

## Midterm Report.

- Address G.M. as "Dean Editor"
- Does abstract describe the work.
- Equation  $\rightarrow$  Appendix
- Figures (Scaling!)
- Do not be ambiguous  $\rightarrow$  Give clear arguments.
- Be precise  $\rightarrow$  Don't spend an extra 6 months re-formulate the question.
- point 8 + 9  $\rightarrow$  normally do not appear on a referee report.
- Normally, 1st reference should be enough.

## Today

Moment description of plasmas.

- less detailed than the kinetic description
- a.k.a. Fluid description.

1. To answer a question in detail  $\rightarrow$  need to use kinetic description.

2. Idea of moments.

- Idea is to project from the kinetic equation.

"1", "v", "v v", .....  $\rightarrow \infty$  # of moments.

- Normally, moments are truncated.
- When does it work?

• when  $\frac{l}{\tau} \gg v_e$



i.e., when the disturbance cannot resolve the particles.

- kinetic equation.

$$\underbrace{\frac{\partial}{\partial t} f^{(s)}}_{(I)} + \underbrace{\underline{v} \cdot \nabla_x f^{(s)}}_{(II)} + \underbrace{\underline{a} \cdot \nabla_v f^{(s)}}_{(III)} = 0 \quad \left( \underbrace{\frac{\partial f^{(s)}}{\partial t}}_{(IV)} \right)_{\text{coll}}$$

$$(I) \quad \frac{\partial}{\partial t} \int d^3v f^{(s)}(\vec{x}, \vec{v}; t) = \frac{\partial}{\partial t} n^{(s)}(\vec{x}; t)$$

$$(II) \quad \int d^3v \underline{v} \cdot \nabla_x f^{(s)}(\vec{x}, \vec{v}; t) =$$

$$\nabla \cdot (\underline{v} f^{(s)}) = f_{(s)} (\nabla \cdot \vec{v}) + \vec{v} \cdot \nabla f^{(s)}$$

"0", e.g.  $\frac{\partial}{\partial x} v_x = 0$

(e.g.  $H, p_j \leftarrow$  canonical momentum)  $\rightarrow$  Vlasov Eq. is degenerate !!

• Example of Vlasov Equation.

• Consider.

$$H = \frac{1}{2} m v^2 - q \phi \quad \leftarrow \text{self-consistent } \phi.$$

• let.

$$f = f(H)$$

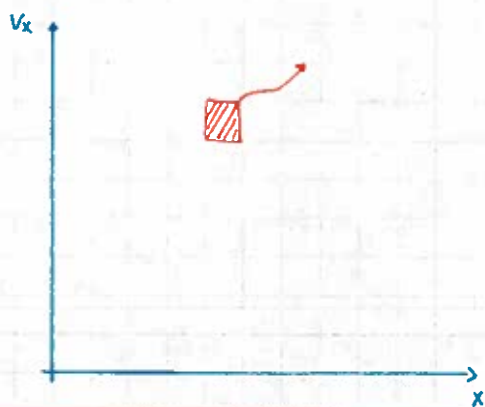
$$- v \frac{\partial f}{\partial x} = v \frac{\partial f}{\partial H} \frac{\partial H}{\partial x} = v \cdot (-q \frac{\partial \phi}{\partial x}) \frac{\partial f}{\partial H}$$

$$- \frac{a}{v} \cdot \frac{\partial f}{\partial v} = \frac{-qE}{m} \frac{\partial f}{\partial H} \frac{\partial H}{\partial v} = \frac{-qE}{m} \cdot (mv) \frac{\partial f}{\partial H}$$

$$\begin{aligned} \therefore v \frac{\partial f}{\partial x} + a \frac{\partial f}{\partial v} &= v (-q \frac{\partial \phi}{\partial x}) \frac{\partial f}{\partial H} - \frac{qE}{m} (mv) \frac{\partial f}{\partial H} \\ &= (-qE + qE) v \frac{\partial f}{\partial H} = 0 \end{aligned}$$

$\leftarrow$  regardless of what  $f(H)$  is.

Note: In phase space. ( $f^{(s)}(\underline{x}, \underline{v}; t)$  description)



$\underline{v}$  and  $\underline{x}$  are independent variables in a phase space, therefore, in the  $f^{(s)}(\underline{x}, \underline{v}; t)$  description of plasma, then,

$$\frac{\partial}{\partial x} v_x = 0$$

$\therefore$  (I) becomes

$$\int d^3v \nabla_x \cdot [\vec{v} f_s] = \nabla_x \cdot \int d^3v \vec{v} f(\vec{v}; t)$$

define,

$$\langle \vec{v}_s \rangle = \frac{\int d^3v \vec{v} f_{(s)}(\vec{x}, \vec{v}; t)}{\int d^3v f_{(s)}(\vec{x}, \vec{v}; t)}$$

hence,

$$\langle \vec{v}_s \rangle = \int \vec{v} f_{(s)}(\vec{x}, \vec{v}; t) d^3v = n(\vec{x}) \langle \vec{v}_s \rangle(\vec{x})$$

$$(II) = \nabla_x \cdot (n(\vec{x}) \langle \vec{v}_s \rangle(\vec{x}))$$

$$(III) = \int d^3v \left[ \frac{q}{m} \vec{E}(\vec{x}; t) + \frac{q}{mc} \underline{v} \times \vec{B}(\vec{r}; t) \right] \nabla_v f_s$$

$$- \frac{q}{m} \vec{E}(\vec{x}; t) \nabla_v f_s = \nabla_v \cdot \left( \frac{q}{m} \vec{E}(\vec{x}; t) f_s(\vec{x}, \vec{v}; t) \right)$$

$$- \nabla_v \cdot \left( \frac{q}{m} \vec{E}(\vec{x}; t) \right) f_s(\vec{x}, \vec{v}; t)$$

$= 0$

$f_s(\vec{x}, \vec{v}; t)$  vanishes as  $\vec{v} \rightarrow 0$ , hence,

$$\int d^3v \left[ \frac{q}{m} \vec{E}(\vec{x}; t) \nabla_v f(\vec{x}, \vec{v}; t) \right] = 0$$

$$- \text{Consider } \nabla_v \cdot [(\vec{v} \times \vec{B}(\vec{x}; t)) f_s(\vec{x}, \vec{v}; t)] = f_s \cdot \nabla(\vec{v} \times \vec{B}) + (\vec{v} \times \vec{B}) \cdot \nabla_v f(\vec{x}, \vec{v})$$

$$\nabla_v [ \underline{v} \times \underline{B} ] = \underline{B} \cdot ( \nabla_v \times \underline{\tilde{v}} ) - \underline{\tilde{v}} \cdot ( \nabla_v \times \underline{B} )$$

$= 0$  (NO Rotation)  $= 0$  (NO  $\underline{\tilde{v}}$  dependence)

$$\begin{bmatrix} \hat{v}_x & \hat{v}_y & \hat{v}_z \\ \partial_{v_x} & \partial_{v_y} & \partial_{v_z} \\ v_x & v_y & v_z \end{bmatrix} = 0$$

$$(IV) : \int d^3v \left( \frac{\partial f_s}{\partial t} \right)_{\text{coll}} = \left( \frac{\partial}{\partial t} n_s(\vec{x}; t) \right)_{\text{coll}}$$

COLLISION INCLUDES:

- e<sup>-</sup> - neutral collision
- ion - neutral collision
- other creation + destruction processes.

DOES NOT INCLUDE

- charge-particle collisions.
- coulomb scatterings.

"1" moment gives.

$$\frac{\partial}{\partial t} n_s + \nabla \cdot [ n_s \langle v_s \rangle(\vec{x}) ] = \left( \frac{\partial}{\partial t} \langle n_s \rangle \right)_{\text{coll}} \quad (*)$$

? 0 if no neutral present.

(\*) is also known as the "continuity equation". for species s

Let's calculate the " $\vec{v}$ " moment.

$$\int d^3v \quad \vec{v} \left[ \underbrace{\frac{\partial}{\partial t} f_{(s)}}_{(I)} + \underbrace{\vec{v} \cdot \nabla_x f_{(s)}}_{(II)} + \underbrace{\vec{a} \cdot \nabla_v f_{(s)}}_{(III)} \right] = \int d^3v \quad \vec{v} \left[ \frac{\partial f}{\partial t} \right]_{\text{coll}} \quad \text{IV}$$

$$(I) : \frac{\partial}{\partial t} \left[ \int d^3v \quad \vec{v} f_s(\vec{x}, \vec{v}; t) \right] = \frac{\partial}{\partial t} \langle \vec{v}_s \rangle n_s(\vec{x})$$

(II)  $\rightarrow$  this term gives great confusion, so we'll be careful here.

$$\int d^3v \quad \vec{v} \vec{v} \cdot \nabla_x f_s(\vec{x}, \vec{v}; t) = \nabla \cdot \left[ \int d^3v \quad \underline{v} \underline{v} f_s(\vec{x}, \vec{v}; t) \right]$$

Term having to do w/pressure.



Introduce CM velocity  $\langle \underline{v}_o \rangle_{CM}$

$$\langle \underline{v}_o \rangle \equiv \frac{\sum_s m_s n_s \langle \underline{v}_s \rangle}{\sum_s m_s n_s}$$

Define: A "CM" stress tensor  $\vec{P}_s^{(0)}$ , i.e.

$$\vec{P}_s^{(0)} \equiv \int d^3v \left[ \vec{v} - \langle \vec{v}_o \rangle \right] \left[ \vec{v} - \langle \vec{v}_o \rangle \right] f_s$$

- keeps track of random K.E. relative to the C.M.
- introducing CM frame gets rid of convective terms.

$$\vec{P}_s^{(0)} = \int d^3v \left[ \underline{v} \underline{v} f_s - n_s \langle \underline{v}_s \rangle \langle \underline{v}_o \rangle - n_s \langle \underline{v}_o \rangle \langle \underline{v}_s \rangle + n_s \langle \underline{v}_o \rangle \langle \underline{v}_o \rangle \right]$$

$$(II) = \nabla \cdot \left[ \vec{P}_s^{(0)} + n_s \left[ \langle \vec{v}_s \rangle \langle \vec{v}_o \rangle + \langle \vec{v}_o \rangle \langle \vec{v}_s \rangle - \langle \vec{v}_o \rangle \langle \vec{v}_o \rangle \right] \right] = \begin{bmatrix} v_{ox}^2 & v_{ox} v_{oy} & v_{ox} v_{oz} \\ v_{oy}^2 & v_{oy} v_{ox} & v_{oy} v_{oz} \\ v_{oz}^2 & v_{oz} v_{ox} & v_{oz} v_{oy} \end{bmatrix}$$

$$(III) = \int d^3v \underline{v} \underline{a} \cdot \nabla_v f_s = - \int d^3v \underline{a} f_s(\underline{x}, \underline{v}; t) \rightarrow HW$$

$$(IV) = \int d^3v \vec{v} \left( \frac{\partial f}{\partial t} \right)_{coll} =$$

- multiply by  $m_s$

$$\frac{\partial}{\partial t} \left[ m_s n_s \langle \vec{v}_s \rangle \right] + \nabla_x \cdot \left\{ m_s n_s \left[ \langle \underline{v}_s \rangle \langle \underline{v}_o \rangle + \langle \underline{v}_o \rangle \langle \underline{v}_s \rangle - \langle \underline{v}_o \rangle \langle \underline{v}_o \rangle \right] \right\} \quad (I)$$

$$= - \nabla \cdot \left[ m_s \vec{P}_s^{(0)} \right] + \int d^3v \underline{a} f_s(\underline{x}, \underline{v}; t) + \int d^3v \vec{v} \left( \frac{\partial f}{\partial t} \right)_{coll} \quad (II) \quad (III) \quad (IV) \quad (V)$$

(I) momentum density (~~divergence of~~)  $\left( \frac{\partial}{\partial t} \text{ of } \right)$

(II) (momentum density flow relative to CM)

(III) Thermal forces acting on C.M. (G.M. calls  $S \rightarrow$  species  $S$ )

(IV) average force density acting on species  $S$

(V) momentum loss due to collisions.  $\rightarrow$  collisions cannot be by the same species.

$$\langle \vec{F}_s \rangle = \left[ q_s \underline{E}(\underline{x}, t) + \frac{q_s}{m_s} \langle \underline{V}_s \rangle \times \underline{B}(\underline{x}, t) \right]$$

- sum over species. (MHD)
- higher moment equations.

Today

Fluid Equation.

Time Evolution of Plasma CM's.

Waves in plasmas

1 Recall, from last time...

2nd moment eq.

$$\frac{\partial}{\partial t} [m_s n_s \langle v_s \rangle] + \nabla \cdot \left\{ m_s n_s [2 \langle v_s \rangle \langle v_0 \rangle - \langle v_0 \rangle \langle v_0 \rangle] \right\} \\ = n_s \langle F_s \rangle + \langle \dot{p}_s \rangle_{\text{coll}} - \nabla \cdot \vec{P}_s^0 \quad \text{red. to C.M.}$$

1st moment:

$$\frac{\partial}{\partial t} \langle n_s \rangle + \nabla \cdot (n_s \langle v_s \rangle) = 0$$

no ionization (or recombination)

• We want to sum over species....

(i) DEF:

$$p_m \equiv \sum_s m_s n_s$$

$$\langle v_0 \rangle = \frac{\sum_s m_s n_s \langle \vec{v}_s \rangle}{\sum_s m_s n_s} \rightarrow \text{CM velocity.}$$

$$\frac{\partial}{\partial t} \left( \sum_s m_s n_s \right) + \nabla \cdot \left( \sum_s m_s n_s \langle \vec{v}_s \rangle \right) = 0$$

$$\rightarrow \frac{\partial}{\partial t} p_m + \nabla \cdot (p_m \langle v_0 \rangle) = 0$$

sum the 2nd moment equation over species.

$$\frac{\partial}{\partial t} \left[ \sum_s m_s n_s \langle v_s \rangle \right] + \nabla \cdot \left\{ \sum_s m_s n_s \langle v_0 \rangle \langle v_0 \rangle \right\} \\ = \sum_s q_s n_s \vec{E} + \sum_s q_s \frac{n_s \langle v_s \rangle}{c} \times \underline{B} + \sum_s (\dot{p}_s)_{\text{coll}} - \nabla \cdot \sum_s \vec{P}_s^0$$

$$(*) \sum_s (\dot{p}_s)_{\text{coll}} = 0 \rightarrow \text{system is closed.}$$

• no bremsstrahlung. (wrong regime)

• no neutral collisions

Let's call.

$$\cdot \sum_s n_s q_s = p_q \rightarrow \text{Macroscopic change of the plasma}$$

$$\cdot \sum_s \frac{q_s n_s \langle \underline{v}_s \rangle}{c} = \vec{J}_q / c \rightarrow \text{Macroscopic current density.}$$

$$\cdot \nabla \cdot \sum_s \vec{P}_s^0 \rightarrow \text{Pressure due to the species.}$$

• under thermal equilibrium, all  $\vec{P}_s^0$  look the same...

hence, the 2-nd moment equation becomes

$$\frac{d}{dt} [p_m \langle \underline{v}_0 \rangle] + \nabla \cdot [p_m \langle \underline{v}_0 \rangle \langle \underline{v}_0 \rangle] = p_q \vec{E} + \frac{\vec{j} \times \vec{B}}{c} - \nabla \cdot \vec{P}_0$$

$$\cdot \vec{P}_0 = \sum_s \vec{P}_s^0$$

$$\cdot \frac{d}{dt} [p_m \langle \underline{v}_0 \rangle] = p_m \frac{d}{dt} \langle \underline{v}_0 \rangle + \langle \underline{v}_0 \rangle \frac{d}{dt} p_m$$

$$= p_m \frac{d}{dt} \langle \underline{v}_0 \rangle + \langle \underline{v}_0 \rangle \left\{ -\nabla \cdot p_m \langle \underline{v}_0 \rangle \right\} \quad \text{! cont. equation}$$

$$\cdot \nabla \cdot [p_m \langle \underline{v}_0 \rangle \langle \underline{v}_0 \rangle] = p_m \langle \underline{v}_0 \rangle \cdot \nabla \langle \underline{v}_0 \rangle + \underline{v}_0 \nabla \cdot [p_m \langle \underline{v}_0 \rangle]$$

2nd rank.

→ i.e., a lower conservation law (density), makes the higher order conservation law non-symmetric, i.e.,

$$p_m \left[ \frac{d}{dt} \langle \underline{v}_0 \rangle + \langle \underline{v}_0 \rangle \cdot \nabla \langle \underline{v}_0 \rangle \right] = p_q \vec{E} + \frac{\vec{j} \times \vec{B}}{c} - \nabla \cdot \vec{P}_0$$

Convective derivative.

• In summary, we've derived the single C.M. equation of motion of a plasma.

$$p_m \frac{d}{dt} \langle \underline{v}_0 \rangle = p_q \vec{E} + \frac{\vec{j} \times \vec{B}}{c} - \nabla \cdot \vec{P}_0$$

e.g. if turbulence develops high freq. waves.

$$p_q \sim p_0 e^{-i\omega t}$$

$$\vec{E} \sim \vec{E}_0 e^{-i\omega t}$$

$$\vec{j} \sim \vec{j}_0 e^{-i\omega t}$$

we need to find a suitable pressure tensor....



Side-note.

high frequency terms (after time-averaging), will manifest themselves as low-frequency forces and accelerate the plasma C.M.

- ponderomotive force.
- laser heating of pellets.

## Magnetic Confinement of a Plasma

$$\frac{\mathbf{j} \times \mathbf{B}}{c} = \nabla \cdot \vec{P}$$

i.e., one needs to develop a current in order to confine a plasma.

- Now, let's back-track and consider conservation laws for species s

→ Redefine stress-tensor.

- 2nd order moment equation

$$\frac{d}{dt} \left[ m_s n_s \langle \underline{v}_s \rangle \right] + \nabla \cdot \left[ m_s \int d^3v \underline{v} \underline{v} f_s \right] = n_s \langle \underline{F} \rangle + \langle \dot{\underline{P}}_s \rangle_{\text{coll}}$$

Let's define.

$$\vec{P}_s = \int d^3v m_s \left[ \underline{v} - \langle \underline{v}_s \rangle \right] \left[ \underline{v} - \langle \underline{v}_s \rangle \right] f_s$$

$$\vec{P}_s = \int d^3v \underbrace{\underline{v} \underline{v}}_{m_s} f_s - m_s n_s \langle \underline{v}_s \rangle \langle \underline{v}_s \rangle - m_s n_s \cancel{\langle \underline{v}_s \rangle \langle \underline{v}_s \rangle} + m_s n_s \cancel{\langle \underline{v}_s \rangle \langle \underline{v}_s \rangle}$$

$$\left[ \int m_s \underline{v} \underline{v} f_s d^3v \right] = \vec{P}_s + m_s n_s \langle \underline{v}_s \rangle \langle \underline{v}_s \rangle$$

$$\frac{d}{dt} \left[ m_s n_s \langle \underline{v}_s \rangle \right] + \nabla \cdot \left[ \vec{P}_s + m_s n_s \langle \underline{v}_s \rangle \langle \underline{v}_s \rangle \right] = n_s \langle \underline{F}_s \rangle + \langle \dot{\underline{P}} \rangle_{\text{coll}}$$

- Same prescription as before.

$$\frac{d}{dt} \left[ m_s n_s \langle \underline{v}_s \rangle \right] + \nabla \cdot \left[ m_s n_s \langle \underline{v}_s \rangle \langle \underline{v}_s \rangle \right]$$

$$= n_s \langle \underline{F}_s \rangle + \langle \dot{\underline{P}} \rangle$$

Use a lower conservation law, (as before)

$$m_s n_s \frac{d}{dt} \langle \underline{v}_s \rangle = q_s n_s \underline{E} + q_s n_s \frac{\langle \underline{v}_s \rangle \times \underline{B}}{c} + \langle \underline{\dot{P}}_s \rangle_{coll} - \nabla \cdot \underline{\vec{P}}_s$$

$$\downarrow \left[ \frac{\partial}{\partial t} + \langle \underline{v}_s \rangle \cdot \nabla \right]$$

2 main differences

•  $\underline{\vec{P}}_s$  replaces  $\underline{P}_0$

•  $q_s n_s$  is not trivial ( $\rho_q = \sum_s q_s n_s \approx 0$ )

Fluid Description of a Plasma (magnetized)

- what information is hidden in the higher order equations?
- Use first 2-moments, i.e., no transport.
- Can only look at  $\tau < \tau_{transport}$ .
- characteristic phase velocity

$$\frac{\omega}{k} \gg \langle v_s \rangle$$

i.e., the wave does not sample the distribution function.

- far from boundaries. (no sheaths, no probes....)

Eq.'s to ~~describe~~ describe plasma. (no brackets)

• Continuity:

$$\frac{\partial}{\partial t} n_s + \nabla \cdot (n_s \underline{v}_s) = 0 \quad \leftarrow \text{no ionization}$$

! no brackets

• Eq. of motion:

$$m_s n_s \left[ \frac{\partial}{\partial t} \underline{v}_s + \underline{v}_s \cdot \nabla \underline{v}_s \right] = n_s q_s \underline{E} + \langle \underline{\dot{P}}_s \rangle_{coll} - \nabla \cdot \underline{\vec{P}}_s$$

(dropping  $\underline{j} \times \underline{B}$  term)

• Maxwell's Equation.

$$\nabla \cdot \underline{E} = 4\pi \sum_s n_s q_s$$

$$\nabla \times \underline{E} = -\frac{1}{c} \frac{\partial}{\partial t} \underline{B}$$

$$\nabla \cdot \underline{B} = 0$$

$$\nabla \times \underline{B} = \frac{4\pi}{c} \nabla \left[ n_s q_s \langle \underline{v}_s \rangle + \frac{1}{c} \frac{\partial}{\partial t} \underline{E} \right]$$

• however, we don't have

$$\boxed{\nabla \cdot \vec{P}_s} \quad !!!$$

(i) Assume  $\vec{P}_s$  is a scalar

$$\vec{P}_s = P_s \vec{1} \longrightarrow \nabla \cdot \vec{P}_s = \nabla P_s$$

(later we'll derive a relation between  $n$ ,  $T$ , and  $P$ )

→ study of linear behavior of

- infinite (no boundaries)
- uniform. (0th order has no gradients)
- no 0-th order flow. ( $\langle \underline{v}_s \rangle_0 = 0$ )
- perturbed quantities are "small".

#### Difficulties

- Maxwell's Eq's are linear → Good.
- Cont. Eq. and the equation of motion has non-linear terms, i.e.,

$$\boxed{\begin{aligned} & - n_s \frac{\partial}{\partial t} \langle \underline{v}_s \rangle \\ & - n_s \langle \underline{v}_s \rangle \cdot \nabla \langle \underline{v}_s \rangle \\ & - n_s \langle \underline{v}_s \rangle \end{aligned}}$$

In linear description, we'll let.

$$n_s = n_{0s} + \tilde{n}_s, \quad \frac{|\tilde{n}_s|}{n_{0s}} \ll 1$$

$$\underline{v}_s = \cancel{\langle \underline{v}_s \rangle_0} + \tilde{\underline{v}}_s$$

linearize NL. terms, we get ...

$$n_s \frac{\partial}{\partial t} \underline{v}_s \approx n_{0s} \frac{\partial}{\partial t} \tilde{\underline{v}}_s,$$

i.e.,

$$\tilde{n}_s \frac{\partial}{\partial t} \tilde{\underline{v}}_s$$

is neglected.

$$n_s \langle \underline{v}_s \rangle \cdot \nabla \langle \underline{v}_s \rangle = 0$$

i.e., this term is intrinsically non-linear.

$$n_s \underline{v}_s \approx n_{os} \tilde{\underline{v}}_s$$