### ML Homework #3 學號: B0902120 系級: 資工四 姓名: 曾鈺婷

# 1. 請說明這次使用的 model 架構,包含各層維度的連接方式。

六角深灰色是 Resnet18 (Pretrained = True)

紅色是 ConvTranspose2d

酒紅是 Conv

黄色是 LeakyReLU

綠色是 BatchNorm2d

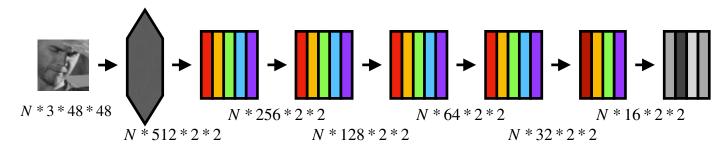
藍色是 MaxPool2d

紫色是 Dropout

淺灰色是 Linear

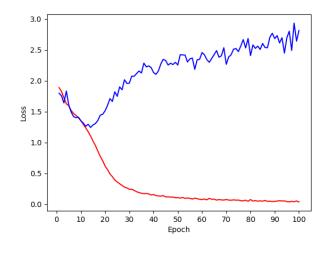
深灰色是 ReLU

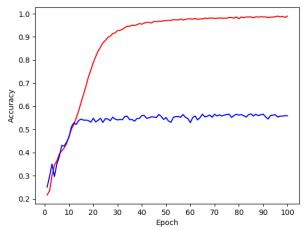
白色是 BatchNorm1d



# 2. 請附上 model 的 training / validation history (loss and accuracy) 。

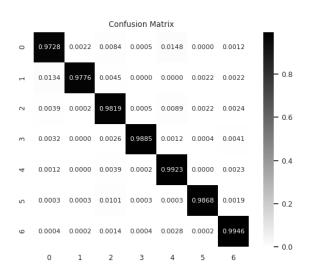
我們可以發現 training and validation data 的 loss 分別收斂在 0.05 與 2.54 左右,這很有可能代表著 overfitting,但 public score 依舊挺高的所以還是決定用 100 個 epoch。另一個指標是 accuracy,他們分別落在 0.9 與 0.5 多,這也印證前述的說法,或許該改用 cross validation 會是比較好的選擇。(紅色是 training、藍色是 validation)



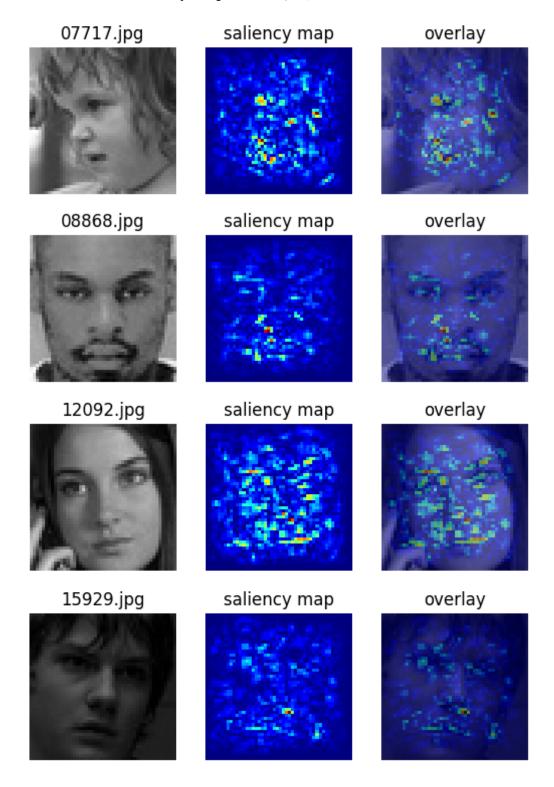


# 3. 畫出 confusion matrix 分析哪些類別的 圖片容易使 model 搞混,並簡單說明。

我們可以發現其實他的混淆程度不算高,只有在 label 0(生氣)及 label 1(厭惡)的時候表現比較不佳。甚至在 label 4(難過)與 label 6(中立)的時候有高達 99% 的準確度!

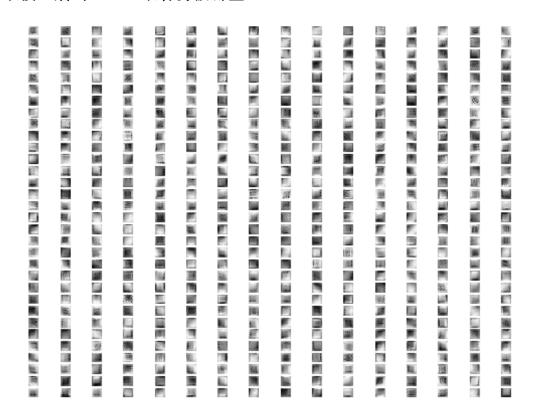


# 4. 畫出 CNN model 的 saliency map,並簡單討論其現象。



從上面四張圖來看,我們可以發現在眼睛及嘴巴附近呈現比較偏紅黃的顏色,這是因為他在 heatmap 上有較高的值(採用的 colormap 為 jet),這樣的現象也代表著 convolution network 主要學習的重點在這幾個地方。

#### 5. 畫出最後一層的 filters 最容易被哪些 feature activate。



綜觀來看,絕大部分的圖有學習到了三邊深色而中間淺色的地方,這可能代表著嘴角或 眼角的部分;有一些有不同方向的直線,這可能代表他學習到線段的特定方向對於判斷 是蠻重要的。

#### 6. 手寫題

#### 1. Convolution

以水平方向來看,經過 padding 後的寬度為  $W+p_1$ ,然後再開始 convolution 計算,得到最終的寬度  $W'=\lfloor\frac{W+2p_1-k_1}{s_1}\rfloor+1$ ,同理可得  $H'=\lfloor\frac{W+2p_2-k_2}{s_2}\rfloor+1$  總結來說,其大小變為  $(B,\lfloor\frac{W+2p_1-k_1}{s_1}\rfloor+1,\lfloor\frac{W+2p_2-k_2}{s_2}\rfloor+1$ , output channels)

#### 2. Batch Normalization

$$\begin{split} \frac{\partial l}{\partial \hat{x}_{i}} &= \frac{\partial l}{\partial y_{i}} \frac{\partial y_{i}}{\partial \hat{x}_{i}} = \frac{\partial l}{\partial y_{i}} \frac{\partial}{\partial \hat{x}_{i}} [\gamma \hat{x}_{i} + \beta] = \frac{\partial l}{\partial y_{i}} \gamma \\ \frac{\partial l}{\partial \sigma_{B}^{2}} &= \sum_{i=1}^{m} \frac{\partial l}{\partial \hat{x}_{i}} \frac{\partial \hat{x}_{i}}{\partial \sigma_{B}^{2}} = \sum_{i=1}^{m} \frac{\partial l}{\partial \hat{x}_{i}} \frac{\partial}{\partial \sigma_{B}^{2}} [\frac{x_{i} - \mu_{B}}{\sqrt{\sigma_{B}^{2} + \epsilon}}] \\ &= \sum_{i=1}^{m} \frac{\partial l}{\partial \hat{x}_{i}} [-\frac{1}{2} (x_{i} - \mu_{B}) (\sigma_{B}^{2} + \epsilon)^{-\frac{3}{2}}] \\ \frac{\partial l}{\partial \mu_{B}} &= \sum_{i=1}^{m} \frac{\partial l}{\partial \hat{x}_{i}} \frac{\partial \hat{x}_{i}}{\partial \mu_{B}} + \frac{\partial l}{\partial \sigma_{B}^{2}} \frac{\partial \sigma_{B}^{2}}{\partial \mu_{B}} \end{split}$$

$$\begin{split} &= \sum_{i=1}^{m} \frac{\partial l}{\partial \hat{x}_{i}} \frac{\partial}{\partial \mu_{B}} \left[ \frac{x_{i} - \mu_{B}}{\sqrt{\sigma_{B}^{2} + \epsilon}} \right] + \frac{\partial l}{\partial \sigma_{B}^{2}} \frac{\partial}{\partial \mu_{B}} \left[ \frac{1}{m} \sum_{i=1}^{m} (x_{i} - \mu_{B})^{2} \right] \\ &= \sum_{i=1}^{m} \frac{\partial l}{\partial \hat{x}_{i}} \left[ - (\sigma_{B}^{2} + \epsilon)^{-\frac{1}{2}} \right] + \frac{\partial l}{\partial \sigma_{B}^{2}} \left[ \frac{1}{m} \sum_{i=1}^{m} (-2)(x_{i} - \mu_{B}) \right] \\ &\frac{\partial l}{\partial x_{i}} = \frac{\partial l}{\partial \hat{x}_{i}} \frac{\partial \hat{x}_{i}}{\partial x_{i}} + \frac{\partial l}{\partial \sigma_{B}^{2}} \frac{\partial \sigma_{B}^{2}}{\partial x_{i}} + \frac{\partial l}{\partial \mu_{B}} \frac{\partial \mu_{B}}{\partial x_{i}} \\ &= \frac{\partial l}{\partial \hat{x}_{i}} \frac{\partial}{\partial x_{i}} \left[ \frac{x_{i} - \mu_{B}}{\sqrt{\sigma_{B}^{2} + \epsilon}} \right] + \frac{\partial l}{\partial \sigma_{B}^{2}} \frac{\partial}{\partial x_{i}} \left[ \frac{1}{m} \sum_{i=1}^{m} (x_{i} - \mu_{B})^{2} \right] + \frac{\partial l}{\partial \mu_{B}} \frac{\partial}{\partial x_{i}} \left[ \frac{1}{m} \sum_{i=1}^{m} (x_{i} - \mu_{B})^{2} \right] + \frac{\partial l}{\partial \mu_{B}} \frac{\partial}{\partial x_{i}} \left[ \frac{1}{m} \sum_{i=1}^{m} x_{k} \right] \\ &= \frac{\partial l}{\partial \hat{x}_{i}} \left[ (\sigma_{B}^{2} + \epsilon)^{-\frac{1}{2}} \right] + \frac{\partial l}{\partial \sigma_{B}^{2}} \left[ \frac{1}{m} (2)(x_{i} - \mu_{B}) \right] + \frac{\partial l}{\partial \mu_{B}} \left[ \frac{1}{m} \right] \\ &\frac{\partial l}{\partial \gamma} = \sum_{i=1}^{m} \frac{\partial l}{\partial y_{i}} \frac{\partial y_{i}}{\partial \gamma} = \sum_{i=1}^{m} \frac{\partial l}{\partial y_{i}} \frac{\partial}{\partial \gamma} \left[ \gamma \hat{x}_{i} + \beta \right] = \sum_{i=1}^{m} \frac{\partial l}{\partial y_{i}} \frac{\partial}{\partial y_{i}} \\ &\frac{\partial l}{\partial \beta} = \sum_{i=1}^{m} \frac{\partial l}{\partial y_{i}} \frac{\partial y_{i}}{\partial \beta} = \sum_{i=1}^{m} \frac{\partial l}{\partial y_{i}} \frac{\partial}{\partial \beta} \left[ \gamma \hat{x}_{i} + \beta \right] = \sum_{i=1}^{m} \frac{\partial l}{\partial y_{i}} \frac{\partial}{\partial y_{i}} \end{aligned}$$

#### 3. Softmax and Cross Entropy

考量當  $y_t = 1$  的情況:

$$\begin{split} \frac{\partial L_t}{\partial z_t} &= \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial z_t} = \frac{\partial}{\partial \hat{y}_t} [-y_t \log \hat{y}_t] * \frac{\partial}{\partial z_t} [\frac{e^{zt}}{\sum_i e^{zt}}] \\ &= -\frac{y_t}{\hat{y}_t} * \frac{e^{zt} \sum_i e^{zt} - e^{zt} e^{zt}}{(\sum_i e^{zt})^2} \\ &= -\frac{y_t}{\hat{y}_t} * \frac{e^{zt}}{\sum_i e^{zt}} (1 - \frac{e^{zt}}{\sum_i e^{zt}}) \\ &= -\frac{y_t}{\hat{y}_t} * \hat{y}_t (1 - \hat{y}_t) = y_t \hat{y}_t - y_t = \hat{y}_t - y_t \end{split}$$

考量當  $y_t = 0$  的情況:

$$\begin{split} \frac{\partial L_{t}}{\partial z_{t}} &= \frac{\partial L_{t}}{\partial \hat{y}_{t}} \frac{\partial \hat{y}_{t}}{\partial z_{t}} = \frac{\partial}{\partial \hat{y}_{t}} [-(1-y_{t})\log(1-\hat{y}_{t})] * \frac{\partial}{\partial z_{t}} [\frac{e^{zt}}{\sum_{i} e^{zi}}] \\ &= \frac{1-y_{t}}{1-\hat{y}_{t}} * \frac{e^{zt}\sum_{i} e^{zt} - e^{zt} e^{zt}}{(\sum_{i} e^{zt})^{2}} \\ &= \frac{1-y_{t}}{1-\hat{y}_{t}} * \frac{e^{zt}}{\sum_{i} e^{zt}} (1 - \frac{e^{zt}}{\sum_{i} e^{zt}}) \\ &= \frac{1-y_{t}}{1-\hat{y}_{t}} * \hat{y}_{t} (1-\hat{y}_{t}) = \hat{y}_{t} y_{t} - \hat{y}_{t} = \hat{y}_{t} - y_{t} \end{split}$$