

# Algebraic Geometry 1

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## 1 Topology

### 1.1 Connected Sets

**Definition 1.1.** Let  $(X, \mathcal{T})$  be a topological space. A subset  $A$  of  $X$  is said to be connected if for any  $U, V \in \mathcal{T}$ ,  $U \cap V = \emptyset$ ,  $U \cup V \supset A$  then  $A$  is fully contained in one of  $U, V$ .

**Definition 1.2.** A connected component of a topological space is a maximal connected subset of a space.

**Proposition 1.1.** Let  $(X, \mathcal{T}_X), (Y, \mathcal{T}_Y)$  be topological space and  $f : X \rightarrow Y$  be a continuous function. Then for any connected subset  $A$  of  $X$ ,  $f(A)$  is connected in  $Y$ .

*Proof.*

$$\begin{aligned} U, V \in \mathcal{T}_Y, U \cup V \supset f(A), U \cap V = \emptyset, \\ \Rightarrow f^{-1}(U), f^{-1}(V) \in \mathcal{T}_X, \\ f^{-1}(U) \cup f^{-1}(V) \supset A, \\ f^{-1}(U) \cap f^{-1}(V) = \emptyset, \\ \Rightarrow f^{-1}(U) \supset A \vee f^{-1}(V) \supset A, \\ \Rightarrow U \supset f(A) \vee V \supset f(A). \end{aligned}$$

□

**Definition 1.3.** Let  $X$  be a topological space. A point  $\eta \in X$  is called a generic point if

$$\overline{\{\eta\}} = X.$$

## 2 Category Theory

### 2.1 Categories

**Definition 2.1.** A category  $\mathcal{A}$  consists of

- a collection  $\text{ob}(\mathcal{A})$  of objects;
- for each  $A, B \in \text{ob}(\mathcal{A})$ , a collection  $\mathcal{A}(A, B)$  of morphisms from  $A$  to  $B$ ;

such that

- i). for each  $A \in \text{ob}(\mathcal{A})$ , the identity  $1_A \in \mathcal{A}(A, A)$ ;
- ii). the composition  $\mathcal{A}(B, C) \times \mathcal{A}(A, B) \ni (g, f) \mapsto g \circ f \in \mathcal{A}(A, C)$  is well-defined;

and they satisfy the following axioms

- I). Associativity :  $f \in \mathcal{A}(A, B), g \in \mathcal{A}(B, C), h \in \mathcal{A}(C, D), (h \circ g) \circ f = h \circ (g \circ f)$ .
- II). Identity laws :  $f \in \mathcal{A}(A, B)$  then  $f \circ 1_A = 1_B \circ f$ .

**Definition 2.2.** Let  $\mathcal{A}$  be a category. A terminal object  $T \in \text{ob}(\mathcal{A})$  is an object such that for any  $A \in \text{ob}(\mathcal{A})$ ,  $\mathcal{A}(A, T)$  is a single element set.

**Definition 2.3.** Given two categories  $\mathcal{A}, \mathcal{B}$ , we say  $\mathcal{A}$  is a full-subcategory of  $\mathcal{B}$  if

- i).  $\mathcal{A} \subset \mathcal{B}$ ,
- ii).  $\text{ob}(\mathcal{A}) = \text{ob}(\mathcal{B})$ .

**Notation 2.1.** Here we give notations to some important categories.

- **(Sets)** : A category of sets equipped with set theoretic functions.
- **(Ab)** : A category of abelian groups with group homomorphisms.

**Example 2.1.** Given a partially ordered set  $(X, \leq)$ . This can be encoded to a category  $\mathcal{O}$  by

- i).  $\text{ob}(\mathcal{O}) = X$ ,
- ii). For  $x, y \in X, x \leq y \Rightarrow \mathcal{O}(x, y) = \{*\}$  otherwise the morphisms between  $x, y$  is an empty set.

**Definition 2.4.** A opposite/dual category of a category  $\mathcal{A}$  is  $\mathcal{A}^{op}$  such that

- i).  $\text{ob}(\mathcal{A}^{op}) = \text{ob}(\mathcal{A})$ ,
- ii).  $\mathcal{A}^{op}(B, A) = \mathcal{A}(A, B)$ .

**Definition 2.5.** Let  $\mathcal{A}$  be a category and  $\varphi_1, \varphi_2 \in \mathcal{A}(M, N)$ . A morphism  $\varphi : K \rightarrow M$  is called an equalizer of  $(\varphi_1, \varphi_2)$  if for any morphism  $\psi : P \rightarrow M$  such that  $\varphi_1 \circ \psi = \varphi_2 \circ \psi$ , there is a unique morphism  $\tilde{\psi} : P \rightarrow K$  such that  $\varphi \circ \tilde{\psi} = \psi$ .

**Proposition 2.1.** *If an equalizer exists then it is unique up to unique isomorphism.*

*Proof.* Suppose  $\varphi : K \rightarrow M, \psi : L \rightarrow M$  be equalizers of  $(\varphi_1, \varphi_2)$ . Then we have

$$\varphi \circ \tilde{\psi} = \psi, \quad \psi \circ \tilde{\varphi} = \varphi$$

By the uniqueness, we have  $\tilde{\varphi} \circ \tilde{\psi} = 1_L, \tilde{\psi} \circ \tilde{\varphi} = 1_K$ .  $\square$

**Definition 2.6.** *Let  $\mathcal{A}, \mathcal{B}$  be categories. A functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  is a function such that for each  $f \in \mathcal{A}(A, A')$ ,  $F(f) : F(A) \rightarrow F(A')$ . In other words,  $f \mapsto F(f) : \mathcal{A}(A, A') \rightarrow \mathcal{B}(F(A), F(A'))$ . Furthermore,  $F$  satisfies the following axioms.*

I).  $F(f' \circ f) = F(f') \circ F(f)$  whenever  $f : A \rightarrow A', f' : A' \rightarrow A''$  in  $\mathcal{A}$ ,

II).  $F(1_A) = 1_{F(A)}$  whenever  $A \in \mathcal{A}$ .

**Definition 2.7.** *Let  $F, G$  be functors between two categories  $\mathcal{A}, \mathcal{B}$ . A natural transformation  $\alpha : F \rightarrow G$  is a family  $(\alpha_A : F(A) \rightarrow G(A))_{A \in \mathcal{A}}$  such that*

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(A') \\ \alpha_A \downarrow & & \downarrow \alpha_{A'} \\ G(A) & \xrightarrow{G(f)} & G(A') \end{array}$$

*is a commutative diagram. Each  $\alpha_A$  is called a component of  $\alpha$ .*

## 2.2 Direct Limits

**Definition 2.8.** *A partially ordered set  $(X, \leq)$  is directed if for any  $x, y \in X$  there is  $z \in X$  such that  $x \leq z$  and  $y \leq z$ .*

**Example 2.2.** *Let  $(X, \mathcal{T})$  be a topological space. A partially ordered set  $(\mathcal{T}, \leq)$  such that*

$$V \subseteq U \Rightarrow U \leq V$$

*is directed. Since for any  $U \in \mathcal{T}, U \leq \emptyset$ . As a category this is  $\mathbf{Ouv}_X^{\text{op}}$ .*

**Example 2.3.** *Let  $(X, \mathcal{T})$  be a topological space. For  $x \in X$ , define  $O_x = \{U \in \mathcal{T} \mid x \in U\}$ . If we define an order as in the previous example, we get  $(O_x, \leq)$  is directed. This follows from for any  $U, V \in O_x, U, V \leq U \cap V$ .*

**Definition 2.9.** *Let  $I$  be a directed partially ordered set and  $\mathcal{A}$  be a category. A directed system of objects of  $\mathcal{A}$  indexed by  $I$  is a collection of objects  $(A_i)_{i \in I}$  and morphisms  $(\rho_{ij})_{i \leq j}$  of  $\mathcal{A}$  such that*

i).  $\rho_{ii} = \text{id}_{A_i}$ ,

ii). for  $i, j, k \in I$ ,  $i \leq j \leq k \Rightarrow \rho_{ik} = \rho_{jk} \circ \rho_{ij}$ .

**Remark 2.1.** Categorically, the directed system of objects of  $\mathcal{A}$  indexed by  $I$  is a functor  $\mathcal{O}^{\text{op}} \rightarrow \mathcal{A}$ , where  $\mathcal{O}$  is a category which encodes the ordered set  $I$  as a category by the same procedure as in Example 2.1. Then a directed system is a functor  $\mathcal{O}^{\text{op}} \rightarrow \mathcal{A}$ .

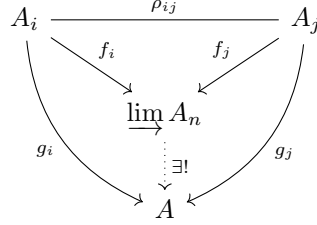
**Definition 2.10.** Given a directed system  $((A_i)_{i \in I}, \{\rho_{ij}\}_{i \leq j})$  of objects in  $\mathcal{A}$  indexed by  $I$ . A direct limit of the system is an object  $\varinjlim A_n \in \mathbf{ob}(\mathcal{A})$  satisfying the following universal property.

Given a collection of morphisms  $(f_i)_{i \in I}$  such that

i).  $f_i : A_i \rightarrow \varinjlim A_n \in \mathcal{A}$ ,

ii). for any  $i \leq j$ ,  $f_j \circ \rho_{ij} = f_i$ .

For any  $A \in \mathcal{A}$  where there is a collection of morphisms  $(g_i)_{i \in I}$  satisfying the above condition, there is a unique map  $\varphi : \varinjlim A_n \rightarrow A$  such that



is a commutative diagram.

**Proposition 2.2.**  $\varinjlim$  is an exact functor.

*Proof.*

□

**Proposition 2.3.** In the cases where  $\mathcal{A} = (\mathbf{Ab})$ ,  $(\mathbf{Sets})$ , there exist direct limits and for each category, such limit is constructed in the following ways.

i).  $\varinjlim A_n = (\bigoplus_{i \in I} A_i) / N$  where  $N = \{a_i - \rho_{ij}(a_i) \mid a_i, i \leq j\}$ .

ii).  $\varinjlim A_n = (\coprod_{i \in I} A_i) / \sim$  where  $a_i \sim a_j$  if there is  $k$  such that  $i \leq k$   $j \leq k$ , and  $\rho_{ik}(a_i) = \rho_{jk}(a_j)$ .

Furthermore, these two direct limits coincide as sets.

*Proof.*

□

**Proposition 2.4.**  $\varinjlim$  is (left) exact in  $(\mathbf{Ab})$ . In other words, given a exact sequence of directed systems

$$0 \longrightarrow (M_i)_{i \in I} \longrightarrow (N_i)_{i \in I} \longrightarrow (P_i)_{i \in I} \longrightarrow 0$$

in which we have

$$\begin{array}{ccccccc} 0 & \longrightarrow & M_i & \longrightarrow & N_i & \longrightarrow & P_i \longrightarrow 0 \\ & & \rho_{ij}^M \downarrow & & \rho_{ij}^N \downarrow & & \rho_{ij}^P \downarrow \\ 0 & \longrightarrow & M_j & \longrightarrow & N_j & \longrightarrow & P_j \longrightarrow 0 \end{array}$$

There exists a short exact sequence

$$0 \longrightarrow \varinjlim M_n \longrightarrow \varinjlim N_n \longrightarrow \varinjlim P_n \longrightarrow 0$$

*Proof.*

□

### 3 Commutative Algebra

#### 3.1 Local Rings

**Definition 3.1.** The total ring of fraction of a ring  $A$  is a localization of  $A$  by the set of all non-zero divisors. It is denoted as  $Q(A)$ .

**Definition 3.2.** A ring is said to be local if it has a unique maximal ideal.

**Definition 3.3.** A ring homomorphism  $\phi : (A, \mathfrak{m}_A) \rightarrow (B, \mathfrak{m}_B)$  of two local rings is said to be local if

$$\mathfrak{m}_A = \phi^{-1}(\mathfrak{m}_B).$$

**Example 3.1.** Let  $i : \mathbb{Z}_{(p)} \rightarrow Q(\mathbb{Z}_{(p)})$  be an inclusion map. Then it is a homomorphism of local rings. However, If  $p$  is prime then  $Q(\mathbb{Z}_{(p)})$  is a field thus its maximal ideal is  $(0)$ . Obviously

$$i^{-1}((0)) = (0).$$

Therefore,  $i$  is not a local ring homomorphism.

**Proposition 3.1.** Let  $\phi : A \rightarrow B$  be a ring homomorphism. Recall that for any prime ideal  $\mathfrak{q} \subseteq B$ , we have  $\mathfrak{p} = \phi^{-1}(\mathfrak{q})$  is a prime ideal in  $A$ . Thus  $\phi$  induces a homomorphism between  $A_{\mathfrak{p}}$  and  $B_{\mathfrak{q}}$  which is a local ring homomorphism.

*Proof.* If  $a \in A$ ,  $\phi(a) = 0$  then  $a \in \mathfrak{p}$ . Thus  $\phi(s) \neq 0$  for any  $s \notin \mathfrak{p}$ . Since  $\mathfrak{p}, \mathfrak{q}$  are unique maximal ideals of  $A_{\mathfrak{p}}$  and  $B_{\mathfrak{q}}$ , respectively. We derived the claim. □

**Lemma 3.1.** Let  $k$  be an algebraically closed field and  $A$  be a  $k$ -algebra. A localization  $A_{\mathfrak{m}}$  by a maximal(prime) ideal  $\mathfrak{m} \subset A$ , we have the following isomorphism.

$$k \cong A_{\mathfrak{m}}/\mathfrak{m}A_{\mathfrak{m}}.$$

*Proof.* Follows from the algebraically closedness of  $k$ .

□

### 3.2 Maximal Spec

**Definition 3.4.** Let  $R$  be a commutative ring. We define the maximal spec of  $R$  as

$$\text{MaxSpec}(R) = \{\mathfrak{m} \text{Spec}(R) \mid \mathfrak{m} \text{ is a maximal ideal}\}.$$

**Lemma 3.2.** Let  $k$  be an algebraically closed field. We have the following isomorphism

$$\text{MaxSpec } k[x_1, \dots, x_n] \cong k^n, \quad (x_1 - a_1, \dots, x_n - a_n) \leftrightarrow (a_1, \dots, a_n).$$

*Proof.* Surjectivity follows from the algebraically closedness of  $k$ .  $\square$

### 3.3 Zariski Topology

**Definition 3.5.** Let  $k$  be an algebraically closed field. A subset  $X$  of  $k^n$  is called an affine algebraic set if there exists an ideal  $\mathfrak{a} \subset k[x_1, \dots, x_n]$  such that

$$X = V(\mathfrak{a}) = \{(a_1, \dots, a_n) \mid \forall f \in \mathfrak{a}, f(a_1, \dots, a_n) = 0\}.$$

**Definition 3.6.** Let  $k$  be an algebraically closed. The Zariski topology on  $k^n$  is a topology generated by affine algebraic sets as closed subsets.

**Definition 3.7.** Let  $X$  be the Zariski topology on  $k^n$ . A function  $f : X \supseteq U \rightarrow k$  is said to be regular if for any  $a = (a_1, \dots, a_n) \in U$ , there exist a neighborhood  $U_a \subseteq U$  and  $f_1, f_2 \in k[x_1, \dots, x_n]$  such that

$$(b_1, \dots, b_n) \in U_a \Rightarrow f(b_1, \dots, b_n) = \frac{f_1(b_1, \dots, b_n)}{f_2(b_1, \dots, b_n)}.$$

**Remark 3.1.** A regular function  $f$  on the Zariski topology on  $k^n$  is continuous as they are locally equivalent to quotients of polynomial functions.

**Lemma 3.3.** Let  $\mathfrak{a} \subset A$  be an ideal. Then we have the following are homeomorphic.

$$\text{Spec}(A/\mathfrak{a}) \cong V(\mathfrak{a}).$$

**Lemma 3.4.** Let  $A$  be a commutative ring and  $a \in A$ . For elements  $\frac{b_1}{a^{n_1}}, \frac{b_2}{a^{n_2}}$  of  $A_a$ , assume that for any  $\mathfrak{p} \in D(a)$ ,

$$\frac{b_1}{a^{n_1}}, \frac{b_2}{a^{n_2}} \in A_{\mathfrak{p}}, \quad \frac{b_1}{a^{n_1}} = \frac{b_2}{a^{n_2}}$$

Let us define an ideal

$$\mathfrak{q} = \text{Ann}(b_1 a^{n_2} - b_2 a^{n_1}).$$

Then for any  $\mathfrak{p} \in D(a)$ , we have  $\mathfrak{q} \not\subseteq \mathfrak{p}$ .

## 4 Classical Algebraic Geometry

### 4.1 Affine Variety

**Definition 4.1.** An affine algebraic set  $X$  is called an affine variety if there exists a prime ideal  $\mathfrak{p} \subseteq k[x_1, \dots, x_n]$  such that

$$X = V(\mathfrak{p}).$$

**Definition 4.2.** Let  $k$  be an algebraically closed field and  $X \subseteq k^n$ . The ideal of  $X$  is

$$I(X) = \{f \in k[x_1, \dots, x_n] \mid \forall (a_1, \dots, a_n) \in X, f(a_1, \dots, a_n) = 0\}.$$

**Theorem 4.1.** For any ideal  $\mathfrak{a} \subset k[x_1, \dots, x_n]$ , we have

$$I(V(\mathfrak{a})) = \sqrt{\mathfrak{a}}.$$

**Definition 4.3.** Let  $X \subset k^n$  where  $k$  is an algebraically closed field. The affine coordinate ring with respect to  $X$  is

$$A(X) = k[x_1, \dots, x_n]/I(X).$$

## 5 Sheaf Theory

### 5.1 Presheaves

**Definition 5.1.** Let  $(X, \mathcal{T})$  be a topological space. We define a presheaf  $\mathcal{F}$  of a category  $\mathcal{A}$  on  $X$  to be such that

- $U \in \mathcal{T}, \mathcal{F}(U) \in \text{ob}(\mathcal{A})$ ,
- $U, V \in \mathcal{T}, V \subset U \Rightarrow$  there exists a map  $\rho_{UV} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$

satisfying,

i). For any  $U \in \mathcal{T}, \rho_{UU} = 1_{\mathcal{F}(U)}$ .

ii).  $U, V, W \in \mathcal{T}, W \subset V \subset U \rightarrow \rho_{UW} = \rho_{VW} \circ \rho_{UV}$ .

**Notation 5.1.** In the case  $\mathcal{A} = (\mathbf{Sets}), (\mathbf{Ab}), \mathcal{F}(\emptyset) = \emptyset, \{1\}$ , respectively.

**Definition 5.2.** An element of  $\mathcal{F}(U)$  is called a local section of  $\mathcal{F}$  and  $\Gamma(U, \mathcal{F}) = \mathcal{F}(U)$  is called the space of sections over  $U$ . In particular  $\Gamma(X, \mathcal{F})$  is called the space of global sections of  $\mathcal{F}$ .

**Definition 5.3.** Let  $(X, \mathcal{T})$  be a topological space and  $\mathcal{F}$  be a presheaf of a category  $\mathcal{A}$  on  $X$ . Suppose we have two open sets  $U, V \in \mathcal{T}$  such that  $V \subset U$ . Then for any section  $s \in \mathcal{F}(U)$ ,  $s|_V = \rho_{UV}(s)$  is called the restriction of  $s$  to  $V$ .

**Example 5.1.** Let  $(X, \mathcal{T})$  be a topological space. We have a presheaf of continuous functions  $\mathcal{C}_X(U) = \mathcal{C}^0(U, \mathbb{R})$ . This is indeed a presheaf with restriction maps  $\rho_{UV} : \mathcal{C}_X(U) \rightarrow \mathcal{C}_X(V)$ . (Explicitly,  $\rho_{UV}(f) = f \circ i_V$  where  $i_V$  is an inclusion map.) We note that we can introduce operations  $+$ ,  $\cdot$  to endow some algebraic structures (groups, rings, ...) on  $\mathbb{R}$ .

**Example 5.2.** Let  $(X, \mathcal{T})$  be a topological space and suppose we have presheaves

- $\mathcal{C}_X^{\text{diff}}(U) = \{f : U \rightarrow \mathbb{R} \mid f \text{ is differentiable}\}.$

Then there is an inclusion relation  $\mathcal{C}_X^{\text{diff}}(U) \subseteq \mathcal{C}_X(U)$  and this defines a presheaf.

**Example 5.3.** Let  $(X, \mathcal{T}_X), (Y, \mathcal{T}_Y)$  be topological spaces. Define a presheaf on  $X$  by

$$U \in \mathcal{T}_X, \mathcal{F}(U) = \mathcal{C}^0(X, Y).$$

And like the previous example, we define  $\rho_{UV}(f) = f|_V$  for  $U, V \in \mathcal{T}_X, V \subset U$ . the restriction of  $f$  to  $V$ .

But this is a presheaf only of a set.

**Example 5.4.** Let  $(X, \mathcal{T})$  be a topological space and  $G$  be an abelian group. The constant presheaf  $\mathbb{G}$  is such that

$$U \in \mathcal{T}, \mathbb{G}(U) = G,$$

with  $\rho_U V = \text{id}_G$  for any  $U, V \in \mathcal{T}, V \subset U$ .

## 5.2 Presheaves as Categories

**Definition 5.4.** Let  $(X, \mathcal{T})$  be a topological space then  $(\mathbf{Ouv}_X)$  is the category such that its objects are the open sets of  $X$  and for any  $U, V \in \mathcal{T}$  we have

$$\mathbf{Ouv}_X(U, V) = \begin{cases} \emptyset & (V \not\subset U), \\ i_V & (V \subset U). \end{cases}$$

**Definition 5.5.** Let  $(X, \mathcal{T})$  be a topological space and  $\mathcal{A}$  be a category. A presheaf of  $\mathcal{A}$  on  $X$  is a functor  $F : \mathbf{Ouv}_X \rightarrow \mathcal{A}$ .

**Example 5.5.** For  $\mathbf{Ouv}_X$ , we can define a presheaf of  $F$  to be

$$\text{ob}(\mathbf{Ouv}_X) \ni U \mapsto F(U) = \mathcal{C}^0(U, \mathbb{R}).$$

**Example 5.6.** Let  $A$  be a commutative ring with non-zero multiplicative identity and  $X = \text{Spec}(A)$ . Let us consider the Zariski topology  $(X, \mathcal{T})$ . Let us consider a category  $\mathcal{O}_X$  such that

- $\text{ob}(\mathcal{O}_X) = \mathcal{T},$
- $\mathcal{O}_X(U) = \{s : U \rightarrow \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}}\},$



where  $s : U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}}$  is a function such that for any  $\mathfrak{p} \in U$ ,

i).  $s(\mathfrak{p}) \in A_{\mathfrak{p}}$ ,

ii). there exists an open set  $V \subset U$  such that  $\mathfrak{p} \in V$  and for any  $\mathfrak{q} \in V$ ,  
 $s(\mathfrak{q}) = \frac{a}{b}$  for  $b \notin \mathfrak{q}$ .

Now we define a presheaf by the restrictions of maps such that

$$s : U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}} \mapsto s|_V : V \rightarrow \prod_{\mathfrak{q} \in V} A_{\mathfrak{q}}.$$

**Definition 5.6.** Let  $(X, \mathcal{T})$  be a topological space and  $\mathcal{A}$  be a category. We define a set of presheaves of  $\mathcal{A}$  on  $X$  as

$$\text{PreSh}_{\mathcal{A}}(X) = \text{Fun}(\mathbf{Ouv}_X^{\text{op}}, \mathcal{A}).$$

**Definition 5.7.** A morphism of presheaves is a natural transformation  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  where  $\mathcal{F}, \mathcal{G} \in \text{PreSh}_{\mathcal{A}}(X) = \text{Fun}(\mathbf{Ouv}_X^{\text{op}}, \mathcal{A})$ . (See Definition 2.7).

Such  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is

i). injective if

**Remark 5.1.**  $\text{PreSh}(X)$  can be regarded as a category with its objects presheaves and morphisms defined above.

**Notation 5.2.** In the case  $\mathcal{A} = (\mathbf{Ab})$  then we denote  $\text{PreSh}(X) = \text{PreSh}_{\mathbf{Ab}}(X)$ .

**Example 5.7.** Let  $X$  be a differential manifold (eg.  $X \subset \mathbb{R}^n$ ). Let us define

$$\mathcal{C}^{\text{diff}}(U) = \{f : U \rightarrow \mathbb{R} \mid f \text{ is differentiable}\}.$$

Then the inclusions  $\mathcal{C}_X^{\text{diff}}(U) \subset \mathcal{C}_X(U)$  defines a morphism of presheaves.

**Example 5.8.** Let  $X, Y = S^1$  be topological spaces and  $F$  be a presheaf such that for any open set  $U \subset X$ ,  $F(U) = \mathcal{C}^0(U, Y)$ . Then we can introduce a natural transformation such that

$$\mathcal{C}_X(U) \ni f \mapsto \exp(2\pi f i)$$

which is indeed a morphism of presheaves  $\mathcal{C}_X(U)$  and  $F(U)$

### 5.3 Sheaves

**Definition 5.8.** A presheaf  $\mathcal{F}$  on  $(X, \mathcal{T})$  is called a sheaf if the following holds. For any collection of open sets  $(U_i)_{i \in I} \subset \mathcal{T}$ ,  $U = \bigcup_{i \in I} U_i$ , the map  $\varphi : \mathcal{F}(U) \rightarrow \prod_{i \in I} \mathcal{F}(U_i)$  which is defined as

$$\varphi(s) = (s|_{U_i})_{i \in I}.$$

is the equalizer of the following functions  $\varphi_1, \varphi_2 : \prod_{i \in I} \mathcal{F}(U_i) \rightarrow \prod_{i, j \in I} \mathcal{F}(U_i \cap U_j)$ ,

$$\varphi_1((s_i)_{i \in I}) = (s_i|_{U_i \cap U_j})_{i, j \in I}, \quad \varphi_2((s_i)_{i \in I}) = (s_j|_{U_i \cap U_j})_{i, j \in I}.$$

**Remark 5.2.** In the case  $I = \{1, 2\}$ , we have  $U = U_1 \cup U_2$ , and for any  $U' \in \mathcal{T}$  such that  $U \subset U'$ , we have for  $\mathcal{F}(U') \ni s : U' \rightarrow \mathbb{R}$ ,  $\psi(s) = (s|_{U_1}, s|_{U_2})$ , as in  $\mathbf{Ouv}_X$ , morphisms are inclusions. Let  $\tilde{\psi}(s) = s|_U$ , then this satisfies the condition for the equalizer (ie.  $\varphi \circ \tilde{\psi} = \psi$ ).

**Remark 5.3.** A presheaf  $\mathcal{O}_X$  with  $X = \text{Spec}(A)$  is a sheaf.

**Example 5.9.** Let  $(X, \mathcal{T})$  be a topological space and  $G$  be a group. We define a constant presheaf  $\mathbb{G}(U) = G$ . In general, this is not a sheaf. Instead, we define a constant sheaf  $\underline{\mathbb{G}}(U) = \mathcal{C}^0(U, G)$  where  $G$  is regarded as a topological space with the discrete topology. Then for any connected component of  $X$  is mapped to a single point set in  $G$ .

**Definition 5.9.** Let  $\mathcal{F}_1, \mathcal{F}_2$  be sheaves. A mapping  $\varphi : \mathcal{F}_1 \rightarrow \mathcal{F}_2$  is called a morphism of sheaves if it is a morphism of presheaves.

**Notation 5.3.** A set of sheaves of  $\mathcal{A}$  on the topological space  $(X, \mathcal{T})$  is denoted as  $\text{Sh}_{\mathcal{A}}(X)$ .

**Remark 5.4.** As in the case of presheaves,  $\text{Sh}_{\mathcal{A}}(X)$  can be regarded as a category with sheaf morphisms.

**Remark 5.5.**  $\text{Sh}_{\mathcal{A}}(X)$  is a full-subcategory of  $\text{PreSh}_{\mathcal{A}}(X)$ .

**Notation 5.4.** In the case  $\mathcal{A} = (\mathbf{Ab})$ , we denote  $\text{Sh}_{(\mathbf{Ab})}(X) = \text{Sh}(X)$ .

## 5.4 Stalks

**Notation 5.5.** Let  $(X, \mathcal{T})$  be a topological space. For a point  $x \in X$ , we denote the collection of all open sets which contain  $x$  as

$$\mathcal{O}_x = \{U \in \mathcal{T} \mid x \in U\}.$$

**Definition 5.10.** Suppose we have a topological space  $(X, \mathcal{T})$  and a category  $\mathcal{A}$  which admits direct limits. For a presheaf  $\mathcal{F} \in \text{PreSh}_{\mathcal{A}}(X)$ , by inheriting the notations from Example 2.3, we define the stalk  $\mathcal{F}_x$  of  $\mathcal{F}$  at  $x \in X$  by

$$\mathcal{F}_x = \varinjlim_{U \in \mathcal{O}_x} \mathcal{F}(U).$$

**Example 5.10.** Let us assume that  $\mathcal{A} = (\mathbf{Ab})$  in Definition 5.10. Then stalks and germs can be constructed explicitly in the following way.

$$\mathcal{F}_x = \{(s, U) \mid U \in \mathcal{O}_x, s \in \mathcal{F}(U)\} / \sim,$$

where  $\sim$  is an equivalent relation such that for  $(s, U), (t, V)$ ,

$$(s, U) \sim (t, V) \text{ if there is } W \in \mathcal{O}_x \text{ such that } W \subseteq U \cap V, \rho_{UW}(s) = \rho_{VW}(t).$$

**Definition 5.11.** Inheriting the notations from Definition 5.10, suppose we have  $(f_U : \mathcal{F}(U) \rightarrow \mathcal{F}_x)_{U \in \mathcal{O}_x}$  such that for  $f_U, f_V$  are compatible with  $\rho_{UV}$ . Then we define the germ of  $s \in \mathcal{F}(U)$  to be  $s_x = f_U(s)$ . By the universal property of the direct limit, such  $s_x$  is unique up to images under isomorphisms.

**Example 5.11.** In the case of Remark 5.10, we have for each  $U \in \mathcal{T}$ ,  $x \in U$ , and  $s \in \mathcal{F}(U)$ ,

$$s_x = \{(t, V) \mid \text{There is } W \in \mathcal{O}_x \text{ such that } W \subseteq U \cap V, \rho_{UW}(s) = \rho_{VW}(t)\}.$$

**Remark 5.6.** In the above definition, if a category  $\mathcal{A}$  admits products, we get a map

$$(s \mapsto (s_x)_{x \in U}) : \mathcal{F}(U) \rightarrow \prod_{x \in U} \mathcal{F}_x. \quad (5.1)$$

This is neither surjective nor injective in general.

**Proposition 5.1.** Suppose in the definition of stalks,  $\mathcal{F}$  is a sheaf. Then the map defined by Equation 5.1 is injective.

*Proof.* We prove the case when  $\mathcal{A} = (\mathbf{Ab})$ .

Suppose  $s \in \mathcal{F}(U)$  is such that  $s_x = 0$  in  $\mathcal{F}_x$  for all  $x \in U$ . Since for any restriction maps are group homomorphisms. We have that there is  $V_x \in \mathcal{O}_x$  such that

$$V_x \subseteq U, \quad \rho_{UV_x}(s) = 0.$$

Therefore  $\{V_x\}_{x \in U}$  is an open covering of  $U$ . Since  $\mathcal{F}$  is a sheaf, we derive that  $s = 0$  in  $\mathcal{F}(U)$ .  $\square$

**Example 5.12.** Given  $(X, \mathcal{F})$ , a topological space and  $G$ , an abelian group. We will consider the constant presheaf  $\mathbb{G}$  and the constant sheaf  $\underline{\mathbb{G}}$  on  $X$ . For any open set  $U$  and  $x \in U$  we have

$$\mathbb{G}_x \cong \underline{\mathbb{G}}_x \cong G.$$

For any  $U, V$  open such that  $V \subset U$  we have,  $\rho_{UV} = \text{id}_G$ . Thus by the construction, for  $x \in U, V$ ,  $(s, U) \sim (t, V)$  then  $x \in U \cap V$  and  $\rho_{UU \cap V}(s) = s = t = \rho_{VU \cap V}(t)$ . Therefore, we proved the claim.

**Definition 5.12.** Suppose  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves. Then we define

$$\varphi_x(s_x) = (\varphi(s))_x.$$

This defines a morphism of presheaves.

**Remark 5.7.** Categorically, taking stalks is a functor for each  $x \in X$ . Suppose we have  $\mathcal{F}, \mathcal{G} \in \text{PreSh}_{\mathcal{A}}(X)$  and a morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ ,

**Proposition 5.2.** *Let  $\mathcal{F}, \mathcal{G} \in \text{Sh}_{(\mathbf{Ab})}(X)$ . Then for any morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  we have*

$$\varphi = 0 \Leftrightarrow \forall x \in X, \varphi_x = 0$$

*Proof.*  $\Rightarrow$  is trivial by its construction. We will prove  $\Leftarrow$ .

We first note that  $\varphi = 0$  means that for any  $U \in \mathcal{T}$ , we have  $\varphi_U \equiv 0$  as a group homomorphism. Let  $U \in \mathcal{T}$  and  $s \in \mathcal{F}(U)$ . Then by the assumption and Proposition 5.1, we have proven the claim.  $\square$

## 5.5 Sheafification

**Definition 5.13.** *Let  $\mathcal{F} \in \text{PreSh}_{\mathcal{A}}(X)$ . The sheafification of  $\mathcal{F}$  is a presheaf  $\mathcal{F}^+$  which is a set of all  $(s_x)_{x \in U} \in \prod_{x \in U} \mathcal{F}_x$  such that for any  $x \in U$  there is  $x \in V_x \subset U$ , such that there is  $t \in \mathcal{F}(V_x)$  satisfying for any  $y \in V_x$ ,  $s_y = t_y$ . We give them restrictions such that*

$$\mathcal{F}^+(U) \ni (s_x)_{x \in U} \mapsto (s_x)_{x \in V} \in \mathcal{F}^+(V).$$

**Proposition 5.3.** *Such  $\mathcal{F}^+$  is indeed a sheaf.*

*Proof.* later  $\square$

**Remark 5.8.**

$$\mathcal{F} \mapsto \mathcal{F}^+ : \text{PreSh}_{\mathcal{A}}(X) \rightarrow \text{Sh}_{\mathcal{A}}(X)$$

*is a functor. Indeed given  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ , a morphism of presheaves. We give*

$$\varphi^+(U)((s_x)_{x \in U}) = (\varphi(s)_x)_{x \in U}.$$

*later*

**Proposition 5.4.** *A mapping  $\varphi : \mathcal{F} \rightarrow \mathcal{F}^+$  such that for each  $U \in \mathcal{T}$ ,*

$$\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{F}^+(U), \quad \varphi(s) = (s_x)_{x \in U},$$

*is a natural transformation thus a morphism of presheaves.*

*Proof.* Later  $\square$

**Proposition 5.5.** *For any open set  $U \in \mathcal{T}$  and a section  $s \in \mathcal{F}^+(U)$ , there is an open covering  $(U_i)_{i \in I}$  which satisfies that there is a sequence  $(s_i)_{i \in I} \in \prod_{i \in I} \mathcal{F}(U_i)$  and for each  $i$ , the following holds.*

$$\rho_{UU_i}(s) = s_i.$$

*Proof.* Later.  $\square$

**Proposition 5.6.** *For each  $x \in X$ , there exists an isomorphism*

$$\mathcal{F}_x \cong (\mathcal{F}^+)_x,$$

*as presheaves.*

*Proof.* later □

**Proposition 5.7.** *Let  $(X, \mathcal{T})$  be a topological group and  $\mathcal{F}$  be a presheaf of a category  $\mathcal{A}$  on  $X$ . Suppose for a sheaf  $\mathcal{G}$  of a category  $\mathcal{A}$  on  $X$ , there exists a morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ . Then there exists a unique morphism  $\varphi^+ : \mathcal{F}^+ \rightarrow \mathcal{G}$ , such that*

$$\begin{array}{ccc} \mathcal{F} & \longrightarrow & \mathcal{F}^+ \\ \varphi \downarrow & \nearrow \exists! \varphi^+ & \\ \mathcal{G} & & \end{array}$$

*is a commutative diagram.*

*Proof.* Let  $U \in \mathcal{T}$ , then by Proposition 5.5, for any  $s \in \mathcal{F}^+$ , there exists an open covering  $(U_i)_{i \in I}$  and  $(s_i)_{i \in I} \in \prod_{i \in I} \mathcal{F}(U_i)$  such that  $\rho_{UU_i}(s) = s_i$  for any  $i \in I$ . We define

$$t_i = \varphi(s_i) \in \mathcal{G}(U_i),$$

for each  $i \in I$ . Using the definition of natural transformation we derive that

$$\rho_{UU_i \cap U_j}^{\mathcal{G}}(t_i) = \varphi_{U_i \cap U_j}^{\mathcal{F}}(\rho_{UU_i \cap U_j}(s)) = \rho_{UU_i \cap U_j}^{\mathcal{G}}(t_j).$$

Thus we can glue  $(t_i)_{i \in I}$  to a section  $t \in \mathcal{G}(U)$ .

We now define  $\varphi^+ : \mathcal{F}^+ \rightarrow \mathcal{G}$ . Given  $(s_x)_{x \in U}$  which is the germ of  $s$ ,

$$\varphi_U^+((s_x)_{x \in U}) = t.$$

Such  $\varphi^+$  is unique since  $\mathcal{G}$  is a sheaf. □

**Corollary 5.1.** *Let  $i : \text{Sh}_{\mathcal{A}}(X) \rightarrow \text{PreSh}_{\mathcal{A}}(X)$  be a forgetful functor. Then we have*

$$\text{PreSh}_{\mathcal{A}}(X)(\mathcal{F}, i(\mathcal{G})) \cong \text{Sh}_{\mathcal{A}}(\mathcal{F}^+, \mathcal{G})$$

*In other words, the sheafification is a left-adjoint functor of the inclusion map.*

*Proof.* By Proposition 5.7, we define two maps  $\Phi, \Psi$  such that

$$\begin{aligned} \Phi : \text{PreSh}_{\mathcal{A}}(X)(\mathcal{F}, i(\mathcal{G})) &\rightarrow \text{Sh}_{\mathcal{A}}(\mathcal{F}^+, \mathcal{G}), \\ \Phi(\varphi) &= \varphi^+, \\ \Psi : \text{Sh}_{\mathcal{A}}(\mathcal{F}^+, \mathcal{G}) &\rightarrow \text{PreSh}_{\mathcal{A}}(X)(\mathcal{F}, i(\mathcal{G})), \\ \Psi(\varphi^+) &= \varphi. \end{aligned}$$

Then these two are inverses of each other. □

**Proposition 5.8.** *Let  $X = \text{Spec}(A)$  and  $\mathcal{O}_X$  be the structure sheaf defined in Example 5.6. Then we have the following.*

- 1). *For any  $\mathfrak{p} = x \in X$ ,  $(\mathcal{O}_X)_x \cong A_{\mathfrak{p}}$ .*
- 2). *For any  $a \in A$ ,  $\mathcal{O}_X(D(a)) \cong A_a$ .*

*Proof.* For a given  $U \subset X$  open and  $\mathfrak{p} \in A$ , there is  $a, b \in A$  such that for  $V \subset U$  open and  $s \in \mathcal{O}_X(U)$ ,  $s : U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}}$ .

$$s(\mathfrak{q}) = \frac{a}{b} \in A_{\mathfrak{q}}$$

holds for any  $\mathfrak{q} \in V$ .

$$\begin{array}{ccc} \mathcal{O}_X(U) & \longrightarrow & A_{\mathfrak{p}} \\ \rho_{UV} \downarrow & \nearrow & \\ \mathcal{O}_X(V) & & \end{array}$$

□

## 5.6 Morphisms in $\text{PreSh}_{(\mathbf{Ab})}(X)$

**Definition 5.14.** *Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a homomorphism of presheaves  $\text{PreSh}_{(\mathbf{Ab})}(X)$ . Then we define the following presheaves.*

- 1).  $\text{Ker}^{\text{pre}}(\varphi)(U) = \text{Ker } \varphi_U$ ,
- 2).  $\text{Im}^{\text{pre}}(\varphi)(U) = \text{Im } \varphi_U$ ,
- 3).  $\text{Coker}^{\text{pre}}(\varphi)(U) = \text{Coker } \varphi_U$ .

**Proposition 5.9.** *Such  $\text{Ker}^{\text{pre}}, \text{Im}^{\text{pre}}, \text{Coker}^{\text{pre}}$  are presheaves.*

*Proof.* For the case of kernels. Let  $U, V \in \mathcal{T}$  and  $V \subset U$ . We define  $\rho_{UV} : \text{Ker}^{\text{pre}}(\varphi)(U) \rightarrow \text{Ker}^{\text{pre}}(\varphi)(V)$  to be such that

$$\rho_{UV}(s) = \rho^{\mathcal{F}}(s).$$

Such construction is justified as the diagram below is commutative.

$$\begin{array}{ccccc} \mathcal{F}(U) & \xrightarrow{\rho_{UV}^{\mathcal{F}}} & \mathcal{F}(V) & \xrightarrow{\rho_{UV}^{\mathcal{F}}} & \mathcal{F}(W) \\ \varphi_U \downarrow & & \downarrow \varphi_V & & \downarrow \varphi_W \\ \mathcal{G}(U) & \xrightarrow{\rho_{UV}^{\mathcal{G}}} & \mathcal{G}(V) & \xrightarrow{\rho_{UV}^{\mathcal{G}}} & \mathcal{G}(W) \end{array}$$

Furthermore,

$$\rho_{UV}W(s) = \rho_{UV}^{\mathcal{F}}(s) = \rho_{VW}^{\mathcal{F}} \circ \rho_{UV}^{\mathcal{F}}(s) = \rho_{VW} \circ \rho_{UV}(s).$$

Thus  $\text{Ker}^{\text{pre}}(\varphi)(U)$  is a presheaf.

□

**Corollary 5.2.** *If  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of sheaves. Then  $\text{Ker}^{\text{pre}}$  is also a sheaf.*

*Proof.* Given  $(s_i)_{i \in I} \in \prod_{i \in I} \text{Ker } \varphi_{U_i}$  such that

$$\rho(s_i)_{U_i U_i \cap U_j} = \rho(s_j)_{U_j U_i \cap U_j}$$

for any  $i, j \in I$ . Then since  $\mathcal{F}$  is a sheaf, we can glue  $(s_i)_{i \in I}$  to  $s \in \mathcal{F}(U)$ . For such  $s$  we have

$$\rho_{U U_i}^{\mathcal{G}}(\varphi_U(s)) = (\varphi_{U_i}(\rho_{U U_i}^{\mathcal{F}}(s))) = \varphi_{U U_i}(s_i) = 0.$$

Therefore, since  $\mathcal{G}$  is a sheaf,  $\varphi_U(s) = 0$ . □

**Remark 5.9.** *Let  $\varphi : \mathcal{F}(U) \rightarrow \prod_{i \in I} \mathcal{F}(U_i)$ ,  $\varphi_1 : \prod_{i \in I} \mathcal{F}(U_i) \rightarrow \prod_{i, j \in I} \mathcal{F}(U_i \cap U_j)$ ,  $\varphi_2 : \prod_{i \in I} \mathcal{F}(U_i) \rightarrow \prod_{i, j \in I} \mathcal{F}(U_i \cap U_j)$ . Then  $\mathcal{F}$  is a sheaf if and only if*

$$\text{Ker}(\varphi_1 \circ \varphi - \varphi_2 \circ \varphi) = \mathcal{F}(U),$$

*holds for any open set  $U$ .*

**Remark 5.10.**  $\text{Im}^{\text{pre}} \varphi, \text{Coker}^{\text{pre}} \varphi$  are not in general sheaves even tho  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a homomorphism of sheaves.

**Example 5.13.** *Let  $X = \{x_1, x_2\}$  and we assign the discrete topology to it. Let  $G$  be an abelian group. We define a sheaf  $\mathcal{F}, \mathcal{G} \in \text{Sh}_{(\mathbf{Ab})}(X)$  by such that*

$$\mathcal{F}(U) = \mathcal{G}(U) = \begin{cases} G \times G & (U = X), \\ G & (|U| = 1), \\ 0 & (U = \emptyset). \end{cases}$$

*Let us define a homomorphism of sheaves  $\varphi$  such that*

$$\varphi_U = \begin{cases} \text{id}_{G \times G} & (U = X) \\ 0 & (U \neq X). \end{cases}$$

*Then we have*

$$\text{Coker}^{\text{pre}}(\varphi)(U) = \begin{cases} 0 & (U = X), \\ G & (U \neq X). \end{cases}$$

*By 5.10, we observe that*

$$\text{Coker}^{\text{pre}}(\varphi)(X) = G \times G / \text{id}_{G \times G}(G \times G) = \{0\}.$$

*However,*

*later.*

**Definition 5.15.** *Given a morphism of sheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ , we define the following.*

- 1).  $\text{Ker}(\varphi) = \text{Ker}^{\text{pre}}(\varphi)$ ,
- 2).  $\text{Im}(\varphi) = (\text{Im}^{\text{pre}}(\varphi))^+$ ,
- 3).  $\text{Coker}(\varphi) = (\text{Coker}^{\text{pre}}(\varphi))^+$ .

**Proposition 5.10** (Universal property of kernels). *Given a sheaf homomorphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ . For any sheaf homomorphism  $\alpha : \mathcal{H} \rightarrow \mathcal{F}$ ,  $\varphi \circ \alpha = 0$  if and only if there is a unique  $\psi : \mathcal{H} \rightarrow \text{Ker } \varphi$  such that*

$$\begin{array}{ccccc} & & \mathcal{H} & & \\ & \exists! \psi \swarrow & \downarrow \alpha & \searrow \varphi_0=0 & \\ \text{Ker}(\varphi) & \hookrightarrow & \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} \end{array}$$

is a commutative diagram.

*Proof.* We argue by each open set of the space.

$$\begin{array}{ccccc} & & \mathcal{H}(U) & & \\ & \exists! \psi_U \swarrow & \downarrow \alpha_U & \searrow (\varphi_0)_U=0 & \\ \text{Ker}(\varphi)(U) & \hookrightarrow & \mathcal{F}(U) & \xrightarrow{\varphi_U} & \mathcal{G}(U) \end{array}$$

This is a universal property of the kernel in abelian groups. Thus the statement immediately follows from it.  $\square$

**Proposition 5.11** (Universal property of Cokernels). *Given a sheaf homomorphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ . For any sheaf homomorphism  $\alpha : \mathcal{G} \rightarrow \mathcal{H}$ ,  $\alpha \circ \varphi = 0$  if and only if there is a unique  $\psi : \text{Coker } \varphi \rightarrow \mathcal{H}$  such that*

$$\begin{array}{ccccc} \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} & \xrightarrow{\pi} & \text{Coker}(\varphi) \\ & \searrow \varphi_0=0 & \downarrow \alpha & \swarrow \exists! \psi & \\ & & \mathcal{H} & & \end{array}$$

is a commutative diagram.

*Proof.* We argue for each open set  $U \subset X$ .

$$\begin{array}{ccccccc} \mathcal{F}(U) & \xrightarrow{\varphi_U} & \mathcal{G}(U) & \longrightarrow & \text{Coker}^{\text{pre}}(\varphi)(U) & \longrightarrow & \text{Coker}(\varphi)(U) \\ & \searrow (\varphi_0)_U=0 & \downarrow \alpha_U & \swarrow \exists! \psi_U^{\text{pre}} & \nearrow \exists! \psi_U & \nearrow \exists! \psi_U & \\ & & \mathcal{H}(U) & & & & \end{array}$$

By the universal property of Cokernels of abelian groups, there is a unique  $\varphi^{\text{pre}}$ . By the universal property of the sheafification operator, we derive a unique  $\psi$ .  $\square$



**Proposition 5.12.** *Let  $x \in X$ , then we have the following.*

- 1).  $\text{Ker}(\varphi)_x = \text{Ker}(\varphi_x)$ ,
- 2).  $\text{Im}(\varphi)_x = \text{Im}(\varphi_x)$ ,
- 3).  $\text{Coker}(\varphi)_x = \text{Coker}(\varphi_x)$ .

*Proof.* By Definition, 5.12 □

**Definition 5.16.** *Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a sheaf morphism.  $\varphi$  is called*

- 1). *a monomorphism if any morphism of sheaves  $\varphi_0 : \mathcal{H} \rightarrow \mathcal{F}$ ,  $\varphi \circ \varphi_0 = 0$  if and only if  $\varphi_0 = 0$ ,*

**Proposition 5.13.** *Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves of **(Ab)**. Then the following statements are equivalent.*

- i).  $\varphi$  is a monomorphism.
- ii).  $\text{Ker } \varphi = 0$ .
- iii). *For any open set  $U \subset X$ ,  $\varphi_U$  is injective.*
- iv). *For any  $x \in X$ ,  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is injective.*

*Proof.* Here, I put the procedure of the proof.

$$\begin{array}{ccc}
 i) & & iv) \\
 \downarrow & \swarrow & \updownarrow \\
 ii) & \longleftrightarrow & iii)
 \end{array}$$

$$i) \Rightarrow ii),$$

$$\begin{array}{ccc}
 \text{Ker}(\varphi) & & \\
 \varphi_0 \downarrow & \searrow 0 & \\
 \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G}
 \end{array}$$

Where  $\varphi_0(U)$  is an inclusion map of abelian groups.

$$ii) \Leftrightarrow iii),$$

$$\text{Ker } \varphi = 0 \Leftrightarrow \forall U \in \mathcal{T}, \text{Ker } \varphi(U) = 0 \Leftrightarrow \varphi_U \text{ is injective.}$$

$$iii) \Rightarrow iv), \text{ Fix } x \in X.$$

$$0 \longrightarrow \mathcal{F}(U) \xrightarrow{\varphi_U} \mathcal{G}(U)$$

is an exact sequence as  $\varphi_U$  is injective for any  $U \subset X$  open. Since  $\varinjlim$  is left-exact we obtain,

$$0 \longrightarrow \mathcal{F}_x \xrightarrow{\varphi_x} \mathcal{G}_x$$

is also an exact sequence. □

**Proposition 5.14.** *Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism in  $\text{Sh}(X)$ . Then the following are equivalent.*

- 1).  $\varphi$  is an epimorphism (for any  $\varphi_1, \varphi_2 : \mathcal{H} \rightarrow \mathcal{F}$ , such that  $\varphi \circ \varphi_1 = \varphi \circ \varphi_2$  implies  $\varphi_1 = \varphi_2$ ).
- 2).  $\text{Coker } \varphi = 0$ .
- 3). For any open set  $U \subset X$ ,
- 4). For any  $x \in X$ ,  $\text{Coker } \varphi_x = 0$ , (in other words,  $\varphi_x$  is a surjection).

*Proof.* Recall the definition of epimorphisms is such that  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is an epimorphism if for any morphism  $\psi : \mathcal{G} \rightarrow \mathcal{G}_0$ , we have,

$$\psi \circ \varphi = 0 \Rightarrow \psi = 0.$$

*i)  $\Rightarrow$  iv).* Suppose  $\varphi$  is an epimorphism, then we have

$$\begin{array}{ccccc} \mathcal{F} & & & 0 & \\ & \searrow 0 & & \searrow & \\ \mathcal{G} & \xrightarrow{\pi} & \text{Coker}^{\text{pre}} \varphi & \xrightarrow{(-)^+} & \text{Coker } \varphi \\ & \searrow \psi & & \searrow & \end{array}$$

By the assumption  $\psi = 0$ .

Let  $\mathcal{O}_x = \{U \in \mathcal{T} \mid x \in U\}$ . We consider an exact sequence,

$$0 \longrightarrow \text{Ker}(\varphi_U) \longrightarrow \mathcal{F}(U) \xrightarrow{\varphi} \mathcal{G}(U) \xrightarrow{\pi} \text{Coker}(\varphi_U) \longrightarrow 0,$$

for each  $U \in \mathcal{O}_x$ . By Proposition 2.2,

$$0 \longrightarrow \text{Ker}(\varphi)_x \longrightarrow \mathcal{F}_x \xrightarrow{\varphi_x} \mathcal{G}_x \xrightarrow{\pi_x} \text{Coker}(\varphi)_x \longrightarrow 0$$

is also exact. Thus we conclude

$$\text{Coker}^{\text{pre}}(\varphi)_x = \text{Coker}(\varphi_x).$$

And we conclude that  $\varphi_x$  is surjective by the exactness of the sequence.

*iv)  $\Rightarrow$  ii).* Assume For each  $x \in X$ ,  $\text{Coker}(\varphi_x) = 0$ . By applying Proposition. 5.2 to  $\text{id} : \mathcal{F} \rightarrow \mathcal{F}$ , we obtain

$$\mathcal{F} = 0 \Leftrightarrow \forall x \in X, \mathcal{F}_x = 0.$$

Apply this to  $\text{Coker } \varphi$ , we derive that

$$\text{Coker } \varphi = 0.$$

$iv) \Rightarrow i)$ . Assume  $\text{Coker}(\varphi_x) = 0$  for any  $x \in X$ . Consider a commutative diagram of sheaves

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} \\ & \searrow 0 & \downarrow \psi \\ & & \mathcal{G}_0 \end{array}$$

By assumption  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is a surjection. Thus  $\psi_x = 0$  for any  $x \in X$  which is equivalent to  $\psi = 0$ .

$ii) \Rightarrow i)$ . Suppose  $\text{Coker } \varphi = 0$  if and only if  $\text{Coker}(\varphi)_x = \text{Coker}(\varphi_x) = 0$  for any  $x \in X$ .

$iii) \Rightarrow iv)$ . Assume  $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is surjective for any  $U \subset X$  open. By Proposition. 2.2, we conclude that

$$\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$$

is also surjective. □

**Corollary 5.3.** *Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. Then the following statements are equivalent.*

- 1).  $\varphi$  is an isomorphism.
- 2). For all  $x \in X$ ,  $\varphi_x$  is an isomorphism.

*Proof.* □

## 5.7 Exact Sequences of Sheaves

**Definition 5.17.** *A sequence*

$$\mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H}$$

*of sheaves with morphisms  $\varphi, \psi$  of sheaves is said to be exact at  $\mathcal{G}$  if*

$$\text{Im } \varphi = \text{Ker } \psi.$$

**Definition 5.18.** *A short exact sequence of sheaves is a sequence*

$$0 \longrightarrow \mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H} \longrightarrow 0$$

*which is exact at all terms. In other words,*

- i).  $\varphi$  is injective,*
- ii).  $\psi$  is surjective,*
- iii).  $\text{Im } \varphi = \text{Ker } \psi$ .*

**Proposition 5.15.** *Given an exact sequence*

$$0 \longrightarrow \mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H},$$

*of sheaves on a topological space  $X$ . Then for each open set  $U \subseteq X$ , we derive an exact sequence of abelian groups*

$$0 \longrightarrow \mathcal{F}(U) \xrightarrow{\varphi} \mathcal{G}(U) \xrightarrow{\psi} \mathcal{H}(U),$$

*Proof.* □

**Corollary 5.4.** *Taking stalks in  $\text{Sh}_{(\mathbf{Ab})}(X)$  is an exact functor.*

**Theorem 5.1.** *The category of sheaves of abelian groups is an abelian category.*

## 5.8 Direct Image Functors

**Definition 5.19.** *Let  $(X, \mathcal{T}_X), (Y, \mathcal{T}_Y)$  be topological spaces and  $f : X \rightarrow Y$  be a continuous map. The direct image functor is the functor  $f_* : \text{Sh}(X) \rightarrow \text{Sh}(Y)$  such that,*

$$\mathcal{F} \in \text{Sh}(X), U \in \mathcal{T}_Y \{ \quad f_* \mathcal{F}(U) = \mathcal{F}(f^{-1}(U)) \}$$

**Proposition 5.16.** *Such  $f_* \mathcal{F}$  is indeed a sheaf.*

*Proof.* □

**Definition 5.20.** *Let  $f_* : \text{Sh}(X) \rightarrow \text{Sh}(Y)$  be a direct image functor. Given a morphism of sheaves,  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  in  $\text{Sh}(X)$ . For an open set  $U \in Y$ , the image of  $\varphi$  under  $f_*$  is*

$$(f_* \varphi)_U = \varphi_{f^{-1}(U)} : f_* \mathcal{F}(U) \rightarrow f_* \mathcal{G}(U).$$

**Definition 5.21.** *Let  $(X, \mathcal{T}_X), (Y, \mathcal{T}_Y)$  be topological spaces and  $f : X \rightarrow Y$  be a continuous map. The inverse image functor*

$$f^{-1} : \text{Sh}(Y) \rightarrow \text{Sh}(X)$$

*is defined as follow.*

*For a sheaf  $\mathcal{G} \in \text{Sh}(Y)$ , we set  $f^{-1} \mathcal{G}$  to be the sheafification of the presheaf*

$$V \in \mathcal{T}_X, \quad f^{-1} \mathcal{G}(V) = \varinjlim_{U \in \mathcal{O}_V} \mathcal{G}(U),$$

*where  $\mathcal{O}_V = \{U \in \mathcal{T}_X \mid V \subseteq U\}$ .*

**Definition 5.22.** *Let  $(X, \mathcal{T}_X), (Y, \mathcal{T}_Y)$  be topological spaces and  $f : X \rightarrow Y$  be a continuous map. For a sheaf  $\mathcal{F} \in \text{Sh}(X)$  and a point  $y \in Y$ , we define the stalk of  $f_* \mathcal{F}$  at  $y$  as*

$$(f_* \mathcal{F})_y = \varinjlim_{U \in \mathcal{O}_y} \mathcal{F}(f^{-1}(U)).$$

**Proposition 5.17.** *Let  $(X, \mathcal{T}_X), (Y, \mathcal{T}_Y)$  be topological spaces and  $f : X \rightarrow Y$  be a continuous map. For  $\mathcal{F} \in \text{Sh}(Y), x \in X$ , there exists an isomorphism such that*

$$(f^{-1}\mathcal{F})_x \cong \mathcal{F}_{f(x)}.$$

**Example 5.14.** *Given a topological space  $X$  and a single point set  $\{y\}$ . A unique map  $f : X \rightarrow \{y\}$  is continuous. We know that*

$$\text{Sh}(\{y\}) = (\mathbf{Ab}).$$

*Thus the direct image functor  $f_*$  and  $\mathcal{F} \in \text{Sh}(\{y\})$ , we have*

$$f_*\mathcal{F} = \mathcal{F}(X) \in (\mathbf{Ab}).$$

**Example 5.15.** *Given a topological space  $X$  and a single point set  $\{y\}$  and a unique continuous map  $f : X \rightarrow \{y\}$ . We have for  $G \in \text{Sh}(\{y\}) = (\mathbf{Ab})$ ,*

$$f^{-1}G = G.$$

**Example 5.16.** *Given a topological space  $X$  and a single point set  $\{y\}$  and a continuous map  $f : \{y\} \rightarrow X$ . We have for  $G \in \text{Sh}(\{y\}) = (\mathbf{Ab})$ ,*

$$f_*G(U) = \begin{cases} G & (f(y) \in U), \\ 0 & (f(y) \notin U). \end{cases}$$

**Example 5.17.** *Given a topological space  $X$  and a single point set  $\{y\}$  and a continuous map  $f : \{y\} \rightarrow X$ . For  $\mathcal{F} \in \text{Sh}(X)$ ,*

$$f^{-1}\mathcal{F}(\{y\}) = \mathcal{F}_f(y).$$

**Lemma 5.1.** *Given a topological spaces  $X$  and  $Y$ ,  $f : X \rightarrow Y$  a continuous function. The inverse image functor  $f^{-1}$  is exact.*

**Lemma 5.2.** *Given a topological spaces  $X$  and  $Y$ ,  $f$  a continuous function. The direct image functor  $f_*$  is (only) left-exact.*

**Lemma 5.3.** *Given a topological spaces  $X$  and  $Y$ ,  $f$  a continuous function. We have seen that  $f_*$  induces a group homomorphism between  $\text{Hom}(\mathcal{F}, \mathcal{G})$  and  $\text{Hom}(f_*\mathcal{F}, f_*\mathcal{G})$ . Allowing the abuse of notation, we denote this homomorphism by*

$$f_* : \text{Hom}(\mathcal{F}, \mathcal{G}) \rightarrow \text{Hom}(f_*\mathcal{F}, f_*\mathcal{G}).$$

*For such homomorphism we have*

$$f_*(\mathcal{F} \oplus \mathcal{G}) \cong f_*\mathcal{F} \oplus f_*\mathcal{G}.$$

## 6 Cohomology of Sheaves

### 6.1 Basics of Cohomology

**Example 6.1.** *Sheaf of holomorphic functions.*

## 6.2 $\delta$ -Functors

**Definition 6.1.** Let  $\mathcal{A}, \mathcal{B}$  be categories. A  $\delta$ -functor from  $\mathcal{A} \rightarrow \mathcal{B}$  consist of additive functors

$$H^i : \mathcal{A} \rightarrow \mathcal{B} \quad (i = 0, 1, \dots),$$

such that for any short exact sequence

$$0 \longrightarrow A_1 \longrightarrow A_2 \longrightarrow A_3 \longrightarrow 0$$

in  $\mathcal{A}$ , we have mapping

$$\delta^i : H^i(A_3) \rightarrow H^{i+1}(A_1),$$

which make the sequence

$$\begin{aligned} 0 \longrightarrow H_0(A_1) \longrightarrow H_0(A_2) \longrightarrow H_0(A_3) \xrightarrow{\delta^0} H_1(A_1) \longrightarrow \dots \\ \dots \longrightarrow H_i(A_3) \xrightarrow{\delta^i} H_{i+1}(A_1) \longrightarrow \dots \end{aligned}$$

a long exact sequence.

Furthermore, given a commutative diagram,

$$\begin{array}{ccccccc} 0 & \longrightarrow & A_1 & \longrightarrow & A_2 & \longrightarrow & A_3 \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & B_1 & \longrightarrow & B_2 & \longrightarrow & B_3 \longrightarrow 0 \end{array}$$

we get a commutative diagram

$$\begin{array}{ccccccc} \dots & \longrightarrow & H^i(A_1) & \longrightarrow & H^i(A_2) & \longrightarrow & H^i(A_3) \longrightarrow \dots \\ & & \downarrow & & \downarrow & & \downarrow \\ \dots & \longrightarrow & H^i(B_1) & \longrightarrow & H^i(B_2) & \longrightarrow & H^i(B_3) \longrightarrow \dots \end{array}$$

**Definition 6.2.** A  $\delta$ -functor  $(H^i : \mathcal{A} \rightarrow \mathcal{B}, \delta^i)_i$  is universal if for any other  $\delta$ -functor  $(\tilde{H}^i : \mathcal{A} \rightarrow \mathcal{B}, \tilde{\delta}^i)_i$  together with a morphism

## 7 Scheme Theory

### 7.1 Ringed Spaces

**Definition 7.1.** Let  $(X, \mathcal{T})$  be a topological space. A ringed space is a sheaf  $\mathcal{O}_X$  of rings on  $X$ .

**Definition 7.2.** A morphism of ringed spaces between  $(X, \mathcal{O}_X), (Y, \mathcal{O}_Y)$  is a tuple  $(f, f^\#)$  where  $f : X \rightarrow Y$  is a continuous map and  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is a morphism of sheaves of rings.

**Example 7.1.** Let  $(X, \mathcal{T})$  be a topological space. The sheaf of continuous functions  $\mathcal{C}_X$  is a ringed space and any continuous map  $f : X \rightarrow Y$  defines a morphism of ringed spaces.

**Example 7.2.** Let  $X$  is a differentiable manifold then the differentiable functions  $\mathcal{C}_X^{\text{diff}}$  is a ringed space. A morphism of ringed spaces  $f : X \rightarrow Y$ , for this case must satisfy the following condition.

**Example 7.3.** Let  $X \subseteq \mathbb{C}^n$  be open subset. A sheaf of holomorphic functions  $\mathcal{O}_X$  over  $X$  is a ringed space. And a morphism of such ringed spaces must be a holomorphic functions

**Example 7.4.** Given the Zariski topology on  $X = k^n$  and the sheaf  $\mathcal{O}_X(U) = \{f : U \rightarrow k \mid f \text{ is regular}\}$ ,  $(X, \mathcal{O}_X)$  is a ringed space.

**Definition 7.3.** By Remark 3.1, the sheaf of regular functions  $\mathcal{O}_X$  is contained in the sheaf of continuous functions  $\mathcal{C}_X$ . Given two Zariski topologies  $X, Y$ , and a continuous function  $f : X \rightarrow Y$ ,  $f$  is said to be regular if for any regular function  $g : U \rightarrow k$  for an open set  $U \subseteq Y$ ,  $g \circ f : f^{-1}(U) \rightarrow k$  is also regular. In other words,  $f$  is said to be regular if it defines a morphism of ringed spaces between two ringed spaces of regular functions.

**Definition 7.4.** A locally ringed space is a ringed space  $(X, \mathcal{O}_X)$  such that for any  $x \in X$ , the stalk  $\mathcal{O}_{X,x}$  is a local ring.

**Example 7.5.** A sheaf of continuous functions on a topological space  $X$  is a locally ringed space. Indeed, for each  $x \in X$  and the stalk  $\mathcal{C}_{X,x}$ , the ideal

$$\mathfrak{m}_x = \{(f : U \rightarrow \mathbb{R}, U) \mid f(x) = 0\}$$

is a unique maximal ideal. In order to prove this, we recall that an ideal  $\mathfrak{m}$  is a unique maximal ideal if any element not in  $\mathfrak{m}$  is a unit.

For each  $(f : U \rightarrow \mathbb{R}, U) \in \mathcal{C}_{X,x}$ ,  $f(x) \neq 0$  implies that there exists a neighborhood  $V \subset U$  such that  $f(x) \neq 0$  for any  $x \in V$ . Thus  $(f|_V : V \rightarrow \mathbb{R}, V)$  is invertible, therefore a unit.

**Example 7.6.** In similar manner, the following are also locally ringed spaces.

1.  $X$  is a differentiable manifold and  $(X, \mathcal{C}_X^{\text{diff}})$ .
2.  $X \subseteq \mathbb{C}^n$  be an open set, and  $(X, \mathcal{O}_X)$  be a sheaf of holomorphic functions.
3. A sheaf of regular functions on  $X = k^n$ .

**Definition 7.5.** A morphism  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  between ringed spaces is a morphism of locally ringed space if  $f^\#$  is local as a ring homomorphism.

**Example 7.7.** Let  $A$  be a commutative ring and consider the Zariski topology on  $X = \text{Spec}(A)$  and the structure sheaf  $(X, \mathcal{O}_X)$ . We have proven that

$$\mathcal{O}_{X, \mathfrak{p}} \cong A_{\mathfrak{p}}.$$

Therefore,  $(X, \mathcal{O}_X)$  is a locally ringed space and for any ring homomorphism  $\phi : A \rightarrow B$ , it induces a morphism of locally ringed spaces  $(f, f^\#) : (\text{Spec}(B), \mathcal{O}_{\text{Spec}(B)}) \rightarrow (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$  such that

$$\mathfrak{q} \in \text{Spec}(B), f(\mathfrak{q}) = \phi^{-1}(\mathfrak{q}) \in \text{Spec}(A).$$

This is indeed a morphism of locally ringed spaces.

**Proposition 7.1.** Let  $A, B$  be commutative rings. Then the map  $\phi \mapsto (f, f^\#)$  is a bijection between

$$\text{Hom}(A, B) \leftrightarrow \text{Hom}_{\text{loc}}(\text{Spec}(B), \mathcal{O}_{\text{Spec}(B)}), (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$$

*Proof.*

□

**Definition 7.6.** A category of ringed spaces is denoted by **(RingedSpaces)** with morphisms  $(f, f^\#)$  morphisms of ringed spaces.

**Definition 7.7.** A category of ringed spaces is denoted by **(RingedSpaces)** with morphisms  $(f, f^\#)$  morphisms of locally ringed spaces.

**Remark 7.1.** A composition of two morphisms locally ringed space is indeed a morphism of locally ringed spaces thus the above construction is justified.

**Definition 7.8.** Two locally ringed spaces  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  are isomorphic if there exists morphisms  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  and  $(g, g^\#) : (Y, \mathcal{O}_Y) \rightarrow (X, \mathcal{O}_X)$  such that  $f$  and  $g$  are inverses of each other. (ie. there exists a morphism of locally ringed spaces  $(f, f^\#)$  where  $f$  is a homeomorphism).

**Example 7.8.** (A morphism of locally ringed spaces induced by homeomorphism but not an isomorphism of locally ringed spaces).

Let  $X = \mathbb{R}^n$  and consider the sheaf of continuous functionals  $\mathcal{C}_X$  and the sheaf of smooth functionals  $\mathcal{C}_X^{\text{diff}}$ . Furthermore, we consider  $f = \text{id}_X$  then  $f^\#$  is an inclusion as smooth functions are continuous. However,  $(f, f^\#)$  is not an isomorphism of locally ringed spaces.

**Example 7.9.** Let us consider  $X = \mathbb{C}^n$  and the sheaf of holomorphic functions  $\mathcal{O}$  on  $X$  and the structure sheaf  $\mathcal{O}_X$ . Then consider the morphism of locally ringed spaces  $(f, f^\#)$  by the identity map. However,  $f$  is not continuous as the topology defined on the image is the Zariski topology.

**Definition 7.9.** Let  $X = \mathbb{C}^n$  and  $Y = \text{MaxSpec}(\mathbb{C}[x_1, \dots, x_n])$ . Let  $f : X \rightarrow Y$  be such that

$$f(z_1, \dots, z_n) = (x_1 - z_1, \dots, x_n - z_n).$$

This is a bijection. Furthermore,  $f$  is continuous because polynomials are continuous functions.

We define  $f^\#$  to be



## 7.2 Schemes

**Definition 7.10.** An affine scheme is a locally ringed space  $(X, \mathcal{O}_X)$  which is isomorphic to a structure sheaf  $(\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$  for some commutative ring  $A$ .

**Example 7.10.** We consider the Zariski topology on  $\text{Spec}(\mathbb{Z})$  and a sheaf  $\mathcal{O}$  such that

$$\mathcal{O}(D(\mathfrak{a})) = \mathbb{Z}_{\mathfrak{a}}.$$

is an affine scheme.

**Example 7.11.** Let  $k$  be a field. Then  $\text{Spec}(k)$  is a single point set. And we consider the sheaf  $\mathcal{O}$  such that  $\mathcal{O}(\text{Spec}(k)) = k$ .

**Definition 7.11.** For a field  $A$  be a commutative ring and  $n$  a natural number, we define

$$\mathbb{A}_A^n = (\text{Spec}(A[x_1, \dots, x_n]), \mathcal{O}).$$

**Example 7.12.** Let  $A$  be a discrete valuation ring in other words  $k[t]_{(t)}$ .

**Example 7.13.** Let  $k$  be a field and  $A = k[x]/(x^2)$ . Then  $\text{Spec}(A) = \{(x)\}$ . Thus a single point set. However, this is not isomorphic to  $(\text{Spec}(k), \mathcal{O})$  introduced in Example 7.11.

**Definition 7.12.** A scheme is a ringed space  $(X, \mathcal{O}_X)$  which is locally isomorphic to an affine scheme. In other words, for any  $x \in X$ , there is a neighborhood  $U$  of  $x$  such that there exists a commutative ring  $A$  and  $(U, \mathcal{O}|_U)$  is isomorphic to  $(\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$ .

**Definition 7.13.** A category of affine schemes is **(AffSch)** where

- i).  $\text{ob}(\text{AffSch}) = \{(\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)}) \mid A \text{ is a commutative ring and } \mathcal{O}_{\text{Spec}(A)} \text{ is a structure sheaf}\}.$
- ii).  $(\text{AffSch})((\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)}), (\text{Spec}(B), \mathcal{O}_{\text{Spec}(B)})) = \{ \text{morphisms of locally ringed spaces} \}.$

**Definition 7.14.** A category of schemes is **(Sch)** where

- i).  $\text{ob}(\text{Sch}) = \{(X, \mathcal{O}_X) \mid \text{Schemes}\}.$
- ii).  $(\text{AffSch})((X, \mathcal{O}_X), (Y, \mathcal{O}_Y)) = \{ \text{morphisms of locally ringed spaces} \}.$

**Remark 7.2.** We have the inclusion relations

$$(\text{AffSch}) \subset (\text{Sch}) \subset (\text{LocallyRingedSpaces})$$

which are all full subcategories however,

$$(\text{LocallyRingedSpaces}) \subset (\text{RingedSpaces})$$

is not a full subcategory

### 7.3 Connection with Classical Algebraic Geometry

**Proposition 7.2.** *Let  $X$  be an affine variety. The regular functions  $\mathcal{O}_X(U)$*

$$\mathcal{O}_X(U) = \{h : U \rightarrow k \mid h \text{ is a regular function.}\}.$$

*defined on open subset  $U$  of  $X$  form a sheaf. Furthermore, it is a locally ringed space.*

*Proof.* □

**Proposition 7.3.** *Let  $X$  be an affine variety and  $Y = A(X)$  be a coordinate ring. Let us consider the sheaf of regular functions  $(X, \mathcal{O}_X)$  and an affine scheme  $(Y, \mathcal{O}_Y)$ . There exists a natural morphism of locally ringed spaces  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ .*

*Proof.* Notice that we have the following isomorphisms.

$$X \cong \text{MaxSpec}(A(X)), \quad k^n \cong \text{MaxSpec}(k[x_1, \dots, x_n]).$$

For any maximal ideal  $\mathfrak{m} \subset k[x_1, \dots, x_n]$ ,

$$I(X) \subseteq \mathfrak{m} = (x_1 - a_1, \dots, x_n - a_n) \Leftrightarrow \forall f \in I(X), f(a_1, \dots, a_n) = 0.$$

Let  $\pi : Y \rightarrow X$  to be the canonical map by  $I(X)$ , then the map  $f : X \rightarrow Y, (\mathfrak{m}) = \pi^{-1}(\mathfrak{m})$  is an inclusion. Then  $f$  is continuous.

Let us define  $f^\# : \mathcal{O}_Y \rightarrow f_*(\mathcal{O}_X)$ . For an open set  $U \subseteq Y$ , we have

$$(s : U \rightarrow \coprod_{\mathfrak{p} \in U} A(x)_{\mathfrak{p}}) \mapsto (s : U \rightarrow \coprod_{\mathfrak{m} \in U \cap \text{MaxSpec } A(x)} A(x)_{\mathfrak{m}}).$$

By Lemma 3.1 and applying canonical maps  $\pi_{\mathfrak{m}} : A(X)_{\mathfrak{m}} \rightarrow A(X)_{\mathfrak{m}}/\mathfrak{m}A(X)_{\mathfrak{m}}$  locally, we get

$$s : U \rightarrow \coprod_{\mathfrak{m} \in U \cap \text{MaxSpec } A(x)} A(x)_{\mathfrak{m}} \rightarrow \coprod_{\mathfrak{m} \in U \cap \text{MaxSpec } A(x)} A(X)_{\mathfrak{m}}/\mathfrak{m}A(X)_{\mathfrak{m}} = k.$$

Thus we obtained a map  $s : U \rightarrow k$ . Locally, we have

$$s = \frac{g_1 + I(X)}{g_2 + I(X)},$$

for  $g_1 + I(X), g_2 + I(X) \in A(X)$ . We conclude, locally

$$t = \frac{g_1}{g_2}.$$

We now claim that  $(f, f^\#)$  is a local morphism of ringed spaces. By the correspondence of a maximal ideal  $\mathfrak{m}$  of  $k[x_1, \dots, x_n]$  and a point  $(a_1, \dots, a_n)$ , we have the isomorphism

$$\mathcal{O}_{X, \mathfrak{m}} \xrightarrow{\sim} \mathcal{O}_{Y, \mathfrak{m}} = A(X)_{\mathfrak{m}}.$$

□

**Remark 7.3.** Since  $X$  is an algebraic variety, there is a prime ideal  $\mathfrak{p}$  of  $k[x_1, \dots, x_n]$  such that

$$X = V(\mathfrak{p}).$$

Let us define  $(Y', \mathcal{O}_{Y'}) = (\text{Spec}(k[x_1, \dots, x_n]), \mathcal{O})$ , where  $I(X) = \mathfrak{a}$ . Since  $k$  is field,  $k[x_1, \dots, x_n]$  is Noetherian, thus the primary decomposition exists for any ideal. Thus there is a bijection between

$$\text{Spec}(k[x_1, \dots, x_n]/\mathfrak{a}) \leftrightarrow \text{Spec}(A(X)).$$

**Example 7.14.** Let  $K$  be any field and  $A = k[x]/(x^2)$ .  $A$  is called the ring of dual numbers. Observe that

$$(\text{Spec } k, \mathcal{O}_{\text{Spec } k}), (\text{Spec } A, \mathcal{O}_{\text{Spec } A}),$$

both consist of single points. Let us define  $(f, f^\#) : (\text{Spec } k, \mathcal{O}_{\text{Spec } k}) \rightarrow (\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ . By the previous observation, the function  $f : \text{Spec } A \rightarrow \text{Spec } k$  is unique map sending the unique point to the unique point.

## 7.4 Properties of Schemes

**Theorem 7.1** (Topological properties of schemes).

**Definition 7.15.** A scheme is said to be locally Noetherian if there exists an open cover  $(U_i)_{i \in I}$  such that for each  $i \in I$ ,

$$U_i \cong \text{Spec}(A_i) \tag{7.1}$$

for some Noetherian ring  $A_i$ .

**Lemma 7.1.** Let  $A, B$  be rings and  $\varphi : A \rightarrow B$  be a ring homomorphism. Let  $a \in A$  and  $b = \varphi(a)$ , then we have

$$\text{Spec}(A_a) = \text{Spec}(B_b)$$

as sets.

*Proof.* Let  $\mathfrak{q} \subset B$  be a prime ideal not containing  $b$  and  $\mathfrak{p} \subset A$  be a contraction of  $\mathfrak{q}$  by  $\varphi$ .  $\varphi^{-1}$  is the inclusion from  $\text{Spec}(B)$  to  $\text{Spec}(A)$ . Also we have

$$\text{Spec}(A_a) \cong D_A(a) \subset \text{Spec}(A)$$

for an arbitrary ring and an element. And by  $\varphi^{-1}$  we have

$$D_B(b) \subset D_A(a).$$

Thus we have an inclusion

$$\text{Spec}(B_b) \subset \text{Spec}(A_a).$$

□

**Proposition 7.4.** *Let  $A, B$  be rings and  $\varphi : A \rightarrow B$  be a ring homomorphism. Let  $a \in A$  and  $b = \varphi(a)$ , then we have*

$$\mathrm{Spec}(A_a) = \mathrm{Spec}(B_b)$$

*as affine schemes.*

*Proof.* By Lemma 7.1, they are equal as sets. Since  $\mathrm{Spec}(B)$  is open in  $\mathrm{Spec}(A)$ . Using the definition of structure sheaves, we have

$$\mathcal{O}_{\mathrm{Spec}(A)}|_{\mathrm{Spec}(B)} = \mathcal{O}_{\mathrm{Spec}(B)}.$$

Therefore, by the lemma we have

$$\mathcal{O}_{\mathrm{Spec}(A_a)} = \mathcal{O}_{\mathrm{Spec}(B_b)}.$$

□

**Lemma 7.2.** *A scheme  $(X, \mathcal{O}_X)$  is locally Noetherian if and only if for any open affine set  $U \subset X, U = \mathrm{Spec}(A)$  for some Noetherian ring  $A$ .*

*Proof.* By definition,  $\Leftarrow$  is trivially true. We will prove  $\Rightarrow$  direction.

Let  $X = \bigcup_{i \in I} \mathrm{Spec}(A_i)$  be an open affine Noetherian covering of  $X$  and  $U = \mathrm{Spec}(A)$  be an open affine set. Let us define an open covering of  $U$  by

$$U_i = U \cap \mathrm{Spec}(A_i), \quad U = \bigcup_{i \in I} U_i.$$

By Theorem 7.1 and the assumption on  $U$ ,  $U$  is quasi-compact. By arranging  $I$ , there exists a large enough  $n \in \mathbb{N}$  such that

$$U = \bigcup_{i=1}^n U_i.$$

Since each  $i = 1, \dots, n$ ,  $U_i$  is open in  $\mathrm{Spec}(A_i)$ , thus there is  $\{a_{ij}\}_{j=1, \dots, n_i} \subset A_i$  such that

$$U_i = \bigcup_{j=1}^{n_i} \mathrm{Spec}(A_{i, a_{ij}}).$$

Thus substituting this to (7.1), we get

$$U = \bigcup_{i=1}^n \bigcup_{j=1}^{n_i} \mathrm{Spec}(A_{i, a_{ij}}).$$

Again by the quasi-compactness of  $U$ , we conclude finitely many  $A_{i, a_{ij}}$  cover  $U$ .

Since  $A_i$  is Noetherian for each  $i \in I$ , this means that any localization of it is also Noetherian. Thus we  $\{\mathrm{Spec}(A_{i, a_{ij}})\}$  is an open Noetherian covering of  $U$ .

By rearranging  $\{a_{ij}\}$ , we let  $\{a_1, \dots, a_n\}$  to be the elements which spectrums of their localizations cover  $U$ . We then show that

$$\mathfrak{a} = \bigcap_{i=1}^n \pi_i^{-1}(\pi_i(\mathfrak{a})A_{a_i}).$$

where  $\pi_i : A \rightarrow A_{a_i}$  is the canonical inclusion for each  $i = 1, \dots, n$ .

$\mathfrak{a} \subseteq \bigcap_{i=1}^n \pi_i^{-1}(\pi_i(\mathfrak{a})A_{a_i})$  is trivial, thus we prove  $\mathfrak{a} \supseteq \bigcap_{i=1}^n \pi_i^{-1}(\pi_i(\mathfrak{a})A_{a_i})$ . Let  $b \in \bigcap_{i=1}^n \pi_i^{-1}(\pi_i(\mathfrak{a})A_{a_i})$  be arbitrary. By definition, for each  $i = 1, \dots, n$ , there exists  $b_i \in \mathfrak{a}$  and  $n_i$  such that

$$\pi_i(b) = \frac{b_i}{a_i^{n_i}}.$$

Using that  $\mathfrak{a}$  is an ideal, we derive that for big enough  $N$ , we have

$$\pi_i(b) = \frac{b_i}{a_i^N}.$$

Using the definition of localization, for each  $i = 1, \dots, n$ , there is  $m_i$  such that

$$(b_i - a_i^N b) a_i^{m_i} = 0.$$

Taking large enough  $M$ , for each  $i = 1, \dots, n$ , we derive

$$(b_i - a_i^N b) a_i^M = 0.$$

Thus for all  $i = 1, \dots, n$ , we know

$$a_i^{N+M} b \in \mathfrak{a}. \tag{7.2}$$

Since  $\{D_A(a_i)\}_{i=1, \dots, n}$  covers  $\text{Spec } A$ , we have

$$\bigcap_{i=1}^n V(a_i) = \emptyset \Leftrightarrow V((a_1, \dots, a_n)) = \emptyset \Leftrightarrow (a_1, \dots, a_n) = (1).$$

Therefore, for any  $k \in \mathbb{N}_0$  we have  $(a_1^k, \dots, a_n^k) = (1)$ . By Equation (??), we derive that for some  $c_1, \dots, c_n \in A$ ,

$$b = \sum_{i=1}^n c_i a_i^k \in \mathfrak{a}.$$

Finally, we prove that  $A$  is Noetherian. Given an ascending chain of ideal

$$\mathfrak{a}_1 \subset \mathfrak{a}_2 \subset \dots.$$

We get an ascending chain of extended ideals

$$\pi(\mathfrak{a}_1)A_{a_i} \subset \pi(\mathfrak{a}_2)A_{a_i} \subset \dots,$$

for each  $i = 1, \dots, n$ . Since each  $A_{a_i}$  is Noetherian, we conclude that there is large enough  $N$  such that

$$\pi_i(\mathfrak{a}_N)A_{a_i} = \pi_i(\mathfrak{a}_{N+1})A_{a_i}$$

for each  $i = 1, \dots, n$ . By Equation (??), we conclude that

$$\mathfrak{a}_N = \bigcap_{i=1}^n \pi_i^{-1}(\pi_i(\mathfrak{a}_N)A_{a_i}) = \bigcap_{i=1}^n \pi_i^{-1}(\pi_i(\mathfrak{a}_{N+1})A_{a_i}) = \mathfrak{a}_{N+1}.$$

□

**Corollary 7.1.** *An affine scheme  $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$  is a Noetherian scheme, then  $A$  is Noetherian.*

**Remark 7.4.** *A Sheaf is noetherian then its base space is Noetherian as topological space. The converse is not true.*

**Definition 7.16.** *A scheme  $(X, \mathcal{O}_X)$  is said to be reduced if for any open subset  $U \subseteq X$ ,  $\mathcal{O}_X(U)$  is a reduced ring.*

**Proposition 7.5.** *A scheme  $(X, \mathcal{O}_X)$  is reduced if and only if for any  $x \in X$ , the stalk  $\mathcal{O}_{X,x}$  is a reduced ring.*

*Proof.*

□

**Definition 7.17.** *A scheme is integral if every section of it is an integral domain.*

**Proposition 7.6.** *A scheme  $(X, \mathcal{O}_X)$  is reduced then for any  $x \in X$ , the stalk  $\mathcal{O}_{X,x}$  is an integral domain.*

*Proof.*

□

**Remark 7.5.** *The converse is not true.*

*Let  $k$  be a field and  $R$  be a  $k$ -algebra.*

**Remark 7.6.** *By the definition of reduced rings, it is obvious that integral schemes are reduced.*

**Example 7.15.** *An affine scheme on a field  $k$  is integral.*

**Example 7.16.** *Let  $k$  be a field.  $(\text{Spec } k[x]/(x^2), \mathcal{O}_{\text{Spec } k[x]/(x^2)})$  is neither integral nor reduced.*

**Example 7.17.** *Let  $k$  be a field.  $(\text{Spec } k[x, y]/(x, y), \mathcal{O}_{\text{Spec } k[x, y]/(x, y)})$  is reduced but not integral.*

**Lemma 7.3.** *Let  $(X, \mathcal{O}_X)$  is a scheme and fix  $s \in \mathcal{O}_X(U)$  for some open set  $U$ . For each  $x \in U$ , define  $\mathfrak{m}_x$  to be a unique maximal ideal in the stalk. Then the set*

$$F = \{x \in U \mid s \in \mathfrak{m}_x\}$$

*is a closed subset of  $X$ .*

*Proof.* First, let us assume that  $U = \text{Spec}(A)$  for some ring  $A$ . We will prove that  $T^c$  is open  $\square$

**Lemma 7.4.** *A scheme  $(X, \mathcal{O}_X)$  is integral if and only if it is a reduced scheme on an irreducible topological space.*

*Proof.* Since integral schemes are reduced. We first prove that  $X$  is not irreducible then  $(X, \mathcal{O}_X)$  is not integral.

Since  $X$  is not irreducible, there is non-empty disjoint open subsets  $U_1, U_2$  of  $X$ . Then

$$\mathcal{O}_X(U_1 \cup U_2) \cong \mathcal{O}_X(U_1) \times \mathcal{O}_X(U_2).$$

Therefore, this is not an integral domain.

Suppose  $X$  is irreducible and  $(X, \mathcal{O}_X)$  is reduced. Given an arbitrary open set  $U \subset X$ , and  $s_1, s_2 \in \mathcal{O}_X(U)$ , we will show that

$$s_1 s_2 = 0 \Rightarrow s_1 = 0 \vee s_2 = 0.$$

By Lemma ??,

$$X_1 = \{x \in U \mid s_1 \in \mathfrak{m}_x\}, \quad X_2 = \{x \in U \mid s_2 \in \mathfrak{m}_x\},$$

are closed subsets.

By the sheaf property, we have  $s_1 s_2 = 0$  implies for all  $x \in U$ ,

$$(s_1 s_2)_x = s_{1,x} s_{2,x} = 0.$$

Since each  $\mathfrak{m}_x$  is prime and  $s_{1,x} s_{2,x} = 0 \in \mathfrak{m}_x$ ,  $s_{1,x} \in \mathfrak{m}_x$  or  $s_{2,x} \in \mathfrak{m}_x$ . Therefore, this show that

$$U = X_1 \cup X_2.$$

Since  $X$  is irreducible, so is  $U$ , Without the loss of generality, we assume  $U = X_1$ . Let  $\text{Spec}(A) \subset U$  be an open affine set. Let us define

$$t = s_1|_{\text{Spec}(A)} \in A.$$

for all  $x = \mathfrak{p} \in \text{Spec}(A) \subset U$ , we have

$$t_x \in \mathfrak{m}_x \in \mathcal{O}_{X,x}.$$

In other words,

$$\frac{t}{1} \in \mathfrak{p}A_{\mathfrak{p}} \subset A_{\mathfrak{p}}.$$

Therefore,  $t \in \mathfrak{p}$  for any prime ideal of  $A$ , thus  $t$  is a nilpotent. Furthermore,  $X$  is reduced, thus  $t = 0$ .

Thus any section  $s_1|_{\text{Spec}(A)} = 0$  for all  $\text{Spec}(A)$ . By the sheaf property, we conclude  $s_1 = 0$ .  $\square$

**Corollary 7.2.** *If  $X$  is integral, then there exists a unique generic point  $\eta \in X$ .*

*Proof.* For any  $\text{Spec}(A) \subseteq X$ ,  $A$  is an integral domain. Let  $\eta = (0) \in \text{Spec}(A)$ . Then  $\eta$  is a generic point of  $\text{Spec}(A)$ . By the irreducibility of  $X$ , we have  $\eta$  is a generic point of  $X$ .

For the uniqueness, assume  $\zeta, \eta$  be generic points of  $X$ . Let us pick an open affine set  $\text{Spec}(A)$  containing  $\eta$ . By closedness of  $X \setminus \text{Spec}(A)$  and that  $\zeta$  is also a generic point, we conclude that  $\zeta \in \text{Spec}(A)$ .

Without the loss of generality, we assume that  $\eta = (0) \subset A$ . Since  $\eta \neq \zeta$ ,  $\zeta = \mathfrak{p} \subset A$  for some prime ideal. However

$$\eta \in V(\mathfrak{p}) \Leftrightarrow \mathfrak{p} = (0) \Rightarrow \eta = \zeta.$$

$\square$

## 7.5 Open and Closed Subschemes

**Definition 7.18.** *Let  $(X, \mathcal{O}_X)$  be a scheme and  $U \subseteq X$  be open. Then*

$$(U, \mathcal{O}_X|_U)$$

*is called an open subscheme of  $X$ .*

**Remark 7.7.** *If  $\text{Spec}(B) \subseteq \text{Spec}(A)$  is an open subscheme then*

$$\text{Spec}(B) = \bigcup_{i=1}^n \text{Spec}(A_{a_i}) = \bigcup_{i=1}^n \text{Spec}(B_{b_i}),$$

*with morphisms  $A \ni a_i \mapsto b_i \in B$ .*

**Definition 7.19** (1st definition of closed subschemes). *Let  $(X, \mathcal{O}_X)$  be a scheme and  $M$  be a set of morphisms of schemes such that for each  $(i, i^\#) \in M$ ,*

1.  $i : Z \rightarrow X$ , *is a homeomorphism of  $Z$  and some closed subset  $i(Z)$  of  $X$ .*
2.  $i^\# : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z$  *is surjective. In other words, for any  $z \in Z$ ,  $\mathcal{O}_{X, i(z)} \rightarrow \mathcal{O}_{Z, z}$  is a surjection.*



We now define an equivalence relation  $\sim$  such that  $(i, i^\#) : Y \rightarrow X \sim (j, j^\#) : Z \rightarrow X$  if  $Y$  and  $Z$  are homeomorphic and the following diagram is commutative.

$$\begin{array}{ccc} (Y, \mathcal{O}_Y) & \xrightarrow{(i, i^\#)} & (X, \mathcal{O}_X) \\ \downarrow & \nearrow (j, j^\#) & \\ (Z, \mathcal{O}_Z) & & \end{array}$$

**Definition 7.20** (Second definition of closed subschemes). *A closed subscheme of a scheme  $(X, \mathcal{O}_X)$  consists of a closed subset  $i : Z \hookrightarrow X$  and a sheaf  $\mathcal{O}_Z$  such that there is a sheaf of ideals  $(Z, \mathcal{I}_Z)$  which is a subsheaf of  $\mathcal{O}_X$  such that*

$$\mathcal{O}_X|_{\mathcal{I}_Z} \cong i_* \mathcal{O}_Z.$$

**Lemma 7.5.** *Let  $X = \text{Spec}(A)$  for a commutative ring and consider a scheme  $(X, \mathcal{O}_X)$ . Consider a closed subscheme  $(Z, \mathcal{O}_Z)$  of  $(X, \mathcal{O}_X)$  and an ideal*

$$\mathfrak{a}_Z = \text{Ker}(A \rightarrow Z).$$

*Then we have the inclusions of set,*

$$Z \subseteq V(\mathfrak{a}_Z) \subseteq \text{Spec}(A).$$

**Lemma 7.6.** *The second claim of the preceding lemma.*

**Lemma 7.7.** *Let  $A$  be a ring then there exists a natural bijection between*

$$\{\mathfrak{a} \subseteq A \mid \mathfrak{a} \text{ is an ideal of } A\} \leftrightarrow \{Z \subseteq \text{Spec}(A) \mid Z \text{ is a closed subscheme}\}.$$

*Proof.* □

## 7.6 Fiber Products

**Definition 7.21.** *Let  $X, S$  be schemes.  $X$  is called a  $S$ -scheme if there exists a morphism of schemes  $\varphi : X \rightarrow S$ .*

**Definition 7.22.** *Let  $S$  be a scheme. The category of  $S$ -schemes is  $(\mathbf{Sch}/S)$  where*

1.  $\mathbf{ob}(\mathbf{Sch}/S) = \{\varphi : X \rightarrow S \mid \varphi \text{ is a morphism of schemes}\}.$
2.  $(\mathbf{Sch}/S)(\varphi : X \rightarrow S, \psi : Y \rightarrow S) = \{f : X \rightarrow Y \mid f \text{ is a morphism of schemes such that } \varphi = f \circ \psi\}.$

**Remark 7.8.** *Clearly we have*

$$(\mathbf{Sch}/\text{Spec}(\mathbb{Z})) = (\mathbf{Sch}).$$

*For a field  $k$  we have,*

*A given scheme can have many  $k$ -scheme structure.*

**Definition 7.23.** A fiber product of  $S$ -schemes  $X, Y$  with morphisms  $f : X \rightarrow S, g : Y \rightarrow S$  is a scheme  $X \times_S Y$  together with a morphisms  $p : X \times_S Y \rightarrow X, q : X \times_S Y \rightarrow Y$  such that

$$f \circ p = g \circ q$$

and for any scheme  $F$  with such pair of morphisms  $p_F : F \rightarrow X, q_F : F \rightarrow Y$ , there is a morphisms of scheme  $\varphi : F \rightarrow X \times_S Y$  such that we have

$$p \circ \varphi = p_F, \quad q \circ \varphi = q_F.$$

$$\begin{array}{ccccc} F & & & & \\ & \searrow q_F & & & \\ & & X \times_S Y & \xrightarrow{q} & Y \\ & \swarrow p_F & \downarrow p & & \downarrow g \\ & & X & \xrightarrow{f} & S \end{array}$$

**Proposition 7.7.** A fiber product of  $S$ -schemes  $X, Y$  is unique up to isomorphisms if it exists.

*Proof.*

□

**Proposition 7.8.** Let  $X, Y$  be  $S$ -schemes. Then we have an isomorphism

$$X \times_S Y \cong Y \times_S X.$$

*Proof.*

□

**Proposition 7.9.** Let  $X$  be a  $S$ -scheme,  $Z$  be a  $T$ -scheme, and  $Y$  be both a  $S$  and  $T$ -scheme. Then we have an isomorphism

$$(X \times_S Y) \times_T Z \cong X \times_S (Y \times_T Z).$$

*Proof.*

□

**Example 7.18.** Let  $X, Y, S \in \mathbf{ob}(\mathbf{Sch})$  and  $f : X \rightarrow S, g : Y \rightarrow S$  be mappings. Define

$$X \times_S Y = \{(x, y) \in X \times Y \mid f(x) = g(y)\}.$$

Then this is a fiber product together with restriction of projections from  $X \times Y$  to  $X, Y$  to  $X \times_S Y$ , denoted by  $p, q$ .

**Example 7.19.** There does not exist a fiber product for  $\mathbb{A}_k^1, \mathbb{A}_k^1, \text{Spec}(k)$ .

**Lemma 7.8.** Fiber products exist in  $(\mathbf{AffSch})$ .

*Proof.*

□

**Corollary 7.3.** *Let  $k$  be a field and  $m, n \in \mathbb{N}$  then we have*

$$\mathbb{A}_k^m \times \mathbb{A}_k^n \cong \mathbb{A}_k^{m+n}.$$

*Proof.* □

**Remark 7.9.** *For a scheme  $X$ , write  $|X|$  be its underling topological space. Then as topological spaces we have*

$$|\mathbb{A}_k^1| \times |\mathbb{A}_k^1| \not\cong |\mathbb{A}_k^2|.$$

*Since*

**Lemma 7.9.** *Let  $X, Y$  be  $S$ -schemes and assume the fiber product  $X \times_S Y$  exists with projections  $p : X \times_S Y \rightarrow X$  and  $q : X \times_S Y \rightarrow Y$ . Then for open sets  $U \subseteq X, V \subseteq Y$ , we have*

$$p^{-1}(U) \cong U \times_S Y, \quad q^{-1}(V) \cong X \times_S V.$$

*Proof.* □

**Lemma 7.10.** *Let  $X, Y$  be  $S$ -schemes and  $X = \bigcup_{i \in I} U_i$  be an open covering of  $X$ . If for each  $i$ , the fiber product  $U_i \times_S Y$  exists, then the fiber product  $X \times_S Y$  exists.*

*Proof.* □

**Corollary 7.4.** *Let  $X, Y$  be  $S$ -schemes and assume  $Y, S$  are affine. Then  $X \times_S Y$  exists.*

*Proof.* Let  $X = \bigcup_{i \in I} U_i$  be an open covering such that for each  $i \in I$ ,  $\Gamma(U_i, \mathcal{O}_X)$  is affine. Then by Lemma ??, fiber products  $\{U_i \times_S Y\}_{i \in I}$  exist. By Lemma ??, we conclude the fiber product  $X \times_S Y$  exists. □

**Corollary 7.5.** *Let  $X, Y$  be  $S$ -schemes and assume  $X, S$  are affine. Then  $X \times_S Y$  exists.*

**Corollary 7.6.** *Let  $X, Y$  be  $S$ -schemes and assume  $S$  is affine. Then  $X \times_S Y$  exists.*

*Proof.* Let  $X = \bigcup_{i \in I} U_i$  be an open covering such that for each  $i \in I$ ,  $\Gamma(U_i, \mathcal{O}_X)$  is affine. They by Corollary ??, fiber products  $\{U_i \times_S Y\}_{i \in I}$  exist. By Lemma ??,  $X \times_S Y$  exists. □

**Proposition 7.10.** *Fiber products exist in (Sch).*

*Proof.* □

**Definition 7.24.** Let  $Y$  be a topological group and  $(Y, \mathcal{O}_Y)$  be a locally ringed space. We denote the residue field of  $y$  to be

$$k(y) = \mathcal{O}_{Y,y}/\mathfrak{m}_y$$

where  $\mathfrak{m}_y$  is the maximal ideal of the stalk  $\mathcal{O}_{Y,y}$ .

**Definition 7.25.** Let  $f : X \rightarrow Y$  be a scheme morphism and  $y \in Y$ . The fiber of  $f$  over  $y$  is the scheme  $X_y$  defined as

$$X_y = X \times_Y \text{Spec}(k(y)).$$

$$\begin{array}{ccc} \text{Spec}(k(y)) \times_Y X & \longrightarrow & X \\ \downarrow & & \downarrow f \\ \text{Spec}(k(y)) & \xrightarrow{i} & Y \end{array}$$

**Definition 7.26.** Let  $|X_y|$  be an underlying topological space of  $X_y$ . Then we have the homeomorphism between

$$|X_y| \cong f^{-1}(y)$$

with the topology on  $f^{-1}(y)$  is the induced topology.

*Proof.*

□

**Definition 7.27.** Let  $k$  be a field. We define a category  $(\mathbf{Sch}/k)$  such that its object consists of morphisms of schemes  $f : X \rightarrow \text{Spec}(k)$  and morphisms between two objects  $\varphi : X \rightarrow \text{Spec}(k)$  and  $\psi : Y \rightarrow \text{Spec}(k)$  consists of morphisms  $f : X \rightarrow Y$  such that

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \varphi \downarrow & \swarrow \psi & \\ \text{Spec}(k) & & \end{array}$$

is a commutative diagram.

**Proposition 7.11.** Let  $K/k$  be an extension of fields. Then we have a morphism such that

$$(\mathbf{Sch}/k) \ni [f : X \rightarrow \text{Spec}(k)] \mapsto [X \times_k K \rightarrow \text{Spec}(K)] \in (\mathbf{Sch}/K).$$

**Definition 7.28.** Let  $K/k$  be an extension of fields. Let  $X$  be a  $\text{Spec}(k)$  scheme then the base change  $X_K$  of  $X$  to  $K$  is

$$X_K = X \times_k K.$$

**Proposition 7.12.** *Let  $K/k$  be an extension of fields. Let  $X, Y$  be  $\text{Spec}(k)$  scheme. A morphism  $f : X \rightarrow Y$  between two objects  $\varphi : X \rightarrow \text{Spec}(k), \psi : Y \rightarrow \text{Spec}(k)$  of  $(\mathbf{Sch}/k)$ , there is a unique morphism  $f_K : X_K \rightarrow Y_K$  such that it is a morphism between  $X_K$  and  $Y_K$  in  $(\mathbf{Sch}/K)$ .*

**Definition 7.29.** *Let  $K/k$  be a field extension and  $X$  be a  $\text{Spec}(k)$  – scheme. Then we define the set of  $K$ -rational points of  $X$  by*

$$X(K) =$$

**Remark 7.10.** *There is one to one correspondence between*

$$X(K) \leftrightarrow X_K(K).$$

**Definition 7.30.** *Let  $f : X \rightarrow Y$  be a morphism of schemes and  $y \in Y$ . Consider the residue field  $k(y)$  and its algebraic closure  $\overline{k(y)}$ .*

*The geometric fiber over  $y$  is*

$$X_{\overline{y}} = \text{Spec}(\overline{k(y)}) \times_{\text{Spec}(k(y))} X_y.$$

**Proposition 7.13.** *We have the isomorphism,*

$$X_{\overline{y}} \cong \text{Spec}(\overline{k(y)}) \times_Y X.$$

*Proof.*

□

**Example 7.20.**

$$\begin{array}{ccc} \mathbb{A}_{\mathbb{Q}}^1 & \longrightarrow & \mathbb{A}_{\mathbb{Q}}^1 \\ \downarrow & & \downarrow \\ \text{Spec}(\overline{\mathbb{Q}}) & \longrightarrow & \text{Spec}(\mathbb{Q}) = \{y\} \end{array}$$

where

$$\mathbb{A}_{\mathbb{Q}}^1 = \{(f) \mid f \in \mathbb{Q}[x] \text{ is irreducible}\} \cup \{(0)\}$$

and

$$\mathbb{A}_{\mathbb{Q}}^1 = \{(x - \lambda) \mid \lambda \in \mathbb{Q}\} \cup \{(0)\}.$$

**Example 7.21.** *Consider  $X \rightarrow Y = \text{Spec}(\mathbb{Z}_{(p)})$ . Notice that  $Y = \{\eta, t\}$  where  $\eta = (0)$  a generic point and  $t = (p)$ . We have*

$$X_{\eta} \cong \text{Spec}(\mathbb{Q}), \quad X_t \cong \text{Spec}(\mathbb{F}_p).$$

*And geometric fibers*

$$X_{\overline{\eta}} \cong \text{Spec}(\overline{\mathbb{Q}}), \quad X_{\overline{t}} \cong \text{Spec}(\overline{\mathbb{F}_p}).$$

**Example 7.22.** There is a bijection between  $\mathbb{A}_k^n(\bar{k})$  and  $\bar{k}^n$  by

$$(x_1 - \lambda_1, \dots, x_n - \lambda_n) \leftrightarrow (\lambda_1, \dots, \lambda_n).$$

**Remark 7.11.** The base change does not preserve the topology in general.

$$\begin{array}{ccc} X_K & \longrightarrow & X \\ \downarrow & & \downarrow \\ \text{Spec}(K) & \longrightarrow & \text{Spec}(k) \end{array}$$

And  $X$  is connected does not imply  $X_K$  being connected. Indeed, we have a counter example that

$$X = \text{Spec}(\mathbb{C}), \quad k = \mathbb{R}, \quad K = \mathbb{C}.$$

By Proposition ?? we have

$$X_K = \text{Spec}(\mathbb{C}) \times_{\text{Spec}(\mathbb{R})} \text{Spec}(\mathbb{C}).$$

We observe that

$$|X_K| = \{(x - i), (x + i)\}$$

which is disconnected however,

$$|X| = \{(0)\}$$

which is connected.

**Proposition 7.14.** Let  $K/k$  be a field extension where both  $k, K$  are algebraically closed. Then the topology on  $X_K$  coincides with the topology on  $X$ .

*Proof.*

□

**Example 7.23.**

$$\begin{array}{ccc} X_{\bar{\mathbb{Q}}} & \longrightarrow & X = \text{Spec}(\bar{\mathbb{Q}}) \\ \downarrow & & \downarrow \\ \text{Spec}(\bar{\mathbb{Q}}) & \longrightarrow & \text{Spec}(\mathbb{Q}) \end{array}$$

$\swarrow$   
 $\text{Spec}(\bar{\mathbb{Q}}) \xleftarrow{id} \text{Spec}(\bar{\mathbb{Q}})$

Then  $X_{\bar{\mathbb{Q}}} = X(\bar{\mathbb{Q}}) = \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ .

**Definition 7.31** (Conjugate of  $k$ -schemes). *Given a  $k$ -scheme  $X \rightarrow \operatorname{Spec}(k)$ , and  $\sigma \in \operatorname{Aut}(k)$ . A conjugate  $k$ -scheme is defined as the fiber product.*

$$\begin{array}{ccc} X^\sigma & \longrightarrow & X \\ \downarrow & & \downarrow \\ \operatorname{Spec}(k) & \xrightarrow{\sigma} & \operatorname{Spec}(k) \end{array}$$

We call the morphism  $X^\sigma \rightarrow \operatorname{Spec}(k)$  the  $k$ -scheme structure of  $X^\sigma$ .

**Remark 7.12.** *As schemes we have  $X^\sigma \cong X$ , but typically not as  $k$ -schemes. We could have taken another isomorphism of schemes*

$$\begin{array}{ccc} X^\sigma & \xrightarrow{\varphi} & X \\ & \searrow & \downarrow \\ & & \operatorname{Spec}(k) \end{array}$$

**Proposition 7.15.** *Let  $f : \operatorname{Spec}(B) \rightarrow \operatorname{Spec}(A)$  be a morphism of affine schemes corresponding to a ring homomorphism  $\varphi : A \rightarrow B$ . For  $s \in A$  and  $t = \varphi(s) \in B$  we have*

$$f^{-1}(\operatorname{Spec}(A_s)) = \operatorname{Spec}(B_t).$$

**Proposition 7.16.** *Suppose  $\operatorname{Spec}(A), \operatorname{Spec}(B) \subseteq X$  be schemes which are open affine. Then the intersection  $\operatorname{Spec}(A) \cap \operatorname{Spec}(B)$  can be covered by open affine sets  $U \subseteq \operatorname{Spec}(A) \cap \operatorname{Spec}(B)$  of the form*

$$U = D(s) = \operatorname{Spec}(A_s)$$

for some element  $s \in A$  and

$$U = D(t) = \operatorname{Spec}(B_t)$$

for some element  $t \in B$ .

**Proposition 7.17.** *Suppose we have a morphism  $f : X \rightarrow Y$  of schemes where*

$$X = \bigcup_{i \in I} \operatorname{Spec}(B_i), \quad Y = \operatorname{Spec}(A).$$

*Given homomorphisms of rings  $\varphi_i : A \rightarrow B_i, \varphi(s) = t_i$  for some fixed  $s \in A$ . We have*

$$f^{-1}(\operatorname{Spec}(A_s)) = \operatorname{Spec}((B_i)_{t_i}).$$

**Proposition 7.18.** *Suppose we have a ring homomorphism  $\varphi : A \rightarrow B$  and  $\{b_i\}_{i \in I} \subset B$  be such that*

$$(b_1, \dots, b_i, \dots)_{i \in I} = (1) = B.$$

*If each  $B_{b_i}$  is of finite type over  $A$  for all  $i \in I$ , then  $B$  is also of finite type over  $A$ .*

**Proposition 7.19.** *Given a ring homomorphism  $\varphi : A \rightarrow B$  and  $\{s_i\}_{i \in I} \subseteq A$  such that*

$$(a_i)_{i \in I} = (1) = A.$$

*Denote  $\{t_i = \varphi(s_i)\}_{i \in I}$ . If for each  $B_{t_i}$  is of finite type over  $A_{s_i}$  then  $B$  is of finite type over  $A$ .*

**Proposition 7.20.** *Let  $X$  be a scheme and denote its global section by  $A = \Gamma(X, \mathcal{O}_X)$ . Then  $X$  is affine (ie.  $X = \text{Spec } A$ ) if and only if there exists finitely many  $a_1, \dots, a_n \in A$  such that*

1.  $(a_1, \dots, a_n) = (1) = A$ ,
2.  $X_{a_i} = \{x \in X \mid (a_i)_x \in \mathcal{O}_{X,x}^*\}$  is affine.

**Proposition 7.21.** *Let  $f : X \rightarrow Y$  be a morphism of schemes. Then  $f$  is locally of finite type if and only if for any open affine set  $V = \text{Spec}(A) \subseteq Y$  and an open affine subset  $U = \text{Spec}(B) \subseteq f^{-1}(V)$ ,  $B$  is of finite type over  $A$ .*

**Proposition 7.22.** *Let  $f : X \rightarrow Y$  be a morphism of scheme.  $f$  is locally of finite type if and only if for any open affine set  $V = \text{Spec}(A) \subseteq Y$ , and for any open affine subset  $U = \text{Spec}(B) \subseteq f^{-1}(V)$ ,  $B$  is of finite type over  $A$ .*

**Proposition 7.23.** *Let  $f : X \rightarrow Y$  be a morphism of schemes. Then  $f$  is affine if and only if for any open set  $V = \text{Spec}(A) \subseteq Y$ ,  $f^{-1}(V)$  is affine.*

**Corollary 7.7.** *If a morphism of schemes  $f : X \rightarrow \text{Spec}(A)$  is affine then  $X$  is affine.*

**Corollary 7.8.** *A morphism of scheme  $f$  is finite if and only if for any open set  $V = \text{Spec}(A) \subseteq Y$ , and for all  $f^{-1}(\text{Spec}(B))$ ,  $B$  is finite over  $A$ .*

**Lemma 7.11.** *Let  $f : X \rightarrow Y$  be a morphism of affine schemes where  $X = \text{Spec}(B), Y = \text{Spec}(A)$ . Then if  $f$  is finite then  $f$  is closed and quasi-finite.*

**Proposition 7.24.** *Let  $f : X \rightarrow Y$  be a morphism of schemes. If  $f$  is finite then  $f$  is closed and quasi-finite.*

## 7.7 Separated and Proper Morphisms

**Proposition 7.25.** *Any morphism of affine schemes is separated.*

**Proposition 7.26.** *Any affine morphism is separated.*

**Proposition 7.27.** *Any open or closed immersions are separated.*

**Lemma 7.12.** *Let  $f : X \rightarrow Y$  be a morphism of schemes, then we have*

$$f \text{ is separated.} \Leftrightarrow \Delta(X) \subseteq X \times_Y X.$$

**Lemma 7.13.** *Let  $Z$  be a topological space.  $Z$  is Hausdorff if and only if  $\Delta(Z) = \{(z, z) \mid z \in Z\} \subseteq Z \times Z$  is closed.*



**Proposition 7.28.** *A morphism  $f : X \rightarrow Y$  of schemes is separated if and only if  $\Delta(X) \subseteq X \times_Y X$  is closed.*

**Remark 7.13.** *The Zariski topology on  $X \times_Y X$  is not the product topology.*

**Definition 7.32** (Universally closed). *A morphism of scheme  $f : X \rightarrow Y$  is said to be universally closed if,*

**Definition 7.33.** *A morphism  $f : X \rightarrow Y$  of scheme is said to be proper if  $f$  is*

**Example 7.24.** *A morphism  $\mathbb{A}_k^1 \rightarrow \text{Spec}(k)$  is closed but not universally closed. thus not a proper morphism.*

**Remark 7.14.** *A morphism  $\mathbb{P}_k^1 \rightarrow \text{Spec}(k)$  is proper (in fact this is projective.)*

**Proposition 7.29.** *Every finite morphisms of scheme is proper.*

**Example 7.25.** *A morphism of scheme begin*

1. *quasi-finite,*
2. *separated,*
3. *finite-type,*
4. *surjective*

*does not imply that it is proper.*

**Proposition 7.30** (Nagata compactification). *If a morphism  $f : X \rightarrow Y$  of schemes is separated and finite type between Noetherian schemes, then there exists a scheme  $Z$  and morphisms  $h : X \rightarrow Z, g : Z \rightarrow Y$  of schemes such that*

$$f = g \circ h$$

*with  $h$  is an open immersion,  $g$  is proper.*

**Proposition 7.31.** *Let  $f : X \rightarrow Y$  be a morphism of schemes. Then we have*

$$f \text{ is finite.} \Leftrightarrow f \text{ is quasi-finite and proper.}$$

## 7.8 Magic Squares

**Definition 7.34.** *Given morphisms of schemes*

$$\begin{array}{ccc} X_1 & & \\ & \searrow f_1 & \\ & & Y \xrightarrow{g} Z \\ & \nearrow f_2 & \\ X_2 & & \end{array}$$

We define a magic square of it to be

$$\begin{array}{ccc} X_1 \times_Y X_2 & \longrightarrow & Y \\ \downarrow & & \downarrow \Delta_{Y/Z} \\ X_1 \times_Z X_2 & \longrightarrow & Y \times_Z Y \end{array}$$

which is a fiber product.

**Proposition 7.32.** *Given morphisms of schemes*

$$X \xrightarrow{f} Y \xrightarrow{g} Z$$

We have

1.  $f, g$  are both separated  $\Rightarrow g \circ f$  is separated.
2.  $f, g$  are both proper  $\Rightarrow g \circ f$  is proper.

**Corollary 7.9.** *Given morphisms of schemes*

$$\begin{array}{ccc} X_1 & & \\ & \searrow f_1 & \\ & & Y \xrightarrow{g} Z \\ & \nearrow f_2 & \\ X_2 & & \end{array}$$

where  $g$  is separated. We have the graph

$$\Gamma_f : X \rightarrow X \times_Z Y$$

is a closed immersion.

**Corollary 7.10.** *Given morphisms of schemes*

$$\begin{array}{ccc} X_1 & & \\ & \searrow f_1 & \\ & & Y \xrightarrow{g} Z \\ & \nearrow f_2 & \\ X_2 & & \end{array}$$

where  $g$  is separated. Then we have, for the magic square

$$\begin{array}{ccc} X_1 \times_Y X_2 & \longrightarrow & Y \\ \downarrow \psi & & \downarrow \Delta_{Y/Z} \\ X_1 \times_Z X_2 & \longrightarrow & Y \times_Z Y \end{array}$$

$\Delta$  is a closed immersion. Hence  $\psi$  is also a closed immersion.