



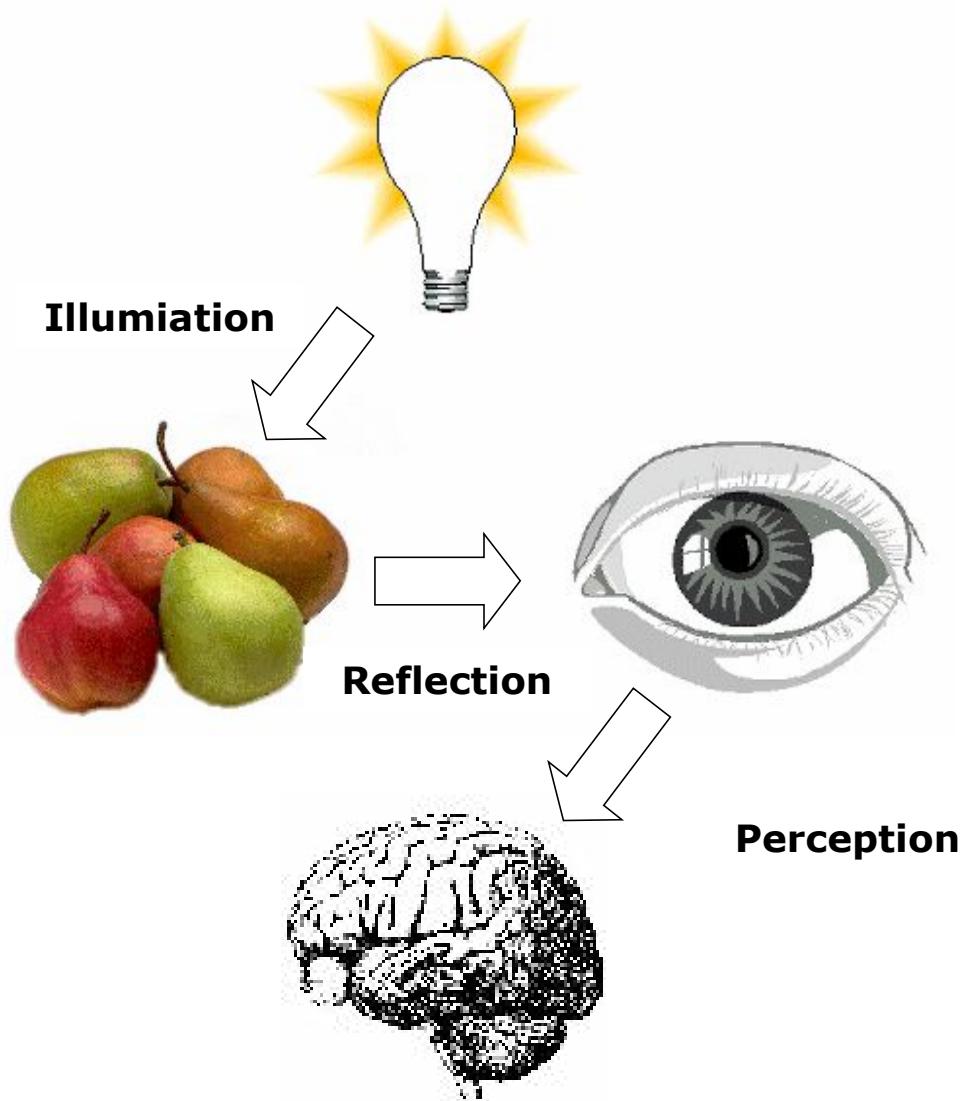
Berner Fachhochschule  
Haute école spécialisée bernoise  
Bern University of Applied Sciences

Introduction to Computer Vision:

# Basic Principles

Marcus Hudritsch (hsm4)

# Basics: Visual Perception



# Basics: The Light

- **Ray Model** explains reflection and refraction
- **Photon Model** explains interaction between light and matter
- **Wave Model** explains color spectrum and polarisation

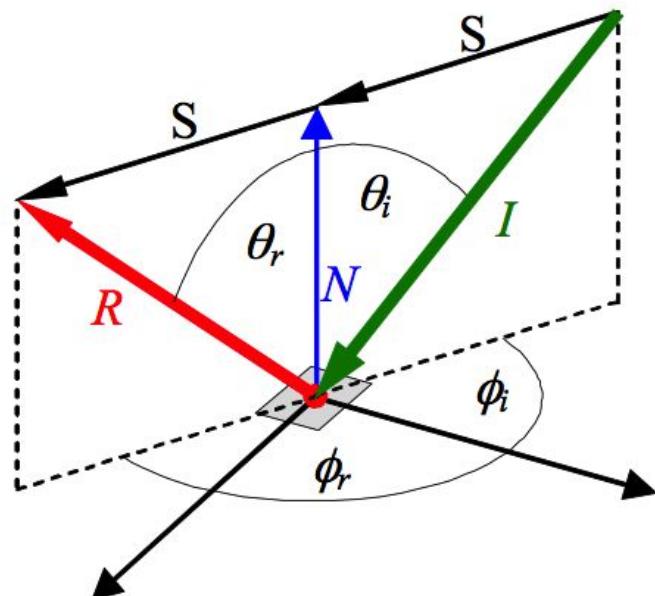
# Basics: Light: Ray Model

On a surface a light ray can be:

- reflected
- refracted
- absorbed

Mostly it is a mix of all three.

**Perfect Reflection:** Ideal mirror: Incident angle = Emergent angle



$$\cos \theta = -I \cdot N$$

$$S = (-I \cdot N) N + I$$

$$R = (-I \cdot N) N + S$$

$$R = (-I \cdot N) N + (-I \cdot N) N + I$$

$$R = 2(-I \cdot N) N + I$$

$$R = I - 2(I \cdot N) N$$

# Basics: Light: Ray Model: Refraction

## Refraction

- On the border between two materials with different optical densities a change in light direction and speed of light occurs.
- The **index of refraction**  $\eta$  (eta) is the ratio of the speed of light in the material to the speed of light in vacuum ( $c = 299'792'458 \text{ m/s}$ ).

Material	Index of Refraction $\eta$
Vakuum:	1.0
Air:	1.0003
Water:	1.333
Cornea:	1.37
Glas:	1.5 - 1.6
Diamond:	2.417

Material	Index of Refraction  $\eta$
Vakuum:	1.0
Air:	1.0003
Water:	1.333
Cornea:	1.37
Glas:	1.5 - 1.6
Diamond:	2.417

The speed of light in a diamond is therefore  $c / 2.417$

# Basics: Light: Ray Model

## Refraction

- The calculation of a refracted vector is based on the law of Snell (1621)

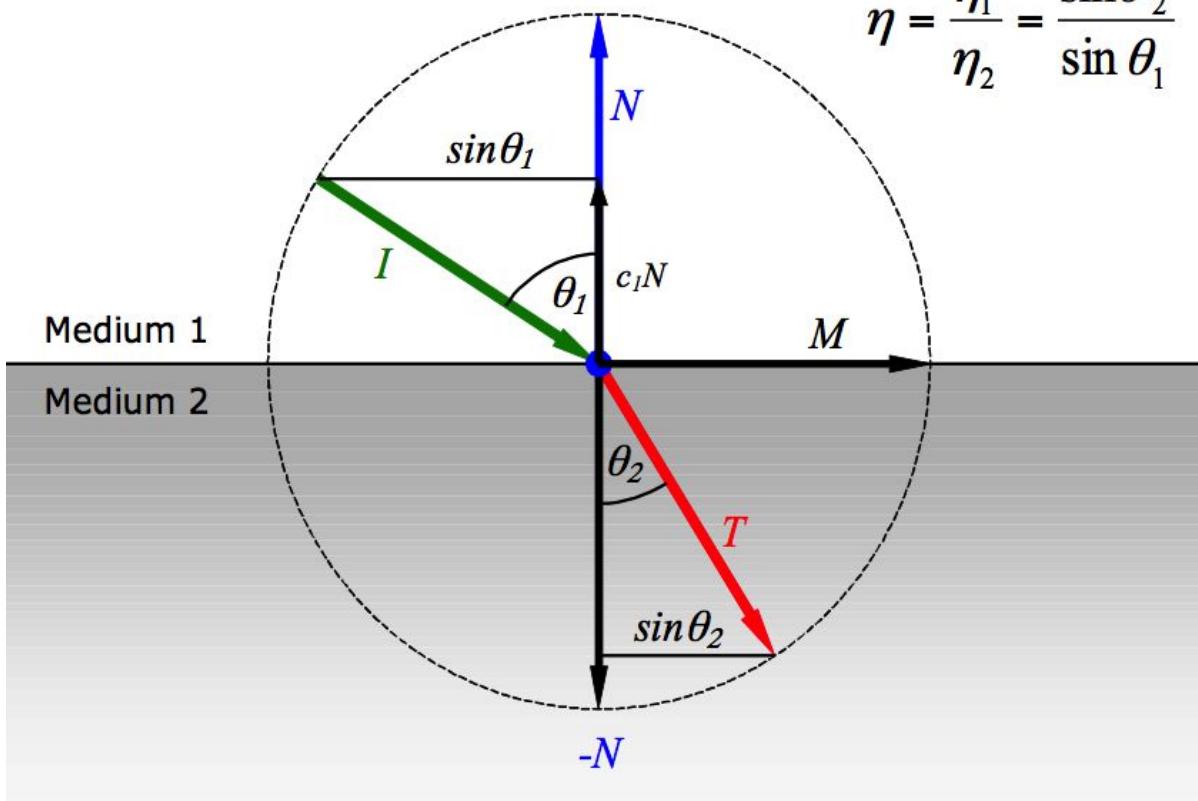
$$T = \eta I + (\eta c_1 - \sqrt{c_2}) N$$

mit

$$c_1 = \cos \theta_1 = -I \cdot N$$

$$c_2 = 1 - \eta^2 (1 - c_1)$$

$$\eta = \frac{\eta_1}{\eta_2} = \frac{\sin \theta_2}{\sin \theta_1}$$



# Basics: Light: Ray Model

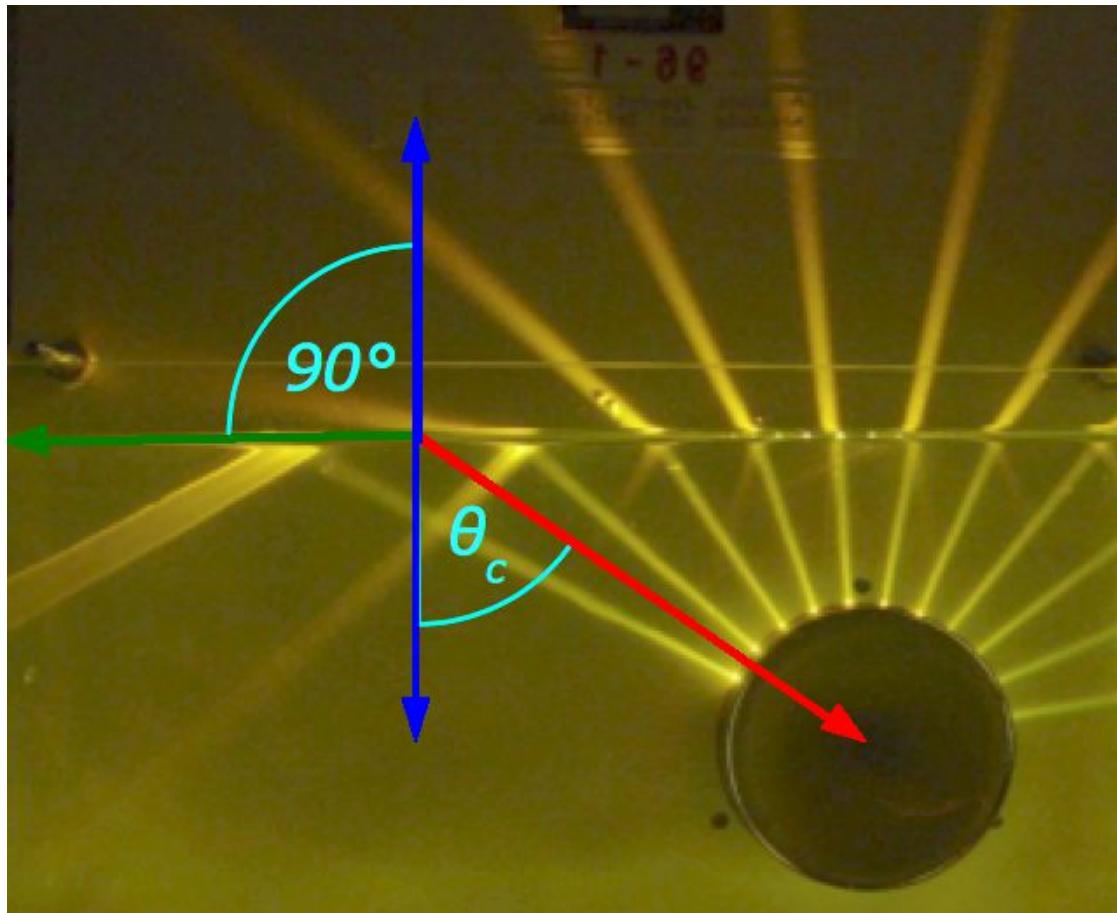
## Total Internal Reflection (TIR)

$$\frac{\eta_1}{\eta_2} = \frac{\sin \theta_c}{\sin \frac{\pi}{2}}$$

$$\eta_1 \sin \theta_c = \eta_2 \sin \frac{\pi}{2} = \eta_2$$

$$\sin \theta_c = \frac{\eta_2}{\eta_1}$$

$$\arcsin\left(\frac{1.003}{1.33}\right) = 48.95^\circ$$



# Basics: Light: Photon Model

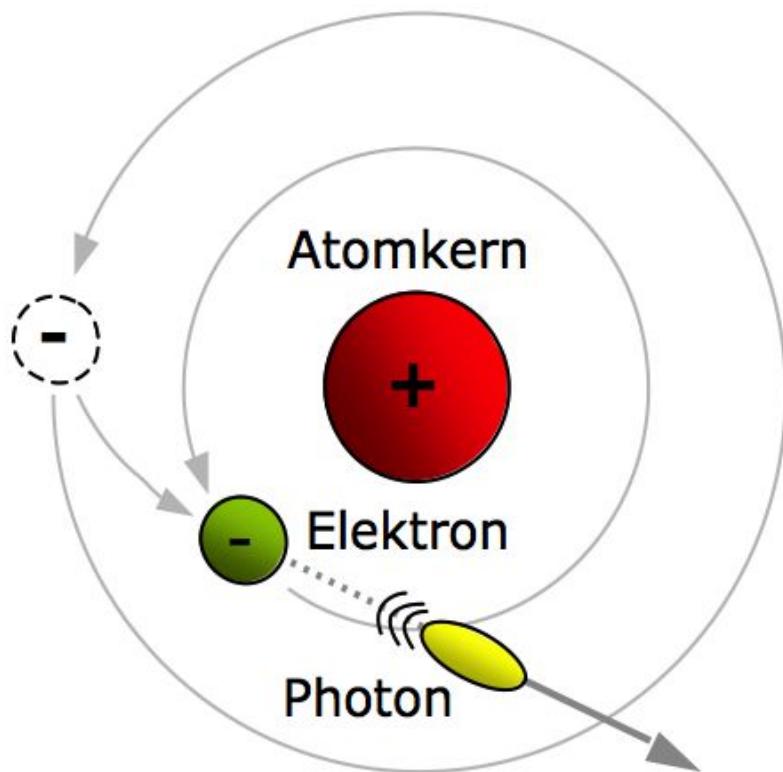
## Photon Model:

- Light is also an **energy transport** phenomena.
- It looks as light comes in **packets of energy**.
- Such a packet is called **light particle** or **photon** (Greek for light quantum).
- The photon model was invented by **Albert Einstein**.
  
- Light has its source and sink in the **atomic model**.
- Light can be **emitted** or **absorbed**.

# Basics: Light: Photon Model

## Light Emission

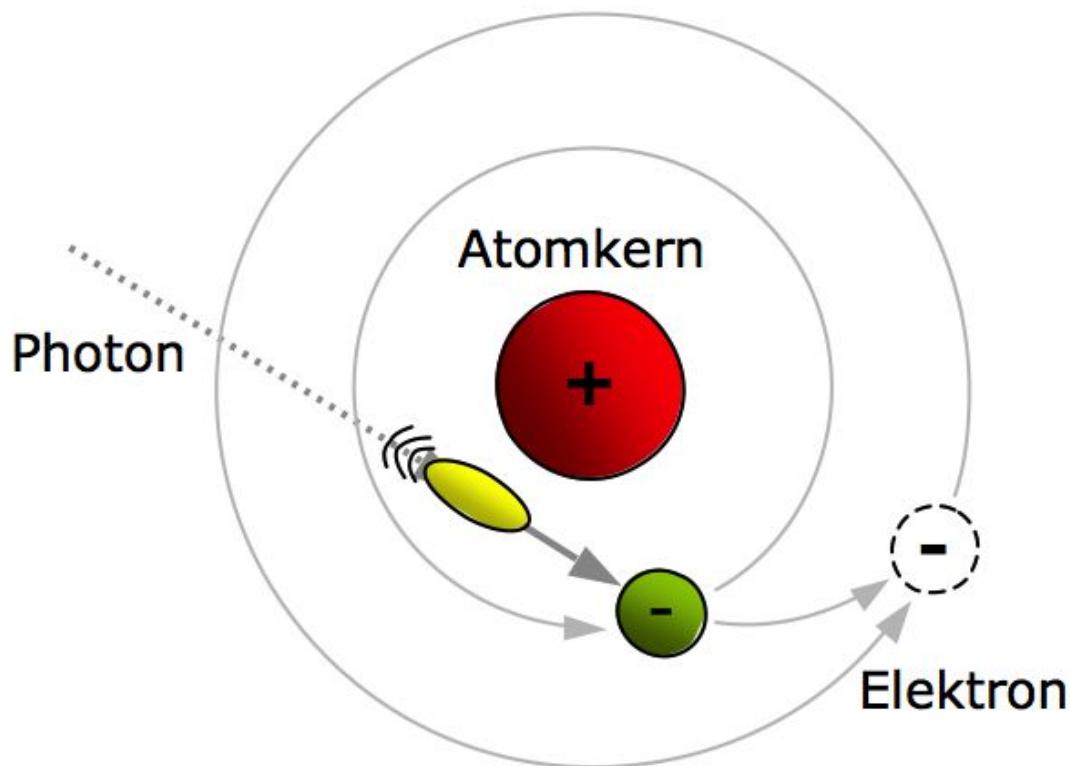
- When an electron changes its orbit to a lower energy orbit it releases the energy in form of a photon.
- Because photons have no electric charge they can not be distracted by electromagnetic fields.



# Basics: Light: Photon Model

## Light Absorption

- When a photon hits an electron, the electron is thrown to a higher orbit and absorbs the energy of the photon.
- After a while the electron falls back to its original orbit and releases energy as heat.



# Basics: Light: Photon Model: Light Sources

- **Two Groups of Light Sources**
- **Heat radiation** comes from thermal motion of particles by a burning process or through electric energy:

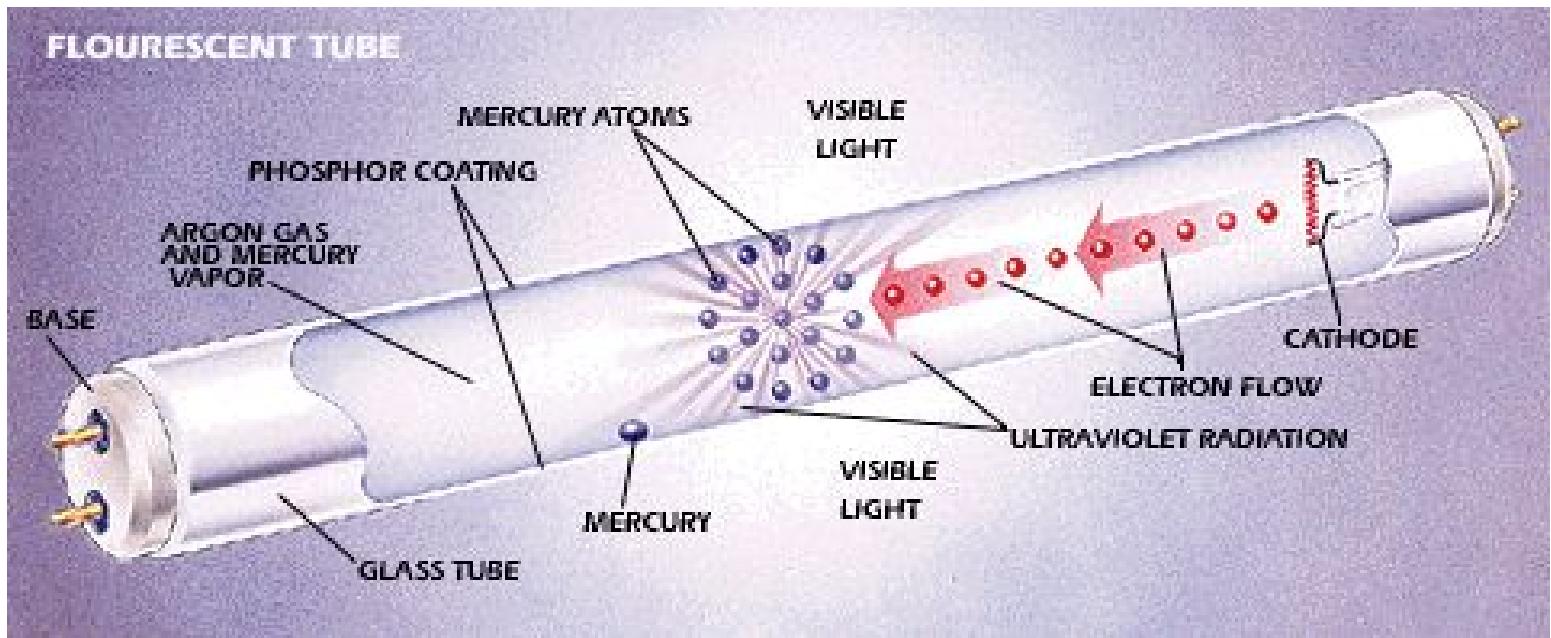


# Basics: Light: Photon Model: Light Sources

- **Luminescence Radiators** light without burning or glowing:
  - They are also called **cold radiators**.
  - Depending on the **source of energy** we distinguish:
  - **Photoluminescence**: Stimulation through photons

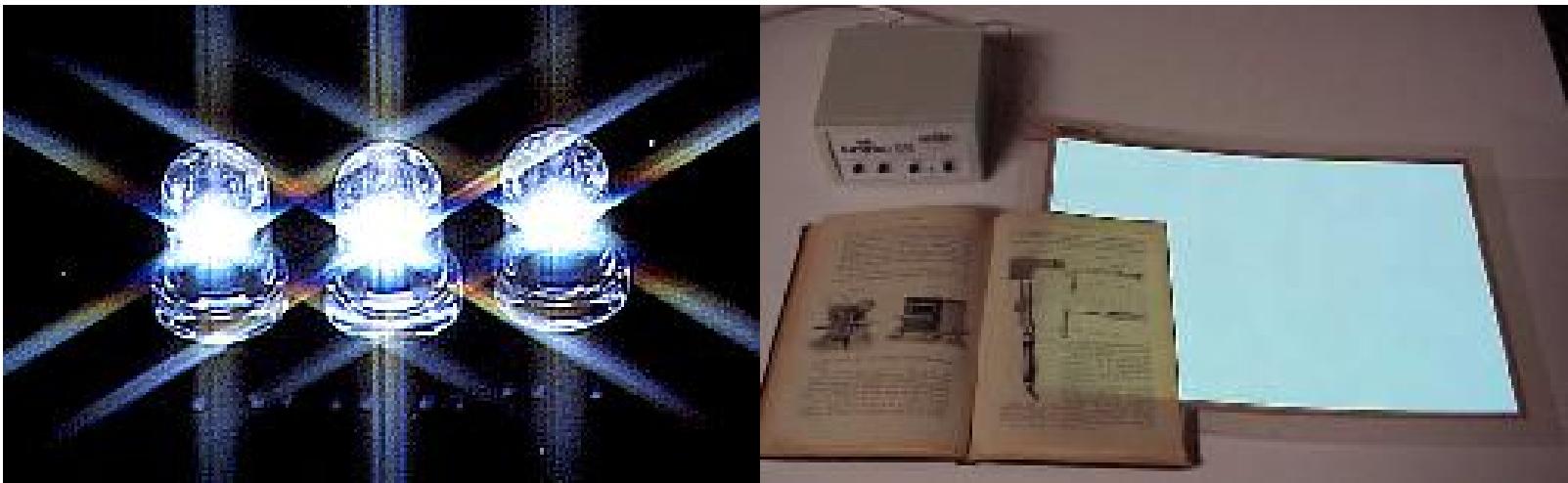
# Basics: Light: Photon Model: Light Sources

- **Luminescence Radiators** light without burning or glowing:
  - **Photoluminescence**: Stimulation through photons
  - **Electroluminescence**: Stimulation through electric power:
    - Neon light tube



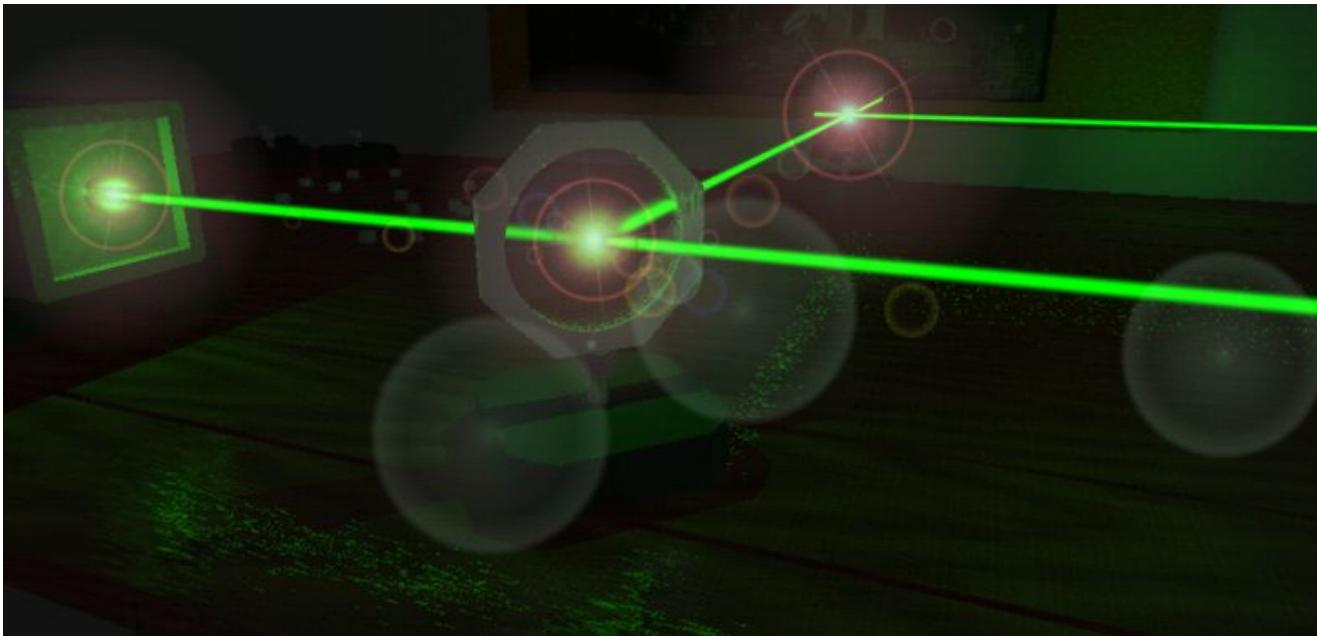
# Basics: Light: Photon Model: Light Sources

- **Luminescence Radiators** light without burning or glowing:
  - **Photoluminescence**: Stimulation through photons
  - **Electroluminescence**: Stimulation through electric power:
    - Neon light tube
    - Light emitting diodes (LED)
    - Light emitting condensators (LEC)



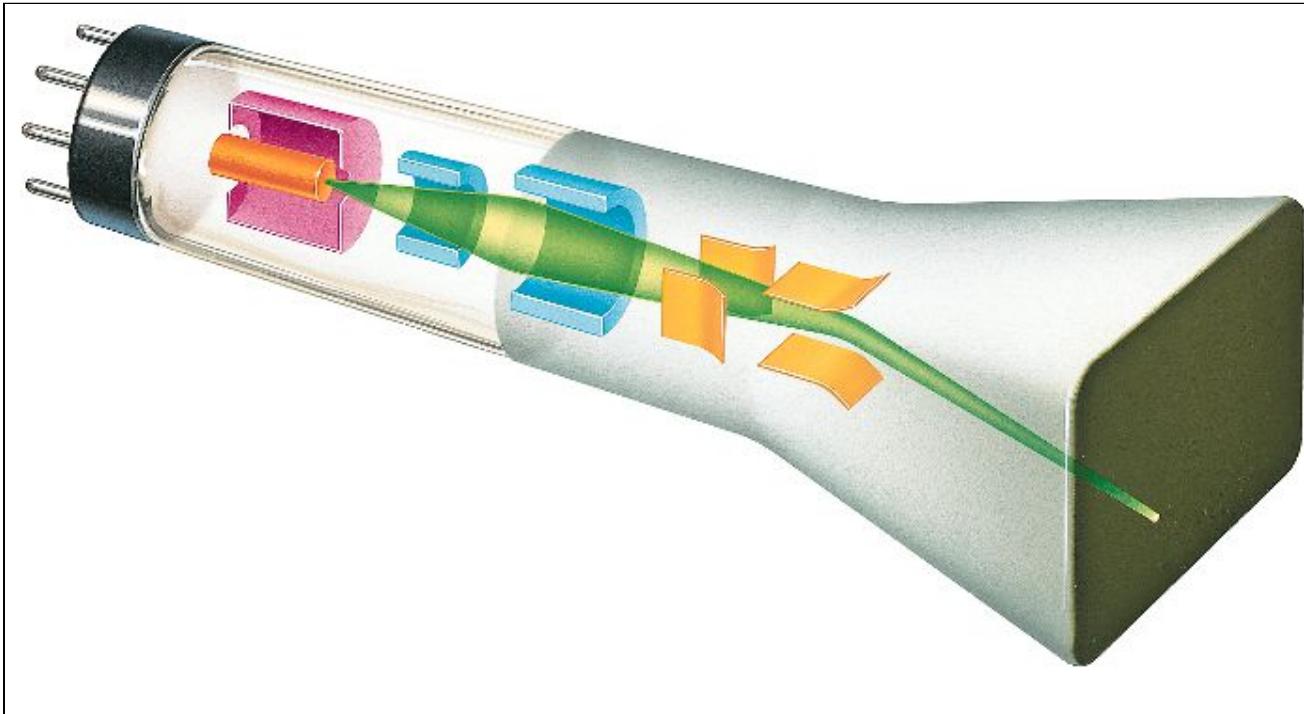
# Basics: Light: Photon Model: Light Sources

- **Luminescence Radiators** light without burning or glowing:
  - **Photoluminescence**: Stimulation through photons
  - **Electroluminescence**: Stimulation through electric power:
    - Neon light tube
    - Light emitting diodes (LED)
    - Light emitting condensators (LEC)
    - Laser (Light Amplification by Stimulated Emission of Radiation)



# Basics: Light: Photon Model: Light Sources

- **Luminescence Radiators** light without burning or glowing:
  - **Photoluminescence**: Stimulation through photons
  - **Electroluminescence**: Stimulation through electric power
  - **Cathodeluminescence**: Stimulation through an electron beam



# Basics: Light: Photon Model: Light Sources

- **Luminescence Radiators** light without burning or glowing:
  - **Photoluminescence**: Stimulation through photons
  - **Electroluminescence**: Stimulation through electric power
  - **Cathodeluminescence**: Stimulation through an electron beam
  - **Chemoluminescence**: Stimulation through chemical reaction



# Basics: Light: Photon Model: Light Sources

- **Luminescence Radiators** light without burning or glowing:
  - **Photoluminescence**: Stimulation through photons
  - **Electroluminescence**: Stimulation through electric power
  - **Cathodeluminescence**: Stimulation through an electron beam
  - **Chemoluminescence**: Stimulation through chemical reaction
  - **Bioluminescence**: Stimulation through biochemical reaction



# Basics: Light: Photon Model: Light Sources

- **Luminescence Radiators** light without burning or glowing:
  - **Photoluminescence**: Stimulation through photons
  - **Electroluminescence**: Stimulation through electric power
  - **Cathodeluminescence**: Stimulation through an electron beam
  - **Chemoluminescence**: Stimulation through chemical reaction
  - **Bioluminescence**: Stimulation through biochemical reaction
  - **Radioluminescence**: Stimulation through radioactive radiation



# Basics: Light: Photon Model: Light Energy

## Light is energy

- The **energy E** of a photon and the **frequency** of the lightwave are **proportional**.
- The higher the **frequency v** the higher is the energy.
- The constant **h** is called **Planck Constant**:

$$E = h \cdot v \quad [\text{eV}]$$

1 eV (electron volt) is  $1.6 \cdot 10^{-19}$  J (Joule)

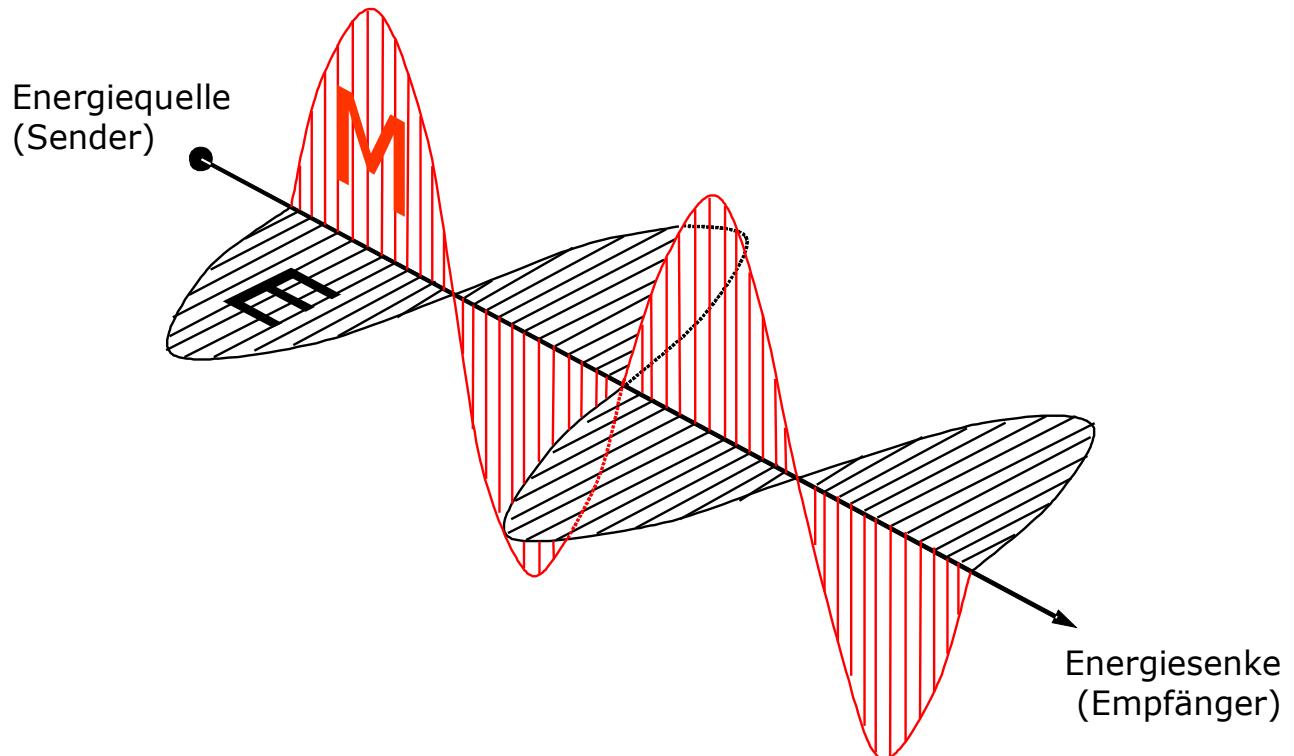
- The **wavelength** is inverse proportional to the energy E:

$$E = h \cdot \frac{c}{\lambda}$$

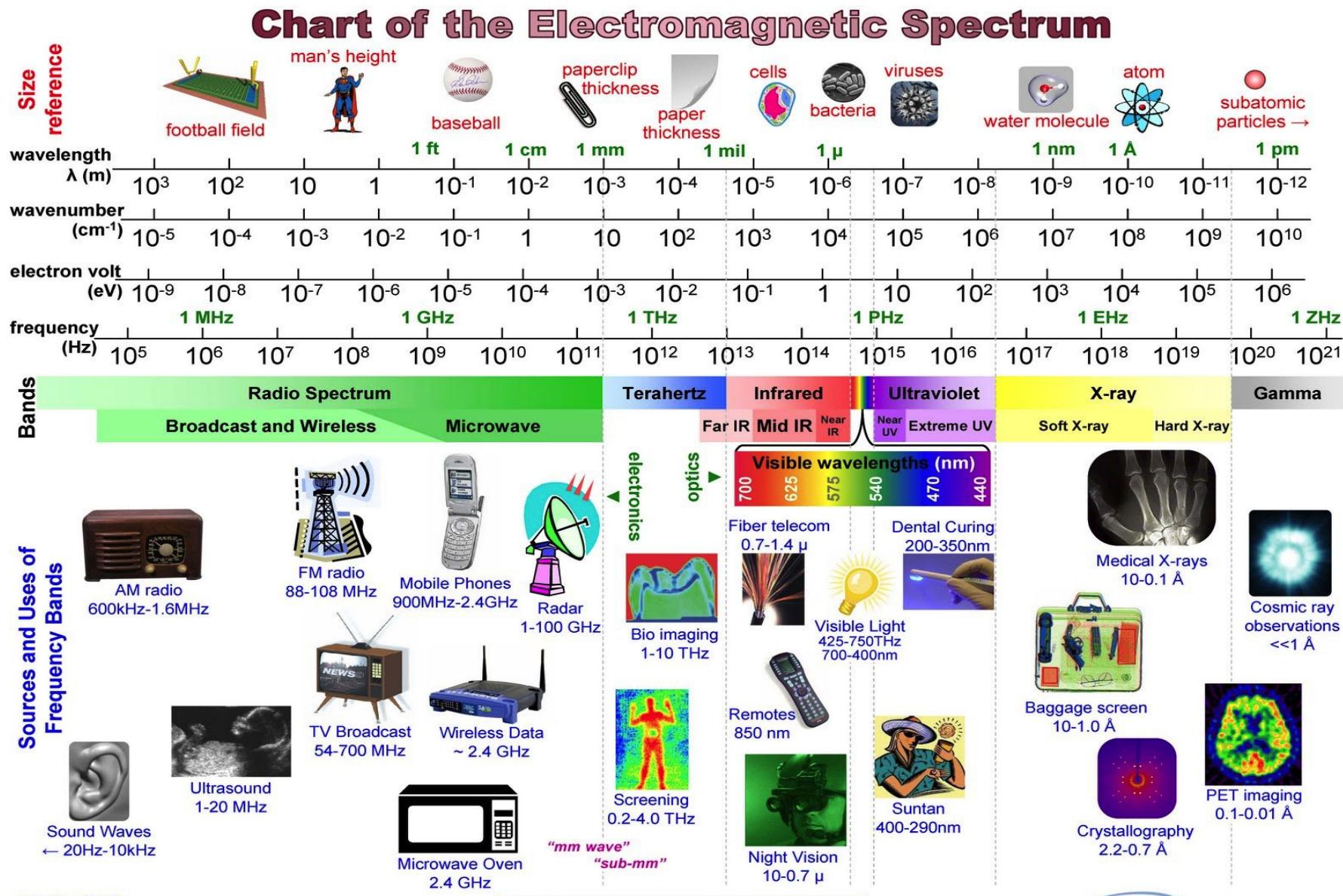
- c is the speed of light in vacuum (299'792'458 m/s)

# Basics: Light: Wave Model

- The light can be interpreted as an **electromagnetic wave**.
- Light propagates in vacuum with speed of light.
- The electric and magnetic field component are perpendicular to each other

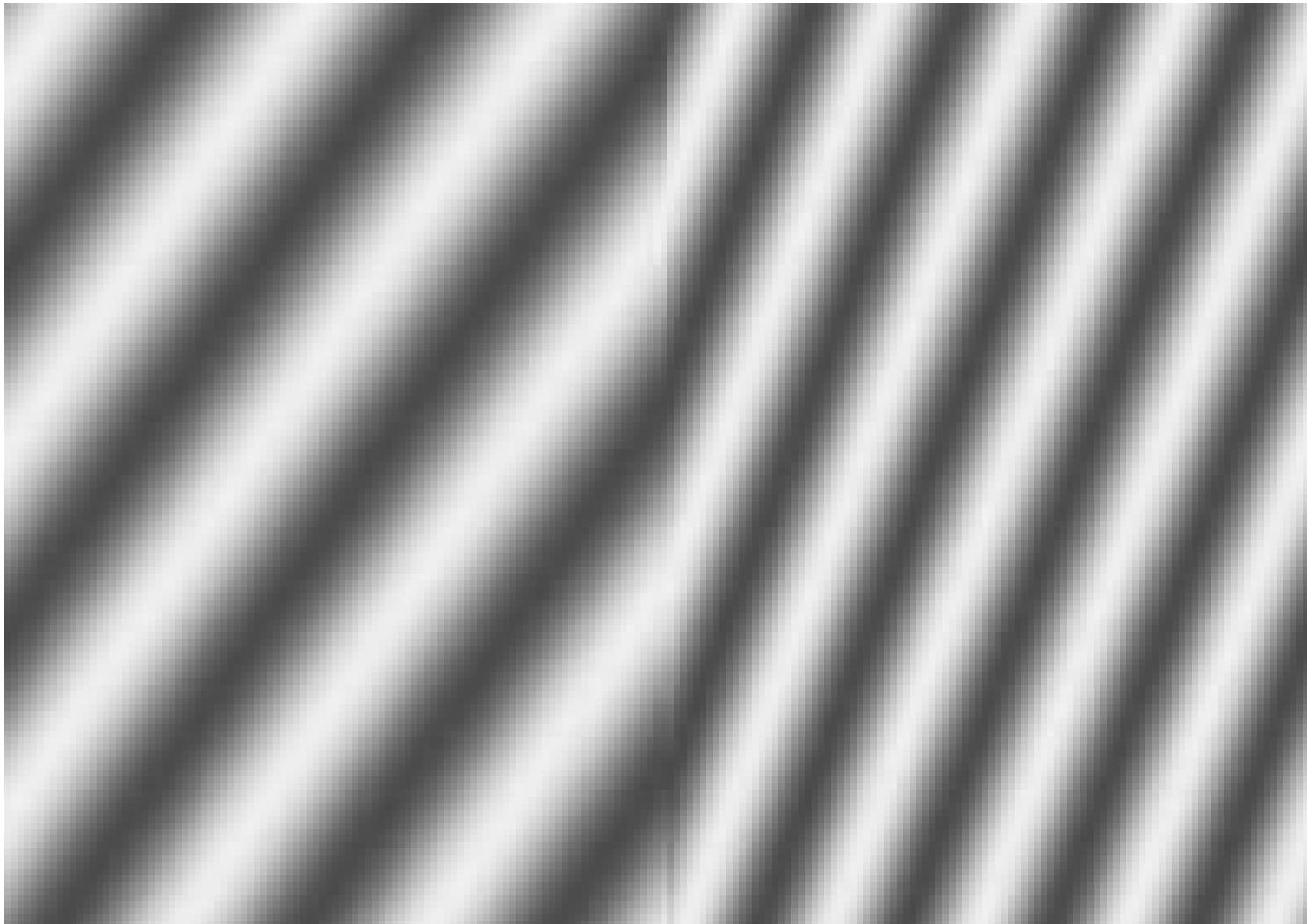


# Light: Wave Model: Electromagnetic Spectrum



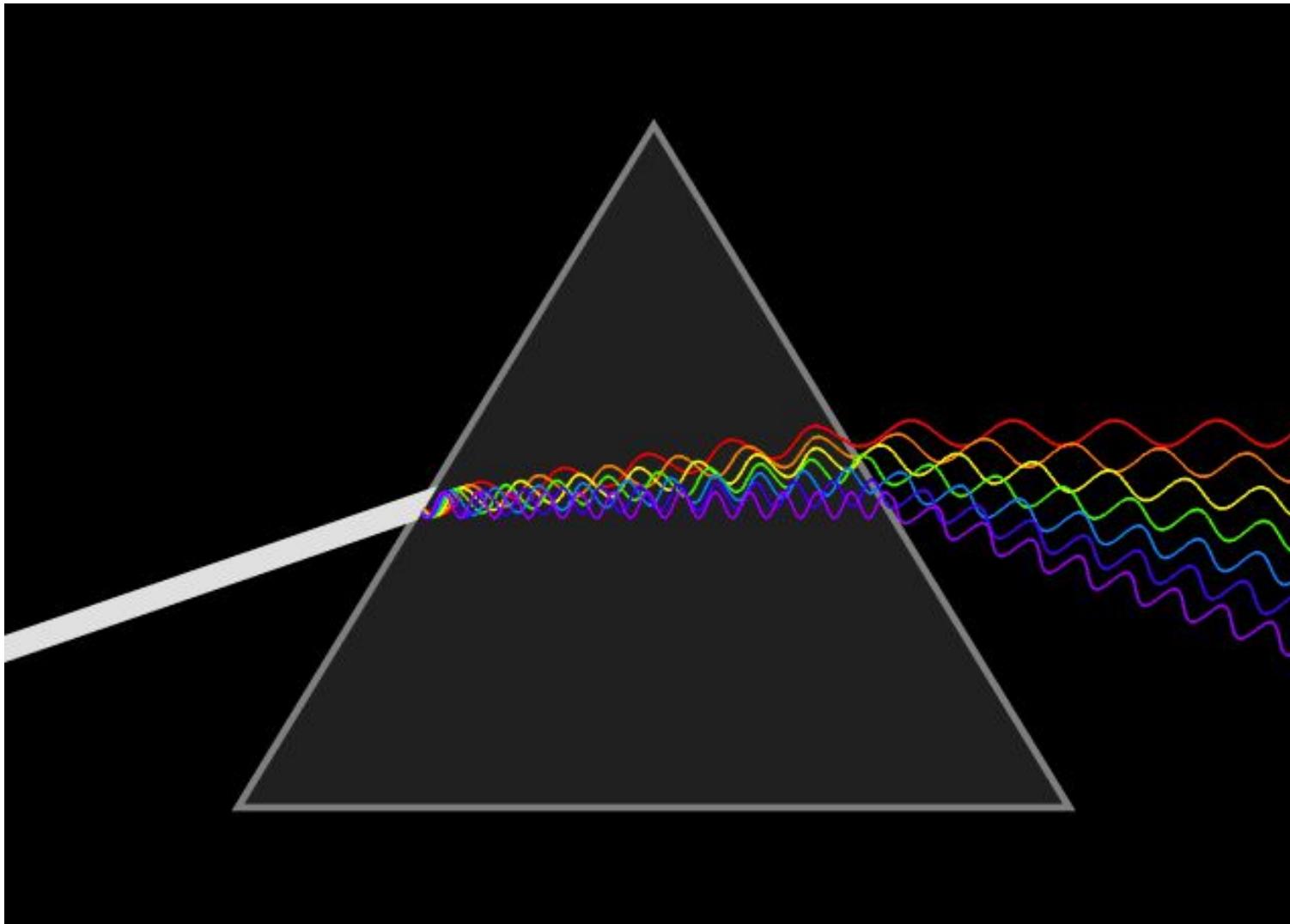
# Basics: Light: Wave Model: Refraction

**Why does a light ray get bended?**



# Basics: Light: Wave Model: Refraction

The phase velocity depends on the wavelength of the light:



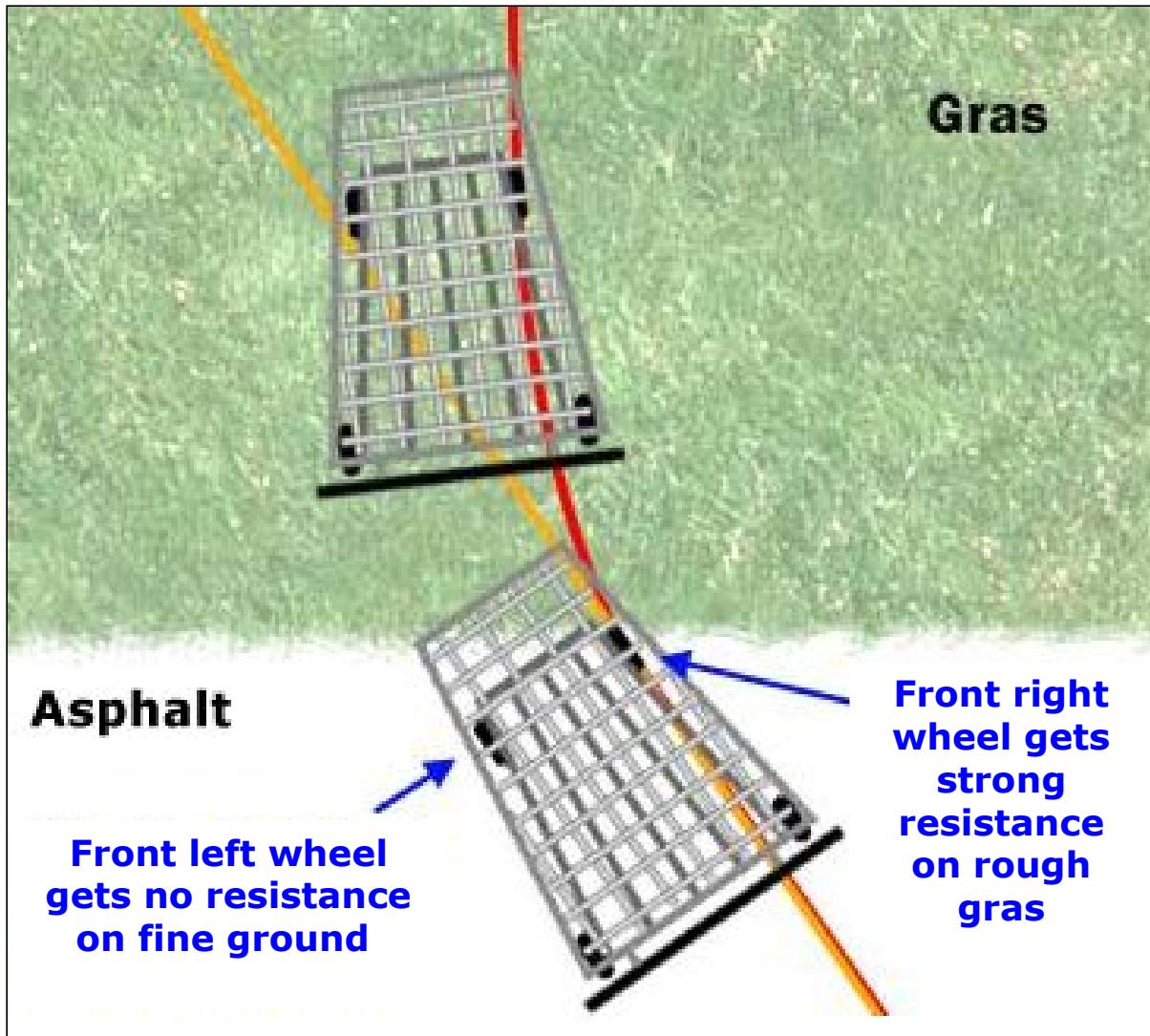
# Basics: Light: Wave Model: Refraction

- The **phase velocity** is the speed of a single monochromatic wave.
- The **group velocity** is the speed of polychromatic wave group.
- In **vacuum both velocities are the same** and equal to the speed of light.
- View this animation: <http://de.wikipedia.org/wiki/Phasengeschwindigkeit>



# Basics: Light: Wave Model: Refraction

Why does a light ray get bended?



# Light: Wave Model: What causes a rainbow?



# Light: Wave Model: What causes a rainbow?

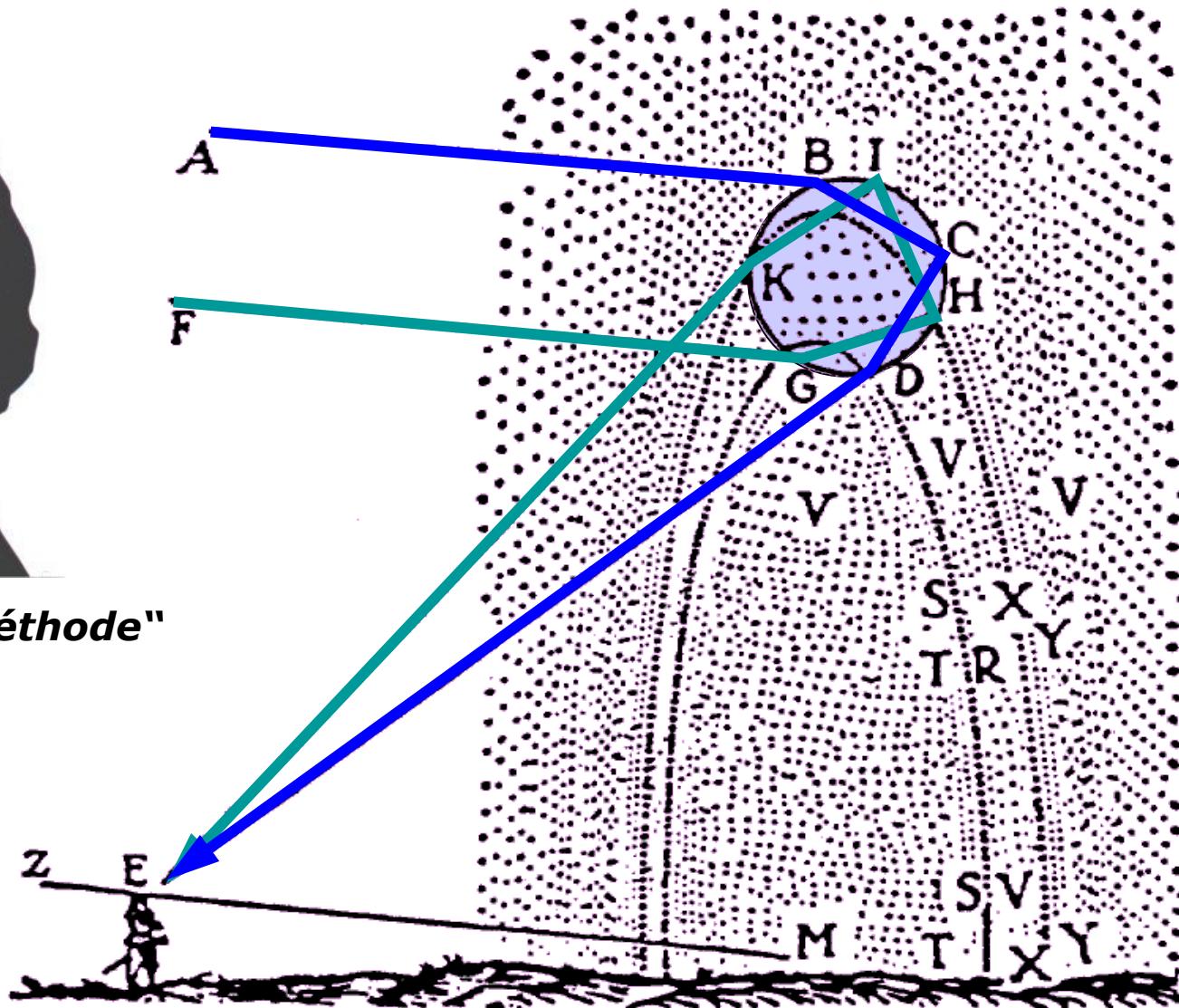


# Light: Wave Model: What causes a rainbow?

- The first explanation comes from the French mathematician *Henri Descartes*.

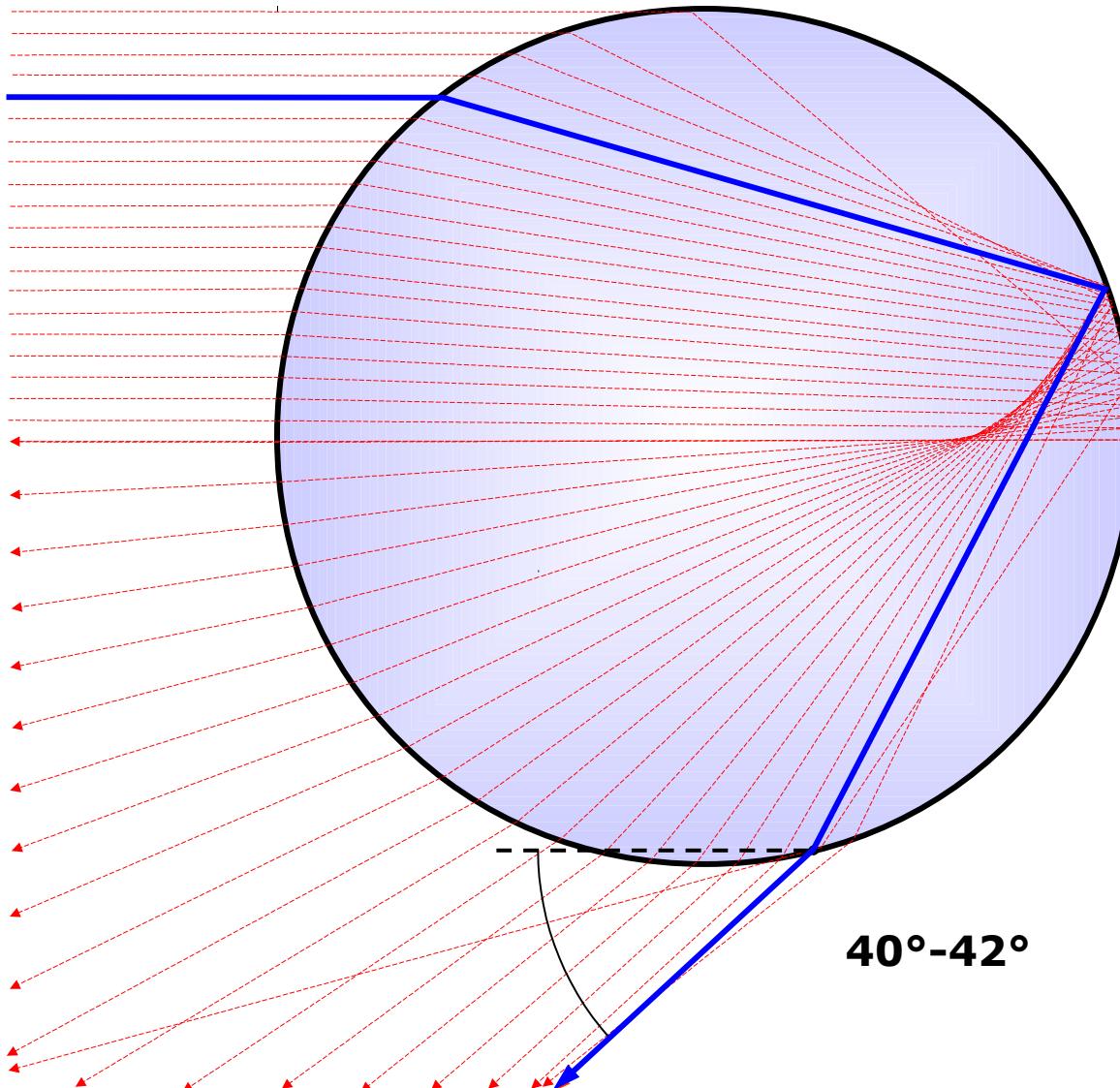


*„Discours de la méthode“*



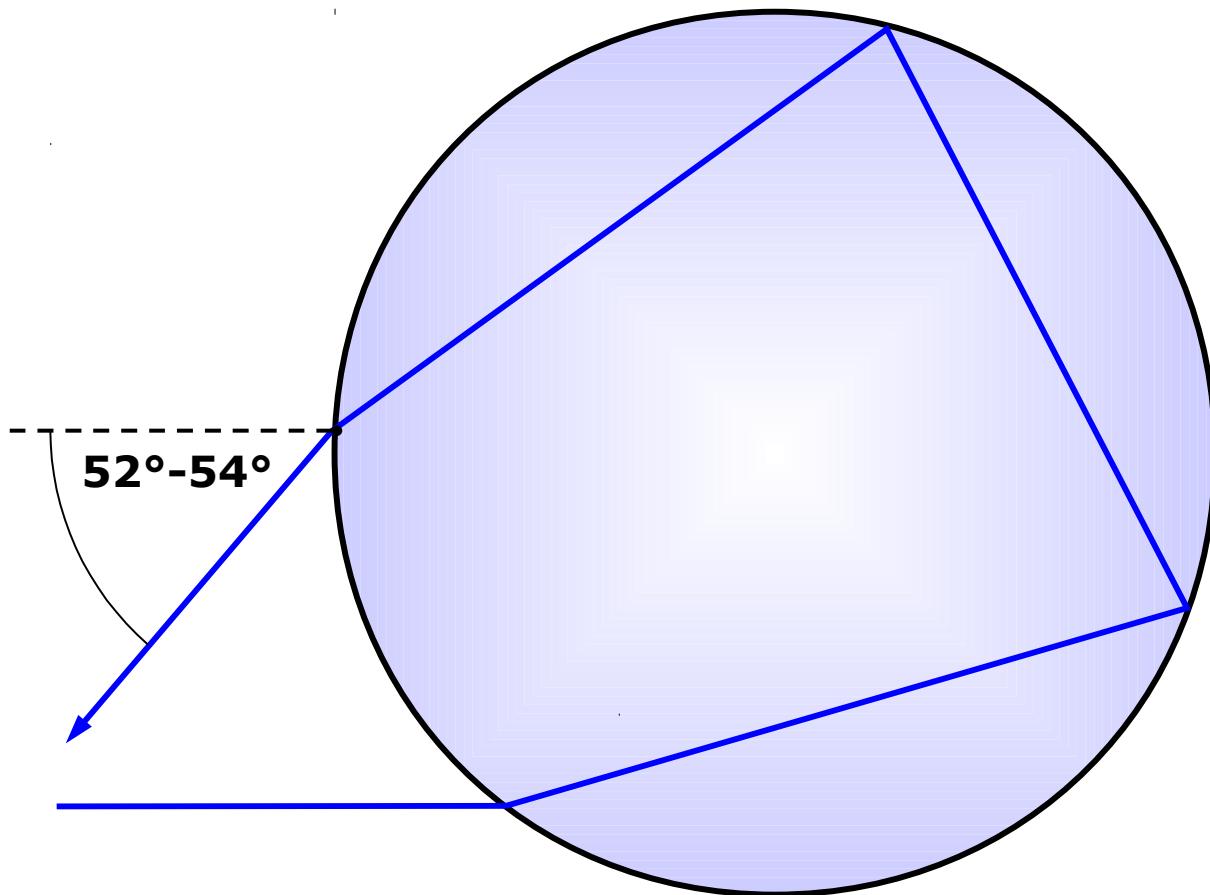
# Light: Wave Model: What causes a rainbow?

- Reflections & refractions in the top hemisphere of a rain drop:



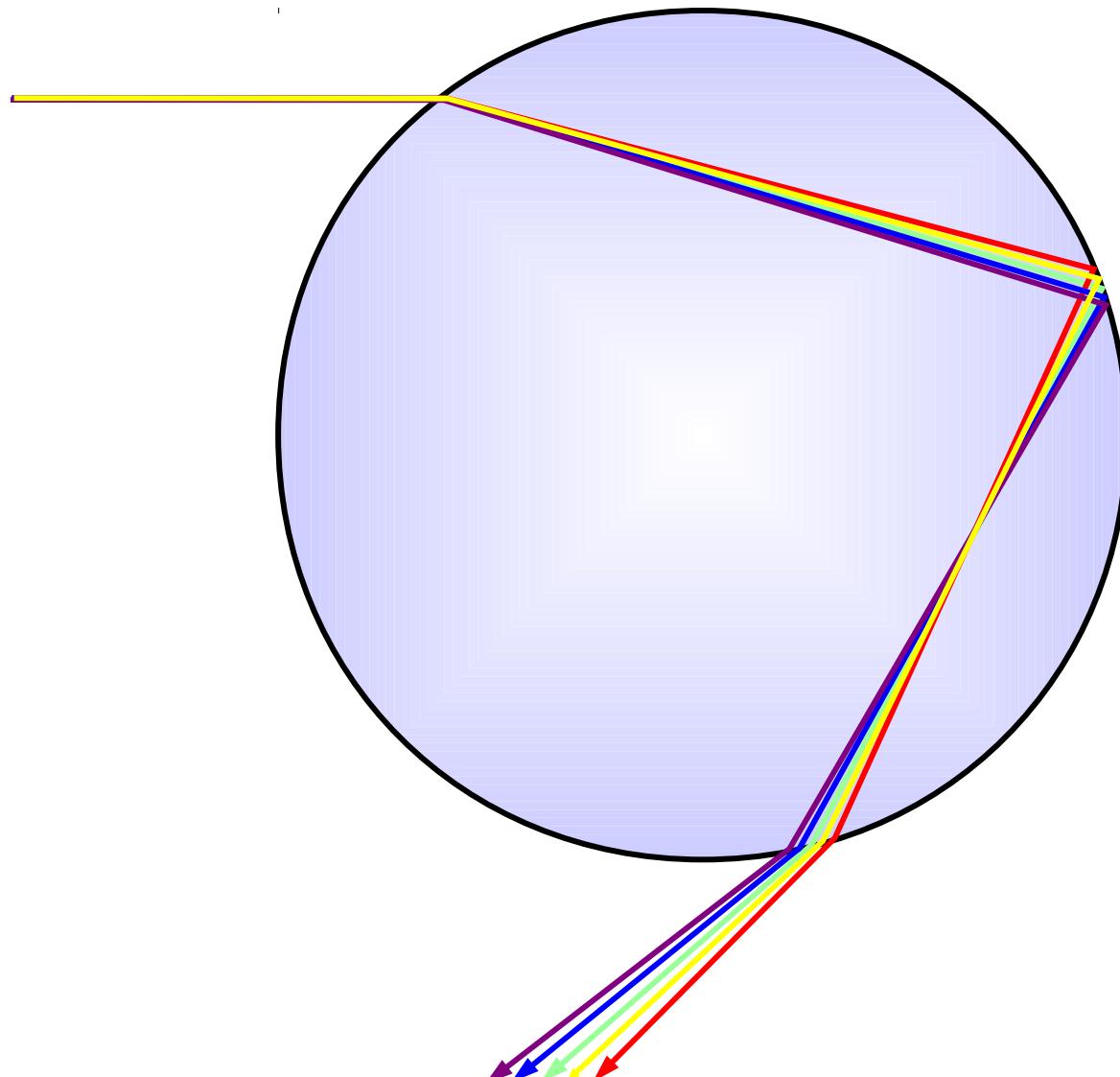
# Light: Wave Model: What causes a rainbow?

- Reflections & refractions in the bottom hemisphere of a rain drop:



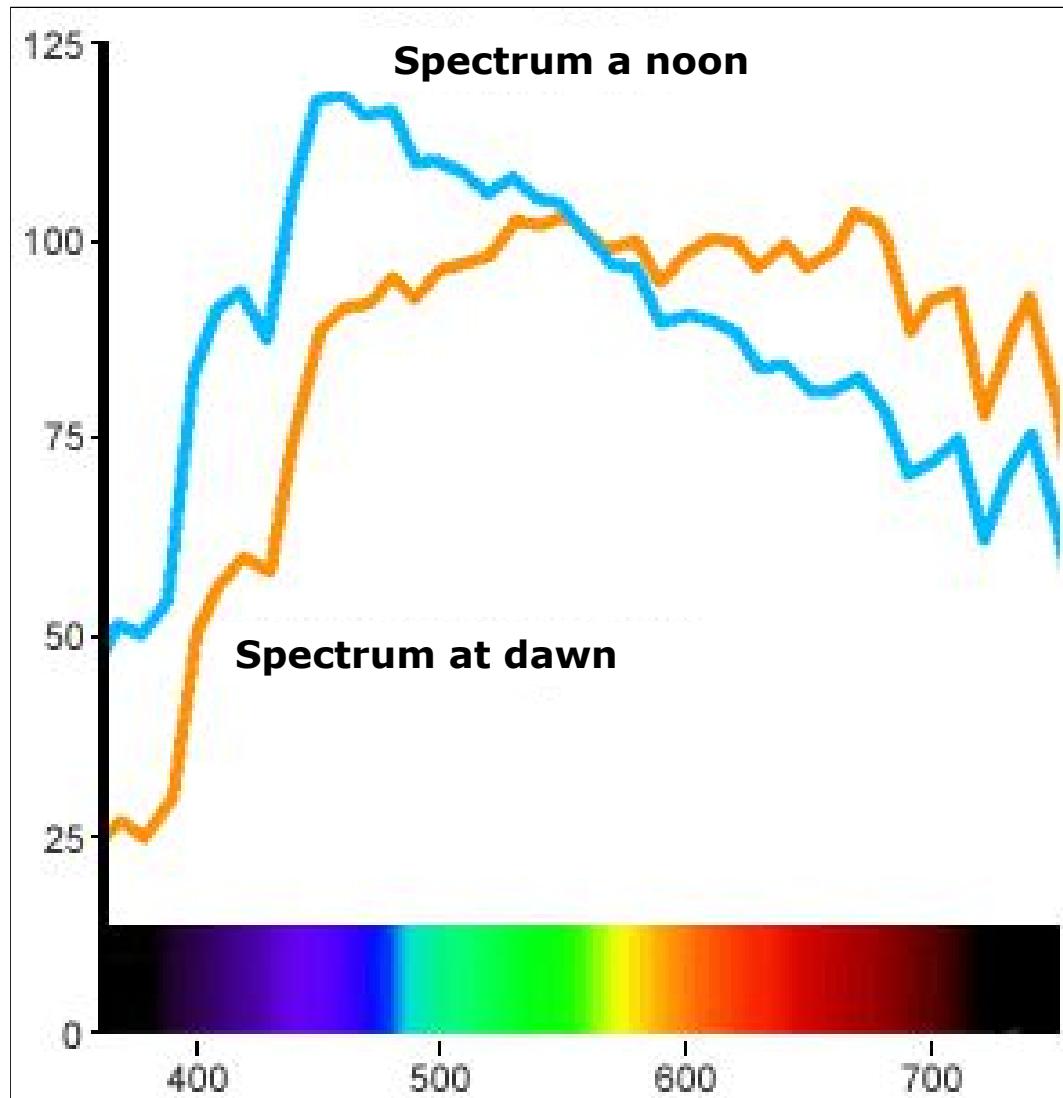
# Light: Wave Model: What causes a rainbow?

Colors appear due to the different refraction angles:



# Basics: Light: Wave Model

**White Light can have very different spectras:**



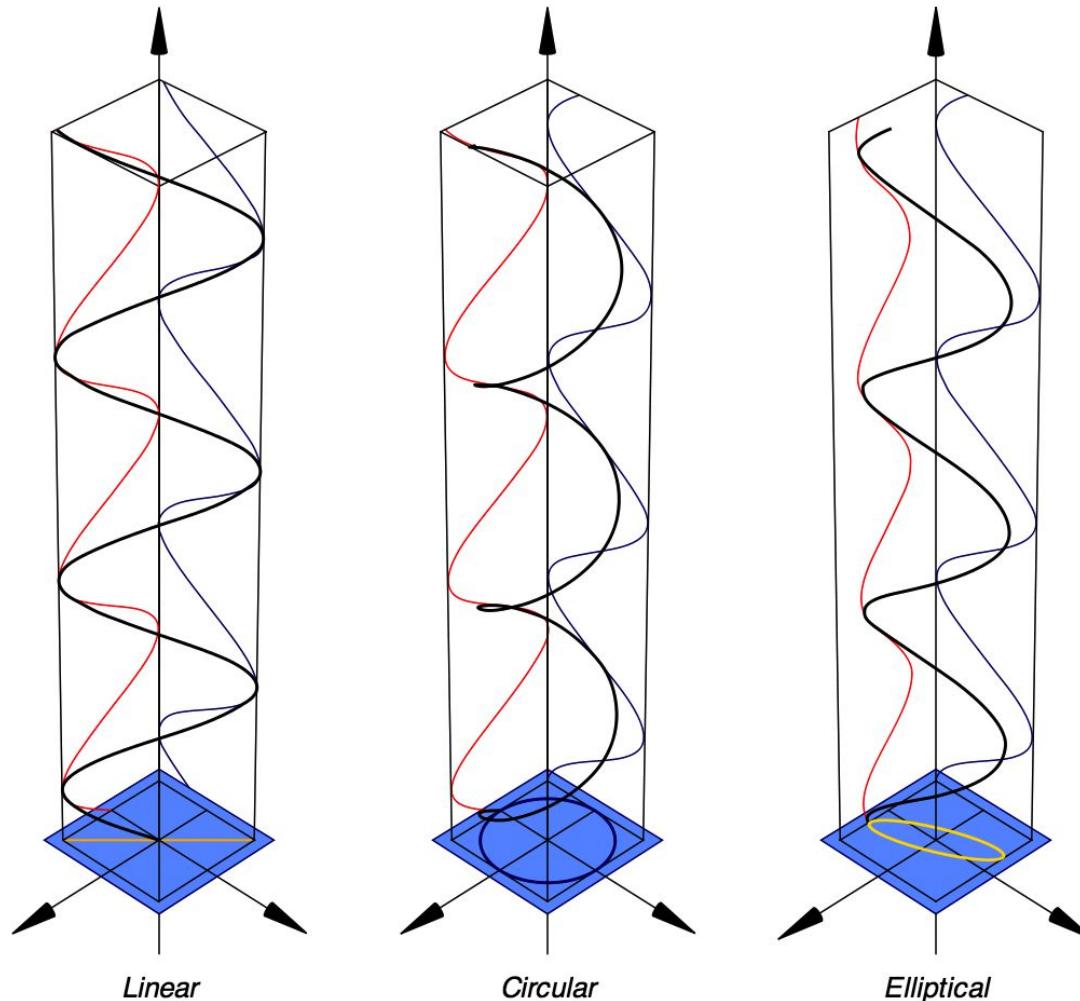
# Basics: Light: Wave Model

- **Light rays propagate straight:**
  - > Basis of geometric law of affinity
- **The wavelength of visible light is small (400 - 700 nm):**
  - > We can see therefore small things sharp

# Basics: Light: Wave Model:

## Light Polarization

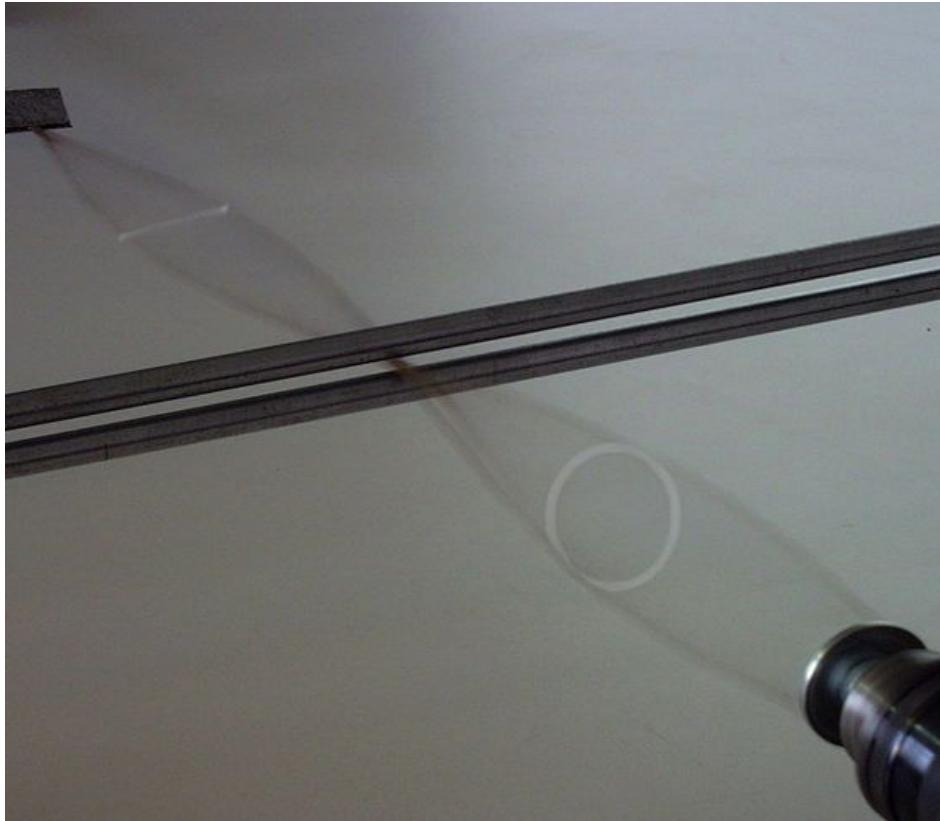
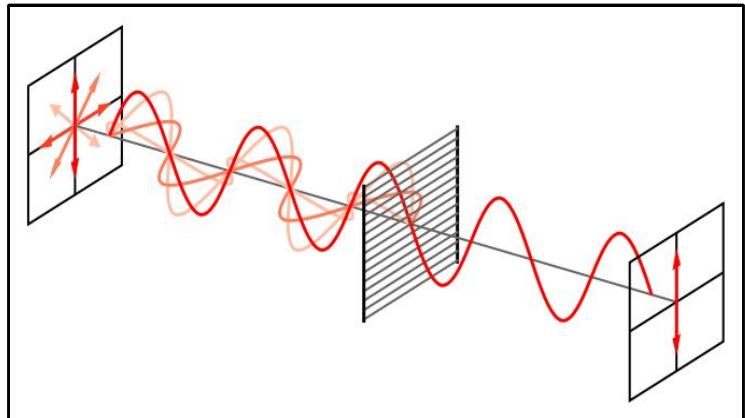
- The light doesn't swing necessarily in a plane. It can rotate around the propagation direction:



# Basics: Light: Wave Model:

## Light Polarization

- With a polarization filter it can be forced into a plane:



# Basics: Light: Wave Model

## Light Polarization

- In photography you can use a polarization filter to reduce reflections:



# Basics: Light: Wave Model

## Light Polarization

- In photography you can use a polarization filter to get a dark blue sky:



# Basics: Light: Wave Model

## Light Polarization

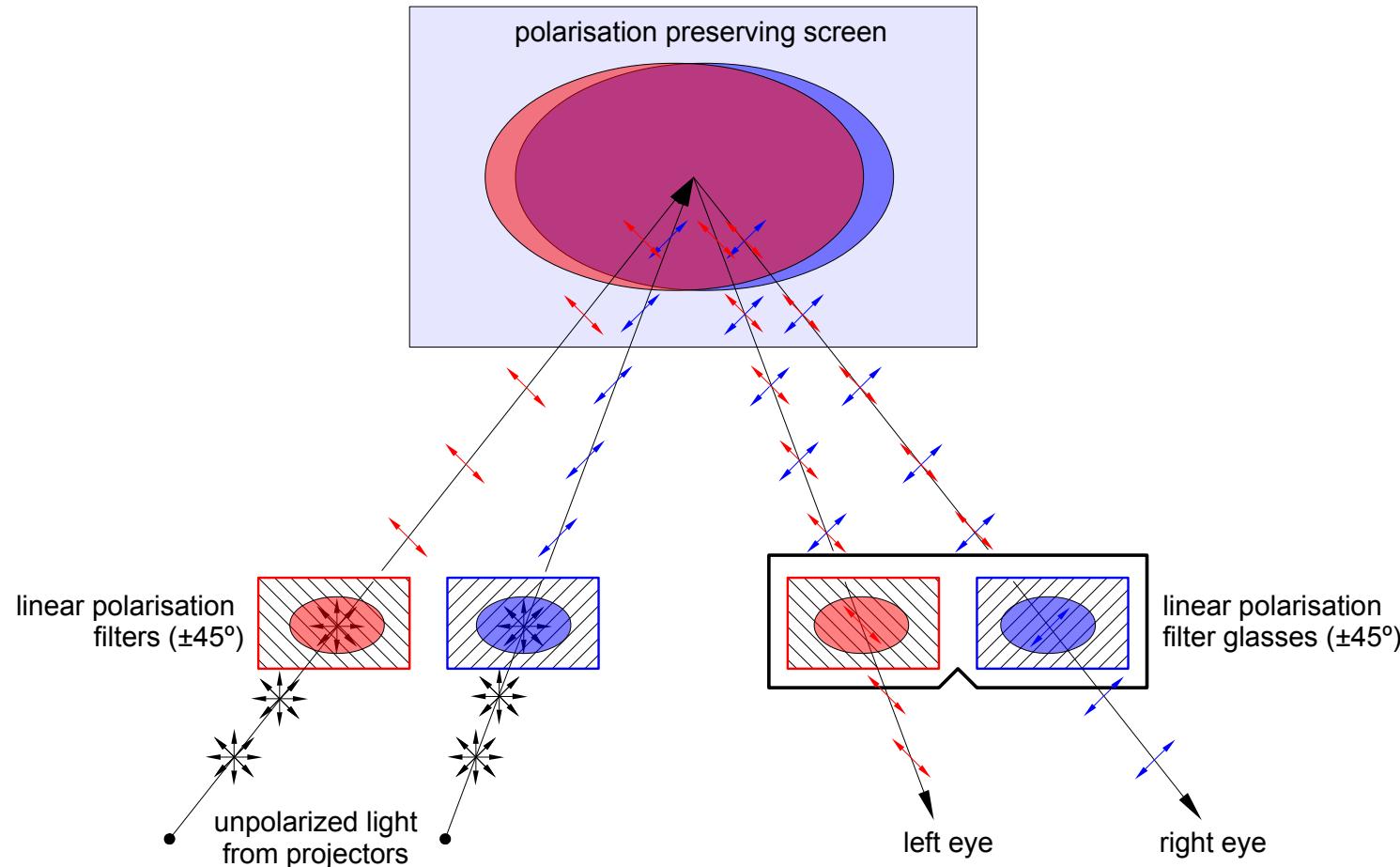
- You can use polarization filter for stereo projection to separate the left and right image:



# Basics: Light: Wave Model

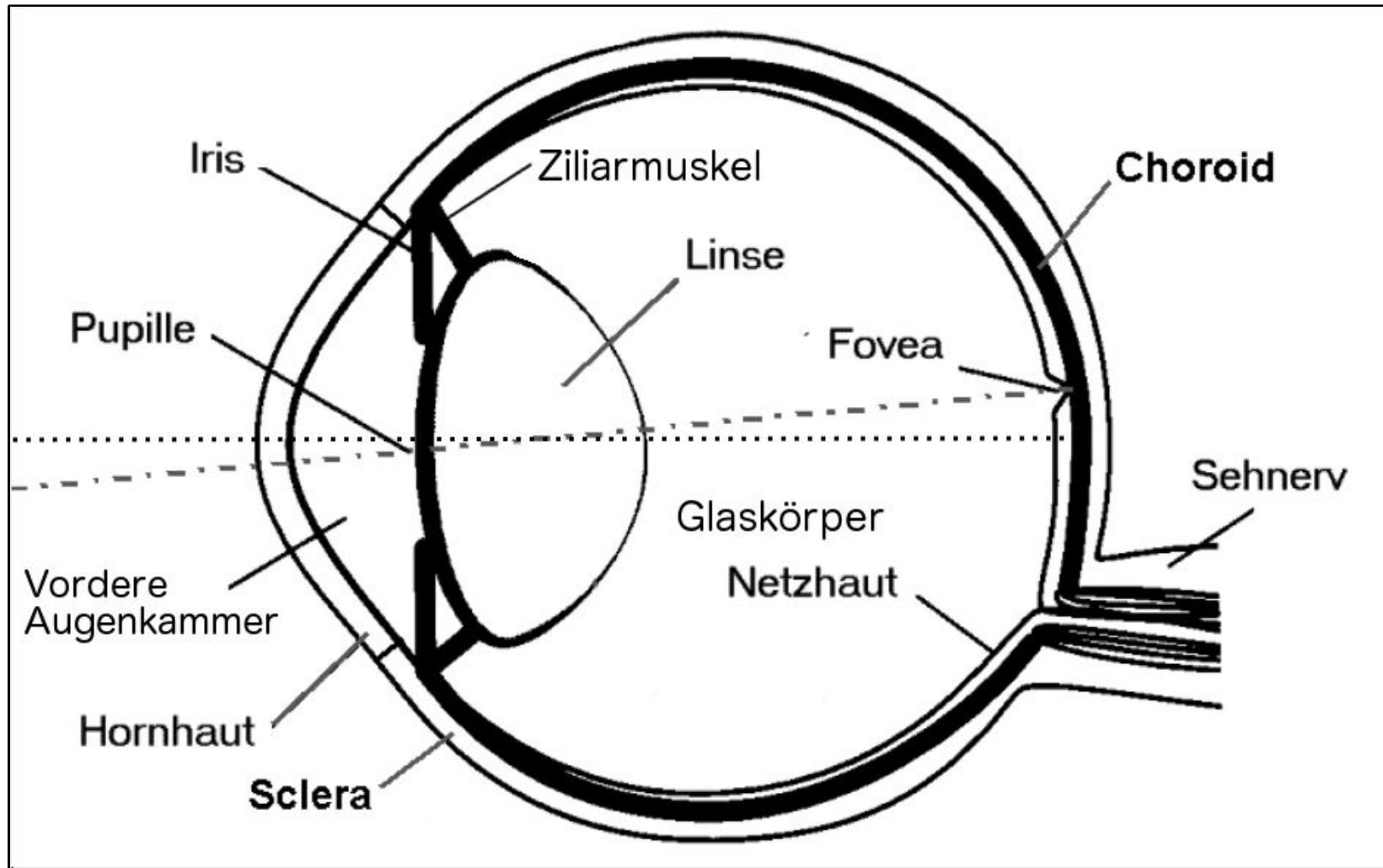
## Light Polarization

- You can use polarization filter for stereo projection to separate the left and right image:



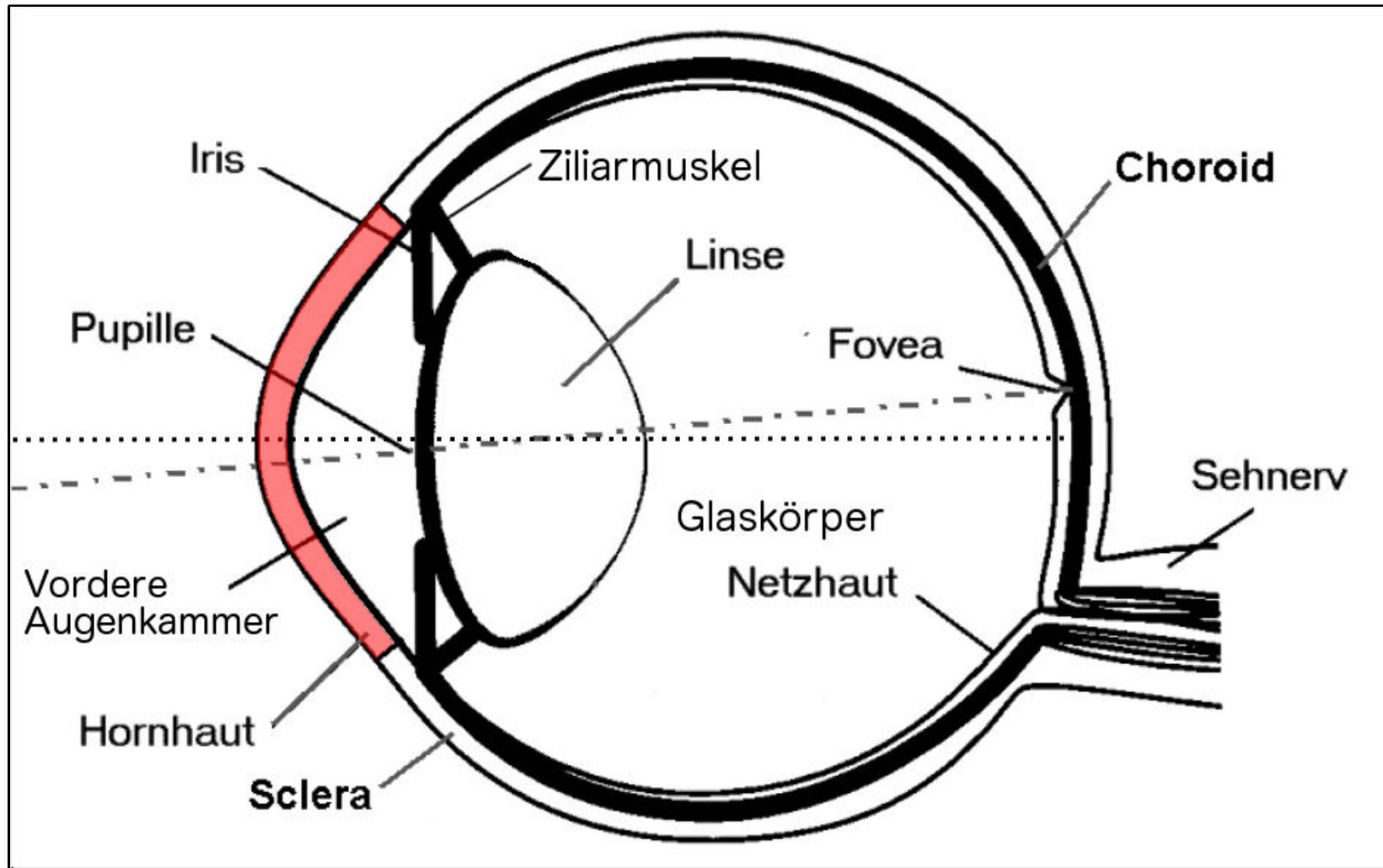
# Basics: Eye

About spherical, ca. 22mm diameter



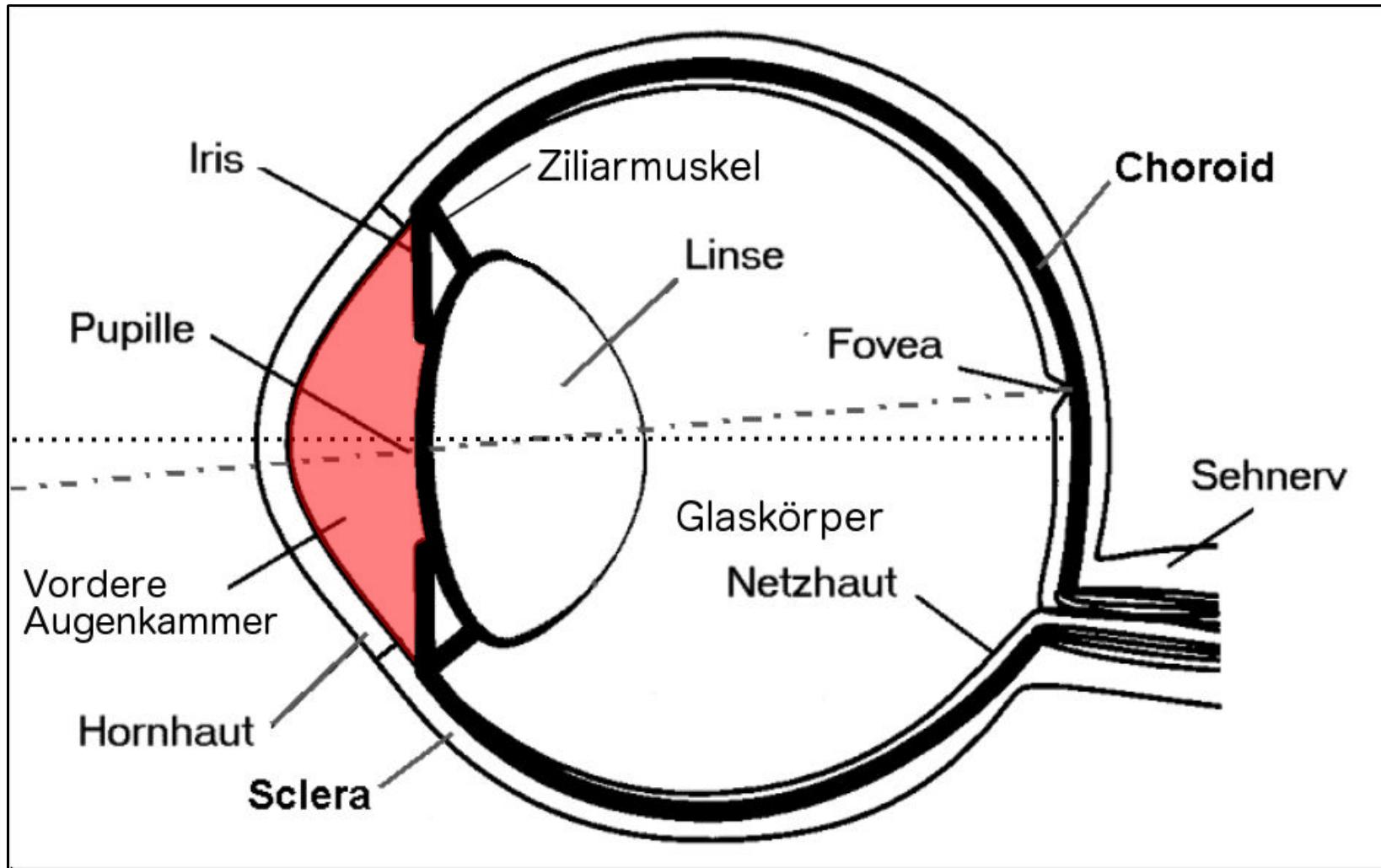
# Basics: Eye: Cornea (Hornhaut)

Though, transparent, thickness only 0.5-0.7mm



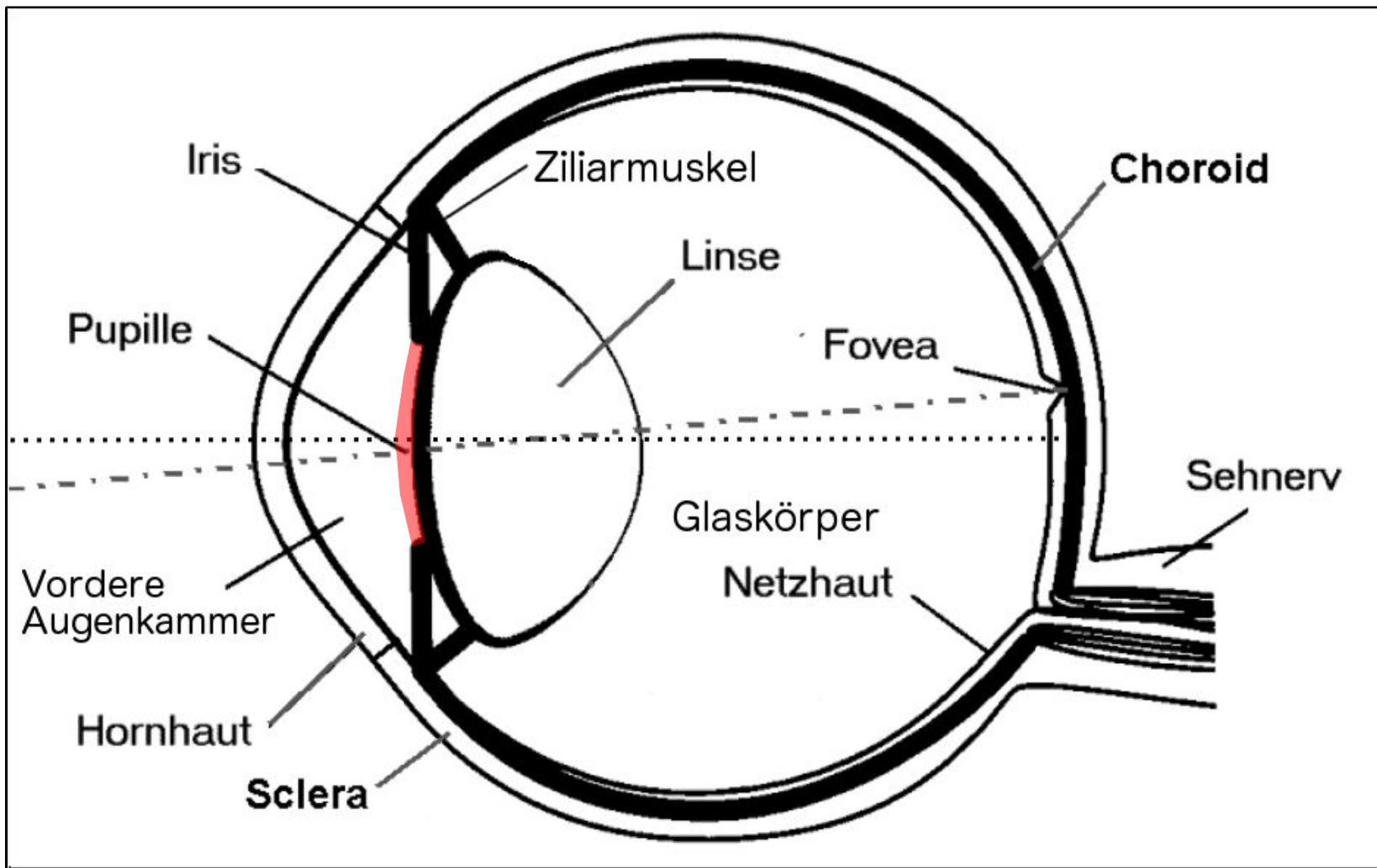
# Eye: Anterior Chamber (Vordere Augenkammer)

Highly transparent liquid, constantly rebuilt.



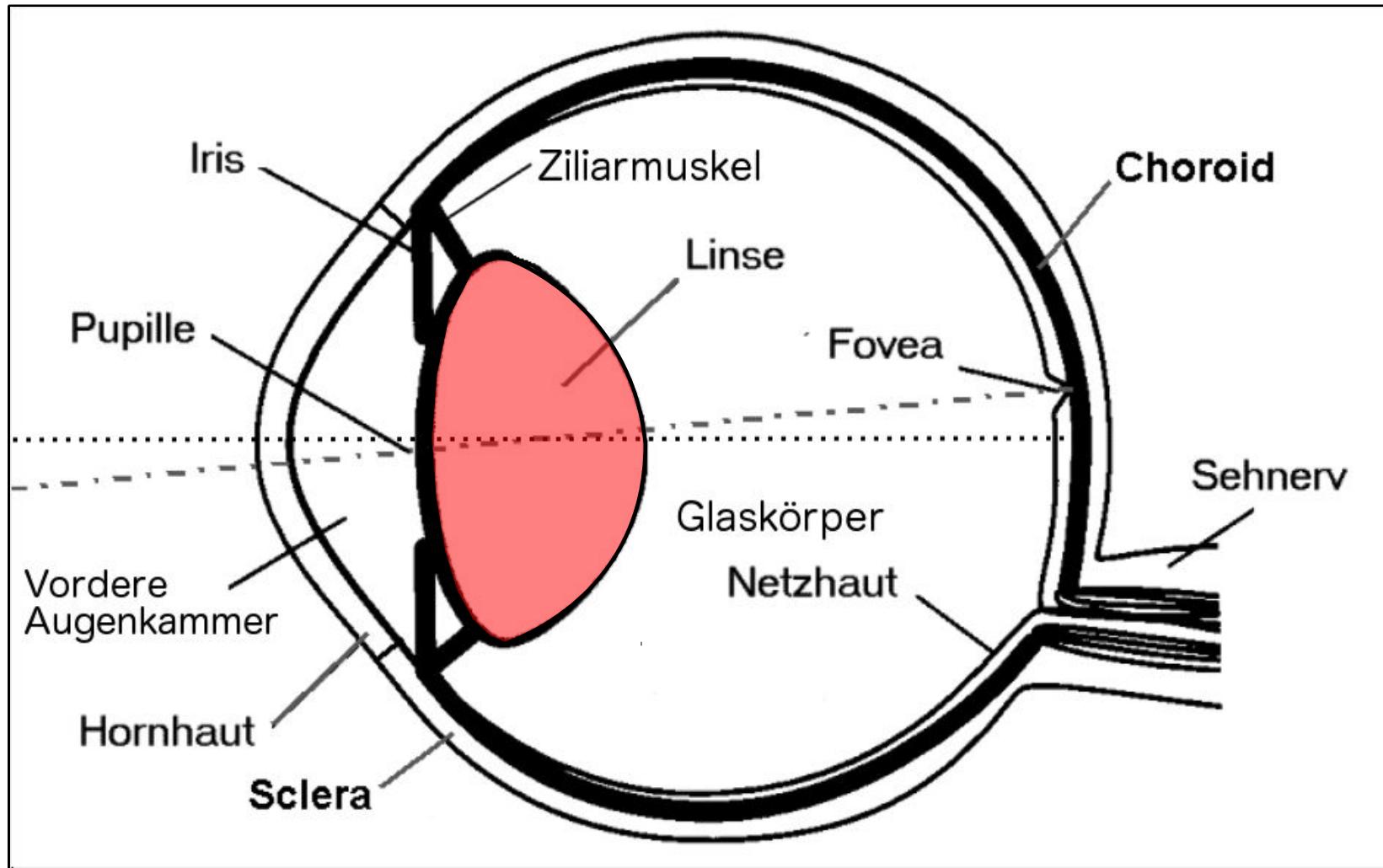
# Basics: Eye: Pupil

Diaphragm of the eye, 2-8 mm diameter, controls the amount of light



# Basics: Eye: Lens

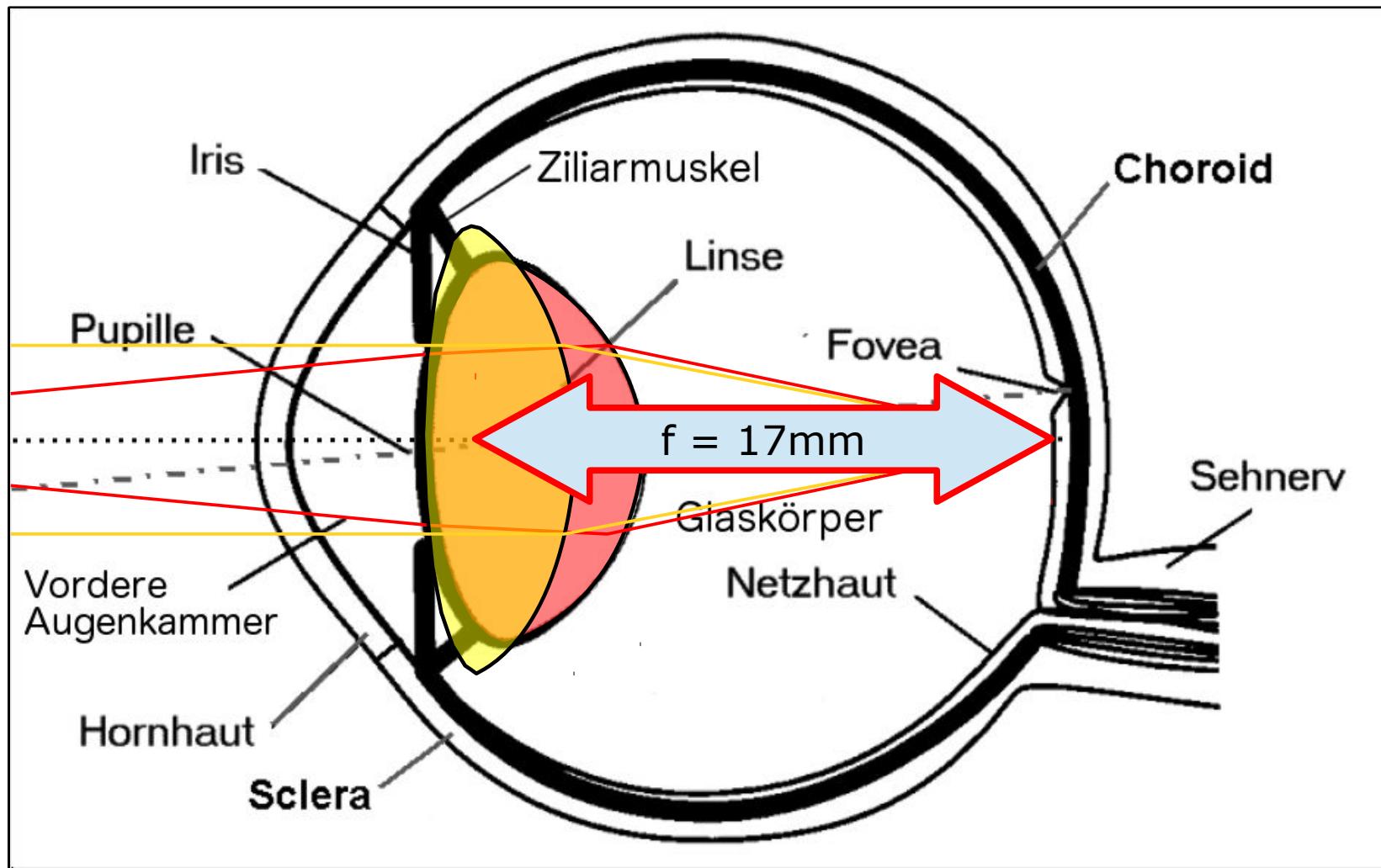
Contains 70% water, the rest (fat & proteins) absorbs infrared & UV light



# Basics: Eye: Lens

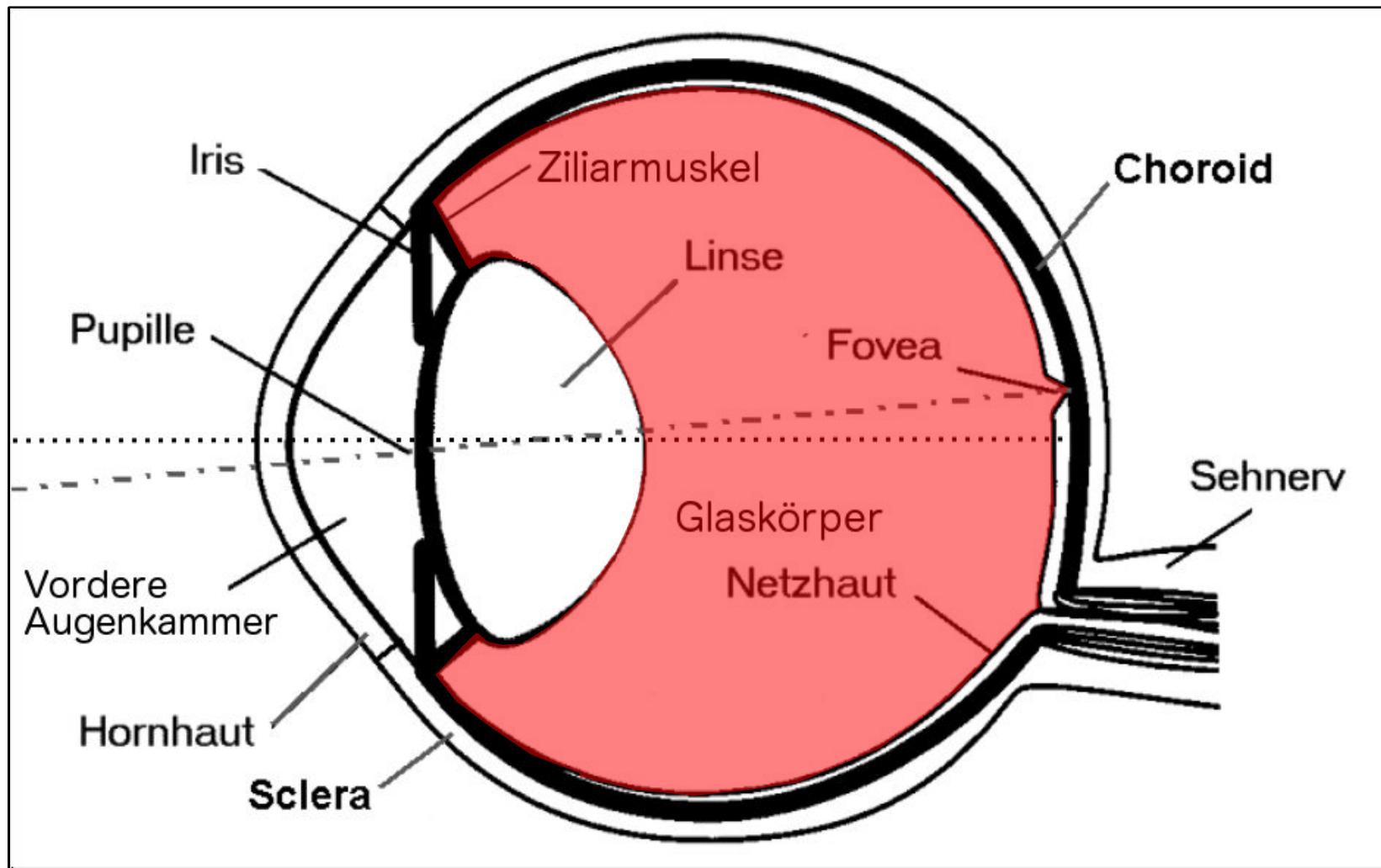
With relaxed ciliar muscles we focus to the near.

With tight ciliar muscles we flatten the lens and focus to the far.



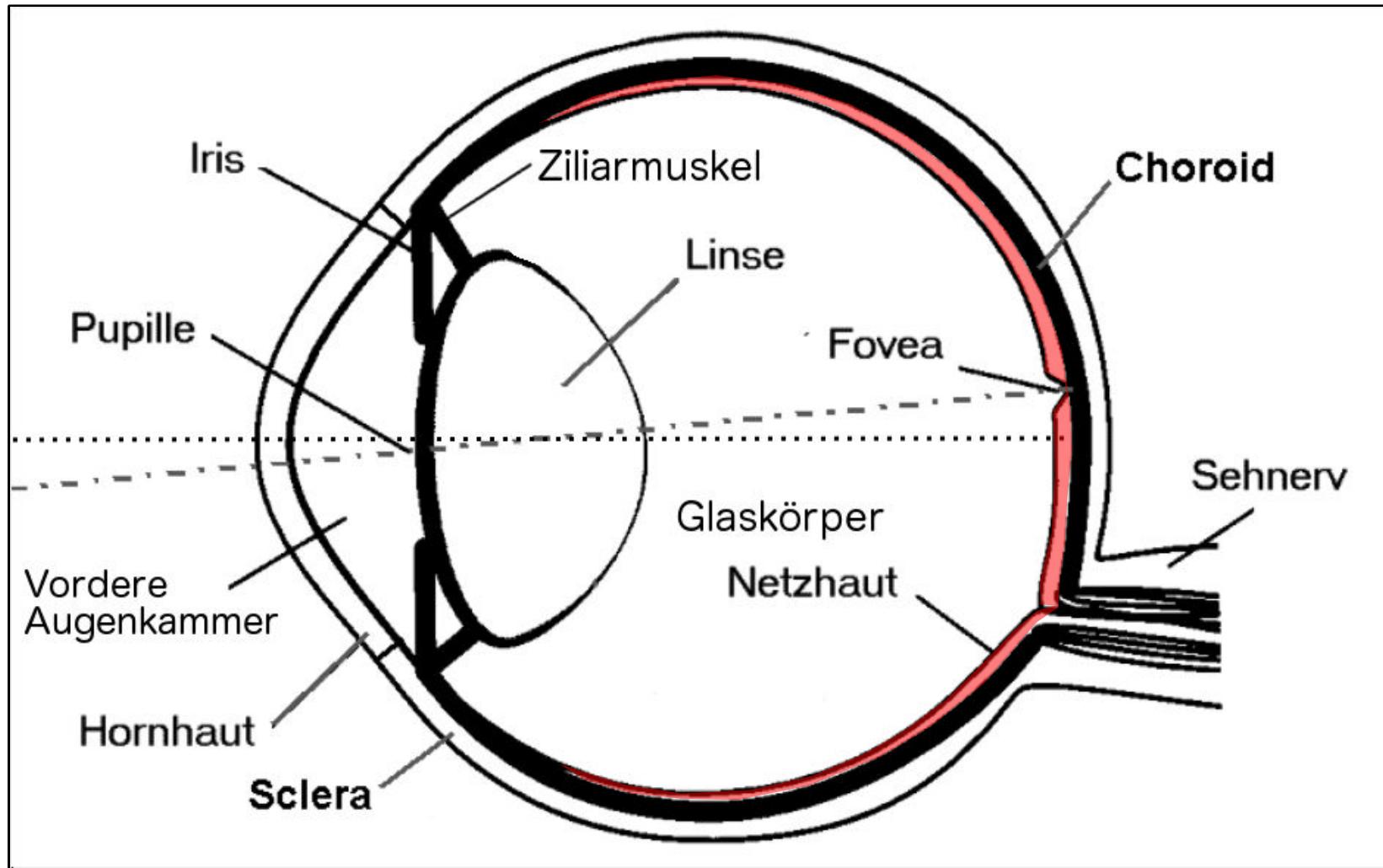
# Basics: Eye: Vitreous Body (Glaskörper)

Highly transparent gelatinous mass fills the eye body



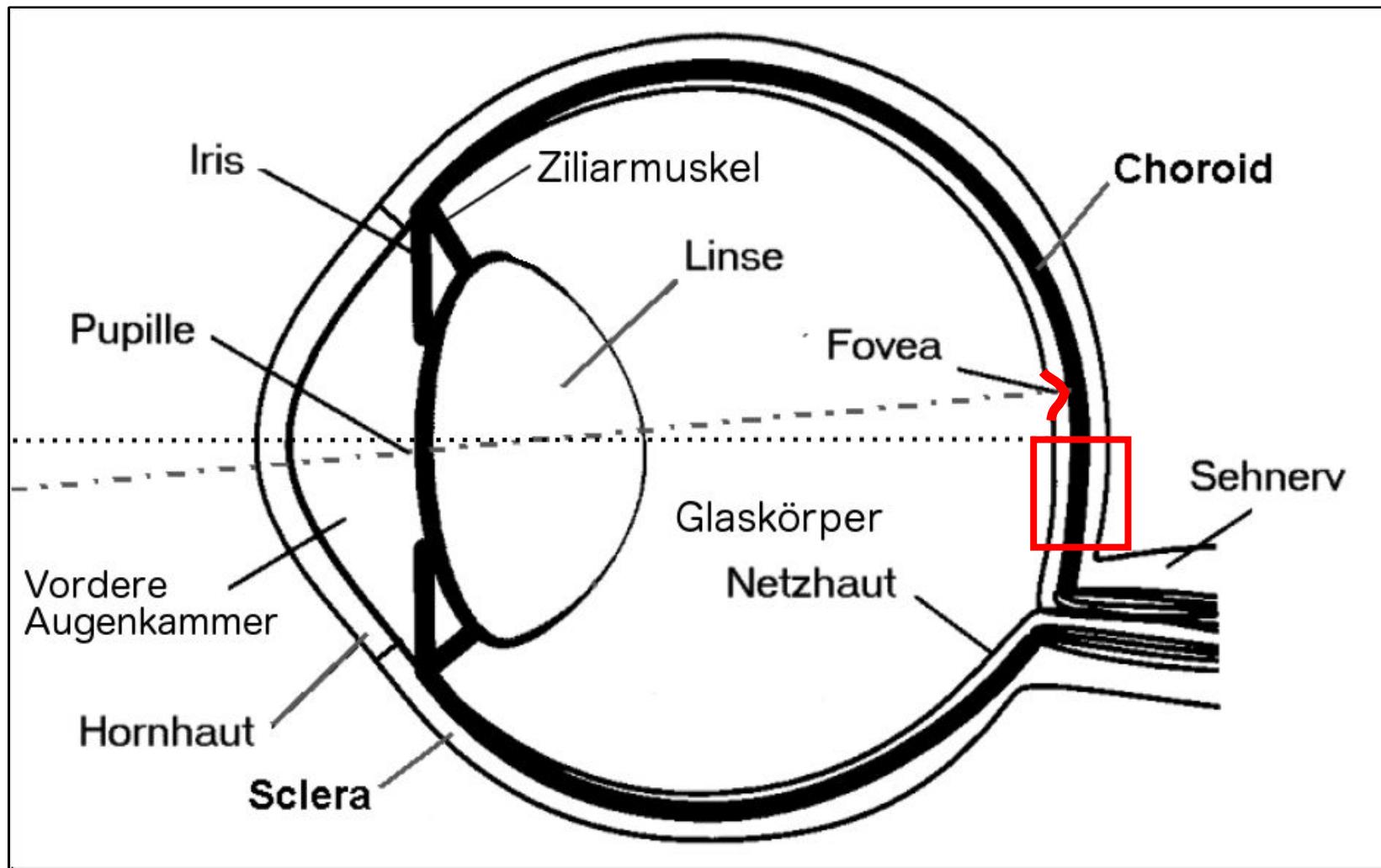
# Basics: Eye: Retina (Netzhaut)

Contains the light sensitive cells, the blood vessels and nerves



# Basics: Eye: Fovea Centralis

Where real perception happens, about 2mm diameter, mostly color perception



# Basics: Eye: Retina

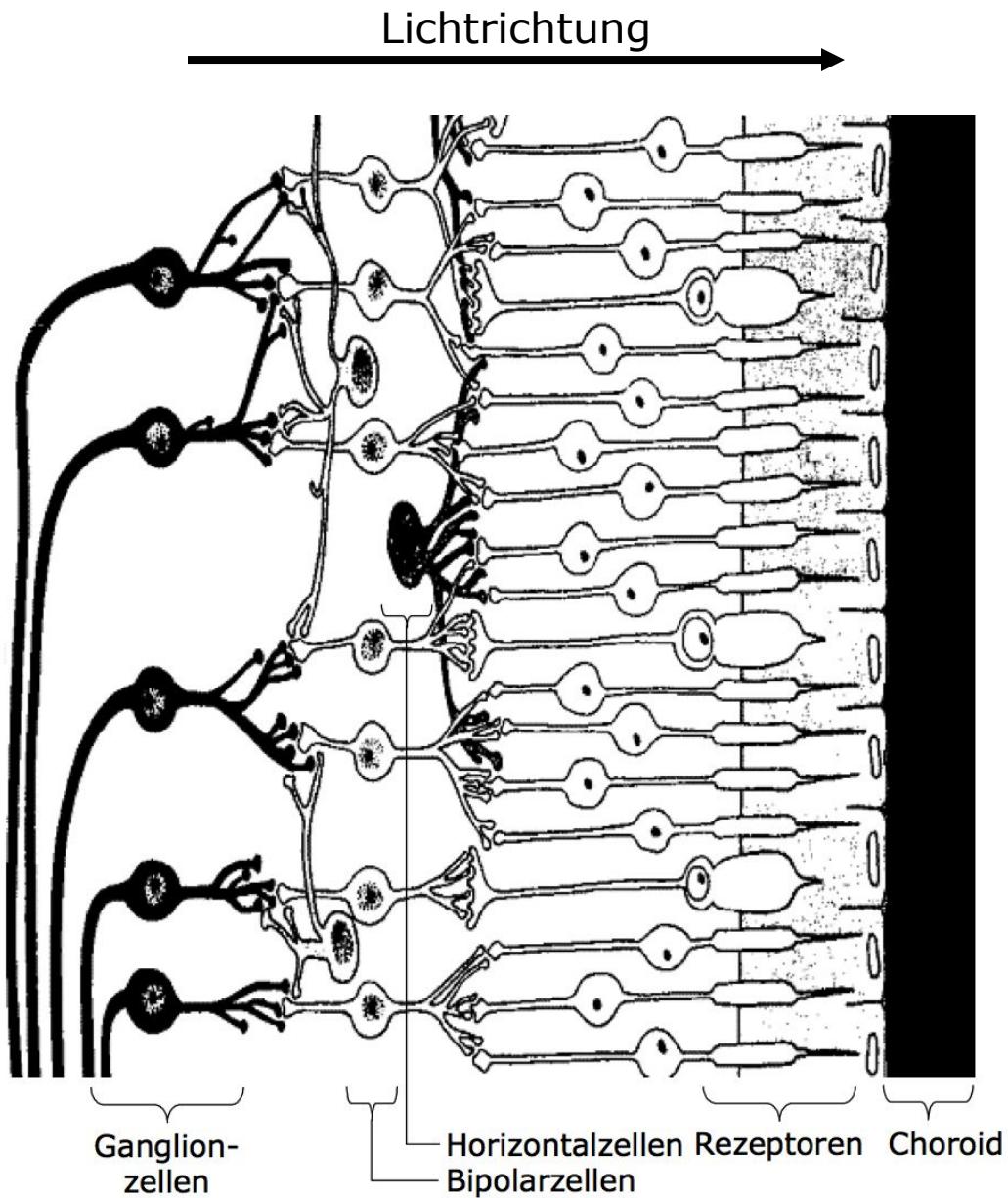
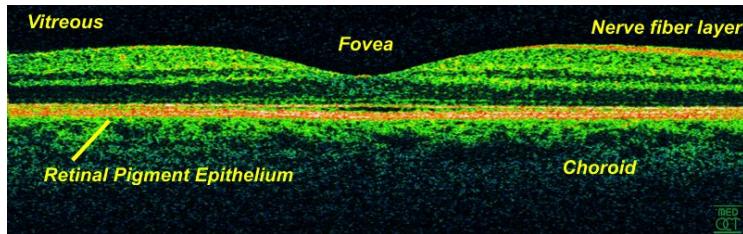
**Ganglion cells** lead about 1 mio. nerves to the blind spot.

## Bipolar and horizontal cells

create the differential signal for edge and contrast enhancement as well as for color encoding

**Receptors** transform the light intensity into nerve impulses.

OCT Scan of the retina:



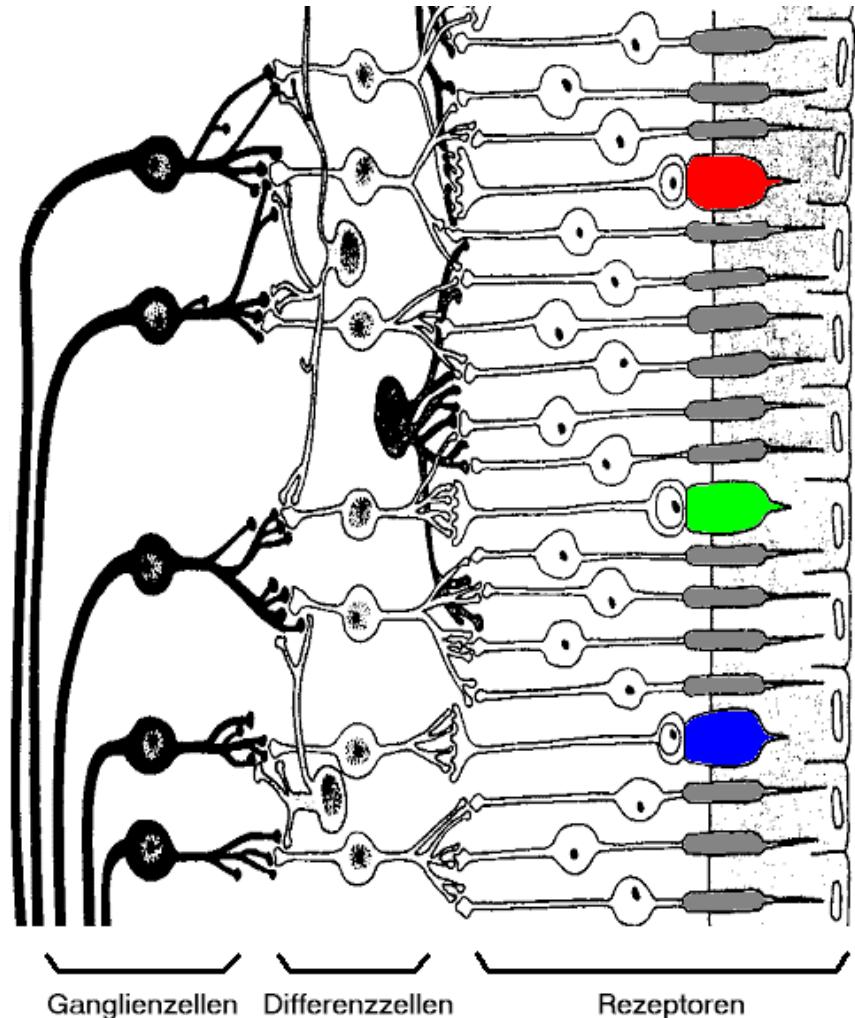
# Basics: Eye: Retina

## Receptors

- The receptors contain photopigment **Rhodopsin** as an active substance.
- Rhodopsin gets constantly rebuilt.

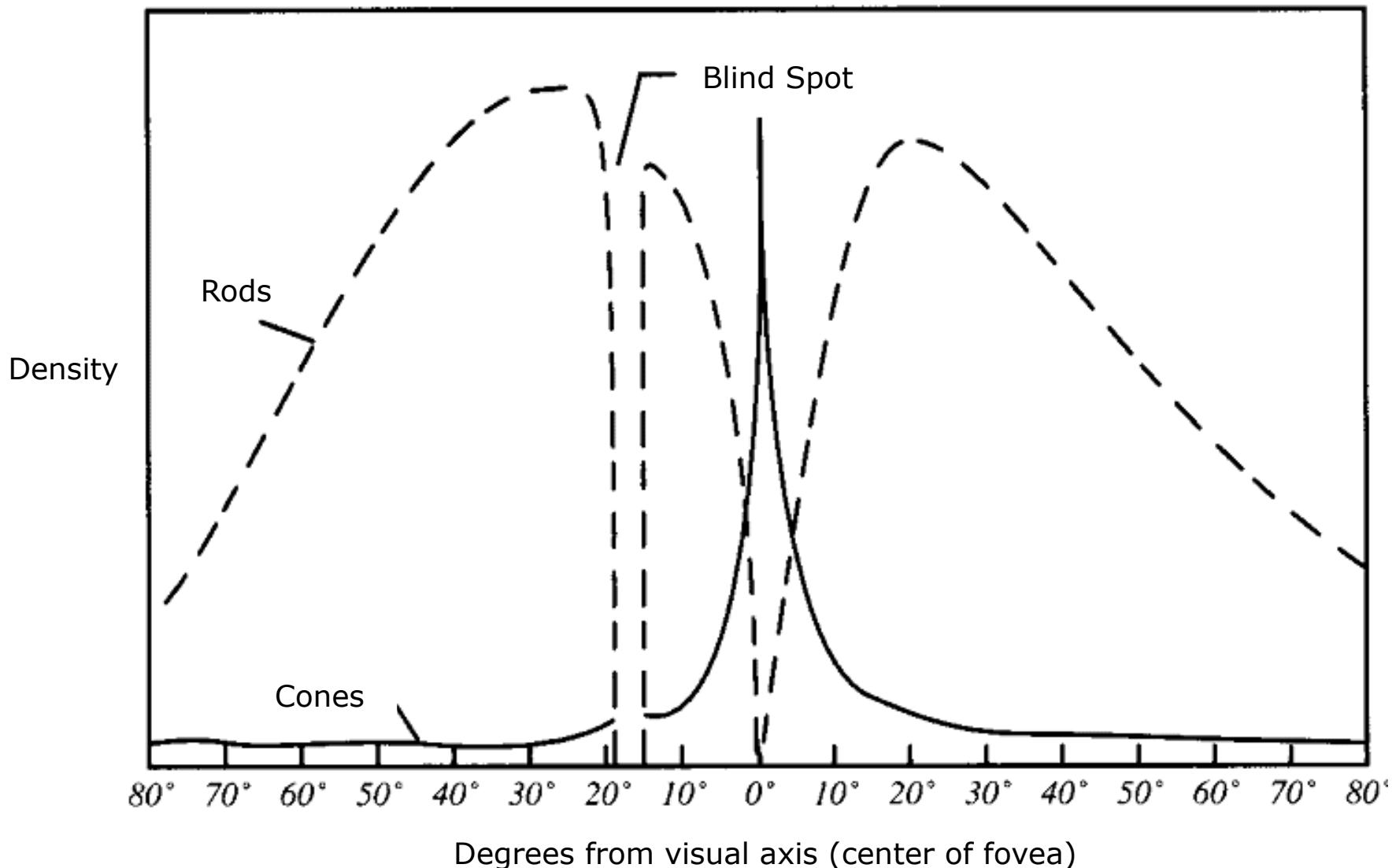
**110-130 mio. rods (Stäbchen, bâtonnets)** are sensitive to the full visible spectrum and therefore important for luminance sensitivity.

**6-8 mio. cones (Zapfen, cônes)** are sensitive in narrow spectras and therefore responsible for the color sensitivity.



# Basics: Eye: Retina

Distribution of cones and rods over the retina:



# Basics: Eye: Retina: Space Variant Vision



Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

# Basics: Eye: Retina: Space Variant Vision



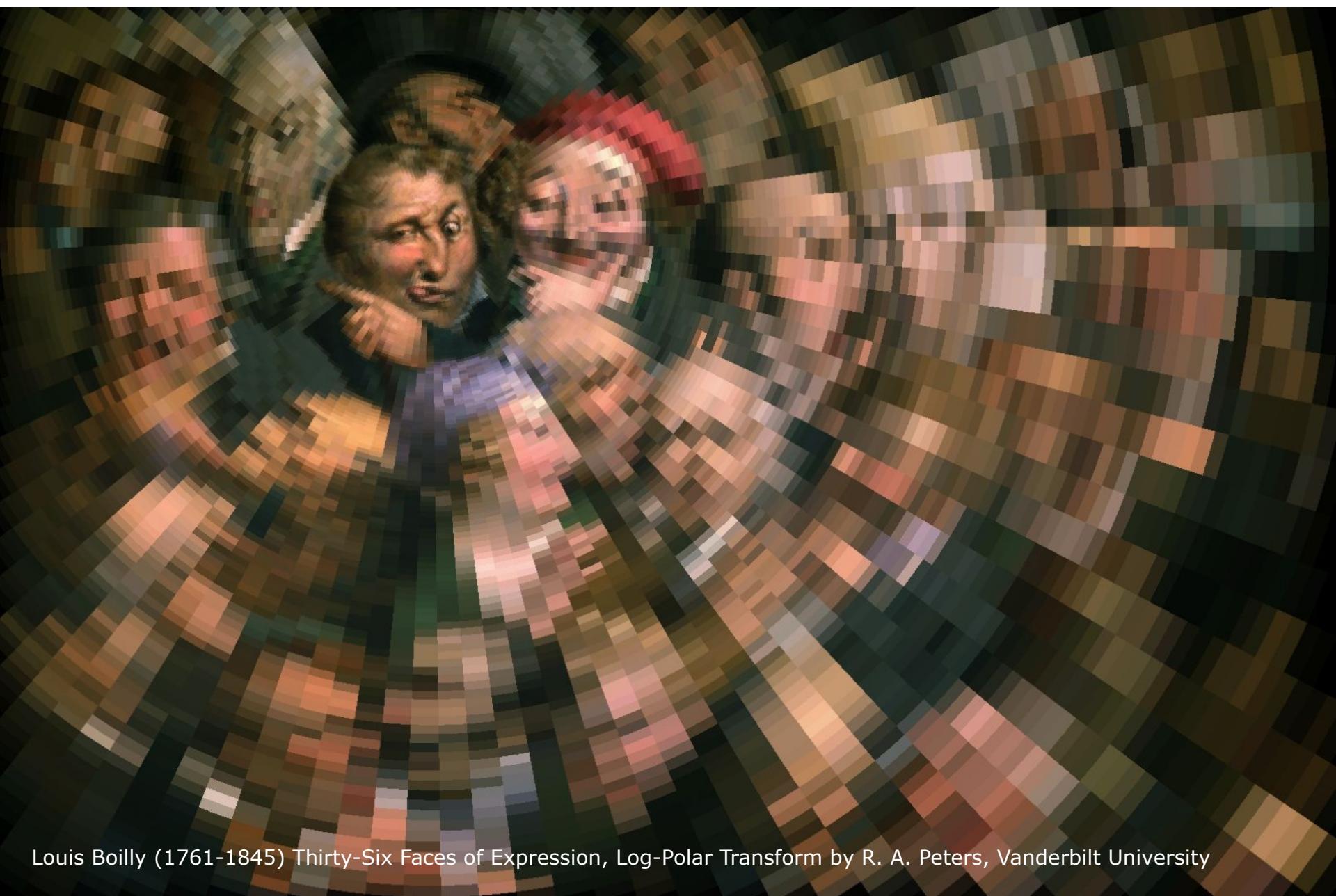
Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

# Basics: Eye: Retina: Space Variant Vision



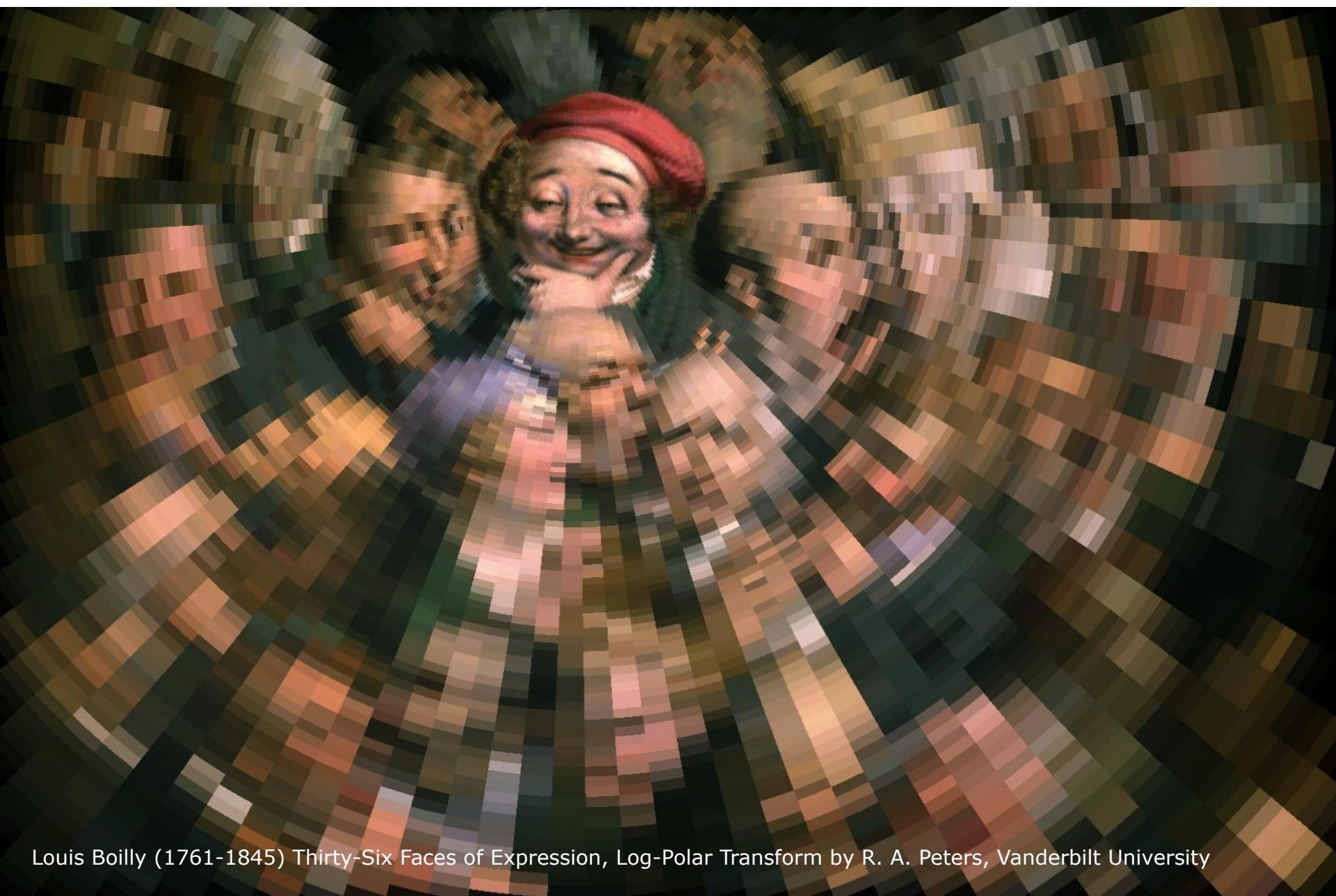
Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

# Basics: Eye: Retina: Space Variant Vision



Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

# Basics: Eye: Retina: Space Variant Vision



Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

# Basics: Eye: Retina: Space Variant Vision



Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

# Basics: Eye: Retina: Space Variant Vision



Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

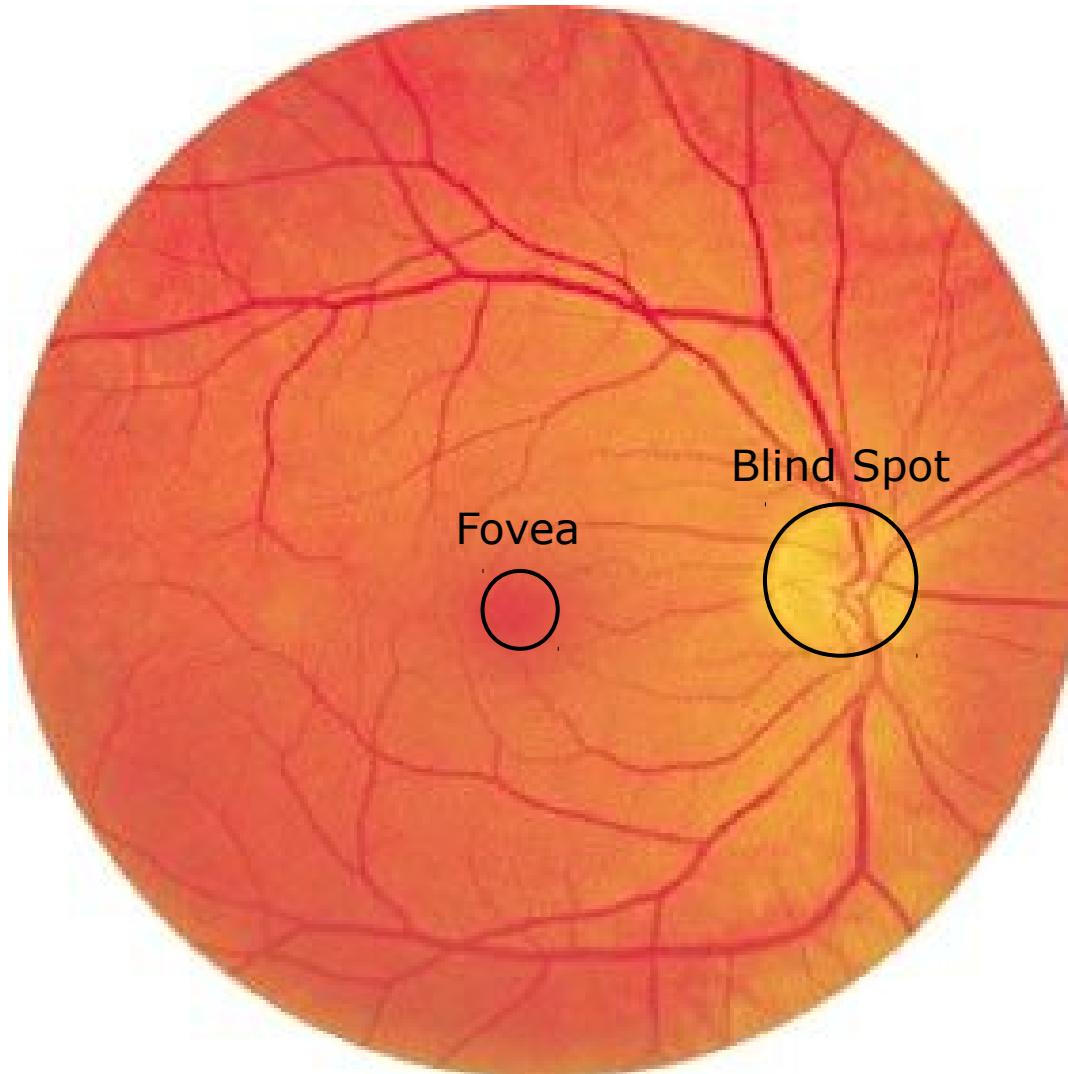
# Basics: Eye: Retina: Space Variant Vision



Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

# Basics: Eye: Retina

**Distribution of cones and rods over the retina:**



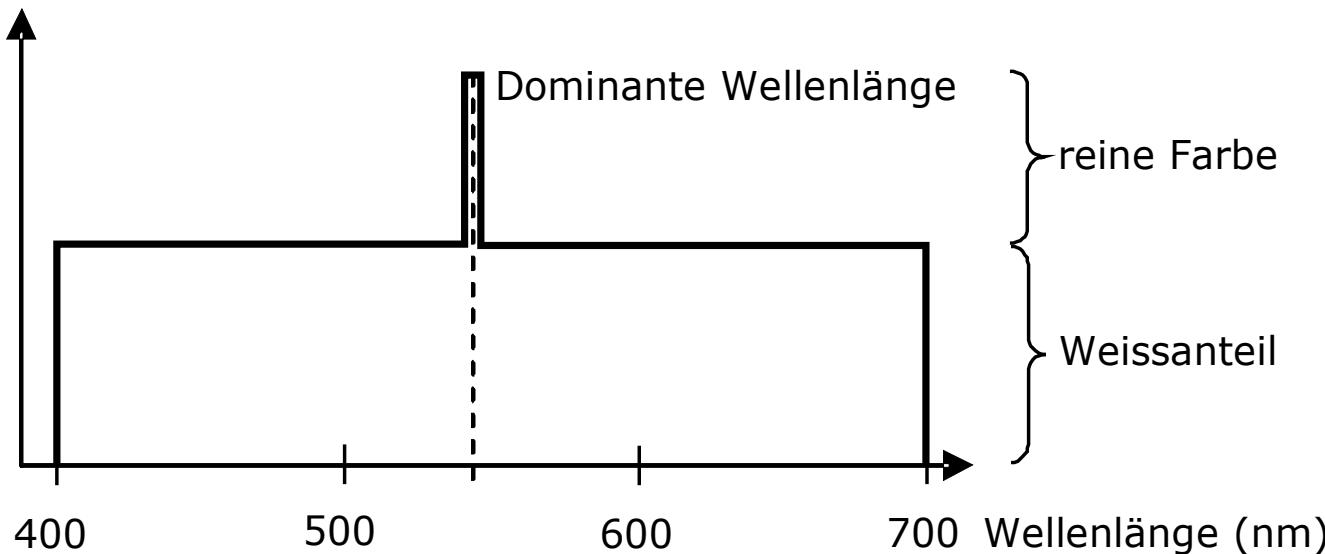
# Basics: Eye: Color Sensitivity

- The **color perception** kept the science busy for centuries.
- **Milestone** of the color understanding:
  - **Newton 1666:** Separation of white light into the color spectrum
  - **Goethe 1808:** Natural order of colors
  - **Maxwell, Young, Helmholtz 1800-1870:** Tristimulus theory
  - **Grassmann 1853:** Grassmann laws
  - **Hering 1895:** Opposite color theory

# Basics: Eye: Color Sensitivity

## Color Perception:

- **Hue (Farbton, teinte)** corresponds to the **dominant wavelength**
- **Luminosity (Helligkeit, luminosité)** corresponds to the **radiance energy**.
- **Saturation (Sättigung, saturation)** corresponds to the **purity of stimulus**.

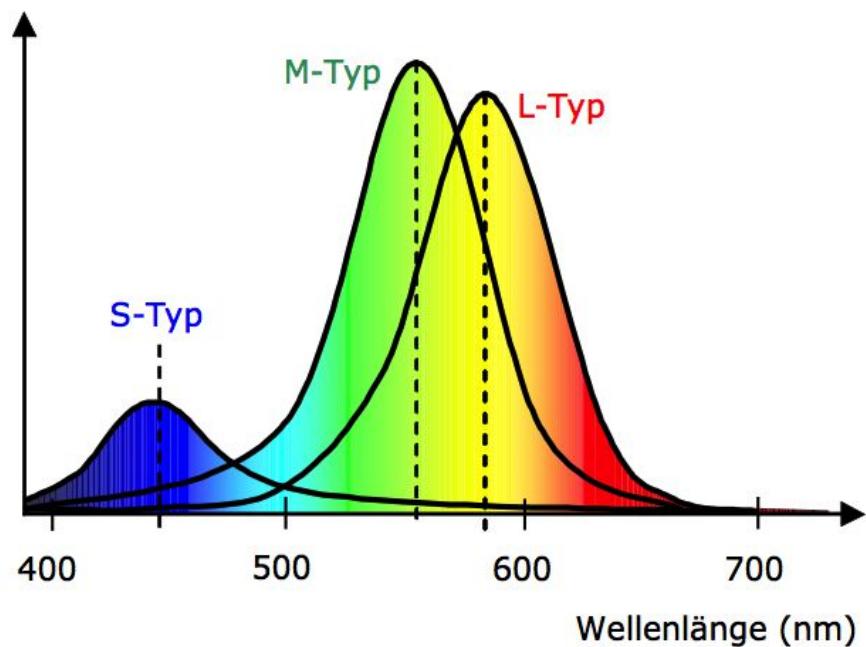


# Basics: Eye: Color Sensitivity

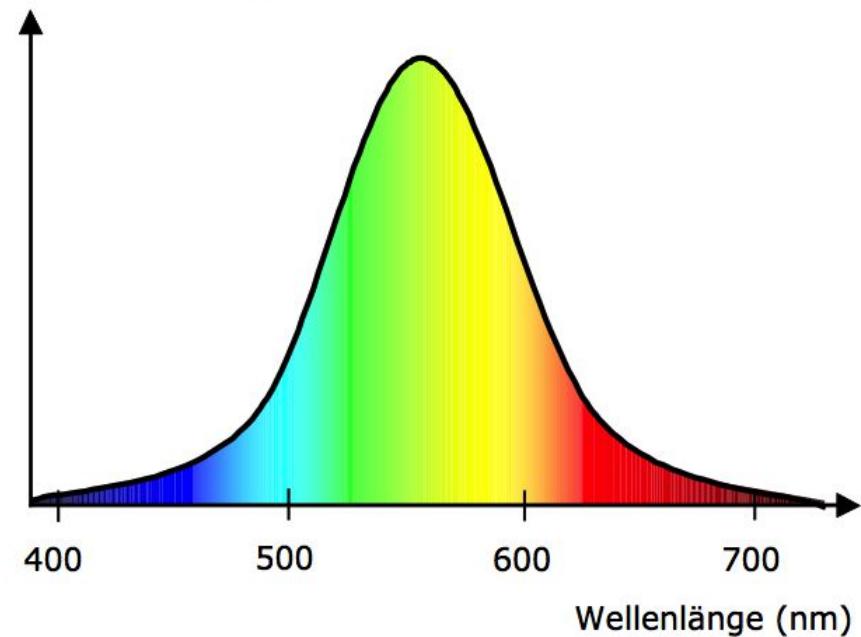
## Tristimulus Theory

- The 3 cone types have different sensitivities
- The L-Typ responds to *long waves*
- The M-Typ responds to *medium waves*
- The S-Typ responds to *short wave*

Rel. Empfindlichkeit nach Typ



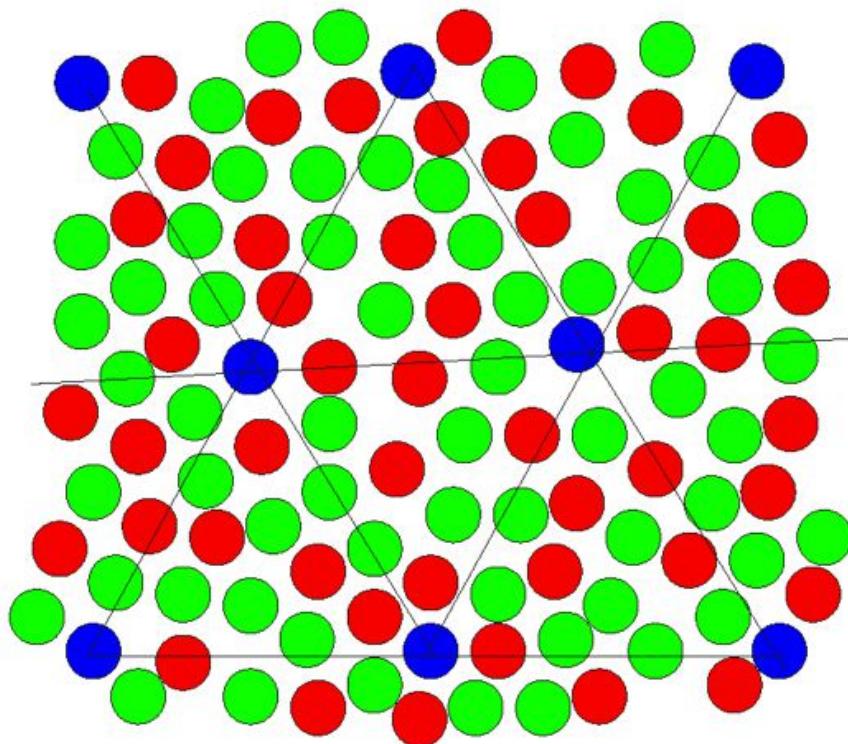
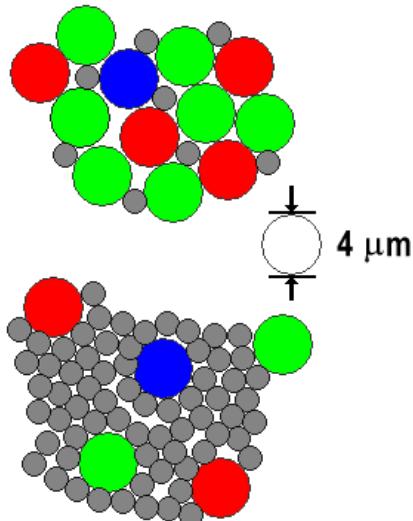
Rel. Gesamtempfindlichkeit



# Basics: Eye: Color Sensitivity

## Cone distribution in the fovea:

- 10% S-Typ (Blue)
- 48% M-Typ (Green)
- 42% L-Typ (Red)
- The irregular poisson distribution reduces aliasing effects
- Cone/Rod distribution in the fovea and 5mm away from the fovea:



# Basics: Eye: Color Sensitivity

Cone distribution in the fovea:

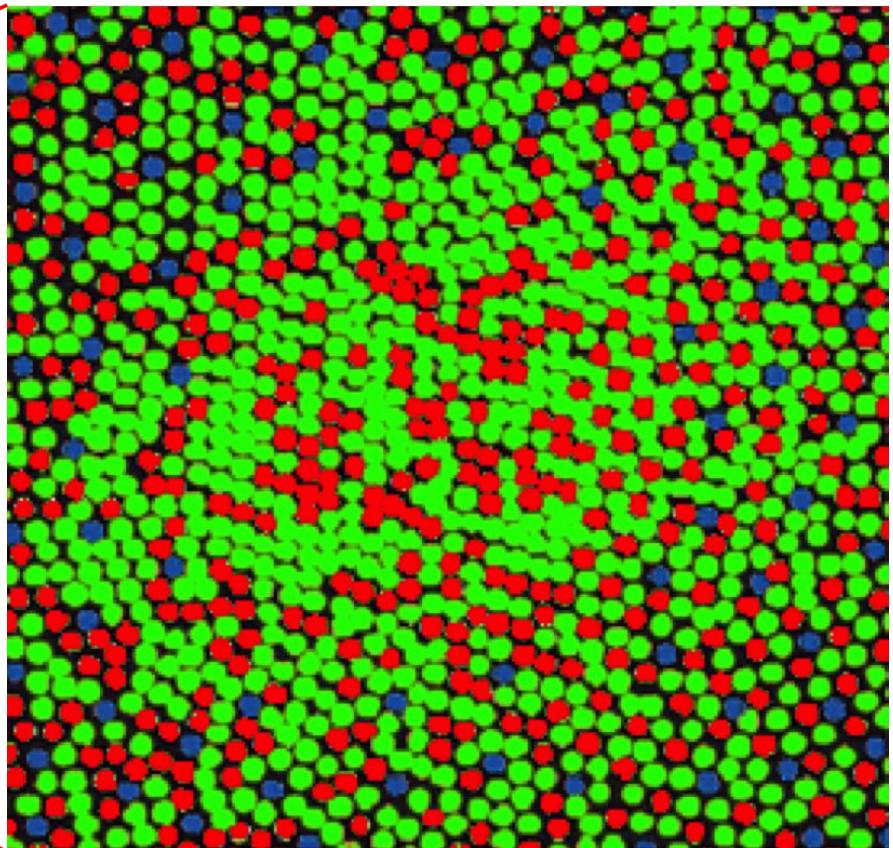
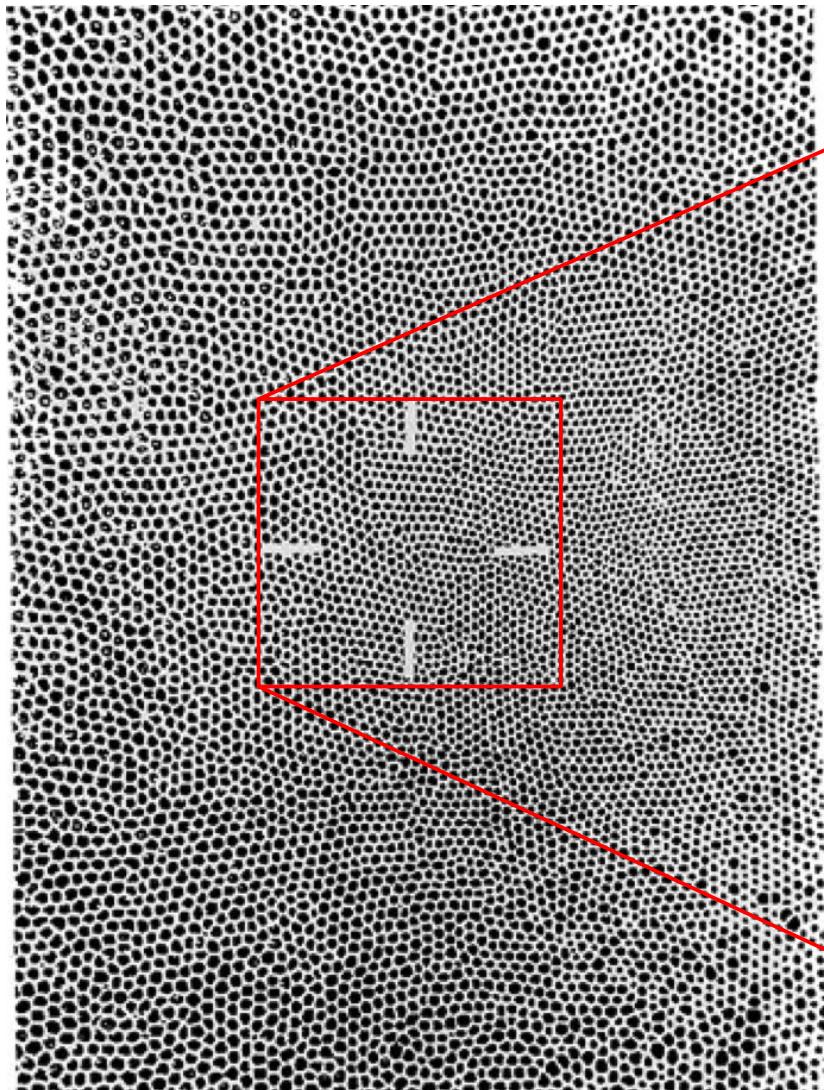
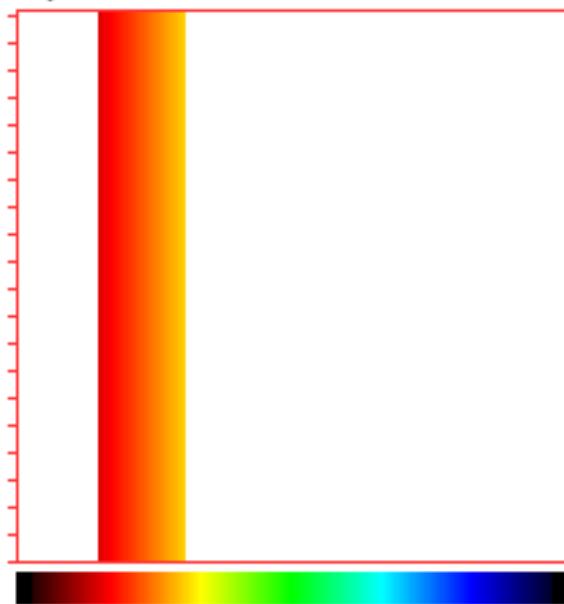


Image Source: Cepko, Connie, [www.genetics.med.harvard.edu](http://www.genetics.med.harvard.edu)

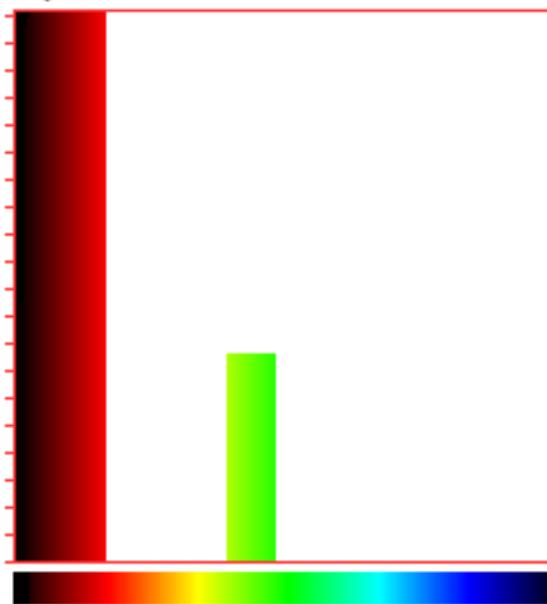
# Basics: Eye: Color Sensitivity

**Metamerism** is the matching of apparent color of objects with different spectral power distributions:

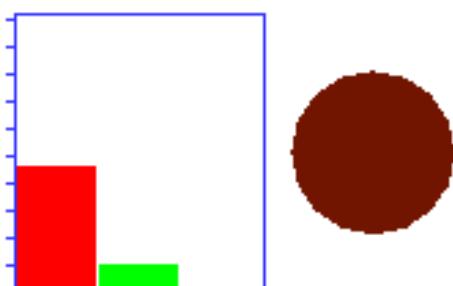
Spektrum 1



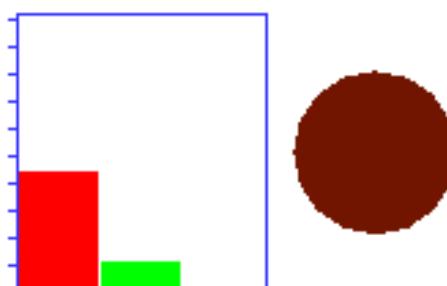
Spektrum 2



Resultat 1



Resultat 2



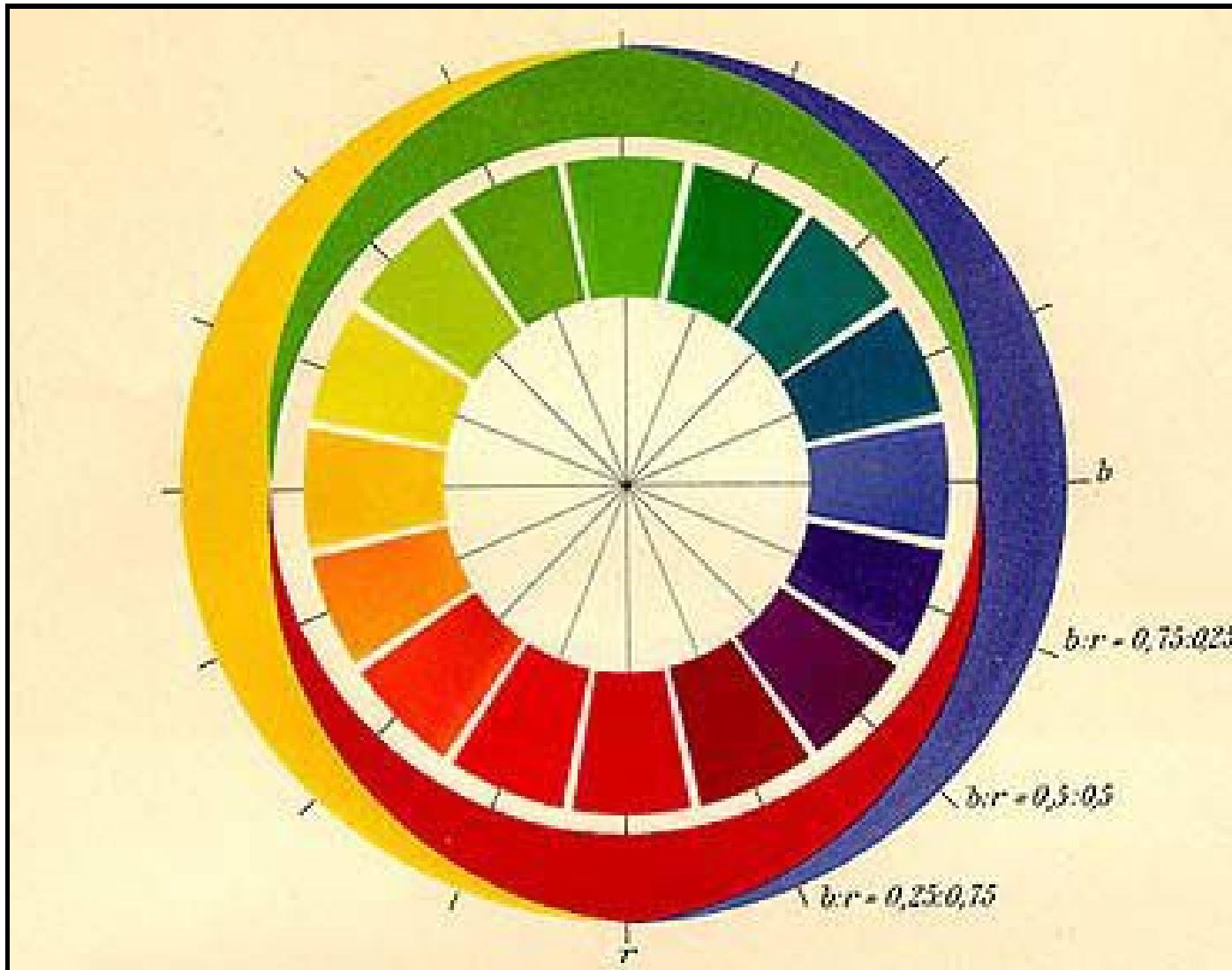
# Basics: Eye: Color Sensitivity

## Opposite Color Theory

- If you are asked about the most important color **yellow** is the most important after **red**, **green** and **blue**.
- **Yellow** seems to be an independant color.
- We can easily imagine color mixtures for **yellow-red**, **yellow-green**, **blue-green** and **red-blue**.
- But **yellow-blue** and **red-green** are hard to imagine.
- **Ewald Hering** developed from that fact the **Opposite Color Theory** 1895.
- He assumed that the eye produces a signal for **yellow-blue**, **red-green** and for the luminosity.

# Basics: Eye: Color Sensitivity

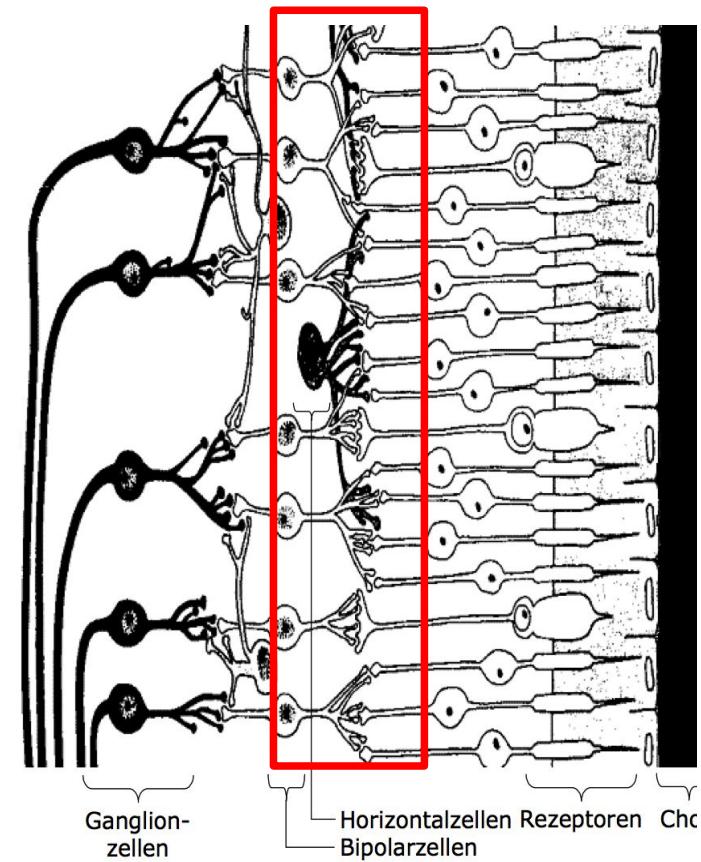
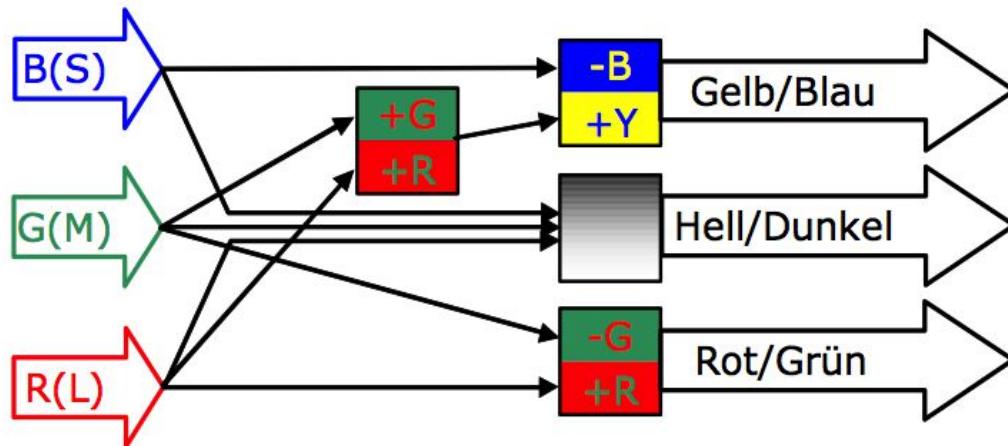
## Opposite Color Theory: Opposite Color Circle



# Basics: Eye: Color Sensitivity

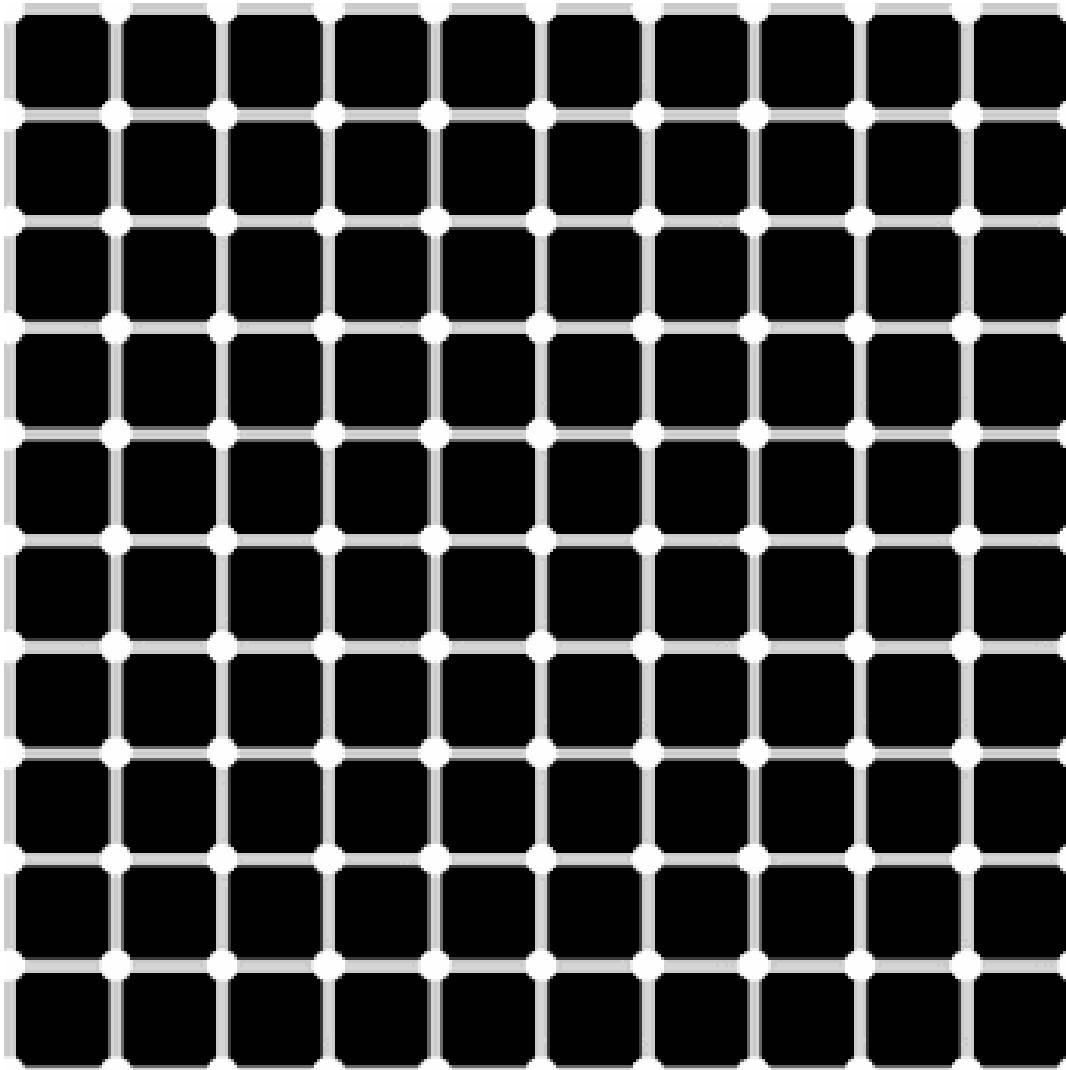
## Opposite Color Theory:

- In the mid 80'ies researchers found that this color encoding happens in the horizontal and bipolar cells of the retina:



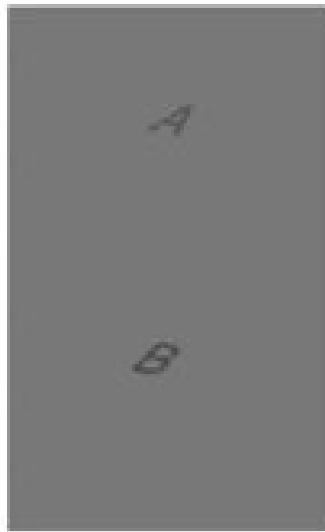
# Basics: Eye: Contrast Sensitivity

The perceived brightness depends on neighbourhood:



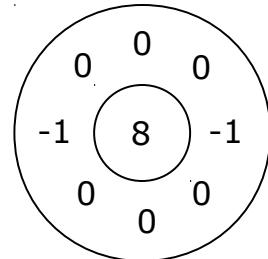
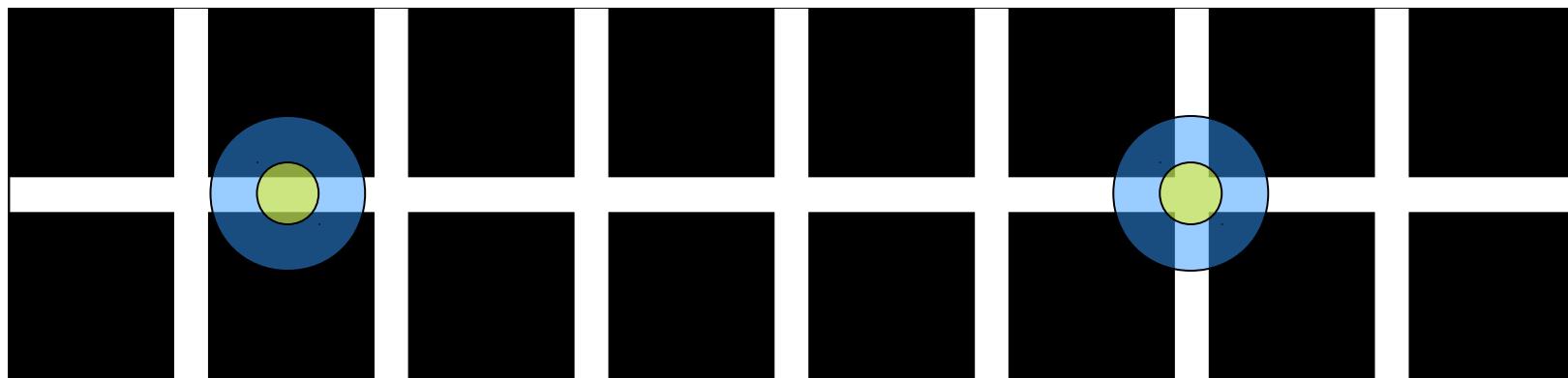
# Basics: Eye: Contrast Sensitivity

The perceived brightness depends on neighbourhood:

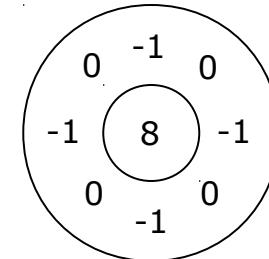


# Basics: Eye: Contrast Sensitivity

- The **ganglion** and **bipolar** cells define **receptive fields**.
- The signal is a weighted sum of the center and neighbourhood.
- The center has the weight 8.
- The neighbourhood has the summed up weight -8



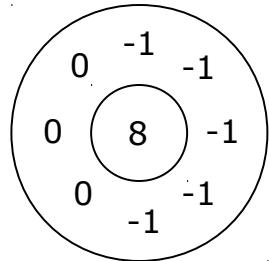
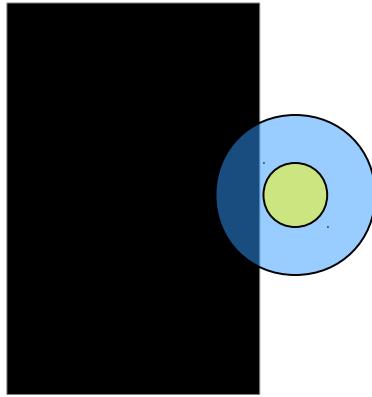
Sum 6



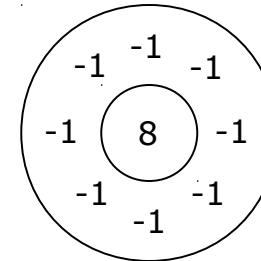
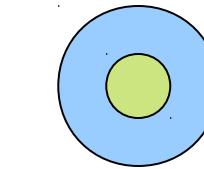
Sum 4

# Basics: Eye: Contrast Sensitivity

- The **ganglion** and **bipolar** cells define **receptive fields**.
- The signal is a weighted sum of the center and neighbourhood.
- Homogenous regions produce no signal.
- Edges produce signals.



Sum 3



Sum 0

# Basics: Eye: Contrast Sensitivity

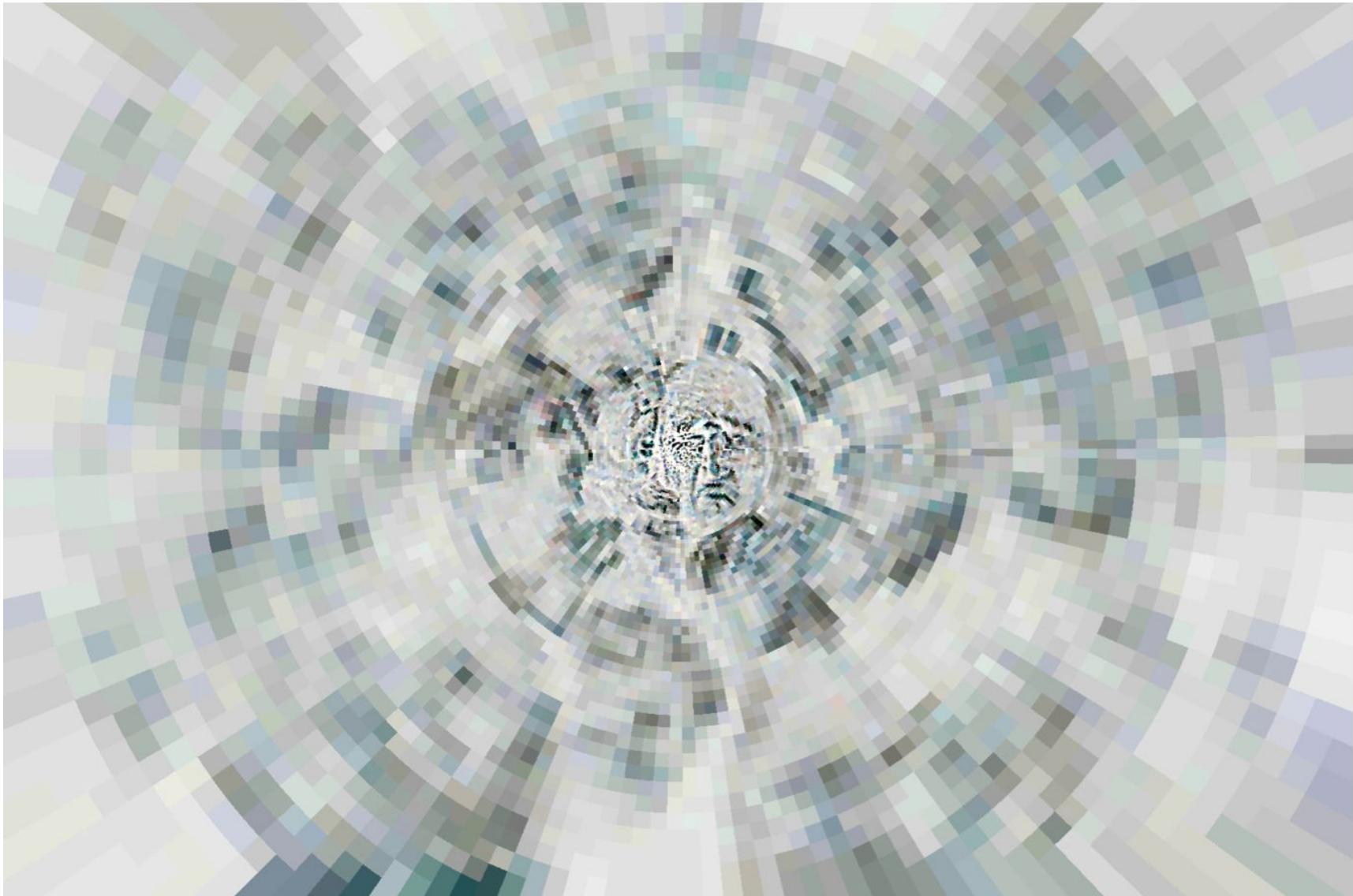
- The eye transfers an edge signal to the brain:



Edges from Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Source: R. A. Peters, Vanderbilt University

# Basics: Eye: Contrast Sensitivity

- The eye transfers an edge signal to the brain:



Louis Boilly (1761-1845) Thirty-Six Faces of Expression, Log-Polar Transform by R. A. Peters, Vanderbilt University

# Basics: Eye: Contrast Sensitivity

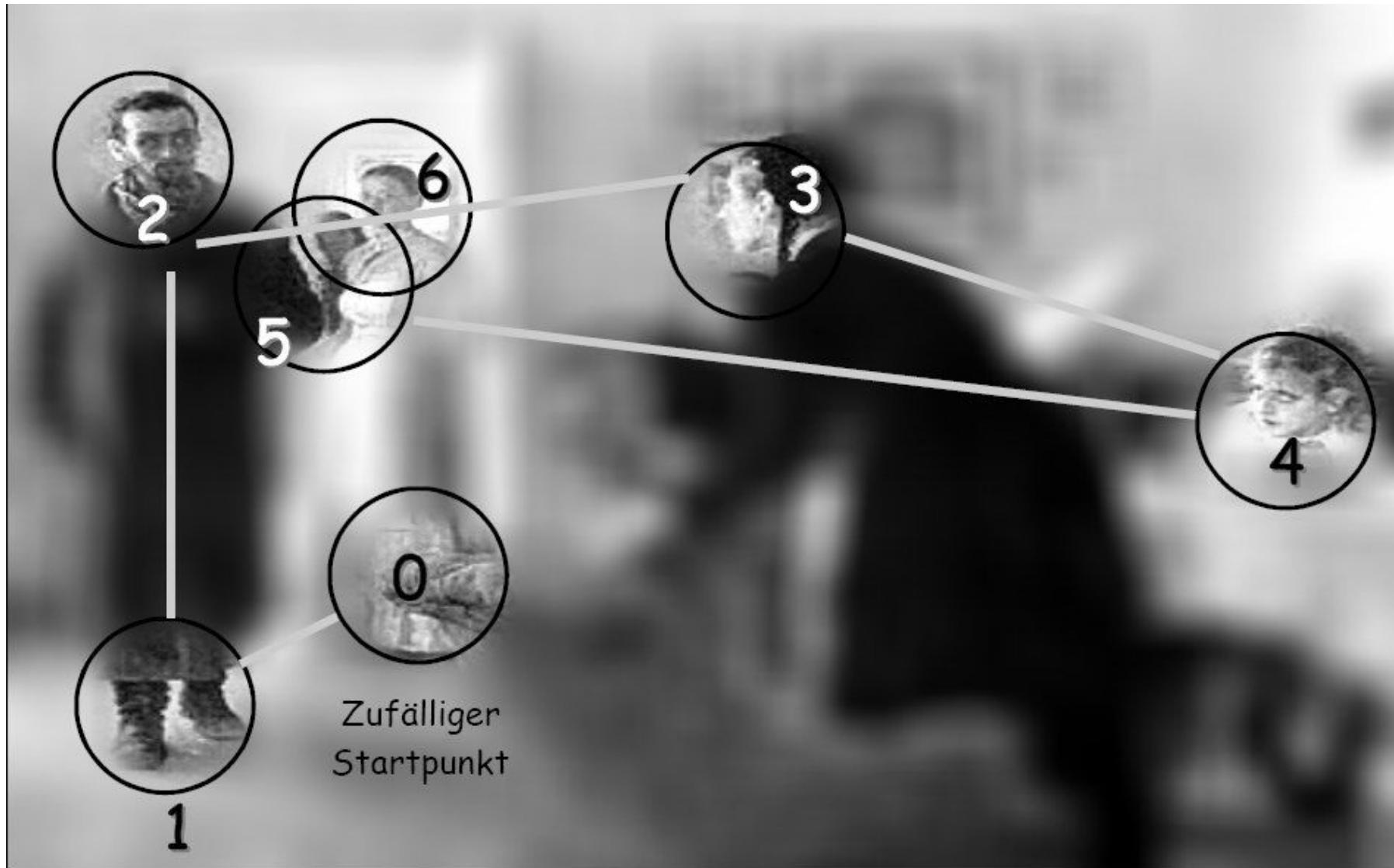
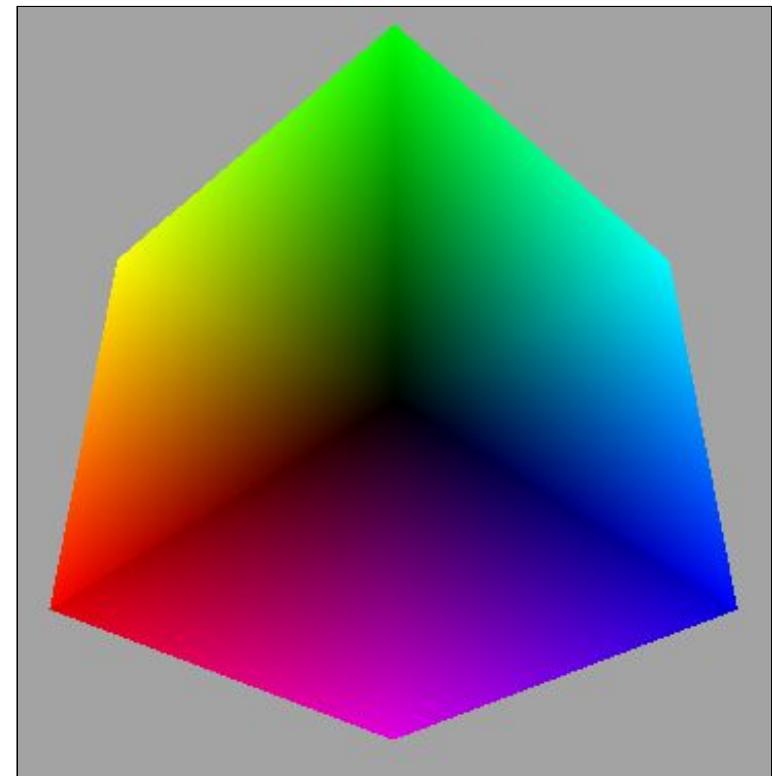
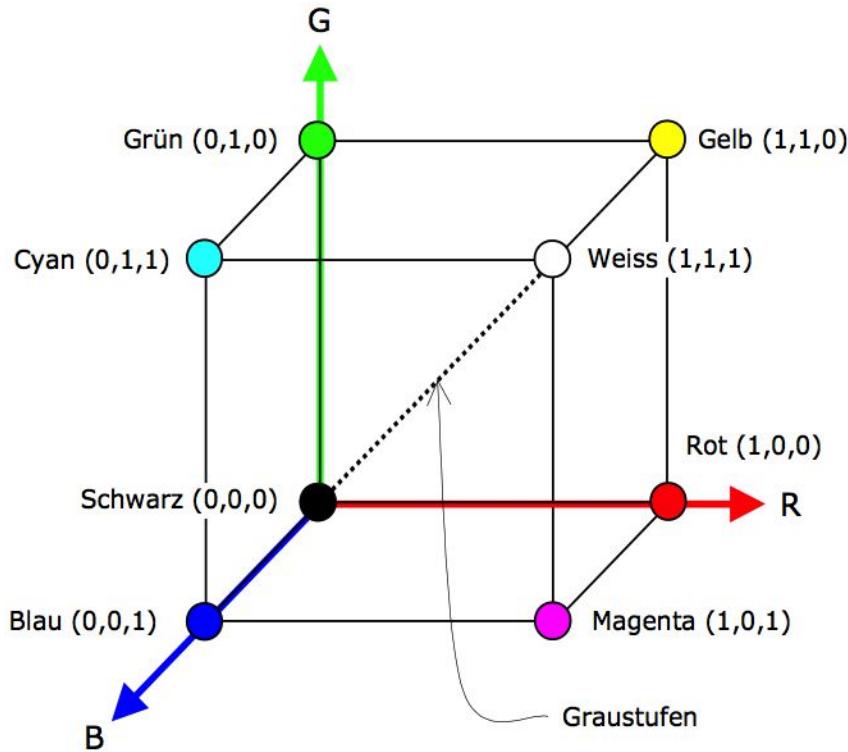


Bild 11: Foveale Ergänzung durch die ersten 6 Fixationen (nach Daten von Yarbus, 1967)

# Color Models

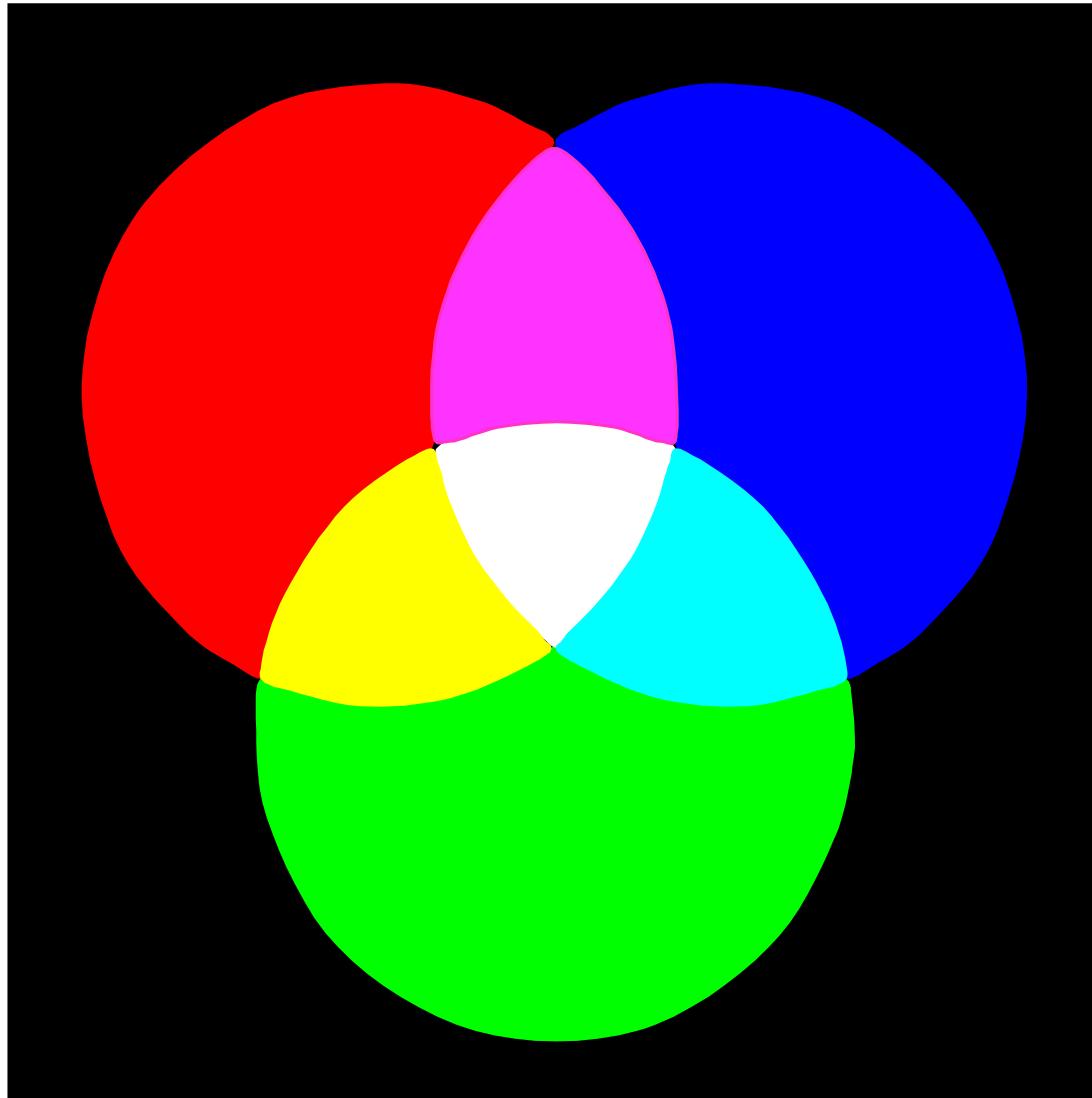
# Basics: Color Models: RGB

- The RGB color system can be imagined as a 3D coordinate system.
- Every point in the unit cube is a mix of RGB colors
- Colors can be combined with vector algebra.



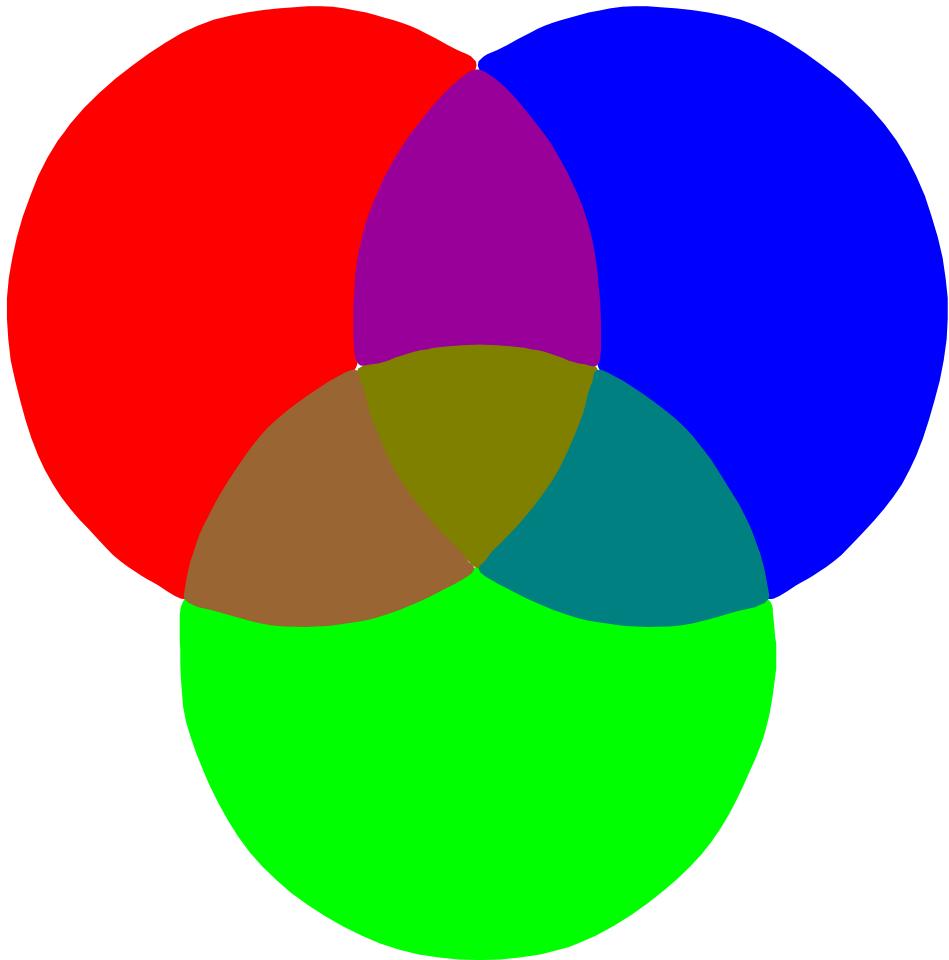
# Basics: Color Models: RGB

- We see here 7 colors:
- the 3 base colors **red**, **green** and **blue**,
- the combinations **cyan**, **magenta** and **yellow**
- and **white** as the combination of all base colors.
- **Black** is the absence of light
- Colors are combined by **adding** light colors



# Basics: Color Models: RGB

- The RGB system is not suitable for printing
- If you mix red, green and blue with painting colors you will get not the desired result.



# Basics: Color Models: CMY

- The **CMY** color system controls the **reflected colors from white paper**.
- The CMY color system is said to be **subtractive** because the print colors CMY subtract the opposite colors that are absorbed:

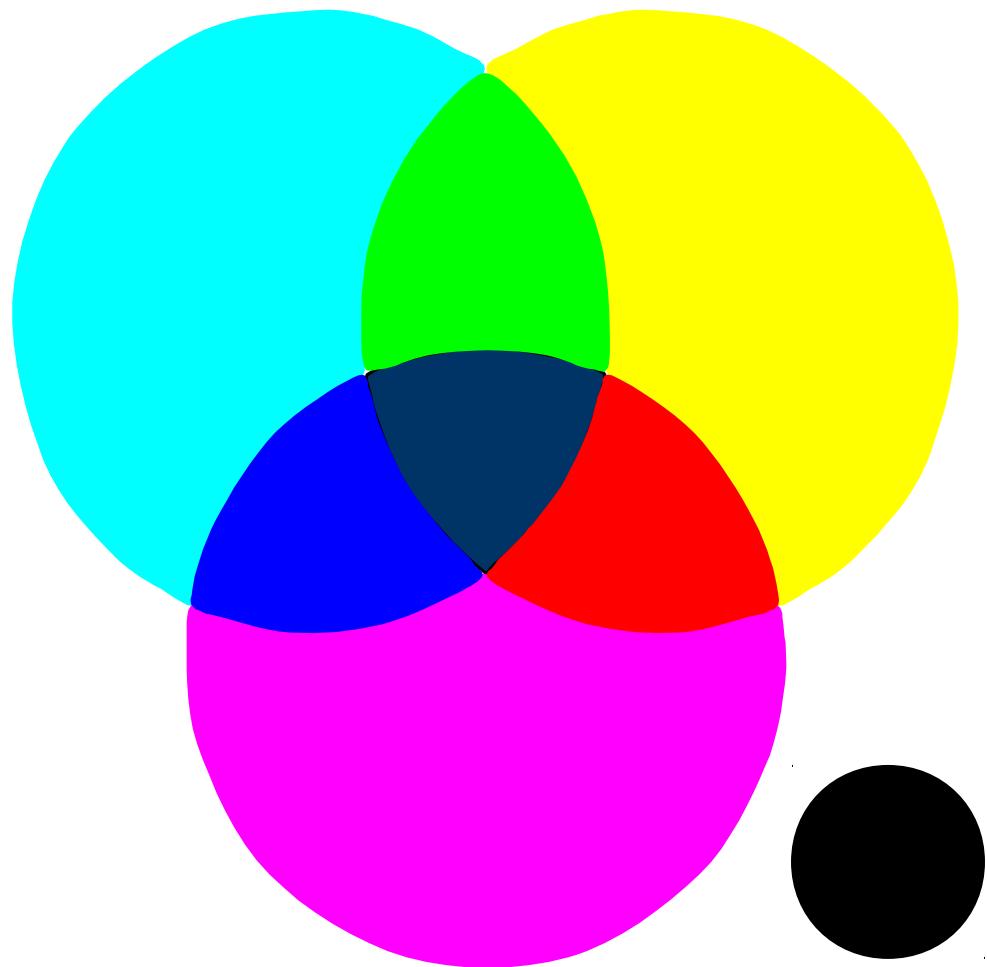
Print Color	absorbed	reflected
Cyan	Red	Blue, Green
Magenta	Green	Blue, Red
Yellow	Blue	Red, Green
All	All	nothing

- The transform from the RGB to the CMY system is simple:

$$\begin{bmatrix} C \\ M \\ Y \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

# Basics: Color Models: CMYK

- It is difficult to create CMY printing colors that all together absorb all light.
- It is also a waste of ink.
- Therefore most color print systems have the forth print color black
- K stands for key

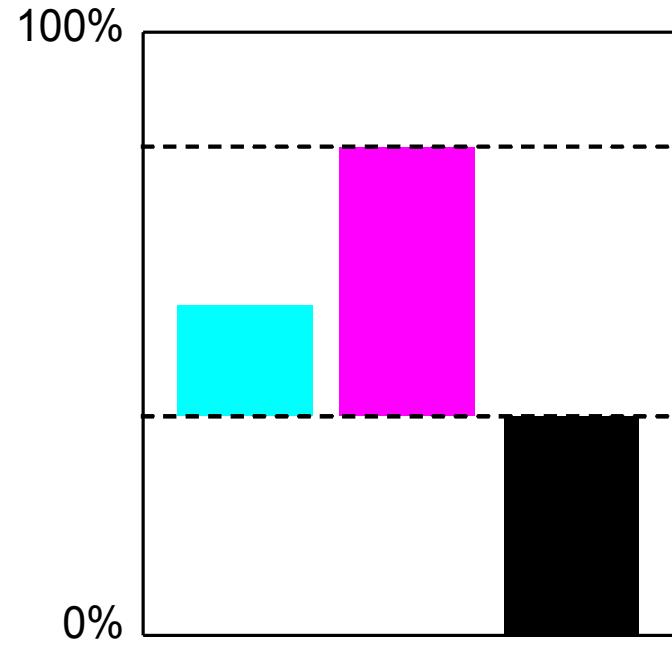
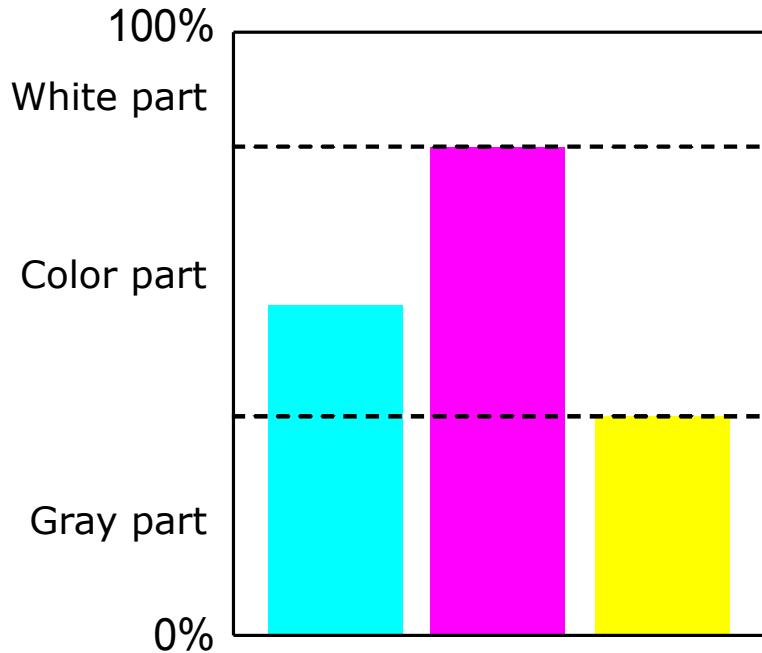


$$\begin{bmatrix} C_K \\ M_K \\ Y_K \\ K \end{bmatrix} = \begin{bmatrix} C \\ M \\ Y \\ 0 \end{bmatrix} + \begin{bmatrix} -\min(C, M, Y) \\ -\min(C, M, Y) \\ -\min(C, M, Y) \\ \min(C, M, Y) \end{bmatrix}$$

# Basics: Color Models: CMYK

## Undercolour Removal

The fourth color saves color ink:



Undercolor Removal

# Basics: Color Models: CMYK

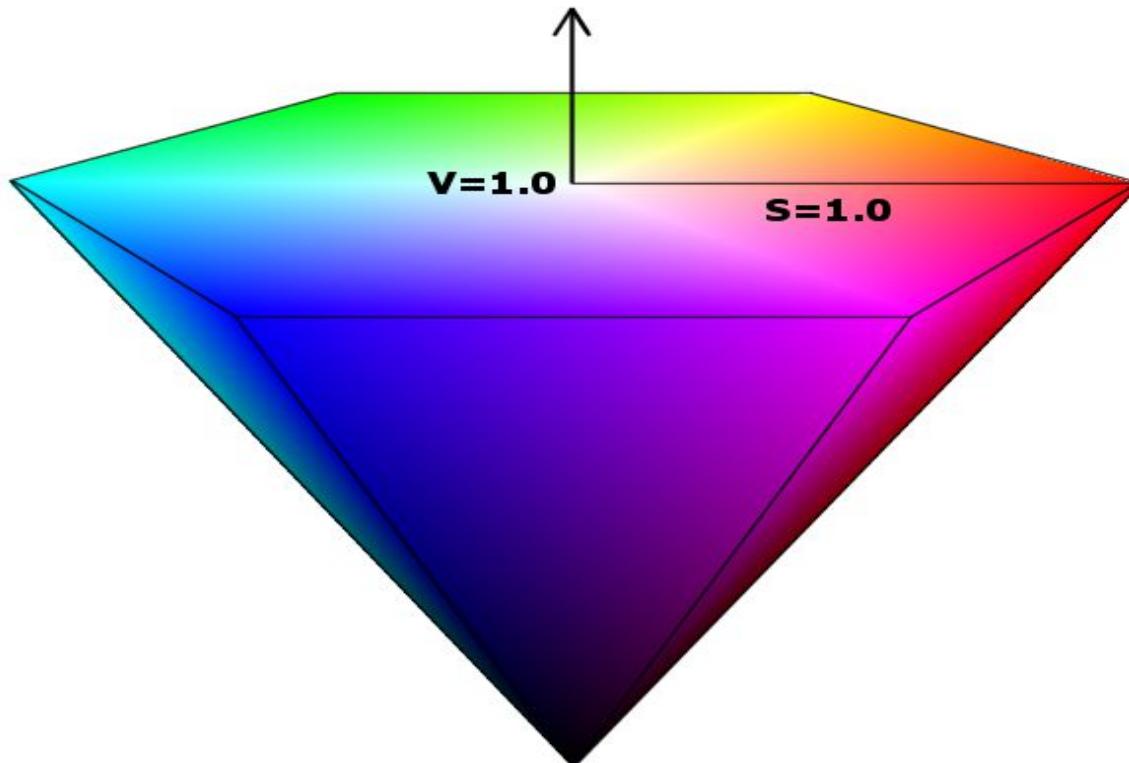


# Basics: Color Models: HSV

The RGB and CMY color models are **technical models** and **not intuitive**.

The **HSV** color model defines the color space with:

- **Hue**
- **Saturation**
- **Value (Luminosity)**



# Basics: Color Models: HSV

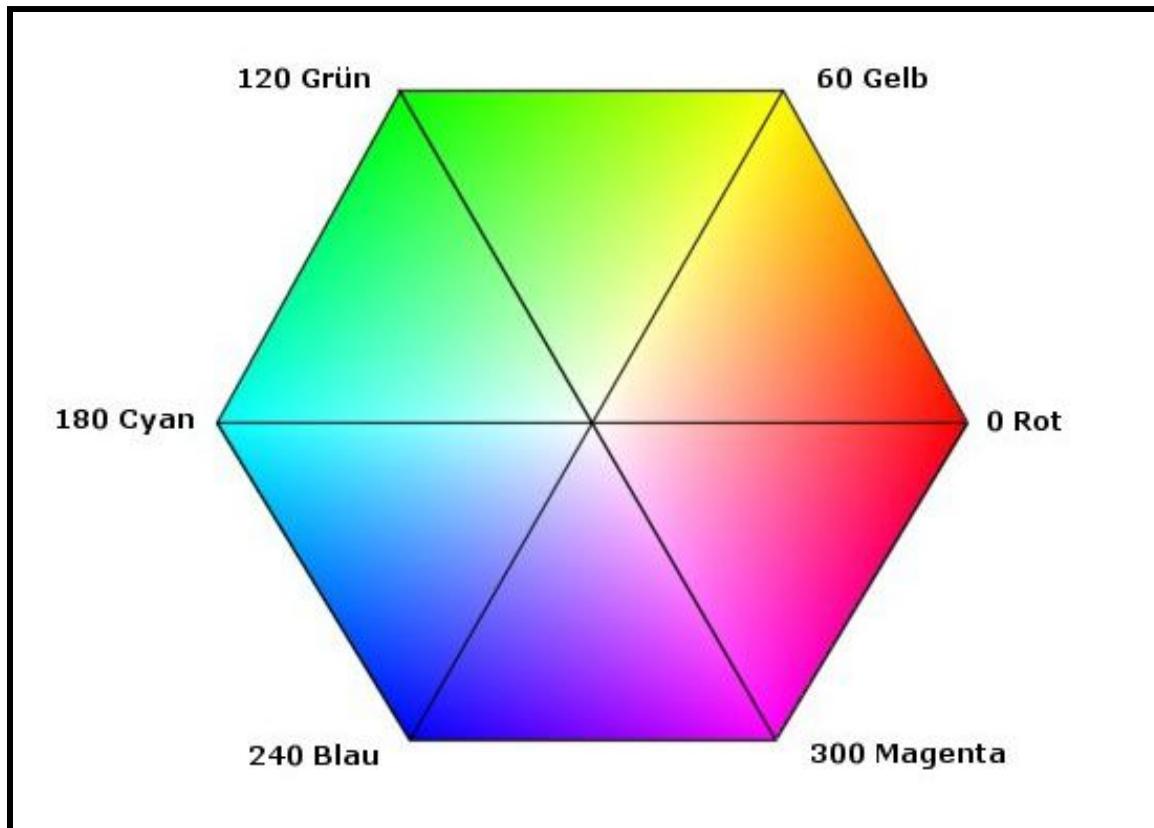
The hue value is within a **hexagone plane**:

$$V = \max(R, G, B)$$

$$S = V - \min(R, G, B) / V$$

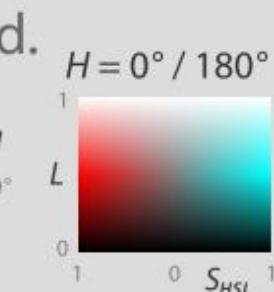
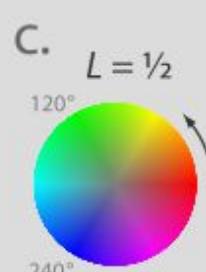
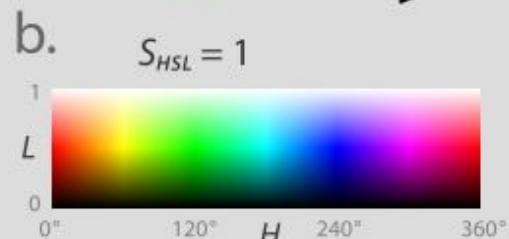
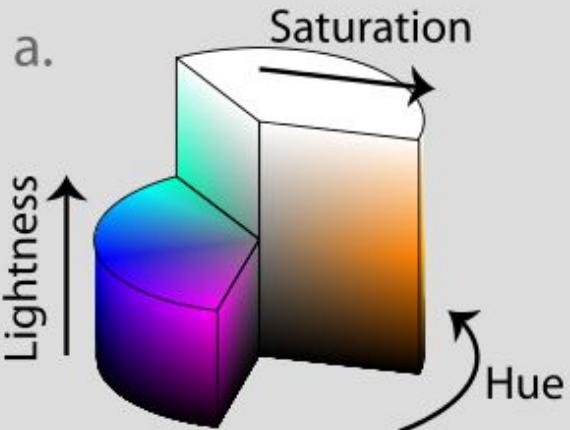
$$H = (G - \min(RGB)) / (\max(RGB) - \min(RGB)) * 60^\circ$$

in case R=Max(R,G,B) and B=Min(R,G,B)

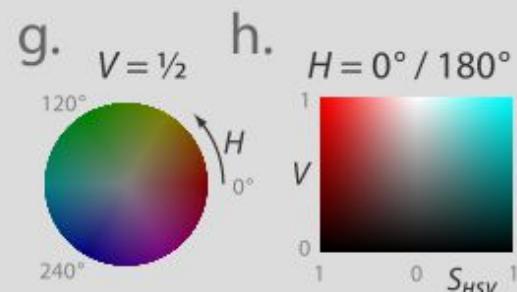
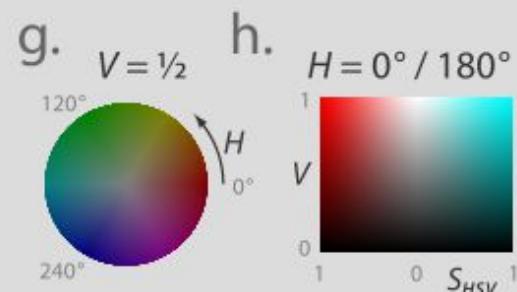
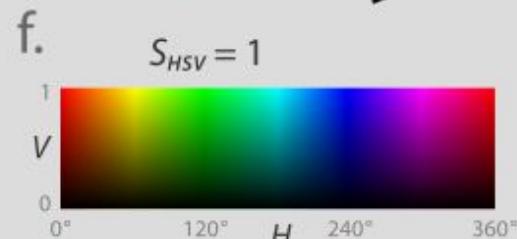
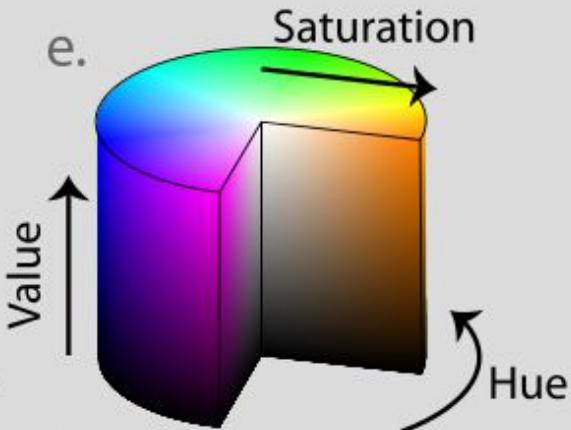


# Basics: Color Models: HSL

## HSL



## HSV



# Basics: Color Models: YUV

- The **YUV** color model was developed with the european TV standard PAL.
- The american TV standard NTSC used a similar color model YIQ.
- They were designed for B/W TV compatibility.
- Both systems transfer the luminosity in the Y channel and the color in 2 separate channels.

```
Y = round( 0.257*R + 0.504*G + 0.098*B) + 16
```

```
U = round(-0.148*R - 0.291*G + 0.439*B) + 128
```

```
V = round( 0.439*R - 0.368*G - 0.071*B) + 128
```

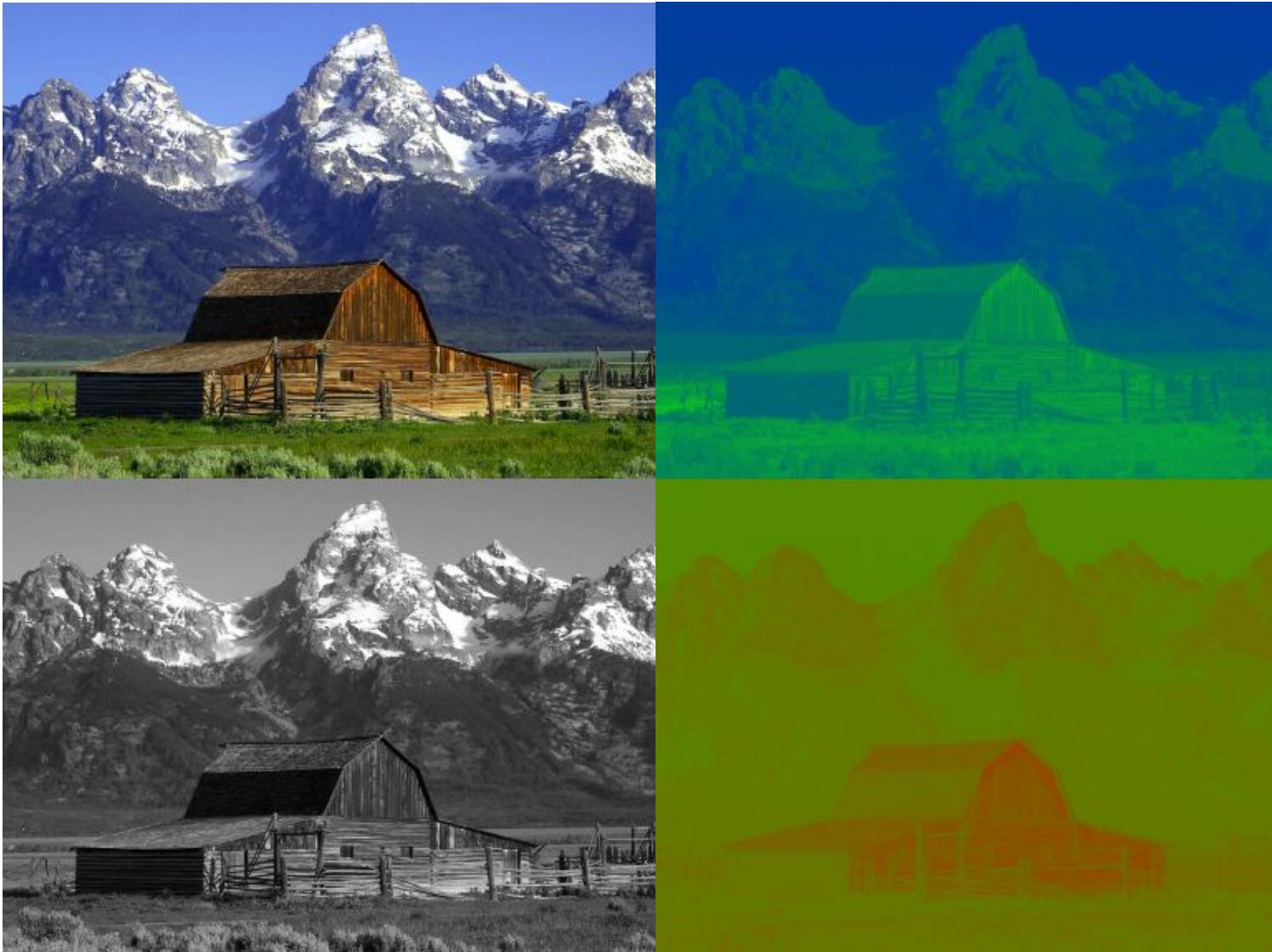
```
C = 1.164*(Y-16); D = U-128; E = V-128
```

```
R = clip(round(C + 1.596*E))
```

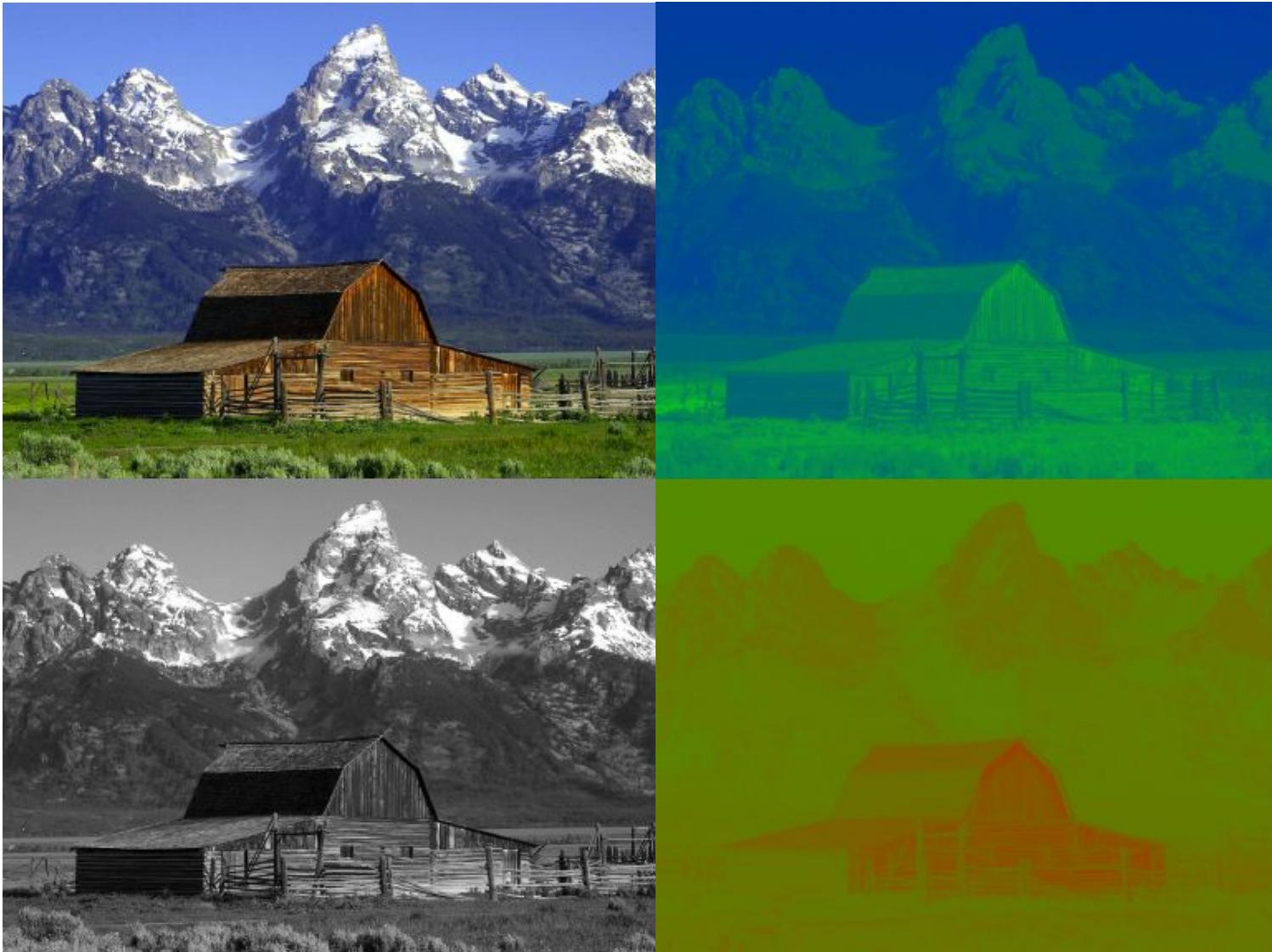
```
G = clip(round(C - 0.391*D - 0.813*E))
```

```
B = clip(round(C + 2.018*D))
```

# Basics: Color Models: YUV

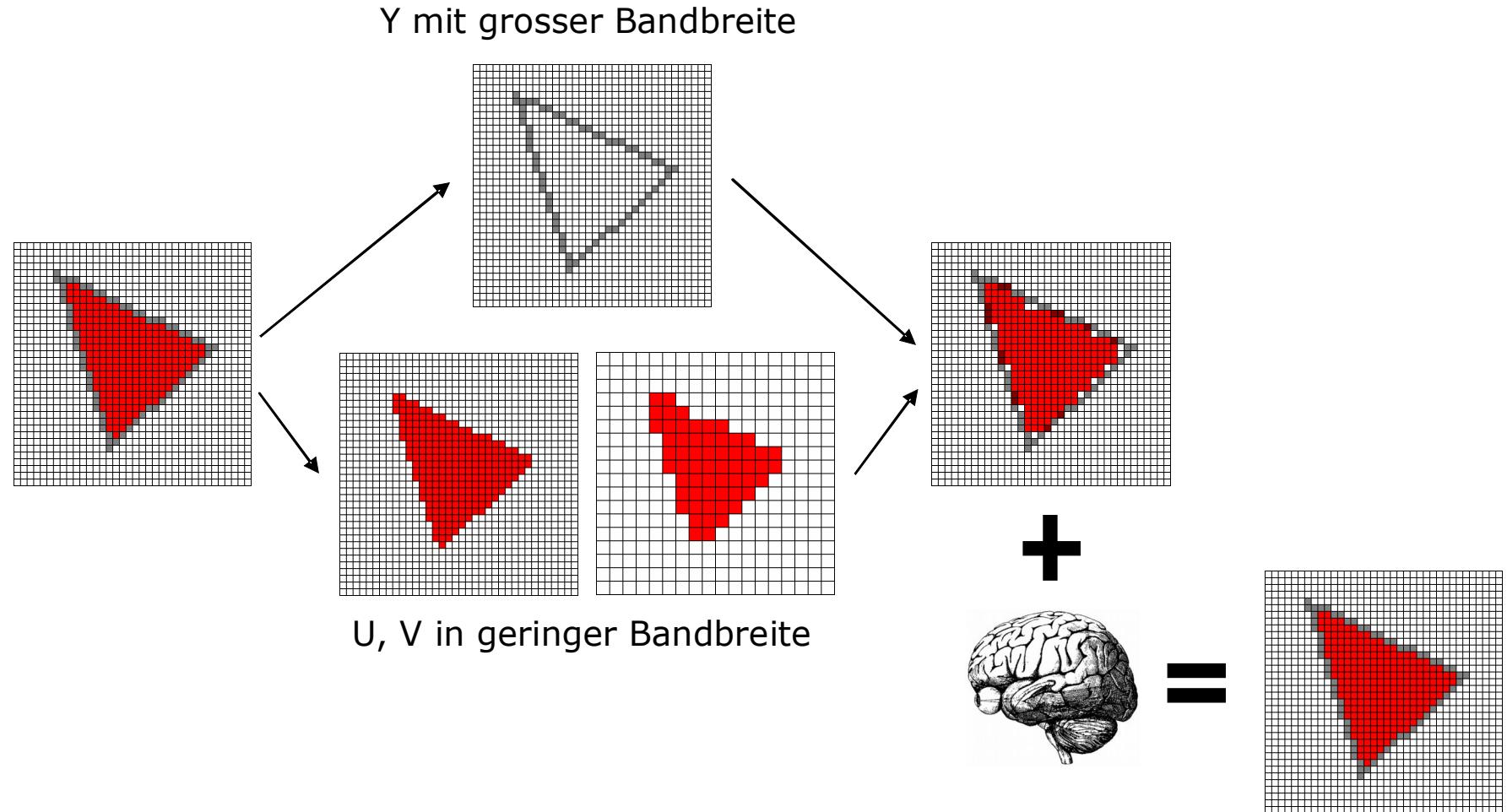


# Basics: Color Models: YUV



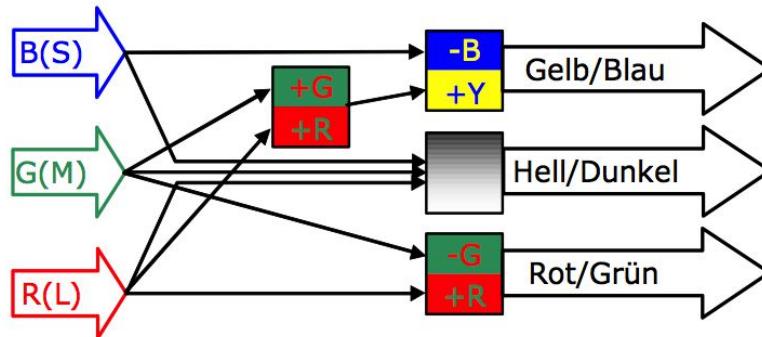
# Basics: Color Models: YUV

- The color channels are transmitted with half of the resolution:



# Basics: Color Models: YCbCr

- The **YCbCr** format is the **digital version of YUV**.
  - Y: Luminance
  - Cb: Blue-Yellow Chrominance
  - Cr: Red-Green Chrominance
- It is used in most digital formats such as JPEG and all MPEG standards.
- The transform to YCbCr is close to the opposite color transform in the retina:



- It has slightly different weights:

```
Y = round( 0.299*R + 0.587*G + 0.114*B)
```

```
Cb = round(-0.169*R - 0.331*G + 0.500*B) + 128
```

```
Cr = round( 0.500*R - 0.419*G - 0.081*B) + 128
```

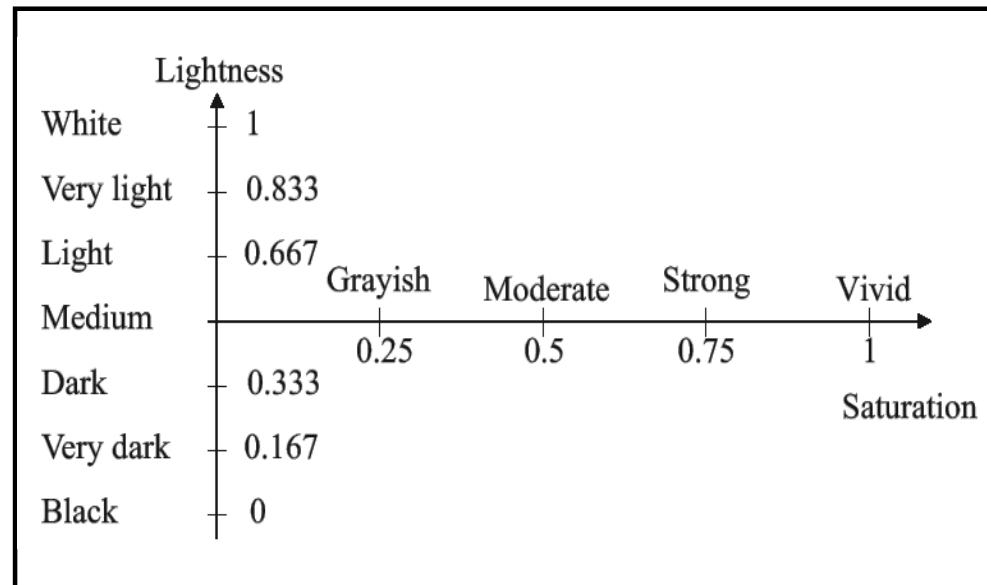
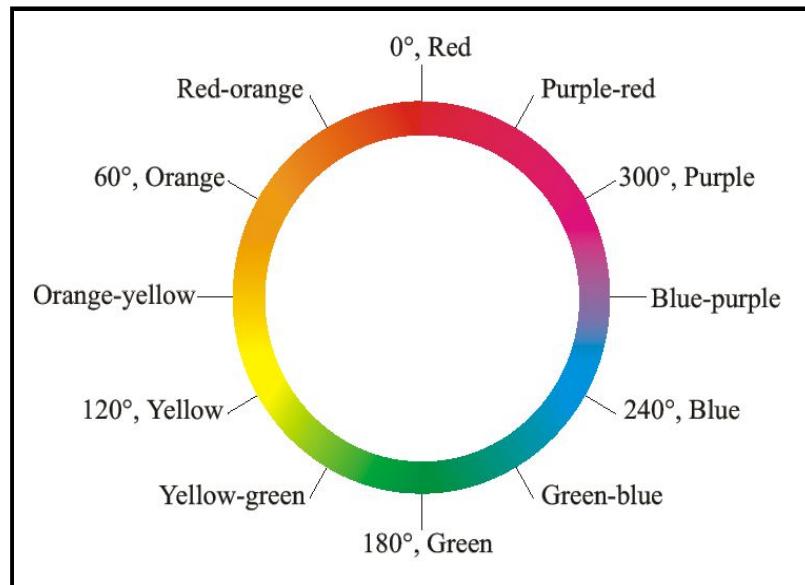
# Basics: Color Models: YCbCr



# Basics: Color Models: CNS

The **CNS model** (Color Name System) was designed to describe colors with words:

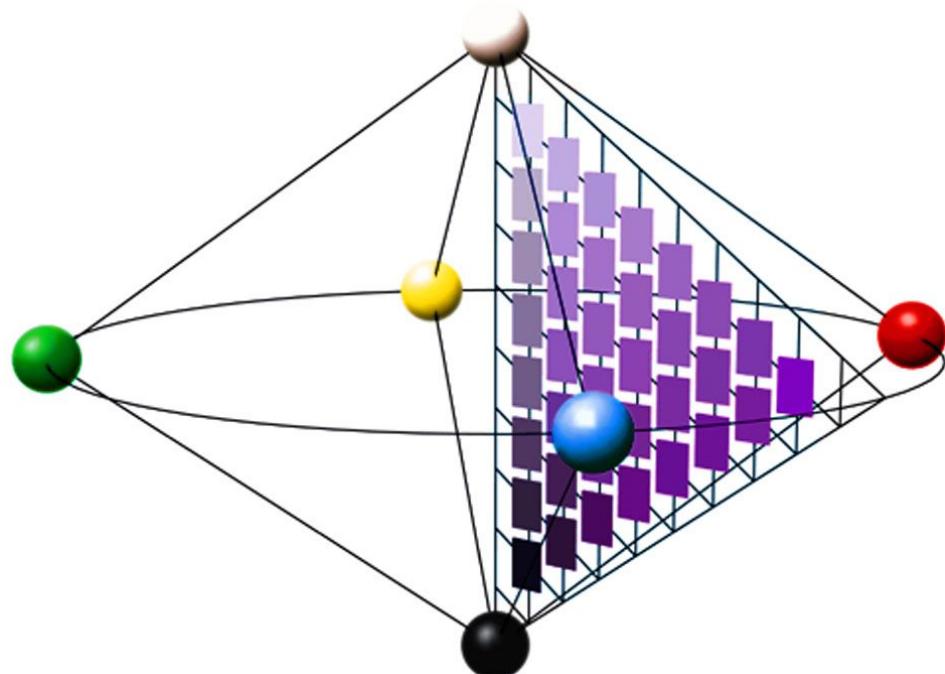
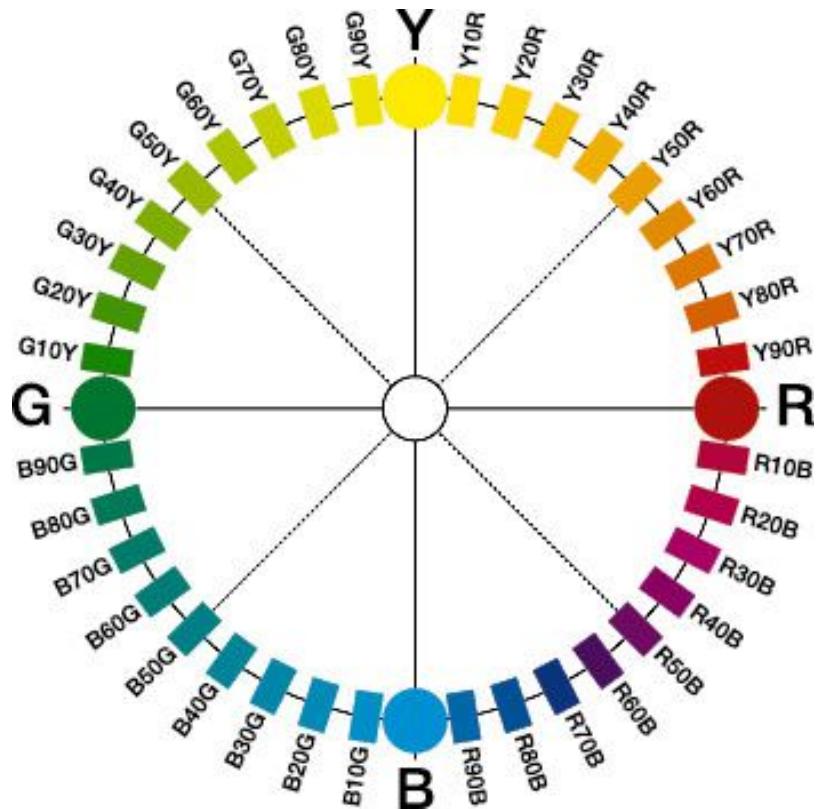
- Hue: **red, orange, yellow, green, blue, purple**
- Saturation: **grayish, moderate, strong, vivid**
- Luminosity: **very dark, dark, medium, light, very light**
- The achromatic scale consists of seven grayscales:  
**black, very dark gray, dark gray, gray, light gray, very light gray, white**



# Basics: Commercial Color Systems: NCS

NCS: Natural Color System (Scandinavian Color Institute)

[http://www.ncscolour.com/ncs\\_int.asp](http://www.ncscolour.com/ncs_int.asp)



# Basics: Commercial Color Systems: RAL

**RAL: Reichsausschuss für Lieferbedingungen**

