

## 1 Exercises 7.D

**Problem 1:** First we verify that the given operator

$$\sqrt{T^*T}v = \frac{\|x\|}{\|u\|} \langle v, u \rangle u$$

is indeed positive. Observe that when we calculate

$$\langle \sqrt{T^*T}v, v \rangle = \frac{\|x\|}{\|u\|} |\langle v, u \rangle|^2$$

it is always a non-negative value, thus the operator satisfies the positivity condition. Now we wish to show that it is self adjoint, so observe that

$$\langle \sqrt{T^*T}v, u \rangle = \frac{\|x\|}{\|u\|} \langle v, u \rangle \langle u, u \rangle$$

$$\langle v, \sqrt{T^*T}u \rangle = \frac{\|x\|}{\|u\|} \overline{\langle u, u \rangle} \langle v, u \rangle$$

So the two inner products are the same, thus the given operator is self adjoint. Secondly, we can verify that the square is equal to the following value we will revisit

$$\begin{aligned} \sqrt{T^*T} \frac{\|x\|}{\|u\|} \langle v, u \rangle u &= \frac{\|x\|}{\|u\|} \langle v, u \rangle \sqrt{T^*T}u \\ &= \frac{\|x\|}{\|u\|} \langle v, u \rangle \frac{\|x\|}{\|u\|} \langle u, u \rangle u \\ &= \|x\|^2 \langle v, u \rangle u \end{aligned}$$

Third, we find the adjoint of  $T$ . Define  $T^*$  as follows

$$T^*v = \langle v, x \rangle u$$

with the same fixed  $x$  and  $u$  as for  $T$ . This is a valid adjoint since for  $v, w \in V$  we have

$$\begin{aligned} \langle Tv, w \rangle &= \langle v, T^*w \rangle \\ \langle v, u \rangle \langle x, w \rangle &= \langle v, u \rangle \overline{\langle w, x \rangle} = \langle v, u \rangle \langle x, w \rangle \end{aligned}$$

For all  $v \in V$  we have that

$$\begin{aligned} T^*Tv &= T^*(\langle v, u \rangle x) \\ &= \langle v, u \rangle \langle x, x \rangle u \end{aligned}$$

Then, we can verify that indeed  $\sqrt{T^*T}^2 = T^*T$  that we calculated earlier. Therefore, given that it is positive and self-adjoint it must be the unique positive square root of  $T^*T$ .

**Problem 2:** Let  $T$  be the operator represented by this  $2 \times 2$  matrix with the standard basis.

$$T = \begin{pmatrix} -5/2 & 5/2 \\ -5/2 & 5/2 \end{pmatrix}$$

The eigenvalues for  $T$  are exactly  $\lambda = 0$ . Then we find  $T^*T$  using the adjoint of the matrix provided.

$$T^*T = \begin{pmatrix} 25/2 & -25/2 \\ -25/2 & 25/2 \end{pmatrix}$$

The eigenvalues for which are equal to  $\lambda = 25, 0$ . So the singular values are equal to  $\sigma = 5, 0$ .

**Problem 4:** Consider the polar decomposition for  $T$

$$T = S\sqrt{T^*T}$$

Then let  $v \in V$  be such that it is an eigenvector for  $\sqrt{T^*T}$  with the associated eigenvalue  $s$ . So,

$$Tv = S(sv)$$

$$\|Tv\| = \|S(sv)\| = |s|\|Sv\|$$

Given that  $S$  is an isometry we then have

$$\|Tv\| = s\|v\| = s$$

Since,  $s$  is nonnegative and the norm of  $v$  is 1. By polar decomposition there always exists  $\sqrt{T^*T}$  thus an eigenvector associated with it.

**Problem 10:** The singular values are the eigenvalues of  $\sqrt{T^*T}$ . If  $T$  is self adjoint then  $\sqrt{T^*T} = \sqrt{T^2}$ . From previous exercises we know that the eigenvalues for  $T^2$  are just the eigenvalues  $\lambda$  for  $T$  squared, that is  $\lambda^2$ . So we have that for all eigenvectors  $v$  of  $\sqrt{T^*T}$   $\sqrt{T^2}v = sv$  such that  $T^2v = s^2v = \lambda^2v$ . Taking the square root on both sides we get that  $s = |\lambda|$ .

**Problem 11:** First observe that the singular values for  $T^*$  are the eigenvalues of  $\sqrt{TT^*}$ . We know that  $T$  and  $T^*$  have the same eigenvectors, and the associated eigenvalues are  $\lambda$  and  $\bar{\lambda}$  respectively. Then for some eigenvector we have

$$T^*Tv = T^*\lambda v = |\lambda|^2 v$$

also

$$TT^*v = T^*\bar{\lambda}v = |\lambda|^2 v$$

Since,  $TT^*$  and  $T^*T$  have the same positive eigenvalues. Their, singular values are the same, equal to the positive square root of those same eigenvalues.

**Problem 12:** Consider the vector space  $V = \mathbb{F}^2$  and the operator  $T$  represented by the following matrix in the standard basis.

$$T = \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix}$$

Then this operator has the singular values  $\sigma = 1, 0$ . Observe that,  $T^2 = 0$ , so it has the singular values  $\sigma = 0$ . So, the singular values aren't even equal.

**Problem 13:**  $\implies$  First note that  $\sqrt{0} = 0 = 0^2$ . If  $T$  is invertible, then

$$\text{null } T^* = (\text{range } T)^\perp = V^\perp = \{0\}$$

So,  $T^*$  is invertible, and then  $T^*T$  is invertible. Then from a previous exercise,  $T^*T$  does not have zero as an eigenvalue. Since the singular values are the positive square root of the eigenvalues of  $T^*T$  and it has non-zero eigenvalues, then the singular values are all non-zero as well.

$\Leftarrow$  Consider the polar decomposition of  $T$ . If all the singular values are nonzero, then  $\sqrt{T^*T}$  has all nonzero eigenvalues. Then,  $\text{null } \sqrt{T^*T} = \{0\}$  so it is invertible. Since,  $S$  is an isometry and we have a composition of invertible operators  $S$  and  $\sqrt{T^*T}$  which is invertible, Therefore,  $T$  is invertible.

## 2 8.A

### Problem 3: wip

We will proceed by induction on  $j$ . Consider the subspace null  $(T - \lambda I)^j$ , and null  $(T^{-1} - \frac{1}{\lambda} I)^j$ . It holds that each of these subspaces are a subset of  $G(\lambda, T)$  and  $G(\lambda^{-1}, T^{-1})$  respectively. For the base case let  $j = 1$ . Then for all  $\lambda$  it holds that if  $v \in \text{null}(T - \lambda I)$  then

$$Tv = \lambda v$$

So taking the inverse operator to both sides we get

$$T^{-1}Tv = v = \lambda T^{-1}v$$

Which implies that  $T^{-1}v = \lambda^{-1}v$ , and by the same argument as before this implies  $v \in \text{null}(T^{-1} - \frac{1}{\lambda} I)$ . Since this holds for all  $\lambda$  and all eigenvectors we have shown that  $\text{null}(T - \lambda I) \subseteq \text{null}(T^{-1} - \lambda^{-1} I)$ . We proceed with the exact same steps, but for  $\text{null}(T^{-1} - \lambda^{-1} I)$  and we get the result  $\text{null}(T - \lambda I) \supseteq \text{null}(T^{-1} - \lambda^{-1} I)$ . Therefore,

$$\text{null}(T - \lambda I) = \text{null}(T^{-1} - \lambda^{-1} I)$$

Having shown the base case assume the claim holds for some  $j = k$ . That is,

$$\text{null}(T - \lambda I)^k = \text{null}(T^{-1} - \lambda^{-1} I)^k$$

Now we take an arbitrary  $v \in \text{null}(T - \lambda I)^{k+1}$ . Since,

$$\text{null}(T^{-1} - \lambda^{-1} I)^k \subset \text{null}(T^{-1} - \lambda^{-1} I)^{k+1}$$

if we have that  $v \in \text{null}(T - \lambda I)^k$ , we are done. Suppose the case that  $v \notin \text{null}(T - \lambda I)^k$ , then it follows

$$(T - \lambda I)^k(T - \lambda I)v = 0 = (T^{-1} - \lambda^{-1} I)^k(T - \lambda I)v$$

**Problem 4:** Assume for contradiction that the intersection is not empty,

$$G(\alpha, T) \cap G(\beta, T) \neq \{0\}$$

Let  $v$  be the eigenvector in the intersection

$$v \in G(\alpha, T) \cap G(\beta, T)$$

Then construct the linearly independent list of eigenvectors as described in 8.13, choosing  $v$  to represent both  $\alpha$  and  $\beta$ . It is trivial now that that the list is not linearly independent, thus a contradiction.

**Problem 6:** Assume for contradiction that there exists a  $S \in \mathcal{L}(\mathbb{C}^3)$  such that  $S^2 = T$ . We can see that  $T^3 = 0$ , so  $S^6 = 0$ . But since the dimension of the vector space is 3 we have that

$$\text{null } S^3 = \dots = \text{null } S^6$$

Specifically this means that  $\text{null } S^4 = \text{null } S^6 = \mathbb{C}^3$ . But this is a contradiction since we know that

$$T^2 \neq 0$$

So  $\text{null } S^4 \neq \text{null } T^2$ .

**Problem 8:** No! Consider the vector space  $\mathbb{C}^2$  and the operators  $S$  and  $T$  given by following matrices respectively

$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \text{ and } \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

in the standard basis for  $\mathbb{C}^2$ . It's easy to see that  $S^2 = 0$  and  $T^2 = 0$ , so they both are nilpotent operators. Then it easily follows that the set of nilpotent operators is not closed under vector addition, because for  $S + T$  given by the matrix

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

We have that  $(S + T)^2 = I$ . From there it holds that for all  $n \in \mathbb{N}$ ,

$$(S + T)^n = I^{n-1} = I$$

so it is never equal to zero and thus not nilpotent.

**Problem 9:** We know that  $ST$  and  $TS$  have the same eigenvalues. Since,  $ST$  is nilpotent, there is a diagonal matrix of  $ST$  with all zeros on the diagonal. So the one and only eigenvalue of  $ST$  is  $\lambda = 0$ .

**Problem 11:** Let  $n = \dim V$ , we know that  $(ST)^n = 0$ . Then,

$$S = S$$

$$(ST)^n S = 0S = 0$$

$$T(ST)^n S = T0 = 0$$

$$T(ST)(ST)\dots(ST)(ST)S = 0$$

then we regroup all the operators to get

$$(TS)(TS)\dots(TS) = 0(TS)^{n+1} = 0$$

Then we know that for any operator raised to a power greater than the dimension it is unchanged, so  $(TS)^n = (TS)^{n+1} = 0$ . Therefore,  $TS$  is nilpotent.

**Problem 14:** TL;DR:  $N \implies 8.19 \implies 6.37$ .

By 8.19  $N$  has an upper triangular matrix with all zeros on the diagonal. Then by 6.37, given  $N$  has an upper triangular matrix in some basis,  $N$  has an upper triangular matrix in an orthonormal basis.