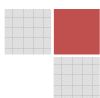


## Chapter 8: Relational Algebra

### Outline:

- Introduction
- Unary Relational Operations.
  - Select Operator ( $\sigma$ )
  - Project Operator ( $\pi$ )
  - Rename Operator ( $\rho$ )
  - Assignment Operator ( $\leftarrow$ )
- Binary Relational Operations.
  - Set Operators
    - Union Operator ( $\cup$ )
    - Intersection Operator ( $\cap$ )
    - Set Difference or Minus Operator ( $-$ )
  - Cartesian Product Operator ( $\times$ )
  - Join Operator
    - Theta Join ( $\bowtie_{\square}$ )
    - Natural Join ( $\bowtie$ ) or ( $*$ )
- Examples of Queries in Relational Algebra.

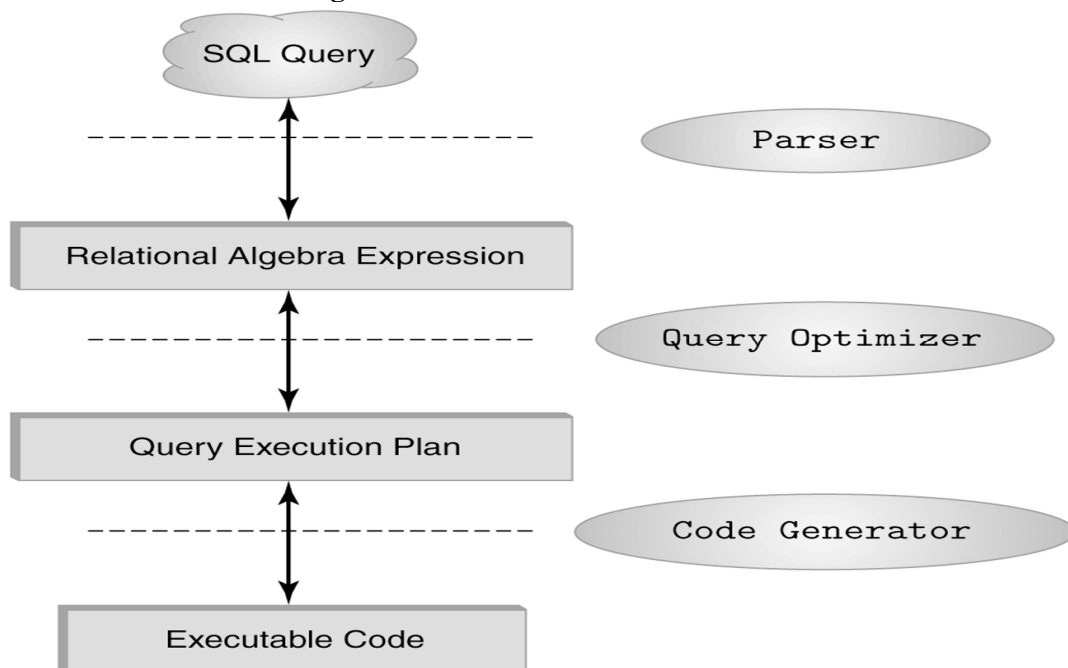


## 8.1 Introduction

### Relational Query Languages

- Languages for describing queries on a relational database
  - Structured Query Language (SQL)
    - Declarative (Nonprocedural)
  - Relational Algebra
    - Intermediate language used within DBMS
    - Procedural
  - Procedural: Relational expression specifies query by describing an algorithm (the sequence in which operators are applied) for determining the result of an expression
- 
- Relational algebra is the basic set of operations for the relational model
  - These operations enable a user to specify basic retrieval requests (or queries)
  - The result of an operation is a new relation, which may have been formed from one or more input relations
    - This property makes the algebra “closed” (all objects in relational algebra are relations)
  - The algebra operations thus produce new relations
    - These can be further manipulated using operations of the same algebra
  - A sequence of relational algebra operations forms a relational algebra expression
    - The result of a relational algebra expression is also a relation that represents the result of a database query (or retrieval request)
  - The fundamental operations in the relational algebra are select, project, union, set difference, cartesian product, and rename.

### The Role of Relational Algebra in a DBMS



## 8.2 Unary Relational Operations

### Select Operator ( $\sigma$ )

- Select a subset of rows from a relation that satisfying a condition.

Syntax:

$$\sigma_{condition}(R)$$

- The symbol  $\sigma$  (sigma) is used to denote the select operator.
  - The selection condition is a Boolean expression specified on the attributes of relation R.
- $\sigma_{condition}(R)$  is equivalent to “Select \* from R where <condition>;”
- Example: Consider the following relation r.

**r**

A	B	C	D
X	X	1	8
X	Y	5	7
Y	Y	3	3
Y	Y	12	10

1.  $\sigma_{A=B}(r) = \text{Select * from r where } A=B;$

A	B	C	D
X	X	1	8
Y	Y	3	3
Y	Y	12	10

2.  $\sigma_{D>5}(r) = \text{Select * from r where } D>5;$

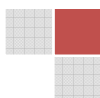
A	B	C	D
X	X	1	8
X	Y	5	7
Y	Y	12	10

- We can combine several conditions into a larger condition by using the connectives  $\wedge$  (and),  $\vee$  (or), and  $\neg$  (not).
- Example:

$$\sigma_{A=B \wedge D>5}(r) = \text{Select * from r where } A=B \text{ and } D>5;$$

A	B	C	D
X	X	1	8
Y	Y	12	10

- Example: Retrieve the Id, Name, Address of students who live in Amman.



**Student**

Id	Name	Address
1108	Ali	Amman
1453	Ahmad	Salt
1002	Omar	Amman
2603	Anas	Zarqa

$\sigma_{address='Amman'} (Student) = \text{Select } * \text{ from Student where address='Amman'};$

Id	Name	Address
1108	Ali	Amman
1002	Omar	Amman

- Example: Retrieve the Id, Name, Address of student who's name is Ahmad or students who live in Amman.

$\sigma_{name='Ahmad'} \sqcup \sigma_{address='Amman'} (Student) =$   
 Select \* from Student where name = 'Ahmad' or address = 'Amman';

Id	Name	Address
1108	Ali	Amman
1453	Ahmad	Salt
1002	Omar	Amman

- Select Operation Properties:

$$\sigma_{condition1} (\sigma_{condition2} (R)) = \sigma_{condition2} (\sigma_{condition1} (R)) = \sigma_{condition1 \wedge condition2} (R)$$

**Project Operator ( $\pi$ )**

- Selecting a subset of the attributes of a relation by specifying the name of the required attributes.
- The Project creates a vertical partitioning.

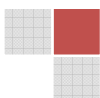
Syntax:

$$\pi_{\langle \text{Attribute list} \rangle} (R)$$

- The symbol  $\pi$  (pi) is used to denote the project operator.
  - $\langle \text{Attribute list} \rangle$  is the desired list of attributes from the attributes of relation R.
  - $\pi_{\langle \text{Attribute list} \rangle} (R)$  is equivalent to "Select Distinct *Attribute\_List* from R;"
- The project operation removes any duplicate rows.
- Example: Consider the following relation r.

**r**

A	B	C	D
X	X	1	8
X	Y	5	7
Y	Y	3	3
Y	Y	12	10



$\pi_{A,B}(r) = \text{Select Distinct A, B From } r;$

A	B
X	X
X	Y
Y	Y

- Project operation properties:
  - $\pi_{list1}(\pi_{list2}(r)) = \pi_{list1}(r)$ , where list2 contains the attributes of list1
  - The number of rows in the result of projection  $\pi_{list}(r)$  is always less or equal to the number of rows in r.
  - If the list of attributes includes a key of r, the number of rows is equal to the number of rows in r.

- Composition of Relational Operations (Expression)
  - Relational algebra operations can be composed together into a relational algebra expression.
  - Example:

$\pi_B(\sigma_{C \geq 3}(r)) = \text{Select Distinct B From } r \text{ Where } C \geq 3;$

B
Y

### Assignment Operator ( $\leftarrow$ )

- We may want to apply several relational algebra operations one after other. Either we can write the operations as a single relational algebra expression by nesting the operations, or we can apply one operation at a time and create intermediate result relations. In the latter case, we must give names to the relations that hold the intermediate results.
- Example:

$r1 \leftarrow \sigma_{C \geq 3}(r)$   
 $\pi_B(r1)$

B
Y

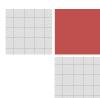
### Rename Operator ( $\rho$ )

- Allows us to refer to a relation by more than one name.  
 Syntax:

1.  $\rho_X(R)$

Returns the expression R under the name X

2.  $\rho_X(R_1, R_2, \dots, R_n)$



If a relational-algebra expression  $R$  has arity  $n$ , then returns the result of expression  $R$  under the name  $X$ , and with the attributes renamed to  $\alpha_1, \alpha_2, \dots, \alpha_n$ .

۳.  $\rho(\alpha_1, \alpha_2, \dots, \alpha_n)(R)$

Rename the attributes names without changing the relation name

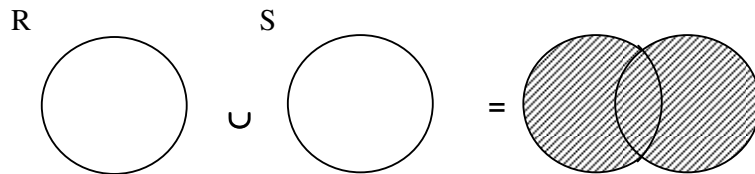
## 8.3 Binary Relational Operations

### Set Operators

- Relation is a set of tuples, so set operations should apply:  $\cap, \cup, -$  (set difference)
- Result of combining two relations with a set operator is a relation (all its elements must be tuples having same structure).

#### ۱. Union Operator ( $\cup$ )

- The result of this operation, denoted by  $R \cup S$ , is a relation that includes all rows that are either in  $R$  or in  $S$  or in both  $R$  and  $S$ . Duplicate rows are eliminated.
- Example:



- For  $R \cup S$  to be valid. (The two operands must be “type compatible”)
- ۱.  $R, S$  must have the same arity (same number of attributes).
- ۲. The attribute domains must be compatible (example: 2nd column of  $R$  deals with the same type of values as does the 2nd column of  $S$ )
- $R \cup S$  is equivalent to “Select \* From  $R$  Union Select \* From  $S$ ;
- Example:

Tables:

**Person** (*SSN, Name, Address, Hobby*)

**Professor** (*Id, Name, Office, Phone*)

are **not** union compatible.

But

$\pi_{Name}(Person)$  and  $\pi_{Name}(Professor)$

are union compatible so

$\pi_{Name}(Person) \cup \pi_{Name}(Professor)$

makes sense.

- Example: **r**



A	B
X	1
X	2
Y	1

**S**

A	B
X	2
Y	3

$r \cup s = \text{Select } * \text{ From } r \text{ Union Select } * \text{ from } s;$

A	B
X	1
X	2
Y	1
Y	3

- Example: retrieve the SSNs of all employees who either work in department 5 or directly supervise an employee who works in department 5.

Employee	<u>SSN</u>	EName	Sal	SuperSSN	DNo
----------	------------	-------	-----	----------	-----

$Dep5\_Emps \leftarrow \sigma_{DNo=5} (Employee)$

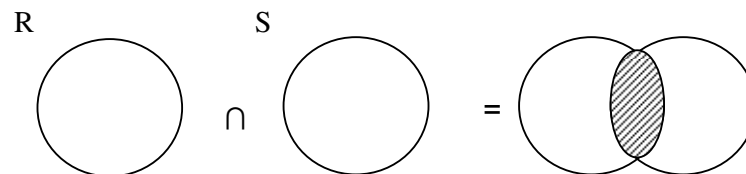
$Result1 \leftarrow \pi_{SSN} (Dep5\_Emps)$

$Result2 \leftarrow \pi_{SuperSSN} (Dep5\_Emps)$

$Result \leftarrow Result1 \cup Result2$

## 2. Intersection Operator ( $\cap$ )

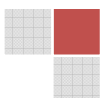
- The result of this operation, denoted by  $R \cap S$ , is a relation that includes all rows that are in both R and S. the two operands must be “type compatible”.
- Example:



- $R \cap S$  is equivalent to “Select \* From R Intersect Select \* From S;”
- Example:

**r**

A	B
X	1
X	2



Y	1
---	---

**S**

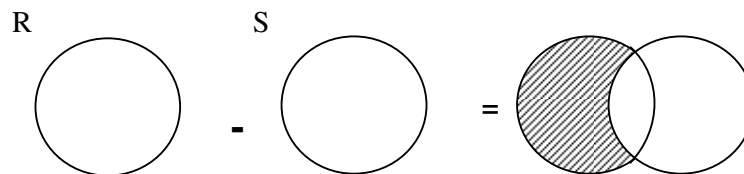
A	B
X	2
Y	3

$r \cap s = \text{Select * from r Intersect Select * from s;}$

A	B
X	2

## ۳. Intersection Operator (-)

- The result of this operation, denoted by  $R - S$ , is a relation that includes all rows that are in  $R$  but not in  $S$ . the two operands must be “type compatible”.
- Example:



- $R - S$  is equivalent to “Select \* From R Minus Select \* From S;”
- Example:

**r**

A	B
X	1
X	2
Y	1

**S**

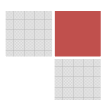
A	B
X	2
Y	3

$r - s = \text{Select * from r Minus Select * from s;}$

A	B
X	1
Y	1

**s - r**

A	B
Y	3





- **Set Operators Properties:**

- The union and the intersection are commutative operations  
 $R \cup S = S \cup R$ , and  $R \cap S = S \cap R$
- The union and the intersection are associative operations  
 $R \cup (S \cup T) = (R \cup S) \cup T$ , and  $R \cap (S \cap T) = (R \cap S) \cap T$
- The set difference operation is not commutative operation  
 $R - S \neq S - R$

**Cartesian (or Cross Product) Operator ( $\times$ )**

- If R and S are two relations,  $R \times S$  is the set of all concatenated rows  $\langle x, y \rangle$ , where x is a row in R and y is a row in S
  - R and S need not be type compatible
- $R \times S$  is expensive to compute:
  - Factor of two in the size of each row
  - Quadratic in the number of rows
- If R has  $n_R$  rows (denoted as  $|R| = n_R$ ), and S has  $n_S$  rows, then  $R \times S$  will have  $n_R * n_S$  rows.
- $R \times S$  is equivalent to “Select \* From R, S;”
  - Example:

**r**

A	B
X	1
Y	2

**s**

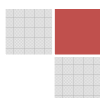
C	D	E
X	10	E1
Y	10	E1
Y	20	E2
Z	10	E2

$r \times s = \text{Select * from r,s;}$

A	B	C	D	E
X	1	X	10	E1
X	1	Y	10	E1
X	1	Y	20	E2
X	1	Z	10	E2
Y	2	X	10	E1
Y	2	Y	10	E1
Y	2	Y	20	E2
Y	2	Z	10	E2

$\sigma_{A=C} (r \times s) = \text{select * from r,s where A=C;}$

A	B	C	D	E
---	---	---	---	---



X	1	X	10	E1
Y	2	Y	10	E1
Y	2	Y	20	E2

- Generally, CROSS PRODUCT is not a meaningful operation
  - Can become meaningful when followed by other operations
  - Example (not meaningful):

Employee	<u>SSN</u>	FName	LName	Gender	SuperSSN	DNo
----------	------------	-------	-------	--------	----------	-----

Dependent	<u>ESSN</u>	<u>Dependent_Name</u>	Gender	BDate	Relationship
-----------	-------------	-----------------------	--------	-------	--------------

Female\_Emps  $\leftarrow \sigma_{\text{Gender}='F'}(\text{Employee})$

EmpNames  $\leftarrow \pi_{\text{FNAME, LNAME, SSN}}(\text{Female\_Emps})$

Emp\_Dependents  $\leftarrow \text{EmpNames} \times \text{Dependent}$

- Emp\_Dependents will contain every combination of EmpNames and Dependent
- whether or not they are actually related
- To keep only combinations where the Dependent is related to the Employee, we add a SELECT operation as follows
 

Actual\_Deps  $\leftarrow \sigma_{\text{SSN}=\text{ESSN}}(\text{Emp\_Dependents})$

Result  $\leftarrow \pi_{\text{FNAME, LNAME, DEPENDENT\_NAME}}(\text{Actual\_Deps})$
- Result will now contain the name of female employees and their dependents

- Example: Display employees names for employees who work in accounting department

Employee	<u>SSN</u>	Ename	Sal	Gender	SuperSSN	DNo
----------	------------	-------	-----	--------	----------	-----

Department	<u>DNo</u>	DName	Location
------------	------------	-------	----------

$\pi_{\text{ENAME}}(\sigma_{\text{employee.dno}=\text{department.dno}}(\sigma_{\text{dname}='Accounting'}(\text{Employee} \times \text{Department}))) =$

Select Ename from Employee, Department

where employee.dno = department.dno and dname = 'Accounting';

- Example: find the names of all customers who live on the same street and in the same city as smith

Customer	<u>SSN</u>	Cname	City	Street
----------	------------	-------	------	--------

$\pi_{\text{CName}}(\sigma_{\text{street} = s \wedge \text{city} = c} ($

$\text{Customer} \times (\rho_{\text{smith\_add}(s,c)} (\pi_{\text{street, city}} (\sigma_{\text{CName} = 'Smith'}(\text{Customer})))) =$



Select c1.CName from customer c1, customer c2  
 where c2.CName='Smith' and c1.city = c2.city and c1.street = c2.street;

- Example: find the largest account balance in the bank

Account	<u>AccNo</u>	Balance	Date
---------	--------------	---------	------

$\pi_{\text{Balance}}(\text{Account}) -$

$\pi_{\text{Account.Balance}}(\sigma_{\text{account.balance} < \text{d.balance}}(\text{Account} \times (\rho_d(\text{Account}))))$

## Join Operator

- The JOIN operation is used to combine related rows from two relations into a single row.

### 1. Theta Join Operator ( $\bowtie_{\square}$ )

- The Theta-Join is a specialized product containing only pairs that match on a supplied condition called join-condition.
- A theta join of R and S is the expression

$R \bowtie_{\square} S$

where  $\square$  is a conjunction of terms:  $A_i \text{ oper } B_i$

in which  $A_i$  is an attribute of R;  $B_i$  is an attribute of S; and oper is one of =, <, >,  $\geq$ ,  $\neq$ ,  $\leq$ .

- $R \bowtie_{\square} S = \sigma_{\square}(R \times S) = \text{Select } * \text{ from } R, S \text{ where } \square;$

- Example: **R**

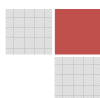
A	B	C	D
a	1	x	4
b	2	y	5
c	4	z	4
d	8	x	5
e	1	y	4

**S**

E	F	G
5	a	x
4	b	y
3	c	y
2	a	x

$R \bowtie_{A < 'a' \wedge D < E} S$

A	B	C	D	E	F	G
c	4	z	4	5	a	x
e	1	y	4	5	a	x



$R \bowtie_{B=E} S$  (Equi\_Join)

A	B	C	D	E	F	G
b	2	y	5	2	a	x
c	4	z	4	4	b	y

- Example: Display the names of all employees who earn more than their managers.

Employee	<u>ID</u>	Ename	Salary	MgrId
----------	-----------	-------	--------	-------

Manager	<u>ID</u>	MName	Salary
---------	-----------	-------	--------

$\pi_{EName}(\text{Employee} \bowtie_{MgrId=Manager.Id \text{ AND } Employee.Salary > Manager.Salary} \text{Manager})$

## 2. Natural Join Operator ( $\bowtie$ )

- Special case of Equi\_Join.
- Natural join requires that the two join attributes, or each pair of corresponding join attributes, have the same name in both relations. If this is not the case, a renaming operation is applied first.
- Natural join removes duplicate attributes.
- $r \bowtie s = \pi_{\text{Attribute\_List}}(\sigma_{\text{Join\_Condition}}(r \times s))$

where

$\text{Attribute\_List} = \text{attributes}(r) \cup \text{attributes}(s)$

(duplicates are eliminated) and *Join-Condition* has the form:

$A_1 = A_1 \text{ AND } \dots \text{ AND } A_n = A_n$

where  $\{A_1 \dots A_n\} = \text{attributes}(r) \cap \text{attributes}(s)$

- Note: let  $r(R)$  and  $s(S)$  be relations without any attributes in common; that is,  
 $R \cap S = \square$ . Then  $r \bowtie s = r \times s$ .

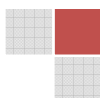
- Example: **R**

A	B	C	D
a	1	x	4
b	2	y	5
c	4	z	4
d	8	x	5
e	1	y	4

**S**

E	F	G
5	a	x
4	b	y
3	c	y
2	a	x

$R \bowtie_{\rho(B, F, G)}(S) = \text{Select } R.^*, F, G \text{ from } R, S \text{ where } B=E;$



A	B	C	D	F	G
b	2	y	5	a	x
c	4	z	4	b	y

○ Example: **R**

A	B	C	D
x	1	x	a
y	2	z	a
z	4	y	b
x	1	z	a
w	2	y	b

**S**

B	D	E
1	a	x
3	a	y
1	a	z
2	b	w
3	b	e

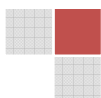
$$R \bowtie S = \pi_{R.A, R.B, R.C, R.D, S.E} (\sigma_{R.B = S.B \wedge R.D = S.D} (R \times S))$$

A	B	C	D	E
x	1	x	a	x
x	1	x	a	z
x	1	z	a	x
x	1	z	a	z
w	2	y	b	w

### Complete Set of Relational Operations

- The set of operations including  $\pi$  (Projection),  $\sigma$  (Selection),  $-$  (Difference),  $\rho$  (Rename), (Union) and  $\times$  (Cartesian Product) is called a complete set, because any other relational algebra expression can be expressed by combination of these five operations.
  - $R \cap S = (R \cup S) - ((R - S) \cup (S - R))$
  - $R \cap S = R - (R - S)$
  - $R \bowtie_{\text{Condition}} S = \sigma_{\text{Condition}} (R \times S)$

### Examples of Queries in Relational Algebra



## 8.4 Examples of Queries in Relational Algebra

### Banking Example:

**Branch** (branch\_name, branch\_city)

**Customer** (customer\_name, customer\_city, customer\_street)

**Account** (account\_number, branch\_name, balance)

**Loan** (loan\_number, branch\_name, amount)

**Depositor** (customer\_name, account\_number)

**Borrower** (customer\_name, loan\_number)

**Q1:** Find all loans of over \$1200.

**Q2:** Find the loan number for each loan of an amount greater than \$1200.

**Q3:** Find the names of all customers who have a loan, an account, or both from the bank.

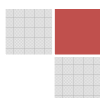
**Q4:** Find the names of all customers who have a loan and an account at bank.

**Q5:** Find the names of all customers who have a loan at the Perryridge branch.

**Q6:** Find the names of all customers who have a loan at the Perryridge branch but do not have an account at any branch of the bank.

**Q7:** Find the names of all branches with customers who have an account in the bank and who live in Harrison.

**Q8:** Find all customers who have an account from at least the “Downtown” and the “uptown” branches.



**Company Example:**

**Employee** (fname, minit, lname, SSN, address, sex, salary, superSSN, DNo)

**Department** (Dname, Dnumber, MGRSSN, MGRStartDate)

**Dept\_Locations** (DNumber, DLocation)

**Project** (PName, PNumber, PLocation, DNum)

**Works\_On** (ESSN, PNo, Hours)

**Dependent** (ESSN, Dependent\_Name, Sex, BDate, Relationship)

**Q1:** Retrieve the name and address of all employees who work for the 'Research' department.

**Q2:** for every project located in 'Stafford', list the project number, the controlling department number, and the department manager's last name, address, and birthdate.

**Q3:** make a list of project numbers for projects that involve an employee whose last name is 'smith', either as a worker or as a manager of the department that controls the project.

**Q4:** Retrieve the names of employees who have no dependents.

**Q5:** List the names of managers who have at least one dependent.

