

# Scalar curvature comparison geometry and the higher index

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## Classical existence question

Does a given manifold admit a Riemannian metric of positive scalar curvature (pscm)?

Let  $M$  be a closed connected spin manifold of dimension  $m$ .

**Rosenberg index:**  $\alpha(M) := \text{ind } \mathcal{D}_{\mathcal{L}} \in \text{KO}_m(C^*\pi)$  (higher index)

- $\mathcal{D}_{\mathcal{L}}$ :  $\mathbb{C}l_m$ -linear spin Dirac operator twisted by the Mishchenko-Fomenko bundle  $\mathcal{L}(M) := \tilde{M} \times_{\pi} C^*\pi$ .
- $C^*\pi$ : group  $C^*$ -algebra of the fundamental group of  $M$ .

**Fact.** The Rosenberg index is the most general known index-theoretical obstruction to the existence of a pscm.

$$\begin{array}{c} \hat{A}(M) \neq 0 \implies \alpha(M) \neq 0 \implies \text{no pscm on } M \\ \nearrow \quad \quad \quad \uparrow \\ \dots \quad \quad \quad M \text{ enlargeable} \end{array}$$

**Examples.** ► The  $\hat{A}$ -genus of the  $n$ -torus is zero but the Rosenberg index does not vanish.

- There exist exotic spheres  $\Sigma^k$  with non-vanishing Rosenberg index.

**General principle** classical index  $\xrightarrow{\text{replace by}}$  higher index

What geometric useful information can we deduce from a non-vanishing higher index?

**Classically:** A non-vanishing  $\hat{A}$ -genus gives rise to a non-vanishing harmonic spinor  $u$ . In extreme geometric situations  $u$  is parallel, hence

$$|u|_p^2 = \langle u(p), u(p) \rangle_p = \text{const.}$$

## New method

**Technical Lemma (T. [2]).** Let  $\mathcal{A}$  be a unital Real  $C^*$ -algebra and  $S \rightarrow M$  a graded Real  $\mathcal{A}$ -linear Dirac bundle with induced  $\mathcal{A}$ -linear Dirac operator  $\mathcal{D}$ .

- If the higher index of  $\mathcal{D}$  does not vanish, there exists a family  $u_\epsilon$  of almost  $\mathcal{D}$ -harmonic sections.
- If, moreover,  $u_\epsilon$  is  $L^2$ -almost parallel, the family is **almost constant**, i.e. there exist constants  $C, r > 0$  and an element  $a \in \mathcal{A}^+$  such that

$$\|a - \langle u_\epsilon(p), u_\epsilon(p) \rangle_p\|_{\mathcal{A}} < C\epsilon^r \quad \forall p \in M \quad \forall \epsilon \in (0, 1).$$

## Rigidity question

How rich is the space of Riemannian metrics satisfying a certain lower scalar curvature bound — e.g. on a product manifold  $N \times F$ ?

We generalize an extremality and rigidity statement by Goette and Semmelmann [1] to spin maps between possibly non-orientable manifolds and replace the topological condition on the  $\hat{A}$ -degree by a less restrictive condition involving higher index theory.

**Definition.** Let  $f: M \rightarrow N$  be a *spin map*, i.e.

$$w_i(TM) = f^*(w_i(TN)) \quad (i = 1, 2),$$

between two closed connected Riemannian manifolds of dimension  $n + k$  and  $n$ , respectively. The **higher mapping degree** of  $f$  is defined via

$$\text{deg}_{\text{hi}}(f) := \text{ind}(\mathcal{D}_{Sf^{-1}(p) \otimes \mathcal{L}(M)}|_{f^{-1}(p)}) \in \text{KO}_k(C^*\pi)$$

for a regular value  $p$  of the map  $f$ .

**Main Theorem (T. [2]).** Let  $f: M \rightarrow N$  be an area-non-increasing spin map between two closed connected Riemannian manifolds of dimension  $n + k$  and  $n$ , respectively. Suppose

- $N$  has non-negative curvature operator and
- $\text{deg}_{\text{hi}}(f) \cdot \chi(N) \neq 0 \in \text{KO}_k(C^*\pi)$ .

Then: (1)  $\text{scal}_M \geq \text{scal}_N \circ f \implies \text{scal}_M = \text{scal}_N \circ f$

$$(2) \left\{ \begin{array}{l} \text{scal}_M \geq \text{scal}_N \circ f \\ \text{scal}_N > 2 \text{Ric}_N > 0 \end{array} \right\} \implies f \text{ is a Riemannian submersion}$$

**Proof (sketch).** The spin map  $f$  gives rise to a  $\mathbb{C}l_{n+k,n} \otimes C^*\pi$ -linear Dirac bundle  $SM \otimes f^*SN \otimes \mathcal{L}(M) \rightarrow M$  with induced Dirac operator  $\mathcal{D}_{\mathcal{L}}$  satisfying

- $\text{ind}(\mathcal{D}_{\mathcal{L}}) = \text{deg}_{\text{hi}}(f) \cdot \chi(N) \neq 0$  and
- $\mathcal{D}_{\mathcal{L}}^2 \geq \nabla^* \nabla + \frac{1}{4}(\text{scal}_M - \text{scal}_N \circ f)$ .

The extremality and rigidity statement follows from the existence of a family of almost constant sections (see Technical Lemma).  $\square$

**Examples.** The Main Theorem applies to the following maps:

- $\text{pr}_1: S^{2n} \times T^k \rightarrow S^{2n}$ .
- $\text{pr}_1: \mathbb{R}P^{2n} \times \Sigma^k \rightarrow \mathbb{R}P^{2n}$ .
- $\text{pr}_1: N \times F \rightarrow N$  satisfying  $\alpha(F) \cdot \chi(N) \neq 0$ .
- $f: M \rightarrow (S^{2n}, g_{\text{round}})$  fiber bundle whose typical fiber  $F$  satisfies  $2\alpha(F) \neq 0$ .

## References

- [1] S. Goette and U. Semmelmann. "Scalar curvature estimates for compact symmetric spaces". In: *Diff. Geom. Appl.* 16.1 (2002).
- [2] T. Tony. "Scalar curvature rigidity and the higher mapping degree". In: *Journal of Functional Analysis* 288.3 (2025). To appear.

