

Stacks, Queues, and Deques

- Define **Stack** and **Queue** Abstract Data Types
 - Implement an **array-based stack and queue**
 - Implement a **stack and a queue using a singly linked list**
 - Reverse an array using a stack.
- Define **Deque** Abstract Data Types
 - Implement a deque

Recursion - Review

Solve large problems by solving a smaller occurrence of the same problem

- A recursive method must contain:
 - *One or more stopping conditions*: under certain conditions, it would stop the method from calling itself again - known as **base case**
 - *One or more recursive calls*: this is when **a method calls itself** - known as **recursive cases**
 - The recursive cases must eventually lead to a base case

Recursion - Review

- Recursion Examples:
 - **Factorial** function
 - **Binary Search** – runs in **$O(\log n)$** time
 - English **Ruler**
 - **File systems**
- **Linear recursion** – the method performs a single recursive call
 - Linear sum
 - Reversing an array
- **Binary recursion** - the method performs two recursive calls for each non-base case
 - English ruler
 - Binary sum

Recursion - Review

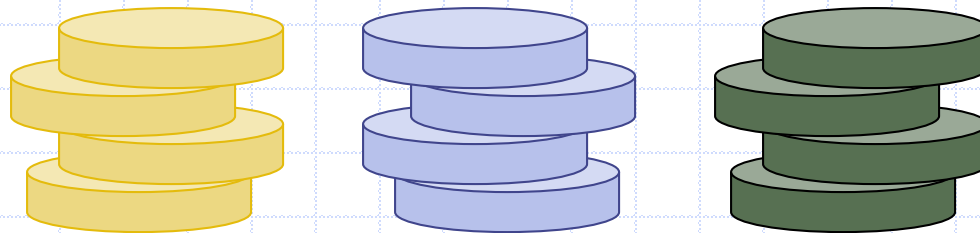
- Analyzing recursive algorithms
 - **Binary Search** – because each recursive call divides the size in half, it runs in **$O(\log n)$** time
 - **Power function** $p(x,n)=x^n$ makes n recursive calls, hence it runs in **$O(n)$** time
 - **Recursive squaring** of power function uses repeated squaring and reduces the running time to **$O(\log n)$** .
- **Fibonacci** numbers:
 - Binary version is exponential!
 - Linear version runs in $O(n)$ time

Recursion - Review

- Writing recursive methods:
 - Specify **method parameters** and **returning value**
 - Find a **base case**
 - Write the algorithm with **one or more recursive calls**
- Look for a data structure defined in terms of itself (inductively)

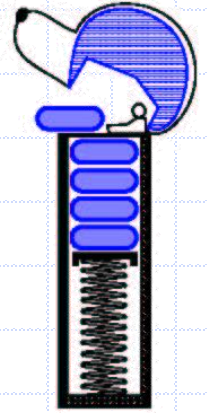
Presentation for use with the textbook **Data Structures and Algorithms in Java, 6th edition**, by M. T. Goodrich, R. Tamassia, and M. H. Goldwasser, Wiley, 2014

Stacks



Abstract Data Types (ADTs)

- An abstract data type (ADT) is an **abstraction of a data structure**
- An ADT specifies:
 - **Data** stored
 - **Operations** on the data
 - **Error conditions** associated with operations
- Example: ADT modeling a simple stock trading system
 - The data stored are buy/sell **stocks**
 - The operations supported are
 - ♦ order **buy**(stock, shares, price)
 - ♦ order **sell**(stock, shares, price)
 - ♦ void **cancel**(order)
 - Error conditions:
 - ♦ Buy/sell a **nonexistent stock**
 - ♦ Cancel a **nonexistent order**



The Stack ADT

- The **Stack** ADT stores arbitrary objects
- Insertions and deletions follow the **last-in first-out** (LIFO) scheme
- Think of a spring-loaded plate dispenser
- Main stack operations:
 - **push**(object): inserts an element
 - object **pop**(): removes and returns the last inserted element
- Auxiliary stack operations:
 - object **top**(): returns the last inserted element without removing it
 - integer **size**(): returns the number of elements stored
 - boolean **isEmpty**(): indicates whether no elements are stored

Stack Interface in Java

- Java interface corresponding to our Stack ADT
- Assumes `null` is returned from **`top()`** and **`pop()`** when stack is empty
- Different from the built-in Java class `java.util.Stack`

```
public interface Stack<E> {  
    int size();  
    boolean isEmpty();  
    E top();  
    void push(E element);  
    E pop();  
}
```

Example

Method	Return Value	Stack Contents
push(5)	—	(5)
push(3)	—	(5, 3)
size()	2	(5, 3)
pop()	3	(5)
isEmpty()	false	(5)
pop()	5	()
isEmpty()	true	()
pop()	null	()
push(7)	—	(7)
push(9)	—	(7, 9)
top()	9	(7, 9)
push(4)	—	(7, 9, 4)
size()	3	(7, 9, 4)
pop()	4	(7, 9)
push(6)	—	(7, 9, 6)
push(8)	—	(7, 9, 6, 8)
pop()	8	(7, 9, 6)



Exceptions vs. Returning Null

- Attempting the execution of an operation of an ADT may sometimes cause an error condition
- Java supports a general abstraction for errors, called **exception**
- An exception is said to be “thrown” by an operation that cannot be properly executed
- In **our Stack ADT**, we **do not use exceptions**
- Instead, we allow operations **pop** and **top** to be performed even if the stack is empty
- For an empty stack, **pop** and **top** simply return **null**

Applications of Stacks

- Direct applications
 - **Page-visited history** in a Web browser
 - **Undo** sequence in a text editor
 - **Chain of method calls** in the Java Virtual Machine
- Indirect applications
 - Auxiliary data structure for algorithms
 - Component of other data structures

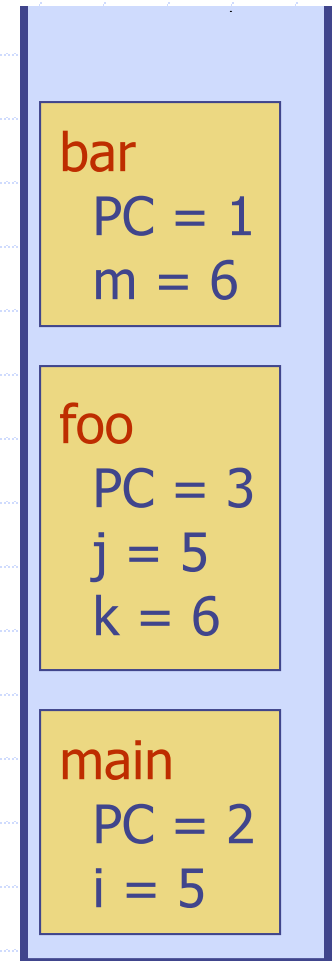
Method Stack in the JVM

- ❑ The Java Virtual Machine (JVM) keeps track of the chain of active methods with a stack
- ❑ When a method is called, the **JVM pushes on the stack a frame** containing
 - Local **variables** and **return value**
 - **Program counter**, keeping track of the statements being executed
- ❑ When a method ends, its **frame is popped from the stack** and control is passed to the method on top of the stack
- ❑ Allows for **recursion**

```
main() {  
    int i = 5;  
    foo(i);  
}
```

```
foo(int j) {  
    int k;  
    k = j+1;  
    bar(k);  
}
```

```
bar(int m) {  
    ...  
}
```

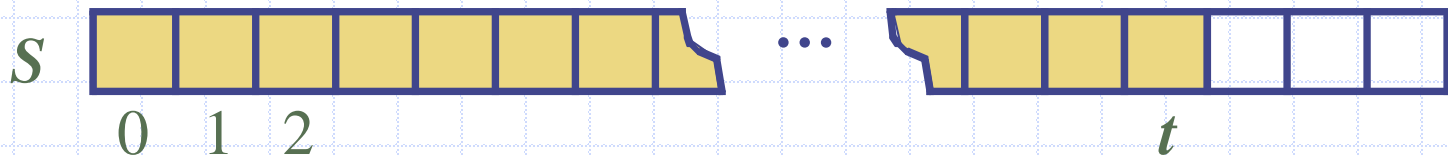


Array-based Stack

- A simple way of implementing the Stack ADT uses an **array**
- Index of the bottom element is 0.
- A variable keeps track of the index of the top element t

Algorithm *size()*
return $t + 1$

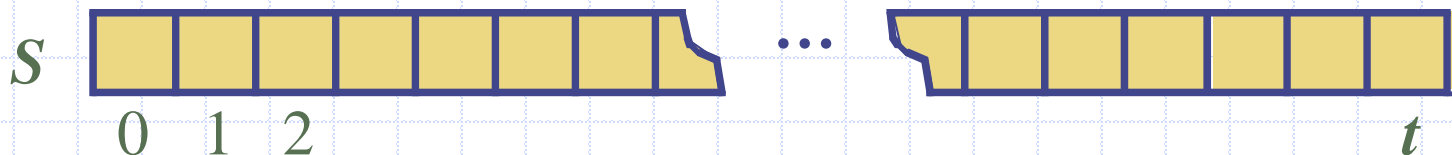
Algorithm *pop()*
if *isEmpty()* **then**
 return **null**
else
 $t \leftarrow t - 1$
 return $S[t + 1]$



Array-based Stack (cont.)

- The array storing the stack elements may become full ($t+1$ elements)
- A push operation will then throw a **FullStackException**
 - Limitation of the array-based implementation
 - Not intrinsic to the Stack ADT

```
Algorithm push(o)  
  if  $t = S.length - 1$  then  
    throw IllegalStateException  
  else  
     $t \leftarrow t + 1$   
     $S[t] \leftarrow o$ 
```



Performance and Limitations

□ Performance

- Let n be the number of elements in the stack
- The space used is $O(n)$
- Each operation runs in time $O(1)$

□ Limitations

- The maximum size of the stack must be defined a priori and cannot be changed
- Trying to push a new element into a full stack causes an implementation-specific exception

Array-based Stack in Java

```
public class ArrayStack<E>
    implements Stack<E> {

    // holds the stack elements
    private E[] S;

    // index to top element
    private int top = -1;

    // constructor
    public ArrayStack(int capacity) {
        S = (E[]) new Object[capacity];
    }
}
```

```
public E pop() {
    if isEmpty()
        return null;
    E temp = S[top];
    // facilitate garbage collection:
    S[top] = null;
    top = top - 1;
    return temp;
}

... (other methods of Stack interface)
```

Example Use in Java

```
/** A generic method for reversing an array. */
public static <E> void reverse(E[] a) {
    Stack<E> buffer = new ArrayStack<>(a.length);
    for (int i=0; i < a.length; i++)
        buffer.push(a[i]);
    for (int i=0; i < a.length; i++)
        a[i] = buffer.pop();
}

/** Tester routine for reversing arrays */
public static void main(String args[]) {
    Integer[] a = {4, 8, 15, 16, 23, 42}; // autoboxing allows this
    System.out.println("Reversing...");
    reverse(a);
    System.out.println("a = " + Arrays.toString(a));
}
```

Implementing a Stack with a Singly Linked List

- Linked-list approach has memory usage that is always proportional to the number of actual elements currently in the stack, and without an arbitrary capacity limit.
- With the **top of the stack stored at the front of the list**, all methods execute in constant time.
- Use the SinglyLinkedList class from chapter 3 to define a new **LinkedStack** class (**adapter pattern**)

<i>Stack Method</i>	<i>Singly Linked List Method</i>
size()	list.size()
isEmpty()	list.isEmpty()
push(<i>e</i>)	list.addFirst(<i>e</i>)
pop()	list.removeFirst()
top()	list.first()

Implementing a Stack with a Singly Linked List

- This class declares a SinglyLinkedList named *list* as a private field, and uses the corresponding methods as shown in previous slide.

```
1 public class LinkedStack<E> implements Stack<E> {  
2     private SinglyLinkedList<E> list = new SinglyLinkedList<>(); // an empty list  
3     public LinkedStack() { } // new stack relies on the initially empty list  
4     public int size() { return list.size(); }  
5     public boolean isEmpty() { return list.isEmpty(); }  
6     public void push(E element) { list.addFirst(element); }  
7     public E top() { return list.first(); }  
8     public E pop() { return list.removeFirst(); }  
9 }
```

Code Fragment 6.4: Implementation of a Stack using a SinglyLinkedList as storage.

Review of indexOf methods

- ❑ `int indexOf(int ch)` - returns index position for the given char value.
- ❑ `int indexOf(int ch, int fromIndex)` - returns index position for the given char value and from index.

- ❑ Example:

```
String s1="this is index of example";
```

```
//passing char value
```

```
int index1 = s1.indexOf('s'); //returns the index of s char value
```

```
System.out.println(index1); //3
```

```
//passing char value and index
```

```
int index2 = s1.indexOf('s',5); //returns the index after 5th index
```

```
System.out.println(index2); //6
```

Review of substring methods

- ❑ `public String substring(int startIndex)`
- ❑ `public String substring(int startIndex, int endIndex)`
- ❑ If you don't specify `endIndex`, **substring()** method will return all the characters from `startIndex`.
 - `startIndex` : starting index is inclusive
 - `endIndex` : ending index is exclusive
- ❑ Example:

```
String s1="javatpoint";  
System.out.println(s1.substring(2,4)); //returns va  
System.out.println(s1.substring(2)); //returns vatpoint
```

Parentheses Matching example

- Each “(”, “{”, or “[” must be paired with a matching “)”, “}”, or “]”
 - correct: ()(()){([())}
 - correct: ((())(()){([())}
 - incorrect:)(()){([())}
 - incorrect: ({ []})
 - incorrect: (
- An Algorithm for Matching Delimiters:
 - use a stack with a single left-to-right scan of the original string

Parentheses Matching example

- ❑ Each time we encounter an opening symbol, we push that symbol onto the stack
- ❑ Each time we encounter a closing symbol, we pop a symbol from the stack and check that these two symbols form a valid pair.
- ❑ If we reach the end of the expression and the stack is empty, then the original expression was properly matched.
- ❑ Otherwise, there must be an opening delimiter on the stack without a matching symbol.

Parenthesis Matching (Java)

```
public static boolean isMatched(String expression) {  
    final String opening = "{["; // opening delimiters  
    final String closing = "}]"; // respective closing delimiters  
    Stack<Character> buffer = new LinkedStack<>( );  
    for (char c : expression.toCharArray( )) {  
        if (opening.indexOf(c) != -1) // this is a left delimiter  
            buffer.push(c);  
        else if (closing.indexOf(c) != -1) { // this is a right delimiter  
            if (buffer.isEmpty( )) // nothing to match with  
                return false;  
            if (closing.indexOf(c) != opening.indexOf(buffer.pop( )))  
                return false; // mismatched delimiter  
        }  
    }  
    return buffer.isEmpty( ); // were all opening delimiters matched?  
}
```

HTML Tag Matching

- For fully-correct HTML, each `<name>` should pair with a matching `</name>`

`<body>`

`<center>`

`<h1> The Little Boat </h1>`

`</center>`

`<p> The storm tossed the little boat like a cheap sneaker in an old washing machine. The three drunken fishermen were used to such treatment, of course, but not the tree salesman, who even as a stowaway now felt that he had overpaid for the voyage. </p>`

``

` Will the salesman die? `

` What color is the boat? `

` And what about Naomi? `

``

`</body>`

The Little Boat

The storm tossed the little boat like a cheap sneaker in an old washing machine. The three drunken fishermen were used to such treatment, of course, but not the tree salesman, who even as a stowaway now felt that he had overpaid for the voyage.

1. Will the salesman die?
2. What color is the boat?
3. And what about Naomi?

HTML Tag Matching

- ❑ We make a **left-to-right pass** through the raw string, using index j to track our progress.
- ❑ The `indexOf` method of the `String` class, which optionally accepts a starting index as a second parameter, locates the '`<`' and '`>`' characters that define the tags.
- ❑ Method `substring`, also of the `String` class, returns the substring starting at a given index and optionally ending right before another given index.
- ❑ Opening tags are pushed onto the stack, and matched against closing tags as they are popped from the stack

HTML Tag Matching (Java)

```
public static boolean isHTMLMatched(String html) {
    Stack<String> buffer = new LinkedStack<>( );
    int j = html.indexOf('<'); // find first '<' character (if any)
    while (j != -1) {
        int k = html.indexOf('>', j+1); // find next '>' character
        if (k == -1)
            return false; // invalid tag
        String tag = html.substring(j+1, k); // strip away < >
        if (!tag.startsWith("/")) // this is an opening tag
            buffer.push(tag);
        else { // this is a closing tag
            if (buffer.isEmpty( ))
                return false; // no tag to match
            if (!tag.substring(1).equals(buffer.pop( )))
                return false; // mismatched tag
        }
        j = html.indexOf('<', k+1); // find next '<' character (if any)
    }
    return buffer.isEmpty( ); // were all opening tags matched?
}
```

Evaluating Arithmetic Expressions

$$14 - 3 * 2 + 7 = (14 - (3 * 2)) + 7$$

Operator precedence

* has precedence over +/−

Associativity

operators of the same precedence group
evaluated from left to right

Example: $(x - y) + z$ rather than $x - (y + z)$

Idea: push each operator on the stack, but first pop and perform higher and *equal* precedence operations.

Algorithm for Evaluating Expressions

Two stacks:

- opStk holds operators
- valStk holds values
- Use \$ as special “end of input” token with lowest precedence

Algorithm **doOp()**

```
x ← valStk.pop();  
y ← valStk.pop();  
op ← opStk.pop();  
valStk.push( y op x )
```

Algorithm **repeatOps(refOp)**:

```
while ( valStk.size() > 1 ∧  
        prec(refOp) ≤  
        prec(opStk.top())  
doOp()
```

Algorithm **EvalExp()**

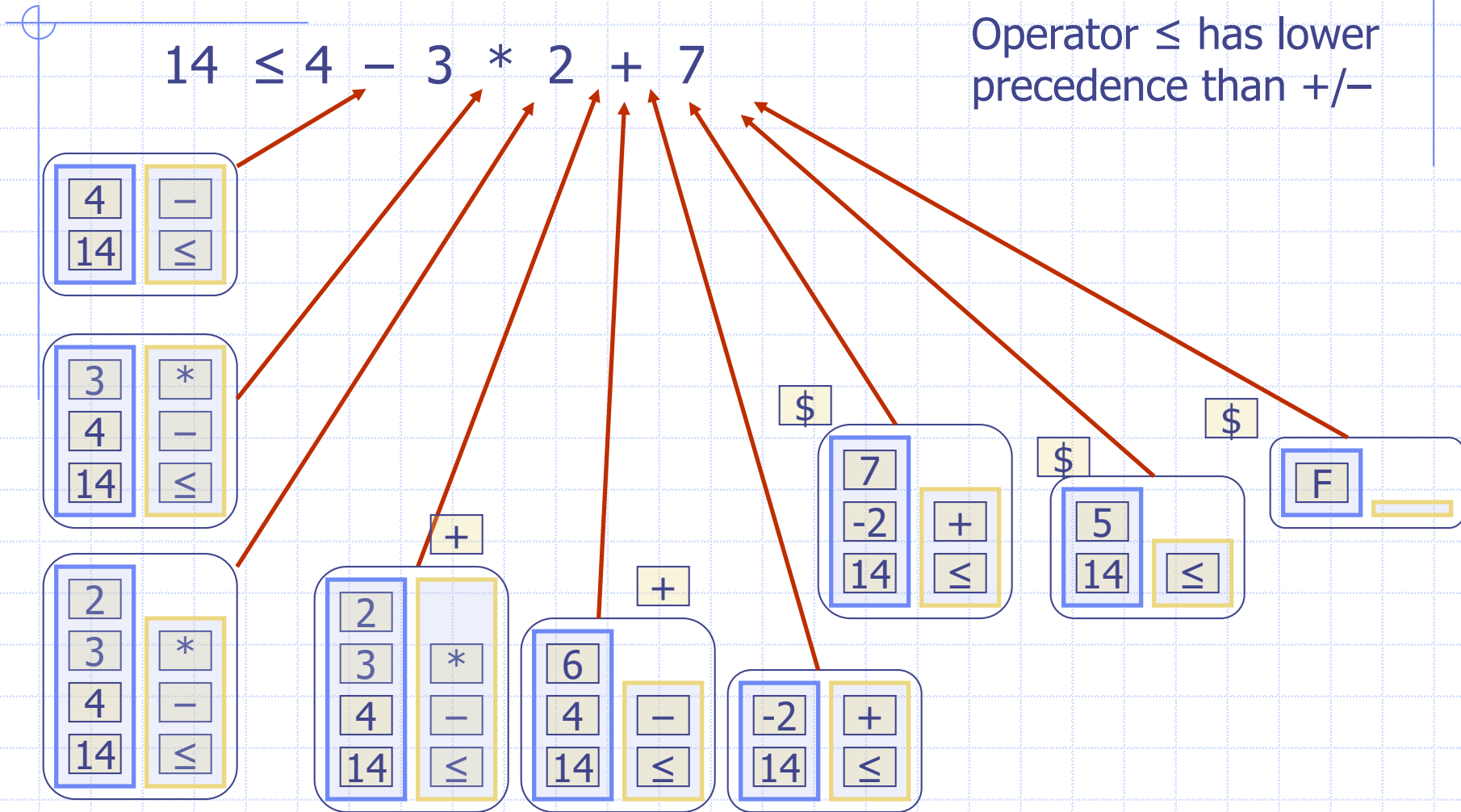
Input: a stream of tokens representing
an arithmetic expression (with
numbers)

Output: the value of the expression

```
while there's another token z  
  if isNumber(z) then  
    valStk.push(z)  
  else  
    repeatOps(z);  
    opStk.push(z)  
repeatOps($);  
return valStk.top()
```

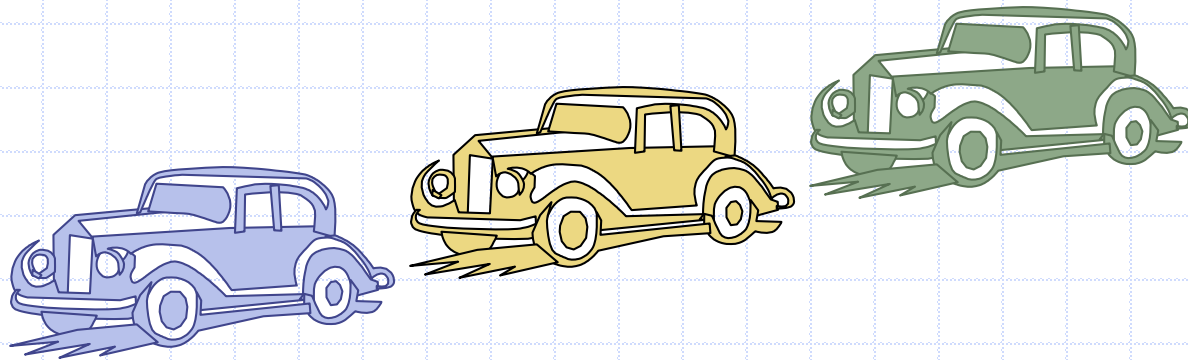
Algorithm on an Example Expression

Operator \leq has lower
precedence than $+/-$



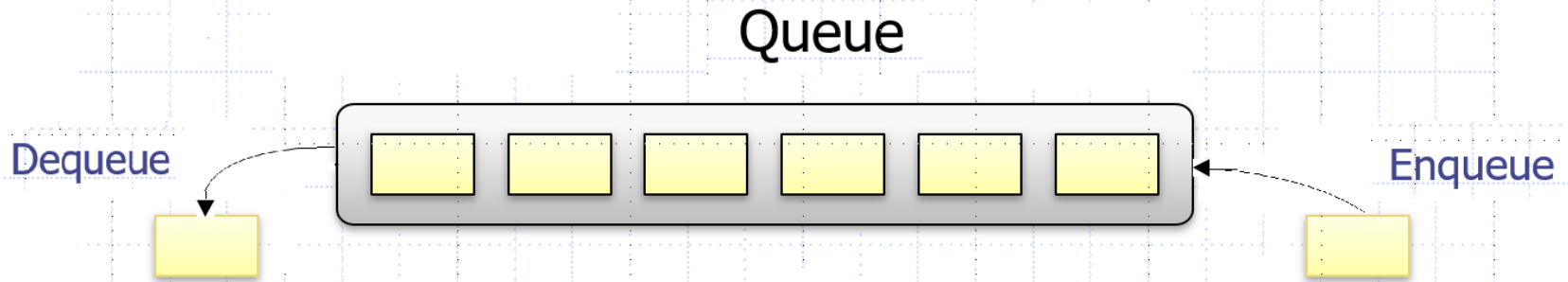
Presentation for use with the textbook **Data Structures and Algorithms in Java, 6th edition**, by M. T. Goodrich, R. Tamassia, and M. H. Goldwasser, Wiley, 2014

Queues



Queues

- A queue is a linear data structure that represents a waiting list or line (first-in first-out):



- Elements are maintained in a sequence.

The Queue ADT

- The **Queue** ADT stores arbitrary objects
- Insertions and deletions follow the **first-in first-out** (FIFO) scheme
- **Insertions are at the rear** of the queue and **removals are at the front** of the queue
- Main queue operations:
 - **enqueue**(object): **inserts** an element **at the end** of the queue
 - object **dequeue**(): **removes** and returns the element **at the front** of the queue
- Auxiliary queue operations:
 - *object* **first**(): returns the element at the front without removing it
 - *integer* **size**(): returns the number of elements stored
 - *boolean* **isEmpty**(): indicates whether no elements are stored
- Boundary cases:
 - Attempting the execution of **dequeue** or **first** on an empty queue returns **null**

Example

<i>Operation</i>		<i>Output</i>	<i>Q</i>
enqueue(5)	—	(5)	
enqueue(3)	—	(5, 3)	
dequeue()	5	(3)	
enqueue(7)	—	(3, 7)	
dequeue()	3	(7)	
first()	7	(7)	
dequeue()	7	()	
dequeue()	<i>null</i>	()	
isEmpty()	<i>true</i>	()	
enqueue(9)	—	(9)	
enqueue(7)	—	(9, 7)	
size()	2	(9, 7)	
enqueue(3)	—	(9, 7, 3)	
enqueue(5)	—	(9, 7, 3, 5)	
dequeue()	9	(7, 3, 5)	

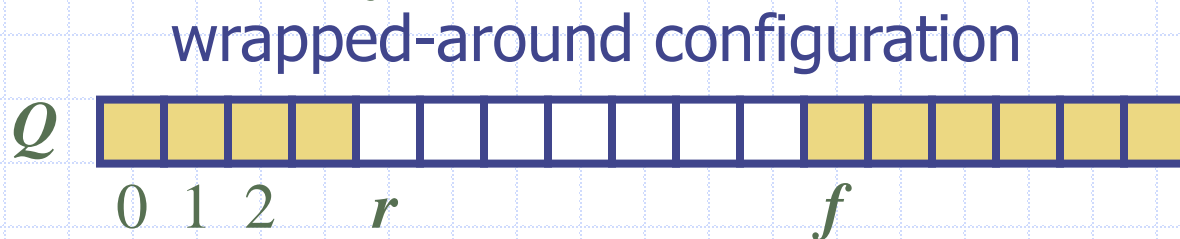
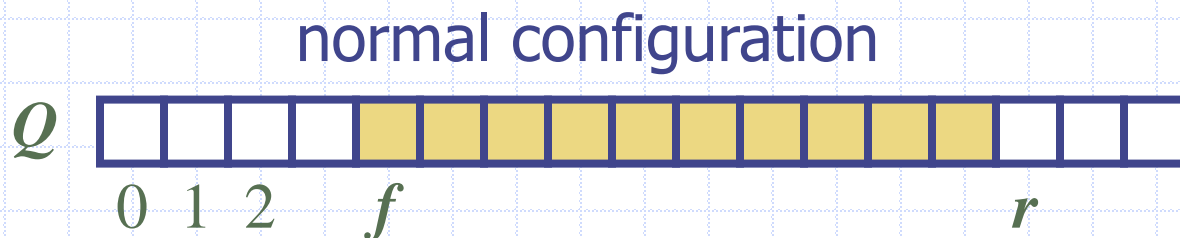


Applications of Queues

- Direct applications
 - **Waiting lists**, bureaucracy
 - **Access to shared resources** (e.g., printer)
 - **Multiprogramming**
- Indirect applications
 - Auxiliary data structure for algorithms
 - Component of other data structures

Array-based Queue

- Use an **array of size N** in a **circular fashion**
- Two variables keep track of the **front** and **size**:
 - f - index of the **front** element
 - sz - **number of stored elements**
- When the queue has fewer than N elements, array location $r = (f + sz) \bmod N$ is the first empty slot past the rear of the queue



- $N = 17$
 - $f = 4$
 - $sz = 10$
 - $r = 14$
- $f = 11$
- $sz = 10$
- $r = 4$

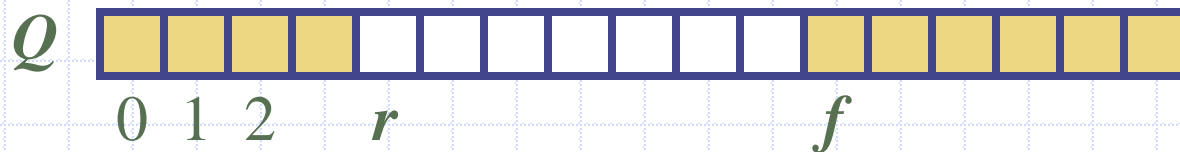
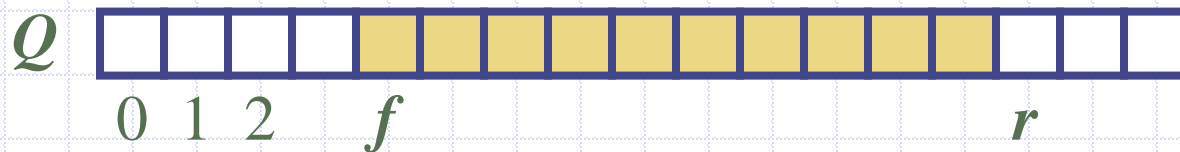
Queue Operations

- We use the **modulo** operator (remainder of division) to calculate r given f and sz :

$$r = (f + sz) \bmod N$$

Algorithm *size()*
return sz

Algorithm *isEmpty()*
return $(sz == 0)$



□ $N = 17$

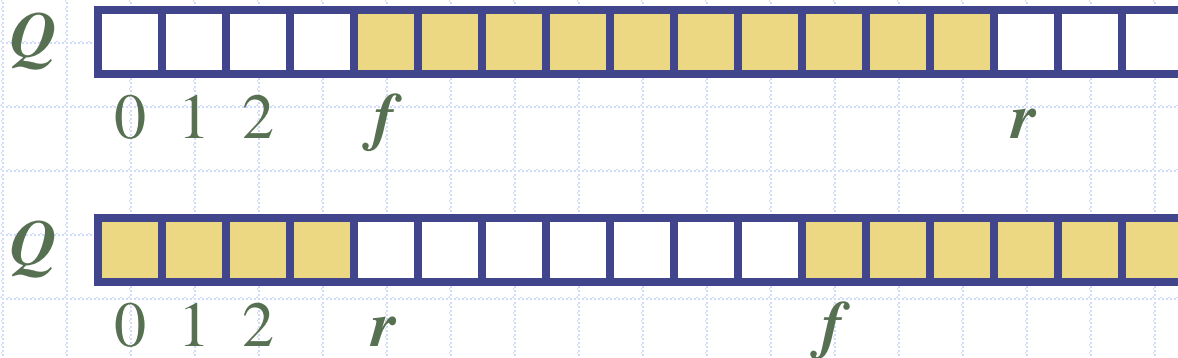
- $f = 4$
- $sz = 10$
- $r = 14$

- $f = 11$
- $sz = 10$
- $r = 4$

Queue Operations (cont.)

- ❑ Operation **enqueue** throws an exception if the array is full
- ❑ This exception is implementation-dependent

```
Algorithm enqueue(o)  
  if  $size() = N$  then  
    throw IllegalStateException  
  else  
     $r \leftarrow (f + sz) \bmod N$   
     $Q[r] \leftarrow o$   
     $sz \leftarrow (sz + 1)$ 
```



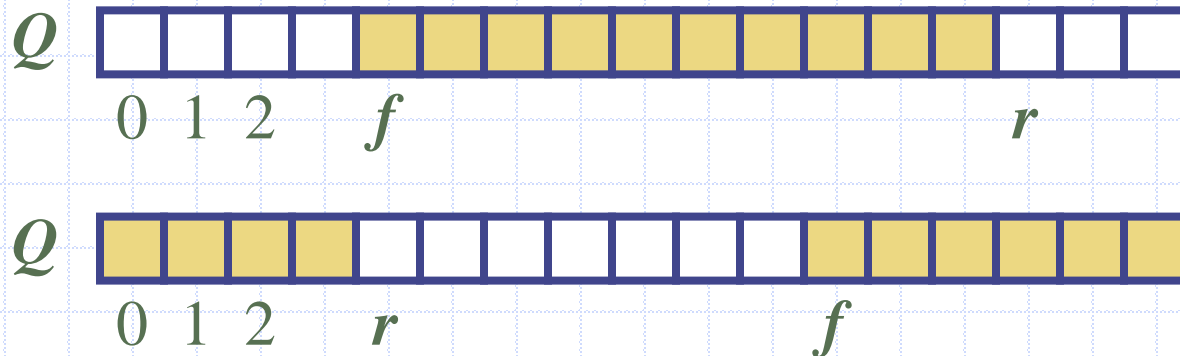
Queue Operations (cont.)

- Note that operation **dequeue** returns **null** if the queue is empty
- When we dequeue an element use:

$$f = (f + 1) \% N$$
to update f .

```

Algorithm dequeue()
  if isEmpty() then
    return null
  else
     $o \leftarrow Q[f]$ 
     $f \leftarrow (f + 1) \bmod N$ 
     $sz \leftarrow (sz - 1)$ 
    return  $o$ 
    
```



- $N = 17$
- $f = 4$ becomes $f = 5$.
- $f = 11$ becomes $f = 12$.

Queue Interface in Java

- ❑ Java interface corresponding to our Queue ADT
- ❑ Assumes that `first()` and `dequeue()` return `null` if queue is empty

```
public interface Queue<E> {  
    int size();  
    boolean isEmpty();  
    E first();  
    void enqueue(E e);  
    E dequeue();  
}
```

Array-based Implementation

```
1  /** Implementation of the queue ADT using a fixed-length array. */
2  public class ArrayQueue<E> implements Queue<E> {
3      // instance variables
4      private E[ ] data;           // generic array used for storage
5      private int f = 0;           // index of the front element
6      private int sz = 0;          // current number of elements
7
8      // constructors
9      public ArrayQueue() {this(CAPACITY);} // constructs queue with default capacity
10     public ArrayQueue(int capacity) {      // constructs queue with given capacity
11         data = (E[ ]) new Object[capacity]; // safe cast; compiler may give warning
12     }
13
14     // methods
15     /** Returns the number of elements in the queue. */
16     public int size() { return sz; }
17
18     /** Tests whether the queue is empty. */
19     public boolean isEmpty() { return (sz == 0); }
20
```

Array-based Implementation (2)

```
21  /** Inserts an element at the rear of the queue. */
22  public void enqueue(E e) throws IllegalStateException {
23      if (sz == data.length) throw new IllegalStateException("Queue is full");
24      int avail = (f + sz) % data.length;    // use modular arithmetic
25      data[avail] = e;
26      sz++;
27  }
28
29  /** Returns, but does not remove, the first element of the queue (null if empty). */
30  public E first() {
31      if (isEmpty()) return null;
32      return data[f];
33  }
34
35  /** Removes and returns the first element of the queue (null if empty). */
36  public E dequeue() {
37      if (isEmpty()) return null;
38      E answer = data[f];
39      data[f] = null;                // dereference to help garbage collection
40      f = (f + 1) % data.length;
41      sz--;
42      return answer;
43  }
```

Implementing a Queue with a Singly Linked List

```
1  /** Realization of a FIFO queue as an adaptation of a SinglyLinkedList. */
2  public class LinkedQueue<E> implements Queue<E> {
3      private SinglyLinkedList<E> list = new SinglyLinkedList<>(); // an empty list
4      public LinkedQueue() { } // new queue relies on the initially empty list
5      public int size() { return list.size(); }
6      public boolean isEmpty() { return list.isEmpty(); }
7      public void enqueue(E element) { list.addLast(element); }
8      public E first() { return list.first(); }
9      public E dequeue() { return list.removeFirst(); }
10 }
```

Code Fragment 6.11: Implementation of a Queue using a SinglyLinkedList.

- ❑ Each method of SinglyLinkedList class runs in $\mathcal{O}(1)$ worst-case time.
- ❑ Therefore, each method of our LinkedQueue adaptation also runs in $\mathcal{O}(1)$ worst-case time.

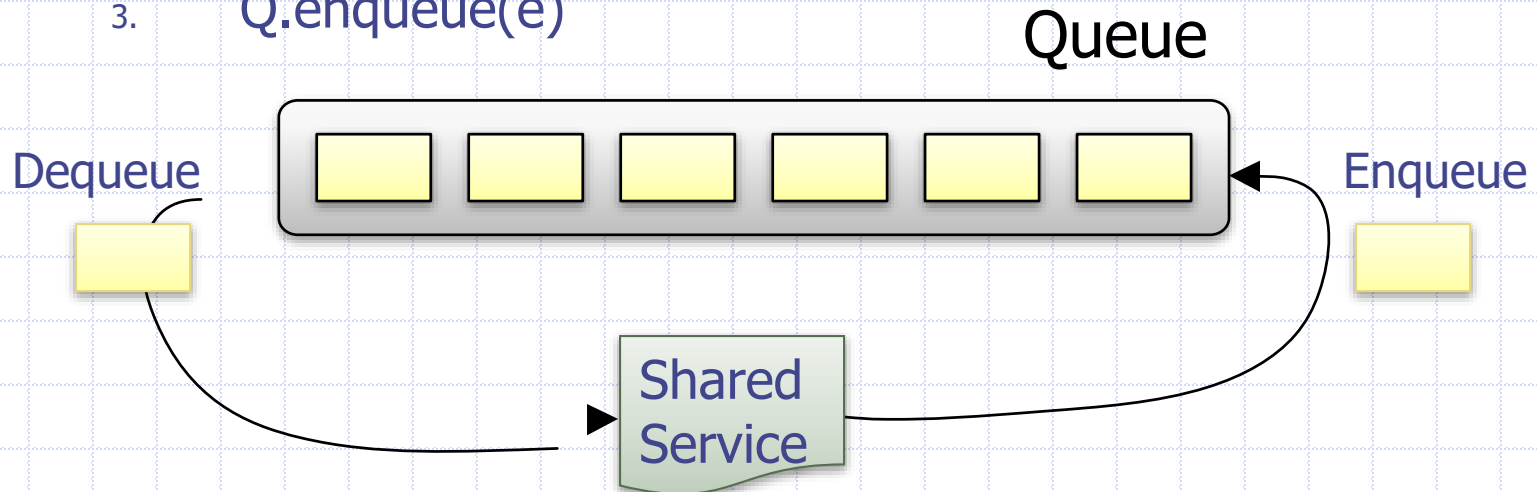
Comparison to java.util.Queue

- Our Queue methods and corresponding methods of java.util.Queue:

Our Queue ADT	Interface java.util.Queue	
	throws exceptions	returns special value
enqueue(<i>e</i>)	add(<i>e</i>)	offer(<i>e</i>)
dequeue()	remove()	poll()
first()	element()	peek()
size()	size()	
isEmpty()	isEmpty()	

Application: Round Robin Schedulers

- We can implement a round robin scheduler using a queue Q by repeatedly performing the following steps:
 1. $e = Q.dequeue()$
 2. Service element e
 3. $Q.enqueue(e)$



Double-Ended Queues

- A queue-like data structure that **supports insertion and deletion at both the front and the back of the queue.**
 - Such a structure is called a ***double-ended queue***, or ***deque***, which is usually pronounced “deck”.
- The Deque Abstract Data Type:
 - **addFirst(*e*)**: Insert a new element ***e*** at the front of the deque.
 - **addLast(*e*)**: Insert a new element ***e*** at the back of the deque.
 - **removeFirst()**: Remove and return the first element of the deque (or **null** if the deque is empty).
 - **removeLast()**: Remove and return the last element of the deque (or **null** if the deque is empty).

Double-Ended Queues

- Additionally, the deque ADT will include the following accessors:
 - **first()**: Returns the first element of the deque, without removing it (or **null** if the deque is empty).
 - **last()**: Returns the last element of the deque, without removing it (or **null** if the deque is empty).
 - **size()**: Returns the number of elements in the deque.
 - **isEmpty()**: Returns a boolean indicating whether the deque is empty.

Double-Ended Queues

```
public interface Deque<E> {  
    /** Returns the number of elements in the deque. */  
    int size( );  
    /** Tests whether the deque is empty. */  
    boolean isEmpty( );  
    /** Returns, but does not remove, the first element of the deque (null if empty). */  
    E first( );  
    /** Returns, but does not remove, the last element of the deque (null if empty). */  
    E last( );  
    /** Inserts an element at the front of the deque. */  
    void addFirst(E e);  
    /** Inserts an element at the back of the deque. */  
    void addLast(E e);  
    /** Removes and returns the first element of the deque (null if empty). */  
    E removeFirst( );  
    /** Removes and returns the last element of the deque (null if empty). */  
    E removeLast( );  
}
```

Double-Ended Queues

■ Implementing a Deque

- Using a Circular Array - a representation similar to the ArrayQueue class
- Using a Doubly Linked List
 - ◆ The DoublyLinkedList class from Section 3.4.1 already implements the entire Deque interface; we simply need to add the declaration “implements Deque<E>” to that class definition in order to use it as a deque.

■ Performance of the Deque Operations

- every method runs in $O(1)$ time.