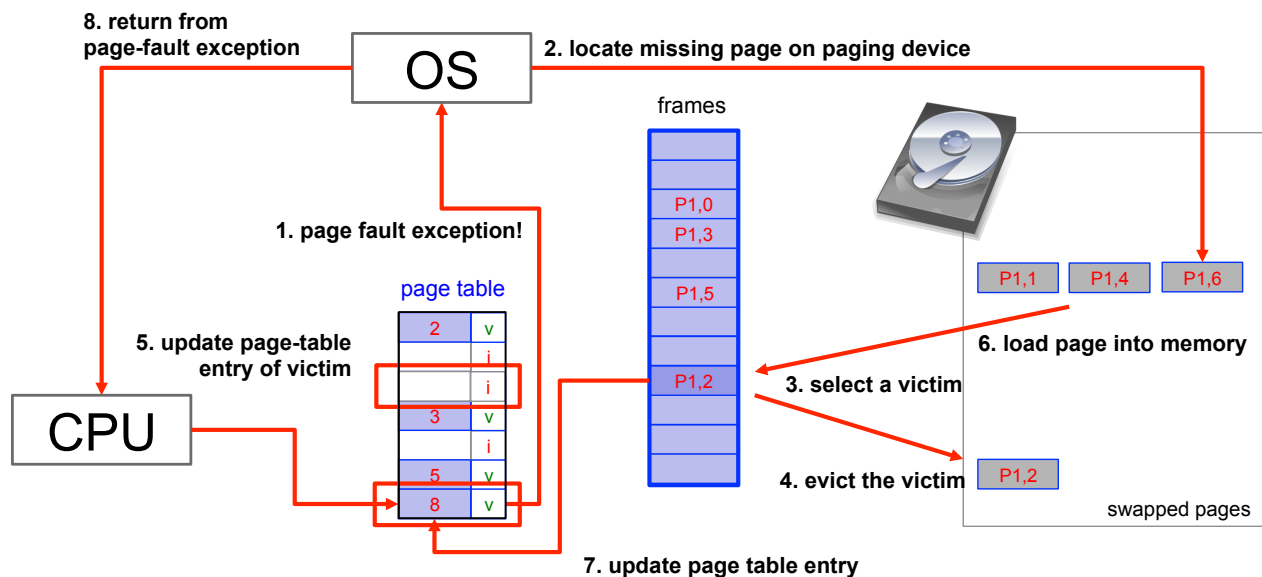


## Virtual Memory: Policies (Part I)

- Recap: **Page Fault Mechanics**: Page faults are **expensive**!
- Memory Access Patterns: **Locality of Reference**
- Page Replacement Policies**
  - FIFO, Optimal
  - Approximations to optimal: LRU
  - Approximations to LRU: 2<sup>nd</sup> Chance, Enh. 2<sup>nd</sup> Chance
- Coming Next: Working Sets, Page Caching, Case Studies

## Recap: Steps of a Page Fault



## Cost of Page Faults

**Observation:** Page faults are very expensive!

**Effective Memory Access Time  $ema$ :**

$$ema = (1-p) * ma + p * \text{"page fault time"}$$

where

- $p$  = probability of a page fault
- $ma$  = memory access time

Example:

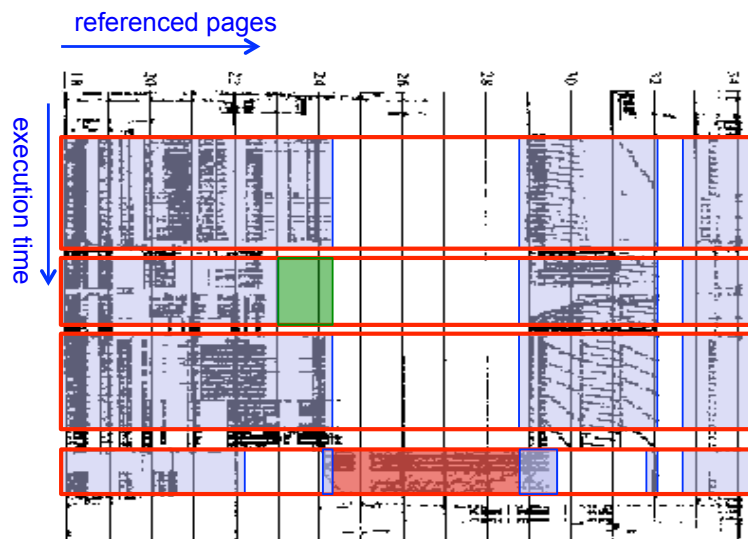
- $ma = 50 \text{ ns}$
- $pft = 10 \text{ msec}$
- $p = 1\%$   $\implies ema = 0.1 \text{ msec}$
- $p = 0.001\%$   $\implies ema = 150 \text{ ns}$

2000x !

**Objective:** Minimize page fault rate.

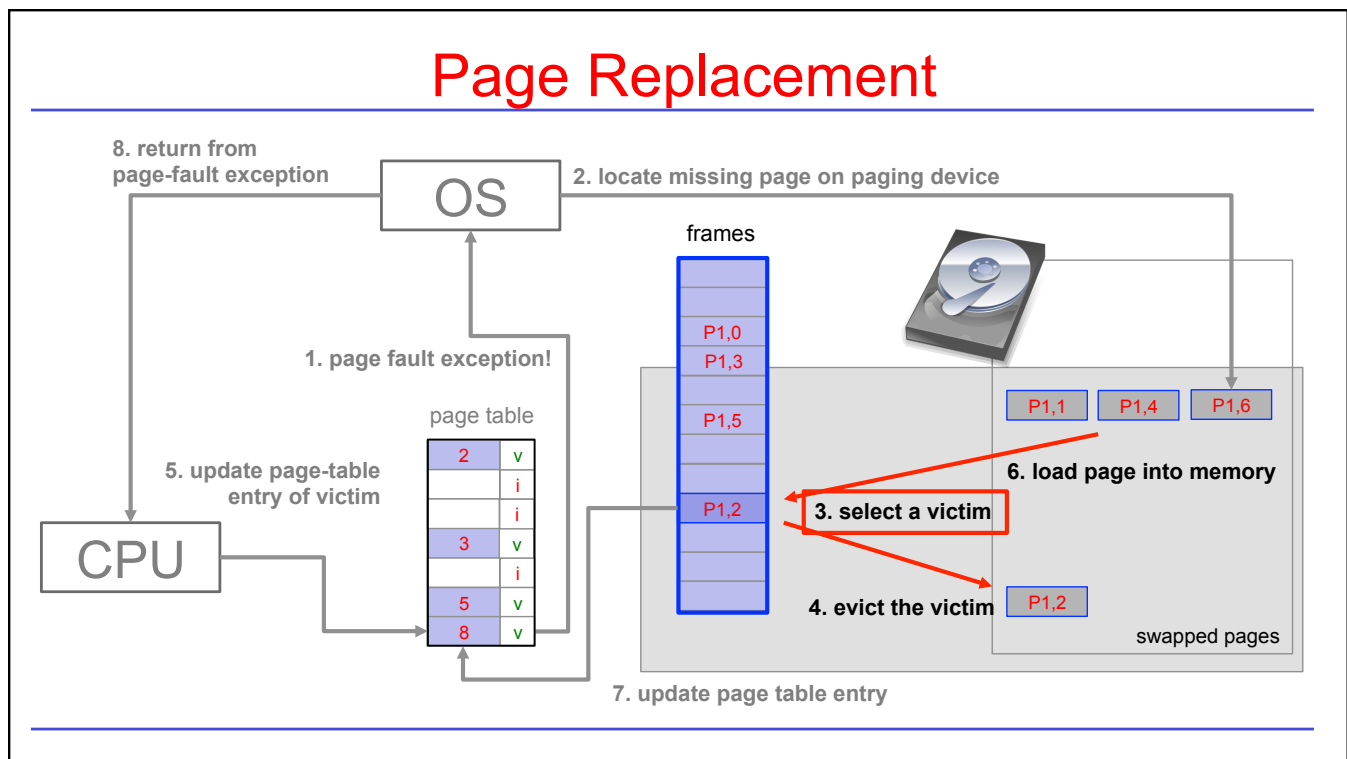
3x!

## Locality of Reference

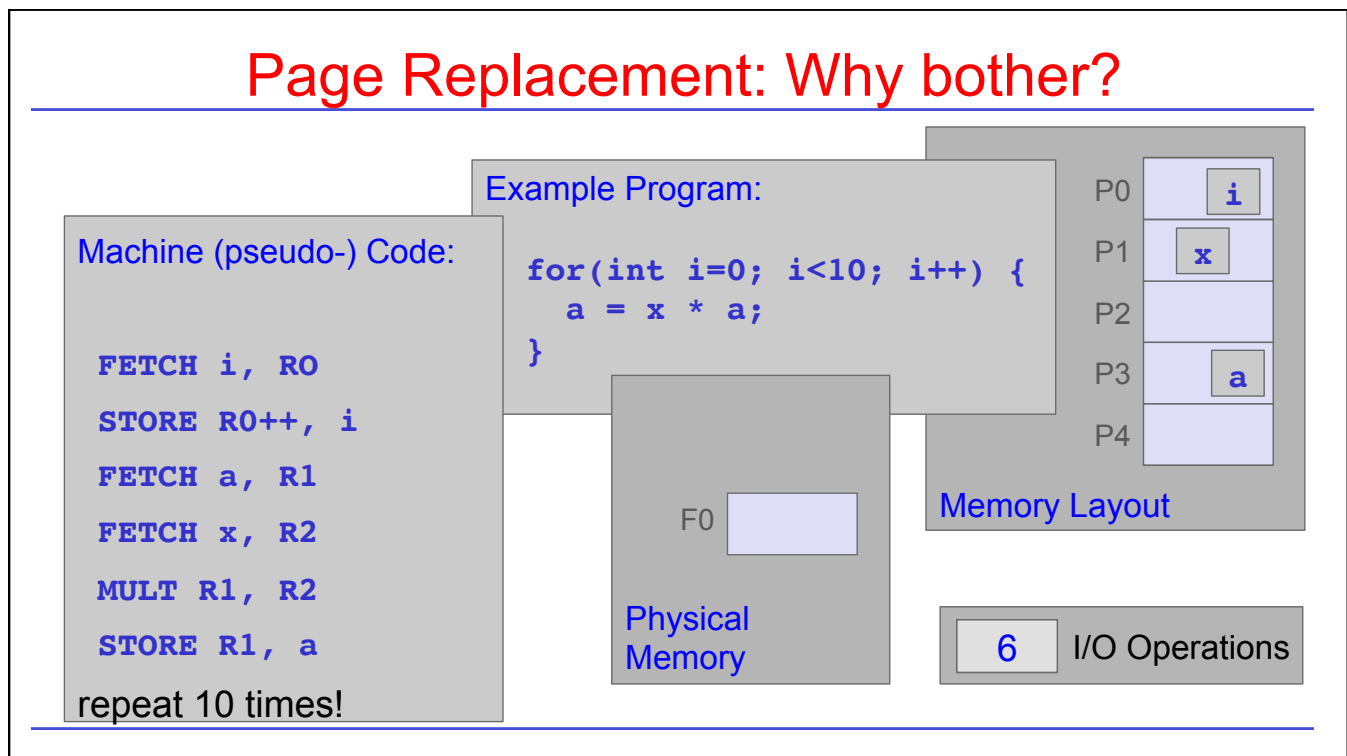


**Locality of Reference:** A program that references a location  $n$  at some point in time is **likely** to reference the same location  $n$  and locations in the immediate vicinity of  $n$  in the **near future**.

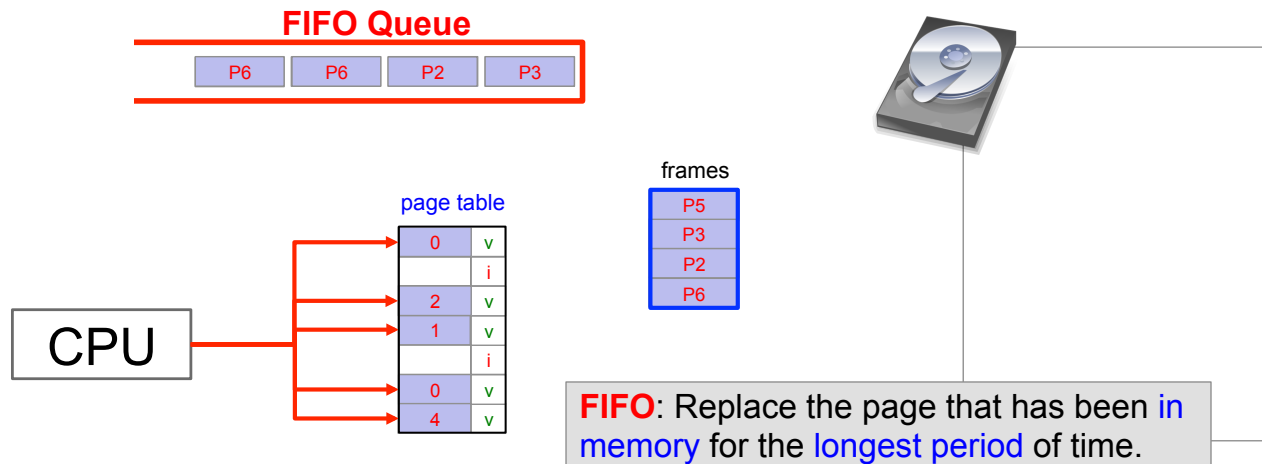
## Page Replacement



## Page Replacement: Why bother?



## FIFO Page Replacement



## FIFO Page Replacement

time	12345678910														
reference string	a	b	c	d	c	a	d	b	e	b	a	b	c	d	
frames		a	a	a	a	a	a	a	a	e	e	e	e	e	d
			b	b	b	b	b	b	b	b	b	a	a	a	a
				c	c	c	c	c	c	c	c	c	b	b	b
					d	d	d	d	d	d	d	d	d	c	c

## FIFO Page Replacement

time	12345678910														
reference string	a	b	c	d	c	a	d	b	e	b	a	b	c	d	
frames		a	a	a	a	a	a	a	a	e	e	e	e	e	d
			b	b	b	b	b	b	b	b	b	a	a	a	a
				c	c	c	c	c	c	c	c	c	b	b	b
					d	d	d	d	d	d	d	d	d	c	c

### Pros:

- Simplicity!

### Cons:

- Assumes that pages residing the longest in memory are the least likely to be referenced in the future.
- Thus, does not exploit *principle of locality of reference*.

## Optimal Replacement Algorithm

Algorithm with **provably** lowest page fault rate of all algorithms:

Replace that page which will not be **used**  
for the **longest period of time** (in the future).

time	12345678910													
future string	a	b	c	d	c	a	d	b	e	b	a	b	c	d
reference string	a	b	c	d	c	a	d	b	e	b	a	b	c	d
frames		a	a	a	a	a	a	a	a	a	a	a	a	a
			b	b	b	b	b	b	b	b	b	b	b	b
				c	c	c	c	c	c	c	c	c	c	c
				d	d	d	d	d	e	e	e	e	e	e

## Optimal Replacement Algorithm

Algorithm with **provably** lowest page fault rate of all algorithms:

Replace that page which will not be **used**  
for the **longest period of time** (in the future).

**Approximate!**

reference string	a	b	c	d	c	a	d	b	e	b	a	b	c	d
frames	<b>Pros:</b> <ul style="list-style-type: none"> <li>great performance!</li> </ul>							<b>Cons:</b> <ul style="list-style-type: none"> <li>needs clairvoyance!</li> </ul>						

## Approximation to Optimal: LRU

**Least Recently Used:** replace the page that has **not been accessed**  
for **longest period of time** (in the **past**).

time	1	2	3	4	5	6	7	8	9	10
reference string	c	a	d	b	e	b	a	b	c	d
frames	a	a	a	a	a	a	a	a	a	a
	b	b	b	b	b	b	b	b	b	b
	c	c	c	c	c	e	e	e	e	d
	d	d	d	d	d	d	d	d	c	c
									!	!

## Approximation to Optimal: LRU

**Least Recently Used:** replace the page that has **not been accessed** for **longest period of time** (in the **past**).

reference string

a	b	c	d	c	a	d	b	e	b	a	b	c	d
---	---	---	---	---	---	---	---	---	---	---	---	---	---

**Pros:**

- good performance!

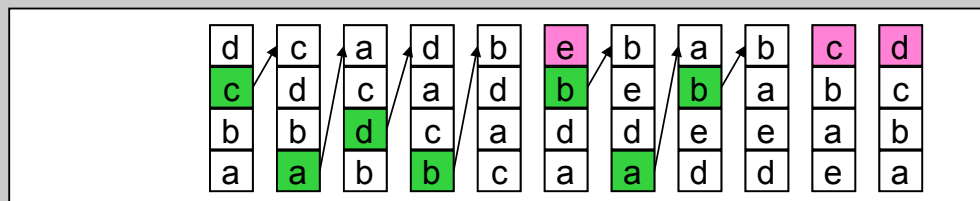
**Cons:**

- difficult to implement!

## LRU: Implementation

**Problem:** We need to keep **chronological history of page references**; need to be reordered upon each reference.

**Stack:**



**Capacitors:** Associate a capacitor with each memory frame. Capacitor is charged with every reference to the frame. The subsequent exponential decay of the charge can be directly converted into a time interval.

**Aging registers:** Associate aging register of  $n$  bits ( $R_{n-1}, \dots, R_0$ ) with each frame in memory. Set  $R_{n-1}$  to 1 for each reference. Periodically shift registers to the right.

## Approximation to LRU: 2<sup>nd</sup> Chance Algorithm

**2<sup>nd</sup> Chance Algorithm:** Associate a *use\_bit* with every frame in memory.

- Upon each reference, set *use\_bit* to 1.
- Keep a *pointer* to next “victim candidate” page.
- To *select victim*: If current frame’s *use\_bit* is 0, select frame and increment pointer. Otherwise delete *use\_bit* and increment pointer.

time	1	2	3	4	5	6	7	8	9	10
reference string	c	a	d	b	e	b	a	b	c	d
frames	a/1 b/1 c/1 d/1	a/1 b/1 c/1 d/1	a/1 b/1 c/1 d/1	a/1 b/1 c/1 d/1	a/1 b/1 c/1 d/1	e/1 b/0 c/0 d/0	e/1 b/1 c/0 d/0	e/1 b/0 a/1 d/0	e/1 b/1 a/1 c/1	d/1 b/0 a/0 c/0

! ! ! !

## Improvement on 2<sup>nd</sup> Chance Algorithm

- Consider read/write activity of page: *dirty\_bit*
- Algorithm same as 2<sup>nd</sup> Chance Algorithm, except that we scan for pages with **both** *use\_bit* and *dirty\_bit* equal to 0.
- Each time the pointer advances, the *use\_bit* and *dirty\_bit* are updated as follows:

	ud	ud	ud	ud
<b>before</b>	11	10	01	00
<b>after</b>	01	00	00*	(select)

- A dirty page is not selected until **two** full scans of the list later.
- Note: Other authors (e.g., Stallings) describe a slightly different algorithm!



## Improved 2<sup>nd</sup> Chance Algorithm

	ud	ud	ud	ud
<b>before</b>	11	10	01	00
<b>after</b>	01	00	00*	(select)

time	1	2	3	4	5	6	7	8	9	10
reference string	c	a <sup>w</sup>	d	b <sup>w</sup>	e	b	a <sup>w</sup>	b	c	d
frames	a/10	a/10	a/11	a/11	a/00*	a/00*	a/11	a/11	a/11	
	b/10	b/10	b/10	b/10	b/11	b/10*	b/10*	b/10*	b/10*	
	c/10	c/10	c/10	c/10	c/10	e/10	e/10	e/10	e/10	
	d/10	d/10	d/10	d/10	d/10	d/00	d/00	d/00	d/00	c/10

! ! !

## Virtual Memory: Policies (Part I)

- Recap: **Page Fault Mechanics**: Page faults are **expensive**!
- Memory Access Patterns: **Locality of Reference**
- Page Replacement Policies**
  - FIFO, **Optimal**
  - Approximations to optimal: **LRU**
  - Approximations to LRU: **2<sup>nd</sup> Chance**, **Enh. 2<sup>nd</sup> Chance**
- Coming Next: Working Sets, Page Caching, Case Studies