

Scalar curvature rigidity and higher index theory

Workshop: Geometric moduli spaces - rigidity, genericity, stability
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Classical existence question

Does a given manifold admit a Riemannian metric of positive scalar curvature (pscm)?

Let M be a closed connected spin manifold of dimension m .

\hat{A} -genus: $\hat{A}(M) := \text{ind } \mathcal{D} \in \mathbb{Z}$.

Rosenberg index: $\alpha(M) := \text{ind } \mathcal{D}_{\mathcal{L}} \in \text{KO}_m(\text{C}^*\pi)$ (higher index).

- \mathcal{D} : Dirac operator induced by the spinor bundle of M .
- $\mathcal{D}_{\mathcal{L}}$: $\mathbb{C}l_n$ -linear spin Dirac operator twisted by the Mishchenko-Fomenko bundle $\mathcal{L}(M) := \tilde{M} \times_{\pi} \text{C}^*\pi$.
- $\text{C}^*\pi$: group C^* -algebra of the fundamental group π of M .

Fact. The Rosenberg index is the most general known index-theoretical obstruction to the existence of a pscm on M .

$$\hat{A}(M) \neq 0 \implies \alpha(M) \neq 0 \implies \text{no pscm on } M$$

\nearrow
 \dots \quad M enlargeable $\quad \Uparrow$

Examples. ► The \hat{A} -genus of the n -torus is zero but the Rosenberg index does not vanish.

- There exist exotic spheres Σ^k of non-zero Rosenberg index.

General principle classical index $\xrightarrow{\text{replace by}}$ higher index

What geometric useful information can we deduce from a non-vanishing higher index?

Classically: A non-vanishing \hat{A} -genus gives rise to a non-vanishing \mathcal{D} -harmonic spinor u . In extreme geometric situations u is parallel, hence

$$|u|_p^2 = \langle u(p), u(p) \rangle_p = \text{const.}$$

New method

Technical Lemma (T. [3]). Let \mathcal{A} be a unital Real C^* -algebra and $S \rightarrow M$ a graded Real \mathcal{A} -linear Dirac bundle with induced \mathcal{A} -linear Dirac operator \mathcal{D} .

- If the higher index of \mathcal{D} does not vanish, there exists a family u_{ϵ} of almost \mathcal{D} -harmonic sections.
- If, moreover, u_{ϵ} is L^2 -almost parallel, the family is **almost constant**, i.e. there exist constants $C, r > 0$ and an element $a \in \mathcal{A}^+$ such that

$$\|a - \langle u_{\epsilon}(p), u_{\epsilon}(p) \rangle_p\|_{\mathcal{A}} < C\epsilon^r \quad \forall p \in M \quad \forall \epsilon \in (0, 1).$$

Rigidity question

How rich is the space of Riemannian metrics satisfying a certain lower scalar curvature bound?

Theorem (Llarull [2]). Let $f: M \rightarrow S^m$ be a smooth map of non-zero degree and $m \geq 3$. Then

$$\left. \begin{array}{l} \text{scal}_M \geq \text{scal}_N \circ f \\ g_M \geq f^*g_N \text{ on } \bigwedge^2 TM \end{array} \right\} \implies f \text{ is an isometry.}$$

We generalize an extremality and rigidity statement by Goette and Semmelmann [1] (a generalization of Llarull's theorem).

Main Theorem (T. [3]). Let $f: M \rightarrow N$ be a spin map between two closed connected Riemannian manifolds of dimension $n+k$ and n , respectively. Suppose

- $\mathcal{R}_N \geq 0$, $\text{scal}_N > 2 \text{Ric}_N > 0$ and
- $\chi(N) \cdot \text{deg}_{\text{hi}}(f) \neq 0 \in \text{KO}_k(\text{C}^*\pi)$.

Then the following implication holds:

$$\left. \begin{array}{l} \text{scal}_M \geq \text{scal}_N \circ f \\ g_M \geq f^*g_N \text{ on } \bigwedge^2 TM \end{array} \right\} \implies \left\{ \begin{array}{l} \text{scal}_M = \text{scal}_N \circ f \text{ and} \\ f \text{ is a Riem. submersion} \end{array} \right.$$

Definition. Let f be as in the Main Theorem.

- f is called **spin** if $w_i(TM) = f^*(w_i(TN))$ ($i = 1, 2$) holds.
- The **higher mapping degree** of f is defined for a regular value p of the map f via

$$\text{deg}_{\text{hi}}(f) := \text{ind}(\mathcal{D}_{Sf^{-1}(p) \otimes \mathcal{L}(M)}|_{f^{-1}(p)}) \in \text{KO}_k(\text{C}^*\pi).$$

Proof. The map f gives rise to a $\mathbb{C}l_{n+k,n} \otimes \text{C}^*\pi$ -linear Dirac bundle $SM \otimes f^*SN \otimes \mathcal{L}(M)$ with induced Dirac operator $\mathcal{D}_{\mathcal{L}}$ satisfying

- $\text{ind}(\mathcal{D}_{\mathcal{L}}) = \text{deg}_{\text{hi}}(f) \cdot \chi(N) \neq 0$ and
- $\mathcal{D}_{\mathcal{L}}^2 \geq \nabla^* \nabla + \frac{1}{4}(\text{scal}_M - \text{scal}_N \circ f)$.

The rigidity statement follows from the Technical Lemma. □

Examples. The Main Theorem applies to the following maps:

- $\text{pr}_1: S^{2n} \times T^k \rightarrow S^{2n}$.
- $\text{pr}_1: \mathbb{R}P^{2n} \times \Sigma^k \rightarrow \mathbb{R}P^{2n}$.

References

- [1] S. Goette and U. Semmelmann. "Scalar curvature estimates for compact symmetric spaces". In: *Diff. Geom. Appl.* 16.1 (2002).
- [2] M. Llarull. "Sharp estimates and the Dirac operator". English. In: *Mathematische Annalen* 310.1 (1998).
- [3] T. Tony. "Scalar curvature rigidity and the higher mapping degree". In: *Journal of Functional Analysis* 288.3 (2025). To appear.

