# DPC for IHM

#### Tudor Oancea

Jun 2024

## 1. System definition

We consider a simple kinematic bicyle model with the classical 4 DOF state  $x = (X, Y, \varphi, v)$  and control input  $u = (T, \delta)$ , where X, Y are the position of the car,  $\varphi$  its heading, v its absolute velocity, T the throttle command, and  $\delta$  the steering angle. The dynamics are given by the following ODE:

$$\dot{X} = v \cos(\varphi + \beta), 
\dot{Y} = v \sin(\varphi + \beta), 
\dot{\varphi} = v \frac{\sin(\beta)}{L}, 
\dot{v} = \frac{F_x}{m},$$
(1)

where  $\beta=\frac{1}{2}\delta$  denotes the kinematic slip angle, L the wheelbase, m the mass of the car, and  $F_x=C_mT-C_{r0}-C_{r1}v_x-C_{r2}v_x^2$  the longitudinal force applied to the car.

In the following, we will denote  $n_x = 4$  the state dimension,  $n_u = 2$  the control dimension.

### 2. NMPC formulation

The optimal control problem (OCP) designed to track a state reference  $\{x_k^{\text{ref}}\}_{k=0}^{N_f}$  starting from a current state  $x_0$  reads:

$$\begin{split} & \text{min} \quad F\Big(x_{N_f}, x_{N_f}^{\text{ref}}\Big) + \sum_{k=0}^{N_f-1} l\Big(x_k, u_k, x_k^{\text{ref}}\Big) \\ & \text{s.t.} \quad x_{k+1} = f(x_k, u_k), \ k = 0, ..., N_f - 1, \\ & -T_{\text{max}} \leq T_k \leq T_{\text{max}}, \ k = 0, ..., N_f - 1, \\ & -\delta_{\text{max}} \leq \delta_k \leq \delta_{\text{max}}, \ k = 0, ..., N_f - 1, \end{split} \tag{2}$$

where  $l(x, u, x^{\text{ref}})$  denotes the stage cost,  $F(x, x^{\text{ref}})$  the terminal cost, and f the discretized dynamics coming from the ODE above.

To define the costs, we define:

• the *longitudinal* and *lateral* position errors

$$\begin{split} e_{\rm lon} &= \cos \left(\varphi^{\rm ref}\right) \left(X - X^{\rm ref}\right) + \sin \left(\varphi^{\rm ref}\right) \left(Y - Y^{\rm ref}\right), \\ e_{\rm lat} &= -\sin \left(\varphi^{\rm ref}\right) \left(X - X^{\rm ref}\right) + \cos \left(\varphi^{\rm ref}\right) \left(Y - Y^{\rm ref}\right) \end{split} \tag{3}$$

that correspond to rotated versions of the absolute position errors  $e_{X,k} = (X_k - X_k^{\text{ref}})$  and  $e_{Y,k} = (Y_k - Y_k^{\text{ref}})$ .

Using longitudinal and lateral errors instead of absolute errors allows us to tune the associated costs independently. Furthermore, these variables also allow us to formulate track constraints as

$$e_{\text{lat,min,k}} \le e_{\text{lat,k}} \le e_{\text{lat,max,k}}, \ k = 0, \dots, N_f. \tag{4}$$

Note however we do not make use of them in the current implementation.

• the reference throttle as the steady state contol input for a certain reference velocity  $v^{\rm ref}$ 

$$T^{\text{ref}} = \frac{1}{C_m} \left( C_{r0} + C_{r1} v^{\text{ref}} + C_{r2} v^{\text{ref}^2} \right)$$
 (5)

The stage and terminal costs then read

$$\begin{split} l\big(x,u,x^{\text{ref}}\big) &= q_{\text{lon}}e_{\text{lon}}^2 + q_{\text{lat}}e_{\text{lat}}^2 + q_{\varphi}\big(\varphi - \varphi^{\text{ref}}\big)^2 + q_{v}\big(v - v^{\text{ref}}\big)^2 + q_{T}\big(T - T^{\text{ref}}\big)^2 + q_{\delta}\delta^2, \\ F\big(x,x^{\text{ref}}\big) &= q_{\text{lon},f}e_{\text{lon}}^2 + q_{\text{lat},f}e_{\text{lat}}^2 + q_{\varphi,f}\big(\varphi - \varphi^{\text{ref}}\big)^2 + q_{v,f}\big(v - v^{\text{ref}}\big)^2. \end{split} \tag{6}$$

The OCP defined in Equation 2 is solved in closed-loop in a receding horizon fashion, using state references generated by a separate motion planner which:

- computes an offline reference trajectory by fitting a cubic spline to a set of waypoints on the center line of the track,
- generates online state references by projecting the current position on the interpolated center line and generates a set of discrete points based on a constant velocity profile and a heading given by the tangent of the center line.

### 3. DPC formulation

The objective of DPC is to learn an explicit control policy

$$\pi: \mathbb{R}^{n_x} \times \mathbb{R}^{n_x \times (N_f+1)} \rightarrow \mathbb{R}^{n_u \times N_f}, \left(x_0, \left\{x_k^{\mathrm{ref}}\right\}_{k=0}^{N_f}\right) \mapsto \left\{u_k\right\}_{k=0}^{N_f-1} \tag{7}$$

that returns the optimal solution of Equation 2. We model this policy by a neural network (NN)  $\pi_{\theta}$  with parameters  $\theta$ , that we will train in an unsupervised manner.

The learning procedure is based on a dataset of