

學號：B06507002 系級：材料三 姓名：林柏勳

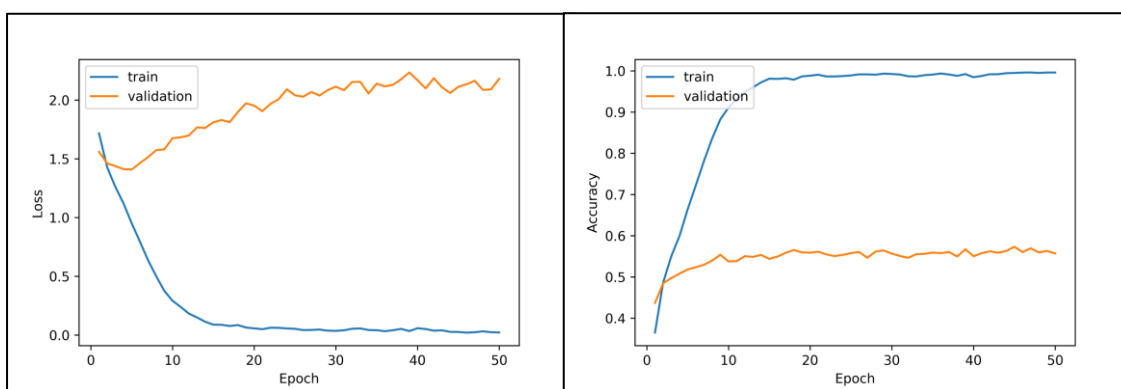
1. (1%) 請說明這次使用的 model 架構，包含各層維度及連接方式。

我在訓練時第一層的 input 為(batch_size,channel,h,w)=(-1,1,48,48)

Layer	Output
Conv2d(1, 32, kernel_size=(5, 5), stride=(1, 1), padding=(2, 2))	-1, 32, 48, 48
LeakyReLU(negative_slope=0.05)	-1, 32, 48, 48
BatchNorm2d(32, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 32, 48, 48
Conv2d(32, 32, kernel_size=(5, 5), stride=(1, 1), padding=(2, 2))	-1, 32, 48, 48
LeakyReLU(negative_slope=0.05)	-1, 32, 48, 48
BatchNorm2d(32, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 32, 48, 48
Conv2d(32, 32, kernel_size=(5, 5), stride=(1, 1), padding=(2, 2))	-1, 32, 48, 48
LeakyReLU(negative_slope=0.05)	-1, 32, 48, 48
BatchNorm2d(32, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 32, 48, 48
MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)	-1, 32, 24, 24
Dropout(p=0.1, inplace=False)	-1, 32, 24, 24
Conv2d(32, 128, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))	-1, 128, 24, 24
LeakyReLU(negative_slope=0.05)	-1, 128, 24, 24
BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 128, 24, 24
Conv2d(128, 128, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))	-1, 128, 24, 24
LeakyReLU(negative_slope=0.05)	-1, 128, 24, 24
BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 128, 24, 24
Conv2d(128, 128, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))	-1, 128, 24, 24
LeakyReLU(negative_slope=0.05)	-1, 128, 24, 24
BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 128, 24, 24
MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)	-1, 128, 12, 12
Dropout(p=0.1, inplace=False)	-1, 128, 12, 12
Conv2d(128, 512, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))	-1, 512, 12, 12
LeakyReLU(negative_slope=0.05)	-1, 512, 12, 12
BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 512, 12, 12
Conv2d(512, 512, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))	-1, 512, 12, 12
LeakyReLU(negative_slope=0.05)	-1, 512, 12, 12
BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 512, 12, 12
Conv2d(512, 512, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))	-1, 512, 12, 12
LeakyReLU(negative_slope=0.05)	-1, 512, 12, 12

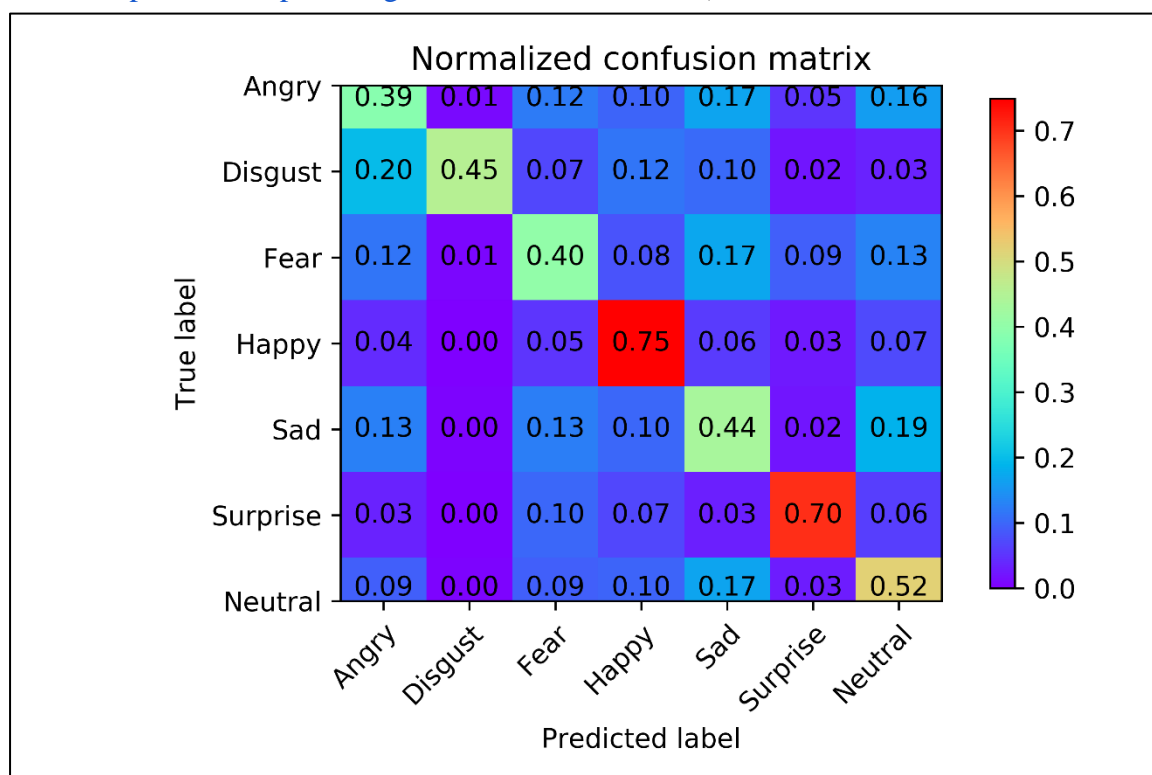
BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 512, 12, 12
MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)	-1, 512, 6, 6
Linear(in_features=18432, out_features=512, bias=True)	-1, 512
ReLU()	-1, 512
BatchNorm1d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)	-1, 512
Linear(in_features=512, out_features=7, bias=True)	-1, 7

2. (1%) 請附上 model 的 training/validation history (loss and accuracy)。



3. (1%) 畫出 **confusion matrix** 分析哪些類別的圖片容易使 **model** 搞混，並簡單說明。

(ref: https://en.wikipedia.org/wiki/Confusion_matrix)



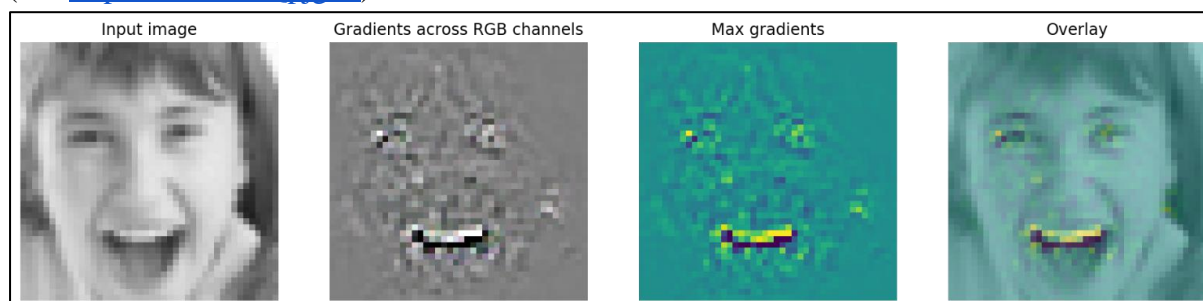
從 **Confusion Matrix** 的對角線值可以看出，除了 **Happy** 跟 **Surprise** 這兩個類別之外，其餘類別均容易使 **Model** 搞混，它們的分類成功率低於六成，原因可能在於其他類別的資料，圖片裡面的表情不夠顯著，導致分類器很容易誤判成其他類別。

【關於第四及第五題】

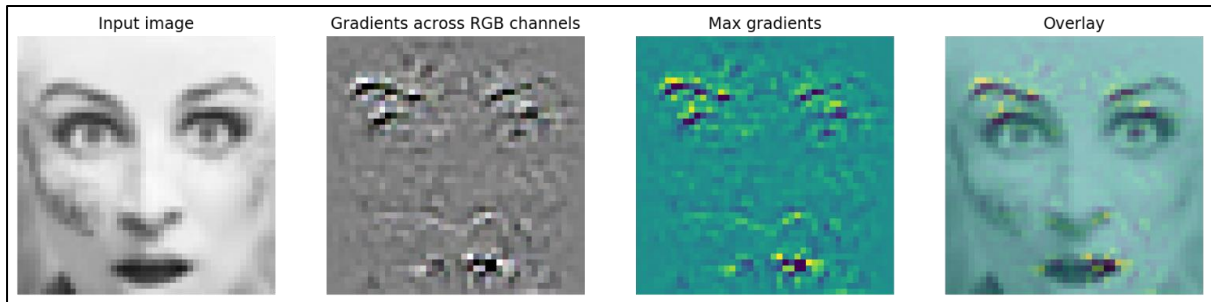
可以使用簡單的 **3-layer CNN model** [64, 128, 512] 進行實作。

4. (1%) 畫出 **CNN model** 的 **saliency map**，並簡單討論其現象。

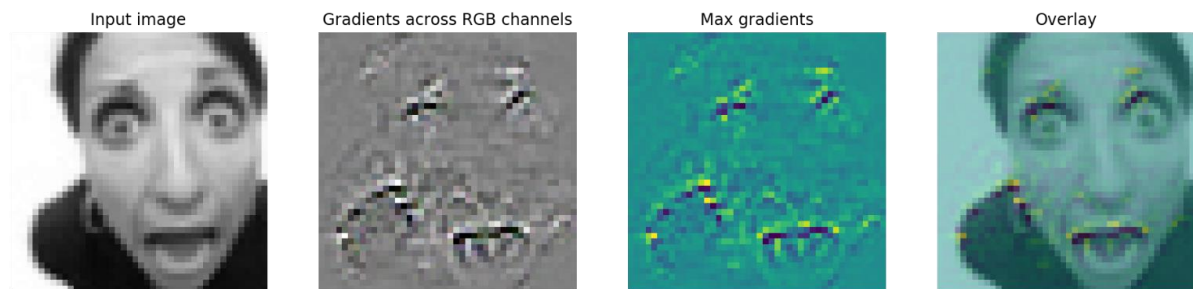
(ref: <https://reurl.cc/Qpig8b>)



這張圖片的類別為 **Happy**，從 **Saliency map** 中可以看出，對於分類影響最大的部分為女孩的牙齒，其次為眼睛。



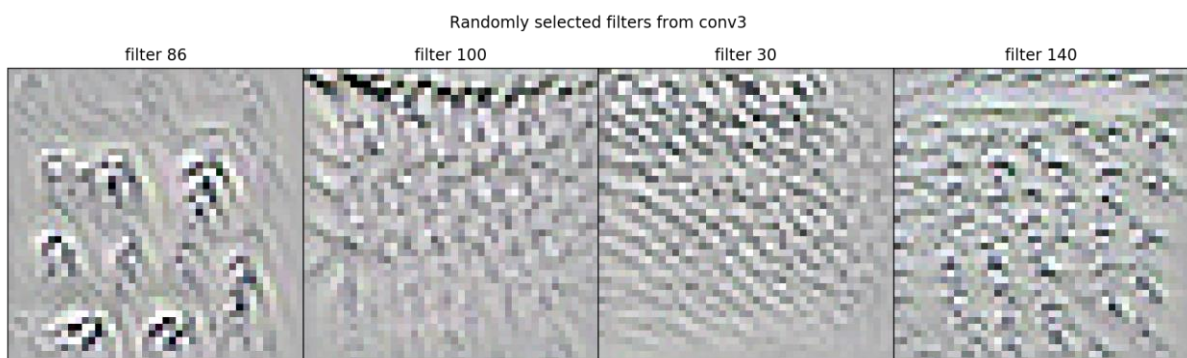
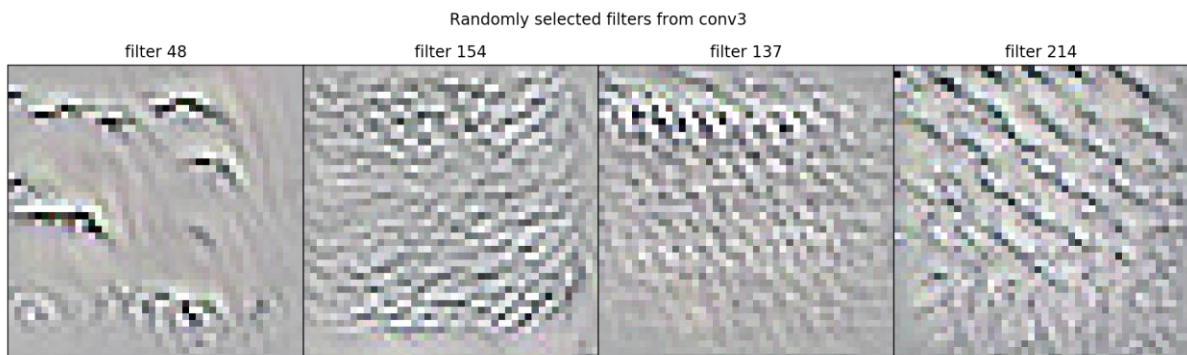
這張圖的類別為 Surprise，從 Saliency map 中可以看出，對於分類影響最大的部分為張的開開的嘴巴跟豎起的眉毛。



這張圖的類別為 Angry，從 Saliency map 中可以看出，對分類影響最大的部分為，眼睛，開開的嘴巴，跟聳立的肩膀。

5. (1%) 畫出最後一層的 **filters** 最容易被哪些 **feature** activate。

(ref: <https://reurl.cc/ZnrgYg>) (ref: <https://reurl.cc/Qpjd8b>)



6. (3%) Refer to math problem

https://hackmd.io/JIZ_0Q3dStSw0t0O0w6Ndw

HW 3 Hand written Assignment

date

No.

1. $I : (B, W, H, \text{input_channels})$

Conv 2D : (input_channels, output_channels,
kernel_size = (k_1, k_2) , stride = (s_1, s_2) ,
padding = (P_1, P_2))

For each image in a batch, after padding
 $(W, H) \rightarrow (W + 2P_1, H + 2P_2)$

Assume after convolution, $\textcircled{1}$ $W + 2P_1 \geq k_1 + (n-1)s_1$

we get an image
size of (n, m)

$$\frac{W + 2P_1 - k_1}{s_1} \geq n - 1$$

$(n, m) \in \mathbb{Z}^2$

$$\textcircled{2} \quad H + 2P_2 \geq k_2 + (m-1)s_2$$

width height

$$\frac{H + 2P_2 - k_2}{s_2} \geq m - 1$$

The solution of n, m are the largest integers
satisfying the inequality of $\textcircled{1}, \textcircled{2}$.

As a result,

$$n = \left\lfloor \frac{W + 2P_1 - k_1}{s_1} \right\rfloor + 1$$

$$m = \left\lfloor \frac{H + 2P_2 - k_2}{s_2} \right\rfloor + 1$$

So, the output is

$$O = (B, \underbrace{\left\lfloor \frac{W + 2P_1 - k_1}{s_1} \right\rfloor + 1}_{s_1}, \underbrace{\left\lfloor \frac{H + 2P_2 - k_2}{s_2} \right\rfloor + 1}_{s_2}, \text{output_channels})$$

2. Batch Normalization $\mu_B = \frac{1}{m} \sum_{i=1}^m X_i$

$$x_i = \gamma(\hat{x}_i, \gamma, \beta) = \gamma \hat{x}_i + \beta \quad \mathcal{L} = \mathcal{L}(x_i)$$

We have $\frac{\partial \mathcal{L}}{\partial x_i}$ in gradient descent already.

$$\textcircled{1} \quad \frac{\partial \mathcal{L}}{\partial \hat{x}_i} = \frac{\partial \mathcal{L}}{\partial x_i} \frac{\partial x_i}{\partial \hat{x}_i} = \frac{\partial \mathcal{L}}{\partial x_i} \gamma$$

$$\textcircled{2} \quad \sigma_B^2 = \frac{1}{m} \sum_{i=1}^m (X_i - \mu_B)^2$$

$$\hat{x}_i = \frac{X_i - \mu_B}{\sqrt{\sigma_B^2 + \epsilon}}$$

Ans in ①

$$\frac{\partial \mathcal{L}}{\partial \sigma_B^2} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial \hat{x}_i} \frac{\partial \hat{x}_i}{\partial \sigma_B^2} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial \hat{x}_i} \frac{X_i - \mu_B}{(\sigma_B^2 + \epsilon)^{\frac{3}{2}}} \left(-\frac{1}{2}\right)$$

$$\textcircled{3} \quad = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial x_i} \gamma \frac{X_i - \mu_B}{(\sigma_B^2 + \epsilon)^{\frac{3}{2}}} \left(-\frac{1}{2}\right)$$

$$\frac{\partial \mathcal{L}}{\partial \mu_B} = \left(\sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial \hat{x}_i} \frac{\partial \hat{x}_i}{\partial \mu_B} \right) + \frac{\partial \mathcal{L}}{\partial \sigma_B^2} \frac{\partial \sigma_B^2}{\partial \mu_B} \quad \sigma_B^2 = \sigma_B^2(\mu)$$

$$= \left[\sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial \hat{x}_i} \frac{(-1)}{\sqrt{\sigma_B^2 + \epsilon}} \right] + \frac{\partial \mathcal{L}}{\partial \sigma_B^2} \frac{(-2)}{m} \left[\sum_{i=1}^m (X_i - \mu_B) \right]$$

$$= \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial x_i} \gamma \frac{(-1)}{\sqrt{\sigma_B^2 + \epsilon}}$$

$$\textcircled{4} \quad \frac{\partial \mathcal{L}}{\partial x_i} = \frac{\partial \mathcal{L}}{\partial \hat{x}_i} \frac{\partial \hat{x}_i}{\partial x_i} + \frac{\partial \mathcal{L}}{\partial \mu_B} \frac{\partial \mu_B}{\partial x_i} + \frac{\partial \mathcal{L}}{\partial \sigma_B^2} \frac{\partial \sigma_B^2}{\partial x_i}$$

$$= \frac{\partial \mathcal{L}}{\partial \hat{x}_i} \frac{1}{\sqrt{\sigma_B^2 + \epsilon}} + \frac{\partial \mathcal{L}}{\partial \mu_B} \frac{1}{m} + \frac{\partial \mathcal{L}}{\partial \sigma_B^2} \frac{1}{m} 2(X_i - \mu_B)$$

$$= \frac{\partial \mathcal{L}}{\partial x_i} \gamma \frac{1}{\sqrt{\sigma_B^2 + \epsilon}} + \frac{-\gamma}{m} \frac{1}{\sqrt{\sigma_B^2 + \epsilon}} \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial x_i}$$

$$+ \frac{2(-1)}{m} (X_i - \mu_B) \left(-\frac{1}{2}\right) \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial x_i} \gamma \frac{X_i - \mu_B}{(\sigma_B^2 + \epsilon)^{\frac{3}{2}}}$$

$$= \frac{1}{m \sqrt{\sigma_B^2 + \epsilon}} \gamma \left[\frac{\partial \mathcal{L}}{\partial y_i} m - \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} - \frac{x_i - \mu_0}{\sqrt{\sigma_B^2 + \epsilon}} \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \frac{x_i - \mu_0}{\sqrt{\sigma_B^2 + \epsilon}} \right]$$

$$= \frac{1}{m \sqrt{\sigma_B^2 + \epsilon}} \gamma \left[\frac{\partial \mathcal{L}}{\partial y_i} m - \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} - \hat{x}_i \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \hat{x}_i \right]$$

$$\textcircled{5} \quad \frac{\partial \mathcal{L}}{\partial \gamma} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \frac{\partial y_i}{\partial \gamma} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \hat{x}_i$$

$$\textcircled{6} \quad \frac{\partial \mathcal{L}}{\partial \beta} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \frac{\partial y_i}{\partial \beta} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i}$$

Since we can calculate $\frac{\partial \mathcal{L}}{\partial \gamma}$, $\frac{\partial \mathcal{L}}{\partial \beta}$, we can update them using gradient descent.

$$3. \quad L(y, \hat{y}) = - \sum_j y_j \log \hat{y}_j \quad L_t = -x_t \log \hat{x}_t$$

$$\hat{x}_t = \text{softmax}(z_t) = \frac{e^{z_t}}{\sum_i e^{z_i}}$$

$$\frac{\partial L_t}{\partial \hat{x}_t} = -\frac{x_t}{\hat{x}_t}$$

$$\frac{\partial \hat{x}_t}{\partial z_t} = \hat{x}_t + \frac{e^{z_t}}{(\sum_i e^{z_i})^2} (-1) e^{z_t} = \hat{x}_t - \hat{x}_t^2$$

$$\frac{\partial L_t}{\partial z_t} = \frac{\partial L_t}{\partial \hat{x}_t} \frac{\partial \hat{x}_t}{\partial z_t} = -\frac{x_t}{\hat{x}_t} (\hat{x}_t - \hat{x}_t^2) = \hat{x}_t - x_t$$