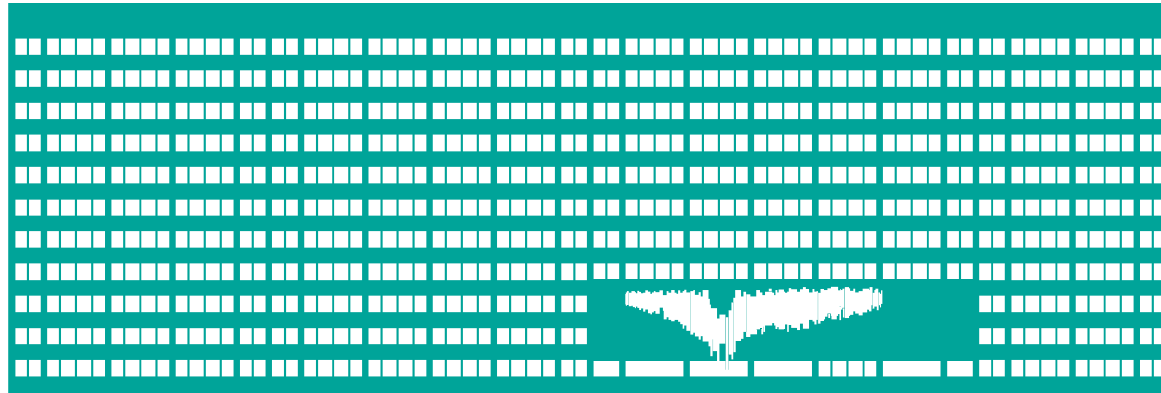


# Basic Principles of Data Transfer



## Computer Networks Lecture 1

# Classification of Data Transfers

# Classification According to the Direction of the Communication

- **Simplex** – signal can flow in only one direction
  - Example: TV broadcasting
- **Half duplex** – communication is possible in both directions, but only one direction at a time (i.e. not simultaneously)
  - Examples: "walkie-talkie" 2-way radio, Ethernet stations connected by a hub
- **Full duplex** – allows communication in both directions simultaneously
  - Example: Switched Ethernet

# Parallel and Serial Communication

- Parallel - multiple bits transmitted in parallel
- Serial – data are transmitted bit-by-bit
  - asynchronous
  - synchronous

In computer networks, the serial communication is mostly used

→ because of the cost of the cable plus the media interface circuitry and synchronization difficulties

# Asynchronous Serial Communication (1)

- Data are transmitted character by character (characters may have either 8, 7, 6 or 5 bits)
- Receiver and transmitter maintain their own (independent) clocks (of the same frequency)
- Before transmission of every single character, the phase of a receiver clock is synchronized (using the leading edge of the start bit)
- as the difference between the transmitter and receiver clocks still increases, we may transfer just a few bits without a need of resynchronization

# Asynchronous Serial Communication (2)

- The parity bit at the end of each character helps to detect (some types of) transmission errors
- A pause between characters is inevitable to give receiver a chance to process the character
  - A stop bit has to have an opposite polarity (1) than the start bit (0)
- The need of the synchronization before each character and inter-character pauses decrease the efficiency compared to synchronous communication
- Used for low-speed character-oriented communication
  - Terminals, industrial automation, PC COM ports
- Detailed explanation at [http://en.wikipedia.org/wiki/Asynchronous\\_serial\\_communication](http://en.wikipedia.org/wiki/Asynchronous_serial_communication)

# Synchronous Serial Communication (1)

- The receiver clock is derived from the received signal
  - the transmitter and receiver clocks always synchronized
- Data are transmitted in frames containing
  - Header
  - Payload
    - Variable-length (typically hundreds of bytes to a few kilobytes)
  - Frame checksum (FCS)
- Frames are delimited by flags in a transmitted bit stream

# Synchronous Serial Communication (2)

- If there are no data to transmit, the transmitter transmits just the empty frames (repeating flags)
- No need for reoccurring synchronization before every character
  - lower overhead
- Used for high-speed data transfers and on isochronous links
  - In LANs, on WAN links
  - ISDN channels



# **Processes Involved in Data Transmission**

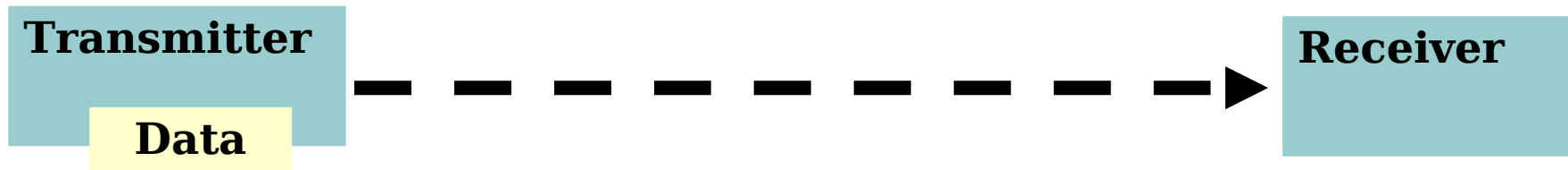
# How is the Transmitted Data Represented ?

The transmitted data are represented by changes of a suitable physical quantity, i.e. a signal  $s(t)$

The most commonly used physical quantities are

- Voltage (current)
- Intensity of the electromagnetic radiation (light)
- Sound pressure
- ...

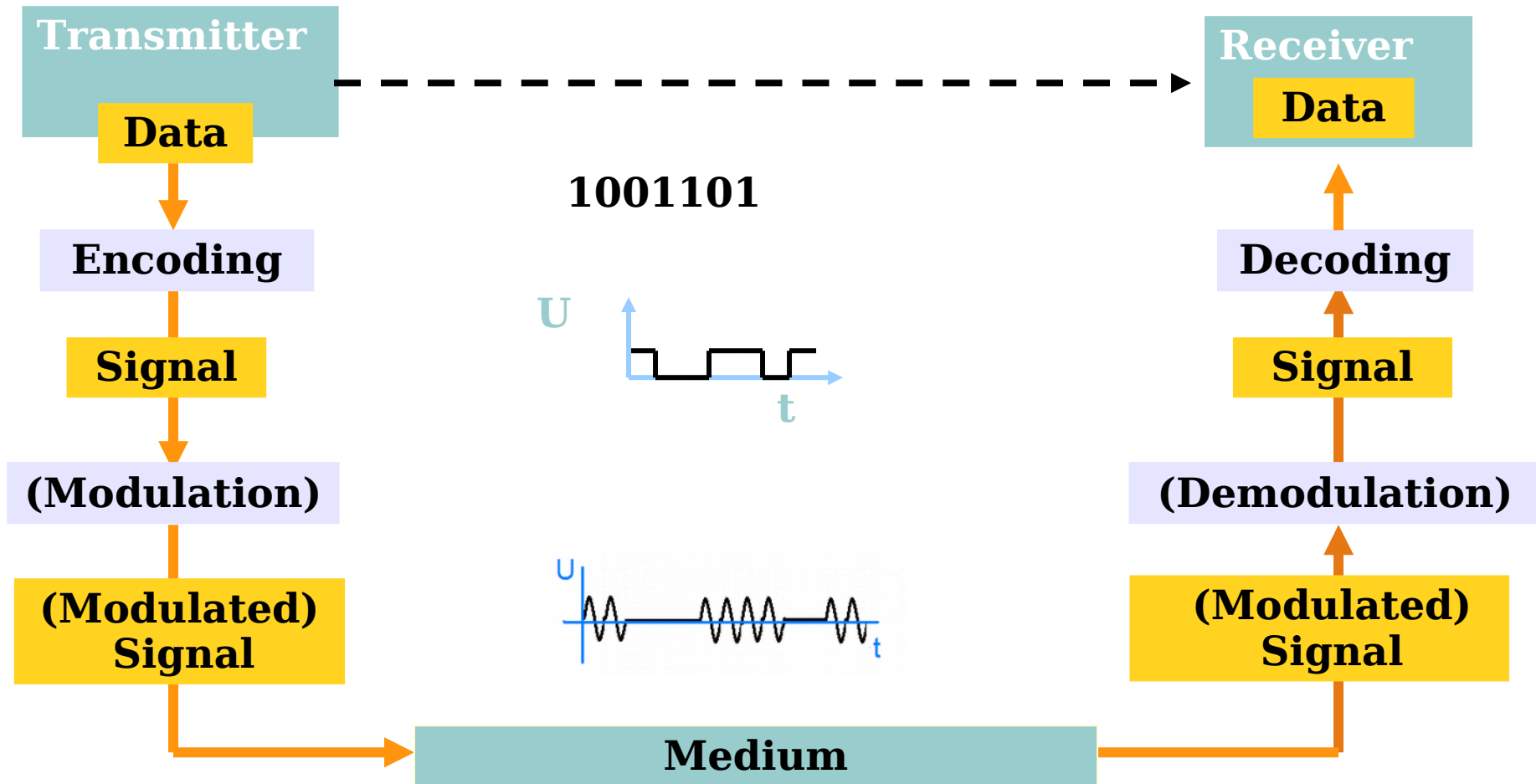
# Transmission Media



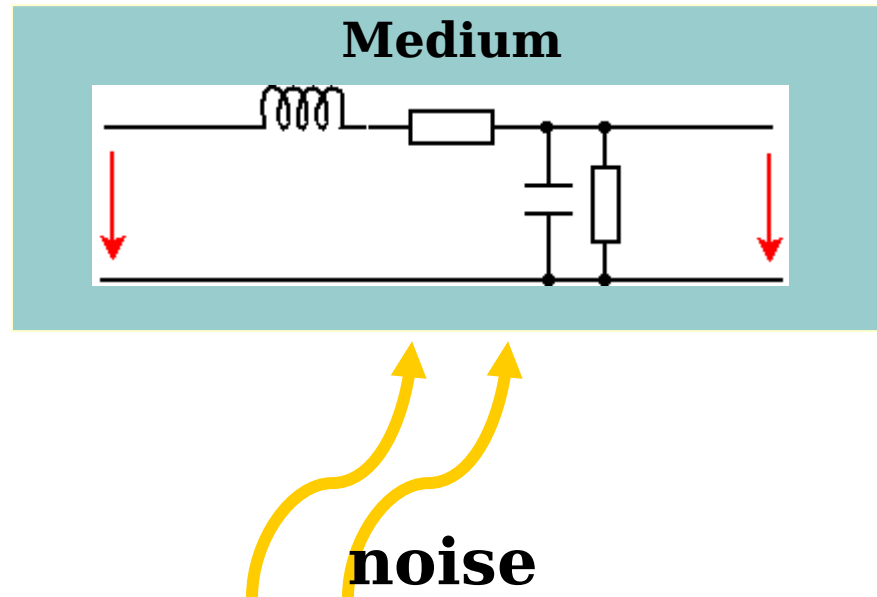
The signal travels along the medium (either guided or wireless)

- optical fiber
- twisted pair
- coaxial cable
- ...

# The Processes Involved in the Data Transmission

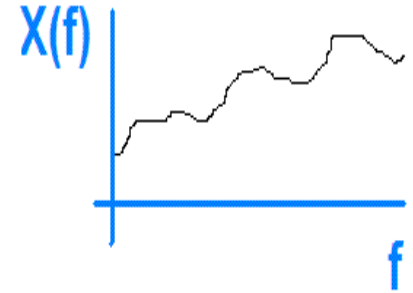


# How does the Transmission Medium Influence the Signal ?



# Characteristics of Transmission Media

- attenuation, crosstalk, (ACR)
- velocity of the signal propagation
- return loss
- ...



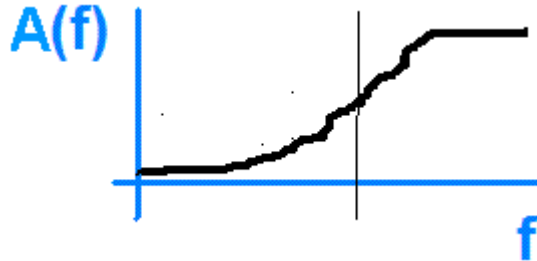
Media characteristics are frequency-dependent

⇒ We try to utilize as narrow frequency band as possible

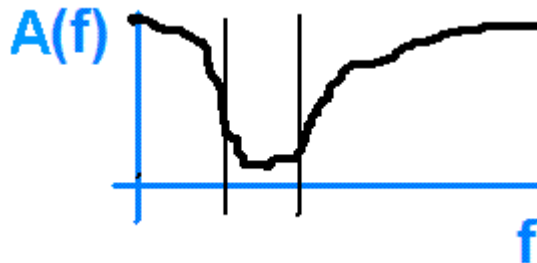
- so that the media characteristics do not differ too much over the whole band

# The Utilizable Frequency Band of the Medium

We use the medium in a frequency range where it has a desirable parameters



The medium behaves as a low-pass filter

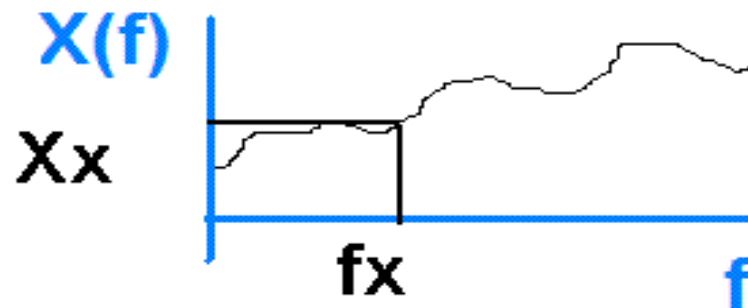


The medium behaves as a band-pass filter

There may also exist multiple utilizable frequency bands

# How is the Signal Influenced by the Medium Itself ?

- Sine-wave signal
  - contains just the single frequency
  - we may obtain the value of the particular parameter from the measured medium characteristics



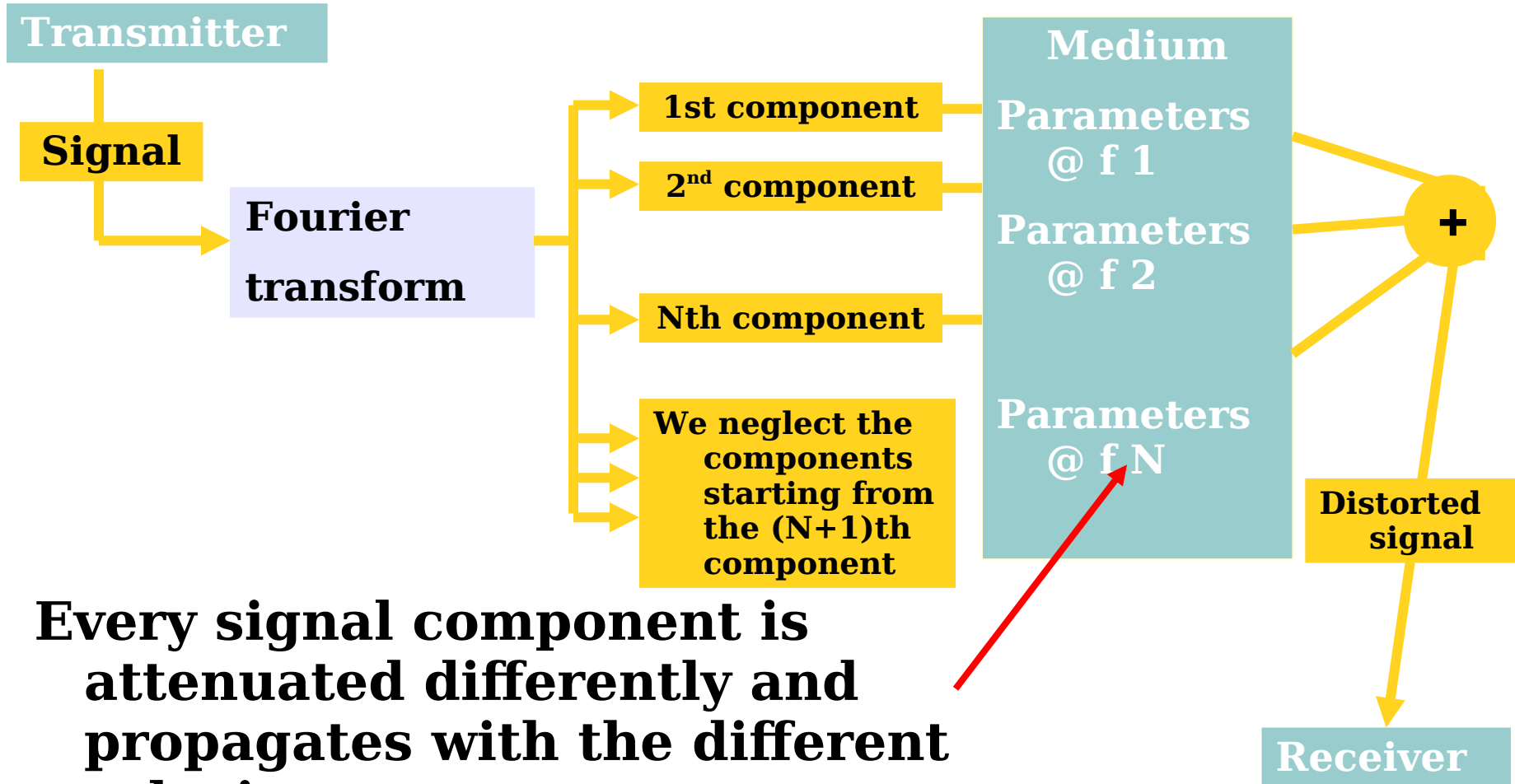
- What about general signal – ???



# Decomposition of the Signal into the Harmonic Components

- Any (periodic) signal may be treated as a sum of (an infinite number of) the sine-wave signals of various frequencies
  - multiples of the basic frequency
    - Individual signal components differ in amplitude and phase shift
  - Calculated using a Fourier series
- We may investigate how the medium influences the individual signal components and sum up the results

# Usage of Fourier Series to Investigate the Deformation of a General Signal



**Every signal component is attenuated differently and propagates with the different velocity**

# How to Decompose a Signal to Harmonic Components?

$$g(t) = \sum_{n:1}^{\infty} A_n \cdot \sin(n\omega t) + \sum_{n:1}^{\infty} B_n \cdot \cos(n\omega t) + \frac{1}{2}c$$

$$\omega = \frac{2\pi}{T}$$

$$A_n = \frac{2}{T} \int_0^T g(t) \cdot \sin(n\omega t) dt$$

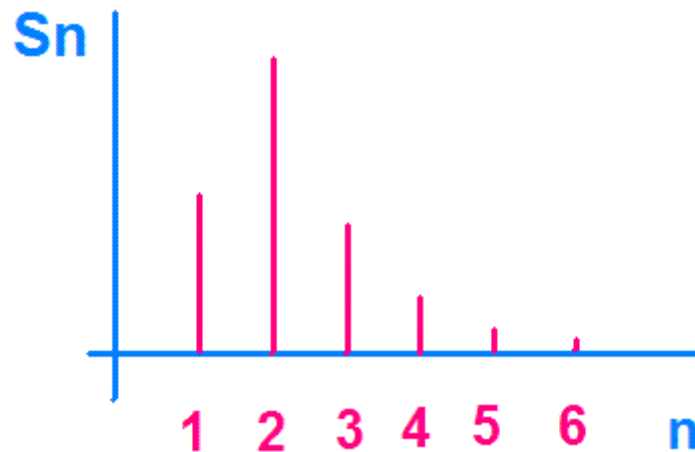
$$B_n = \frac{2}{T} \int_0^T g(t) \cdot \cos(n\omega t) dt$$

$$c = \frac{2}{T} \int_0^T g(t) dt$$

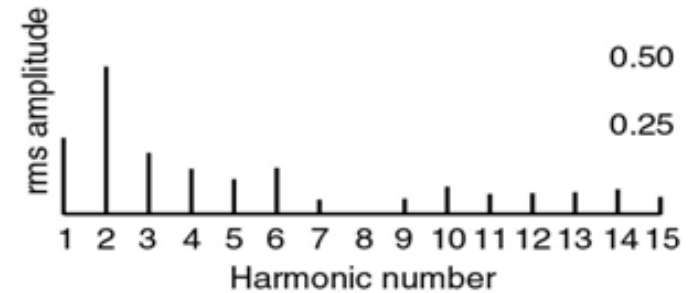
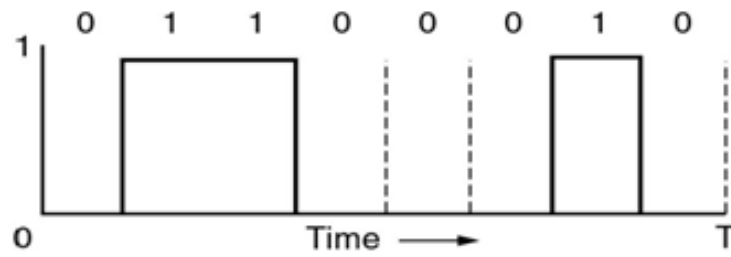
See [http://en.wikipedia.org/wiki/Fourier\\_series](http://en.wikipedia.org/wiki/Fourier_series) for more detailed explanation

# Frequency Spectrum of the Signal

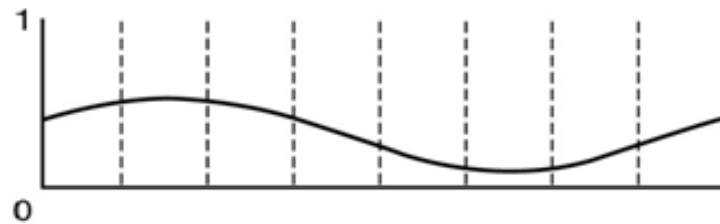
- Indicates how much power is carried by individual harmonic components
- Allows us to assess how much neglecting (filtering out) of some components influences the signal



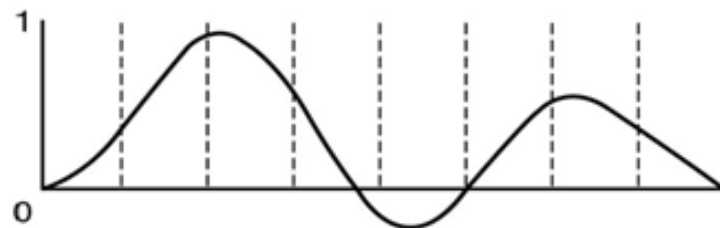
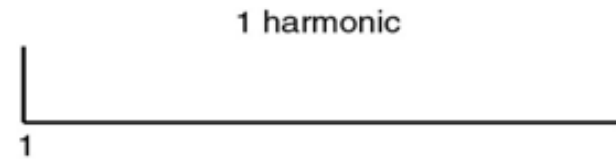
# An Example (1)



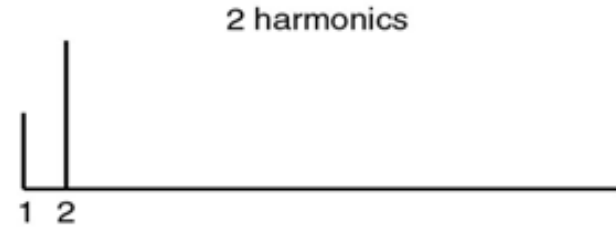
(a)



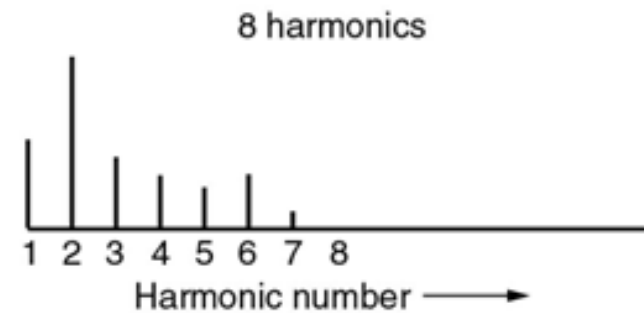
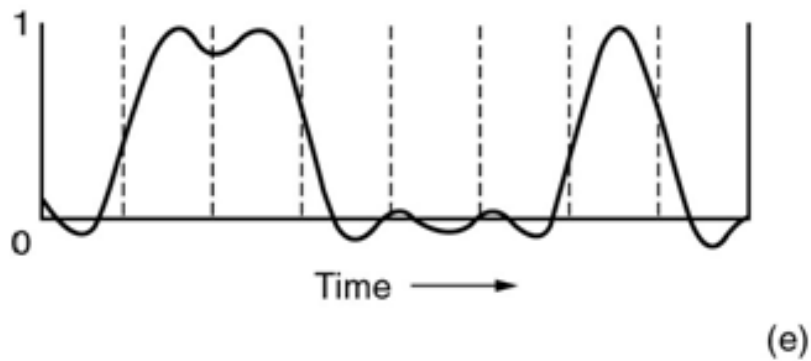
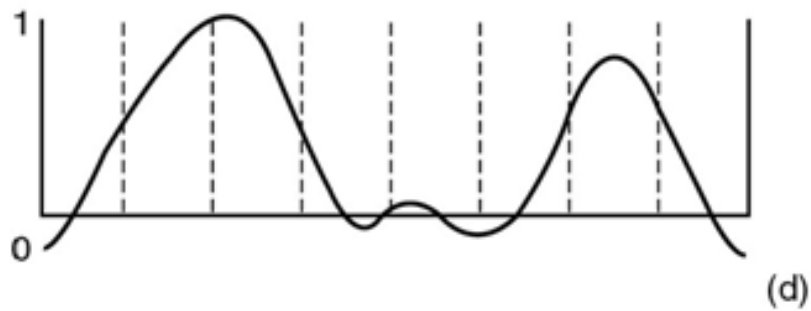
(b)



(c)



# An Example (2)



# Baseband and Broadband Transmission

# Baseband and Broadband Transmission Comparison

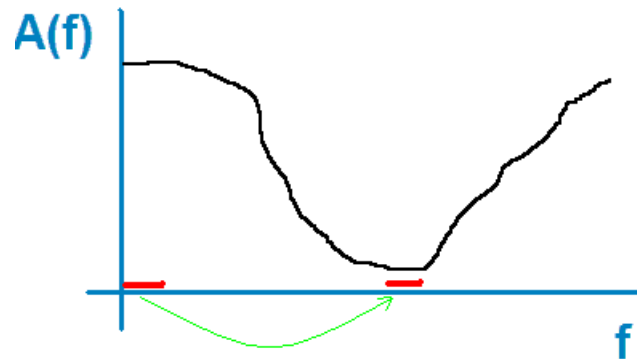
- Baseband
  - Utilizes the full bandwidth of the medium
  - The signal can include frequencies that are very near zero
- Broadband
  - Uses a specific part of the utilizable bandwidth of the medium
    - Multiple communications may share the medium at the same time
    - Avoids usage of subbands with unsuitable characteristics
      - Static or dynamic selection



# Broadband Transmission

# The Principle of the Broadband Transmission

- The signal have to be shifted to a frequency band suitable for transmission over a particular medium using the modulation



- Also solves the problems with channels that cannot pass the DC component

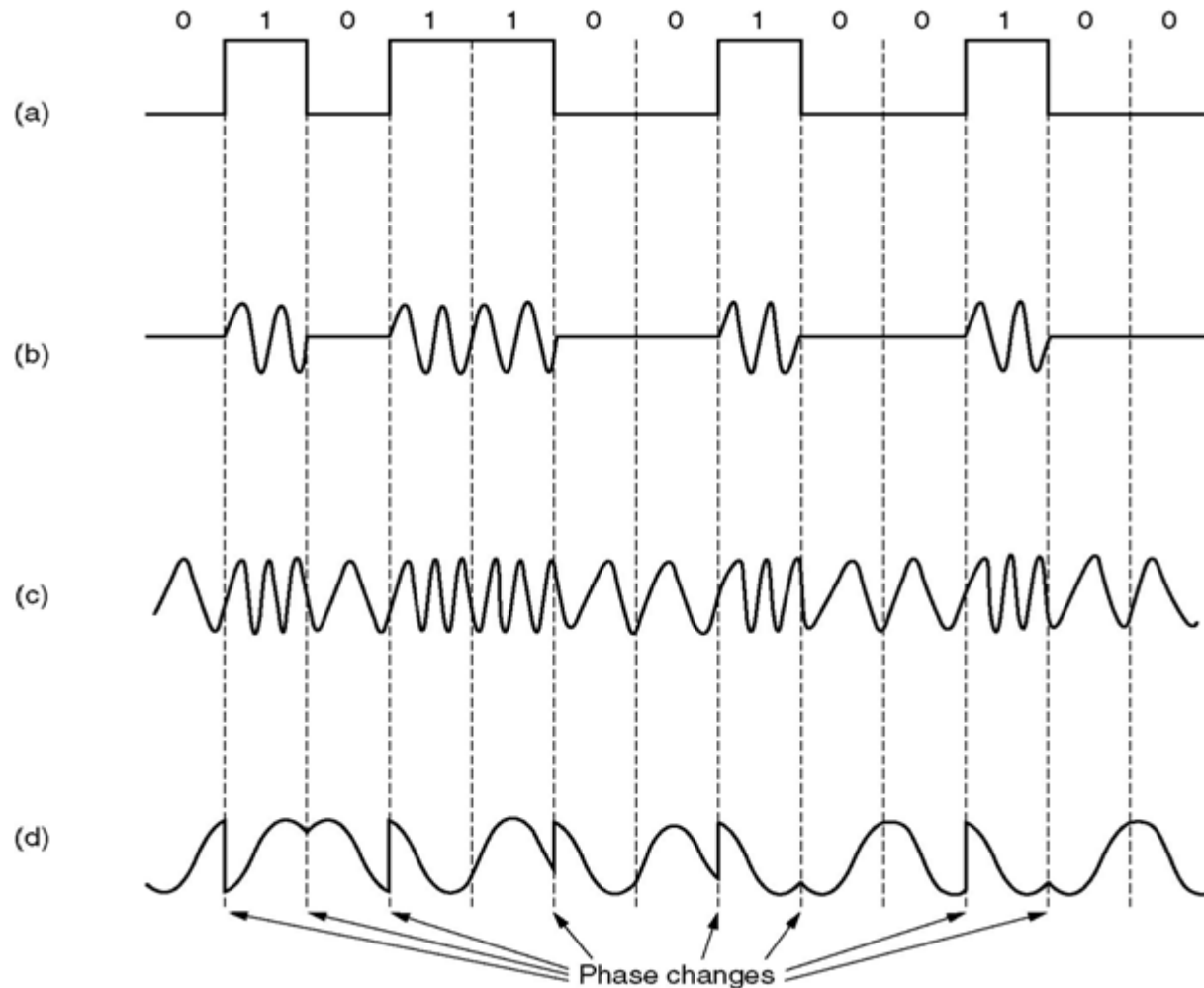
# The Principle of the Modulation Process

We choose a sine-wave carrier signal with a frequency suitable for transmission over the given medium

$$s(t) = A \cdot \sin(\omega t + \phi)$$

- Then we change the carrier signal parameters to represent data bits being transmitted
  - amplitude
  - frequency
  - phase
  - combination of the above

# Amplitude, Frequency and Phase Modulation



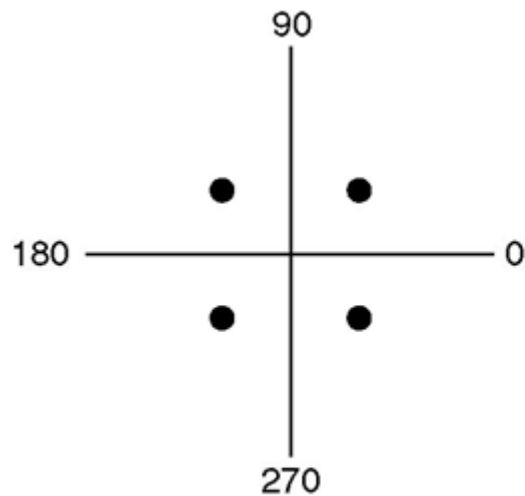
# Phase Modulation

## (Phase-Shift Keying, PSK)

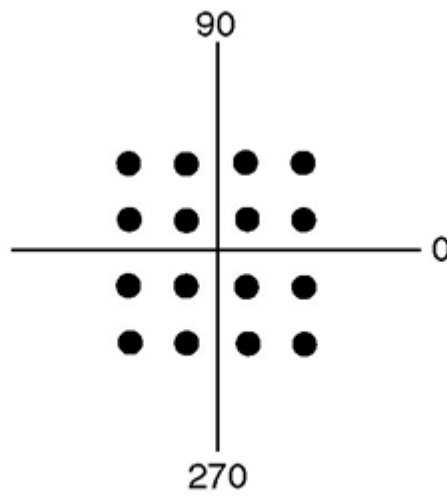
- If we have  $2^n$  possible phase changes, we may encode  $n$  bits using one signal change
- e.g. encode 2 simultaneously data bits by changing the signal by either 45, 135, 225 or 315 degrees (4 options)
- The number of possible signal change options is limited by capability of the receiver circuitry to differentiate between them

# Quadrature Amplitude Modulation (QAM)

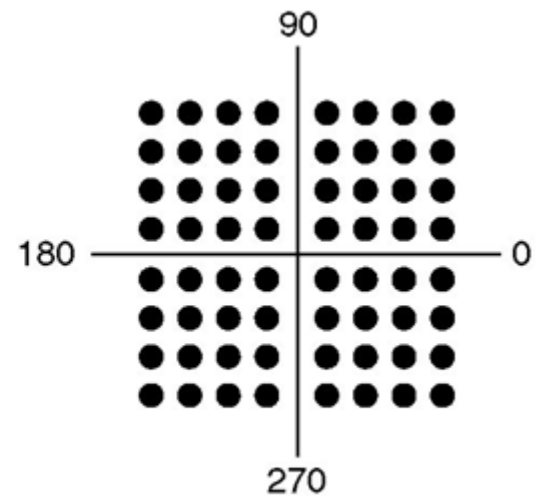
Combines together the amplitude and phase shifts



(a)



(b)



(c)

# Transfer Rate vs. Modulation Rate

- **Modulation Rate** = number of changes of a signal during a time interval
  - Measured in bauds [Bd]
- **Transfer Rate** = number of bits transferred during a time interval
  - Measured in bits per seconds [bps]

The transfer rate can be higher than the modulation rate, as we may represent multiple bits by a single signal change

- provided that we have enough types of the signal changes

# Baseband Transmission



# Principle of the Baseband Transmission

- The encoded bit stream is transmitted in the original frequency band
  - modulation is not used
- Commonly used for metallic media in LANs and optical media in both LANs and WANs
  - the distance is limited due to unsuitable characteristics of the medium in some parts of the utilized frequency band
- If the modulation is not used, we need another mechanism of the phase synchronization between transmitter and receiver => **data encoding**

# Data Encoding for Baseband Transmission

- To give a receiver a chance to synchronize with a transmitter, we need to ensure enough changes of the signal
  - necessary for the phase synchronization and continuous adjustment of the receiver clock
  - but a signal with more changes during a time interval contains higher frequencies and thus requires wider frequency band
- Removes the DC component
  - If the coupling circuitry (such as a transformer) does not pass the DC component, we would not be able to differentiate between a sequence of 0's and a sequence of 1's

Do not confuse the data encoding with data encryption applied for security purposes

# Non Return to Zero Encoding (NRZ)

- 0s and 1s are encoded directly by a low and high signal levels during the whole bit interval
  - binary 0: low signal level
  - binary 1: high signal level

# Problems with NRZ Encoding

- If the DC component is not passed, we cannot differentiate a sequence of 0's and a sequence of 1's



- In case of a long sequence of 0's or 1's the receiver cannot maintain the time synchronization
  - „Did we receive 1000 or 1001 zeros”

# The Encodings Most Used in Baseband Transmission

See [http://en.wikipedia.org/wiki/Line\\_code](http://en.wikipedia.org/wiki/Line_code)

# Manchester, Differential Manchester

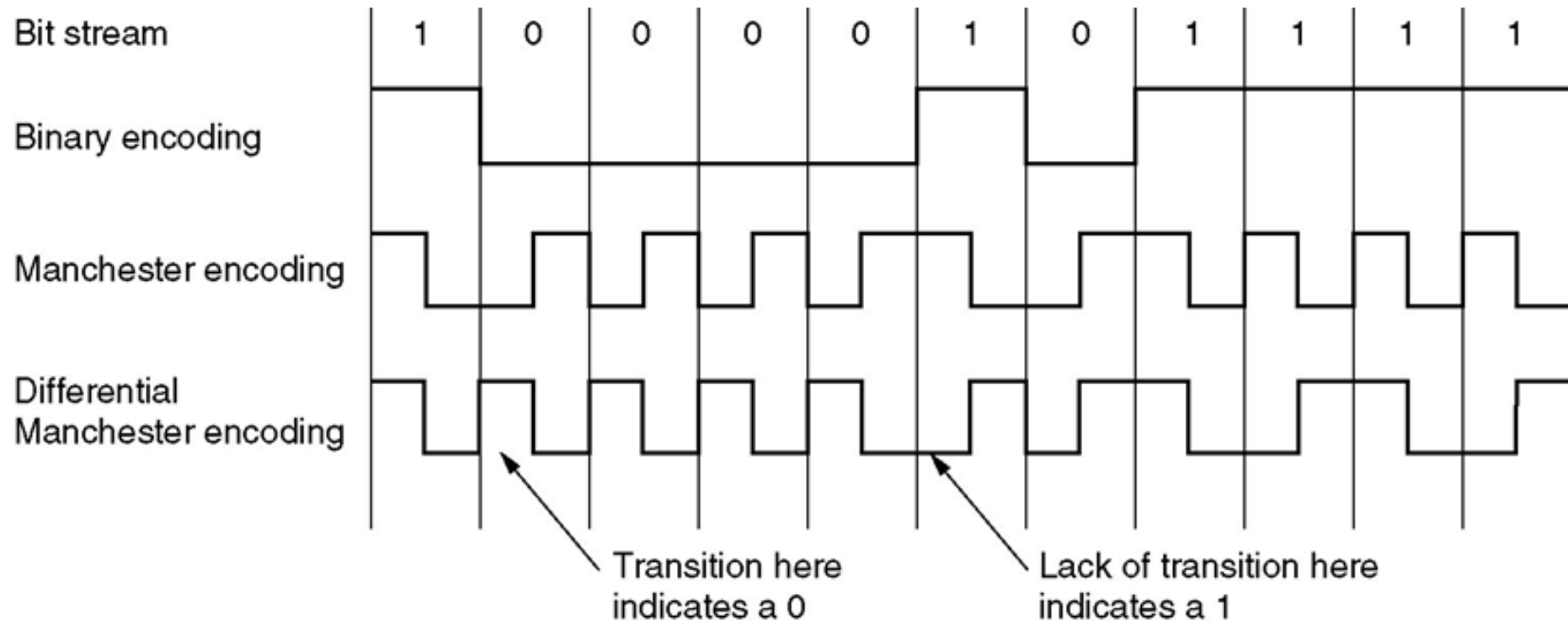
- Manchester

- A 1 is expressed by a low-to-high transition at the middle of the period, a 0 by a high-to-low transition
- Transitions at the start of a period are made as necessary and don't signify data
- Used in 10Mbps Ethernet (on copper media)

- Differential Manchester

- A 0 is expressed as a signal change at the beginning of a period, a 1 as an unchanged value
- There is always a transition at the middle of the period (either low-to-high or high-to low as necessary to encode the subsequent bit)

# Manchester and Differential Manchester - An Example



# Return Zero (RZ)

- Three signal levels (0, -1, +1)
- The first half of the bit interval encodes the data bit value
  - +1 represents binary 1
  - -1 represents binary 0
- The signal is always on the level 0 in the second half of the bit interval



# Non Return to Zero Inverted (NRZI)

- Two signal levels
- Change of the signal encodes binary 1
- To encode binary 0 the signals keeps the original level

# Alternate Mark Inversion (AMI)

- 3 signal levels (0, +1, -1)
  - Binary 0: level 0
  - Binary 1: represented alternately by +1 a -1 levels
- By violation of polarity alternation rule, we may mark a significant event in the data stream
  - e.g. the beginning/end of a frame, as in ISDN BRI S/T interface
- There is still a problem to maintain receiver synchronization during long sequences of transmitted 0s

# HDB3

- Modification of AMI
  - Solves the problem of loosing the synchronization during sequences of 0s
  - Inserts 1 after 3 consecutive 0s
  - The inserted 1 is identified by violation of polarity alternation rule
- Used on PCM E1-E3 links
  - Digital links between telephony COs

# Code Mark Inversion (CMI)

- Used to transfer AMI/HDB3 over optical lines
  - Optical lines do not allow to use 3 levels (just „light/darkness“)
- The one of the original 3 signal levels is encoded as a combination of two bits
  - one combination remains unused

## 4B5B (5B6B, ...)

- Groups of 4 bits are mapped to (a chosen) 5-bit marks
  - Similarly, 5 bits may be mapped to (a chosen) 6-bit marks etc.
- Marks are chosen with regard to a reasonable number of changes and balancing of the resulting signal
  - i.e. all 0s, all 1s are excluded
- Some marks are used to represent significant states
  - frame beginning and end, idle link
- Usage example: Fast Ethernet

## 2B1Q

- 2 bits are represented as one of the 4 amplitude levels
- Usage example: U interface of ISDN BRI