#### **Discussion 14**

**Dynamic Programming** 

### Overview

- Basics of Dynamic Programming
- Dynamic Programming Examples
  - Knapsack problem
    - Two versions
  - Fibonacci Numbers
    - Stairs Climbing

#### Bottom-Up vs. Top Down

#### There are two versions of dynamic programming.

- Bottom-up.
- Top-down (or memoization).

#### Bottom-up:

Iterative, solves problems in sequence, from smaller to bigger.

#### Top-down:

- Recursive, start from the larger problem, solve smaller problems as needed.
- For any problem that we solve, <u>store the solution</u>, so we never have to compute the same solution twice.
- This approach is also called <u>memoization</u>.

# Top-Down Dynamic Programming (Memoization)

- Maintain an array/table where solutions to problems can be saved.
- To solve a problem P:
  - See if the solution has already been stored in the array.
  - ➤If yes, return the solution.
  - Else:
    - > Issue recursive calls to solve whatever smaller problems we need to solve.
    - > Using those solutions obtain the solution to problem P.
    - > Store the solution in the solutions array.
    - > Return the solution.

### **Bottom-Up** Dynamic Programming

- Requirements for using dynamic programming:
  - The answer to our problem, P, can be easily obtained from answers to smaller problems.
  - We can order problems in a sequence  $(P_0, P_1, P_2, ..., P_K)$  of reasonable size, so that:
    - P<sub>k</sub> is our original problem P.
    - The initial problems,  $P_0$  and possibly  $P_1$ ,  $P_2$ , ...,  $P_R$  up to some R, are easy to solve (they are **base cases**).
    - For i > R, each P<sub>i</sub> can be easily solved using solutions to P<sub>0</sub>, ..., P<sub>i-1</sub>.
- If these requirements are met, we solve problem P as follows:
  - Create the sequence of problems  $P_0$ ,  $P_1$ ,  $P_2$ , ...,  $P_K$ , such that  $P_k = P$ .
  - For i = 0 to K, solve  $P_{\kappa}$ .
  - Return solution for  $P_{\kappa}$ .

#### Recipe for applying Dynamic Programming

- Step 1: Identify optimal substructure.
- Step 2: Find a recursive formulation for the value of the optimal solution.
- Step 3: Use dynamic programming to find the value of the optimal solution.
- Step 4: If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- Step 5: If needed, code this up like a reasonable person.

#### Knapsack Problem

We have n items with weights and values:



And we have a knapsack:

Capacity: 10





Capacity:10











Weight: 6 2 4 3 11
Value: 20 8 14 13 35

#### • Unbounded Knapsack:

Suppose I have infinite copies of all of the items.

Item:

What's the most valuable way to fill the knapsack?









Total weight: 10 Total value: 42

### • 0/1 Knapsack:

- Suppose I have only one copy of each item.
- What's the most valuable way to fill the knapsack?

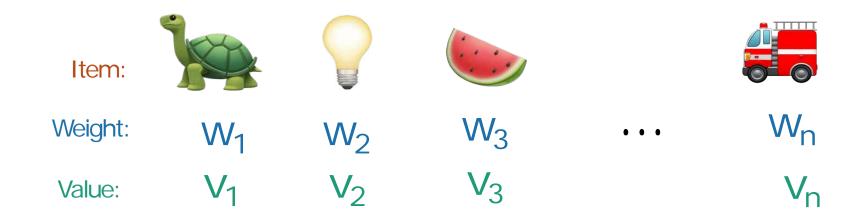






Total weight: 9 Total value: 35

#### Some notation





#### Recipe for applying Dynamic Programming

• Step 1: Identify optimal substructure.



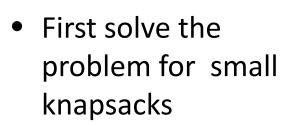
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### Optimal substructure

- Sub-problems:
  - Unbounded Knapsack with a smaller knapsack.







Then larger knapsacks



Then larger knapsacks

### Optimal substructure



Suppose this is an optimal solution for capacity x:

Say that the optimal solution contains at least one copy of item i.





Weight w<sub>i</sub> Value v<sub>i</sub>

Then this optimal for capacity x - w<sub>i</sub>:



Capacity x Value V



If I could do better than the second solution, then adding a turtle to that improvement would improve the first solution.

Capacity x – w<sub>i</sub> Value V - v<sub>i</sub>

#### Recipe for applying Dynamic Programming

- Step 1: Identify optimal substructure.
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### Recursive relationship

Let K[x] be the optimal value for capacity x.

$$K[x] = max_i \{$$
  $+$   $\}$ 

The maximum is over all i so that  $w_i \leq x$ .

Optimal way to fill the smaller knapsack

The value of item i.

$$K[x] = max_i \{ K[x - w_i] + v_i \}$$

- (And K[x] = 0 if the maximum is empty).
  - That is, there are no i so that  $w_i \leq x$ .

#### Recipe for applying Dynamic Programming

- Step 1: Identify optimal substructure.
- Step 2: Find a recursive formulation for the value of the optimal solution.



- Step 3: Use dynamic programming to find the value of the optimal solution.
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### Let's write a bottom-up DP algorithm

UnboundedKnapsack(W, n, weights, values):

```
K[0] = 0
for x = 1, ..., W:
K[x] = 0
for i = 1, ..., n:
if w<sub>i</sub> ≤ x:
K[x] = max{K[x], K[x - w<sub>i</sub>] + v<sub>i</sub>}
return K[W]
```

```
K[x] = \max_{i} \{ \{ \mathbf{K}[x - \mathbf{w}_{i}] + \mathbf{v}_{i} \}
= \max_{i} \{ \mathbf{K}[x - \mathbf{w}_{i}] + \mathbf{v}_{i} \}
```

Running time: O(nW)

Why does this work?

Because our recursive relationship makes sense.

#### Recipe for applying Dynamic Programming

- Step 1: Identify optimal substructure.
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### Let's write a bottom-up DP algorithm

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  - K[0] = 0
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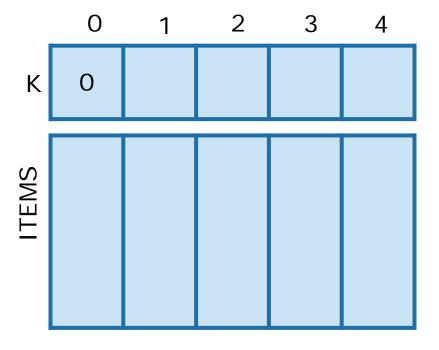


- for x = 1, ..., W:
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  - for i = 1, ..., n:
    - if  $w_i \leq x$ :
      - $K[x] = \max\{K[x], K[x w_i] + v_i\}$
      - If K[x] was updated:
        - ITEMS[x] = ITEMS[x  $w_i$ ]  $\cup$  { item



$$K[x] = \max_{i} \{ \{ \mathbf{K}[x - \mathbf{w}_{i}] + \mathbf{v}_{i} \}$$

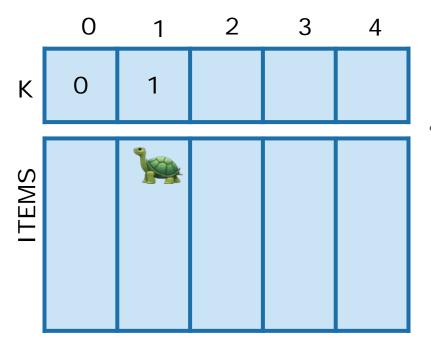
$$= \max_{i} \{ \mathbf{K}[x - \mathbf{w}_{i}] + \mathbf{v}_{i} \}$$



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        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x  $w_i$ ]  $\cup$  { item i }
    - return ITEMS[W]







$$ITEMS[1] = ITEMS[0] +$$

- UnboundedKnapsack(W, n, weights, values):
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- for x = 1, ..., W:
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      - If K[x] was updated:
        - ITEMS[x] = ITEMS[x  $w_i$ ]  $\cup$  { item i }
  - return ITEMS[W]



Value: 1 4 6



- 0 1 2 3 4

  K 0 1 2

  WHIT
  - ITEMS[2] = ITEMS[1] +

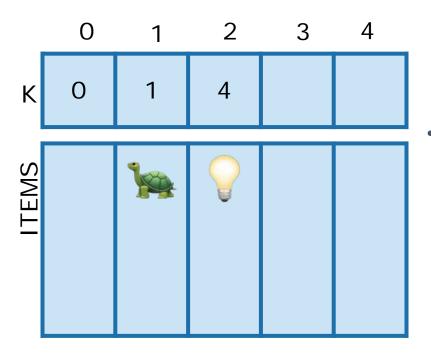
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        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x  $w_i$ ]  $\cup$  { item i }
    - return ITEMS[W]



Weight: 1
Value: 1

4



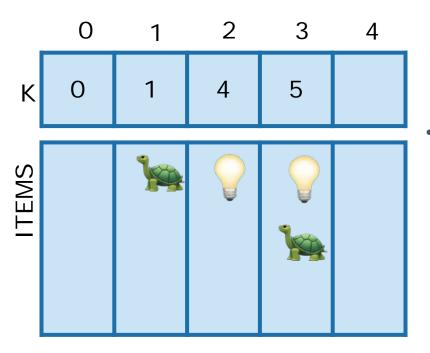


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        - If K[x] was updated:
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    - return ITEMS[W]







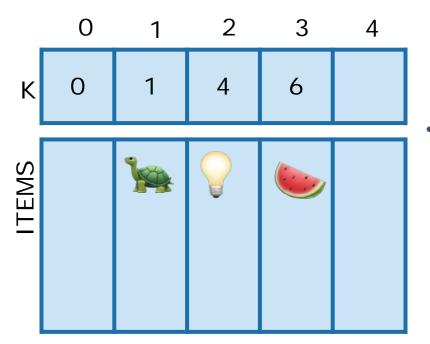
$$ITEMS[3] = ITEMS[2] +$$

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    - return ITEMS[W]



Value: 1 4





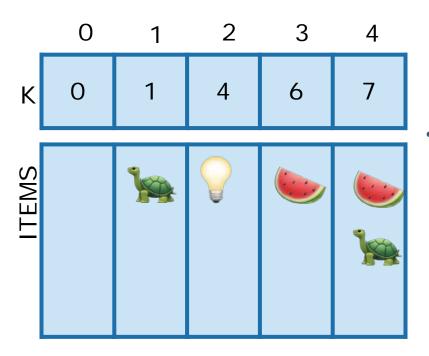
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Value: 1 4 6





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        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x  $w_i$ ] U { item i }
    - return ITEMS[W]





 0
 1
 2
 3
 4

 K
 0
 1
 4
 6
 8

$$ITEMS[4] = ITEMS[2] +$$

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = Ø
  - for x = 1, ..., W:
    - K[x] = 0
    - **for** i = 1, ..., n:
      - If  $w_i \leq x$ :
        - $K[x] = \max\{K[x], K[x w_i] + v_i\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x  $w_i$ ]  $\cup$  { item i }
    - return ITEMS[W]



Value: 1 4 6



### What have we learned?

- We can solve unbounded knapsack in time O(nW).
  - If there are n items and our knapsack has capacity W.

- We again went through the steps to create DP solution:
  - We kept a one-dimensional table, creating smaller problems by making the knapsack smaller.















Weight:

Value:

20

14

13

35

#### Unbounded Knapsack:

- Suppose I have infinite copies of all of the items.
- What's the most valuable way to fill the knapsack?









Total weight: 10

Total value: 42

### 0/1 Knapsack:

- Suppose I have only one copy of each item.
- What's the most valuable way to fill the knapsack?







Total weight: 9 Total value: 35

### Optimal substructure: try 1

- Sub-problems:
  - Unbounded Knapsack with a smaller knapsack.







- First solve the problem for small knapsacks
- Then larger knapsacks

Then larger knapsacks

### This won't quite work...

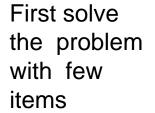
- We are only allowed one copy of each item.
- The sub-problem needs to "know" what items we've used and what we haven't.



# Optimal substructure: try 2

Sub-problems:

0/1 Knapsack with fewer items

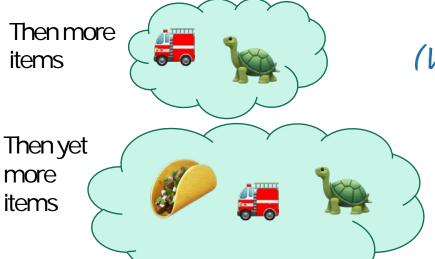








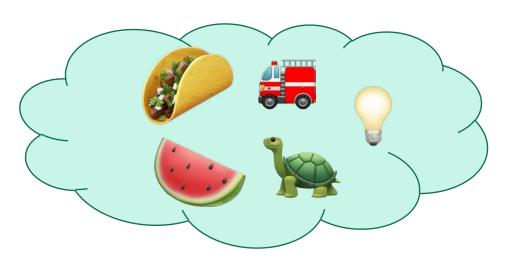
We'll still increase the size of the knapsacks.



(We'll keep a two-dimensional table).

### Our sub-problems:

Indexed by x and j



First j items

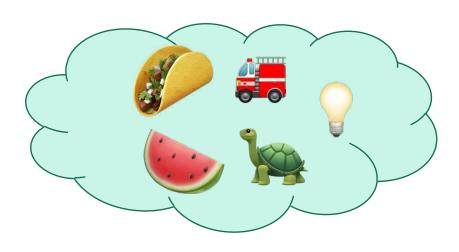


Capacity x

### Two cases



- Case 1: Optimal solution for j items does not use item j.
- Case 2: Optimal solution for j items does use item j.



First j items



Capacity x

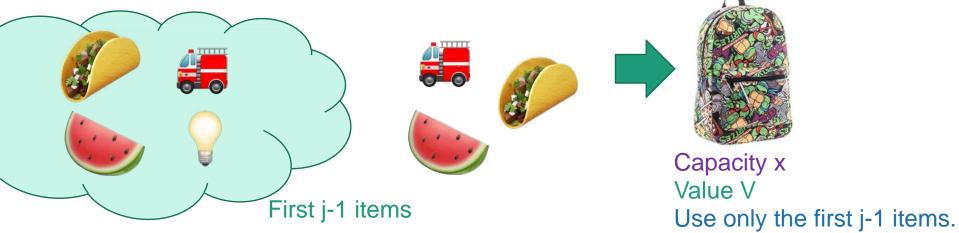
### Two cases



• Case 1: Optimal solution for j items does not use item j.



Then this is an optimal solution for j-1 items;

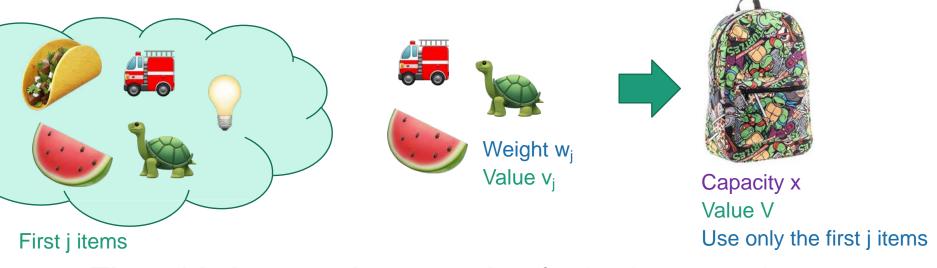


### Two cases



item j

Case 2: Optimal solution for j items uses item j.



Then this is an optimal solution for j-1 items and a



### Recursive relationship

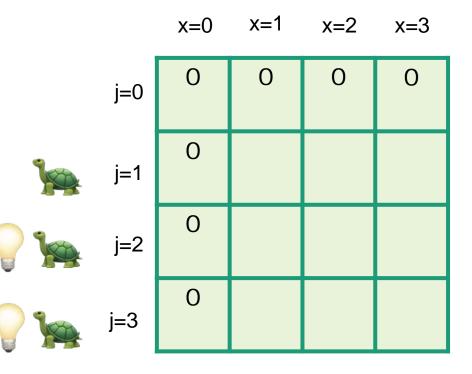
- Let K[x,j] be the optimal value for:
  - capacity x,
  - with j items.

$$K[x,j] = max\{ K[x, j-1], K[x - w_{j,}j-1] + v_{j} \}$$
Case 1 Case 2

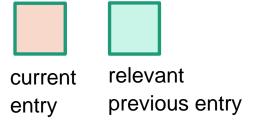
• (And K[x,0] = 0 and K[0,j] = 0).

## Bottom-up DP algorithm

```
Zero-One-Knapsack(W, n, w, v):
   • K[x,0] = 0 for all x = 0,...,W
   • K[0,i] = 0 for all i = 0,...,n
   • for x = 1,...,W:
       • for j = 1,...,n:
                                Case 1
           • K[x,j] = K[x, j-1]
           • if w_i \le x:
                                                  Case 2
               • K[x,j] = max\{ K[x,j], K[x - w_i, j-1] + v_i \}
   return K[W,n]
```



- Zero-One-Knapsack(W, n, w, v):
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      - if  $w_i \le x$ :
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  - return K[W,n]





Weight: Value:



2

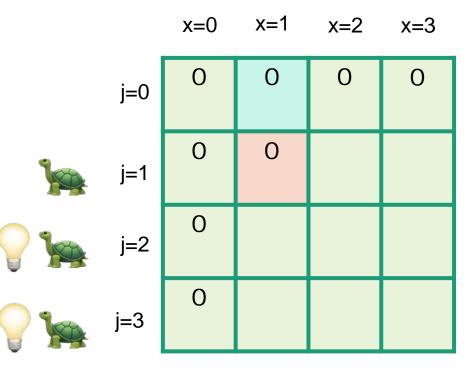
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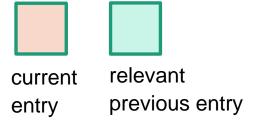
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6





- Zero-One-Knapsack(W, n, w, v):
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Weight: Value:

4

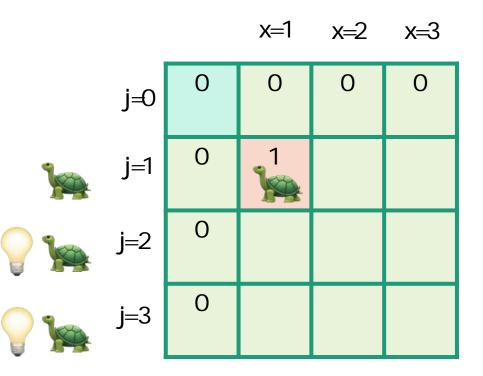
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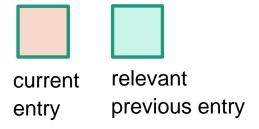
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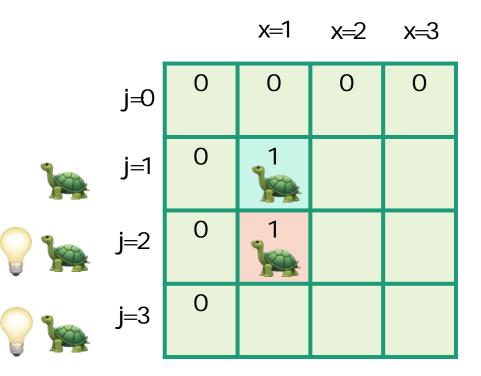


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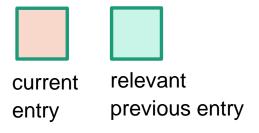




Capacity: 3



- Zero-One-Knapsack(W, n, w, v):
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Weight: Value:







2

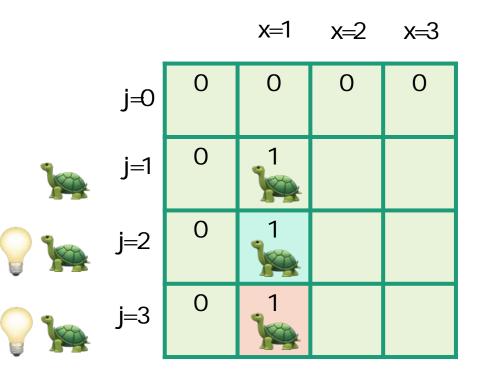
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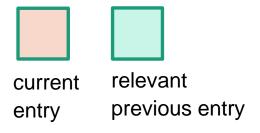








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  - return K[W,n]





Weight: Value:







4



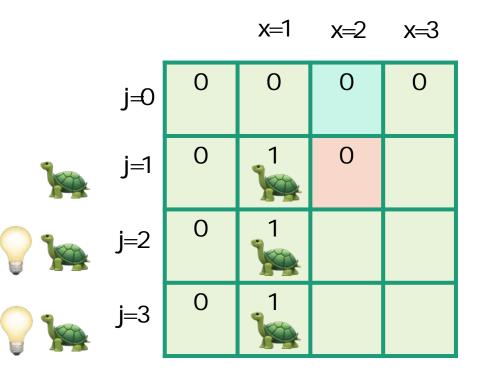




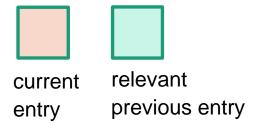




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Weight: Value:













4

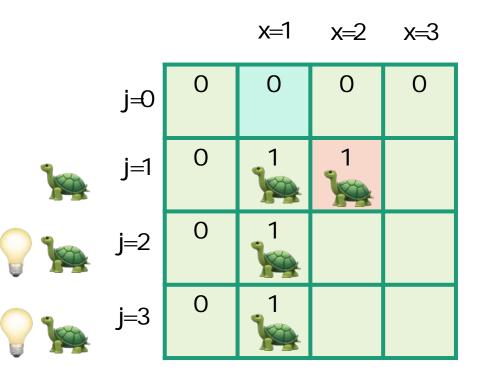




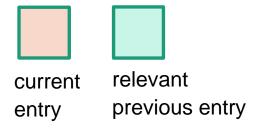


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  - return K[W,n]

















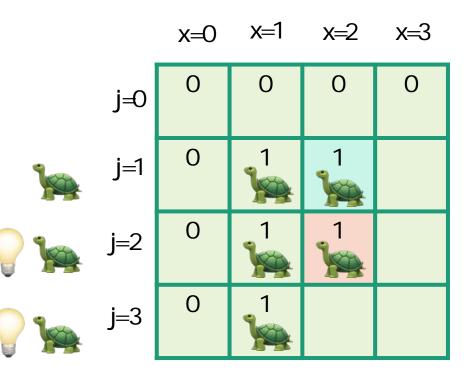




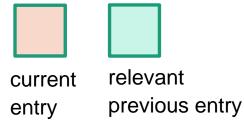
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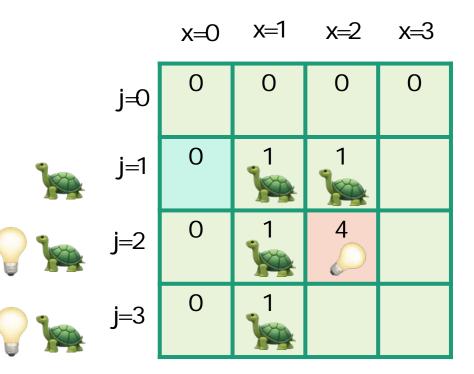
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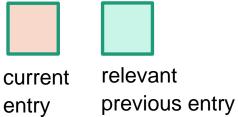








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Item:

















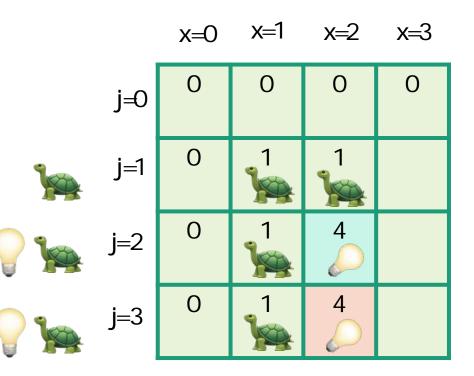
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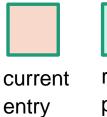




Capacity: 3



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relevant previous entry



















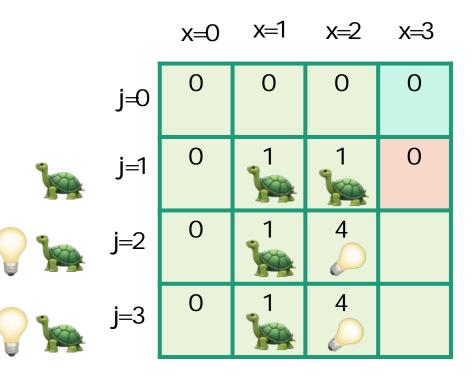




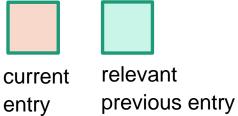




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Item:

Weight: Value:

2

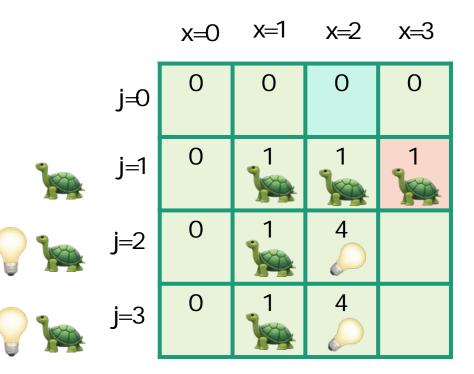
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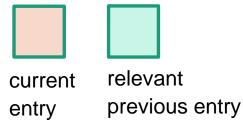
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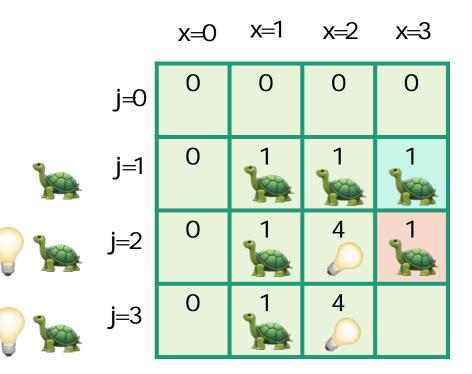
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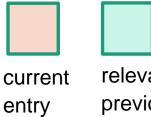




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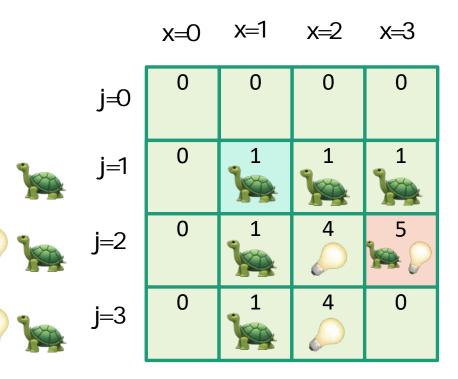




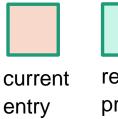




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relevant previous entry



















2

4



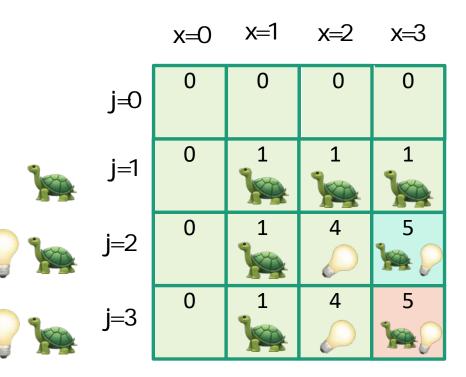


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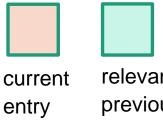




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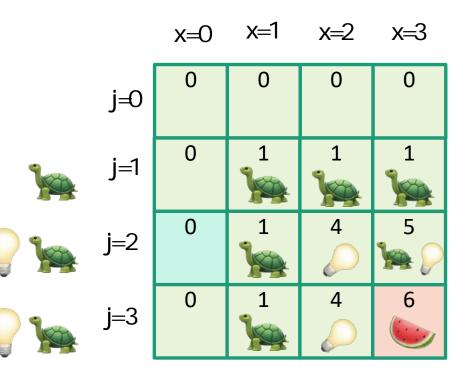




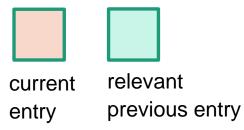
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6





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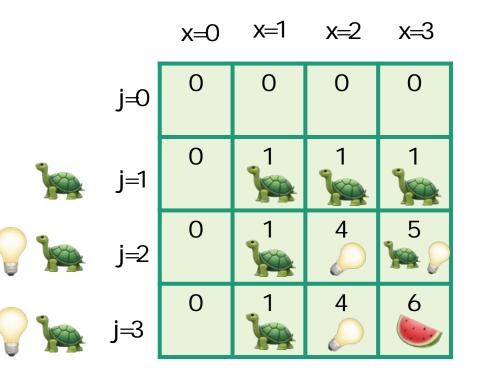


2

4

2





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  - return K[W,n]

So the optimal solution is to put one watermelon in your knapsack!















4









Capacity: 3

### What have we learned?

- We can solve 0/1 knapsack in time O(nW).
  - If there are n items and our knapsack has capacity W.
- We again went through the steps to create DP solution:
  - We kept a two-dimensional table, creating smaller problems by restricting the set of allowable items.

### Question

 How did we know which substructure to use in which variant of knapsack?

Answer in retrospect:





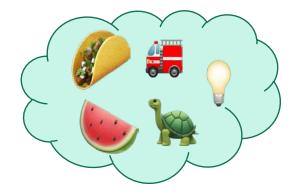


This one made sense for unbounded knapsack because it doesn't have any memory of what items have been used.

VS.







In 0/1 knapsack, we can only use each item once, so it makes sense to leave out one item at a time.

**Operational Answer**: try some stuff, see what works!

### Fibonacci Numbers

- Generate Fibonacci numbers
  - 3 solutions: inefficient recursive, memoization (top-down dynamic programming (DP)), bottom-up DP.
  - Not an optimization problem but it has overlapping subproblems
     => DP eliminates recomputing the same problem over and over again.
    - Fibonacci(0) = 0
    - Fibonacci(1) = 1
    - If N >= 2:
    - Fibonacci(N) = Fibonacci(N-1) + Fibonacci(N-2)
  - How can we write a function that computes Fibonacci numbers?

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### Fibonacci Numbers

```
\label{eq:fibonacci} \begin{array}{ll} \text{Fibonacci}(0) = 0 \text{ , Fibonacci}(1) = 1 \\ \text{If N} >= 2 : & \text{Fibonacci}(N) = \text{Fibonacci}(N-1) + \text{Fibonacci}(N-2) \\ \text{Alternative: remember values we have already computed.} \\ \text{Draw the new recursion tree and discuss time complexity.} \end{array}
```

```
memoized version:
int Fib mem wrap(int i) {
 int sol[i+1];
 if (i<=1) return i;
 sol[0] = 0; sol[1] = 1;
 for(int k=2; k<=i; k++) sol[k]=-1;
 Fib_mem(i,sol);
 return sol[i];
int Fib_mem (int i, int[] sol) {
 if (sol[i]!=-1) return sol[i];
 int res = Fib_mem(i-1, sol) + Fib_mem(i-2, sol);
 sol[i] = res;
 return res;
```

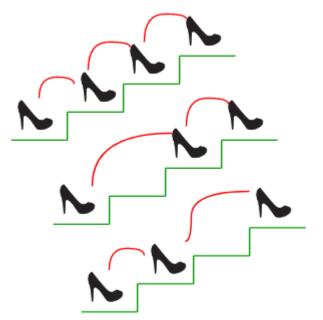
```
exponential version:
int Fib(int i) {
  if (i < 1) return 0;
  if (i == 1) return 1;
  return Fib(i-1) + Fib(i-2);
}</pre>
```

### Fibonacci and DP

- Computing the Fibonacci number is a DP problem.
- It is a counting problem (not an optimization one).
- We can make up an 'applied' problem for which the DP solution function is the Fibonacci function.

## Stairs Climbing Problem

A lady can climb stairs one step at a time or two steps at a time (but he cannot do 3 or more steps at a time). How many different ways can they climb? E.g. to climb 4 stairs you have 5 ways: {1,1,1,1}, {2,1,1}, {1,2,1}, {1,1,2}, {2,2}



$$ways(n) = ways(n-1) + ways(n-2)$$

The above expression is actually the expression for Fibonacci numbers, but there is one thing to notice, the value of ways(n) is equal to fibonacci(n+1).

ways(1) = 
$$fib(2) = 1$$
  
ways(2) =  $fib(3) = 2$   
ways(3) =  $fib(4) = 3$ 

### Approaches for solving DP Problems

#### Greedy

- typically not optimal solution (for DP-type problems)
- Build solution
- Use a criterion for picking
- Commit to a choice and do not look back

#### DP

- Optimal solution
- Write math function, **sol**, that captures the dependency of solution to current pb on solutions to smaller problems
- Can be implemented in any of the following: iterative, memoized, recursive

#### **Brute Force**

- Optimal solution
- Produce all possible combinations, [check if valid], and keep the best.
- Time: exponential
- Space: depends on implementation
- It may be hard to generate all possible combinations

#### Iterative (bottom-up) - BEST

- Optimal solution
- sol is an array (1D or 2D). Size: n+1
- Fill in sol from 0 to n
- Time: polynomial (or pseudopolynomial for some problems)
- Space: polynomial (or pseudo-polynomial
- To recover the choices that gave the optimal answer, must backtrace => must keep picked array (1D or 2D).

#### Memoized

- Optimal solution
- Combines recursion and usage of *sol* array.
- sol is an array (1D or 2D)
- Fill in sol from 0 to n
- Time: same as iterative version (typically)
- Space: same as iterative version (typically) + space for frame stack. (Frame stack depth is typically smaller than the size of the *sol* array)

#### Recursive

- Optimal solution
- Time: exponential (typically) =>
- DO NOT USE
- Space: depends on implementation (code). E.g. store all combinations, or generate, evaluate on the fly and keep best seen so far.
- Easy to code given math function

### Sliding window

- Improves the iterative solution
- Saves space
- If used, cannot recover the choices (gives the optimal value, but not the choices)

#### DP can solve:

- some types of counting problems (e.g. stair climbing)
- some type of optimization problems (e.g. Knapsack)
- some type of recursively defined pbs (e.g. Fibonacci)

