

# Assignment V - DSAA(H)

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## # Question 5.1 (Marks: 0.25)

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Illustrate the operation of QUICKSORT on the array

4	3	8	2	7	5	1	6
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Write down the arguments for each recursive call to QUICKSORT (e. g. “QUICKSORT( $A, 2, 5$ )”) and the contents of the relevant subarray in each step of PARTITION (see Figure 7.1). Use vertical bars as in Figure 7.1 to indicate regions of values “ $\leq x$ ” and “ $> x$ ”. You may leave out elements outside the relevant subarray and calls to QUICKSORT on subarrays of size 0 or 1.

Sol:

QuickSort (A, 1, 8)

| 4 3 8 2 7 5 1 | 6  
4 | 3 8 2 7 5 1 6  
4 3 | 8 2 7 5 1 6  
4 3 | 8 | 2 7 5 1 6  
4 3 2 | 8 | 7 5 1 6  
4 3 2 | 8 7 | 5 1 6  
4 3 2 5 | 7 8 | 1 6  
4 3 2 5 | 1 | 8 7 | 6  
4 3 2 5 | 1 | 6 | 7 8

QuickSort (A, 1, 5)

| 4 3 2 5 | 1  
| 4 | 3 2 5 | 1  
| 4 3 | 2 5 | 1  
| 4 3 2 | 5 | 1  
| 1 | 3 2 5 4

QuickSort (A, 2, 5)

| 3 2 5 | 4  
3 | 2 5 | 4  
3 2 | 5 | 4  
3 2 | 5 | 4  
3 2 | 4 | 5

QuickSort (A, 2, 3)     | 3 | 2  
                                  | 3 | 2  
                                  | 2 | 3

QuickSort (A, 7, 8)     | 7 | 8  
                                  7 | 8  
                                  7 | 8 |

## # Question 5.2 (Marks: 0.5)

Prove that deterministic QUICKSORT( $A, p, r$ ) is correct (you can use that PARTITION is correct since that was proved at lecture).

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QUICKSORT( $A, p, r$ )

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- 1: **if**  $p < r$  **then**
  - 2:         $q = \text{PARTITION}(A, p, r)$
  - 3:        QUICKSORT( $A, p, q - 1$ )
  - 4:        QUICKSORT( $A, q + 1, r$ )
- 

PF:

**Recursive Invariant:** In each recursion, after `Partition(A, p, r)`,

$A[p, q - 1] \leq A[q] \leq A[q + 1, r]$ , and the following recursions only affect the two sides.

**Initialization:** At the beginning of recursion, the function will directly return if  $p \geq r$ , which is trivially correct. Otherwise satisfies the invariant due to the correctness of `Partition(A, p, r)`.

**Maintenance:** The following two recursions make  $A[p, q - 1]$  and  $A[q + 1, r]$  ordered, and

**Partition(A, p, r)** make sure  $A[p, q - 1] \leq A[q] \leq A[q + 1, r]$ , thus the array  $A[p, r]$  will be ordered.

**Termination:**  $r - p$  will trivially decrease, and when  $p \geq r$ , the recursion will terminate.

Q.E.D..

## # Question 5.3 (Marks: 0.25)

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What is the runtime of QUICKSORT when the array A contains distinct elements sorted in decreasing order? (Justify your answer)

Sol:

Obviously the case in description is the worst case, whose runtime is  $\Theta(n^2)$ .

Justification: Each partition will pick the minimum element as pivot, leaving the worst partition  $(1, n - 1)$ , and the Partition itself is linear.

Thus we have:  $T(n) = T(n - 1) + \Theta(n)$ , then solve it by substitution, we obtain  $T(n) = \Theta(n^2)$ .

## # Question 5.4 (Marks: 0.5)

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What value of  $q$  does PARTITION return when all  $n$  elements have the same value?

What is the asymptotic runtime ( $\Theta$ -notation) of QUICKSORT for such an input? (Justify your answer).

Sol:  $q = r$ , for each elements satisfy  $A[j] \leq x$ , thus  $i \leftarrow i + 1$  will always be executed, thus Partition will return  $r$ .

The runtime will be  $\Theta(n^2)$ , similar to Question 5.3, this situation will leave the worst partition  $(1, n - 1)$ , and the Partition itself is linear. Thus we have:  $T(n) = T(n - 1) + \Theta(n)$ , then solve it by substitution, we obtain  $T(n) = \Theta(n^2)$ .

## # Question 5.5 (Marks: 0.5)

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### Question 5.5 (Marks: 0.5)

Modify PARTITION so it divides the subarray in three parts from left to right:

- $A[p \dots i]$  contains elements smaller than  $x$
- $A[i + 1 \dots k]$  contains elements equal to  $x$  and
- $A[k + 1 \dots j - 1]$  contains elements larger than  $x$ .

Use pseudocode or your favourite programming language to write down your modified procedure PARTITION' and explain the idea(s) behind it. It should still run in  $\Theta(n)$  time for every  $n$ -element subarray. Give a brief argument as to why that is the case. PARTITION' should return two variables  $q, t$  such that  $A[q \dots t]$  contains all elements with the same value as the pivot (including the pivot itself).

Also write down a modified algorithm QUICKSORT' that uses PARTITION' and  $q, t$  in such a way that it recurses only on strictly smaller and strictly larger elements.

What is the asymptotic runtime of QUICKSORT' on the input from Question 5.4?

Sol:

Code in C++:

```
1  auto Partition = [](vector < int > &A, int l, int r)->pair < int, int
   >{
2      int pivot(A[r]);
3      int spl1(l - 1), spl2(r - 1);
4      int cur(l);
5      while(cur <= spl2){
6          if(A[cur] < pivot)swap(A[++spl1], A[cur++]);
7          else if(A[cur] > pivot)swap(A[cur], A[spl2--]);
8          else ++cur;
9      }
10     swap(A[++spl2], A[r]);
11     return {spl1 + 1, spl2};
12 };
```

Explanation: `cur` will traverse each elements in  $A[l, r - 1]$ , if it's less than pivot, then  $spl1 \leftarrow spl1 + 1$ , and we implement the swap, i.e., the element will be placed in the less range. Simultaneously, if it's larger, it will be replaced to the last  $spl2$ , which is the edge of the larger range. And for equal elements will be left between the two  $spl$ . Finally, swap the pivot to the rightest of the middle range. Therefore, we have  $A[l, spl1] < A[spl1 + 1, spl2] < A[spl2 + 1, r]$ , which satisfy the description, and the runtime is trivially linear, for  $spl2 - cur$  will definitely decrease in each while loop due to the `cur++`, `spl2--` and `++cur`, thus the algorithm must terminate in linear runtime.

Code in C++:

```
1  auto QuickSort = [&](auto&& self, vector<int> &A, int l, int r)-
    >void{
2      if(l >= r) return;
3      auto [spl1, spl2] = Partition(A, l, r);
4      self(self, A, l, spl1 - 1);
5      self(self, A, spl2 + 1, r);
6  };
```

The runtime will be  $\Theta(n)$ , for Partition' will return `{l, r}` because all the elements are equal to the pivot, then the QuickSort' will not enter the recursion. Thus the runtime will only be once of the Partition'. which is  $\Theta(n)$ .

## # Question 5.6 (Marks: 0.5)

Implement QUICKSORT and QUICKSORT' from Question 5.5.

题目		
状态	最后递交于	题目
✓ 100 Accepted	2 天前	31 Quick Sort I
✓ 100 Accepted	16 小时前	32 Quick Sort II

```
1  int main(){
```

```

2     int N = read();
3     vector < int > A(N + 10, 0);
4     for(int i = 1; i <= N; ++i)A[i] = read();
5     auto Partition = [](vector < int > &A, int l, int r)->int{
6         int val(A[r]);
7         int spl(l - 1);
8         for(int i = l; i <= r - 1; ++i)
9             if(A[i] <= val)swap(A[++spl], A[i]);
10        swap(A[++spl], A[r]);
11        return spl;
12    };
13    auto QuickSort = [&](auto&& self, vector < int > &A, int l, int
r)->void{
14        if(l >= r)return;
15        int spl = Partition(A, l, r);
16        self(self, A, l, spl - 1);
17        self(self, A, spl + 1, r);
18    }; QuickSort(QuickSort, A, 1, N);
19
20    for(int i = 1; i <= N; ++i)printf("%d%c", A[i], i == N ? '\n' : '
');
21
22    // fprintf(stderr, "Time: %.6lf\n", (double)clock() /
CLOCKS_PER_SEC);
23    return 0;
24 }

```

```

1 int main(){
2     int N = read();
3     vector < int > A(N + 10, 0);
4     for(int i = 1; i <= N; ++i)A[i] = read();
5     auto Partition = [](vector < int > &A, int l, int r)->pair < int,
int >{
6         int pivot(A[r]);
7         int spl1(l - 1), spl2(r - 1);
8         int cur(l);
9         while(cur <= spl2){
10            if(A[cur] < pivot)swap(A[++spl1], A[cur++]);
11            else if(A[cur] > pivot)swap(A[cur], A[spl2--]);

```

```
12         else ++cur;
13     }
14     swap(A[++spl2], A[r]);
15     return {spl1 + 1, spl2};
16 };
17     auto QuickSort = [&](auto&& self, vector < int > &A, int l, int
r)->void{
18         if(l >= r)return;
19         auto [spl1, spl2] = Partition(A, l, r);
20         self(self, A, l, spl1 - 1);
21         self(self, A, spl2 + 1, r);
22     }; QuickSort(QuickSort, A, 1, N);
23
24     for(int i = 1; i <= N; ++i)printf("%d%C", A[i], i == N ? '\n' : '
');
25
26     // fprintf(stderr, "Time: %.6lf\n", (double)clock() /
CLOCKS_PER_SEC);
27     return 0;
28 }
29
```