

Reduction problem

Week 14 discussion

Reduction

- What does it mean to say problem **B** is harder than problem **A**?
- It means if you can solve **B**, you can also solve **A**.
 - Algebra is harder than arithmetic, because if you can do algebra, you can also do arithmetic.
- **(definition)** So if I have an algorithm for solving **B**, I can use it to solve **A**.
 - We say **A** reduces to **B**.
 - Write $A \leq_R B$.
 - Read this as “**A** is equally or less difficult than **B**”
 - Note the direction of the inequality.

Reduction

If the mapping function from A to B runs in polynomial time, then it is a **polynomial time reduction**, and we write $A \leq_P B$

Reduction

- **(definition)** An **instance** of a problem consists of an input for the problem.
 - An **instance** of the sorting problem is a set $\{3,1,2,4\}$ that we want to sort
- **(formal definition)** Problem X **polynomial-time (Cook) reduces** to problem Y if arbitrary **instances** of problem X can be solved using:
 - Polynomial number of standard computational steps, plus
 - Polynomial number of calls to oracle that solves problem Y .
- We write $A \leq_R B$

Reduction

- To show $A \leq_R B$, just give the mapping f :
- If $A \leq_R B$, then we can use an algorithm for B to solve A :
 - To solve an **instance** of A , first map it to an **instance** of B using f .
 - Then run the B algorithm.
 - Return the same answer for A as the B algorithm gives.
 - By definition, A is true equals to $f(A)$ is true
 - Similar to problems other than **decision problems**.



Decision, search and optimal

Motivation: **reduction** is formally defined on **decision problem**, so how we generalize to other types of problem?

(definition) **A decision problem** asks us to check if something is true.

(definition) **A search problem** asks us to find a solution with certain properties if such a solution exists.

(definition) **A optimization problem** asks us to find among all solutions the one with the best performance in some metric.



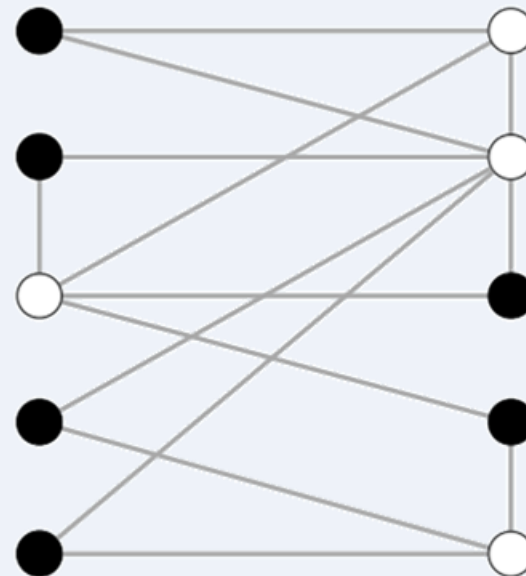
Decision, search and optimal

Review topics on lecture

VERTEX-COVER. Given a graph $G = (V, E)$ and an integer k , is there a subset of k (or fewer) vertices such that each edge is incident to at least one vertex in the subset?

Ex. Is there a vertex cover of size ≤ 4 ?

Ex. Is there a vertex cover of size ≤ 3 ?



● independent set of size 6
○ vertex cover of size 4

Decision, search and optimal

VERTEX-COVER. Does there exist a vertex cover of size $\leq k$?

FIND-VERTEX-COVER. Find a vertex cover of size $\leq k$.

Theorem. $\text{VERTEX-COVER} \equiv_p \text{FIND-VERTEX-COVER}$.

Pf. \leq_p Decision problem is a special case of search problem. ■

Pf. \geq_p

To find a vertex cover of size $\leq k$:

- Determine if there exists a vertex cover of size $\leq k$.
- Find a vertex v such that $G - \{v\}$ has a vertex cover of size $\leq k - 1$.
(any vertex in any vertex cover of size $\leq k$ will have this property)
- Include v in the vertex cover.
- Recursively find a vertex cover of size $\leq k - 1$ in $G - \{v\}$. ■

delete v and all incident edges

Decision, search and optimal

FIND-VERTEX-COVER. Find a vertex cover of size $\leq k$.

FIND-MIN-VERTEX-COVER. Find a vertex cover of minimum size.

Theorem. $\text{FIND-VERTEX-COVER} \equiv_p \text{FIND-MIN-VERTEX-COVER}$.

Pf. \leq_p Search problem is a special case of optimization problem. ■

Pf. \geq_p To find vertex cover of minimum size:

- Binary search (or linear search) for size k^* of min vertex cover.
- Solve search problem for given k^* . ■

Reduction of search

See Figure 2 for how to use functions f and g to produce an algorithm for problem A given an algorithm for problem B.

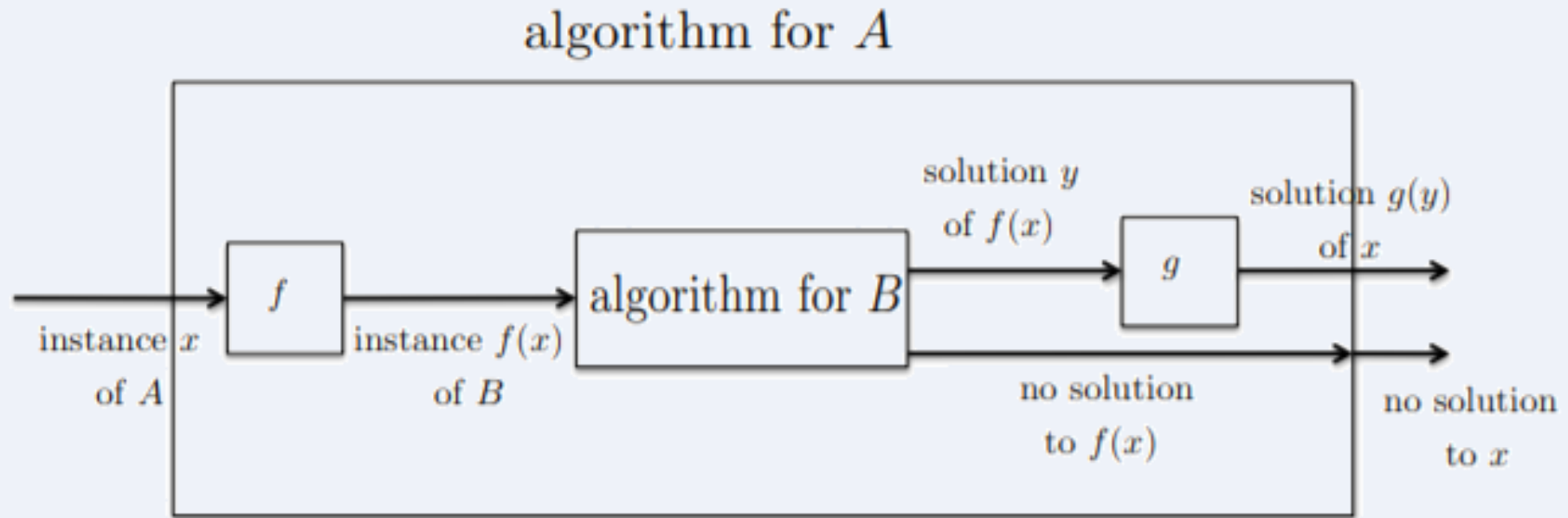


Figure 2: Reduction *from* search problem A *to* search problem B

Case study 1

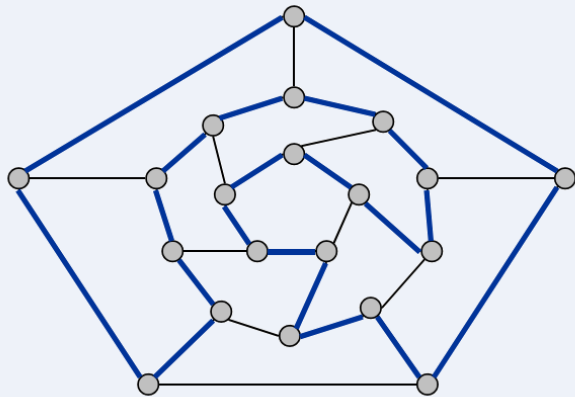
3-SAT \leq_p Hamiltonian Cycle

3-SAT \leq_p Hamiltonian Cycle

- **(definition)** A **decision problem** is a problem with a **yes / no** answer.
- **(definition)** Given a decision problem, the set of **yes (resp. no) instances** are the instances of the problem for which the answer is **yes (resp. no)**.
 - *11 is a **yes instance** to the prime problem, 10 is a **no instance**.*

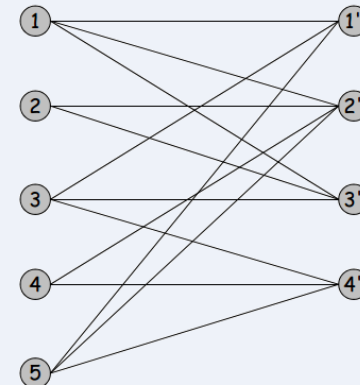
3-SAT \leq_p Hamiltonian Cycle

(definition) Hamilton-Cycle given an undirected graph $G = (V, E)$, does there exist a simple cycle Γ that contains every node in V .



Yes instance

vertices and faces of a dodecahedron



No instance

bipartite graph with odd number of nodes

3-SAT \leq_p Hamiltonian Cycle

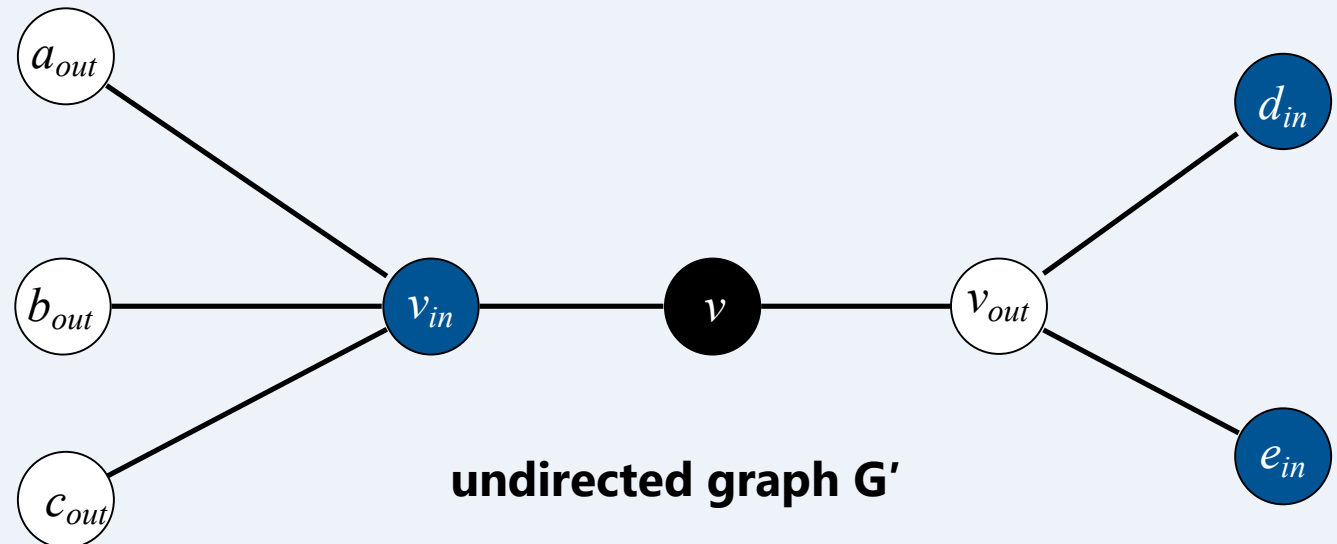
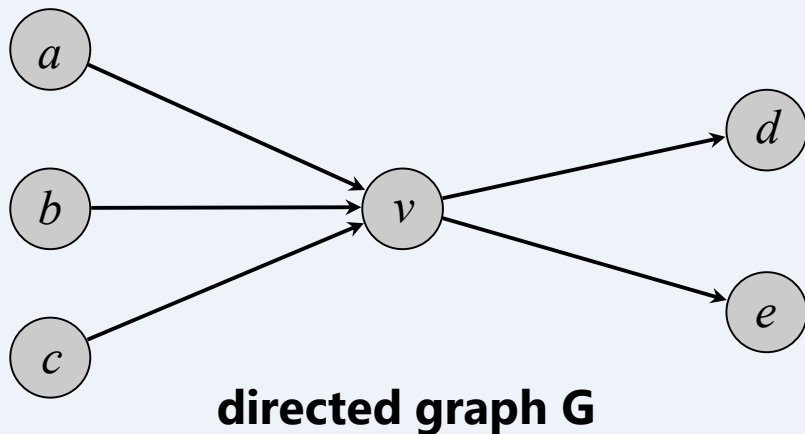
(definition) 3-SAT: Given a set of clauses C_1, \dots, C_k , each of length 3, over variables $X = \{x_1, \dots, x_n\}$ is there a satisfying assignment?

$$\Phi = (\bar{x}_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee x_4)$$

yes instance: $x_1 = \text{true}, x_2 = \text{true}, x_3 = \text{false}, x_4 = \text{false}$

3-SAT \leq_P Hamiltonian Cycle

- **(definition) Directed-Hamilton-Cycle** Given a directed graph $G = (V, E)$, does there exist a directed cycle Γ that visits every node exactly once?
- **Theorem** Directed-Hamilton-Cycle \leq_P Hamilton-Cycle
- **Pf.** Given a directed graph $G = (V, E)$, construct a graph G' with $3n$ nodes.



3-SAT \leq_p Hamiltonian Cycle

- **Lemma** G has a directed Hamilton cycle iff G' has a Hamilton cycle.

Pf. \Rightarrow (completeness)

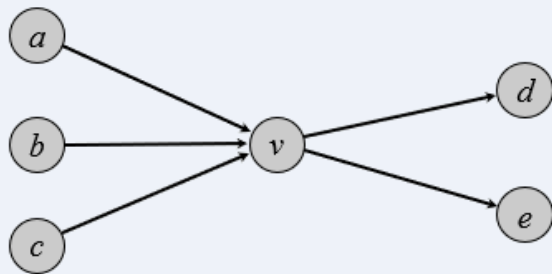
- Suppose G has a directed Hamilton cycle Γ .
- Then G' has an undirected Hamilton cycle (same order). ▀

3-SAT \leq_p Hamiltonian Cycle

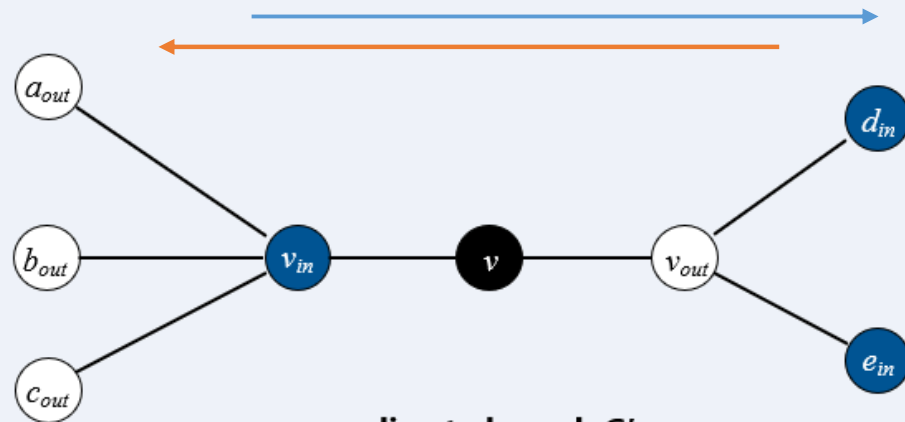
- **Lemma** G has a directed Hamilton cycle iff G' has a Hamilton cycle.

Pf. \Leftarrow (soundness)

- Suppose G' has an undirected Hamilton cycle Γ' .
- Γ' must visit nodes in G' using one of following two orders:
 - ..., black, white, blue, black, white, blue, black, white, blue, ...*
 - ..., black, blue, white, black, blue, white, black, blue, white, ...*
- Black nodes in Γ' comprise either a directed Hamilton cycle Γ in G , or reverse of one. ■



directed graph G



undirected graph G'

3-SAT \leq_p Hamiltonian Cycle

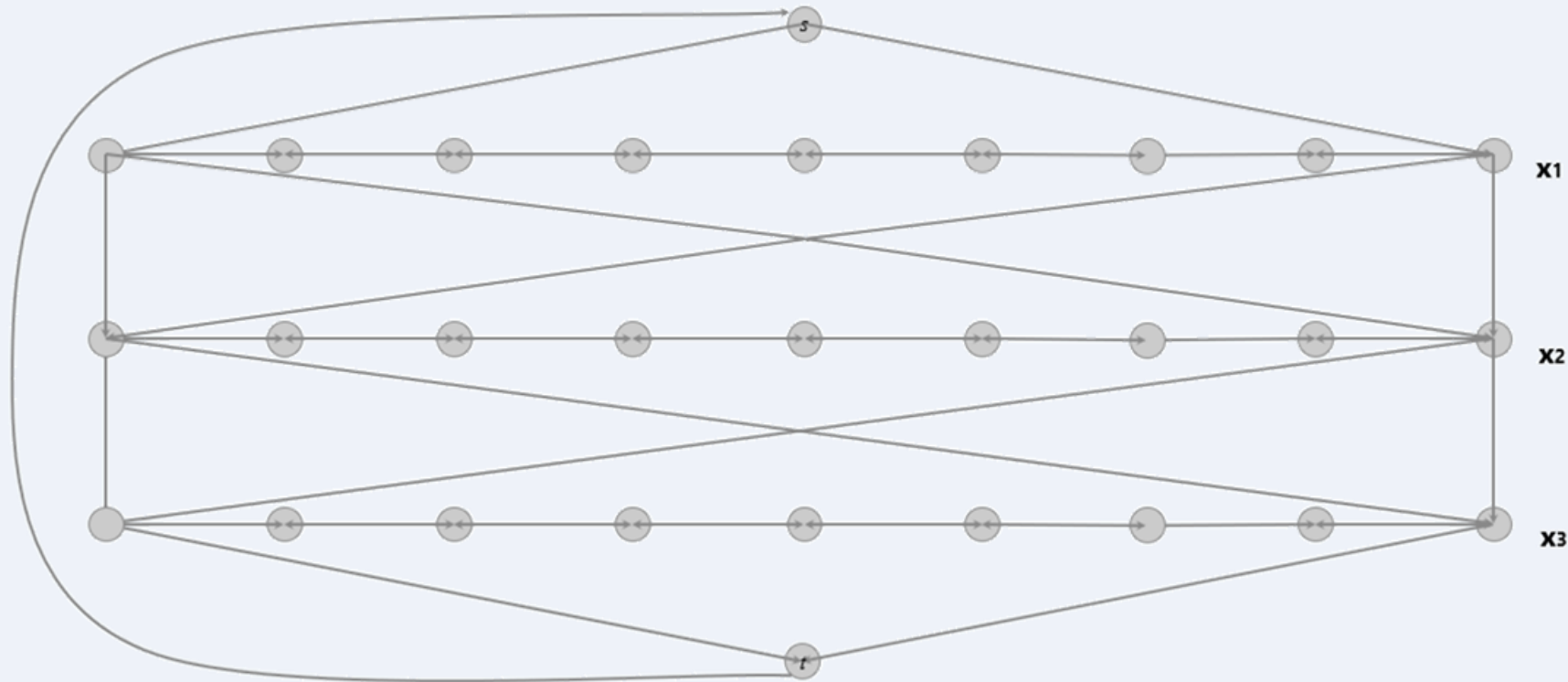
- **Lemma** 3-Sat \leq_p Directed-Hamilton-Cycle.

Pf Given an instance Φ of 3-Sat, we construct an instance G of Directed-Hamilton-Cycle that has a Hamilton cycle iff Φ is satisfiable.

Construction overview Let n denote the number of variables in Φ . We will construct a graph G that has 2^n Hamilton cycles, with each cycle corresponding to one of the 2^n possible truth assignments.

3-SAT \leq_p Hamiltonian Cycle

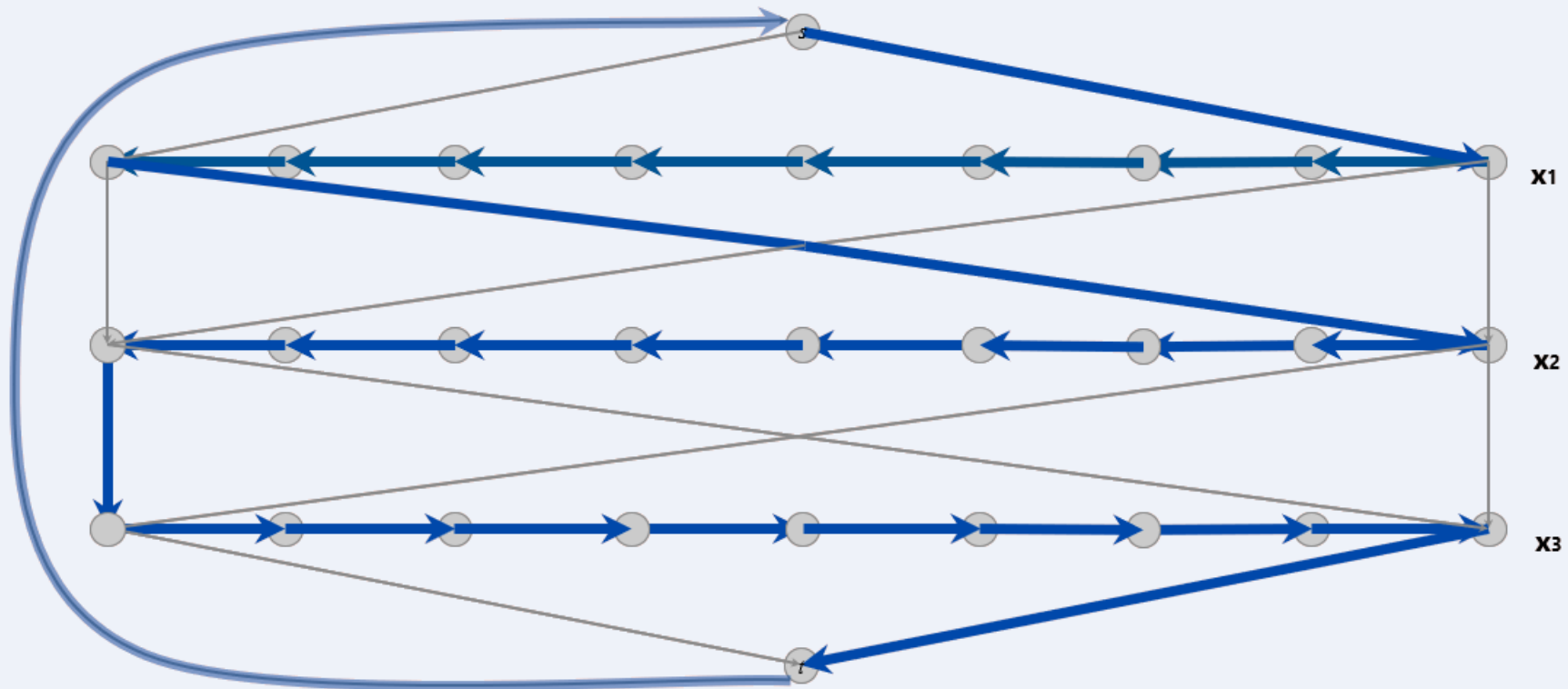
- **Construction** Given 3-Sat instance Φ with n variables x_i and k clauses.
 - Construct G to have 2^n Hamilton cycles.
 - Intuition: traverse path i from left to right \Leftrightarrow set variable $x_i = \text{true}$.



3-SAT \leq_P Hamiltonian Cycle

- Which is truth assignment corresponding to Hamilton cycle below?

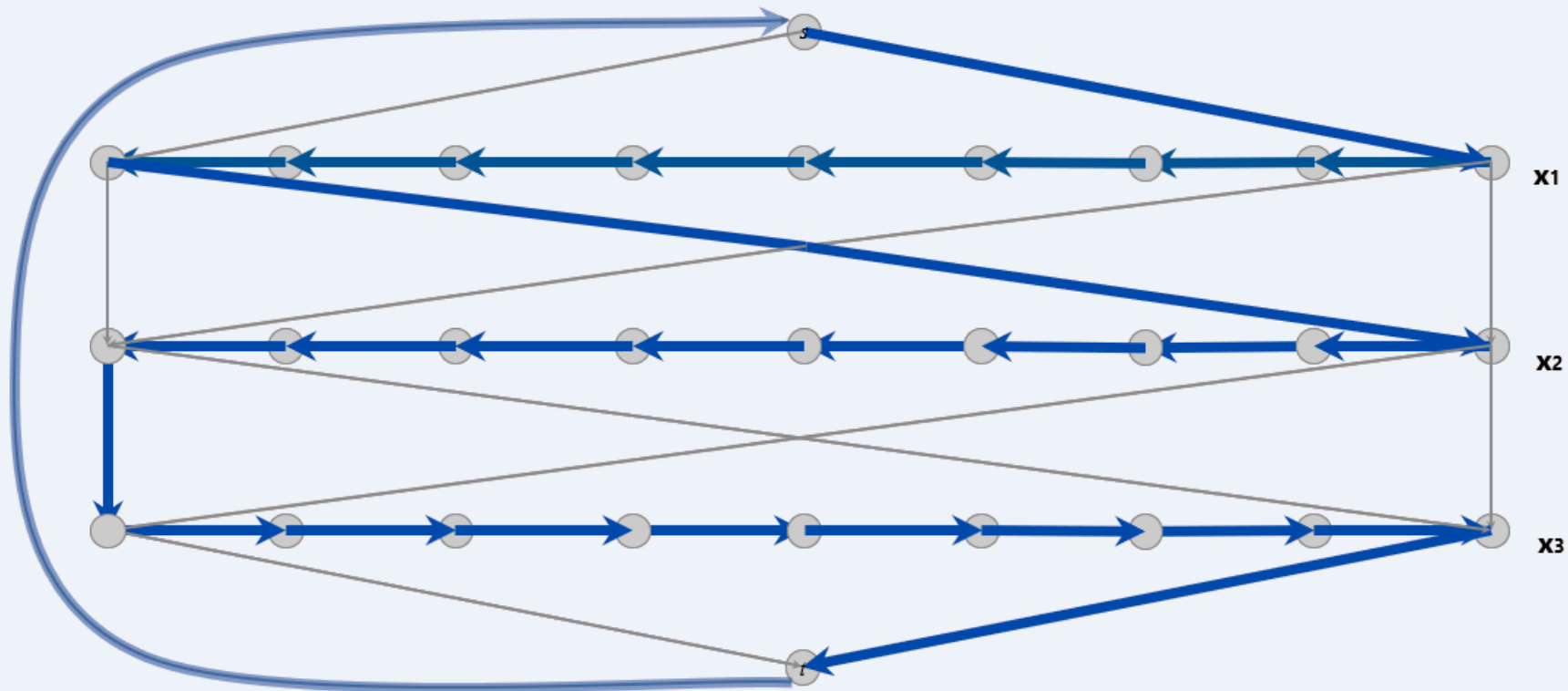
$x_1 = ?, x_2 = ?, x_3 = ?$ traverse path i from left to right \Leftrightarrow set variable $x_i = true$



3-SAT \leq_p Hamiltonian Cycle

- Which is truth assignment corresponding to Hamilton cycle below?

$x_1 = F, x_2 = T, x_3 = T$ traverse path i from left to right \Leftrightarrow set variable $x_i = \text{true}$



3-SAT \leq_p Hamiltonian Cycle

- **Recall:**

- **Literal** A Boolean variable or its negation.

$$x_i \text{ or } \overline{x_i}$$

- **Clause** A disjunction of literals.

$$C_j = x_1 \vee \overline{x_2} \vee x_3$$

- **Conjunctive normal form (CNF)** A propositional formula Φ that is a conjunction of clauses.

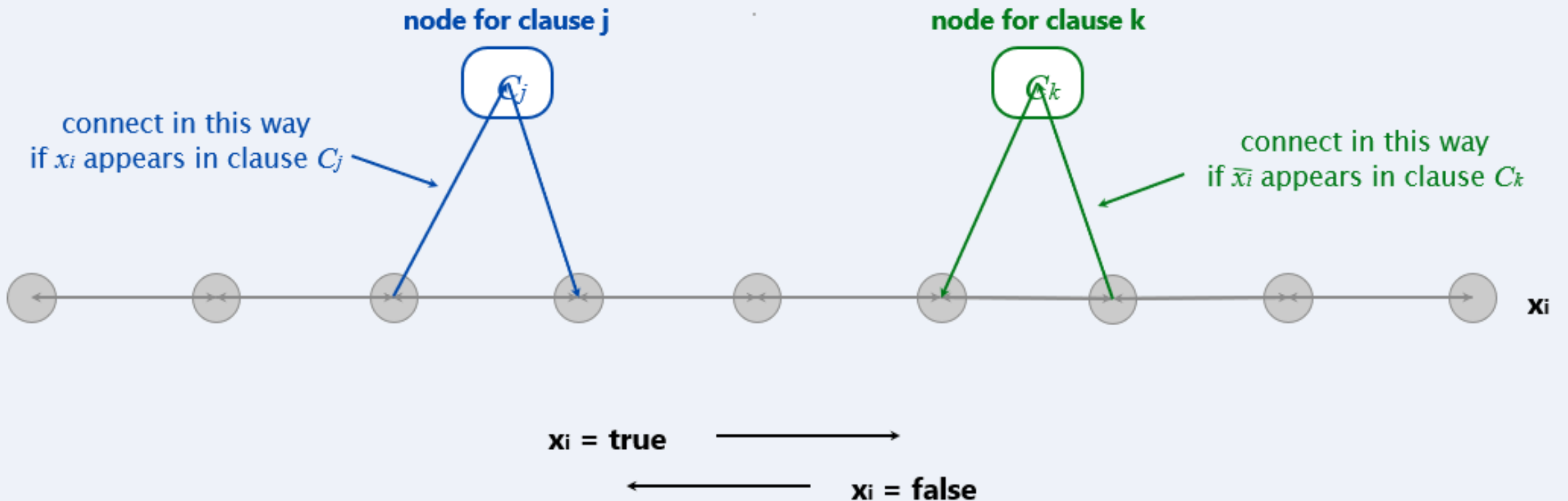
$$\Phi = C_1 \wedge C_2 \wedge C_3 \wedge C_4$$

3-SAT \leq_p Hamiltonian Cycle

Construction Given 3-Sat instance Φ with n variables x_i and k clauses.

- For each clause: add a node and 2 edges per literal.

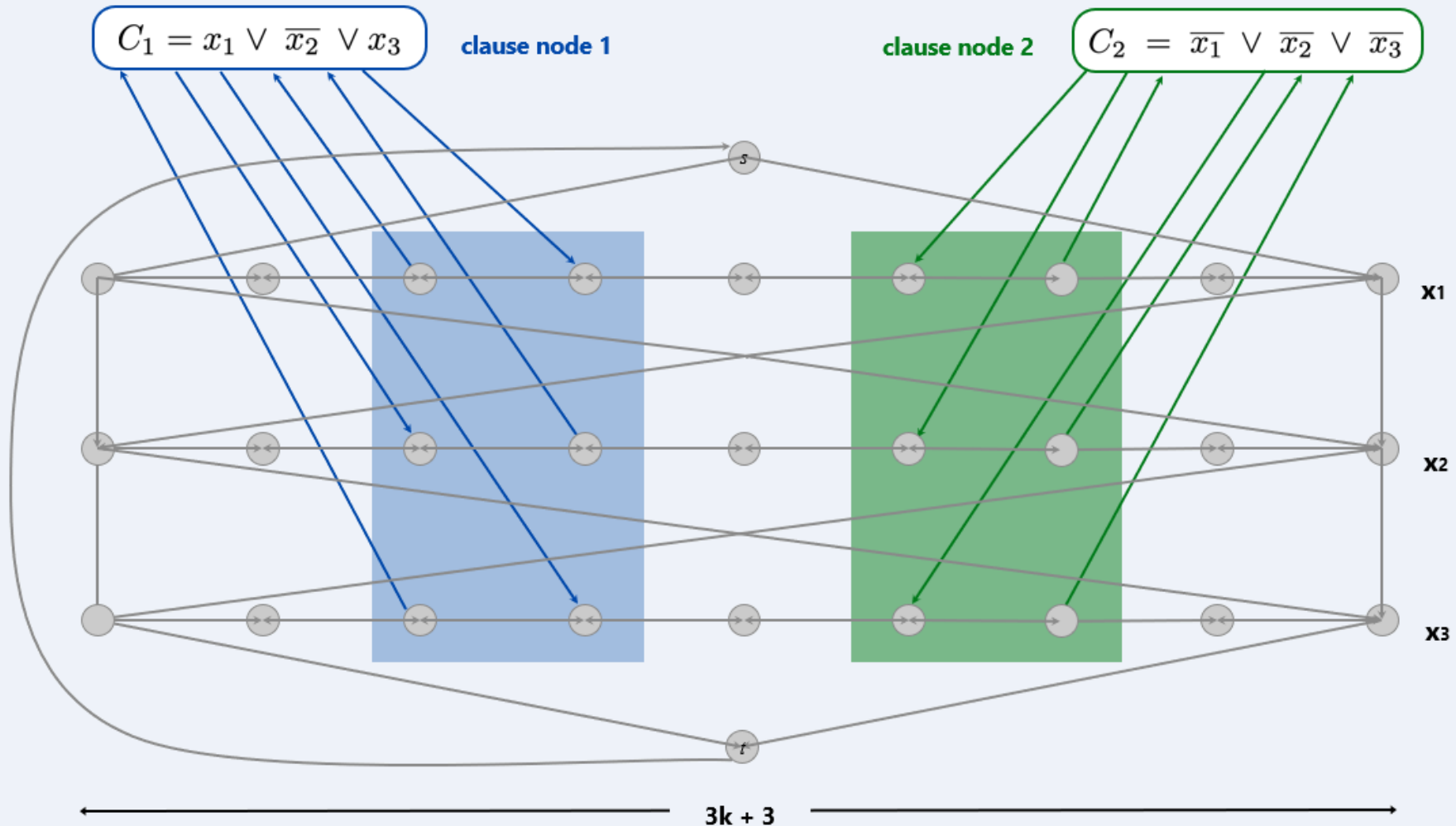
$$C_j = x_1 \vee \overline{x_2} \vee x_3$$



3-SAT \leq_p Hamiltonian Cycle

Construction Given 3-Sat instance Φ with n variables x_i and k clauses.

- For each clause: add a node and 2 edges per literal.



3-SAT \leq_p Hamiltonian Cycle

Lemma. Φ is satisfiable iff G has a Hamilton cycle.

Pf. \Rightarrow (completeness)

- Suppose 3-Sat instance Φ has satisfying assignment x^* .
 - Then, define Hamilton cycle Γ in G as follows:
 - if $x_i^* = \text{true}$, traverse row i from left to right
 - if $x_i^* = \text{false}$, traverse row i from right to left
 - for each clause C_j , there will be at least one row i in which we are going in “correct” direction to splice clause node C_j into cycle
- (and we splice in C_j exactly once) ■

3-SAT \leq_p Hamiltonian Cycle

Lemma. Φ is satisfiable iff G has a Hamilton cycle.

Pf. \Leftarrow (soundness)

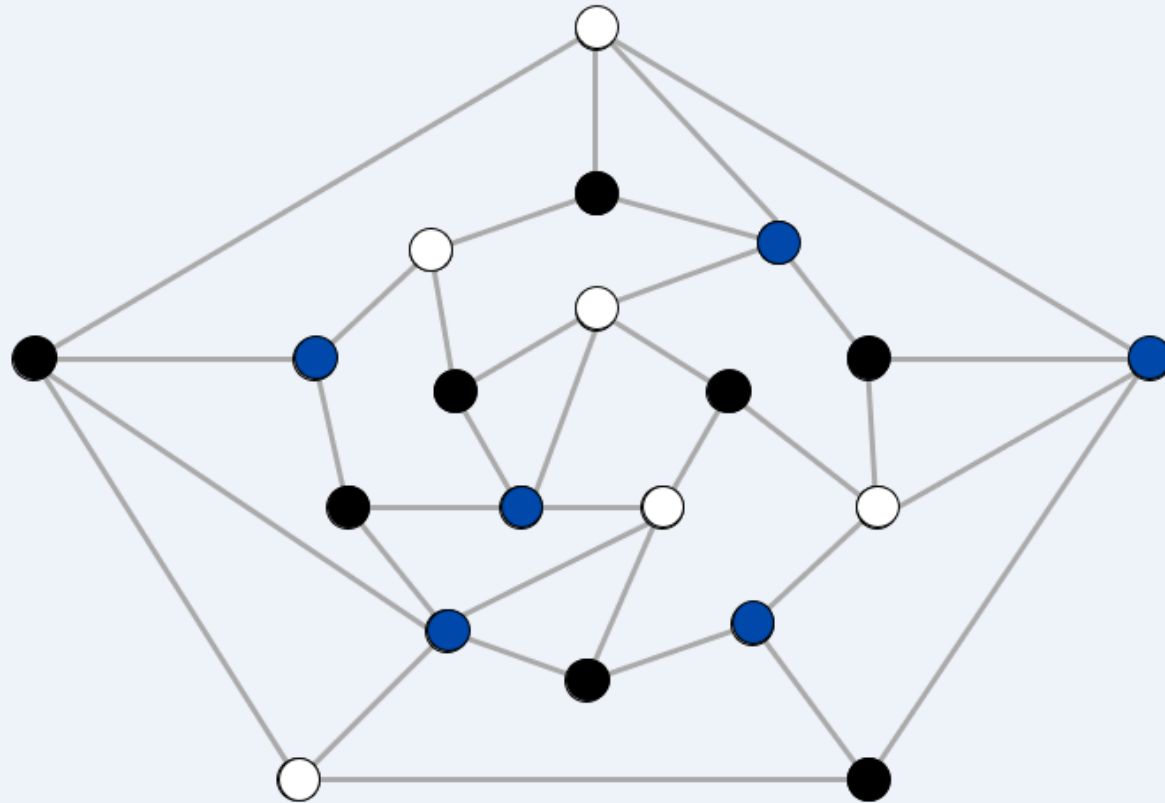
- Suppose G has a Hamilton cycle Γ .
- If Γ enters clause node C_j , it must depart on mate edge.
 - nodes immediately before and after C_j are connected by an edge $e \in E$
 - removing C_j from cycle, and replacing it with edge e yields Hamilton cycle on $G - \{C_j\}$
- Continuing in this way, we are left with a Hamilton cycle Γ' in $G - \{C_1, C_2, \dots, C_k\}$.
- Set $x_i^* = \text{true}$ if Γ' traverses row i left-to-right; otherwise, set $x_i^* = \text{false}$.
- traversed in “correct” direction, and each clause is satisfied. ■

Case study 2

3-SAT \leq_p 3-Color

3-SAT \leq_p 3-Color

3-COLOR Given an undirected graph G , can the nodes be colored black, white, and blue so that no adjacent nodes have the same color?



3-SAT \leq_p 3-Color

Register allocation. Assign program variables to machine registers so that no more than k registers are used and no two program variables that are needed at the same time are assigned to the same register.

Interference graph. Nodes are program variables; edge between u and v if there exists an operation where both u and v are “live” at the same time.

Observation. [Chaitin 1982] Can solve register allocation problem iff interference graph is k -colorable.

Fact. 3-Color \leq_p K-Register-Allocation for any constant $k \geq 3$.

3-SAT \leq_p 3-Color

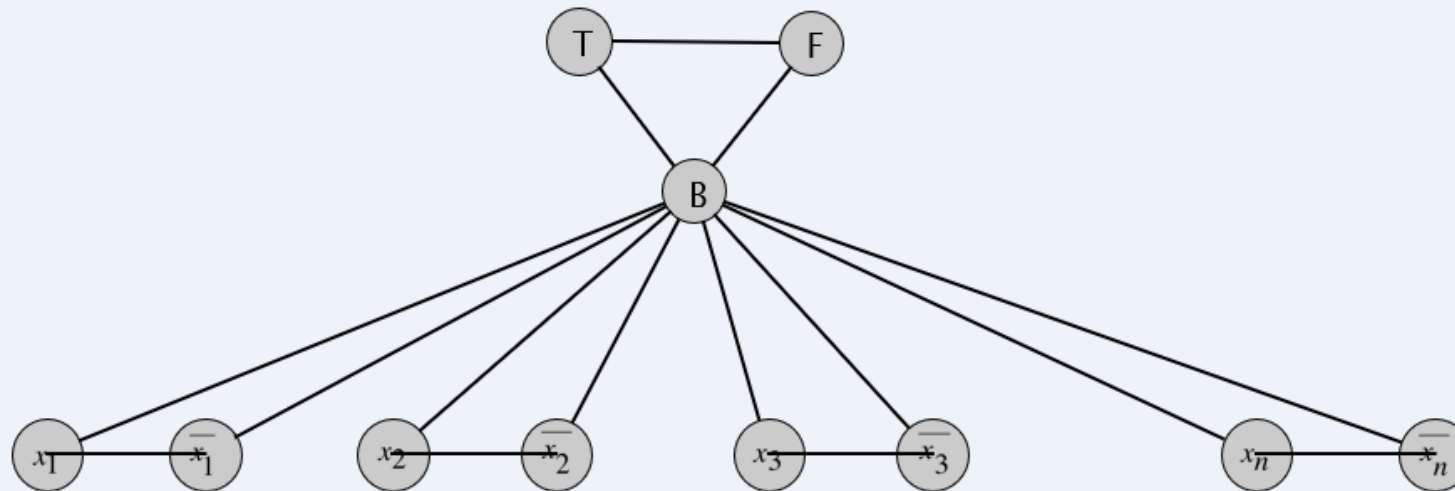
Theorem. 3-Sat \leq_p 3-Color.

Pf. Given 3-Sat instance Φ , we construct an instance of 3-Color that is 3-colorable iff Φ is satisfiable.

3-SAT \leq_p 3-Color

Construction.

- (i) Create a graph G with a node for each literal.
- (ii) Connect each literal to its negation.
- (iii) Create 3 new nodes T , F , and B ; connect them in a triangle.
- (iv) Connect each literal to B .
- (v) For each clause C_j , add a gadget of 6 nodes and 13 edges. (described later)



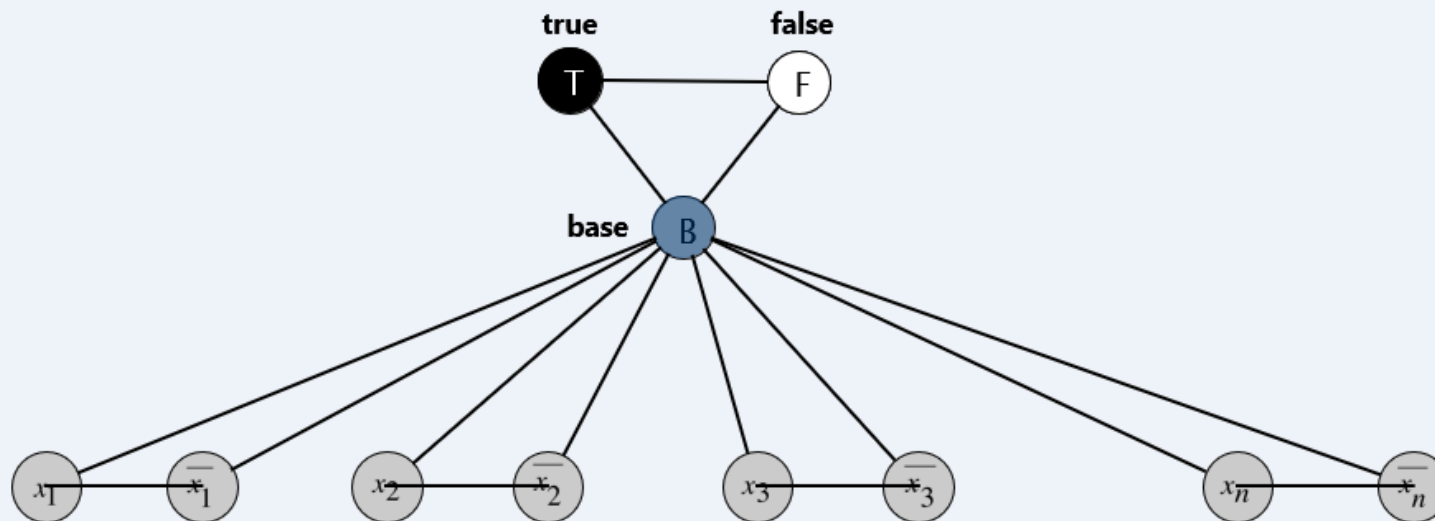
3-SAT \leq_p 3-Color

Lemma. Graph G is 3-colorable iff Φ is satisfiable.

Pf. \Rightarrow (completeness) Suppose graph G is 3-colorable.

WLOG, assume that node T is colored *black*, F is *white*, and B is *blue*.

- Consider assignment that sets all *black* literals to *true* (and *white* to *false*).
- (iv) ensures each literal is colored either *black* or *white*.
- (ii) ensures that each literal is *white* if its negation is *black* (and vice versa).

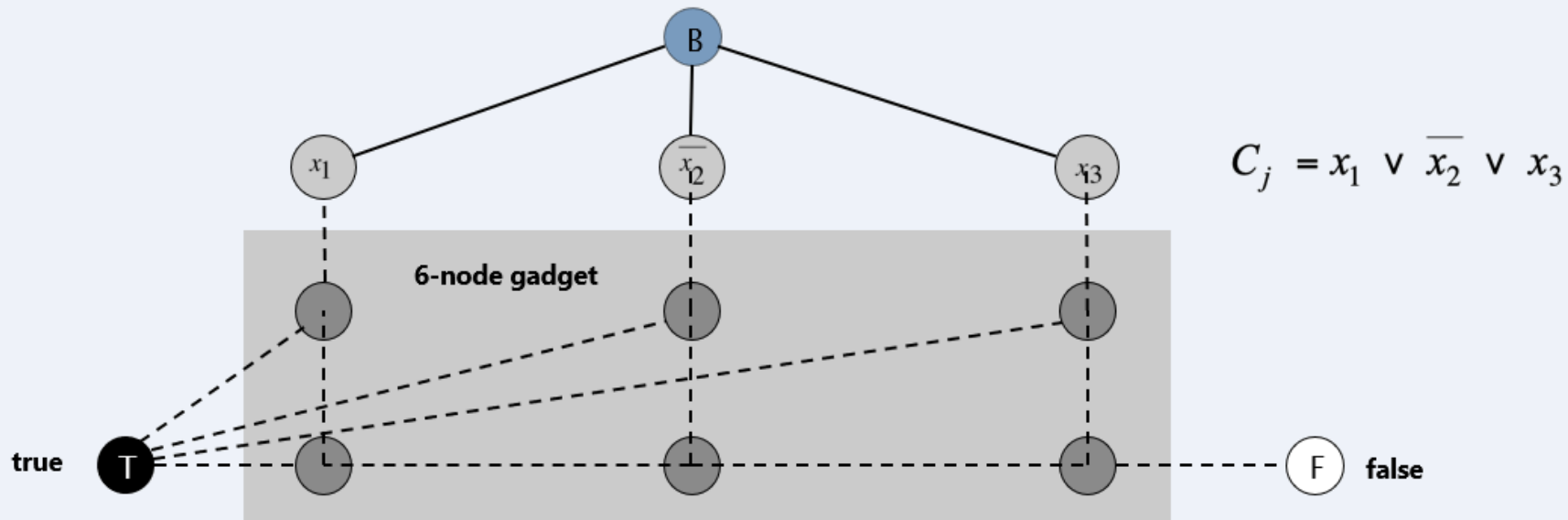


3-SAT \leq_p 3-Color

Lemma. Graph G is 3-colorable iff Φ is satisfiable.

Pf. \Rightarrow Suppose graph G is 3-colorable.

- WLOG, assume that node T is colored *black*, F is *white*, and B is *blue*.
- Consider assignment that sets all *black* literals to *true* (and *white* to *false*).
- (iv) ensures each literal is colored either *black* or *white*.
- (ii) ensures that each literal is *white* if its negation is *black* (and vice versa).
- (v) ensures at least one literal in each clause is *black*.

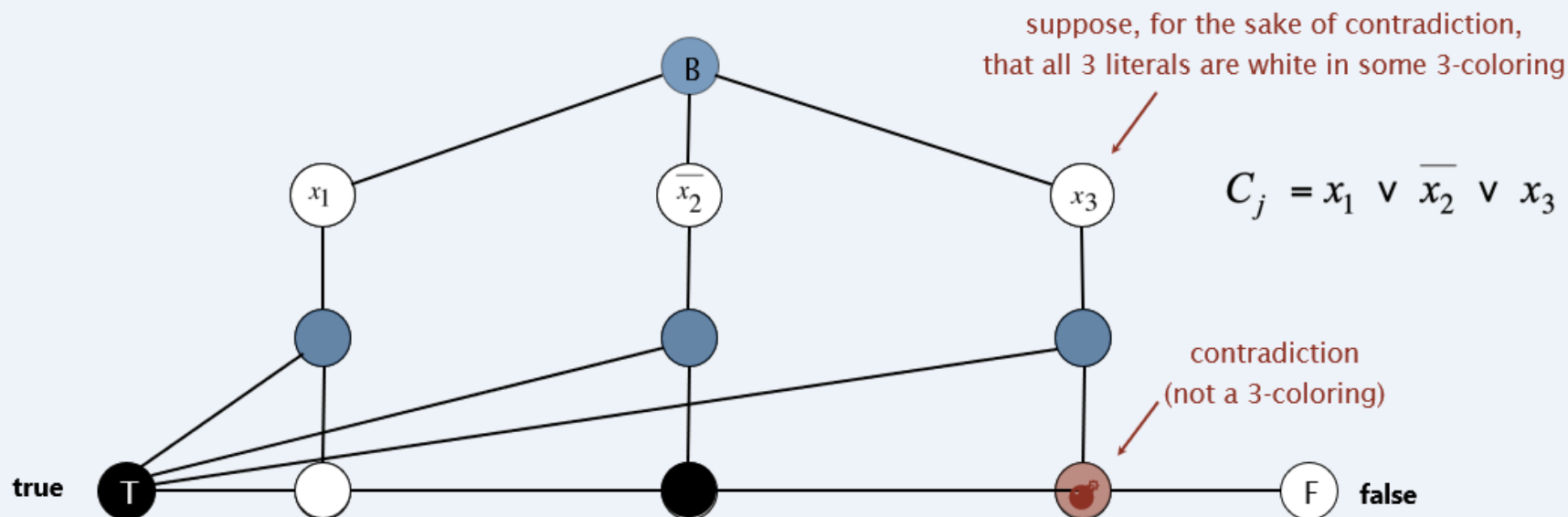


3-SAT \leq_p 3-Color

Lemma. Graph G is 3-colorable iff Φ is satisfiable.

Pf. \Rightarrow Suppose graph G is 3-colorable.

- WLOG, assume that node T is colored *black*, F is *white*, and B is *blue*.
- Consider assignment that sets all *black* literals to *true* (and *white* to *false*).
- (iv) ensures each literal is colored either *black* or *white*.
- (ii) ensures that each literal is *white* if its negation is *black* (and vice versa).
- (v) ensures at least one literal in each clause is *black*.

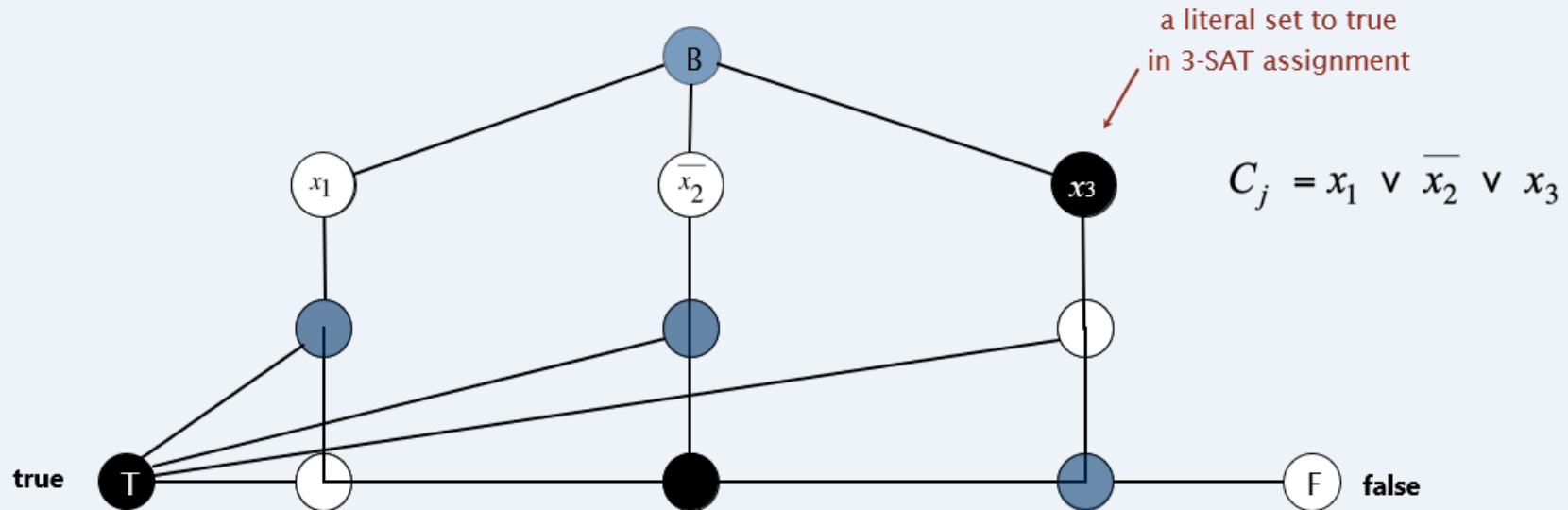


3-SAT \leq_p 3-Color

Lemma. Graph G is 3-colorable iff Φ is satisfiable.

Pf. \Leftarrow (soundness) Suppose 3-Sat instance Φ is satisfiable.

- Color all *true* literals *black* and all *false* literals *white*.
- Pick one *true* literal; color node below that node *white*, and node below that *blue*.
- Color remaining middle row nodes *blue*.
- Color remaining bottom nodes *black* or *white*, as forced. ■



Quiz Time