

Lecture # 8

CS 170

Spring 2021



Greedy Algorithms Chap 5

General algorithmic approach—at every step, choose what to do based on local information, without considering next steps

Sometimes works well: optimal.

minimum spanning trees, Huffman encoding
Horn formulas (used in Prolog)

Sometimes just approximation

set cover (no poly time exact alg)

Sometimes not so good: chess...

Example: Student's Problem

You have n HW assignments a_1, a_2, \dots, a_n
with deadlines (all integers) d_1, d_2, \dots, d_n

Each assignment takes 1 hour

In what order should you do assignments
to maximize the number turned in on time?

Example:

a_1	a_2	a_3	a_4	a_5	a_6
1	1	2	2	4	5

greedy: pick assignment with next deadline

optimal: in first 2 hrs, can do 2 assignments
then do all rest \Rightarrow 4 max

Strategy: repeat: pick unexpired a_i with closest deadline

Claim: optimal strategy: proof by contradiction: ^{assume} not

$S = \text{optimal strategy} \neq G = \text{greedy strategy}$
 $= (a_{s_1}, a_{s_2}, \dots, a_{s_t})$ $= (a_{g_1}, a_{g_2}, \dots, a_{g_{t'}})$

Modify S to become G
Let k be task where S and G differ

if $t' = t - 1$,
then G could
do a_{s_t}

$s_1 = g_1, s_2 = g_2, \dots, s_{k-1} = g_{k-1}, s_k \neq g_k$
Change S to S' with $s_k = g_k$, S' still optimal

Case 1: a_{g_k} doesn't appear in $S \Rightarrow$ replace a_{s_k} by a_{g_k} , OK
since a_{g_k} hasn't expired yet, since chosen by G

Case 2: a_{g_k} does appear in S , say $a_{s_l} = a_{g_k}$ $l > k$
 \Rightarrow swap a_{s_l} and a_{s_k} ; OK to do a_{s_l} earlier, still not expired
since G (greedily) chose a_{g_k} as earliest to expire

$d_{g_k} = d_{s_l} \leq d_{s_k} \Rightarrow$ can do a_{s_k} at same time as a_{s_l}
Finally G can't be shorter than S , because G could do \exists
same assignment as S

Set Cover

Given: $V = \{1, 2, \dots, n\} = [n]$

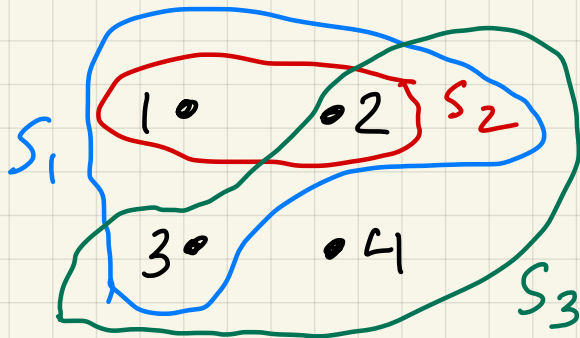
Collection of subsets $S_1, S_2, \dots, S_m \subseteq V$
such that $\bigcup_{i=1}^m S_i = V$

Find: Fewest S_i that cover V :

$$J \subseteq [m], \quad \bigcup_{i \in J} S_i = V,$$

$|J|$ as small as possible

$V = [4]$



$$V = S_1 \cup S_3 = S_3 \cup S_2$$

$|J| = 2$, $|J| = 1$ not possible
optimal

Ex

$V = \{\text{town}_1, \dots, \text{town}_n\}$

$S_i = \{\text{all towns in distance } \leq 30 \text{ miles from town } i\}$

Goal: build as few schools as needed so everyone ≤ 30 miles from nearest school

Greedy Strategy:
At each step, pick S_i that covers the most uncovered points

Greedy Set Cover

... $V = [n]$

$J = \emptyset$

... collection of $S_i, i \in [m]$

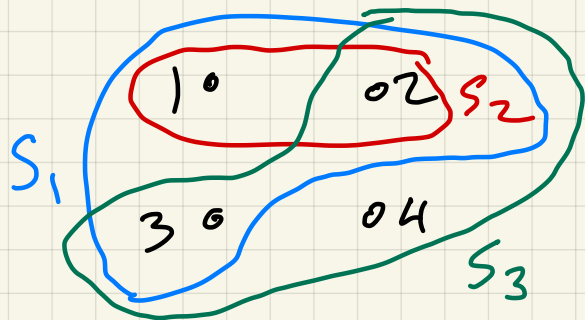
while $S_J \neq V$

... $S_J = \bigcup_{i \in J} S_i$

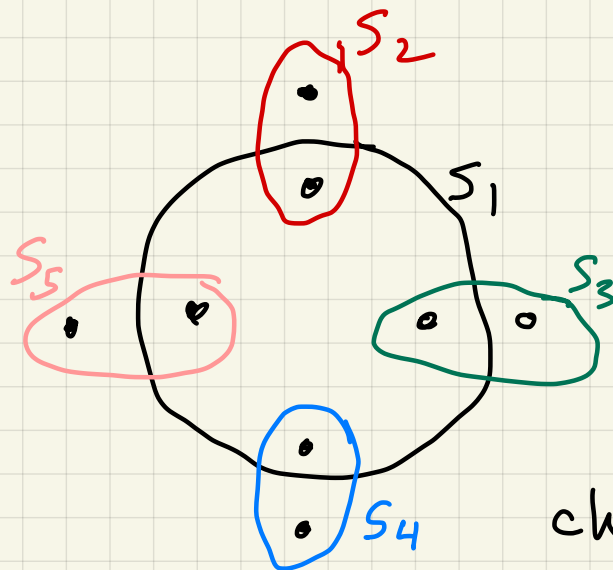
Pick $i \notin J$ with largest $|S_i \setminus S_J|$
... covers most new points

$J = J \cup \{i\}$

Correct?



1) pick S_1 or S_3 $|J|=2$
2) pick S_3 or S_1 $\swarrow \searrow$ best
done done



1) pick S_1
2) pick all other S_i

$|J|=5$

not best:
choose S_2, \dots, S_4
 $|J|=4$

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How well can we solve Set Cover?

Exact answer in time $O(\text{polynomial}(n, m))$?
 $n = \# \text{ points}, m = \# \text{ sets}$

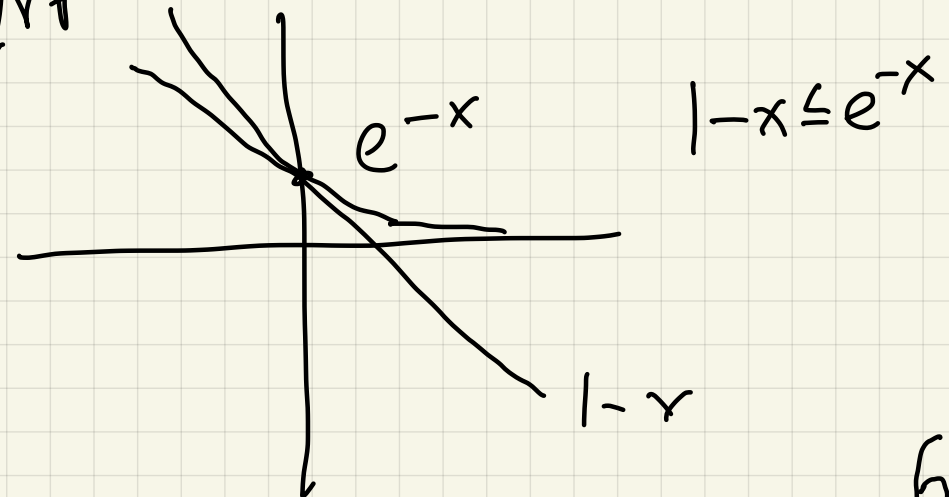
win \$1M (Millenium Prize) $P=NP$

Thm: If $k = \text{exact answer (fewest \#sets)}$

Greedy finds $\leq k \cdot \ln n$ sets

Fact: anything better eg $\leq k \cdot \sqrt{\ln n}$
implies $P=NP$

Fact from calculus



Thm: $n = \# \text{ points}$, $m = \# \text{ sets}$, $k = \text{fewest } \# \text{ sets in a set cover}$
Greedy Alg. find $\leq k \cdot \ln n$ sets

proof: $n_t = \# \text{ uncovered points after } t \text{ steps}$, $n_0 = n$

show n_t decreases "quickly": $n_t \leq c n_{t-1}$ where $c < 1$
 $\Rightarrow n_t \leq c^t n_0 = c^t \cdot n$

chooset = # sets chosen by Greedy alg big enough

$$n_t \leq c^t \cdot n < 1 \Rightarrow n_t = 0 \Rightarrow \text{done}$$

$$\ln(c^t \cdot n) < \ln(1) = 0 \Rightarrow t \cdot \ln c + \ln n < 0 \Rightarrow t > \frac{\ln n}{\ln(1/c)} \text{ since } \ln(1/c) < 1$$

$$\text{claim: } n_t \leq n_{t-1} - \frac{n_{t-1}}{k} = (1 - \frac{1}{k}) n_{t-1} = c \cdot n_{t-1}$$

proof: after step $t-1$, n_{t-1} uncovered pts

$\Rightarrow n_{t-1}$ pts covered by k sets

\Rightarrow some unchosen set covers at least $\frac{n_{t-1}}{k}$ pts

\Rightarrow greedy choice covers $\geq \frac{n_{t-1}}{k}$ pts

$$\Rightarrow n_t \leq n_{t-1} - \frac{n_{t-1}}{k}$$

$$\text{choose } t \geq k \cdot \ln n \geq \frac{\ln n}{\ln(\frac{1}{c})} \Rightarrow \text{done}$$

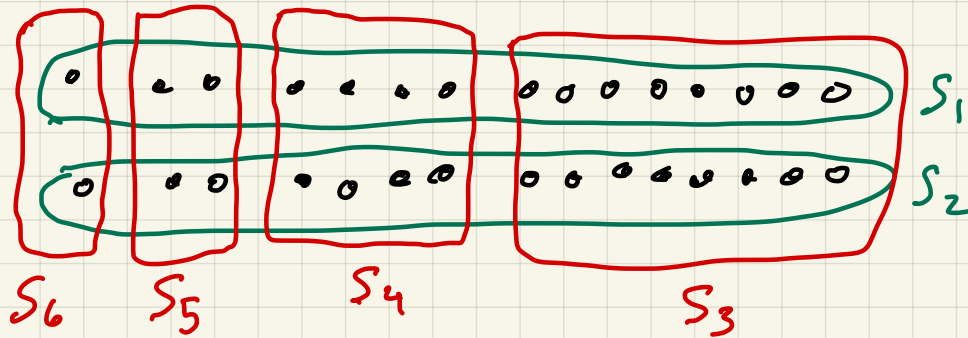
$$\left\{ \begin{array}{l} c = 1 - \frac{1}{k} \leq e^{-1/k} \\ \frac{1}{c} \geq e^{1/k} \\ \ln(\frac{1}{c}) \geq \frac{1}{k} \\ \Rightarrow \frac{1}{\ln(\frac{1}{c})} \leq k \end{array} \right.$$

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Fact: Greedy Alg can attain $\Omega(k \cdot \ln n)$

$$n = 2(2^e - 1) \sim 2^{e+1}$$

Ex: $n=30$:
 $e=4$



Optimal:

$S_1 \cup S_2$

$k=2$

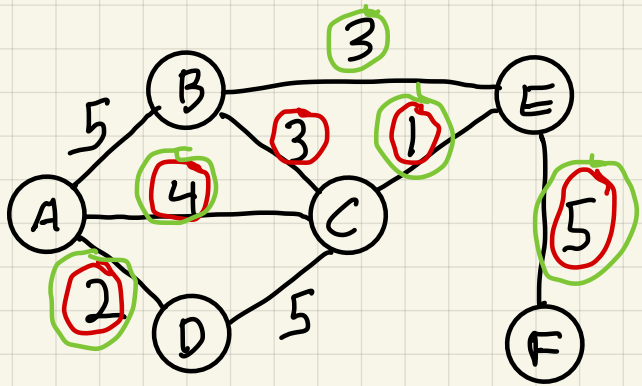
$|S_1| = |S_2| = 15$

Greedy picks $S_3, |S_3| = 16$
 S_4, S_5, S_6

Optimal: $k=2$

Greedy: pick e subset $= \log_2 n - 1$
 $= \Theta(k \cdot \ln n)$

Introduction to Minimum Spanning Trees (MSTs)



Given an undirected graph $G=(V,E)$ with edge weights $w(e) \geq 0$

Find a subset $T \subseteq E$ such that

① (V,T) connected

② sum of weights of $T = \sum_{e \in T} w(e)$ minimized

Fact: T has no cycles, i.e. a tree

What would be a greedy algorithm?

add cheapest edge to T as long as no cycle



Next time: Algorithms for MSTs

Kruskal's Alg. and Prim's Alg.

Cost: $O(|E| \cdot \log |V|)$

or $O(|E| \log^* |V|)$

$\log^* |V| \leq 5$ as long

$|V| \leq (\# \text{ particles in universe})^{246}$

(very likely)

$\nearrow 10^{80}$

$$|V| \leq 2^{65536} = 2^{2^{2^2}} \} 5$$