

Lecture #19

CS 170

Spring 2021



Search Problems, P and NP

- Last time: Reductions $A \rightarrow B$
 - $A \rightarrow B$ means can solve A using subroutine for B
 - B "easy" (poly-time) \Rightarrow A easy
 - A "hard" (no poly-time alg known) \Rightarrow B hard
- Goal - try to classify problems as easy or hard
- Def: A Binary Relation
- Def: decide(R)
- Def: search(R)

Search Problem - Example

- Def: A Binary Relation is a subset $R \subseteq \{0,1\}^* \times \{0,1\}^*$ of pairs of finite bit strings, $(x,w) = (\text{instance}, \text{witness})$
- Def: $\text{decide}(R) =$ given instance x , decide if $\exists w$ such that $(x,w) \in R$ (output = yes/no)
- Def: $\text{search}(R) =$ given instance x , find a witness w such that $(x,w) \in R$ if it exists, else "no"
- Ex: Max Flow
 - Instance:
 - Witness:
 - $\text{Decide}(R)$
 - $\text{Search}(R)$

Does $\text{decide}(R)$ always exist?

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• Focus on binary relations R that are efficiently verifiable:

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• New question: given V_R , how hard is $\text{decide}(R)$?

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Defining P and NP

- $P =$

- $NP =$

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Defining NP-hard and NP-complete

- P = "complexity class" of all relations R such that $\text{decide}(R)$ costs $\text{poly}(|x|)$ (P = "polynomial")
- NP = all relations R such that given x , $\exists w$ of size $|w| = \text{poly}(|x|)$, so $V_R(x, w)$ costs $\text{poly}(|x|)$ when $R(x, w) = 1$ for some w
 - $\exists x$: if $V_R(x, w)$ costs $\text{poly}(|x|)$
- Def: problem A is NP-hard if
- Def: problem A is NP-complete if

CSAT is NP-complete

- Def: CSAT is binary relation R_{CSAT} where
 $(C = \text{circuit}, w) \in R_{\text{CSAT}}$ if $C(w) = 1$

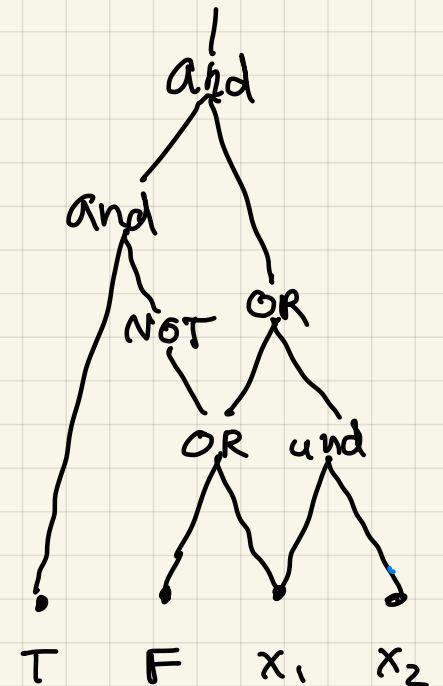
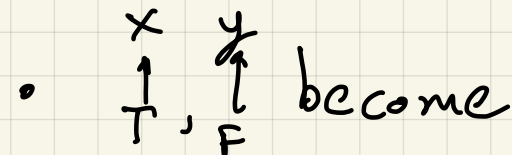
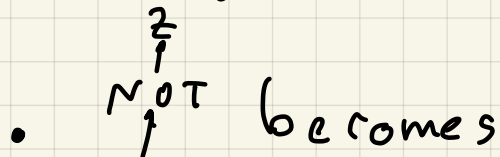
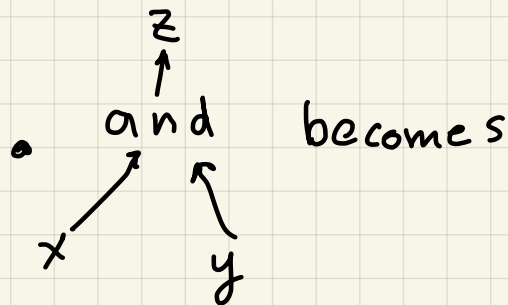
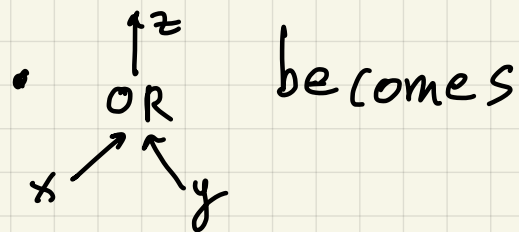
- Claim CSAT is NP-complete

CSAT in NP:

CSAT NP-hard:

Reducing CSAT to simpler problems: SAT

- Recall what a circuit is: DAG of gates
- Convert circuit to CNF = conjunctive normal form = and of clauses like $(x_1 \vee \bar{x}_2 \vee x_3)$
- One variable per gate in DAG:



Reducing SAT to simpler case: 3SAT

- Want to show "simple" problems are NP-complete, to make them easier to use to show others are
- 3SAT: SAT with ≤ 3 variables per clause
 - Ex: $(x_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee x_4 \vee \bar{x}_5) \wedge (x_2 \vee x_6) \wedge \dots$
- Trick to convert $(a_1 \vee a_2 \vee a_3 \dots \vee a_k)$ to 3SAT
 - Introduce new variables y_1, \dots, y_{k-3}
 - Convert to
 - If all $a_i = F$, making above expression $= T \Rightarrow$
 - If $a_i = T$

More NP-complete problems

All of NP



CSAT



SAT



3SAT

Reducing 3SAT to Independent Set (IS)

- IS: Does graph G have $\geq g$ unconnected vertices?
- Ex: $(\bar{x} \vee y \vee \bar{z}) \wedge (x \vee \bar{y} \vee z) \wedge (x \vee y \vee z) \wedge (\bar{x} \vee \bar{y})$
- Transform to graph where
 - each variable is
 - each clause is
$$\Rightarrow$$
 - add edge between every
$$\Rightarrow$$
- Is there an IS of size
- Is expression satisfiable?

Reducing Independent Set (IS) to...

- Vertex Cover (VC): Subset $S \subseteq V$ that touch every edge

- Fact:

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- Clique (C_ℓ): Subset $S \subseteq V$ that is fully connected

- Fact:

Did I forget to prove anything?