

# Computational Methods for Quantum Many-Body Systems (CMQMB) - from **artificial atoms** to **high-temperature superconductors**

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## Lecture 1 – Introduction, Second Quantization

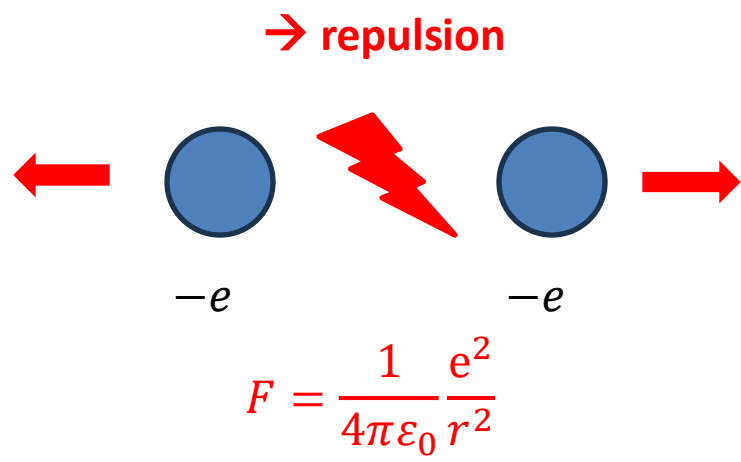
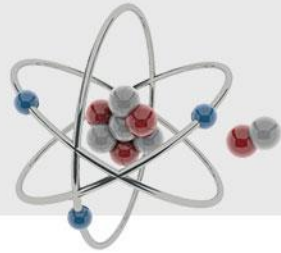


**UNIVERSITÀ  
DEGLI STUDI  
DI TRIESTE**

Prof. Dr. Thomas Schäfer

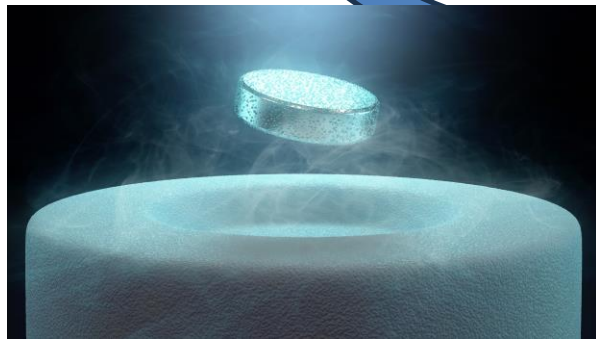
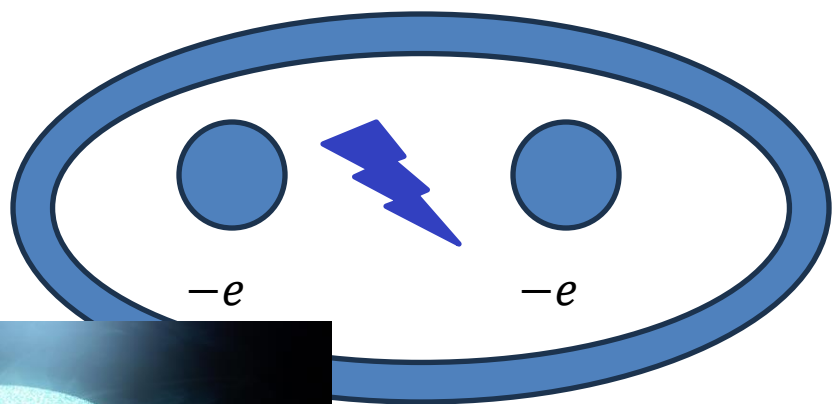
Università degli studi di Trieste (UNITS), Winter Semester 2025

# Fascinating physics from **electron-electron interactions**



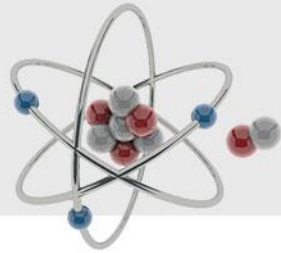
Classical electrostatics:  
**Coulomb force**

But Coulomb interaction can also lead to  
an (effective) **attraction!**



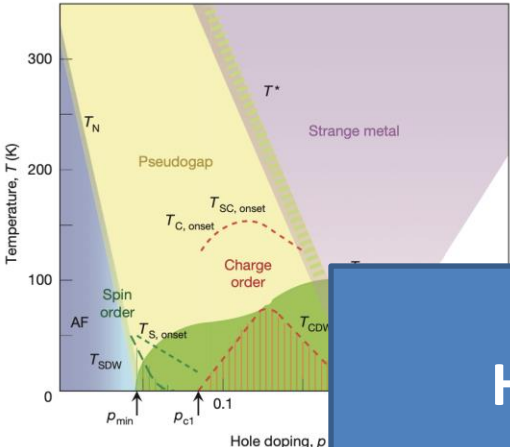
→ **Cooper pairs and  
superconductors**

# Fascinating physics from **electron-electron interactions**



## High- $T_c$ superconductivity, pseudogap

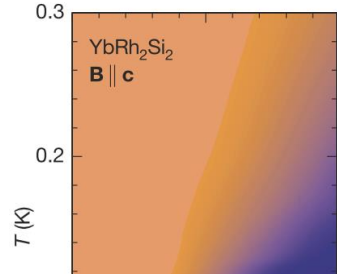
*B. Keimer, et al., Nature (2015)*



Tseng, ..., TS, Hansmann, SciPost Ph. '25  
 Malcolms, ..., TS, arXiv '24  
 Ortiz, ..., TS, Hepting, PRR '22  
 Klett, ..., TS, Front. Phys. '22  
 Kitatani, ..., TS, Held, JPM '22  
 TS and Toschi, JPCM '21  
 Klett, Klett, TS, PRR '21

## Quantum criticality/Strange metals

*J. Custers, et al., Nature (2003)*

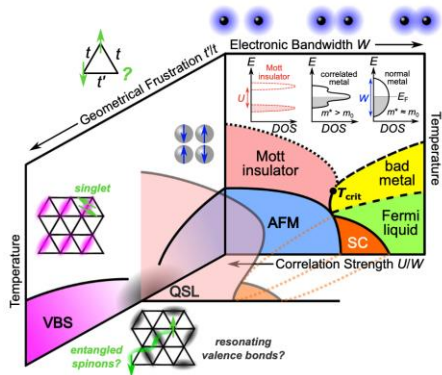


TS et al., PRL '17  
 TS et al., PRL '19  
 Adler, ..., TS, ..., arXiv '24  
 Kitatani, TS, ..., arXiv '25

**How can we treat such systems theoretically?**

## Mott metal-insulator

*A. Pustogow, et al., Nat. Comm. (2023)*

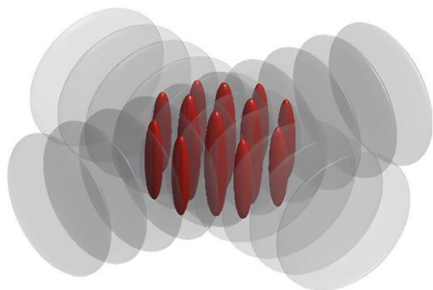


Menke, ..., TS, PRL '24  
 Meixner, ..., TS, arXiv '25  
 Kowalski, ..., TS, PRL '24  
 Meixner, ..., TS, SciPost Ph. '24  
 Wagner, ..., TS, ..., Sangiovanni Nat. Comm. '23  
 TS et al., PRX '21  
 Wietek, ..., TS, Georges, PRX '21  
 Klett, ..., TS, et al., PRR '20  
 TS et al., PRB '15  
 TS et al., JMMM '16

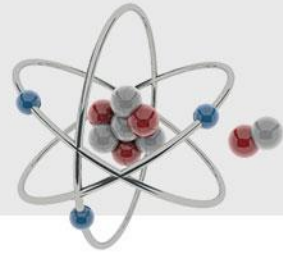
## Quantum simulators

(cold atoms, moiré, ...)

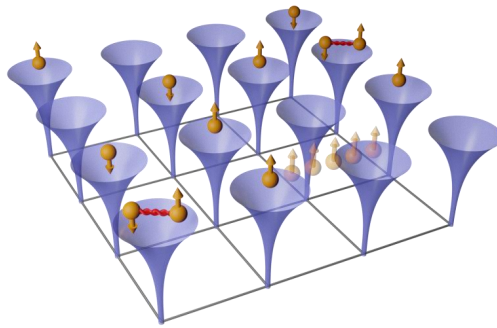
*Y. Huo, ..., H.-C. Nägerl, Nat. Phys. (2024)*



Tscheppe, ..., TS, PNAS '24  
 D. Kiese, ... TS, ..., in preparation



# The full many-body ('ab-initio', solid-state) Hamiltonian



$$\hat{H} = \sum_{\sigma} \int d^3r \, \hat{\Psi}^+(\mathbf{r}, \sigma) \left[ -\frac{\hbar^2}{2m_e} \Delta + \underbrace{\sum_l \frac{-e^2}{4\pi\epsilon_0} \frac{Z_l}{|\mathbf{r} - \mathbf{R}_l|}}_{\equiv V_{\text{ion}}(\mathbf{r})} \right] \hat{\Psi}(\mathbf{r}, \sigma) \\ + \frac{1}{2} \sum_{\sigma\sigma'} \int d^3r \, d^3r' \, \hat{\Psi}^+(\mathbf{r}, \sigma) \hat{\Psi}^+(\mathbf{r}', \sigma') \underbrace{\frac{e^2}{4\pi\epsilon_0} \frac{1}{|\mathbf{r} - \mathbf{r}'|}}_{\equiv V_{\text{ee}}(\mathbf{r}-\mathbf{r}')} \hat{\Psi}(\mathbf{r}', \sigma') \hat{\Psi}(\mathbf{r}, \sigma)$$

Problem: how can we treat a (quantum mechanical) system with  $10^{23}$  constituents?



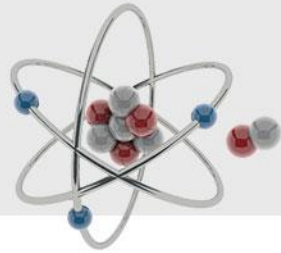
## Approximate solutions to H:

density functional theory (DFT), perturbation theory, GW, etc.

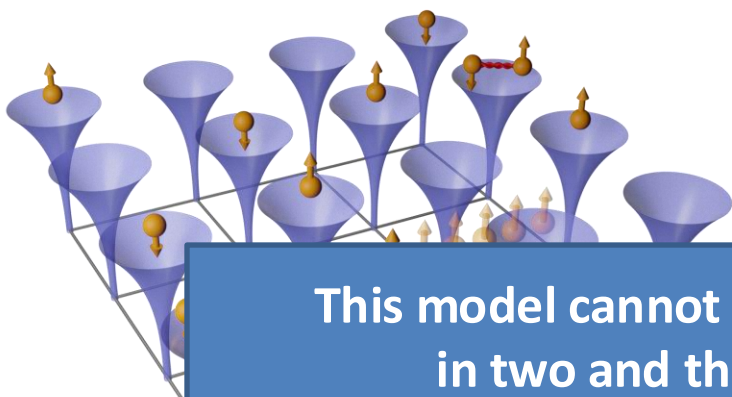


**Approximation to H:**  
capture essential physics

# Interacting systems: fascinating physics - and a simple (?) modellization



## Hubbard model



This model cannot be solved analytically in two and three dimensions!  
→ numerical quantum many-body methods needed!

$$H = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

**-t** : hopping

**U**: local Coulomb interaction



Annual Review of Condensed Matter Physics

### The Hubbard Model: A Computational Perspective

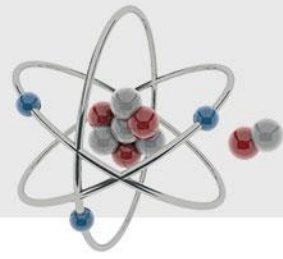
Mingyu Qin,<sup>1</sup> Thomas Schäfer,<sup>2</sup> Sabine Andergassen,<sup>3</sup> J. H. Poiz,<sup>4</sup> and Emanuel Gull<sup>5</sup>

<sup>1</sup> School of Physics and Quantum Optics, School of Physics and Optics, Tongji University, Shanghai, China  
<sup>2</sup> Festkörperforschung, Stuttgart, Germany  
<sup>3</sup> Physik und Center for Quantum Science, Universität Tübingen, Tübingen, Germany  
<sup>4</sup> Physics and Delta Institute for Theoretical Physics, University of Groningen, Groningen, The Netherlands  
<sup>5</sup> Ann Arbor, Michigan, USA

<https://doi.org/10.1146/annurev-conmatphys-090921-033948>  
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#### Abstract

The Hubbard model is the simplest model of interacting fermions on a lattice and is of similar importance to correlated electron physics as the Ising model is to statistical mechanics or the fruit fly to biomedical science. Despite its simplicity, the model exhibits an incredible wealth of phases, phase transitions, and exotic correlation phenomena. Although analytical methods have provided a qualitative description of the model in certain limits, numerical tools have shown impressive progress in achieving quantitative accurate results over the past several years. This article gives an introduction to the model, motivates common questions, and illustrates the progress that has been achieved over recent years in revealing various aspects of the correlation physics of the model.



# Tentative outline of the course

## 1. QFT and Green functions

Introduction, organization of the lecture, evaluation / Second quantization

Second quantization (continued)

Pictures of time evolution, Linear response theory and Kubo formalism

From single-particle to many-particle Green functions

Lehmann representation, spectral function, tunneling spectroscopy

Finite temperatures and Matsubara formalism

Perturbation theory, Feynman diagrams, self-energy and Dyson equation

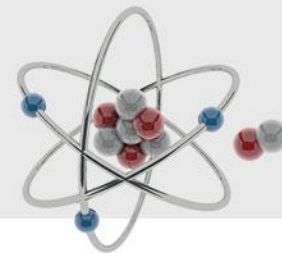
TRIQS I: Introduction to TRIQS, Python, Green functions

TRIQS II: tight-binding, multivariable Green functions, dispersion relations, density of states

## 2. Quantum dots, Anderson impurity model

## 3. Quantum magnetism, Hubbard model

## 4. Mott transition, DMFT



# Numerical hands-on example sessions



# TRIQS

Toolbox for Research on Interacting Quantum Systems

01s-IPT\_and\_DMFT.ipynb Python 3 (ipykernel)

## A first DMFT calculation

The goal of this notebook is to make a first DMFT calculation using the iterated perturbation theory (IPT) to solve the impurity problem. You will proceed in two steps: first you will set up the IPT impurity solver and then you will set up the DMFT loop.

### The iterated perturbation theory

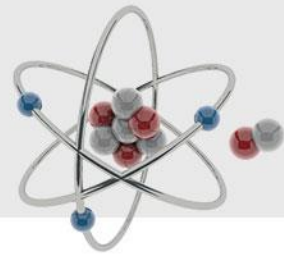
The IPT is a cheap way to solve the impurity problem at half-filling, but fails away from half-filling ([further information](#)). It **approximates** the self-energy by second-order perturbation theory, just like in the last exercise of the notebook on Green's functions:

$$\Sigma(i\omega_n) = \frac{U}{2} + U^2 \int_0^\beta d\tau e^{i\omega_n \tau} G_0(\tau)^3$$

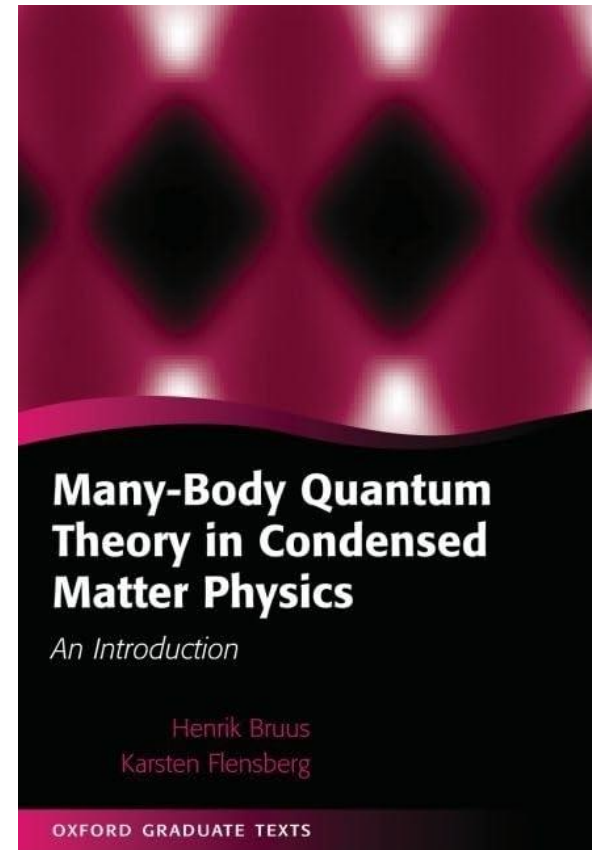
Note that the first term,  $\frac{U}{2}$ , is the chemical potential  $\mu$  for half-filling.

- Introduction to topic and presented example (30 minutes)
- Notebook to be worked on separately (40 minutes)
- Presentation of solution (20 minutes)

**LAPTOPS NEEDED! GOOGLE ACCOUNT NEEDED!**

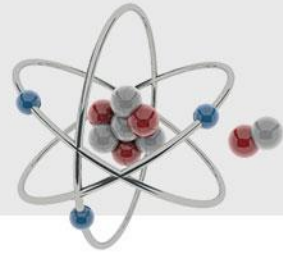


- Lecture notes (blackboard and slides)
- Numerical parts: notebooks
- Book: H. Bruus and K. Flensberg  
“Many-body quantum theory in condensed matter physics”  
(Oxford Graduate Texts 2004)
- Research papers and reviews (given in lectures)





# Interested in Computational Methods for Quantum Many-Body Systems?



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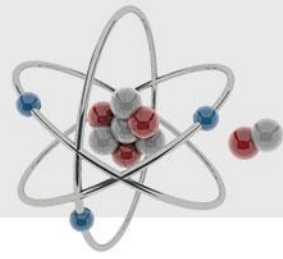
Mon 9:15 – 11:00

Aula B (Edificio F)

Tue 11:15 – 13:00

Aula A Idraulica (Edificio C2)

Via Valerio, 2 - 34127 Trieste

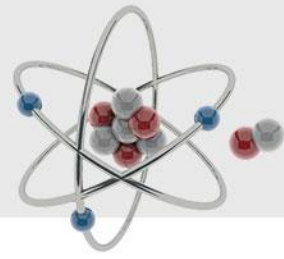


## Possible exam modi of the course

Students can choose one of the following options (all orally):

- Examination with two questions on the course from two different topics (30 minutes).
- Presentation of a small numerical project worked out by the student (15 minutes) and one question on the course (15 minutes).
- Presentation of a current research publication by the student (20 minutes) and one question on the course (10 minutes).






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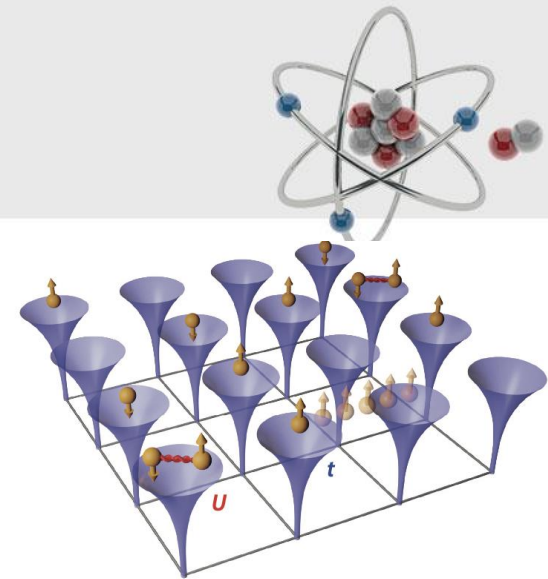
## Lectures 1+2 – Second Quantization

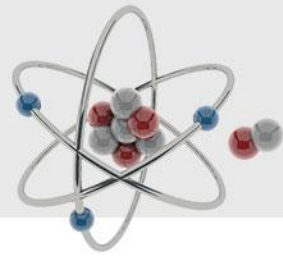
# The full solid-state Hamiltonian

$$H/E_0 = \boxed{-\frac{1}{2} \sum_i \frac{\partial^2}{\partial \tilde{\mathbf{r}}_i^2}} - \frac{1}{2} \sum_k \frac{m}{M_k} \frac{\partial^2}{\partial \tilde{\mathbf{R}}_k^2} \boxed{+ \sum_{i < j} \frac{1}{|\tilde{\mathbf{r}}_i - \tilde{\mathbf{r}}_j|}} \\ + \sum_{k < l} \frac{Z_k Z_l}{|\tilde{\mathbf{R}}_k - \tilde{\mathbf{R}}_l|} - \sum_{i,k} \frac{Z_k}{|\tilde{\mathbf{r}}_i - \tilde{\mathbf{R}}_k|}$$


Many fascinating phenomena like magnetism, superconductivity, quantum criticality, metal-insulator transitions, ...

However: advanced description necessary! One-particle picture does not hold!

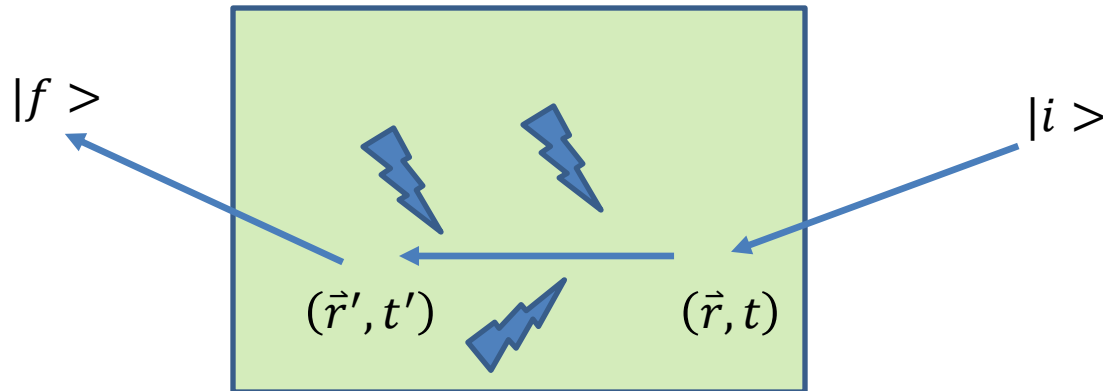




# Towards interacting systems...

How can we obtain information from an interacting system?

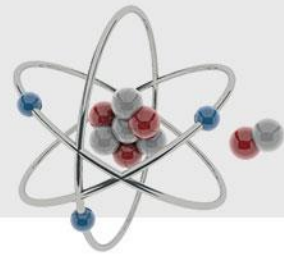
→ Scattering experiments (theory)



Three ingredients necessary:

- 1) Many-body treatment → Second quantization
- 2) Time evolution → Pictures of time evolution
- 3) How does the system respond? → Linear response theory

# Lectures 1 + 2



## Content and goals

- Many-body wave functions in first quantization
- Operators in first quantization
- Occupation number representation
- Creation and annihilation operators for bosons and fermions
- Operators in second quantization
- Change of basis and quantum field operators
- Examples