# Algebraic Geometry 1

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## 1 Preliminaries

## 1.1 Topology

**Definition 1.1.** Let  $(X, \mathcal{T})$  be a topological space. A subset A of X is said to be connected if for any  $U, V \in \mathcal{T}$ ,  $U \cap V = U \cup V \supset A$  then A is fully contained in one of U, V.

**Definition 1.2.** A connected component of a topological space is a maximal connected subset of a space.

**Proposition 1.1.** Let  $(X, \mathscr{T}_X), (Y, \mathscr{T}_Y)$  be topological space and  $f: X \to Y$  be a continuous function. Then for any connected subset A of X, f(A) is connected in Y.

Proof.

$$U, V \in \mathscr{T}_Y, U \cup V \supset f(A), U \cap V = \emptyset,$$

$$\Rightarrow f^{-1}(U), f^{-1}(V) \in \mathscr{T}_X,$$

$$f^{-1}(U) \cup f^{-1}(V) \supset A,$$

$$f^{-1}(U) \cap f^{-1}(V) = \emptyset,$$

$$\Rightarrow f^{-1}(U) \supset A \vee f^{-1}(V) \supset A,$$

$$\Rightarrow U \supset f(A) \vee V \supset f(A).$$

### 1.2 Category theory

**Definition 1.3.** A category  $\mathscr{A}$  consists of

- a collection  $ob(\mathscr{A})$  of objects;
- for each  $A, B \in ob(\mathscr{A})$ , a collection  $\mathscr{A}(A, B)$  of morphisms from A to B; such that

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- i). for each  $A \in ob(\mathscr{A})$ , the identity  $1_A \in \mathscr{A}(A,A)$ ;
- ii). the composition  $\mathscr{A}(B,C)\times\mathscr{A}(A,B)\ni (g,f)\mapsto g\circ f\in\mathscr{A}(A,C)$  is well-defined;

and they satisfy the following axioms

- I). Associativity:  $f \in \mathcal{A}(A,B), g \in \mathcal{A}(B,C), h \in \mathcal{A}(C,D), (h \circ g) \circ f = h \circ (g \circ f).$
- II). Identity laws:  $f \in \mathcal{A}(A, B)$  then  $f \circ 1_A = 1_B \circ f$ .

**Definition 1.4.** Let  $\mathscr{A}$  be a category. A terminal object  $T \in ob(\mathscr{A})$  is an object such that for any  $A \in ob(\mathscr{A})$ ,  $\mathscr{A}(A,T)$  is a single element set.

**Definition 1.5.** Given two categories  $\mathscr{A}, \mathscr{B}$ , we say  $\mathscr{A}$  is a full-subcategory of  $\mathscr{B}$  if

- i).  $\mathscr{A} \subset \mathscr{B}$ ,
- ii).  $ob(\mathscr{A}) = ob(\mathscr{B})$ .

Notation 1.1. Here we give notations to some important categories.

- (Sets): A category of sets equipped with set theoretic functions.
- ullet (Ab) : A category of abelian groups with group homomorphisms.

**Example 1.1.** Given a partially ordered set  $(X, \leq)$ . This can be encoded to a category  $\mathcal{O}$  by

- i). ob( $\mathcal{O}$ ) = X,
- ii). For  $x,y \in X$ ,  $x \leq y \Rightarrow \mathcal{O}(x,y) = \{*\}$  otherwise the morphisms between x,y is an emptyset.

**Definition 1.6.** A opposite/dual category of a category  $\mathscr{A}$  is  $\mathscr{A}^{op}$  such that

- i).  $ob(\mathscr{A}^{op}) = ob(\mathscr{A}),$
- $ii). \, \mathscr{A}^{op}(B,A) = \mathscr{A}(A,B).$

**Definition 1.7.** Let  $\mathscr{A}$  be a category and  $\varphi_1, \varphi_2 \in \mathscr{A}(M, N)$ . A morphism  $\varphi : K \to M$  is called an equalizer of  $(\varphi_1, \varphi_2)$  if for any morphism  $\psi : P \to M$  such that  $\varphi_1 \circ \psi = \varphi_2 \circ \psi$ , there is a unique morphism  $\tilde{\psi} : P \to K$  such that  $\varphi \circ \tilde{\psi} = \psi$ .

**Proposition 1.2.** If an equalizer exists then it is unique up to unique isomorphism.

*Proof.* Suppose  $\varphi: K \to M, \psi: L \to M$  be equalizers of  $(\varphi_1, \varphi_2)$ . Then we have

$$\varphi \circ \tilde{\psi} = \psi, \quad \psi \circ \tilde{\varphi} = \varphi$$

By the uniqueness, we have  $\tilde{\varphi} \circ \tilde{\psi} = 1_L, \tilde{\psi} \circ \tilde{\varphi} = 1_K$ .

**Definition 1.8.** Let  $\mathscr{A}, \mathscr{B}$  be categories. A functor  $F : \mathscr{A} \to \mathscr{B}$  is a function such that for each  $f \in \mathscr{A}(A,A')$ ,  $F(f) : F(A) \to F(A')$ . In other words,  $f \mapsto F(f) : \mathscr{A}(A,A') \to \mathscr{B}(F(A),F(A'))$ . Furthermore, F satisfies the following axioms.

I). 
$$F(f' \circ f) = F(f') \circ F(f)$$
 whenever  $f: A \to A', f': A' \to A''$  in  $\mathscr{A}$ ,

II). 
$$F(1_A) = 1_{F(A)}$$
 whenever  $A \in \mathscr{A}$ .

**Definition 1.9.** Let F,G be functors between two categories  $\mathscr{A},\mathscr{B}$ . A natural transformation  $\alpha: F \to G$  is a family  $(\alpha_A: F(A) \to G(A))_{A \in \mathscr{A}}$  such that

$$F(A) \xrightarrow{F(f)} F(A')$$

$$\alpha_A \downarrow \qquad \qquad \downarrow \alpha_{A'}$$

$$G(A) \xrightarrow{G(f)} G(A')$$

is a commutative diagram. Each  $\alpha_A$  is called a component of  $\alpha$ .

## 1.3 Zariski Topology

**Definition 1.10.** Let A be a ring. Then we define  $Spec(A) = \{ \mathfrak{p} \subset A | \mathfrak{p} \text{ is a prime ideal.} \}$ 

**Definition 1.11.** Let A be a ring and  $\mathfrak{a} \subset A$  be an ideal  $V(\mathfrak{a}) = \{\mathfrak{p} \in \operatorname{Spec}(A) \mid \mathfrak{a} \subset \mathfrak{p}\}$  and  $D(\mathfrak{a}) = \operatorname{Spec}(A) - V(\mathfrak{a})$ .

**Definition 1.12.** The Zariski topology on a commutative ring A is a topology generated by closed sets  $\{V(\mathfrak{a})\}_{\{\mathfrak{a}\subset A\}}$ .

**Theorem 1.1.** Let  $B = \{D(\mathfrak{a}) \mid \mathfrak{a} \subset A \text{ is an ideal.}\}$ . Then B is a basis for the Zariski topology.

## 2 Sheaf theory

#### 2.1 Presheaves

**Definition 2.1.** Let  $(X, \mathcal{T})$  be a topological space. We define the presheaf  $\mathcal{F}$  of a category  $\mathscr{A}$  on X such that

- $U \in \mathcal{T}, \mathcal{F}(U) \in ob(\mathcal{A}),$
- $U, V \in \mathcal{F}, V \subset U \Rightarrow there \ exists \ a \ map \ \rho_{UV} : \mathcal{F}(U) \to \mathcal{F}(V)$ such that
- i). For any  $U \in \mathcal{T}$ ,  $\rho_{UU} = 1_{\mathscr{F}(U)}$ .
- *ii*).  $U, V, W \in \mathcal{T}, W \subset V \subset U \rightarrow \rho_{UW} = \rho_{VW} \circ \rho_{UW}$ .

**Remark 2.1.** In the case  $\mathscr{A} = (\mathbf{Sets}), (\mathbf{Ab}), \mathscr{F}(\emptyset) = \emptyset, \{1\}, respectively.$ 

**Definition 2.2.** An element of  $\mathscr{F}(U)$  is called a local section of  $\mathscr{F}$  and  $\Gamma(U,\mathscr{F})=\mathscr{F}(U)$  is called the space of sections over U. In particular  $\Gamma(X,\mathscr{F})$  is called the space of global sections of  $\mathscr{F}$ .

**Definition 2.3.** Let  $(X, \mathcal{T})$  be a topological space and  $\mathcal{F}$  be a presheaf of a category  $\mathscr{A}$  on X. Suppose we have two open sets  $U, V \in \mathcal{T}$  such that  $V \subset U$ . Then for any section  $s \in \mathcal{F}(U)$ ,  $s|_{V} = \rho_{UV}(s)$  is called the restriction of s to V.

**Example 2.1.** Let  $(X, \mathcal{T})$  be a topological space. We have a presheaf of continuous functions  $\mathscr{C}_X(U) = \mathscr{C}^0(U, \mathbb{R})$ . This is indeed a presheaf with restriction maps  $\rho_{UV} : \mathscr{C}_X(U) \to \mathscr{C}_X(V)$ . (Explicitly,  $\rho_{UV}(f) = f \circ i_V$  where  $i_V$  is an inclusion map.) We note that we can introduce operations  $+, \cdot$  to endow some algebraic structures (groups, rings, ...) on  $\mathbb{R}$ .

**Example 2.2.** Let  $(X, \mathcal{T})$  be a topological space and suppose we have presheaves

$$\bullet \ \mathscr{C}_X^{\textit{diff}}(U) = \{f: U \to \mathbb{R} \ | \ f \ \textit{ is differentiable.} \}.$$

Then there is an inclusion relation  $\mathscr{C}_X^{\text{diff}}(U) \subseteq \mathscr{C}_X(U)$  and this defines a presheaf.

**Example 2.3.** Let  $(X, \mathcal{T}_X), (Y, \mathcal{T}_Y)$  be topological spaces. Define a presheaf on X by

$$U \in \mathscr{T}_X, \mathscr{F}(U) = \mathscr{C}^0(X, Y).$$

And like the previous example, we define  $\rho_{UV}(f) = f|_V$  for  $U, V \in \mathscr{T}_X, V \subset U$ . the restriction of f to V.

But this is a presheaf only of a set.

**Example 2.4.** Let  $(X, \mathcal{T})$  be a topological space and G be an abelian group. The constant presheaf  $\mathbb{G}$  is such that

$$U \in \mathcal{T}, \mathbb{G}(U) = G,$$

with  $\rho_U V = id_G$  for any  $U, V \in \mathcal{T}, V \subset U$ .

#### 2.2 Presheaves as Categories

**Definition 2.4.** Let  $(X, \mathcal{T})$  be a topological space then  $(\mathbf{Ouv}_X)$  is the category such that its objects are the open sets of X and for any  $U, V \in \mathcal{T}$  we have

$$\mathbf{Ouv}_X(U,V) = \begin{cases} \emptyset & (V \not\subset U), \\ i_V & (V \subset U). \end{cases}$$

**Definition 2.5.** Let  $(X, \mathcal{T})$  be a topological space and  $\mathscr{A}$  be a category. A presheaf of  $\mathscr{A}$  on X is a functor  $F : \mathbf{Ouv}_X \to \mathscr{A}$ .

**Example 2.5.** For  $\mathbf{Ouv}_X$ , we can define a presheaf of F to be

$$ob(\mathbf{Ouv}_X) \ni U \mapsto F(U) = \mathscr{C}^0(U, \mathbb{R}).$$

**Example 2.6.** Let A be a commutative ring with non-zero multiplicative identity and  $X = \operatorname{Spec}(A)$ . Let us consider the Zariski topology  $(X, \mathcal{T})$ . Let us consider a category  $\mathcal{O}_X$  such that

- $ob(\mathscr{O}_X) = \mathscr{T}$ ,
- $\mathscr{O}_X(U) = \{s : U \to \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}}\},\$

where  $s: U \to \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}}$  is a function such that for any  $\mathfrak{p} \in U$ ,

- i).  $s(p) \in A_{\mathfrak{p}}$ ,
- ii). there exists an open set  $V \subset U$  such that  $\mathfrak{p} \in V$  and for any  $\mathfrak{q} \in V$ ,  $s(\mathfrak{q}) = \frac{a}{b}$  for  $b \notin \mathfrak{q}$ .

Now we define a presheaf by the restrictions of maps such that

$$s: U \to \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}} \mapsto s|_{V}: V \to \coprod_{\mathfrak{q} \in V} A_{\mathfrak{q}}.$$

**Definition 2.6.** Let  $(X, \mathcal{T})$  be a topological space and  $\mathscr{A}$  be a category. We define a set of presheaves of  $\mathscr{A}$  on X as

$$\operatorname{PreSh}_{\mathscr{A}}(X) = \operatorname{Fun}(\mathbf{Ouv}_X^{\mathbf{op}}, \mathscr{A}).$$

**Definition 2.7.** A morphism of presheaves is a natural transformation  $\alpha: F \to G$  where  $F, G \in \text{Fun}(\mathbf{Ouv}_X^{\mathbf{op}}, \mathscr{A})$ . (See Definition 1.9).

**Remark 2.2.**  $\operatorname{PreSh}(X)$  can be regarded as a category with its objects presheaves and morphisms defined above.

Notation 2.1. In the case  $\mathcal{A} = (\mathbf{Ab})$  then we denote  $\operatorname{PreSh}(X) = \operatorname{PreSh}_{\mathbf{Ab}}(X)$ .

**Example 2.7.** Let X be a differential manifold (eg.  $X \subset \mathbb{R}^n$ ). Let us define

$$\mathscr{C}^{\mathbf{diff}}(U) = \{ f : U \to \mathbb{R} \mid f \text{ is differentiable.} \}.$$

Then the inclusions  $\mathscr{C}_X^{\mathbf{diff}}(U) \subset \mathscr{C}_X(U)$  defines the natural transformation.

**Example 2.8.** Let  $X,Y=S^1$  be topological spaces and F be a presheaf such that for any open set  $U\subset X$ ,  $F(U)=\mathscr{C}^0(U,Y)$ . Then we can introduce a natural transformation such that

$$\mathscr{C}_X(U) \ni f \mapsto \exp(2\pi f i).$$

### 2.3 Sheaf

**Definition 2.8.** A presheaf  $\mathscr{F}$  on  $(X,\mathscr{T})$  is called a sheaf if the following holds. For any collection of open sets  $(U_i)_{i\in I}\subset \mathscr{T}, U=\bigcup_{i\in I}U_i$ , the map  $\varphi:\mathscr{F}(U)\to\prod_{i\in I}\mathscr{F}(U_i)$  which is defined as

$$\varphi(s) = (s|_{U_i})_{i \in I}.$$

is the equalizer of the following functions  $\varphi_1, \varphi_2 : \prod_{i \in I} \mathscr{F}(U_i) \to \prod_{i,j \in I} \mathscr{F}(U_i \cap U_j)$ ,

$$\varphi_1((s_i)_{i \in I}) = (s_i|_{U_i \cap U_j})_{i,j \in I}, \quad \varphi_1((s_i)_{i \in I}) = (s_j|_{U_i \cap U_j})_{i,j \in I}.$$

**Remark 2.3.** In the case  $I = \{1, 2\}$ , we have  $U = U_1 \cup U_2$ , and for any  $U' \in \mathcal{F}$  such that  $U \subset U'$ , we have for  $\mathcal{F}(U') \ni s : U' \to \mathbb{R}$ ,  $\psi(s) = (s|_{U_1}, s|_{U_2})$ , as in  $\mathbf{Ouv}_X$ , morphisms are inclusions. Let  $\tilde{\psi}(s) = s|_U$ , then this satisfies the condition for the equalizer (ie.  $\varphi \circ \tilde{\psi} = \psi$ ).

**Remark 2.4.** A presheaf  $\mathcal{O}_X$  with  $X = \operatorname{Spec}(A)$  is a sheaf.

**Example 2.9.** Let  $(X, \mathcal{T})$  be a topological space and G be a group. We define a constant presheaf  $\mathbb{G}(U) = G$ . In general, this is not a sheaf. Instead, we define a constant sheaf  $\underline{\mathbb{G}}(U) = \mathscr{C}^0(U, G)$  where G is regarded as a topological space with the discrete topology. Then for any connected component of X is mapped to a single point set in G.

**Definition 2.9.** Let  $\mathscr{F}_1, \mathscr{F}_2$  be sheaves. A mapping  $\varphi : \mathscr{F}_1 \to \mathscr{F}_2$  is called a morphism of sheaves if it is a morphism of presheaves.

**Definition 2.10.** A set of sheaves of  $\mathscr A$  on the topological space  $(X,\mathscr T)$  is denoted as  $\operatorname{Sh}_{\mathscr A}(X)$ .

**Remark 2.5.** As in the case of presheaves,  $Sh_{\mathscr{A}}(X)$  can be regarded as a category with sheaf morphisms.

**Remark 2.6.**  $Sh_{\mathscr{A}}(X)$  is a full-subcategory of  $PreSh_{\mathscr{A}}(X)$ .

Notation 2.2. In the case  $\mathscr{A} = (\mathbf{Ab})$ , we denote  $\mathrm{Sh}_{(\mathbf{Ab})}(X) = \mathrm{Sh}(X)$ .