

## 1 Countability: True or False

- (a) The set of all irrational numbers  $\mathbb{R} \setminus \mathbb{Q}$  (i.e. real numbers that are not rational) is uncountable.
- (b) The set of integers  $x$  that solve the equation  $3x \equiv 2 \pmod{10}$  is countably infinite.
- (c) The set of real solutions for the equation  $x + y = 1$  is countable.

For any two functions  $f : Y \rightarrow Z$  and  $g : X \rightarrow Y$ , let their composition  $f \circ g : X \rightarrow Z$  be given by  $f \circ g = f(g(x))$  for all  $x \in X$ . Determine if the following statements are true or false.

- (d)  $f$  and  $g$  are injective (one-to-one)  $\implies f \circ g$  is injective (one-to-one).
- (e)  $f$  is surjective (onto)  $\implies f \circ g$  is surjective (onto).

### Solution:

- (a) **True.** Proof by contradiction. Suppose the set of irrationals is countable. From Lecture note 10 we know that the set  $\mathbb{Q}$  is countable. Since union of two countable sets is countable, this would imply that the set  $\mathbb{R}$  is countable. But again from Lecture note 10 we know that this is not true. Contradiction!
- (b) **True.** Multiplying both sides of the modular equation by 7 (the multiplicative inverse of 3 with respect to 10) we get  $x \equiv 4 \pmod{10}$ . The set of all integers that solve this is  $S = \{10k + 4 : k \in \mathbb{Z}\}$  and it is clear that the mapping  $k \in \mathbb{Z}$  to  $10k + 4 \in S$  is a bijection. Since the set  $\mathbb{Z}$  is countably infinite, the set  $S$  is also countably infinite.
- (c) **False.** Let  $S \subseteq \mathbb{R} \times \mathbb{R}$  denote the set of all real solutions for the given equation. For any  $x' \in \mathbb{R}$ , the pair  $(x', y') \in S$  if and only if  $y' = 1 - x'$ . Thus  $S = \{(x, 1 - x) : x \in \mathbb{R}\}$ . Besides, the mapping  $x$  to  $(x, 1 - x)$  is a bijection from  $\mathbb{R}$  to  $S$ . Since  $\mathbb{R}$  is uncountable, we have that  $S$  is uncountable too.
- (d) **True.** Recall that a function  $h : A \rightarrow B$  is injective iff  $a_1 \neq a_2 \implies h(a_1) \neq h(a_2)$  for all  $a_1, a_2 \in A$ . Let  $x_1, x_2 \in X$  be arbitrary such that  $x_1 \neq x_2$ . Since  $g$  is injective, we have  $g(x_1) \neq g(x_2)$ . Now, since  $f$  is injective, we have  $f(g(x_1)) \neq f(g(x_2))$ . Hence  $f \circ g$  is injective.
- (e) **False.** Recall that a function  $h : A \rightarrow B$  is surjective iff  $\forall b \in B, \exists a \in A$  such that  $h(a) = b$ . Let  $g : \{0, 1\} \rightarrow \{0, 1\}$  be given by  $g(0) = g(1) = 0$ . Let  $f : \{0, 1\} \rightarrow \{0, 1\}$  be given by  $f(0) = 0$  and  $f(1) = 1$ . Then  $f \circ g : \{0, 1\} \rightarrow \{0, 1\}$  is given by  $(f \circ g)(0) = (f \circ g)(1) = 0$ . Here  $f$  is surjective but  $f \circ g$  is not surjective.

## 2 Counting Cartesian Products

For two sets  $A$  and  $B$ , define the cartesian product as  $A \times B = \{(a, b) : a \in A, b \in B\}$ .

- (a) Given two countable sets  $A$  and  $B$ , prove that  $A \times B$  is countable.
- (b) Given a finite number of countable sets  $A_1, A_2, \dots, A_n$ , prove that

$$A_1 \times A_2 \times \dots \times A_n$$

is countable.

### Solution:

- (a) As shown in lecture,  $\mathbb{N} \times \mathbb{N}$  is countable by creating a zigzag map that enumerates through the pairs:  $(0, 0), (1, 0), (0, 1), (2, 0), (1, 1), \dots$ . Since  $A$  and  $B$  are both countable, there exists a bijection between each set and a subset of  $\mathbb{N}$ . Thus we know that  $A \times B$  is countable because there is a bijection between a subset of  $\mathbb{N} \times \mathbb{N}$  and  $A \times B : f(i, j) = (A_i, B_j)$ . We can enumerate the pairs  $(a, b)$  similarly.

- (b) Proceed by induction.

Base Case:  $n = 2$ . We showed in part (a) that  $A_1 \times A_2$  is countable since both  $A_1$  and  $A_2$  are countable.

Induction Hypothesis: Assume that for some  $n \in \mathbb{N}$ ,  $A_1 \times A_2 \times \dots \times A_n$  is countable.

Induction Step: Consider  $A_1 \times \dots \times A_n \times A_{n+1}$ . We know from our hypothesis that  $A_1 \times \dots \times A_n$  is countable, call it  $C = A_1 \times \dots \times A_n$ . We proved in part (a) that since  $C$  is countable and  $A_{n+1}$  are countable,  $C \times A_{n+1}$  is countable, which proves our claim.

## 3 Undecided?

Let us think of a computer as a machine which can be in any of  $n$  states  $\{s_0, \dots, s_n\}$ . The state of a 10 bit computer might for instance be specified by a bit string of length 10, making for a total of  $2^{10}$  states that this computer could be in at any given point in time. An algorithm  $\mathcal{A}$  then is a list of  $k$  instructions  $(i_0, i_1, \dots, i_{k-1})$ , where each  $i_\ell$  is a function of a state  $c$  that returns another state  $u$  and a number  $j$  describing the next instruction to be run. Executing  $\mathcal{A}(x)$  means computing

$$(c_1, j_1) = i_0(x), \quad (c_2, j_2) = i_{j_1}(c_1), \quad (c_3, j_3) = i_{j_2}(c_2), \quad \dots$$

until  $j_\ell \geq k$  for some  $\ell$ , at which point the algorithm halts and returns  $s_{\ell-1}$ .

- (a) How many iterations can an algorithm of  $k$  instructions perform on an  $n$ -state machine (at most) without repeating any computation?
- (b) Show that if the algorithm is still running after  $nk + 1$  iterations, it will loop forever.

- (c) Give an algorithm that decides whether an algorithm  $\mathcal{A}$  halts on input  $x$  or not. Does your construction contradict the undecidability of the halting problem?

**Solution:**

- (a) Each of the  $k$  instructions can be called on at most  $n$  different states, therefore there are at most  $n \cdot k$  distinct computations that can be performed during any execution. After  $n \cdot k + 1$  iterations we must have repeated one of these computations.
- (b) Since  $nk + 1 > n \cdot k$ , by the Pigeonhole Principle,  $\mathcal{A}$  must repeat a computation  $i_m(s_t)$  for some  $(m, t) \in \{1, \dots, n\} \times \{0, \dots, k - 1\}$ . But we know that when  $i_m(s_t)$  is performed the second time, its consecutive computations will be precisely the same that followed the first evaluation of  $i_m(s_t)$ . In particular, we will see  $i_m(s_t)$  a third time, and hence a fourth, fifth time etc.
- (c) From our solution to part (b) it follows that we only need to check whether after  $nk + 1$  iterations,  $\mathcal{A}(x)$  is still running or not. If it is,  $\mathcal{A}(x)$  does not halt, otherwise it does. This does not contradict the undecidability of the halting problem, since it only states the inability to decide whether an *arbitrary* algorithm halts. Here we only proved the decidability for algorithms that can be run on an  $n$ -state machine, of which there are only finitely many!

## 4 Code Reachability

Consider triplets  $(M, x, L)$  where

```
M is a Java program
x is some input
L is an integer
```

and the question of: if we execute  $M(x)$ , do we ever hit line  $L$ ?

Prove this problem is undecidable.

**Solution:**

Suppose we had a procedure that could decide the above; call it `Reachable(M, x, L)`. Consider the following example of a program deciding whether  $P(x)$  halts:

```
Halt(P, x) :
    def M(t) :
        run P(x) #line 1 of M
        return #line 2 of M
    return Reachable(M, 0, 2)
```

Program  $M$  reaches line 2 if and only if  $P(x)$  halted. Thus, we have implemented a solution to the halting problem — contradiction.