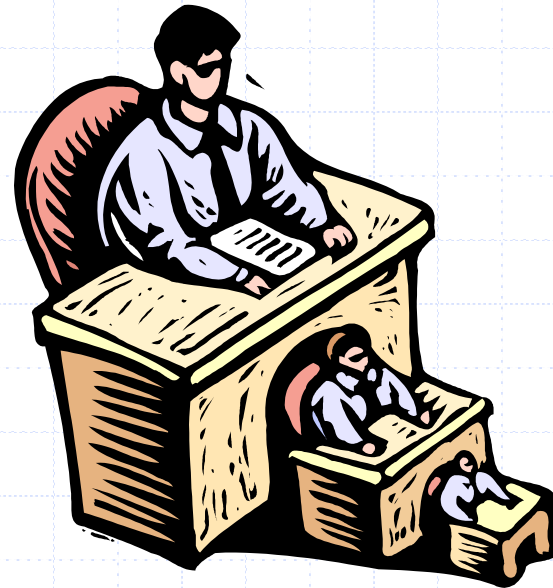


Presentation for use with the textbook **Data Structures and Algorithms in Java, 6<sup>th</sup> edition**, by M. T. Goodrich, R. Tamassia, and M. H. Goldwasser, Wiley, 2014

# Recursion



# The Recursion Pattern

- **Recursion**: when a method calls itself
- Classic example – the factorial function:

$$n! = 1 \cdot 2 \cdot 3 \cdot \cdots \cdot (n-1) \cdot n$$

- Recursive definition:

$$f(n) = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot f(n-1) & \text{else} \end{cases}$$

- As a Java method:

```
1 public static int factorial(int n) throws IllegalArgumentException {  
2     if (n < 0)  
3         throw new IllegalArgumentException();    // argument must be nonnegative  
4     else if (n == 0)  
5         return 1;                               // base case  
6     else  
7         return n * factorial(n-1);               // recursive case  
8 }
```

# Content of a Recursive Method

## □ Base case(s)

- Values of the input variables for which we perform no recursive calls are called **base cases** (there should be at least one base case).
- Every possible chain of recursive calls **must** eventually reach a base case.

## □ Recursive calls

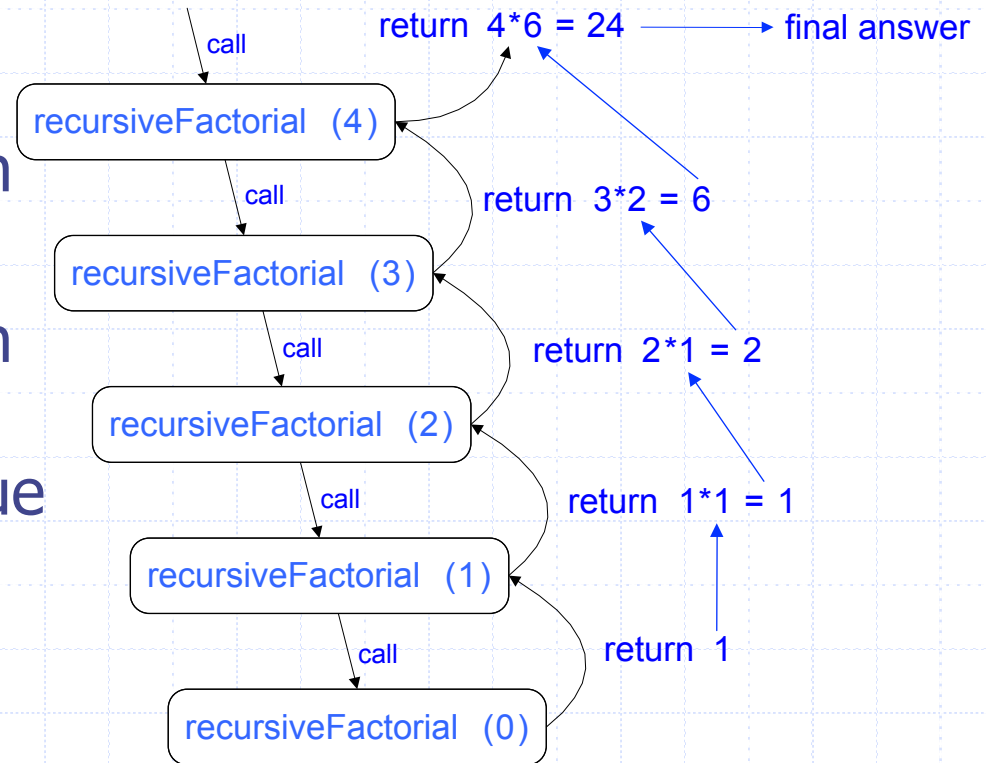
- Calls to the current method.
- Each recursive call should be defined so that it makes progress towards a base case.

# Visualizing Recursion

## □ Recursion trace

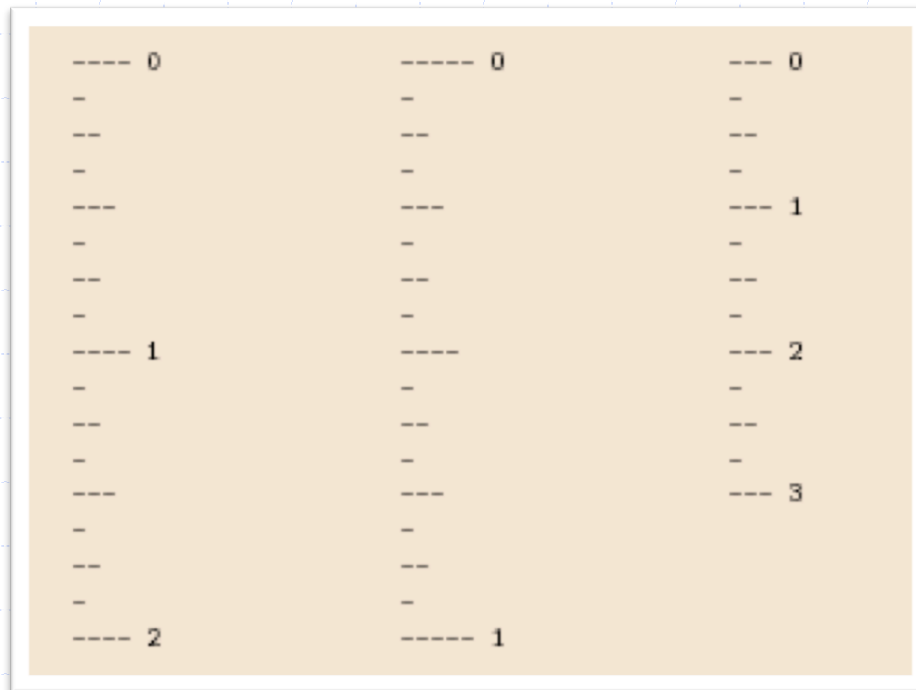
- A box for each recursive call
- An arrow from each caller to callee
- An arrow from each callee to caller showing return value

## □ Example



# Example: English Ruler

- Print the ticks and numbers like an English ruler:

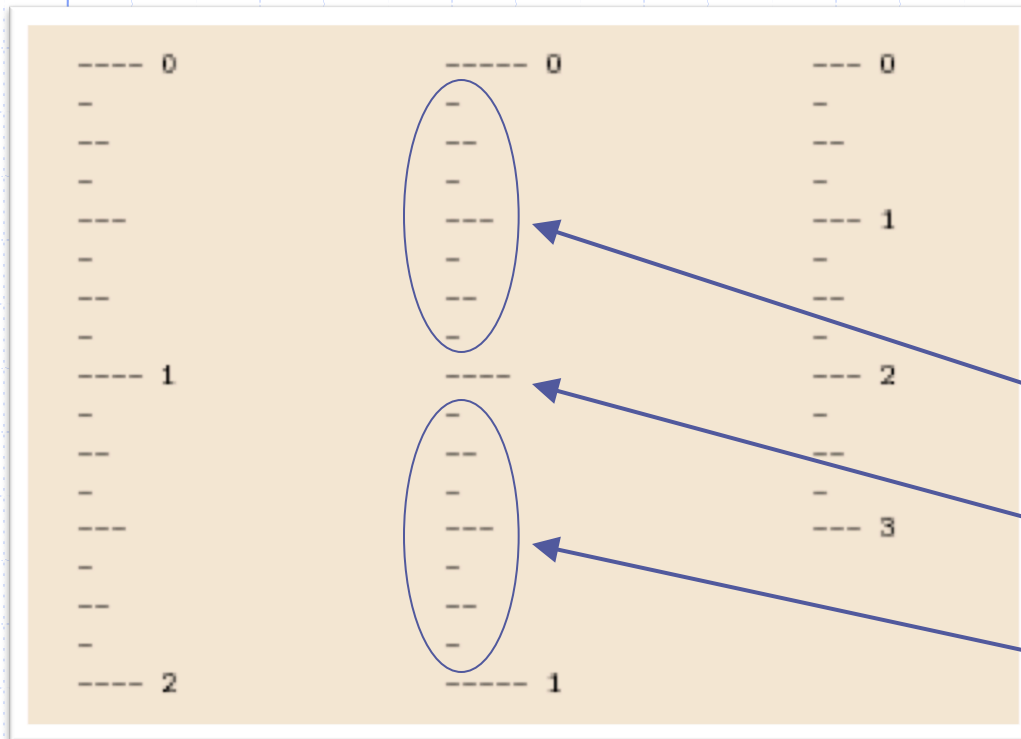


# Using Recursion

`drawInterval(length)`

Input: length of a 'tick'

Output: ruler with tick of the given length in the middle and smaller rulers on either side



`drawInterval(length)`

if( length > 0 ) then

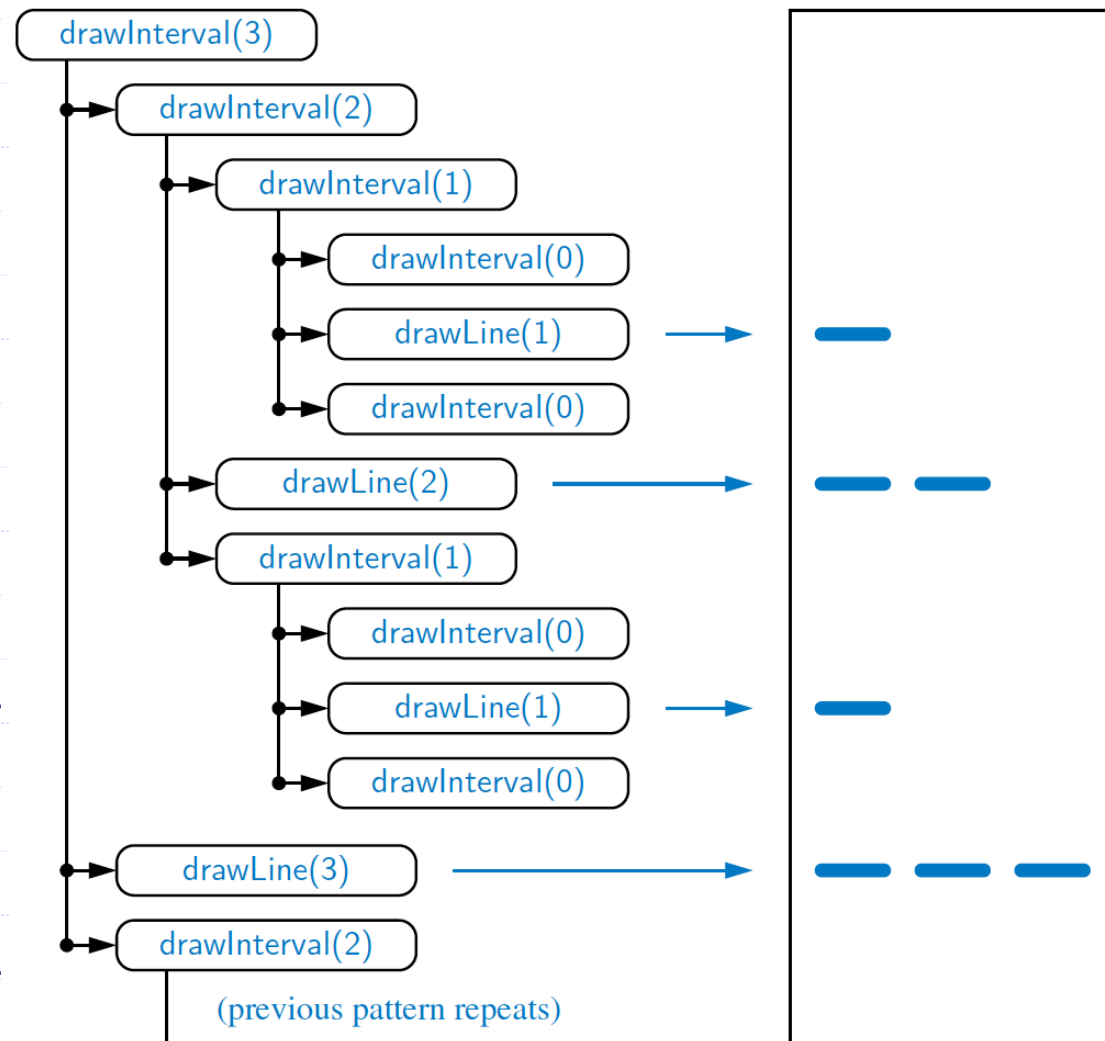
`drawInterval ( length - 1 )`

draw line of the given length

`drawInterval ( length - 1 )`

# Recursive Drawing Method

- The drawing method is based on the following recursive definition
- An interval with a central tick length  $L \geq 1$  consists of:
  - An interval with a central tick length  $L-1$
  - A single tick of length  $L$
  - An interval with a central tick length  $L-1$



# A Recursive Method for Drawing Ticks on an English Ruler

```
1  /** Draws an English ruler for the given number of inches and major tick length. */
2  public static void drawRuler(int nInches, int majorLength) {
3      drawLine(majorLength, 0);           // draw inch 0 line and label
4      for (int j = 1; j <= nInches; j++) {
5          drawInterval(majorLength - 1); // draw interior ticks for inch
6          drawLine(majorLength, j);      // draw inch j line and label
7      }
8  }
9  private static void drawInterval(int centralLength) {
10     if (centralLength >= 1) {           // otherwise, do nothing
11         drawInterval(centralLength - 1); // recursively draw top interval
12         drawLine(centralLength);        // draw center tick line (without label)
13         drawInterval(centralLength - 1); // recursively draw bottom interval
14     }
15 }
16 private static void drawLine(int tickLength, int tickLabel) {
17     for (int j = 0; j < tickLength; j++)
18         System.out.print("-");
19     if (tickLabel >= 0)
20         System.out.print(" " + tickLabel);
21     System.out.print("\n");
22 }
23 /** Draws a line with the given tick length (but no label). */
24 private static void drawLine(int tickLength) {
25     drawLine(tickLength, -1);
26 }
```

Note the two recursive calls



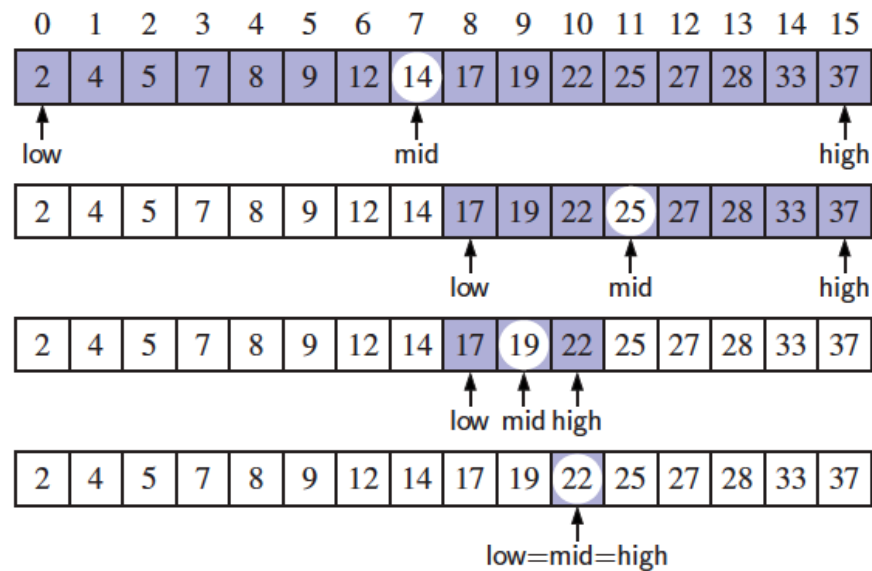
# Binary Search

Search for an integer in an ordered list

```
1  /**
2   * Returns true if the target value is found in the indicated portion of the data array.
3   * This search only considers the array portion from data[low] to data[high] inclusive.
4   */
5  public static boolean binarySearch(int[ ] data, int target, int low, int high) {
6      if (low > high)
7          return false;                                // interval empty; no match
8      else {
9          int mid = (low + high) / 2;
10         if (target == data[mid])
11             return true;                                // found a match
12         else if (target < data[mid])
13             return binarySearch(data, target, low, mid - 1); // recur left of the middle
14         else
15             return binarySearch(data, target, mid + 1, high); // recur right of the middle
16     }
17 }
```

# Visualizing Binary Search

- We consider three cases:
  - If the target equals  $\text{data}[\text{mid}]$ , then we have found the target.
  - If  $\text{target} < \text{data}[\text{mid}]$ , then we recur on the first half of the sequence.
  - If  $\text{target} > \text{data}[\text{mid}]$ , then we recur on the second half of the sequence.



# Analyzing Binary Search

- Runs in  $O(\log n)$  time.
  - The remaining portion of the list is of size  $\text{high} - \text{low} + 1$
  - After one comparison, this becomes one of the following:

$$(\text{mid} - 1) - \text{low} + 1 = \left\lfloor \frac{\text{low} + \text{high}}{2} \right\rfloor - \text{low} \leq \frac{\text{high} - \text{low} + 1}{2}$$

$$\text{high} - (\text{mid} + 1) + 1 = \text{high} - \left\lfloor \frac{\text{low} + \text{high}}{2} \right\rfloor \leq \frac{\text{high} - \text{low} + 1}{2}.$$

- Thus, each recursive call divides the search region in half; hence, there can be at most  $\log n$  levels

# Linear Recursion

- Test for base cases

- Begin by testing for a set of base cases (there should be at least one).
- Every possible chain of recursive calls **must** eventually reach a base case, and the handling of each base case should not use recursion.

- Recur once

- Perform a single recursive call
- This step may have a test that decides which of several possible recursive calls to make, but it should ultimately make just one of these calls
- Define each possible recursive call so that it makes progress towards a base case.

# Example of Linear Recursion

Algorithm **linearSum**(A, n):

Input:

Array, A, of integers  
Integer n such that  
 $0 \leq n \leq |A|$

Output:

Sum of the first n  
integers in A

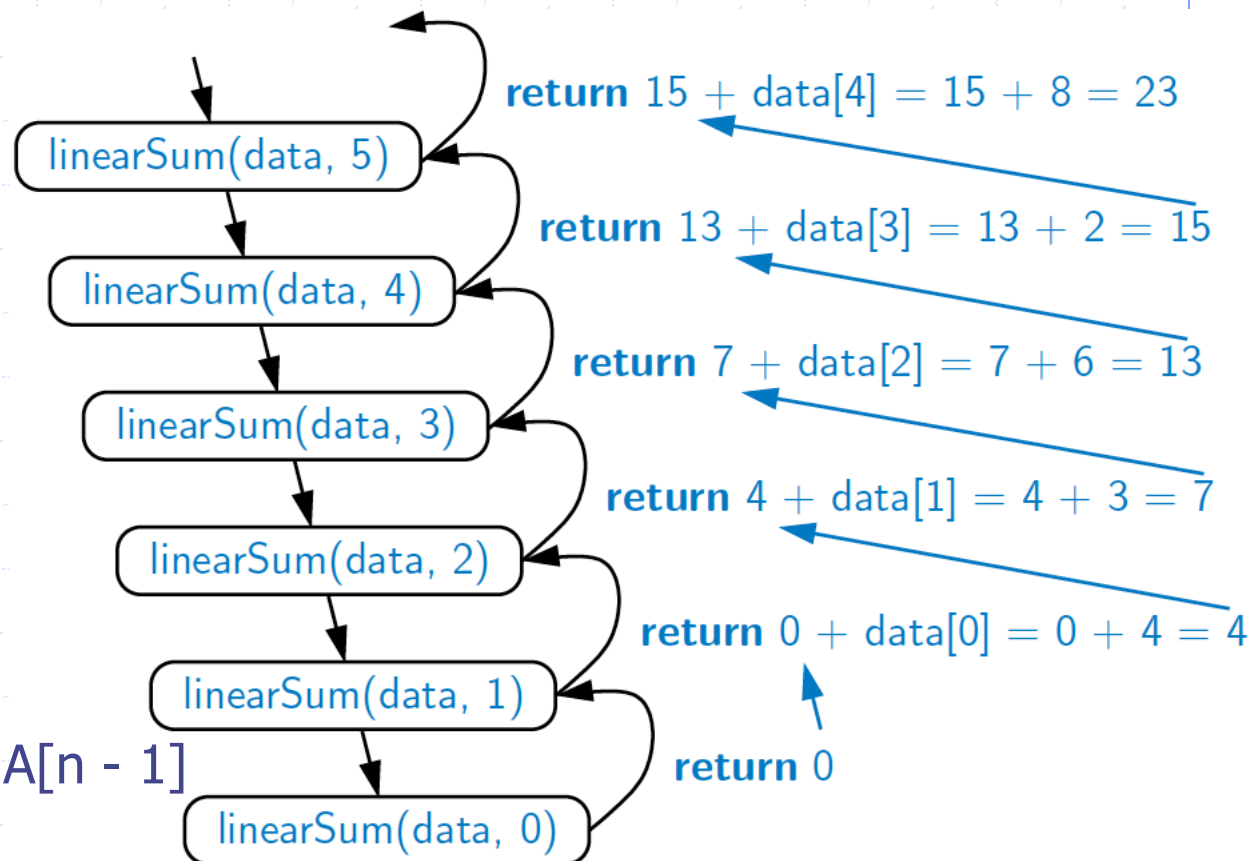
if  $n = 0$  then  
return 0

else

return

**linearSum**(A, n - 1) + A[n - 1]

Recursion trace of **linearSum**(data, 5)  
called on array data = [4, 3, 6, 2, 8]



# Reversing an Array

Algorithm `reverseArray(A, i, j)`:

Input: An array  $A$  and nonnegative integer indices  $i$  and  $j$

Output: The reversal of the elements in  $A$  starting at index  $i$  and ending at

if  $i < j$  then

    Swap  $A[i]$  and  $A[j]$

`reverseArray(A, i + 1, j - 1)`

return

# Defining Arguments for Recursion

- In creating recursive methods, it is important to define the methods in ways that facilitate recursion.
- This sometimes requires we define additional parameters that are passed to the method.
- For example, we defined the array reversal method as `reverseArray(A, i, j)`, not `reverseArray(A)`

```
1  /** Reverses the contents of subarray data[low] through data[high] inclusive. */
2  public static void reverseArray(int[ ] data, int low, int high) {
3      if (low < high) {                                // if at least two elements in subarray
4          int temp = data[low];                        // swap data[low] and data[high]
5          data[low] = data[high];
6          data[high] = temp;
7          reverseArray(data, low + 1, high - 1);      // recur on the rest
8      }
9  }
```

# Computing Powers

- The power function,  $p(x,n)=x^n$ , can be defined recursively:

$$p(x,n) = \begin{cases} 1 & \text{if } n = 0 \\ x \cdot p(x,n-1) & \text{else} \end{cases}$$

- This leads to an power function that runs in  $O(n)$  time (for we make  $n$  recursive calls)
- We can do better than this, however



# Recursive Squaring

- We can derive a more efficient linearly recursive algorithm by using repeated squaring:

$$p(x, n) = \begin{cases} 1 & \text{if } x = 0 \\ x \cdot p(x, (n-1)/2)^2 & \text{if } x > 0 \text{ is odd} \\ p(x, n/2)^2 & \text{if } x > 0 \text{ is even} \end{cases}$$

- For example,

$$2^4 = 2^{(4/2)^2} = (2^{4/2})^2 = (2^2)^2 = 4^2 = 16$$

$$2^5 = 2^{1+(4/2)^2} = 2(2^{4/2})^2 = 2(2^2)^2 = 2(4^2) = 32$$

$$2^6 = 2^{(6/2)^2} = (2^{6/2})^2 = (2^3)^2 = 8^2 = 64$$

$$2^7 = 2^{1+(6/2)^2} = 2(2^{6/2})^2 = 2(2^3)^2 = 2(8^2) = 128$$

# Recursive Squaring Method

**Algorithm** **Power**(x, n):

**Input:** A number x and integer  $n \geq 0$

**Output:** The value  $x^n$

**if**  $n = 0$  **then**

**return** 1

**if** n is odd **then**

$y = \text{Power}(x, (n - 1) / 2)$

**return**  $x \cdot y \cdot y$

**else**

$y = \text{Power}(x, n / 2)$

**return**  $y \cdot y$

# Analysis

**Algorithm** **Power**(x, n):

**Input:** A number x and integer n = 0

**Output:** The value  $x^n$

**if** n = 0 **then**

**return** 1

**if** n is odd **then**

y = **Power**(x, (n - 1)/ 2)

**return** x · y · y

**else**

y = **Power**(x, n/ 2)

**return** y · y

Each time we make a recursive call we halve the value of n; hence, we make  $\log n$  recursive calls. That is, this method runs in  $O(\log n)$  time.

It is important that we use a variable twice here rather than calling the method twice.

# Tail Recursion

- ❑ Tail recursion occurs when a linearly recursive method makes its recursive call as its last step.
- ❑ The array reversal method is an example.
- ❑ Such methods can be easily converted to non-recursive methods (which saves on some resources).
- ❑ Example:

**Algorithm** *IterativeReverseArray*(A, i, j ):

**Input:** An array A and nonnegative integer indices i and j

**Output:** The reversal of the elements in A starting at index i and ending at j

**while** i < j **do**

    Swap A[i ] and A[ j ]

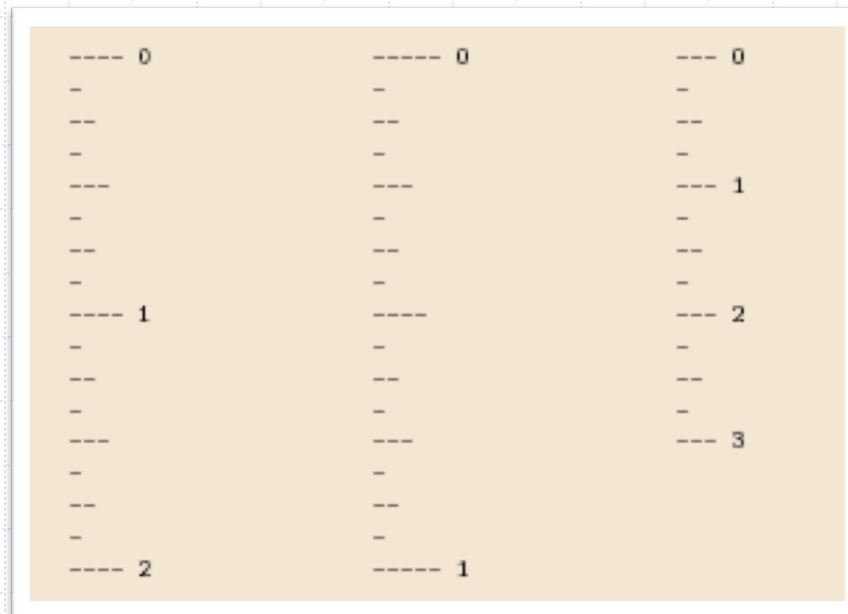
    i = i + 1

    j = j - 1

**return**

# Binary Recursion

- ❑ Binary recursion occurs whenever there are **two** recursive calls for each non-base case.
- ❑ Example from before: the **drawInterval** method for drawing ticks on an English ruler.



# Another Binary Recursive Method

- Problem: add all the numbers in an integer array A:

**Algorithm** BinarySum(A, i, n):

**Input:** An array A and integers i and n

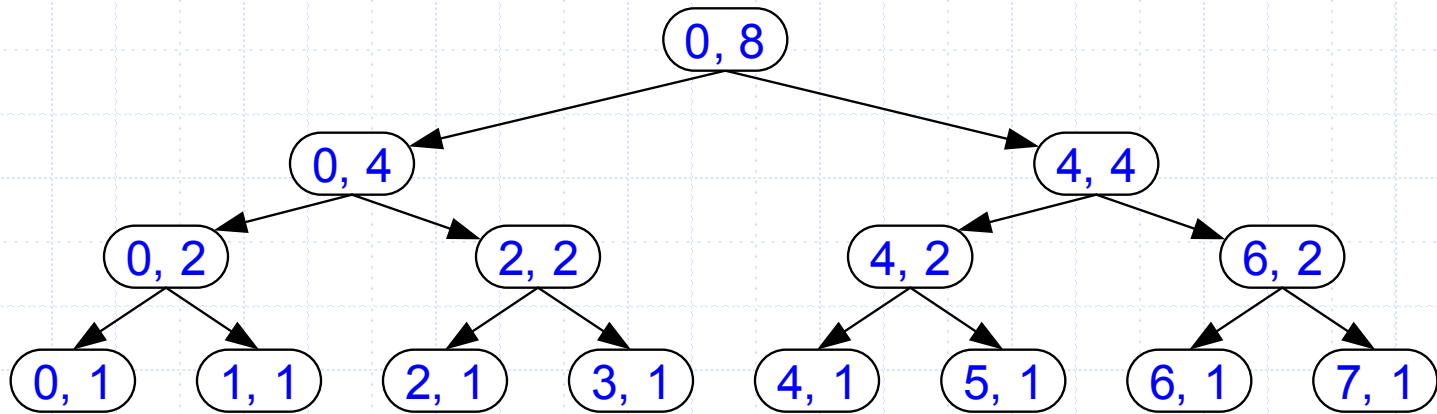
**Output:** The sum of the n integers in A starting at index i

**if**  $n = 1$  **then**

**return** A[i]

**return** BinarySum(A, i,  $n/2$ ) + BinarySum(A,  $i + n/2$ ,  $n/2$ )

- Example trace:



# Computing Fibonacci Numbers

- Fibonacci numbers are defined recursively:

$$F_0 = 0$$

$$F_1 = 1$$

$$F_i = F_{i-1} + F_{i-2} \quad \text{for } i > 1.$$

- Recursive algorithm (first attempt):

**Algorithm** BinaryFib( $k$ ):

*Input:* Nonnegative integer  $k$

*Output:* The  $k$ th Fibonacci number  $F_k$

**if**  $k = 1$  **then**

**return**  $k$

**else**

**return** BinaryFib( $k - 1$ ) + BinaryFib( $k - 2$ )

# Analysis

- Let  $n_k$  be the number of recursive calls by **BinaryFib**(k)
  - $n_0 = 1$
  - $n_1 = 1$
  - $n_2 = n_1 + n_0 + 1 = 1 + 1 + 1 = 3$
  - $n_3 = n_2 + n_1 + 1 = 3 + 1 + 1 = 5$
  - $n_4 = n_3 + n_2 + 1 = 5 + 3 + 1 = 9$
  - $n_5 = n_4 + n_3 + 1 = 9 + 5 + 1 = 15$
  - $n_6 = n_5 + n_4 + 1 = 15 + 9 + 1 = 25$
  - $n_7 = n_6 + n_5 + 1 = 25 + 15 + 1 = 41$
  - $n_8 = n_7 + n_6 + 1 = 41 + 25 + 1 = 67.$
- Note that  $n_k$  at least doubles every other time
- That is,  $n_k > 2^{k/2}$ . It is exponential!



# A Better Fibonacci Algorithm

- Use linear recursion instead

**Algorithm** **LinearFibonacci**(k):

**Input:** A nonnegative integer k

**Output:** Pair of Fibonacci numbers ( $F_k$ ,  $F_{k-1}$ )

**if**  $k = 1$  **then**

**return** (k, 0)

**else**

    (i, j) = **LinearFibonacci**(k - 1)

**return** (i + j, i)

- **LinearFibonacci** makes k-1 recursive calls

# Multiple Recursion

- Motivating example:
  - summation puzzles
    - ◆ *pot + pan = bib*
    - ◆ *dog + cat = pig*
    - ◆ *boy + girl = baby*
- Multiple recursion:
  - makes potentially many recursive calls
  - not just one or two

# Algorithm for Multiple Recursion

**Algorithm** **PuzzleSolve**(k,S,U):

**Input:** Integer k, sequence S, and set U (universe of elements to test)

**Output:** Enumeration of all k-length extensions to S using elements in U without repetitions

**for all** e in U **do**

Remove e from U      {e is now being used}

Add e to the end of S

**if** k = 1 **then**

Test whether S is a configuration that solves the puzzle

**if** S solves the puzzle **then**

**return** “Solution found: ” S

**else**

**PuzzleSolve**(k - 1, S,U)

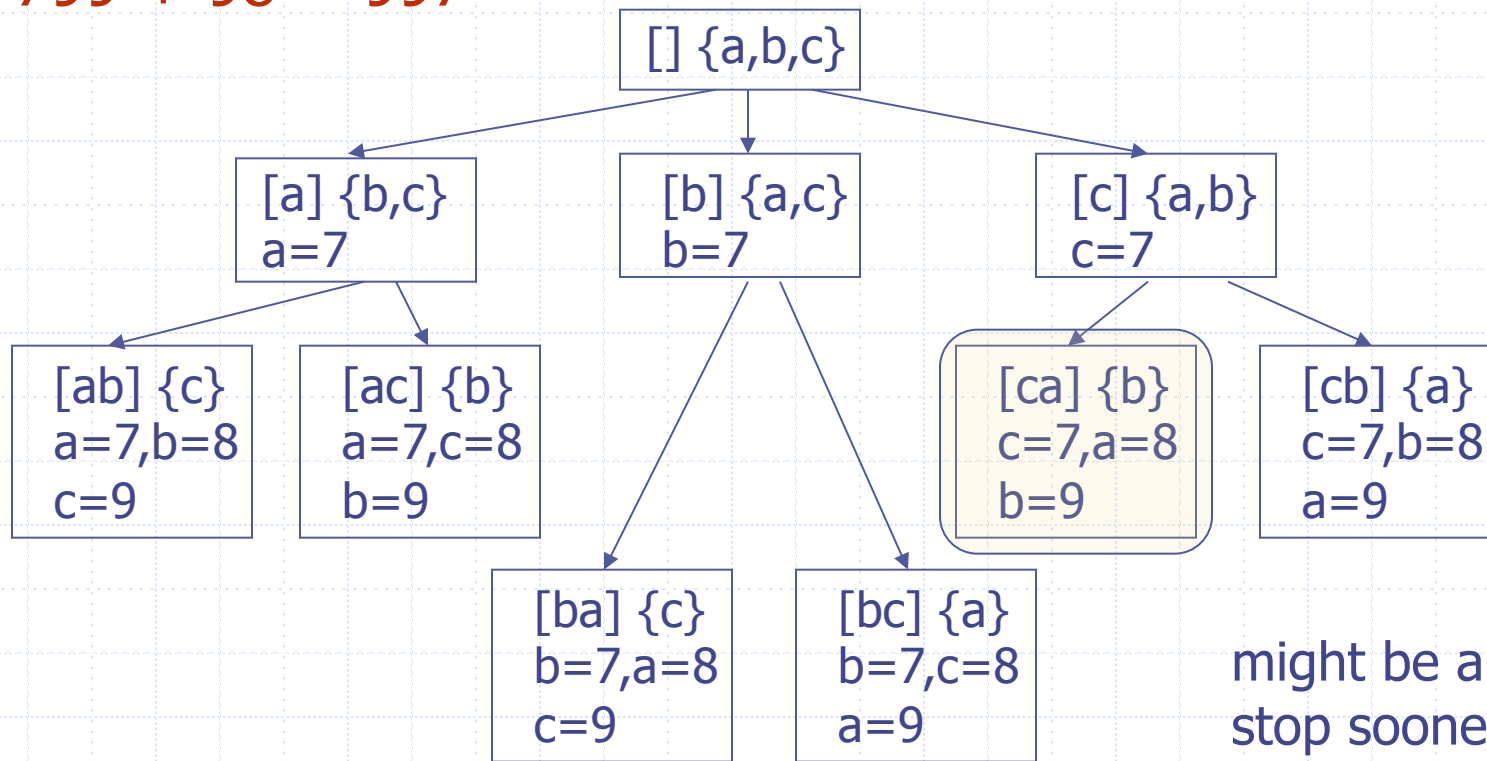
Add e back to U      {e is now unused}

Remove e from the end of S

# Example

$$\text{cbb} + \text{ba} = \text{abc}$$
$$799 + 98 = 997$$

$a, b, c$  stand for 7, 8, 9; not necessarily in that order



# Visualizing PuzzleSolve

