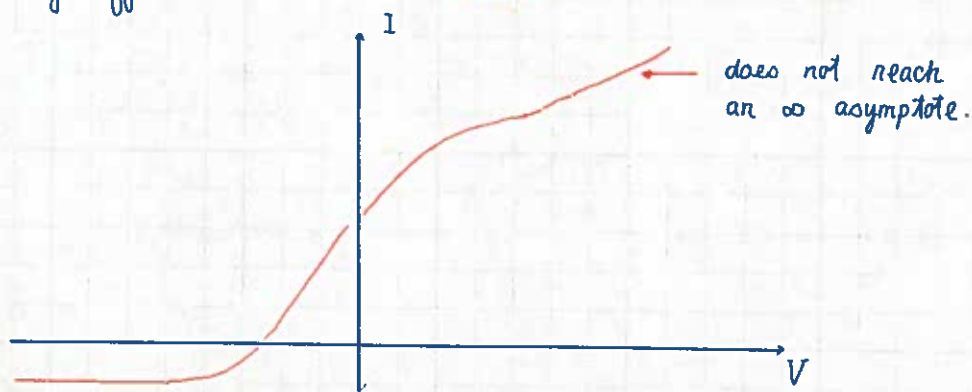


- Geometry effects the saturation current

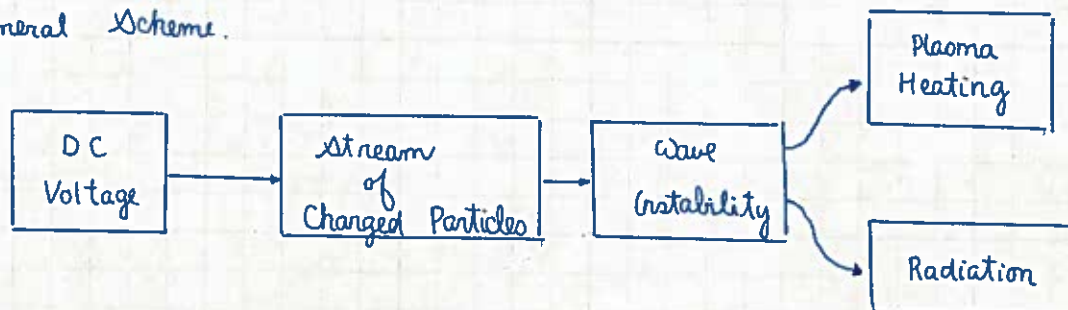


- Hydrodynamic streaming instabilities.

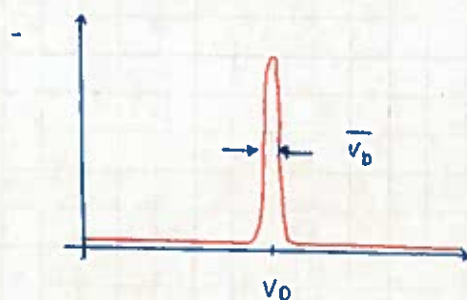
- u-wave instability: klystrons, traveling wave tubes (TWT), backward wave oscillators, magnetrons.

- modern: free electron lasers, gyrotrons, CARM's

- General Scheme.

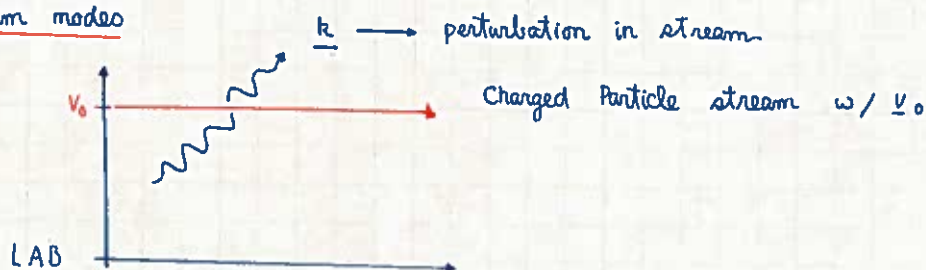


- Hydrodynamic  $\rightarrow$  Moment description is OK



$$\text{if } v_0 \gg v_b \\ f \rightarrow \delta^3(\underline{v} - \underline{v}_0)$$

- Beam modes



- linearized eq. of motion.

$$\frac{\partial}{\partial t} \tilde{\underline{v}} + \underline{v}_0 \cdot \nabla \tilde{\underline{v}} = -q \nabla \phi$$

• Cont. Equation

$$\frac{\partial}{\partial t} \tilde{n} + \nabla \cdot \left[ n_0 \tilde{\underline{v}} + \underline{v}_0 \tilde{n} \right] = 0$$

Now, let  $\tilde{\underline{v}}, \tilde{n}, \phi \sim e^{i(\underline{k} \cdot \underline{x} - \omega t)}$

•  $i \left[ \underline{k} \cdot \underline{v}_0 - \omega \right] \tilde{\underline{v}} = - \frac{q i k}{m} \phi$  (force eq.)

$$\tilde{\underline{v}} = \frac{q \underline{k} \phi}{m \left[ \omega - \underline{k} \cdot \underline{v}_0 \right]}$$

• Cont. Eq.

$$i \left[ \underline{k} \cdot \underline{v}_0 - \omega \right] \tilde{n} = n_0 i \underline{k} \cdot \tilde{\underline{v}}$$

$$\tilde{n} = \frac{n_0 q k^2 \phi}{m (\omega - \underline{k} \cdot \underline{v}_0)^2}$$

• Poisson's Eq.

$$k^2 \phi = 4\pi q \tilde{n} = \frac{4\pi n_0 q^2 k^2 \phi}{m (\omega - \underline{k} \cdot \underline{v}_0)^2}$$

$$\left[ 1 - \frac{\omega_p^2}{(\omega - \underline{k} \cdot \underline{v}_0)^2} \right] k^2 \phi = 0 \dots$$

$$(\omega - \underline{k} \cdot \underline{v}_0)^2 = \omega_p^2$$

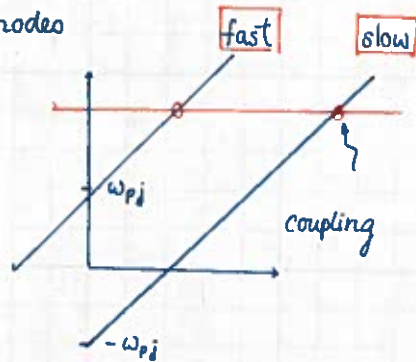
$$\omega = \underline{k} \cdot \underline{v} \pm \omega_p \longrightarrow 2 \text{ beam roots}$$

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• Last time.

• Beam modes

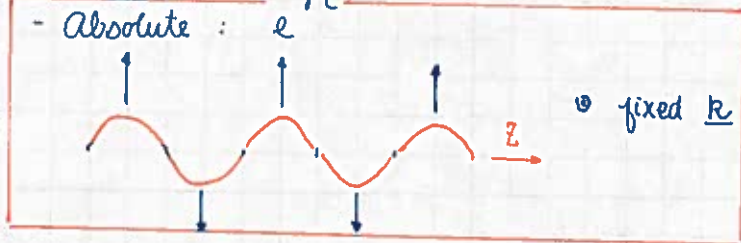


$$\omega = \underline{k} \cdot \underline{v} \pm \omega_{pi}$$

- beam mode
- collective mode



• Instabilities.

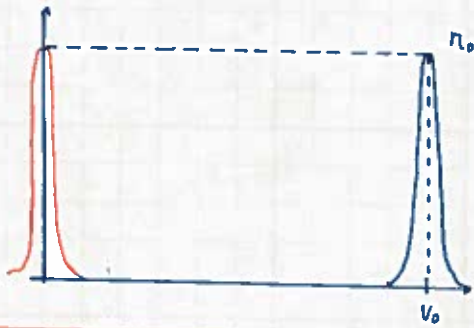


Well be discussing instabilities of this type.

• Convective :



Ex : Electron-ion stream instability or "Buneman instability"  
 • Phys. Rev. 115, 503 (1959)

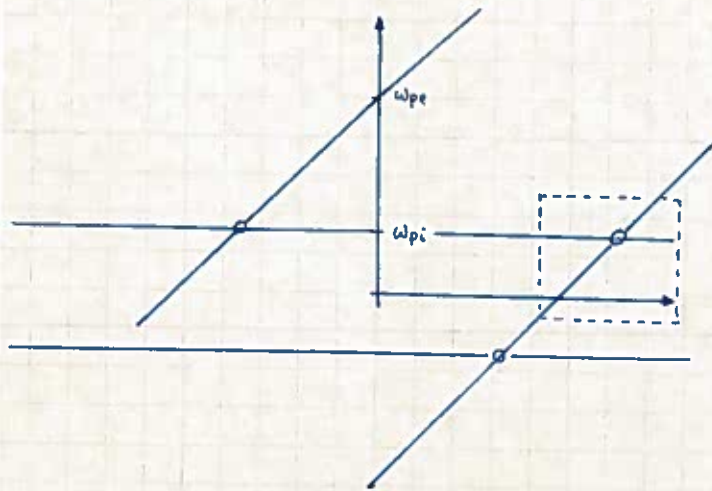


- Assume electron stream pass ions
- Assume thermal spread is negligible.

$$\epsilon(\omega) = 1 - \frac{\omega_{pi}^2}{\omega^2} - \frac{\omega_{pe}^2}{(\omega - \underline{k} \cdot \underline{v}_0)^2}$$



• ( Buneman )



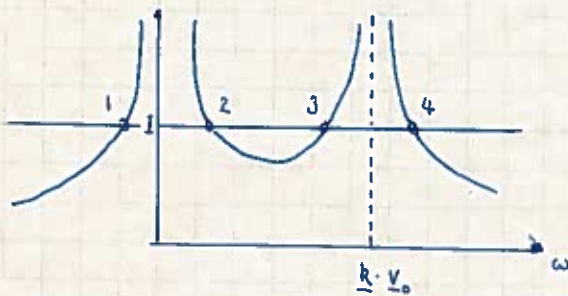
• without electron.  
 $\omega \approx \pm \omega_{pi}$

• with electrons  
 $\omega \approx \pm \omega_{pe} + \underline{k} \cdot \underline{v}_0$

• Mode coupling implies

$$1 = \frac{\omega_{pi}^2}{\omega^2} + \frac{\omega_{pe}^2}{(\omega - \underline{k} \cdot \underline{v}_0)^2}$$

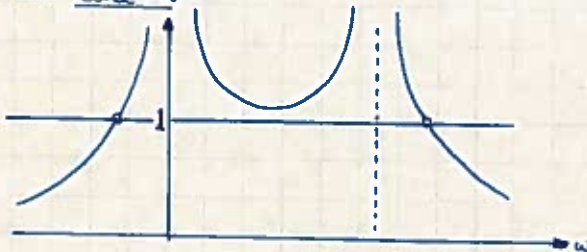
(i) Case 1



• 1, 2, 3, 4 → 4 real roots.

• singularities @  
 $\omega = 0$   
 $\omega = \underline{k} \cdot \underline{v}_0$

(ii) Case 2



• 2 real roots, 2 imaginary roots.

• Algebra

$$1 - \frac{\omega_{pe}^2}{(\omega - \underline{k} \cdot \underline{v}_0)^2} = \frac{\omega_{pi}^2}{\omega^2}$$

$$\left[ (\omega - \underline{k} \cdot \underline{v}_0)^2 - \omega_{pe}^2 \right] = \frac{\omega_{pi}^2}{\omega^2} (\omega - \underline{k} \cdot \underline{v}_0)^2 \quad (\text{small } k)$$

$$\left[ (\omega - \underline{k} \cdot \underline{v}_0) + \omega_{pe} \right] \left[ (\omega - \underline{k} \cdot \underline{v}_0) - \omega_{pe} \right] = \frac{\omega_{pi}^2}{\omega^2} \left[ (\omega - \underline{k} \cdot \underline{v}_0)^2 \right]$$

$\omega_{pe}$



$$\omega^3 \left[ \omega - (\underline{k} \cdot \underline{v}_0 - \omega_{pe}) \right] = - \frac{\omega_{pi}^2 \omega_{pe}}{2}$$

- Resonant mode dispersion Relation.
- Reduced a 4th-order relation to a 3rd order relation
- Introduce scaling freq.

$$\omega_s = \left[ \frac{\omega_{pi}^2 \omega_{pe}}{2} \right]^{1/3}$$

$$W = \frac{\omega}{\omega_s}$$

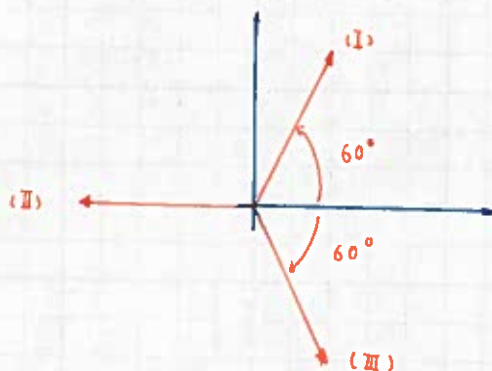
$$y = \frac{\underline{k} \cdot \underline{v}_0 - \omega_p}{\omega_s}$$

$$W^3 \left[ W - \overset{\text{detuning?}}{y} \right] = -1$$

\* Consider  $y = 0 \rightarrow \underline{k} \cdot \underline{v}_0 = \omega_{pe}$

$$W^3 = -1 \quad i(2n+1)/3$$

$$\rightarrow W = e^{i(2n+1)\pi/3}$$



- (I) has  $\text{Im}(W) > 0 \rightarrow$  i.e., it is unstable.
- (II) has  $\text{Im}(W) = 0 \rightarrow$  i.e., it oscillates
- (III) has  $\text{Im}(W) < 0 \rightarrow$  i.e., it's a damping root

• for  $y = 0$

$$\text{Re}(W) = \left( \frac{\omega_{pi} \omega_{pe}^2}{2} \right)^{1/3} \cos\left(\frac{\pi}{3}\right)$$

$$\text{Im}(W) = \left( \frac{\omega_{pi} \omega_{pe}^2}{2} \right)^{1/3} \sin\left(\frac{\pi}{3}\right)$$

i.e.,  $\text{Im}(W) > \text{Re}(W) \rightarrow$  purely growing.

• find fastest growing root.

$$W^3 \left[ W - y \right] = -1$$

apply  $\frac{d}{dy}$

$$2W \frac{dW}{dy} [W - y] + W^3 \left[ \frac{dW}{dy} - \cancel{W^2} \right] = 0$$

$$\frac{dW}{dy} [2W^2 - 2Wy + W^2] = W^2$$

$$\frac{dW}{dy} = \frac{W}{3W - 2y}$$

• Max growth rate  $\rightarrow \frac{d}{dy} (\text{Im}(W)) = 0$

$$\frac{dW}{dy} = \frac{W_r + iW_i}{(3W_r - 2y) + i3W_i}$$

$$\frac{dW_i}{dy} = \frac{\text{Im}[(W_r + iW_i)(3W_r - 2y - 3iW_i)]}{(3W_r - 2y)^2 + (3W_i)^2}$$

$$0 = -3W_r W_i + 3W_r W_i - 2y W_i$$

$$y = 0 \rightarrow \frac{d(\text{Im}(W))}{dy} = 0$$

• What is the phase velocity of fastest growth?

$$v_p = \frac{\text{Re}(\omega)}{k}$$

$$- \text{Re}(\omega) = \omega_s \cos(\pi/3)$$

$$- k = \omega_{pe} / v_0$$

$$v_p = \frac{\omega_s \cos(\pi/3)}{\omega_{pe}} v_0$$

$$= \left[ \frac{\omega_{pi}^2 \omega_{pe}}{2} \right]^{1/3} \frac{\cos(\pi/3)}{\omega_{pe}} v_0$$

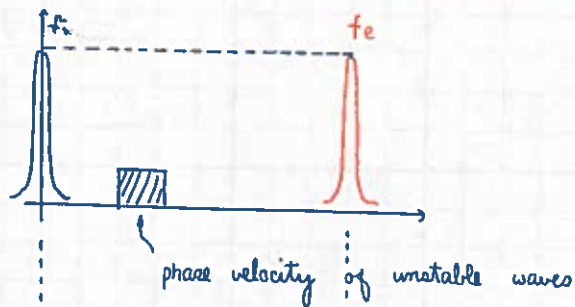
$$v_p = \omega_{pi} \left[ \frac{1}{2} \left( \frac{m}{M} \right)^{1/2} \right]^{1/3} \frac{\cos(\pi/3)}{\omega_{pe}} v_0$$

$$v_p = \left( \frac{m}{M} \right)^{1/3} \left( \frac{m}{M} \right)^{1/6} \frac{(1/2)}{(2)^{1/3}} v_0$$

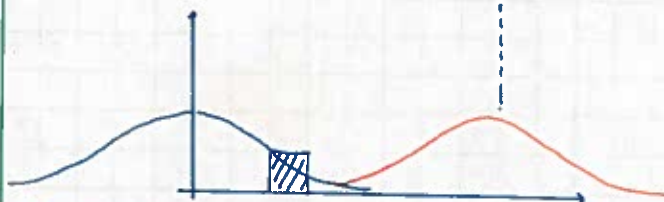
$$v_p = \left( \frac{m}{M} \right)^{1/3} \frac{(1/2)}{(2)^{1/3}} v_0$$

$\sim 10$





• configuration @  $t=0$ .



• @  $t > 0$ , the electrons heats up the ions, inducing a "anomalous resistivity"

• Ex: TTT  $\rightarrow$  Texas Turbulent Ionos

• width (in  $k$ -space) of instability.

$$W^2(W - y) = -1.$$

$$F(W) = W^2(y - W)$$

- Case 1:  $y > 0$ .

• 2 zero crossings

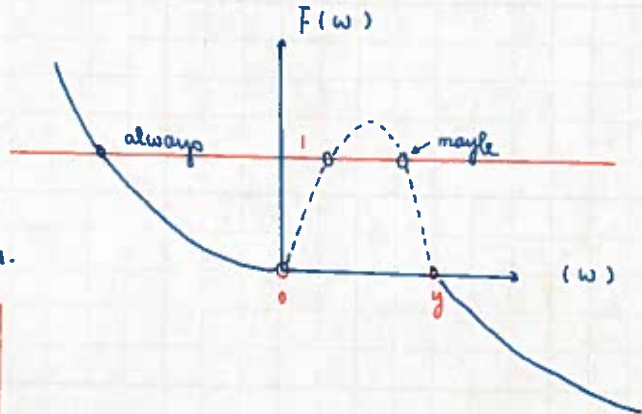
$$W = 0$$

$$W = y$$

• stability condition.

$$\frac{dF}{dW} = 0$$

$$F \geq 1$$



$$\rightarrow (k \cdot v_0 - \omega_{pe}) < \frac{3}{2} \frac{k \cdot v - \omega_{pe}}{(\omega_{pi}^2 \omega_{pe})^{1/3}}$$

- Case 2:  $y < 0$

• Always unstable.

