)	4	✗
(B,F)	4	
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle

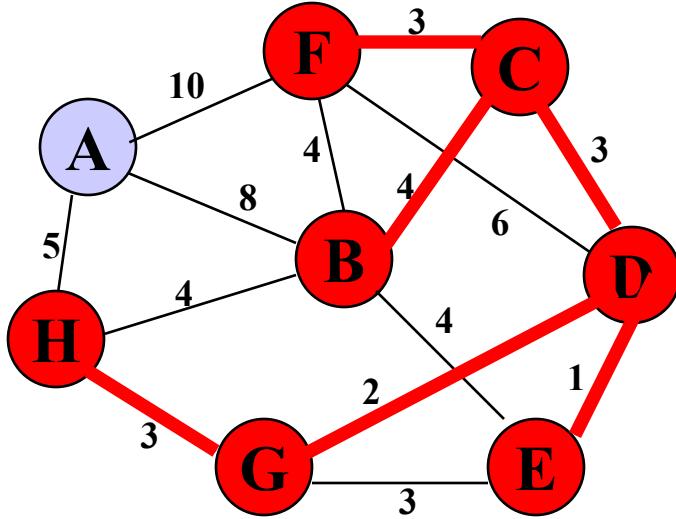


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	✓
(C,F)	3	✓
(B,C)	4	✓

edge	$d_v$	
(B,E)	4	✗
(B,F)	4	✗
(B,H)	4	
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle

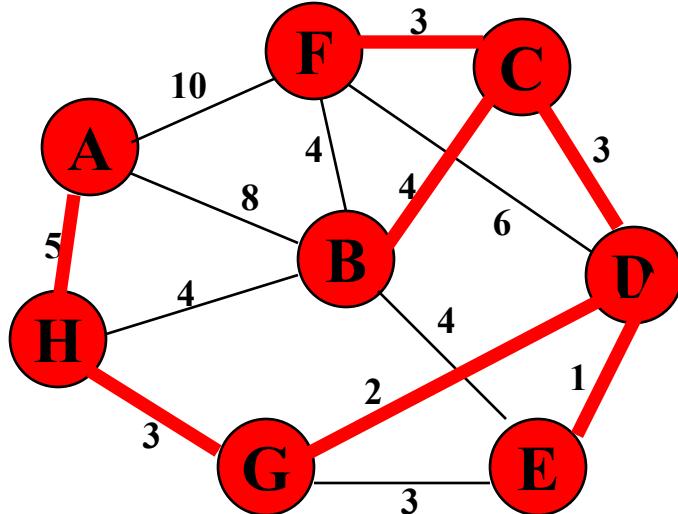


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	✓
(C,F)	3	✓
(B,C)	4	✓

edge	$d_v$	
(B,E)	4	✗
(B,F)	4	✗
(B,H)	4	✗
(A,H)	5	
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle

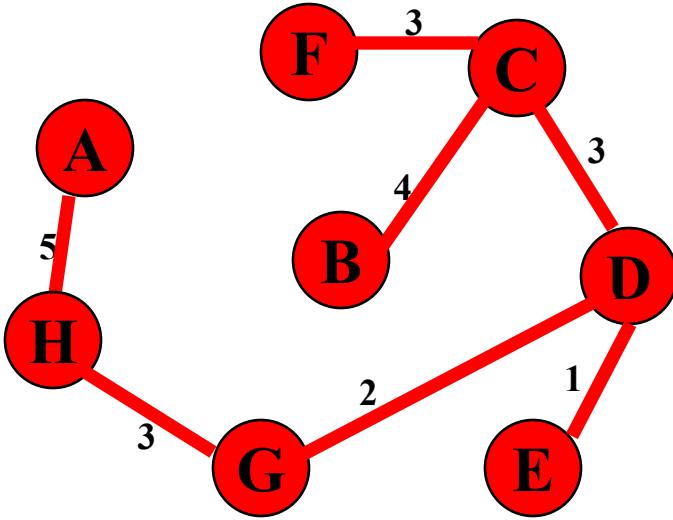


edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	✓
(C,F)	3	✓
(B,C)	4	✓

edge	$d_v$	
(B,E)	4	✗
(B,F)	4	✗
(B,H)	4	✗
(A,H)	5	✓
(D,F)	6	
(A,B)	8	
(A,F)	10	

# Kruskal's Algorithm: Walk-Through

Select first  $|V|-1$  edges which do not generate a cycle



edge	$d_v$	
(D,E)	1	✓
(D,G)	2	✓
(E,G)	3	✗
(C,D)	3	✓
(G,H)	3	✓
(C,F)	3	✓
(B,C)	4	✓

edge	$d_v$	
(B,E)	4	✗
(B,F)	4	✗
(B,H)	4	✗
(A,H)	5	✓
(D,F)	6	
(A,B)	8	
(A,F)	10	

} not considered

Done

Time Complexity:

$O(E \log E)$ , or equivalently,  $O(E \log V)$

Total Cost =  $\sum d_v = 21$

# Kruskal's Algorithm:

KRUSKAL(G):

1  $A = \emptyset$

2 foreach  $v \in G.V$ :

3   MAKE-SET( $v$ )

4 foreach  $(u, v)$  ordered by  $\text{weight}(u, v)$ , increasing:

5   if  $\text{FIND-SET}(u) \neq \text{FIND-SET}(v)$ :

6      $A = A \cup \{(u, v)\}$

7      $\text{UNION}(u, v)$

8 return  $A$

Time Complexity:

$O(E \log E)$ , or equivalently,  $O(E \log V)$

# Prim's vs Kruskal's Algorithm: Time Complexity

For a graph with  $V$  vertices  $E$  edges,

- Kruskal's algorithm runs in  $O(E \log V)$  time
  - Good for sparse graphs because it uses simpler data structures.
- Prim's algorithm can run in  $O(E + V \log V)$  amortized time, if you use a Fibonacci Heap.
  - Good for a **dense** graph :  $E = V^2$

Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

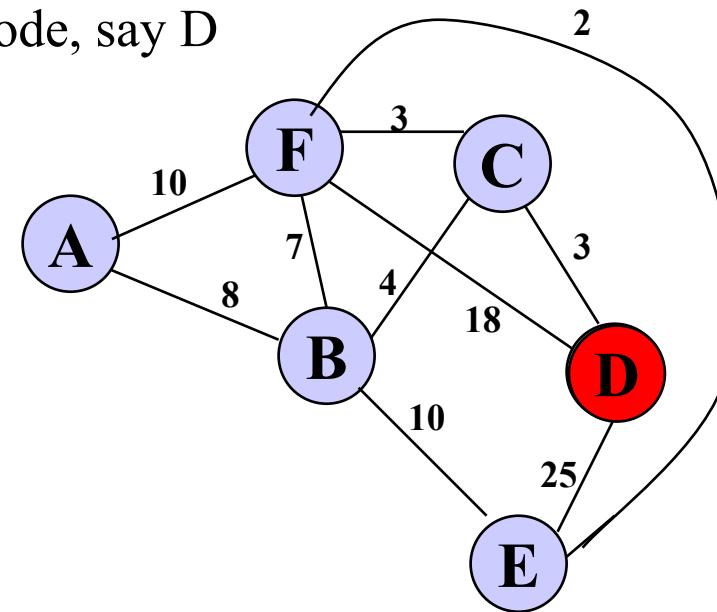
Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

# Prim's Algorithm

	$K$	$d_v$	$p_v$
A			
B			
C			
D	T	0	-
E			
F			

Start with any node, say D



Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt)

Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

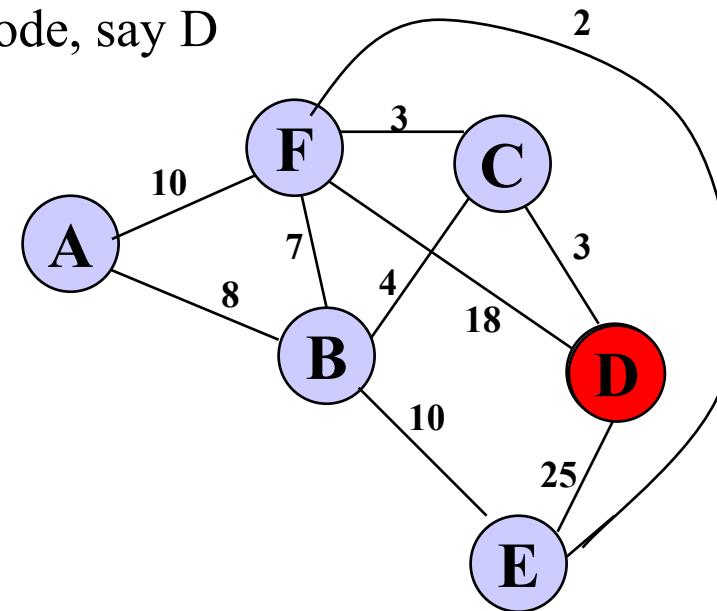
Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

# Prim's Algorithm

	$K$	$d_v$	$p_v$
A			
B			
C		3	D
D	T	0	-
E		25	D
F		18	D

Start with any node, say D



Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt)

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

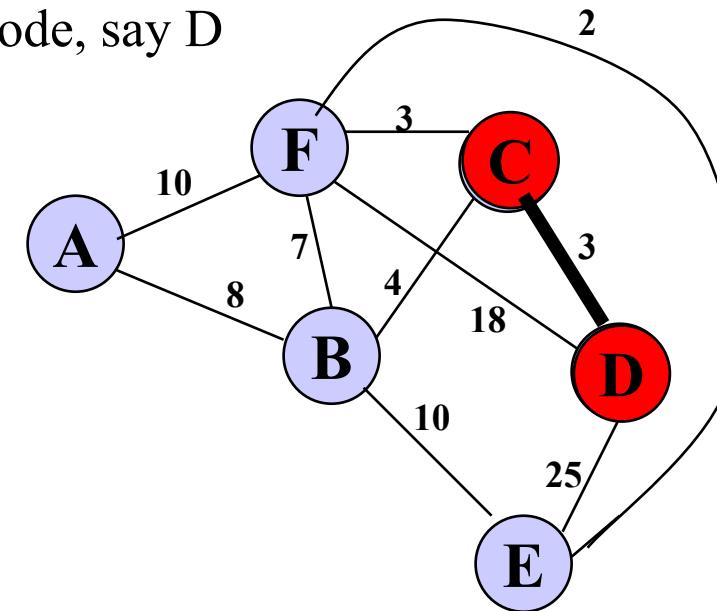
Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

# Prim's Algorithm

	$K$	$d_v$	$p_v$
A			
B		4	C
C	T	3	D
D	T	0	-
E		25	D
F		3	C

Start with any node, say D



Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt)

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

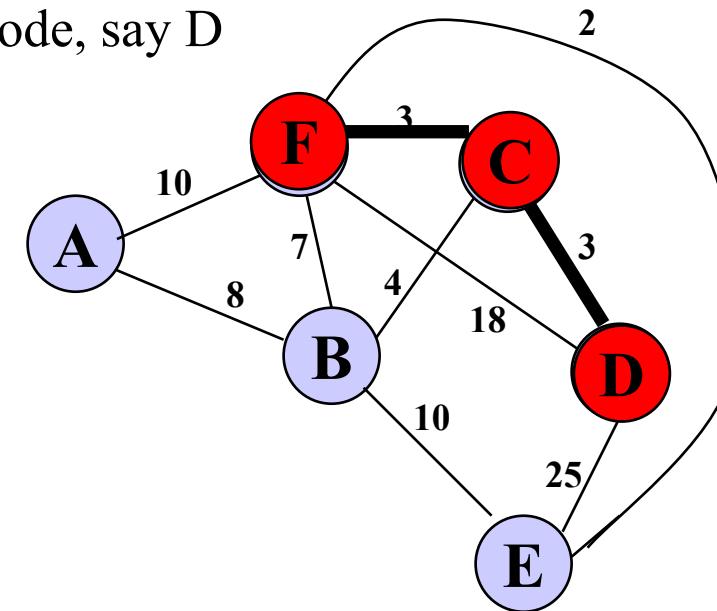
Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

# Prim's Algorithm

	$K$	$d_v$	$p_v$
A		10	F
B		4	C
C	T	3	D
D	T	0	-
E		2	F
F	T	3	C

Start with any node, say D



Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt)

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

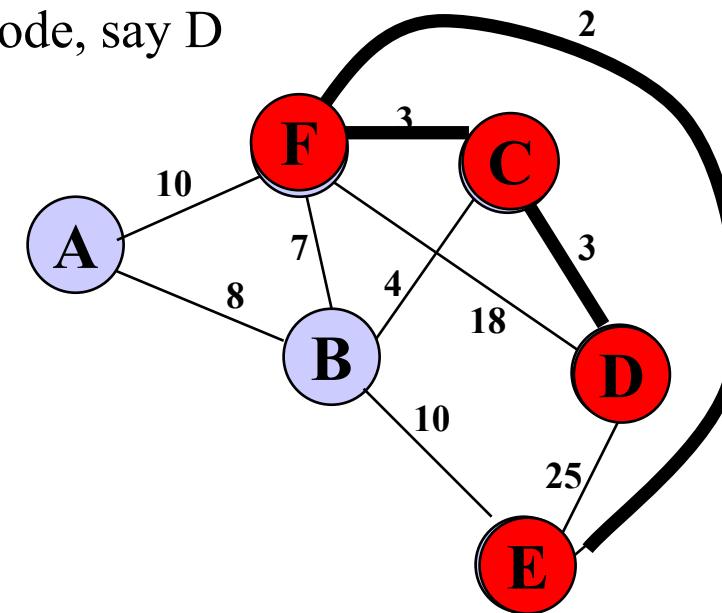
Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

# Prim's Algorithm

	$K$	$d_v$	$p_v$
A		10	F
B		4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C

Start with any node, say D



Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt)

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

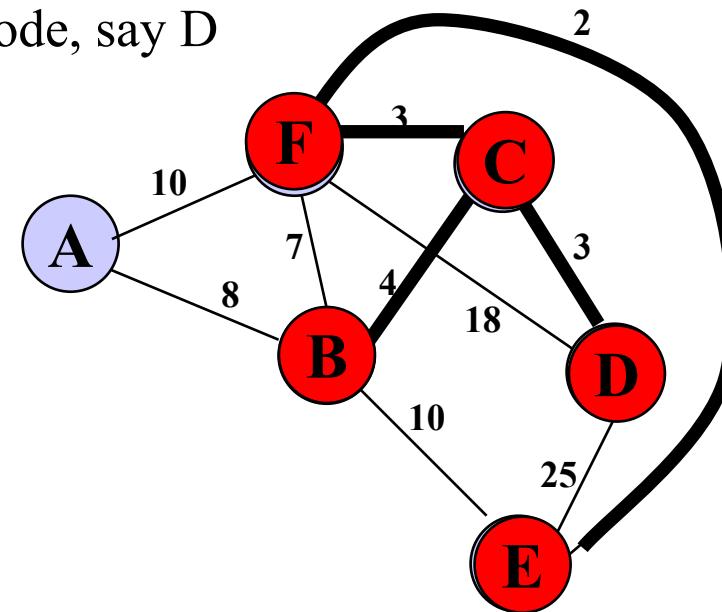
Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

Student ID: \_\_\_\_\_ Name: \_\_\_\_\_

# Prim's Algorithm

	$K$	$d_v$	$p_v$
A		8	F
B	T	4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C

Start with any node, say D



Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt)

Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

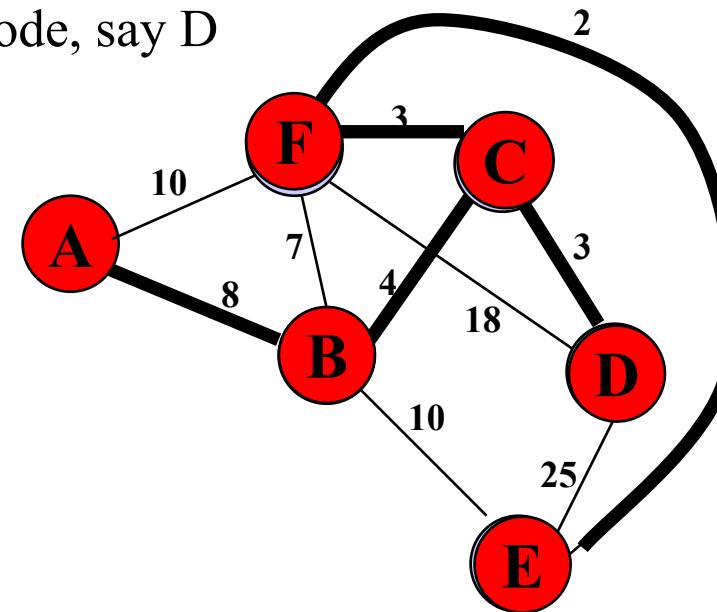
Student ID: \_\_\_\_\_

Name: \_\_\_\_\_

# Prim's Algorithm

	$K$	$d_v$	$p_v$
A	T	8	B
B	T	4	C
C	T	3	D
D	T	0	-
E	T	2	F
F	T	3	C

Start with any node, say D



Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt) 20

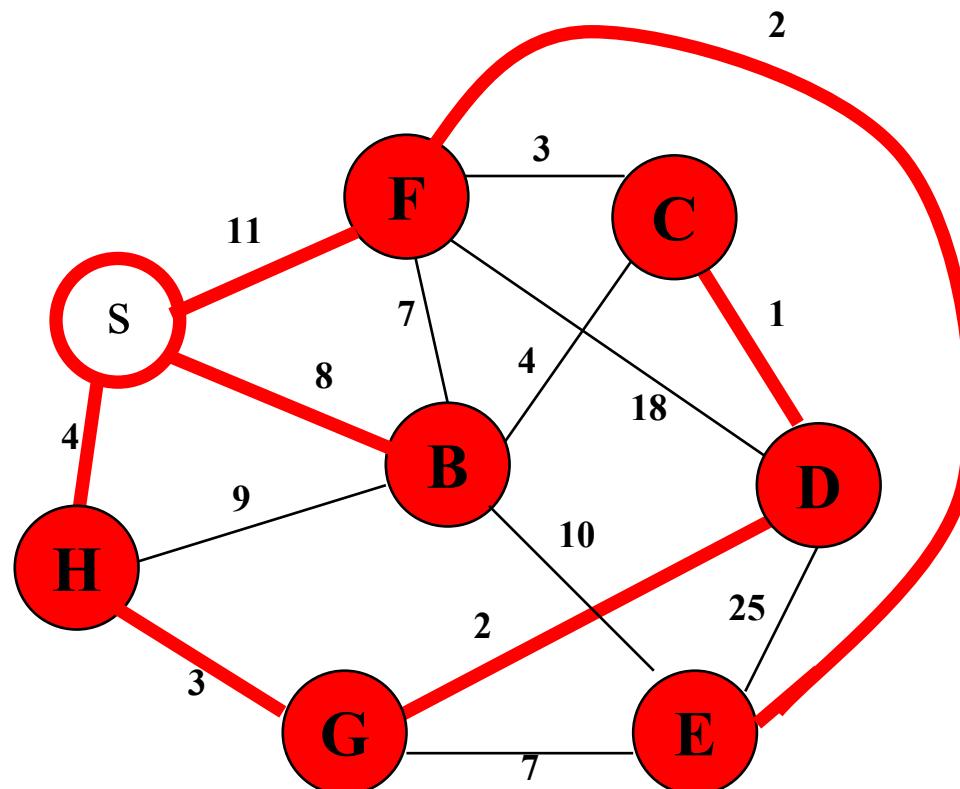
# Design and Analysis of Algorithms

## Lecture-6: Shortest Path Trees

Dr. Chung-Wen Albert Tsao

# Single-Source Shortest Path Problem

Finding shortest paths from source  $s$  to all other vertices in the graph.



# Dijkstra's Algorithm For SPT

- Dijkstra's algorithm
  - Solution to the single-source shortest path problem in graph theory.
  - Works on both **directed** and **undirected** graphs.
  - All edges must have **nonnegative** weights.
- Approach: Greedy
- Input:
  - Weighted graph  $G=\{E,V\}$  and source vertex  $s \in V$ , such that all edge weights are nonnegative
- Output:
  - Lengths of shortest paths (or the shortest paths themselves) from a given source vertex  $s \in V$  to all other vertices

# Recall (Prim's Algorithm for MST)

- Start with any vertex  $s$  and greedily grow a tree  $T$  from  $s$ .
- Repeat the same process until no more nodes outside  $T$ 
  - Among all nodes outside  $T$ , pick a node, say  $v$ , with the **cheapest edge to  $T$**  (denoted  $d_v$ )
  - Connect  $v$  to  $T$

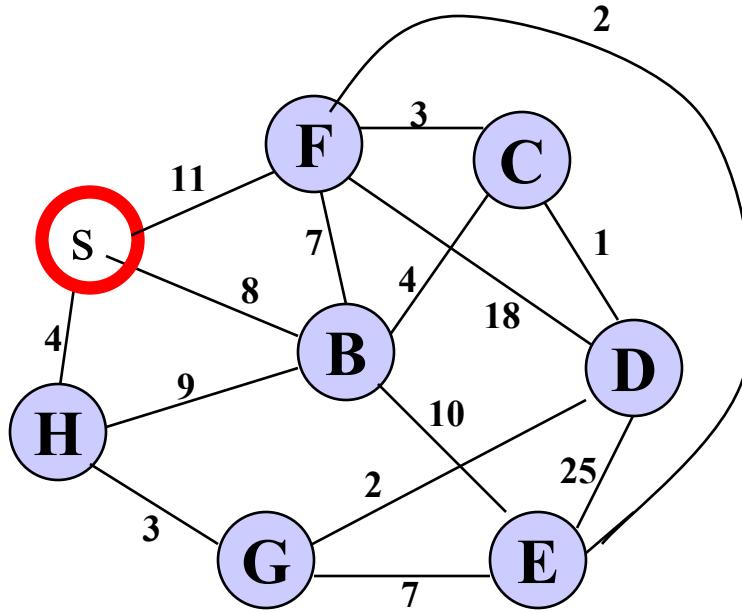
# Dijkstra's Algorithm for SPT

- Start with any vertex  $s$  and greedily grow a tree  $T$  from  $s$ .
- Repeat the same process until no more nodes outside  $T$ 
  - Among all nodes outside  $T$ , pick a node, say  $v$ , with the **shortest path length to  $s$**  (denoted  $d_v$ )
  - Connect  $v$  to  $T$

Similar to Prim's Algorithm except that

- There is a source node  $s$
- $d_v$  records shortest path length to  $s$ , not the cheapest edge to  $T$

# Dijkstra's Algorithm: Walk-Through



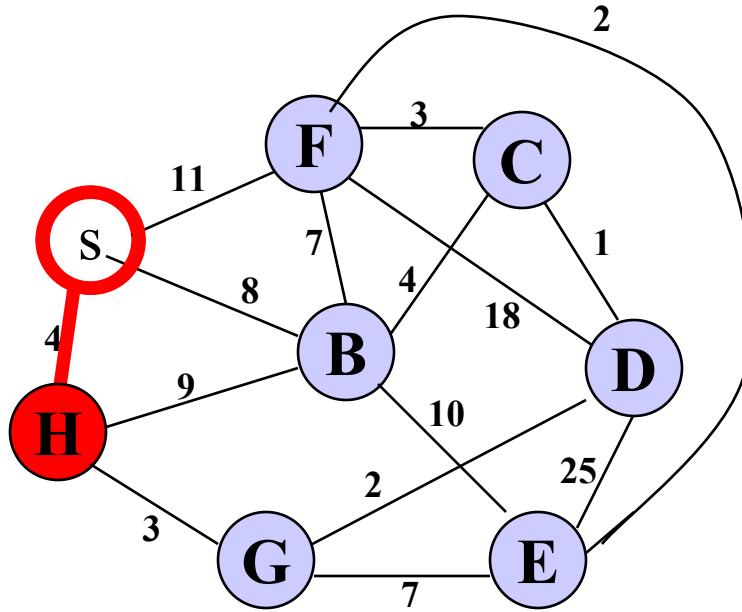
Initialize array

	$K$	$d_v$	$p_v$
s	T	0	-
B		8	$\sigma$
C		$\infty$	-
D		$\infty$	-
E		$\infty$	-
F		11	$\sigma$
G		$\infty$	-
H		4	$\sigma$

$d_v$  = Shortest path length from source node s to v

$p_v$  = Node in  $T$  to which node v is connected

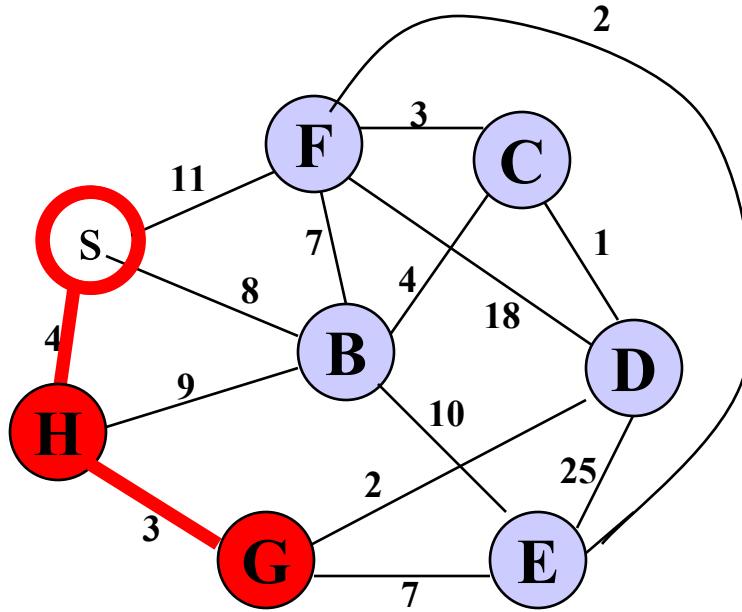
# Dijkstra's Algorithm: Walk-Through



Initialize array

	$K$	$d_v$	$p_v$
s	$\chi$	0	-
B		8	$\sigma$
C		$\infty$	-
D		$\infty$	-
E		$\infty$	-
F		11	$\sigma$
G		7	H
H	/	4	$\sigma$

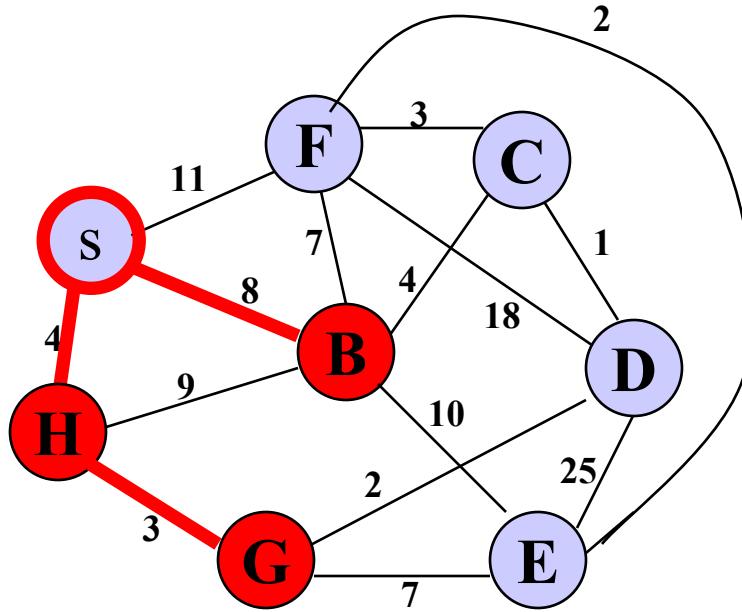
# Dijkstra's Algorithm: Walk-Through



Initialize array

	$K$	$d_v$	$p_v$
s	$\chi$	0	-
B		8	$\sigma$
C		$\infty$	-
D		9	$\Gamma$
E		14	$\Gamma$
F		11	$\sigma$
G	$\checkmark$	7	H
H	$\chi$	4	$\sigma$

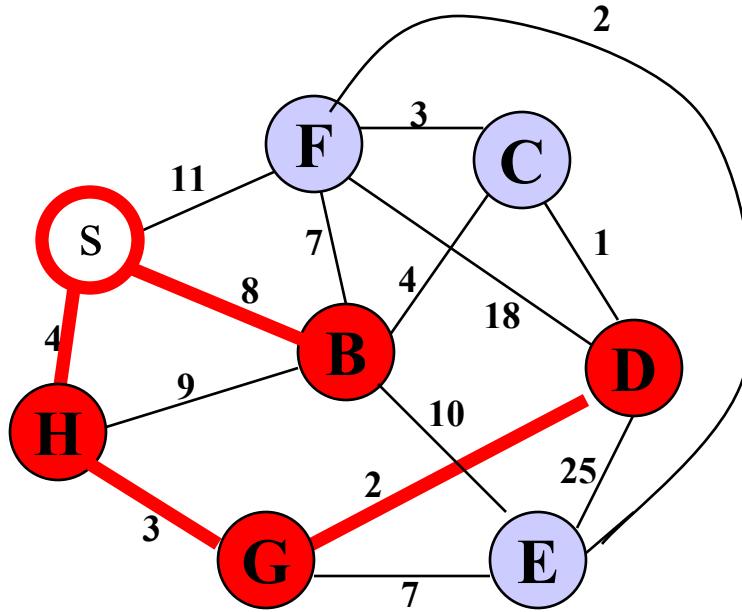
# Dijkstra's Algorithm: Walk-Through



Initialize array

	$K$	$d_v$	$p_v$
s	$\chi$	0	-
B	$\checkmark$	8	$\sigma$
C		12	B
D		9	$\Gamma$
E		14	$\Gamma$
F		11	$\sigma$
G	$\chi$	7	H
H	$\chi$	4	$\sigma$

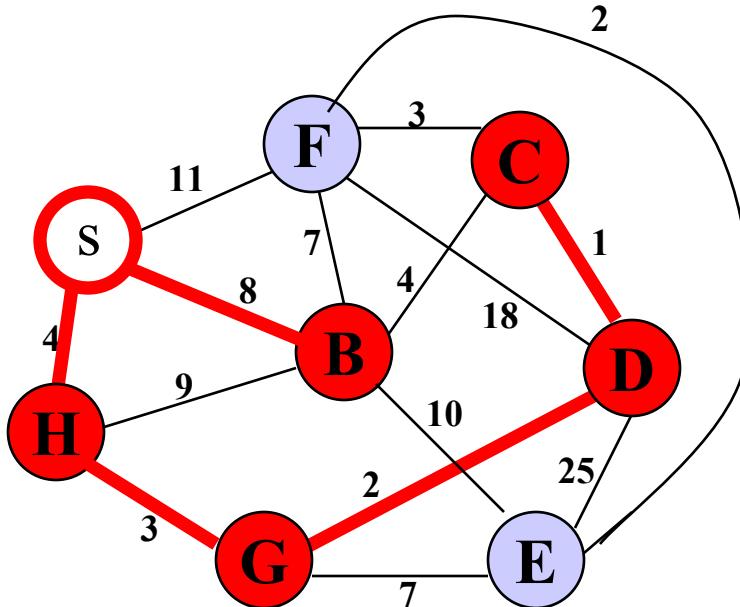
# Dijkstra's Algorithm: Walk-Through



Initialize array

	$K$	$d_v$	$p_v$
s	$\chi$	0	-
B	$\chi$	8	$\sigma$
C		10	$\Delta$
D	$\checkmark$	9	$\Gamma$
E		14	$\Gamma$
F		11	$\sigma$
G	$\chi$	7	H
H	$\chi$	4	$\sigma$

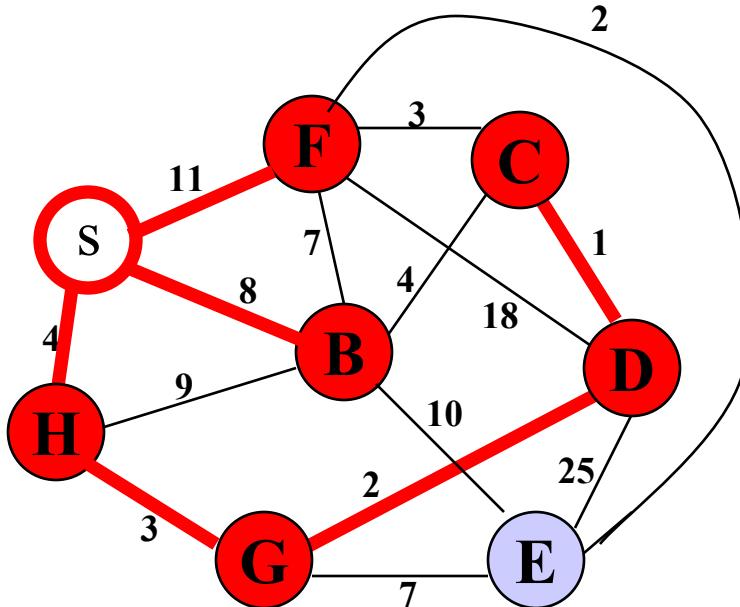
# Dijkstra's Algorithm: Walk-Through



Initialize array

	$K$	$d_v$	$p_v$
s	$\chi$	0	-
B	$\chi$	8	$\sigma$
C	$\checkmark$	10	$\Delta$
D	$\chi$	9	$\Gamma$
E		14	$\Gamma$
F		11	$\sigma$
G	$\chi$	7	H
H	$\chi$	4	$\sigma$

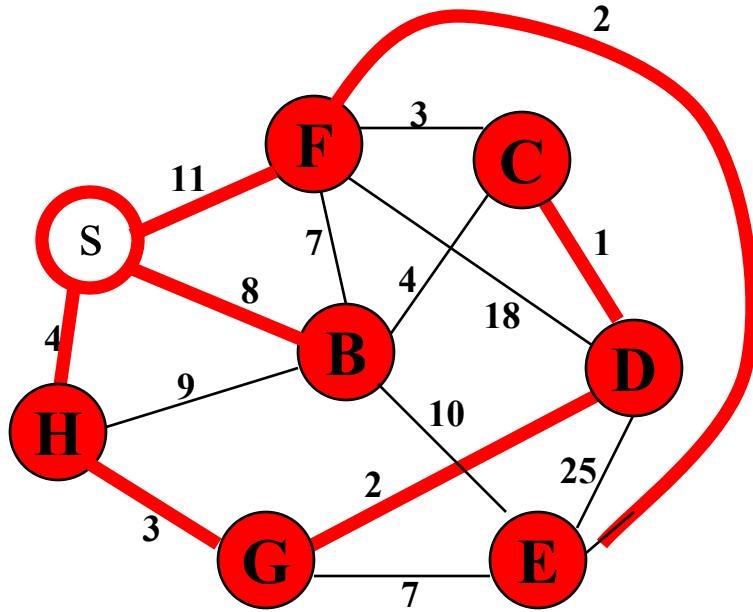
# Dijkstra's Algorithm: Walk-Through



Initialize array

	$K$	$d_v$	$p_v$
s	$\chi$	0	-
B	$\chi$	8	$\sigma$
C	$\chi$	10	$\Delta$
D	$\chi$	9	$\Gamma$
E		13	$\Phi$
F	$\checkmark$	11	$\sigma$
G	$\chi$	7	H
H	$\chi$	4	$\sigma$

# Dijkstra's Algorithm: Walk-Through



Initialize array

	$K$	$d_v$	$p_v$
s	$\chi$	0	-
B	$\chi$	8	$\sigma$
C	$\chi$	10	$\Delta$
D	$\chi$	9	$\Gamma$
E		13	$\Phi$
F	$\checkmark$	11	$\sigma$
G	$\chi$	7	H
H	$\chi$	4	$\sigma$

# Dijkstra's algorithm - Pseudocode

```
dist[s] ← 0                                (distance to source vertex is zero)
for all v ∈ V-{s}
    do dist[v] ← ∞
S←∅
Q←V
vertices)
while Q ≠ ∅
do u ← min_distance(Q, dist)                (while the queue is not empty)
    S←S ∪ {u}                                (select the element of Q with the min. distance)
    for all v ∈ neighbors[u]
        do if dist[v] > dist[u] + w(u, v)      (add u to list of visited vertices)
            then d[v] ← d[u] + w(u, v)          (v is a neighbor of node u)
                    (if new shortest path found)
                    (if desired, add traceback code)
```

return dist

# IMPLEMENTATIONS AND RUNNING TIMES

The simplest implementation is to store vertices in an array or linked list. This will produce a running time of

$$O(|V|^2 + |E|)$$

For **sparse** graphs, it can be implemented more efficiently storing the graph in an adjacency list using a **binary heap** or **priority queue**. This will produce a running time of

$$O((|E|+|V|) \log |V|)$$

# Dijkstra's Algorithm - Why It Works

- As with all greedy algorithms, we need to make sure that it is a correct algorithm (e.g., it always returns the right solution if it is given correct input).
- A formal proof would take longer than this presentation, but we can understand how the argument works intuitively.
- If you can't sleep unless you see a proof, see the second reference or ask us where you can find it.

# Dijkstra's Algorithm - Why It Works

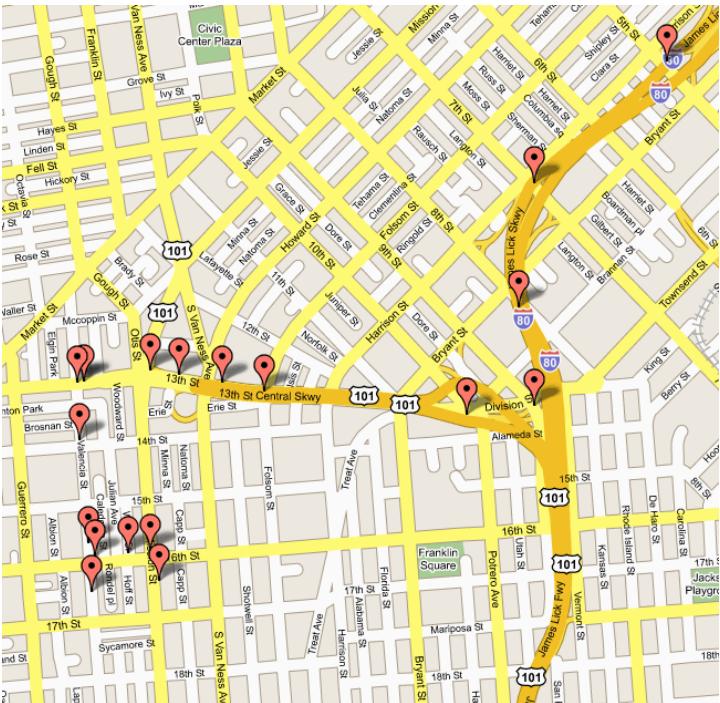
- To understand how it works, we'll go over the previous example again. However, we need two mathematical results first:
- Lemma 1: Triangle inequality  
If  $\delta(u,v)$  is the shortest path length between  $u$  and  $v$ ,  
$$\delta(u,v) \leq \delta(u,x) + \delta(x,v)$$
- Lemma 2:  
The subpath of any shortest path is itself a shortest path.
- The key is to understand why we can claim that anytime we put a new vertex in  $S$ , we can say that we already know the shortest path to it.
- Now, back to the example...

# Dijkstra's Algorithm - Why use it?

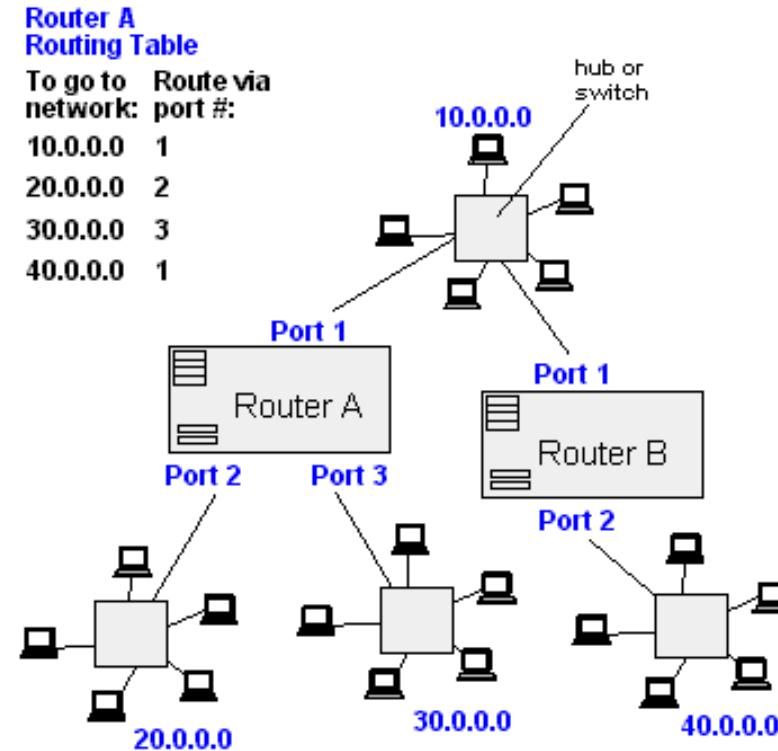
- As mentioned, Dijkstra's algorithm calculates the shortest path to every vertex.
- However, it is about as computationally expensive to calculate the shortest path from vertex  $u$  to every vertex using Dijkstra's as it is to calculate the shortest path to some particular vertex  $v$ .
- Therefore, anytime we want to know the optimal path to some other vertex from a determined origin, we can use Dijkstra's algorithm.

# Applications of Dijkstra's Algorithm

- Traffic Information Systems are most prominent use
- Mapping (Map Quest, Google Maps)
- Routing Systems



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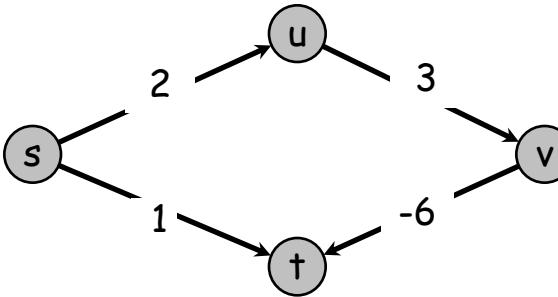


# References

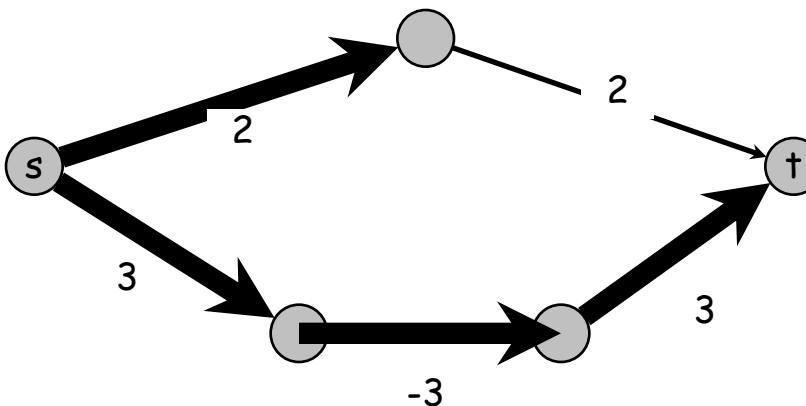
- Dijkstra's original paper:  
E. W. Dijkstra. (1959) A Note on Two Problems in Connection with Graphs. *Numerische Mathematik*, 1. 269-271.
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< <http://ocw.mit.edu/OcwWeb/Electrical-Engineering-and-Computer-Science/6-046JFall-2005/CourseHome/> > Accessed 4/25/09
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- Department of Mathematics, University of Melbourne. Dijkstra's Algorithm.  
<<http://www.ms.unimelb.edu.au/~moshe/620-261/dijkstra/dijkstra.html> > Accessed 4/25/09

# Shortest Paths: Failed Attempts

Dijkstra. Can fail if **negative edge costs**.

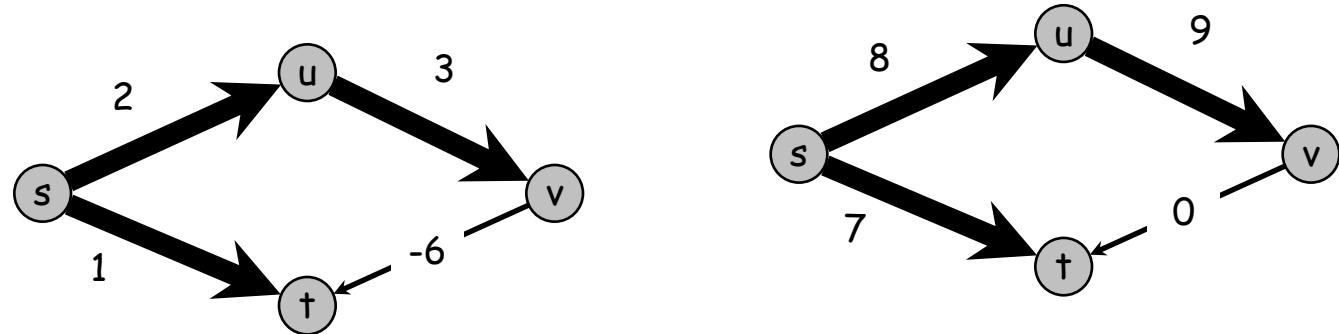


Re-weighting. Adding a constant to every edge weight can still fail.

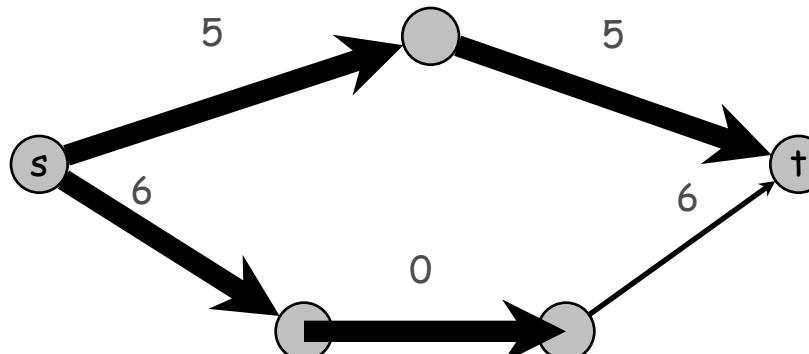


# Shortest Paths: Failed Attempts

Dijkstra. Can fail if **negative edge costs**.

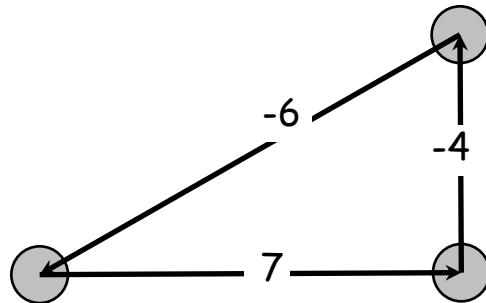


Re-weighting. Adding a constant to every edge weight can still fail.

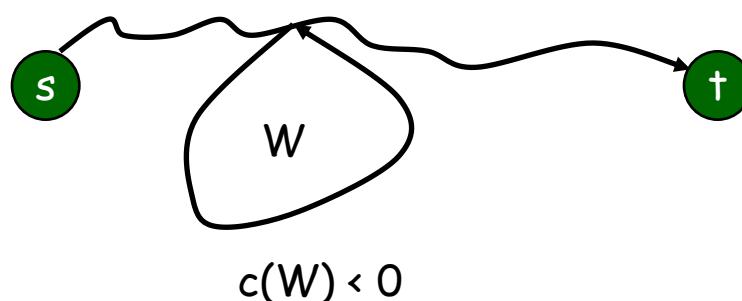


# Shortest Paths: Negative Cost Cycles

Negative cost cycle.



**Observation.** If some path from  $s$  to  $t$  contains a negative cost cycle, there does not exist a shortest  $s$ - $t$  path; otherwise, there exists one that is simple.



## Bellman-Ford Algorithm

<http://www.geeksforgeeks.org/dynamic-programming-set-23-bellman-ford-algorithm/>

```
Initialize(G, s);  
for i := 1 to V - 1 do  
    for each (u, v) in E do  
        Relax(u, v, w)  
  
    for each (u, v) in E do  
        if d[v] > d[u] + w(u, v) then  
            return false  
        fi  
    od;  
return true
```

Can have negative-weight edges.

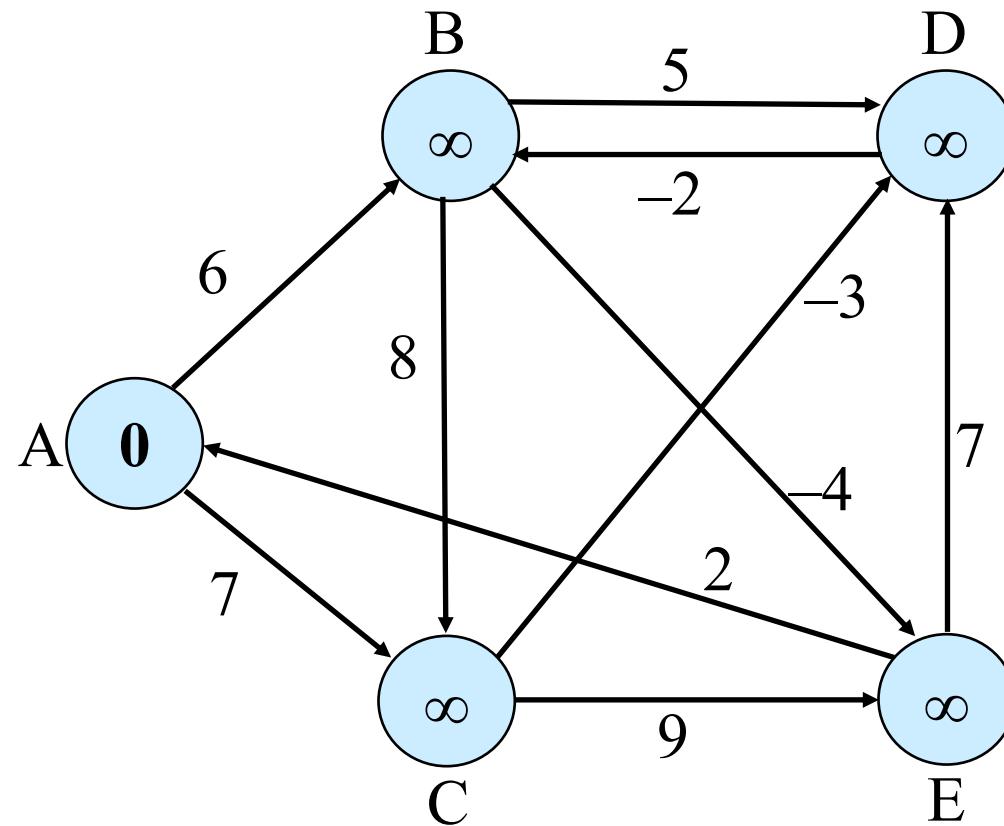
Will “detect” reachable negative-weight cycles.

$$d[v] = \min \{ d[v], d[u] + w(u, v) \}$$

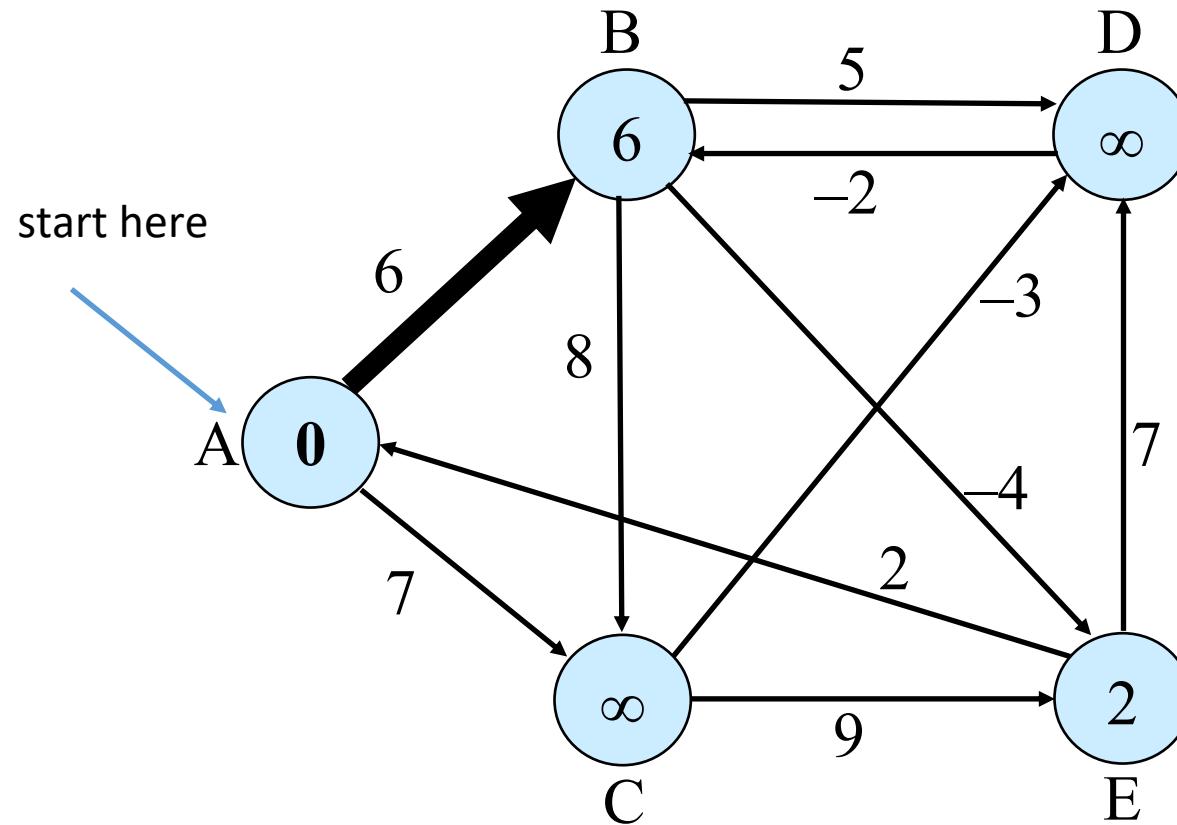
If Bellman-Ford has not converged after  $V(G) - 1$  iterations, then there cannot be a shortest path tree, so there must be a negative weight cycle.

Time Complexity is  $O(VE)$ .<sub>76</sub>

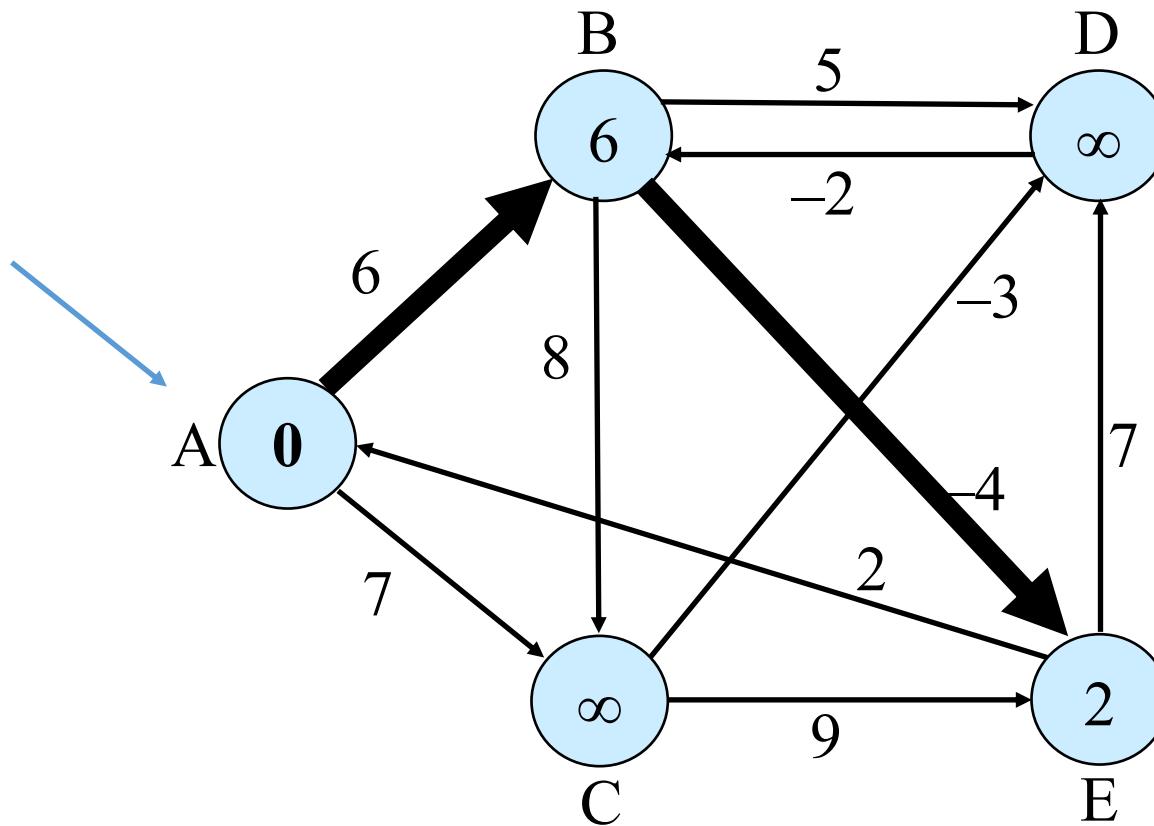
# Example



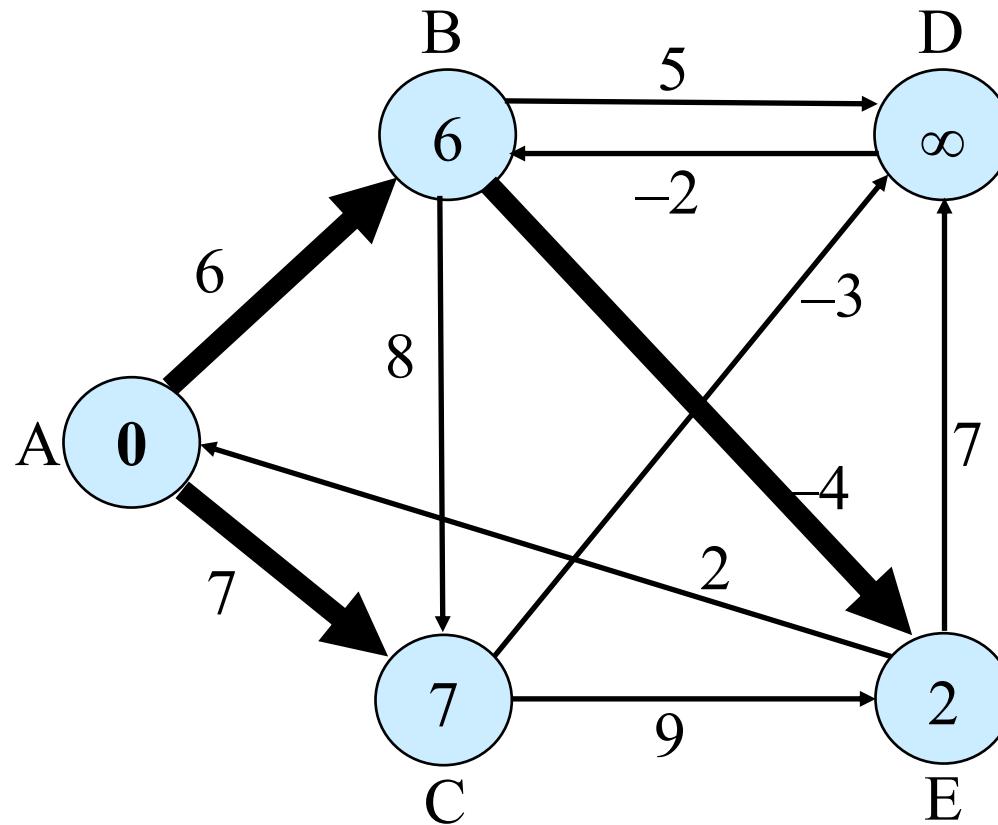
# Example: Dijkstra's Algorithm Not Working



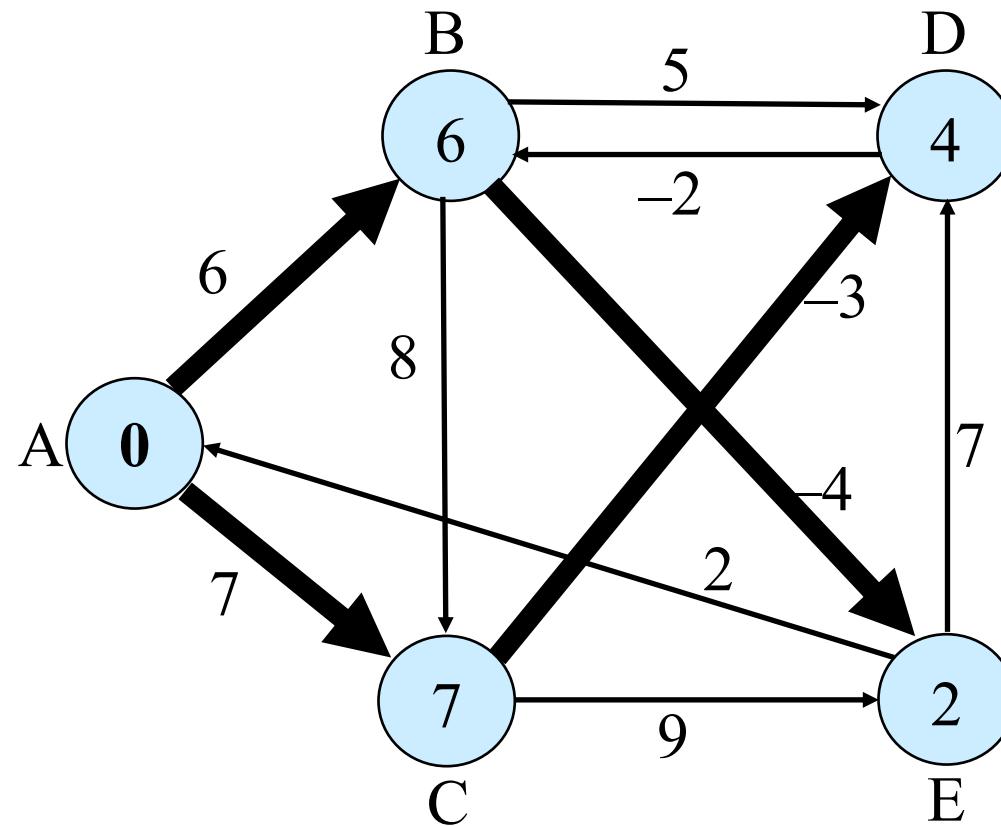
# Example: Dijkstra's Algorithm Not Working



# Example: Dijkstra's Algorithm Not Working

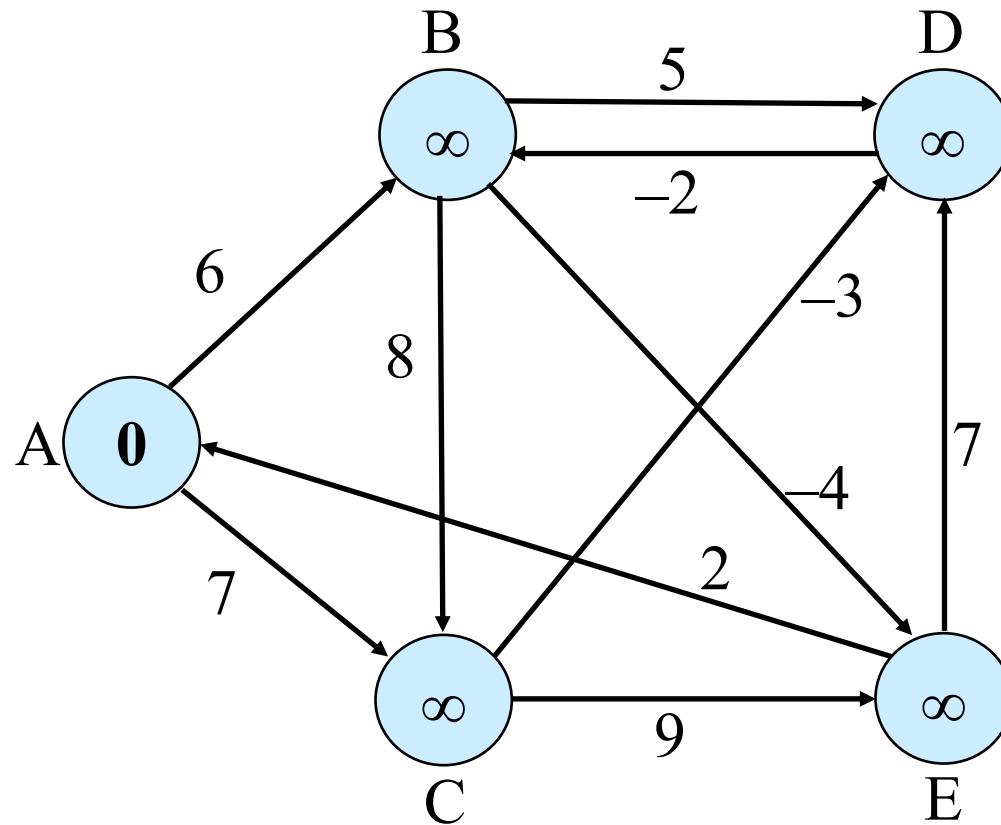


# Example: Dijkstra's Algorithm Not Working

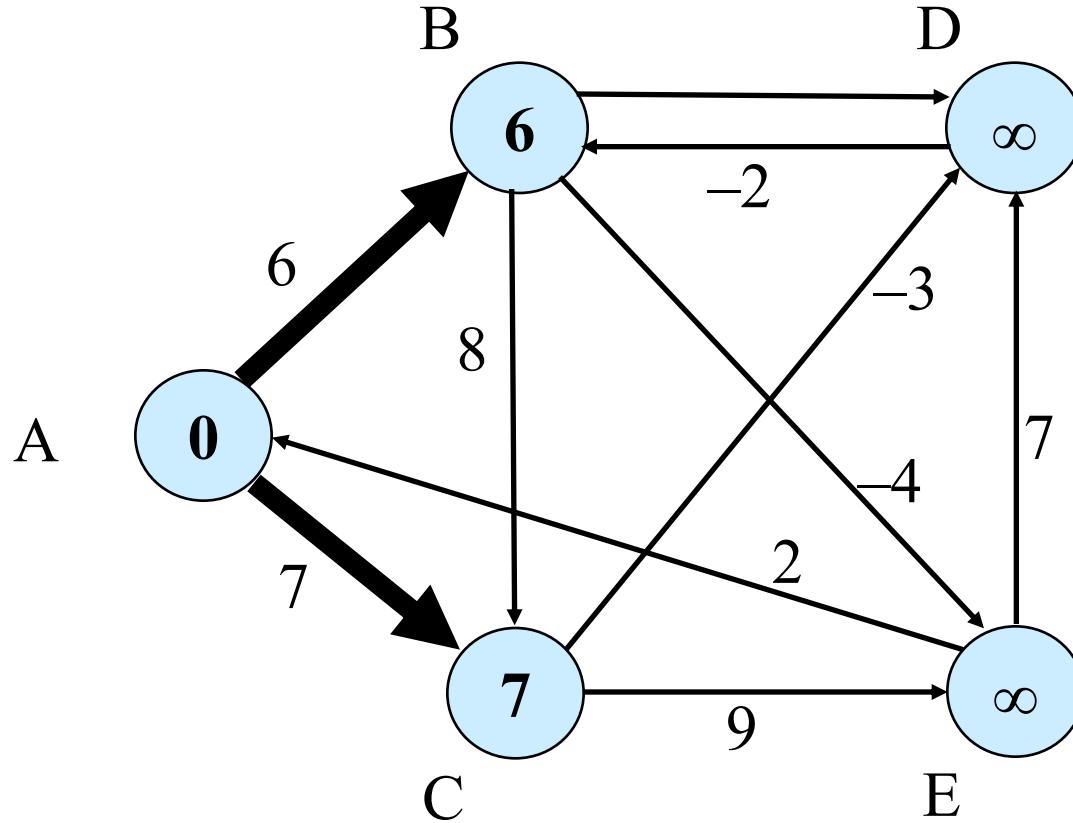




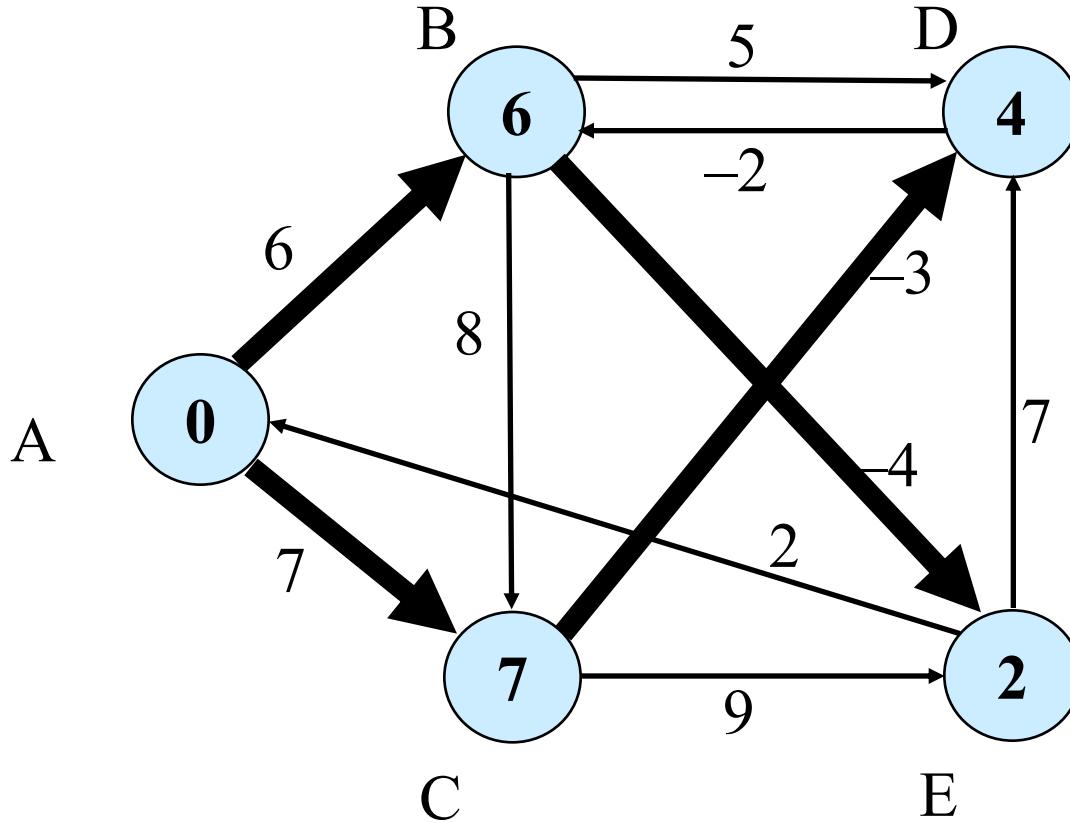
# Example: Bellman-Ford



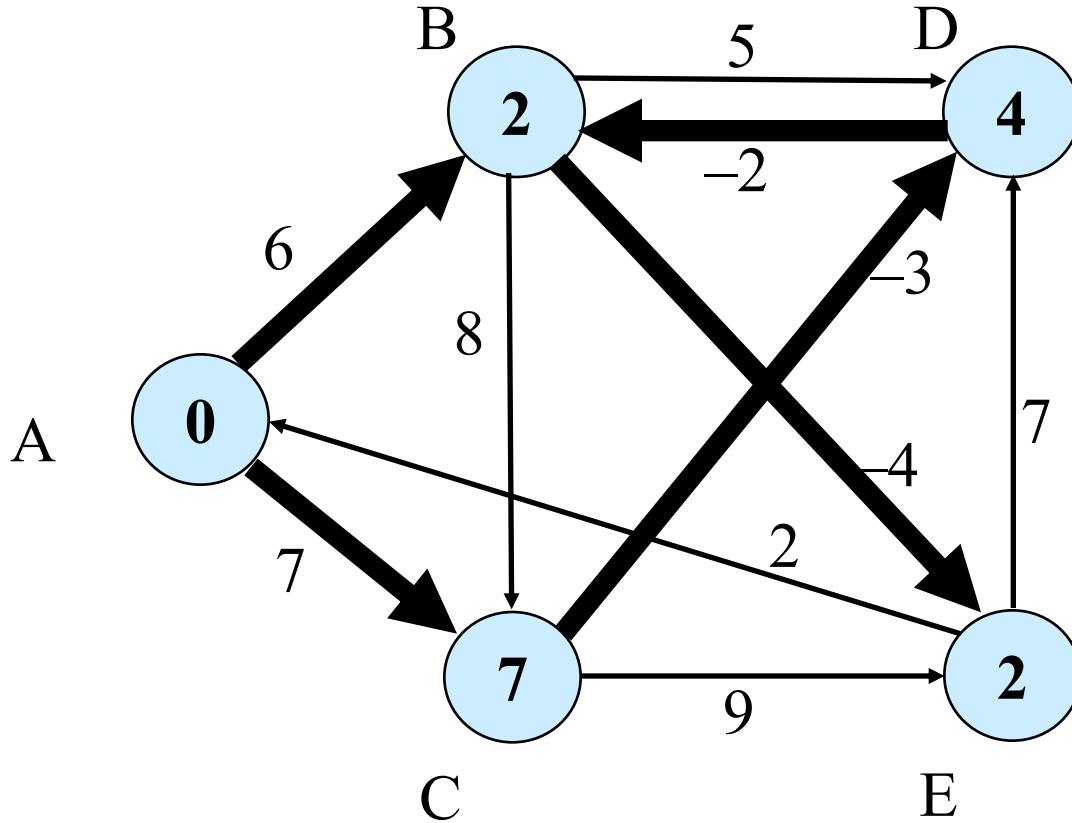
# Example: Bellman-Ford



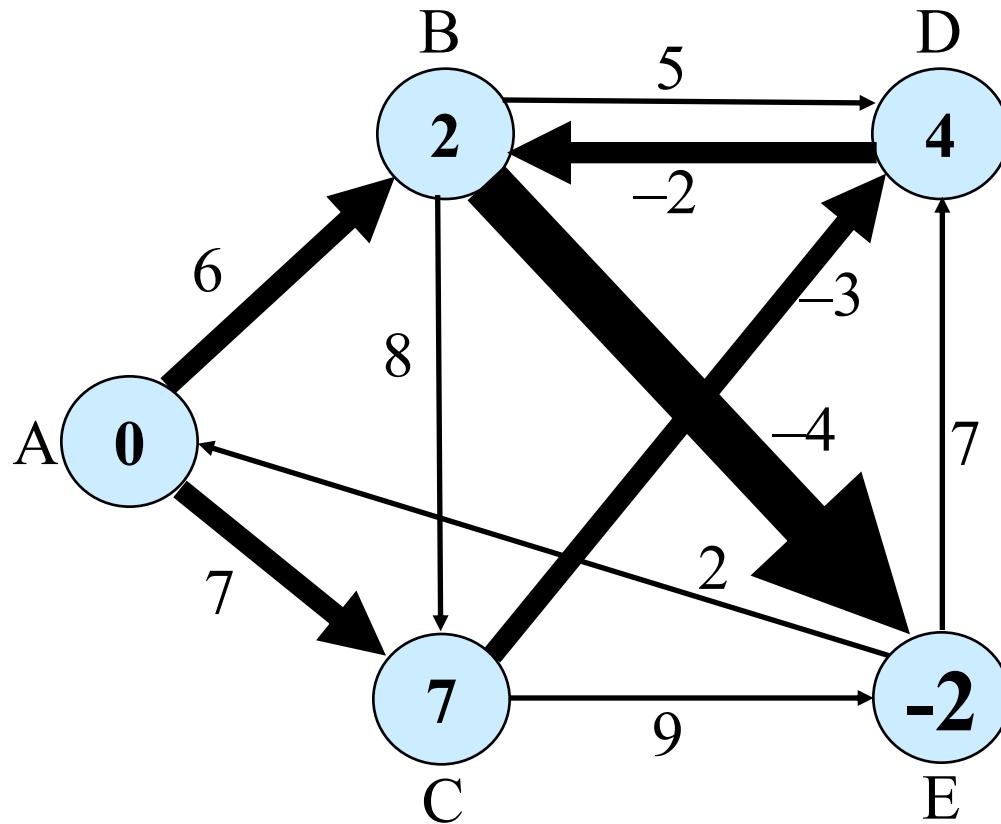
# Example: Bellman-Ford



# Example: Bellman-Ford



# Example: Bellman-Ford



# Another Look

**Note:** This is essentially **dynamic programming**.

Let  $d(i, j) = \text{cost of the shortest path from } s \text{ to } i \text{ that is at most } j \text{ hops.}$

$$d(i, j) = \begin{cases} 0 & \text{if } i = s \wedge j = 0 \\ \infty & \text{if } i \neq s \wedge j = 0 \\ \min(\{d(k, j-1) + w(k, i): i \in \text{Adj}(k)\} \cup \{d(i, j-1)\}) & \text{if } j > 0 \end{cases}$$

		$i \rightarrow$	$z$	$u$	$v$	$x$	$y$
		1	2	3	4	5	
$j$	0	0	$\infty$	$\infty$	$\infty$	$\infty$	
	1	0	<b>6</b>	$\infty$	<b>7</b>	$\infty$	
	2	0	<b>6</b>	<b>4</b>	<b>7</b>	<b>2</b>	
	3	0	<b>2</b>	<b>4</b>	<b>7</b>	<b>2</b>	
	4	0	<b>2</b>	<b>4</b>	<b>7</b>	-2	

# HOME WORK Review: Prim's, Kruskal's, Dijkstra's Algorithms

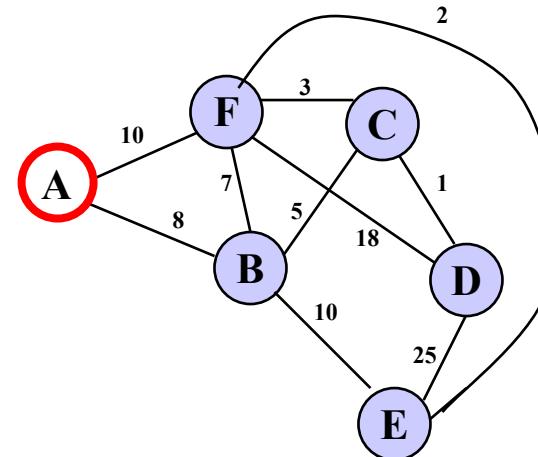
# Prim's Algorithm

Prim's Algorithm: Fill in the following table. (1pt for each number)

	$K$	$d_v$	$p_v$
A	T	0	-
B			
C			
D			
E			
F			
Total			(1pt)

(1pt)  
(1pt)  
(1pt)  
(1pt)  
(1pt)

Start with any node, say A



$d_v$  = Cheapest edge **cost to connect node v to tree T**

$p_v$  = Node in  $T$  to which the cheapest edge is connected

# Prim's Algorithm

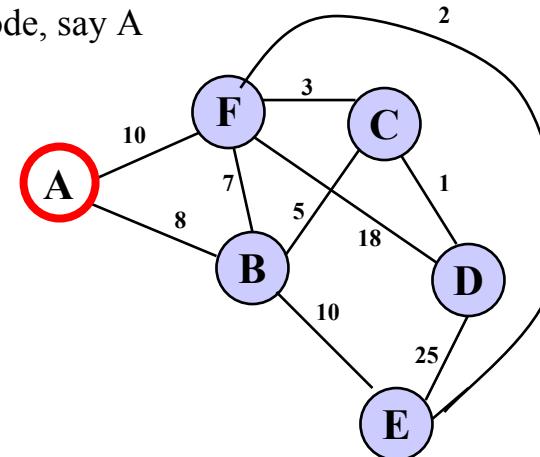
Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Fill in the following table (5pt)

What is the cost of the minimum spanning tree? (1pt)

	$K$	$d_v$	$p_v$
A	T	0	-
B		8	A
C			
D			
E			
F		10	A
Total Cost			

Start with any node, say A

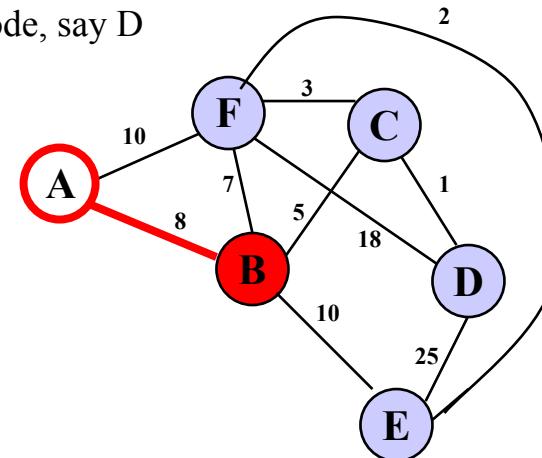


# Prim's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C		5	B
D			
E		10	B
F		7	B

Start with any node, say D



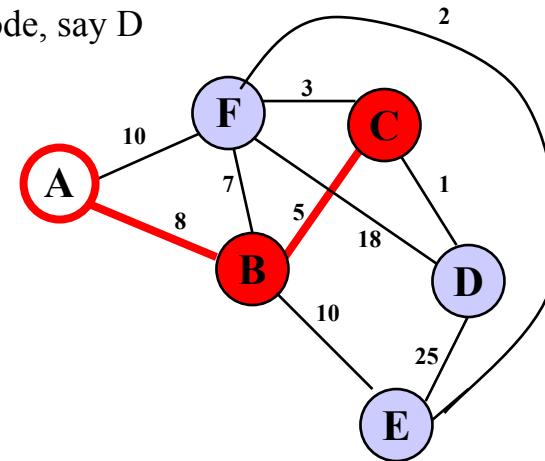
# Prim's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C	T	5	B
D		1	C
E		10	B
F		3	C

Hill in the above table (5pt)

Start with any node, say D



What is the cost of the minimum spanning tree? (1pt)

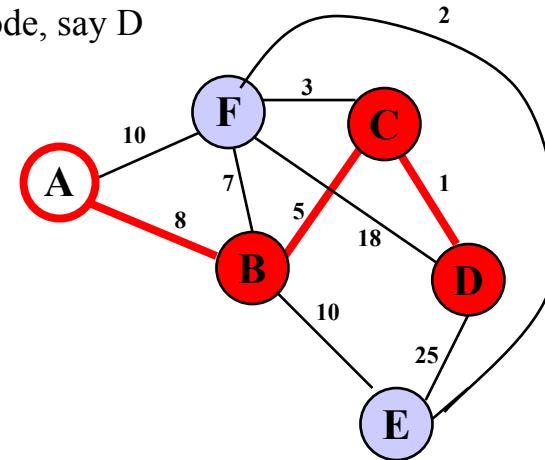
# Prim's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C	T	5	B
D	T	1	C
E		10	B
F		3	C

Hill in the above table (5pt)

Start with any node, say D



What is the cost of the minimum spanning tree? (1pt)

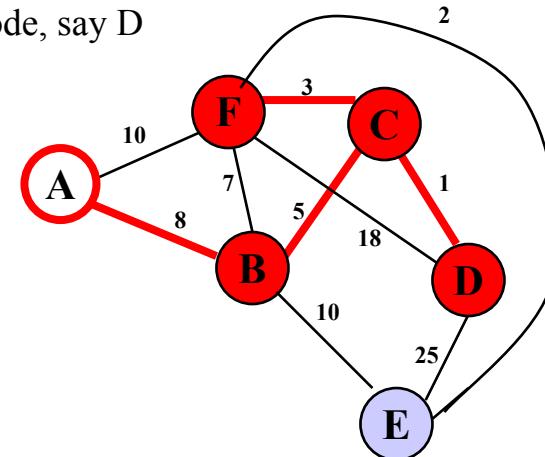
# Prim's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C	T	5	B
D	T	1	C
E		2	F
F	T	3	C

Hill in the above table (5pt)

Start with any node, say D



What is the cost of the minimum spanning tree? (1pt)

# Prim's Algorithm

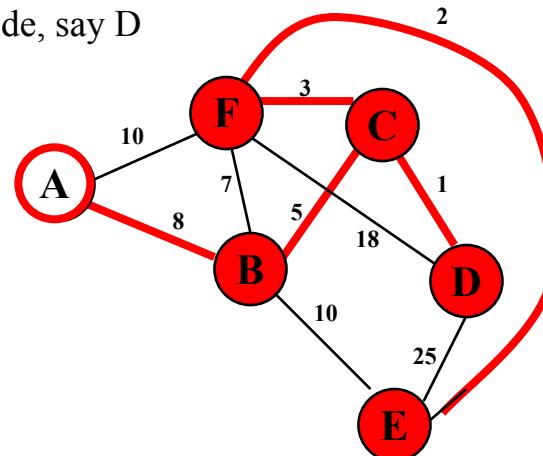
Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Fill in the following table, and answer the questions.

	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C	T	5	B
D	T	1	C
E	T	2	F
F	T	3	C

Start with any node, say D

(1pt)  
(1pt)  
(1pt)  
(1pt)  
(1pt)

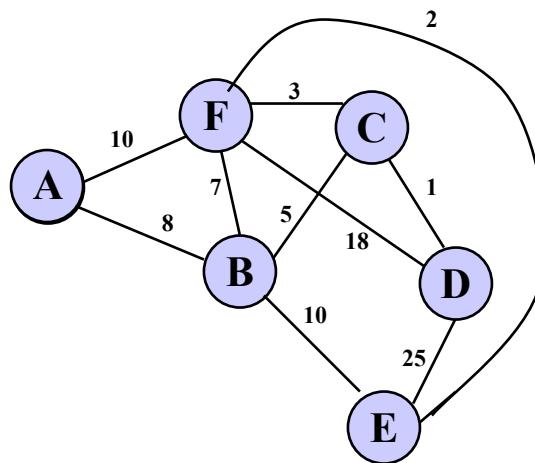


What is the cost of the minimum spanning tree? (1pt) 19

# Kruskal's Algorithm

# Kruskal's Algorithm

**Kruskal's** Algorithm: Fill in the following table. (1pt for each number)



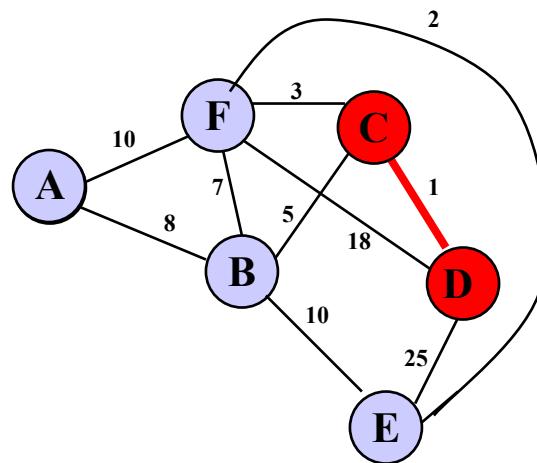
Sort the edges by increasing edge weight

<i>edge</i>	<i>cost</i>	<i>OK?</i>
(C,D)	1	
(E,F)	2	
(F,C)	3	
(B,C)	5	
(B,F)	7	

<i>edge</i>	<i>cost</i>	<i>ok?</i>
(A,B)	8	
(A,F)	10	
(B,E)	10	
(F,D)	18	
(D,E)	25	

# Kruskal's Algorithm

Kruskal's Algorithm: Fill in the following table. (1pt for each number)



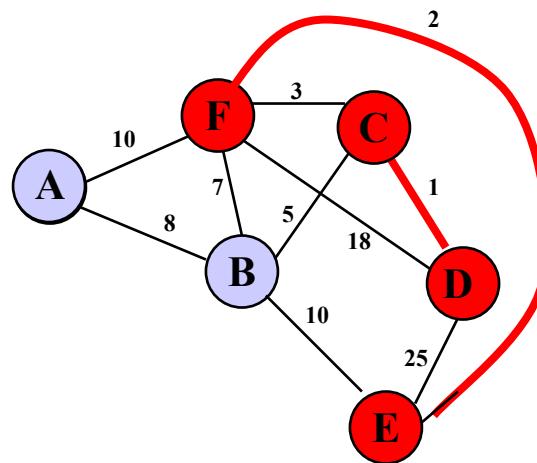
<i>edge</i>	<i>cost</i>	
(C,D)	1	✓
(E,F)	2	
(F,C)	3	
(B,C)	5	
(B,F)	7	

<i>edge</i>	<i>cost</i>	
(A,B)	8	
(A,F)	10	
(B,E)	10	
(F,D)	18	
(D,E)	25	

# Kruskal's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Kruskal's Algorithm: Fill in the following table. (1pt for each number)



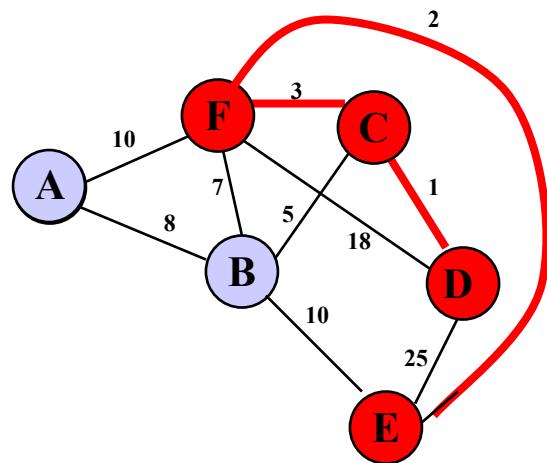
<i>edge</i>	<i>cost</i>	
(C,D)	1	✓
(E,F)	2	✓
(F,C)	3	
(B,C)	5	
(B,F)	7	

<i>edge</i>	<i>cost</i>	
(A,B)	8	
(A,F)	10	
(B,E)	10	
(F,D)	18	
(D,E)	25	

# Kruskal's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Kruskal's Algorithm: Fill in the following table. (1pt for each number)



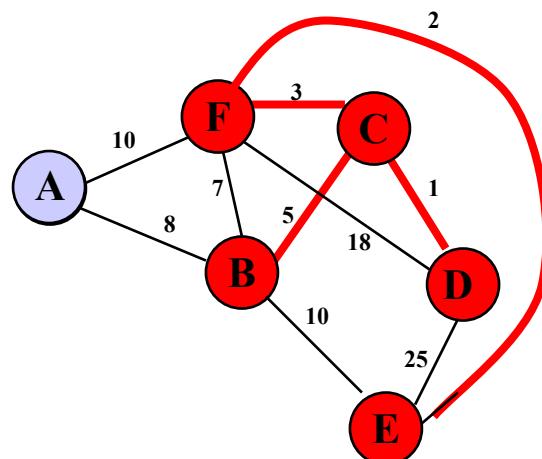
edge	cost	
(C,D)	1	✓
(E,F)	2	✓
(F,C)	3	✓
(B,C)	5	
(B,F)	7	

edge	cost	
(A,B)	8	
(A,F)	10	
(B,E)	10	
(F,D)	18	
(D,E)	25	

# Kruskal's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Kruskal's Algorithm: Fill in the following table. (1pt for each number)



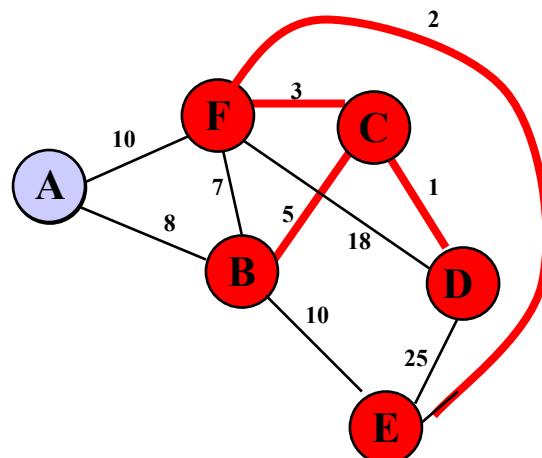
<i>edge</i>	<i>cost</i>	
(C,D)	1	✓
(E,F)	2	✓
(F,C)	3	✓
(B,C)	5	✓
(B,F)	7	

<i>edge</i>	<i>cost</i>	
(A,B)	8	
(A,F)	10	
(B,E)	10	
(F,D)	18	
(D,E)	25	

# Kruskal's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Kruskal's Algorithm: Fill in the following table. (1pt for each number)



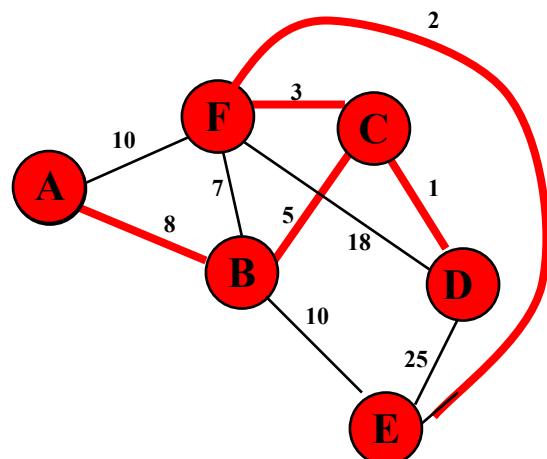
<i>edge</i>	<i>cost</i>	
(C,D)	1	✓
(E,F)	2	✓
(F,C)	3	✓
(B,C)	5	✓
(B,F)	7	✗

<i>edge</i>	<i>cost</i>	
(A,B)	8	
(A,F)	10	
(B,E)	10	
(F,D)	18	
(D,E)	25	

# Kruskal's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Kruskal's Algorithm: Fill in the following table. (1pt for each number)



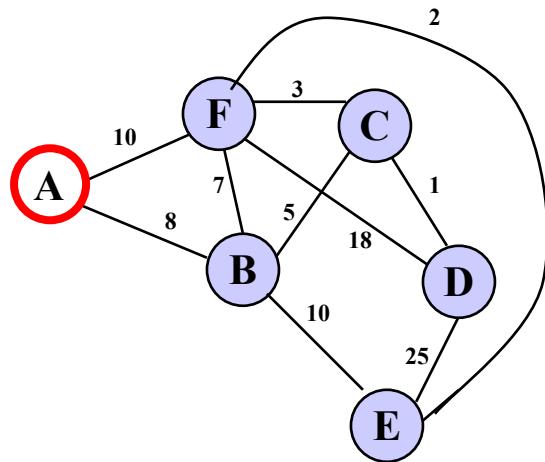
<i>edge</i>	<i>cost</i>	
(C,D)	1	✓
(E,F)	2	✓
(F,C)	3	✓
(B,C)	5	✓
(B,F)	7	✗

<i>edge</i>	<i>cost</i>	
(A,B)	8	✓
(A,F)	10	
(B,E)	10	
(F,D)	18	
(D,E)	25	

# Dijkstra's Algorithm

# Dijkstra's Algorithm

Dijkstra's Algorithm: Fill in the table. (1pt for each number)

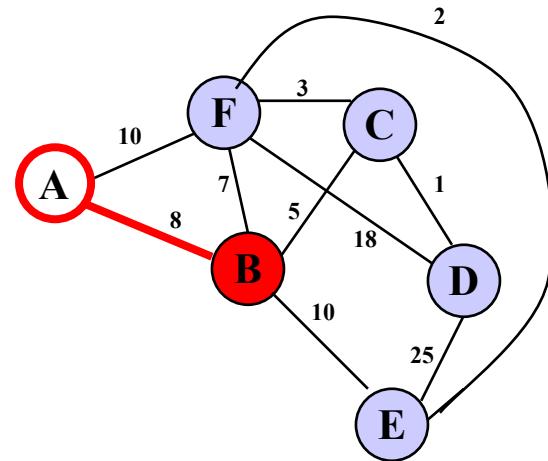


	$K$	$d_v$	$p_v$
A	T	0	-
B		8	A
C			
D			
E			
F		10	A

$d_v$  = Shortest path length from source node  $s$  to  $v$

$p_v$  = Node in  $T$  to which Node  $v$  is connected

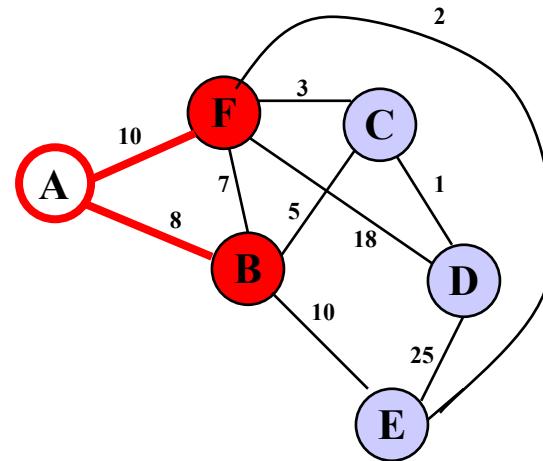
# Dijkstra's Algorithm



	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C		<b>13</b>	B
D			
E		<b>18</b>	B
F		<b>10</b>	A

# Dijkstra's Algorithm

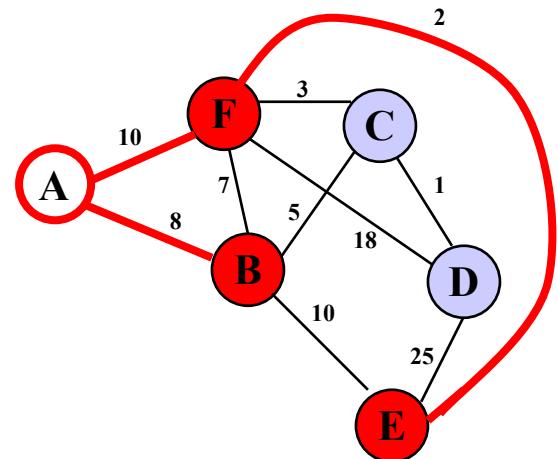
Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016



	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C		<b>13</b>	B
D		<b>28</b>	F
E		<b>12</b>	F
F	T	10	A

# Dijkstra's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016



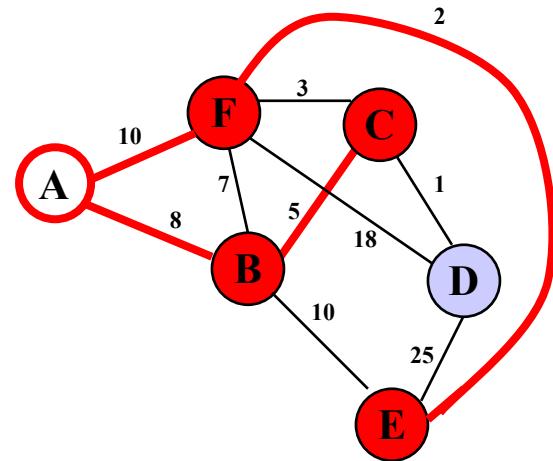
	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C		13	B
D		28	F
E	T	12	F
F	T	10	A

# Dijkstra's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt)



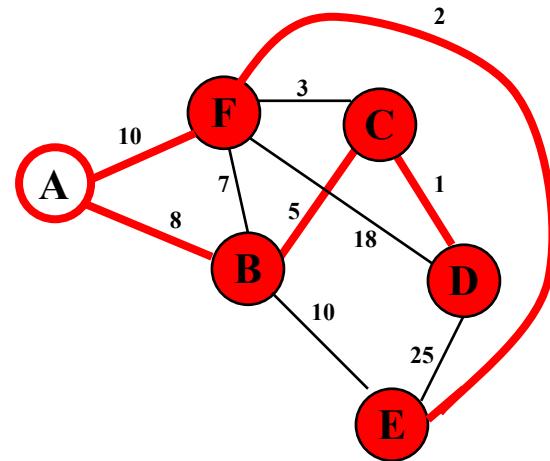
	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C	T	13	B
D		14	C
E	T	12	F
F	T	10	A

# Dijkstra's Algorithm

Home Work, Week 14, CS502, Design and Analysis of Algorithm, Spring 2016

Fill in the above table (5pt)

What is the cost of the minimum spanning tree? (1pt) 26



	$K$	$d_v$	$p_v$
A	T	0	-
B	T	8	A
C	T	13	B
D	T	14	C
E	T	12	F
F	T	10	A

(1pt)

(1pt)

(1pt)

(1pt)

0-1 1-2 2-3 3-4  
2-5 2-8 8-6 6-7

