HWI out
Proj I Part I due next Monday
Proj I Part 2 out next Monday!

## L7 Relational Algebra

Eugene Wu Fall 2016

## Supplemental Materials

Ramakrishnan Sections 4.1 and 4.2

Helpful References https://en.wikipedia.org/wiki/Relational\_algebra

### Overview

Last time, learned about pre-relational models an informal introduction to relational model an introduction to the SQL query language.

Learn about formal relational query languages
Relational Algebra (algebra: perform operations)
Relational Calculus (logic: are statements true?)

Keys to understanding SQL and query processing

### Who Cares?

Clean query semantics & rich program analysis Helps/enables optimization Opens up rich set of topics

Materialized views
Data lineage/provenance
Query by example
Distributed query execution

. . .

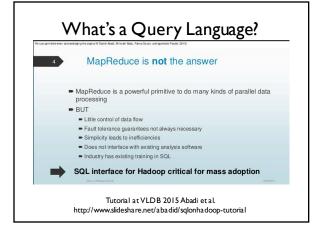
# What's a Query Language?

Allows manipulation and retrieval of data from a database.

Traditionally: QL!= programming language
Doesn't need to be turing complete
Not designed for computation
Supports easy, efficient access to large databases

Recent Years

Scaling to large datasets is a reality
Query languages are a powerful way to
think about data algorithms scale
think about asynchronous/parallel programming



## Formal Relational Query Languages

Formal basis for real languages e.g., SQL

Relational Algebra

Operational, used to represent execution plans

Relational Calculus

Logical, describes what data users want (not operational, fully declarative)

## Relational Algebra

1+2

2+1

0 + 3

...

 $\{ (a,b) \mid a, b \text{ are integers and } a+b=3 \}$ 

#### **Prelims**

Query is a function over relation instances

 $Q(R_1,...,R_n) = R_{result}$ 

Schemas of input and output relations are fixed and well defined by the query Q.

Positional vs Named field notation

Position easier for formal defs

one-indexed (not 0-indexed!!!)

Named more readable Both used in SOL

### **Prelims**

Relation (for this lecture) Instance is a set of tuples

Schema defines field names and types (domains)

Students(sid int, name text, major text, gpaint)

How are relations different than generic sets ( $\mathbb{R}$ )?

Can assume item structure due to schema Some algebra operations (x) need to be modified Will use this later

# Relational Algebra Overview

Core 5 operations PROJECT (π)

SELECT (σ) UNION (U)

SET DIFFERENCE (-)

CROSSPRODUCT (x)

Additional operations

RENAME (p) INTERSECT (∩) JOIN (⋈) DIVIDE (/)

## Instances Used Today: Library

SI

2

5

Students, Reservations

 sid
 rid
 day

 I
 101
 10/10

 2
 102
 11/11

Use positional or named field notation

Fields in query results are inherited from input relations (unless specified)

| sid | name   | gpa | age |
|-----|--------|-----|-----|
| I   | eugene | 4   | 20  |
| 2   | barak  | 3   | 21  |
| 3   | trump  | 2   | 88  |
|     |        |     |     |
| sid | name   | gpa | age |
| 4   | aziz   | 3.2 | 21  |

trump 2

rusty

21

88

21

# **Project**

 $\pi_{<attr1,...>}(A) = R_{result}$ 

Pick out desired attributes (subset of columns)
Schema is subset of input schema in the projection list

 $\pi_{\langle a,b,c\rangle}(A)$  has output schema (a,b,c) w/ types carried over

# **Project**

| S2 | sid | name  | gpa | age |
|----|-----|-------|-----|-----|
|    | 4   | aziz  | 3.2 | 21  |
|    | 2   | barak | 3   | 21  |
|    | 3   | trump | 2   | 88  |
|    | 5   | rusty | 3.5 | 21  |

$$\pi_{\text{name,age}}(S2) = \begin{bmatrix} & \text{name} & \text{age} \\ & \text{aziz} & 21 \\ & \text{barak} & 21 \\ & \text{trump} & 88 \\ & \text{rusty} & 21 \end{bmatrix}$$

# **Project**

| 52 | sid | name  | gpa | age |
|----|-----|-------|-----|-----|
|    | 4   | aziz  | 3.2 | 21  |
|    | 2   | barak | 3   | 21  |
|    | 3   | trump | 2   | 88  |
|    | 5   | rusty | 3.5 | 21  |

$$\pi_{age}(S2) = \begin{bmatrix} age \\ 21 \\ 88 \end{bmatrix}$$

Where did all the rows go?
Real systems typically don't remove duplicates. Why?

## Select

$$\sigma_{\leq p^{>}}(A) = R_{result}$$

Select subset of rows that satisfy condition *p* Won't have duplicates in result. Why? Result schema same as input

## Select

SI sid name gpa age
I eugene 4 20
2 barak 3 21
3 trump 2 88

$$\sigma_{\text{age} < 30} \text{ (S1)} = \begin{bmatrix} s_{\text{id}} & l_{\text{lattle}} & g_{\text{pa}} & a_{\text{ge}} \\ l & \text{eugene} & 4 & 20 \\ 2 & \text{barak} & 3 & 21 \end{bmatrix}$$

 $\pi_{\text{name}}(\sigma_{\text{age} < 30} \text{ (S1)}) = \frac{\text{name}}{\text{eugene}}$ 

## Commutatively

$$A + B = B + A$$
  
 $A * B = B * A$   
 $A + (B * C) = (B * C) + A$ 

$$A + (B + C) = (A + B) + C$$
  
 $A + (B * C) = (A + B) * C$ 

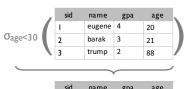
## Commutatively

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$$A + (B + C) = (A + B) + C$$
  
 $A + (B * C) = (A + B) * C$ 

# Commutatively

 $\pi_{age}(\sigma_{age \leq 30} \text{ (S I)})$ 



|   |        | gpa | age |
|---|--------|-----|-----|
| I | eugene | 4   | 20  |
| 2 | barak  | 3   | 21  |

## Commutatively

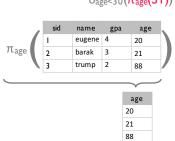
### $\pi_{age}(\sigma_{age < 30} (SI))$

| ,                  | sid | name   | gpa | age | I. |
|--------------------|-----|--------|-----|-----|----|
|                    | 1   | eugene | 4   | 20  |    |
| $\sigma_{age}$ <30 | 2   | barak  | 3   | 21  |    |
|                    | . 3 | trump  | 2   | 88  |    |
|                    |     |        |     |     | ,  |

|             | sid | name   | gpa | age |  | age |  |
|-------------|-----|--------|-----|-----|--|-----|--|
| $\pi_{age}$ | 1   | eugene | 4   | 20  |  | 20  |  |
|             | 2   | barak  | 3   | 21  |  | 21  |  |
|             |     |        |     |     |  |     |  |

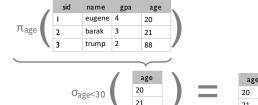
# Commutatively

### $\sigma_{age \le 30}(\pi_{age}(SI))$



# Commutatively

### $\sigma_{age < 30}(\pi_{age}(SI))$



### Commutatively

Does Project and Select commute?

$$\pi_{age}(\sigma_{age < 30} (SI)) = \sigma_{age < 30}(\pi_{age}(SI))$$

What about

 $\pi_{\text{name}}(\sigma_{\text{age} < 30} (SI))$ ?

## Commutatively

Does Project and Select commute?

$$\pi_{age}(\sigma_{age < 30} (SI)) = \sigma_{age < 30}(\pi_{age}(SI))$$

What about

 $\pi_{\text{name}}(\sigma_{\text{age} < 30} (SI)) != \sigma_{\text{age} < 30}(\pi_{\text{name}}(SI))$ 

## Commutatively

Does Project and Select commute?

$$\pi_{age}(\sigma_{age < 30} (SI)) = \sigma_{age < 30}(\pi_{age}(SI))$$

What about

 $\pi_{\text{name}}(\sigma_{\text{age} < 30} (SI)) != \sigma_{\text{age} < 30}(\pi_{\text{name, age}}(SI))$ 

### Commutatively

Does Project and Select commute?

$$\pi_{age}(\sigma_{age < 30} (SI)) = \sigma_{age < 30}(\pi_{age}(SI))$$

What about

 $\pi_{\text{name}}(\sigma_{\text{age} < 30} (S I)) = \pi_{\text{name}}(\sigma_{\text{age} < 30}(\pi_{\text{name}, \text{age}}(S I)))$ 

OK!

### Union, Set-Difference

 $A op B = R_{result}$ 

A,B must be union-compatible

Same number of fields
Field i in each schema have same type

Result Schema borrowed from first arg (A)

A(big int, poppa int) U B(thug int, life int) =?

### Union, Set-Difference

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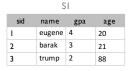
 $A(big int, poppa int) \ U \ B(thug int, life int) = \\ R_{result}(big int, poppa int)$ 

### Union, Intersect, Set-Difference



|     | _     | _   |     |
|-----|-------|-----|-----|
| sid | name  | gpa | age |
| 4   | aziz  | 3.2 | 21  |
| 2   | barak | 3   | 21  |
| 3   | trump | 2   | 88  |
| 5   | rusty | 3.5 | 21  |

### Union, Intersect, Set-Difference



|     | J     | 12  |     |
|-----|-------|-----|-----|
| sid | name  | gpa | age |
| 4   | aziz  | 3.2 | 21  |
| 2   | barak | 3   | 21  |
| 3   | trump | 2   | 88  |
| 5   | rusty | 3.5 | 21  |

#### Note on Set Difference & Performance

Notice that most operators are monotonic increasing size of inputs  $\Rightarrow$  outputs grow if  $A \supseteq B \Rightarrow Q(A,T) \supseteq Q(B,T)$  can compute incrementally

Set Difference is not monotonic

if 
$$A \supseteq B$$
  $\rightarrow$   $T-A \subseteq T-B$   
e.g.,  $5 > I$   $\rightarrow$   $9-5 < 9-I$ 

Set difference is blocking:

For  $\mathsf{T}-\mathsf{S},$  must wait for all  $\mathsf{S}$  tuples before any results

### **Cross-Product**

$$A(a_1,...,a_n) \times B(a_{n+1},...,a_m) = R_{result}(a_1,...,a_m)$$

Each row of A paired with each row of B

Result schema concats A and B's fields, inherit if possible

Conflict: students and reservations have sid field

Different than mathematical "X" by flattening results: math A x B = { (a, b) | a  $\in$  A ^ b  $\in$  B }

e.g., 
$$\{1, 2\} \times \{3, 4\} = \{ (1, 3), (1, 4), (2, 3), (2, 4) \}$$
  
what is  $\{1, 2\} \times \{3, 4\} \times \{5, 6\}$ ?

### **Cross-Product**

|     |        | 1   |     |
|-----|--------|-----|-----|
| sid | name   | gpa | age |
| 1   | eugene | 4   | 20  |
| 2   | barak  | 3   | 21  |
| 3   | trump  | 2   | 88  |

|     | RI  |       |
|-----|-----|-------|
| sid | rid | day   |
| 1   | 101 | 10/10 |
| 2   | 102 | 11/11 |

#### Rename

p(<new\_name>(<mappings>), Q)

Explicitly defines/changes field names of schema

 $p(C(1 \rightarrow sid1, 5 \rightarrow sid2), S1 \times R1)$ 

|     | sidl | name   | gpa | age | sid2 | rid | day   |
|-----|------|--------|-----|-----|------|-----|-------|
|     | 1    | eugene | 4   | 20  | 1    | 101 | 10/10 |
|     | 2    | barak  | 3   | 21  | 1    | 101 | 10/10 |
| C = | 3    | trump  | 2   | 88  | I    | 101 | 10/10 |
|     | T    | eugene | 4   | 20  | 2    | 102 | 11/11 |
|     | 2    | barak  | 3   | 21  | 2    | 102 | 11/11 |
|     | 2    | trumn  | 2   | 00  | 2    | 102 | 11/11 |

### Administrivia

Azure codes – should have gotten google invite
What kind of transactional guarantees do you think google spreadsheets provides?

Redeem: https://www.microsoftazurepass.com/

(on course website)

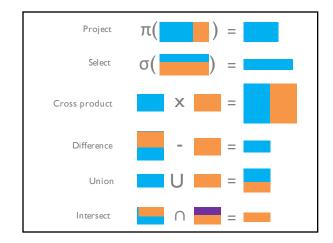
You are not required to understand lec3.md

They were my lecture notes

Projl Partl returned today

PostgreSQL passwords written on them

HW2 out on Friday



Compound/Convenience Operators

INTERSECT (∩)

JOIN (⋈)

DIVIDE (/)

Intersect

 $A \cap B = R_{result}$ 

A,B must be union-compatible

|     | S      | 51  |    |          |    |          |      | S  | 2   |    |
|-----|--------|-----|----|----------|----|----------|------|----|-----|----|
| sid | name   | gpa | ag | ge       |    | sid      | nan  | ne | gpa | ag |
| 1   | eugene | 4   | 20 |          |    | 4        | aziz |    | 3.2 | 21 |
| 2   | barak  | 3   | 21 |          |    | 2        | bara | ık | 3   | 21 |
| 3   | trump  | 2   | 88 |          |    | 3        | trun | ηр | 2   | 88 |
|     |        |     |    |          |    |          |      |    |     |    |
|     |        |     | 1  |          |    | 5        | rust | y  | 3.5 | 21 |
|     |        |     |    | sid      | na | 5<br>ame | rust |    | 3.5 | 21 |
|     | SI∩    | S2  |    | sid<br>2 | _  |          |      |    | age | 21 |

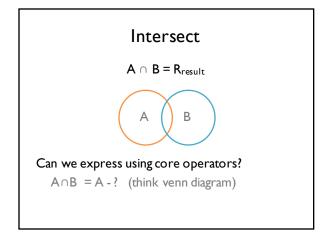
Intersect

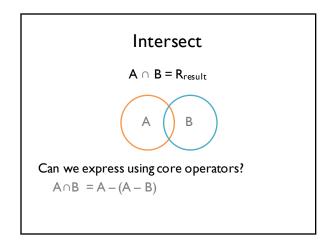
 $A \cap B = R_{result}$ 

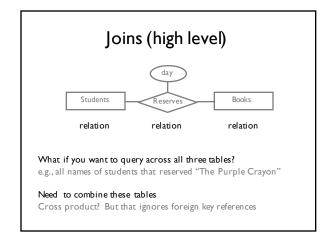
A,B must be union-compatible

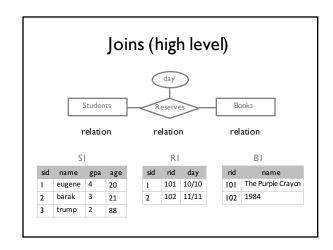
Can we express using core operators?

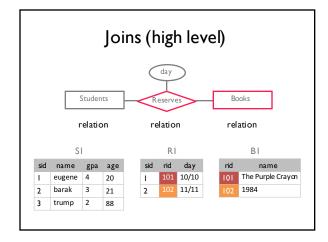
 $A \cap B = ?$ 

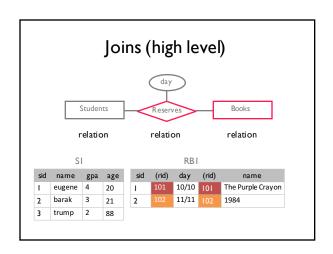


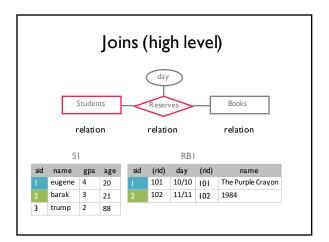


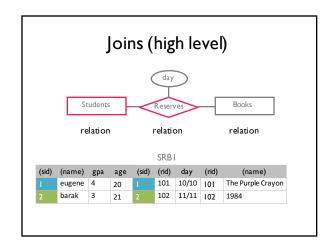












# theta $(\theta)$ Join

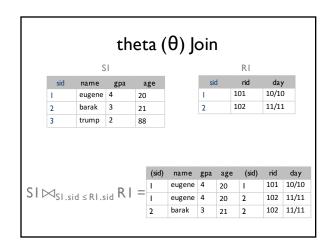
 $A \bowtie_c B = \sigma_c(A \times B)$ 

Most general form

Result schema same as cross product

Often far more efficient to compute than cross product Commutative

 $(A\bowtie_c B)\bowtie_c C = A\bowtie_c (B\bowtie_c C)$ 



# Equi-Join

 $A\bowtie_{attr} B = \pi_{all\ attrs\ except\ Battr}(A\bowtie_{Aattr=\ Battr} B)$ 

Special case where the condition is attribute equality Result schema only keeps *one copy* of equality fields Natural Join (AMB):

Equijoin on all shared fields (fields w/ same name)