

# Lecture #24

CS170

Spring 2021



# Lower Bounds

- Complexity of a problem  $P$  is a function  $T(P)$  that measures its cost (time/memory/...) as a function  $f(n)$  of its input size  $n$
- An algorithm for  $P$  gives an upper bound on  $f(n)$ 
  - Eg  $P = \text{sorting}$ ,  $T(P) = \# \text{ comparisons}$
  - Insertion Sort  $\Rightarrow$
  - Merge Sort  $\Rightarrow$
- A lower bound for  $P$  is a proof that  $f(n) = \Omega(g(n))$ 
  - Holds for any algorithm (in a class)

# Lower Bounds

- Recall NP-complete problems: 3SAT, ILP, ...
  - widely believed lower bound:
  - Best known lower bounds:
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- CS172 preview
  - "Time Hierarchy Thm"

# Examples of Lower Bounds for specific classes of algorithms

1) Circuit Complexity:

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2) Cell Probe Model

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3) Branching Program

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4) Communication Complexity

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# Circuit Complexity (1/3)

- Problem: Given  $f: \{0,1\}^n \rightarrow \{0,1\}$ , how big a circuit do you need to evaluate  $f$ ?
  - Circuit = DAG of and, or, not gates
  - Size could be # wires, depth
- Ex  $f: \{0,1\}^{10} \rightarrow \{0,1\}^{10}$  could multiply  $x \cdot y$  where  $x$  = first 5 bits of input,  $y$  = last 5 bits
- What is known?
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# Circuit Complexity (2/3)

- Problem: Given  $f: \{0,1\}^n \rightarrow \{0,1\}$ , how big a circuit do you need to evaluate  $f$ ?
  - Circuit = DAG of and, or, not gates
  - Size could be # wires, depth
- Most  $f: \{0,1\}^n \rightarrow \{0,1\}$  need exponentially many wires

# Circuit Complexity (3/3)

- Problem: Given  $f: \{0,1\}^n \rightarrow \{0,1\}$ , how big a circuit do you need to evaluate  $f$ ?
  - Circuit = DAG of and, or, not gates
  - Size could be # wires, depth
- Connection to NP-Completeness

# Cell Probe Model

- Algorithm is allowed to perform the following ops:



- Processor can read a word ( $w$  bits) from memory location  $i$ , or write a word

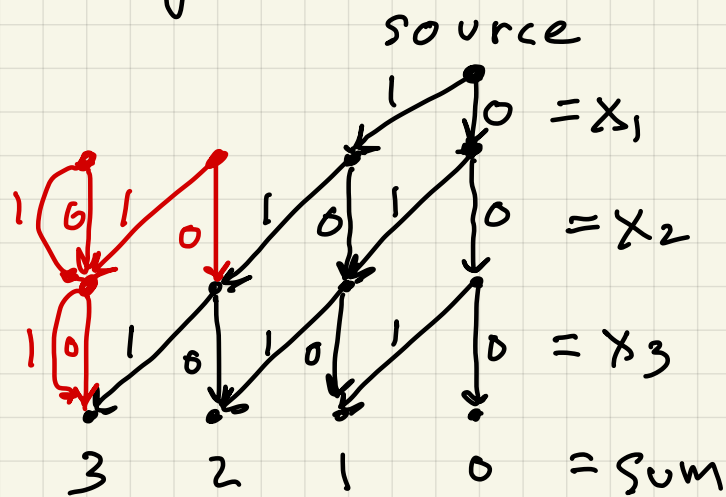
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# Branching programs

- DAG to compute  $f: \{0,1\}^n \rightarrow Y$ 
  - one source node
  - one sink node per element of  $Y$
  - each non-sink nodes has 2 outgoing edges labelled  $x_i=0$  or  $x_i=1$ , where  $x=\text{input}$
- Adding 3 bits:  $Y = \{0,1,2,3\}$



Width = 4 =  $2^{\text{\#bits needed}}$   
#Layers = 3 = #steps  
to compute  
answer  
 $\approx$  runtime

# Communication Complexity (1/8)

- Alice and Bob both want  $f:(X,Y) \rightarrow \{0,1\}$ 
  - Alice only knows  $X$ , Bob only knows  $Y$
  - They exchange messages, last one to receive a message announces  $f(X,Y)$
  - Goal: minimize #bits Alice and Bob exchange
- Different kinds of algorithms allowed:
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# Communication Complexity (2/8)

- Alice knows  $X$ , Bob knows  $Y$ , want  $f(X, Y) \in \{0, 1\}$  while minimizing #bits exchanged
- Def:  $D(f)$  = minimum #bits with deterministic alg
- Def:  $R_{\text{pub}}(f)$  = minimum #bits with **public** coin randomness
- Def:  $R_{\text{priv}}(f)$  = minimum #bits with **private** coin randomness
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# Communication Complexity (3/8)

- Alice knows  $X$ , Bob knows  $Y$ , want  $f(X, Y) \in \{0, 1\}$
- Def:  $D(f)$  = minimum #bits with deterministic alg  
where Alice sends one message to Bob
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# Communication Complexity (4/8)

- Alice knows  $X$ , Bob knows  $Y$ , want  $f(X, Y) \in \{0, 1\}$
  - Def:  $D^{\rightarrow}(f)$  = minimum #bits with deterministic alg where Alice sends one message to Bob
  - Claim:  $D^{\rightarrow}(EQ) \geq n = |X|$
  - Def:  $DE$  = counting #distinct elements
  - Claim: Any exact deterministic alg  $A$  for  $DE$  requires  $\Omega(n)$  bits of memory (FM was random)
- proof: Show that if  $A$  solves  $DE$  with  $s$  bits, we can use  $A$  to solve  $EQ$  with  $s + \log n$  bits, so
- $$s + \log n \geq D^{\rightarrow}(EQ) \geq n \Rightarrow s \geq n - \log n = \Omega(n)$$

## Communication Complexity (5/8)

- Claim: Any exact deterministic alg  $A$  for DE requires  $\Omega(n)$  bits of memory

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# Communication Complexity (6/8)

- Claim: Any approximate deterministic alg for DE ( $|t - \tilde{t}| \leq 0.01t$ ) also requires  $\Omega(n)$  bits of memory

Proof: EQ where  $X, Y \in B \subseteq \{0, 1\}^n$ ,  $D^{\rightarrow}(EQ^B) \geq \log_2 |B|$

Claim: (no proof!)  $\exists B$  such that

$|B| \geq 2^{cn}$ , all  $X \in B$  have same  $\#1s = r$ ,

and if  $X, Y \in B$ ,  $X \neq Y$ , then  $\#1s$   $X$  and  $Y$  share  $\leq \frac{r}{10}$

Show that if  $A_{ap}$  solves DE with  $s$  bits, 1% error,

we can use  $A_{ap}$  to solve  $EQ^B$  with  $s$  bits

$$\Rightarrow s \geq D^{\rightarrow}(EQ^B) \geq \log_2 |B| \geq cn = \Omega(n)$$

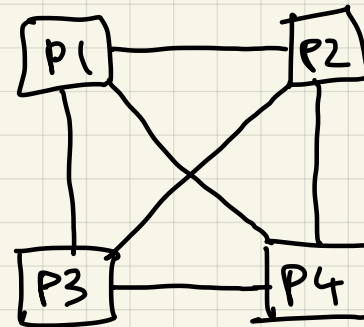
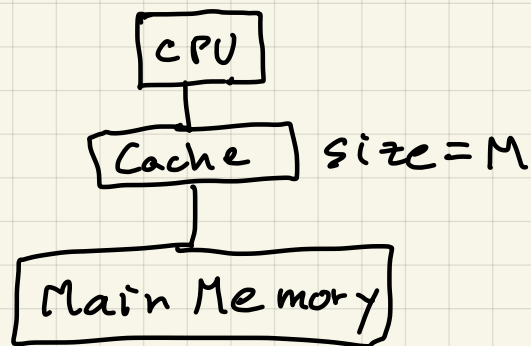
# Communication Complexity (7/8)

- Summary of counting distinct elements (DE)
  - No exact, deterministic alg with  $o(n)$  memory
  - No approx, deterministic alg with  $o(n)$  memory



# Communication Complexity (8/8)

- Goal: minimize communication between main memory and cache, or between processors connected over a network



- Thm (Hong, Kung, 81) Any execution of  $\Theta(n^3)$  matrix multiply moves  $\Omega(n^3/\sqrt{M})$  words between Cache and main memory
- Attained by "loop tiling", widely implemented
- Extends to rest of linear algebra, any code that looks like nested loops accessing arrays