



ONLY one method required

- ☐ Copy by Fax  
☐ Original by Mail / Courier

# Segregated Fund Trade Ticket

Trades are executed by Fund Code ONLY.

Fax to: 416.341.0261 or 1.866.941.7711

199 Bay Street, Suite 600 PO Box 279 STN Commerce Court  
Toronto ON M5L 0A2

TO EXECUTE THIS TRADE:

FOLLOW YOUR DEALER/MGA'S TRADE PROCEDURES. ORDERS RECEIVED TWICE MAY BE FILLED TWICE.

## 1. ACCOUNT INFORMATION

CLIENT NAME (LAST NAME OR COMPANY/ORGANIZATION NAME)		(FIRST NAME)	B2B BANK PLEDGED ACCOUNT NUMBER
SEGREGATED FUND DEALER/MGA NAME		SEGREGATED FUND FINANCIAL ADVISOR NAME	DEALER/MGA #
			SEGREGATED FUND FINANCIAL ADVISOR #

## 2. TRADE(S) – For initial purchases, an original segregated fund contract application must be forwarded to B2B BANK

SELL					BUY						
SWITCH	FUND CODE	AMOUNT TYPE	AMOUNT	GROSS/NET	WIRE ORDER # DEALER USE ONLY	FUND CODE	AMOUNT TYPE	AMOUNT	SALES CHARGE	Distribution Option	WIRE ORDER # DEALER USE ONLY
S W				G N							
SEGREGATED FUND NAME			SEGREGATED FUND ACCOUNT #			SEGREGATED FUND NAME			SEGREGATED FUND ACCOUNT #		
S W				G N							
SEGREGATED FUND NAME			SEGREGATED FUND ACCOUNT #			SEGREGATED FUND NAME			SEGREGATED FUND ACCOUNT #		
S W				G N							
SEGREGATED FUND NAME			SEGREGATED FUND ACCOUNT #			SEGREGATED FUND NAME			SEGREGATED FUND ACCOUNT #		
S W				G N							
SEGREGATED FUND NAME			SEGREGATED FUND ACCOUNT #			SEGREGATED FUND NAME			SEGREGATED FUND ACCOUNT #		

### Amount Type Legend – \*Specify an amount

- A** – ALL  
**\*D** – Dollars  
**\*P** – Percentage of shares  
**\*S** – Shares/units
- F** – All shares/units not subject to DSC (also known as M + T free)  
**M** – Matured shares/units ONLY  
**T** – Shares/units allowed to be redeemed not subject to DSC, and excluding matured shares/units (also known as 10% free)

### Distribution Option Legend

- R** – Reinvest  
**C** – Cash  
**E** – EFT to client  
Note: The default is Reinvest. If EFT to client is selected, complete section 3

## 3. BANKING INFORMATION – Attach a Void Cheque (for Distribution Option EFT to client ONLY)

BANK NAME	BANK TRANSIT NO.	BANK ACCOUNT NO.
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## 4. RESET OPTION Ensure that the Reset Option request meets the issuing institution's guidelines

☐ I instruct you to apply my Reset Option

SEGREGATED FUND COMPANY	SEGREGATED FUND COMPANY ACCOUNT #
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## 5. AUTHORIZATION – Client & Financial Advisor authorization are mandatory

<input checked="" type="checkbox"/> CLIENT SIGNATURE (MANDATORY)	DATE (mm/dd/yyyy)
<input checked="" type="checkbox"/> CLIENT SIGNATURE (IF JOINT ACCOUNT)	DATE (mm/dd/yyyy)
<input checked="" type="checkbox"/> SEGREGATED FUND FINANCIAL ADVISOR SIGNATURE (MANDATORY)	DATE (mm/dd/yyyy)

Reconcile trades with the B2B Bank Trade Report and advise us of errors and/or omissions immediately.

For subsequent purchases:

N\$M processing through FundSERV has been enabled for most segregated fund companies at B2B Bank.

If available, you can use your Dealer/MGA's wire order system or FundSERV to enter segregated fund trades and eliminate the need to fax trades to B2B Bank.