

HighRiskTraderList

CONFIDENTIAL REPORT

Subject: HighRiskTraderList

Acme Finance HQ

Report Code: HRTL-0021-ACME

Date: April 1, 2023

Introduction:

In response to management's request, this confidential report provides detailed insights into high-risk trading activities. It includes a comprehensive list of traders associated with our organization who have displayed trading behaviors at odds with our risk management policies.

High-Risk Trader List:

To respect privacy standards, we've replaced the names of traders with individual alphanumeric codes:

1. Trader XJ12A: Engages in high-frequency trading which escalates transaction costs.
2. Trader YT67B: Frequently uses high leverage despite moderate equity capital.
3. Trader ZH33C: Continually trades volatile stocks and often dismisses market advice.
4. Trader QW89D: Often breaches risk mitigation rules and dismisses stop loss limits.
5. Trader EE56E: Shows erratic trading tactics with no specified strategy, thus heightening default

risk.

Risk Assessment & Measures:

Our intensive evaluation confirms that these traders pose substantial risks to Acme Finance due to their unpredictable trading practices. It is critical to employ rigorous preventive measures to reduce these risks.

We suggest implementing stricter risk compliance checks, delivering extensive education on beneficial trading attitudes, and reassessing the leverage capabilities given to these traders.

Specifically, we advise an immediate revision of margin and credit limit for Trader EE56E, who represents a significant default risk.

Confidentiality Statement:

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Prepared by:

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Signature:

Date: _____