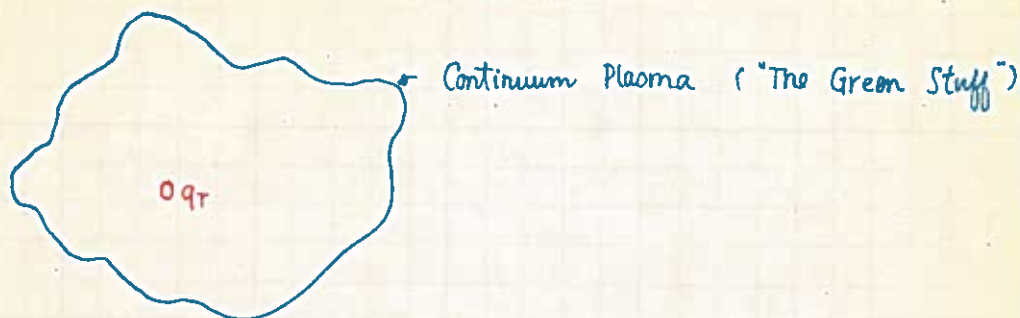


• In better notation.

$$\vec{E}_{\text{TOTAL}} = \langle \vec{E} \rangle + \delta \vec{E} \quad \sim \text{rapidly varying field due to N.N.}$$

EXAMPLE: Debye Screening of a static charge



• Consider Thermal Equilibrium.  $\rightarrow$  ions and electrons have same temperature  $T$ .

$$n_i = n_0 \exp \left[ - \frac{q \phi(r)}{kT} \right]; \quad n_e = n_0 \exp \left[ + \frac{e \phi(r)}{kT} \right]$$

$$\text{Let } \nabla \cdot \vec{E} = 4\pi \rho \quad \nabla \phi = -\vec{E}$$

$$\nabla^2 \phi = -4\pi \rho = 4\pi n_0 \left[ q \exp \left( - \frac{q \phi(r)}{kT} \right) - e \exp \left( \frac{e \phi}{T} \right) \right] \approx 4\pi q_T \delta(r)$$

For simplicity, let  $|q| = |e|$

$$\nabla^2 \phi - 8\pi n_0 e \sinh \left( \frac{e \phi}{T} \right) = -4\pi q_T \delta(r) \quad \text{NL - EQ in 3D.}$$

\* As of '90, exact solution is found only in 2-D.

• In a linear regime,  $\left( \frac{e \phi}{T} \right) \ll 1 \rightarrow$

$$\nabla^2 \phi - \left( \frac{8\pi n_0 e^2}{T} \right) \phi = -4\pi q_T \delta^3(r)$$

$$\text{DEFINE } k_D^2 \equiv \frac{8\pi n_0 e^2}{T}$$

\* To solve (HW)

$$\phi(\vec{r}) = \frac{1}{(2\pi)^{3/2}} \int d^3k \quad \cancel{\frac{d^3k}{(2\pi)^3}} \quad e^{i\vec{k} \cdot \vec{r}} \tilde{\phi}(\vec{k})$$

$$-(k^2 + k_D^2) \tilde{\phi}(k) = -4\pi q_T e^{i\vec{k} \cdot \vec{r}}$$

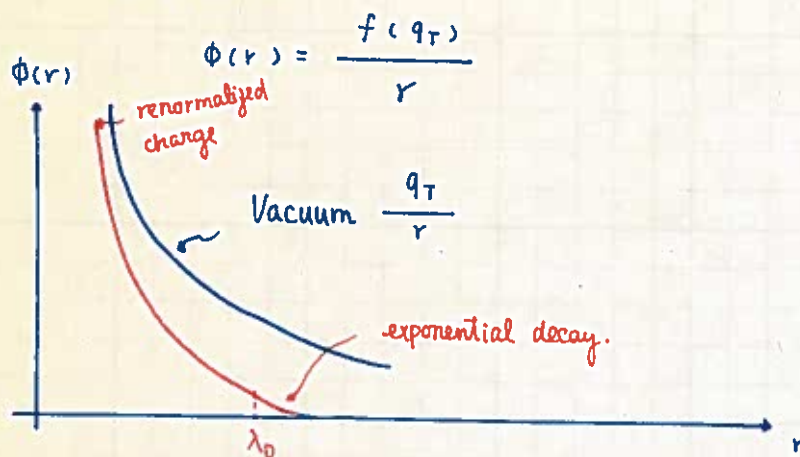
$$\phi(\vec{r}) = \int \frac{d^3k}{(2\pi)^{3/2}} \frac{4\pi q_T}{k^2 + k_D^2} e^{i\vec{k} \cdot \vec{r}}$$

$$\phi(\vec{r}) = \frac{q_T}{r} e^{-\kappa_D r}$$

→ Consequence of full non-linear terms, i.e.,  $\sinh\left(\frac{e\phi}{T}\right) \neq \frac{e\phi}{T}$

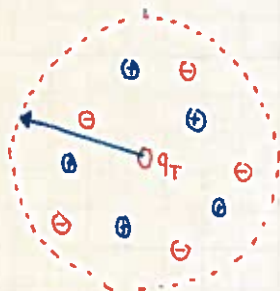
• Charge becomes renormalized.

• as  $r \rightarrow 0$



• for  $q_T > 0$  (HW)

DEBYE CLOUD



→ Cloud develops s.t.  $q_T$  is cancelled

→ In thermal equilibrium:  $\frac{1}{2} q_T$  comes from electrons,  $\frac{1}{2} q_T$  comes from ions

• In a plasma.



- if charges are within each others Debye Cloud. → behave like nearest neighbors.

- if charges are not within each others Debye cloud → particle sees the continuum.

- for the picture to be self-consistent

$$T = n \lambda_D^3 \gg 1$$

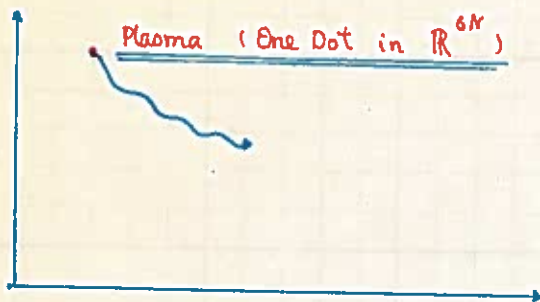
# Stat Mech of Plasma ( $N$ particles + classical)

(i) Exact + Useless

$$\bullet f(\underline{r}_1, \underline{v}_1; \underline{r}_2, \underline{v}_2; \dots; \underline{r}_N, \underline{v}_N, t)$$

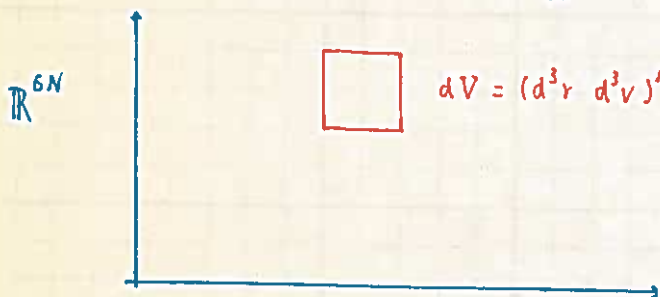
↑  
Liouville Function

Plasma (One Dot in  $\mathbb{R}^{6N}$ )



6-N Dimensional Phase Space

• Gibbs's Ensemble: large # of replicas (identical in dynamics) but different in initial condition.



$$dV = (d^3r \, d^3v)^N$$



how many members of ensemble found in  $dV$  of the 6N-Dimensional Phase Space; i.e., by normalizing, one find "probability of being in some region."

$$\langle F \rangle = \int^N (f^1, f^2, \dots, f^N; t)$$

↑  
individual phase space

→  $N$ -particle distribution → now continuous.

$$\frac{\partial}{\partial t} f^N + \{ f^N, H \} = 0$$



# Today Basic Equations of Plasma.

1. Given

$$f(\vec{r}_1, \vec{v}_1; \vec{r}_2, \vec{v}_2; \dots; \vec{r}_N, \vec{v}_N; t)$$

$$H = H(p_i, q_i)$$

Evolution of  $f^N$  is the Hamiltonian flow

$$\frac{\partial}{\partial t} f^N + \{f^N, H\} = 0$$

$$\{f^N, H\} = \sum_i \left[ \frac{\partial H}{\partial p_i} \frac{\partial f^N}{\partial q_i} - \frac{\partial f^N}{\partial p_i} \frac{\partial H}{\partial q_i} \right] \quad *$$

→ Hamilton - Jacobi Equation

$$\frac{\partial H}{\partial p_i} = \dot{q}_i \quad \frac{\partial H}{\partial q_i} = -\dot{p}_i$$

\* becomes

$$\frac{\partial}{\partial t} f^N + \sum_i \dot{q}_i \frac{\partial f^N}{\partial q_i} + \dot{p}_i \frac{\partial f^N}{\partial p_i} = 0 \quad \text{or source term}$$

Use Cartesian co-ordinate + NR.

$$\dot{q}_i \rightarrow v_i; \quad \dot{p}_i = F_i; \quad p_i = m v_i \quad \rightarrow \text{Particles now have meaning.}$$

$$\frac{\partial}{\partial t} f^N + \sum_{i=1}^N v_i \cdot \frac{\partial}{\partial \underline{x}_i} f^N + \underbrace{\underline{a}_i}_{\substack{\uparrow \\ \text{e.g. } \underline{a}_j = \frac{q_j E}{m_j}}} \frac{\partial}{\partial \underline{v}_i} f^N = 0 \quad \text{or source term.} \quad **$$

\*\* Contains all the correlations! → will separate it into NN terms and continuum terms

• example of a strongly correlated system → solid.



• plasma is weakly correlated material because it has a lot of KE.

- Easiest example of  $f^N \rightarrow q_j = 0 \rightarrow$  i.e. ideal gas ( $N$  non-interacting particles...)

$$f^N(r_1, r_2, \dots, r_N) = f^{(1)}(r_1) f^{(1)}(r_2) \dots f^{(1)}(r_N)$$

↓  
single particle dist. function

- to project single-particle dist. function.

$$f^{(1)}(r_1) = \int dr_2 dr_3 \dots dr_N f^{(N)}(r_1, r_2, \dots, r_N) \quad \text{exact...}$$

- Use a similar procedure to get 2-particle dist function.

$$f^{(2)}(r_1, r_2) = \int dr_3 dr_4 \dots dr_N f^{(N)}(r_1, r_2, \dots, r_N)$$

(Example: Myers cluster expansion)

- Recall  $\rightarrow$  Plasma parameter  $= \frac{\langle PE \rangle}{\langle KE \rangle} = T$

- Perturbation Th to calculate  $f^{(2)}(r_1, r_2)$

$$f^{(2)}(r_1, r_2) = f^{(1)}(r_1) f^{(1)}(r_2) + \text{correlation terms}$$

2. find  $f^{(1)}(r_1)$

- Given

$$\frac{\partial}{\partial t} f^N + \sum_{j=1}^N \underline{v}_j \cdot \frac{\partial f^{(N)}}{\partial \underline{x}_j} + \underline{a}_j \frac{\partial f^{(N)}}{\partial \underline{v}_j} = 0$$

apply  $\int dr_2 dr_3 dr_4 \dots dr_N$

- 1st term  $\rightarrow \frac{\partial}{\partial t} f^{(1)}$

- 2nd term  $\rightarrow$

$$\int \int (\underline{dx}_2 \underline{dy}_2 \underline{dz}_2) (\underline{dv}_{x2} \underline{dv}_{y2} \underline{dv}_{z2}) \dots \left[ \underline{v}_{x1} \frac{\partial f^N}{\partial x_1} + \dots + \underline{v}_{x2} \frac{\partial f^N}{\partial x_2} + \underline{v}_{y2} \frac{\partial f^N}{\partial y_2} + \underline{v}_{z2} \frac{\partial f^N}{\partial z_2} \right]$$

let  $f^N(r_1, r_2, \dots) \rightarrow 0$  as  $r_j \rightarrow \infty$

2nd term:  $\underline{v}_1 \cdot \frac{\partial}{\partial \underline{x}_1} f^{(1)}(r_1)$

- 3rd term.

$$\sum_{j=1}^N \int dr_2 dr_3 \dots dr_N \frac{q_j}{m_j} \underline{E} \cdot \frac{\partial}{\partial \underline{v}_j} f^N(r_1, \dots, r_N) = 0$$

What is  $\underline{E}$ ?

$$\underline{E} = E(\underline{r}_1, \underline{r}_2, \underline{r}_3, \dots, \underline{r}_N)$$

$$\underline{E} = \langle \underline{E} \rangle + \delta \underline{E} \leftarrow \text{fluctuation due to correlations.}$$

average field

$\therefore$  3rd term becomes

$$\sum_{j=1}^N \int dP_2 dP_3 \dots dP_N \frac{q_j}{m_j} \underline{E} \cdot \frac{\partial}{\partial \underline{v}_j} f$$

$$- \langle \underline{E} \rangle = \langle \underline{E} \rangle(\underline{r}_j) \leftarrow \text{averaged field experienced by } \underline{r}_j$$

$$- \delta \underline{E} = \delta \underline{E}(\underline{r}_1, \underline{r}_2, \dots, \underline{r}_N)$$

$\therefore$  the integral involving  $\langle \underline{E} \rangle$  has only one surviving term.

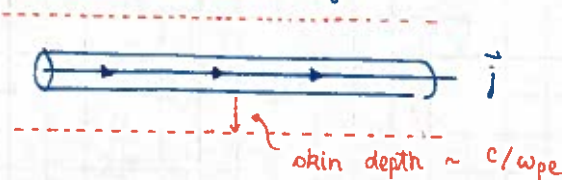
$$\frac{\partial}{\partial t} f^{(1)} + \underline{v}_1 \cdot \frac{\partial f^{(1)}}{\partial \underline{x}_1} + \frac{q_1}{m_1} \langle \underline{E} \rangle(\underline{r}_1) \cdot \frac{\partial}{\partial \underline{v}_1} f^{(1)}$$

$$= - \sum_{j=1}^N \int dP_2 dP_3 \dots dP_N \delta \underline{E}(\underline{r}_1, \underline{r}_2, \underline{r}_3, \dots, \underline{r}_N) \cdot \frac{\partial f^{(1)}}{\partial \underline{v}_j}$$

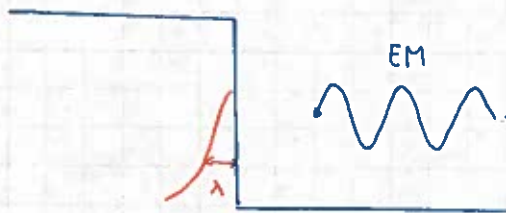
Kinetic Eq.  
For Plasma.

Correlation term (Collision?)

Remark: Shielded current filaments.



Recall:



$$k = \sqrt{\frac{\omega^2 - \omega_p^2}{c^2}} \approx i \frac{\omega_p}{c} \rightarrow \lambda \approx \frac{c}{\omega_p} \text{ for low frequency EM waves.}$$

Let's write  $\delta \underline{E}(\underline{r}_1, \underline{r}_2, \dots, \underline{r}_N)$  in terms of  $f^{(2)}(P_i, P_j)$ , and the term.

$$- \sum_{j=1}^N \int dP_2 dP_3 \dots dP_N \frac{q_j}{m_j} \delta \underline{E}(\underline{r}_1, \underline{r}_2, \dots, \underline{r}_N) \cdot \frac{\partial f^{(1)}}{\partial \underline{v}_j}$$



represents.

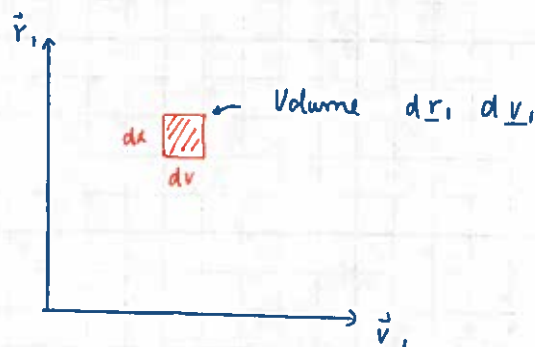
- 2 particles correlations
- N.N. collisions.

So, the Kinetic Equation becomes.

$$\frac{\partial}{\partial t} f^{(1)} + \underline{v}_1 \cdot \frac{\partial}{\partial \underline{x}_1} f^{(1)} + \frac{q_1}{m_1} \langle \underline{E} \rangle \cdot \frac{\partial}{\partial \underline{v}_1} f^{(1)} = - \left( \frac{\partial}{\partial t} f^{(1)} \right)_{\text{collision}}$$

e.g. Fokker-Planck.

- $f^{(1)}(\underline{x}_1, \underline{v}_1) d^3x_1 d^3v_1 \rightarrow$  is the probability of finding "a particle" in a region of phase space  $(\underline{x}_1, \underline{v}_1)$



- Generalize to
  - include  $\vec{B}$  field.
  - drop (1) label.

$$\bullet - \frac{df}{dt} + \vec{v} \cdot \nabla f + \frac{q}{m} \left[ \vec{E} + \frac{\vec{v}}{c} \times \vec{B} \right] \cdot \nabla_v f = - \left( \frac{d}{dt} f \right)_{\text{coll.}}$$

$$\bullet \nabla \cdot \vec{E} = -4\pi \int_S \int d^3v f$$

$$\bullet \nabla \times \vec{B} = \frac{4\pi}{c} \int_S \int d^3v \vec{v} f + \frac{1}{c} \frac{d}{dt} \vec{E}$$

$$\frac{\partial}{\partial t} f_s(\vec{r}, \vec{v}; t) + \vec{v} \cdot \nabla_{\vec{r}} f_s(\vec{r}, \vec{v}; t) + \frac{q_s}{m_s} \left[ \vec{E}(\vec{r}; t) + \frac{\vec{r} \times \vec{B}(\vec{r}, t)}{c} \right] \cdot \nabla_{\vec{v}} f_s(\vec{r}, \vec{v}; t)$$

$$= \left( \frac{\partial}{\partial t} f_s(\vec{r}, \vec{v}; t) \right)_{\text{coll.}} \leftarrow \text{A complicated integral.}$$

Today.

Kinetic Equation.

$$1. f_s(\vec{r}, \vec{v}; t) \leftrightarrow f_s^{(n)}(\vec{r}, \vec{v}; t)$$

Recall  $\langle PE \rangle \ll \langle KE \rangle \rightarrow f^{(2)}, f^{(3)}$  not important

$$2. \text{Collisionless plasma} \rightarrow \left( \frac{\partial f_s}{\partial t} \right)_{\text{coll}} = 0 \quad [\text{Vlasov Equation}]$$

- Wave phenomena  $\omega / \text{time scale}$

$$T \ll T_{\text{coll}}$$

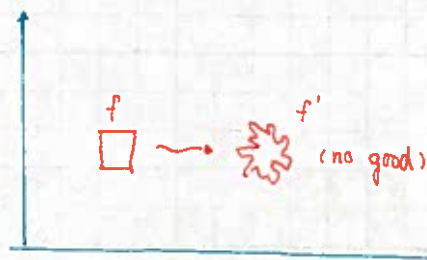
- $\vec{v} \cdot \vec{E}$  is exact in the limit  $n \lambda_D^3 \rightarrow \infty$
- $f_s$  is a continuous fluid in phase space
- "Mean field" description
- No correlations
- $\vec{v} \cdot \vec{E} \rightarrow \frac{D}{Dt} f = 0 \rightarrow$  incompressible fluid in phase space....

i.e.



- Can be used to calculate properties.  $\rightarrow$  "Water Bag Model"
- $\rightarrow$  Calculate motion of boundaries.

Useful for simple boundaries





• Entropy is conserved in this system.  $\rightarrow$  because  $\mathcal{F}$  collisions.

- role of collisions  $\rightarrow$  discontinuous jump in phase space.

-  $S$  is defined by

$$S = - \int d^3r d^3v f(\vec{r}, \vec{v}; t) \ln f(\vec{r}, \vec{v}; t)$$

$$\frac{dS}{dt} = \int d^3r d^3v \left[ \ln f + 1 \right] \frac{\partial f}{\partial t}$$

• unity term goes to 0 (continuity)

•  $\ln$  term

$$\frac{\partial S}{\partial t} = \int d^3r d^3v \ln f \left[ -\underline{v} \cdot \underline{\nabla}_x f - \underline{a} \cdot \underline{\nabla}_v f \right]$$

Use identity.

$$\frac{\partial}{\partial x} [f \ln f] = \ln f \frac{\partial f}{\partial x} + \frac{\partial f}{\partial x}$$

$$\ln f \frac{\partial f}{\partial x} = \frac{\partial}{\partial x} [f \ln f] - \frac{\partial f}{\partial x}$$

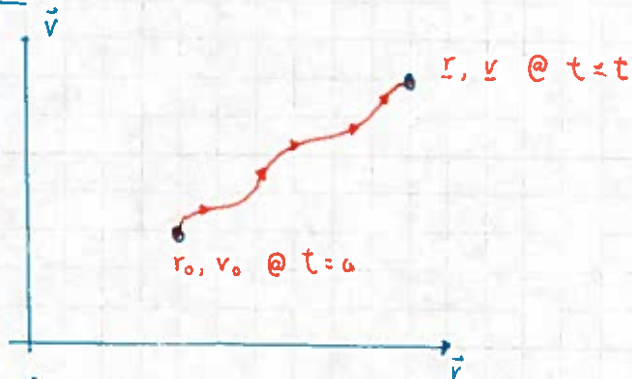
2 perfect differentials.

• This implies that plasma processes are reversible, i.e., Landau damping is ~~irreversible~~.  $\rightarrow$  plasma echo.

$$\frac{\partial S}{\partial t} = 0 \quad (\tau \ll \tau_{\text{collision}})$$

$\rightarrow$  plasma echo exists!

## 2. Orbit functions...



• Using  $\dot{\vec{v}} = \vec{a}$ , we can make connection between "a particle" and "a fluid element", therefore, we can make the statement.

Incompressible description

$$f(r, v; t) = f(r_0(r, v, t), v_0(r, v, t); t) \rightarrow \text{soln. along the characteristics}$$

- $\therefore$  we have a mapping  
 $\rightarrow$  if you know the orbits  
 $\rightarrow$  you know  $f$ .

Remark: example of characteristics.  $\rightarrow$  wave equation

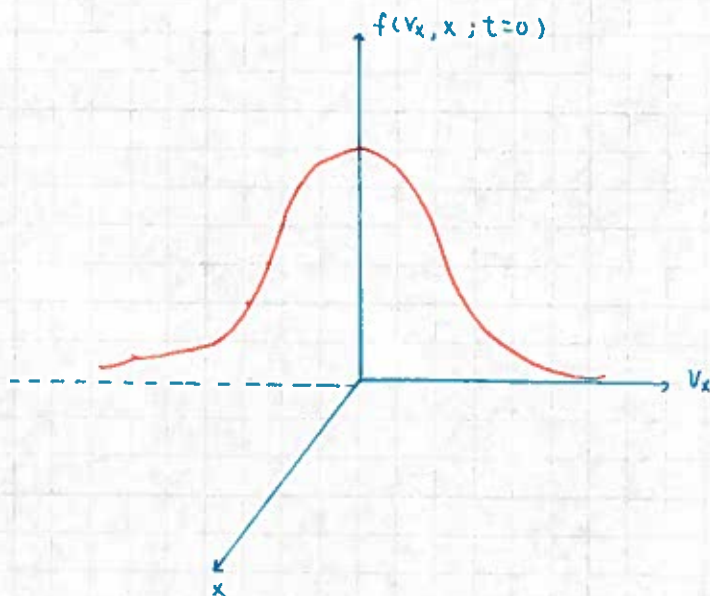
$$\frac{\partial^2}{\partial x^2} \psi - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \psi = 0 \quad \parallel \quad \text{but } \psi = \psi(x-ct) \text{ is solution !!}$$

Example:

- Say  $q=0$ , i.e., no charges  $\rightarrow$  "free streaming"

- let @  $t=0$

$$f(r, v; t=0) = \frac{f(x) e^{-v^2/2\bar{v}^2}}{(2\pi \bar{v}^2)^{3/2}}$$



Equation of motion.

$$\begin{cases} \underline{v} = \underline{v}_0 \\ \underline{r} = \underline{r}_0 + \underline{v}_0 t \end{cases}$$

$$\rightarrow \underline{r}_0 = \underline{r} - \underline{v} t.$$

$$\underline{r}_0(r, v; t) = \underline{r} - \underline{v} t.$$

$$\underline{x}_0 = \underline{x} - \underline{v}_x t$$

$$\therefore f(\underline{r}, \underline{v}; t) = \frac{\delta(\underline{x} - \underline{v}_x t)}{(2\pi \bar{v}^2)^{3/2}} e^{- (v_x^2 + v_y^2 + v_z^2) / 2 \bar{v}^2}$$

→ @ fixed  $t$  and  $\underline{x}$ , only see  $\underline{v}_x = \frac{\underline{x}}{t}$  particles.

$$f(\underline{r}, \underline{v}; t) = \frac{\delta(\underline{x} - \underline{v}_x t)}{(2\pi \bar{v}^2)^{3/2}} e^{- \left( \frac{(\underline{x}/t)^2}{2 \bar{v}^2} \right)} e^{- (v_y^2 + v_z^2) / 2 \bar{v}^2}$$

$\delta$ -function allows this substitution.

• ~~#~~ Vlasov - Picture → continuous perturbation due to free-streaming

Example of slightly perturbed orbits

• Say  $q \neq 0$ , but small change arises  
- either because perturbation is small or  $st$  is small

• Introduce a slight perturbation to the free streaming term

$$\begin{cases} \underline{r}_0(\underline{r}, \underline{v}; t) = \underline{r} - \underline{v} t + \Delta \underline{r} \\ \underline{v}_0(\underline{r}, \underline{v}; t) = \underline{v} + \Delta \underline{v} \end{cases} \quad \leftarrow \text{small quantity}$$

$$f(\underline{r}, \underline{v}; t) = f(\underline{r} - \underline{v} t + \Delta \underline{r}, \underline{v} + \Delta \underline{v}; t)$$

$$\begin{aligned} &\text{free streaming term.} \rightarrow \approx f(\underline{r} - \underline{v} t, \underline{v}; t=0) + \Delta \underline{r} \cdot \nabla_{\underline{r}} f(t=0) \\ &\quad + \Delta \underline{v} \cdot \nabla_{\underline{v}} f(t=0) \end{aligned} \quad \leftarrow \text{Pushing elements of } f(\underline{r}, \underline{v}; t)$$

3 How to specify a equilibrium plasma?

(a) for long time. →  $\tau > \tau_{\text{coll}} \rightarrow S$  is maximized.  
→  $f$  reaches a maxwellian

$$f(\underline{v}) \sim e^{- (\underline{v}^2 / 2 \bar{v}^2)}$$

i.e., thermal equilibrium.

(b) Vlasov equilibrium

$$\dot{f}_s(\underline{r}, \underline{v}; t) + \vec{v} \cdot \nabla_{\underline{r}} f(\underline{r}, \underline{v}; t) + \vec{a} \cdot \nabla_{\underline{v}} f(\underline{r}, \underline{v}; t) = 0$$

$$\text{Equilibrium: } \frac{\partial}{\partial t} f^{(s)} = 0$$

→ Any function of the single particle invariant is a Vlasov equilibrium,