

## **Active Filter Circuits**

- They are active circuits that employ opamps
  - ↳ having certain advantages over passive circuits.
- For example, active circuits can produce bandpass and bandreject filters
  - ↳ without using inductors which are large, heavy, costly.
- Passive filters are in general incapable of amplification
  - ↳ the output magnitude does not exceed the input magnitude.

However ;

- Active filters provide a control over amplification
  - ↳ NOT available in passive filter circuits.

Recall that ;

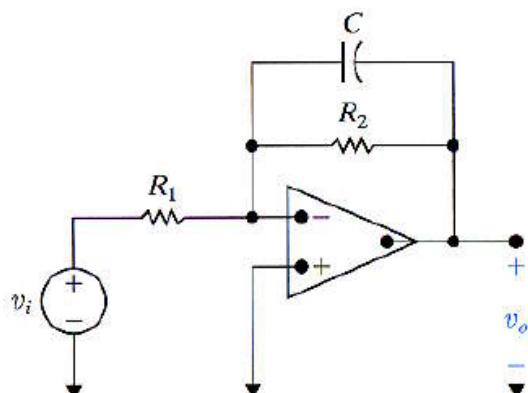
- Both the cutoff frequencies and the passband magnitude of passive filters change
  - ↳ with the addition of resistive load at the output of the filter.
- This is NOT the case with active filters
  - ↳ due to the properties of opamps.

Hence ;

- We use active circuits to implement filter designs
  - ↳ when gain, load variation and physical size are important parameters in the design specifications.

## **First-order-low-pass and high-pass filters**

- Let us consider the following circuit.



- At very low frequencies, the capacitor acts like an open circuit

↳ the op amp circuit behaves like an amplifier with a gain of  $-R_2/R_1$

- At very high frequencies, the capacitor acts like a short circuit

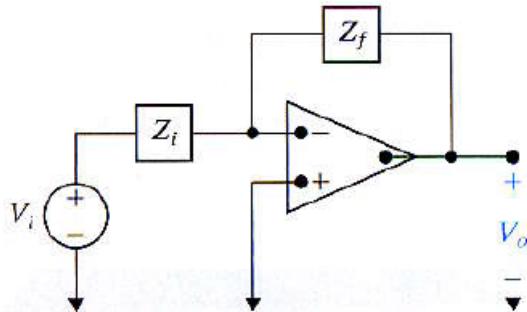
↳ the output of the op amp circuit is connected to the ground.

Hence ;

- The above op amp circuit functions as a low pass filter.

### **qualitative analysis**

- We have



$$Z_i = R_1$$

$$Z_f \equiv R_2 // \left( \frac{1}{sC} \right)$$

$$= \frac{R_2/sC}{R_2 + \frac{1}{sC}} = \frac{R_2}{sR_2C + 1}$$

$$H(s) = \frac{V_o(s)}{V_i(s)} = \frac{-Z_f}{Z_i} = \frac{-R_2}{sR_1R_2C + R_1} = -K \frac{\omega_c}{s + \omega_c}$$

$$\text{where } K = R_2/R_1, \omega_c = 1/R_2C$$

Note that ;

- Active low-pass filter equation has the same form

↳ as the general equation for passive low pass filter.

However ;

- the gain in the passband,  $K$  is set by  $R_2/R_1$

↳ the pass band gain and the cutoff frequency are specified independently.

### **Bode plots**

- A special type of frequency response plots

↳ which differ from the frequency response plots in two important ways.

1. A Bode plot uses a logarithmic axis for the frequency values

↳ allowing to plot a wider range of frequencies.

2. The Bode magnitude is plotted in decibels ( $dB$ ) versus the log of the frequency

$$\rightarrow 20 \log_{10} |H(j\omega)| \equiv A_{dB}$$

Thus ;

$$|H(j\omega)| = 1 \Rightarrow A_{dB} = 0$$

$$0 < |H(j\omega)| < 1 \Rightarrow A_{dB} < 0$$

$$|H(j\omega)| > 1 \Rightarrow A_{dB} > 0$$

$$|H(j\omega_c)| = \frac{1}{\sqrt{2}} \Rightarrow A_{dB} = 20 \log_{10} \frac{1}{\sqrt{2}} = -3dB$$

### **What does $-3dB$ imply ?**

- We define the cutoff frequency of a filter

$\rightarrow$  by determining frequency at which the maximum magnitude of the transfer function in  $dB$  has been reduced by  $-3dB$ .

**Ex.** Calculate the values for  $C$  and  $R_2$  with  $R_1 = 1 \Omega$  to produce a low-pass filter having

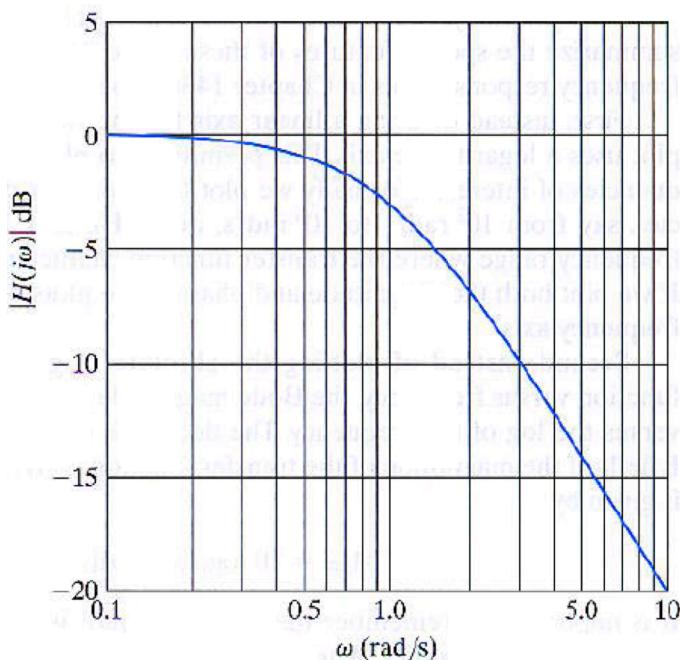
$$K = 1 , \omega_c = 1 rad/sec$$

Sketch the Bode magnitude plot.

$$R_2 = KR_1 = 1.1 = 1 \Omega$$

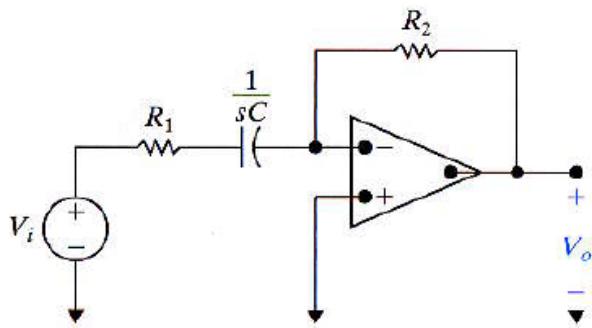
$$C = \frac{1}{R_2 \omega_c} = \frac{1}{1.1} = 1 F$$

$$\Rightarrow H(s) = -K \frac{\omega_c}{s + \omega_c} = -\frac{1}{s + 1} , |H(j\omega_c)| = \frac{1}{\sqrt{\omega^2 + 1}}$$



### High-pass filter

- Consider now



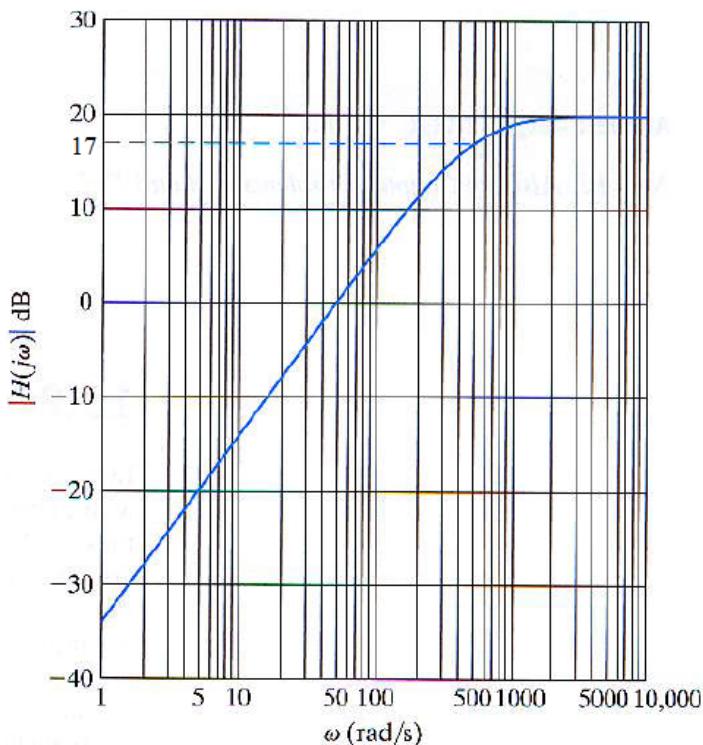
- We have

$$\begin{aligned}
 H(s) &= \frac{-Z_f}{Z_i} = \frac{-R_2}{R_1 + \frac{1}{sC}} \\
 &= -K \frac{s}{s + \omega_c} \quad \text{"same as the eqn. for passive high-pass filter"}
 \end{aligned}$$

where

$$K = \frac{R_2}{R_1}, \quad \omega_c = \frac{1}{R_1 C}$$

Ex. Given the Bode magnitude plot and using the active high-pass filter, calculate  $R_1$  and  $R_2$  with  $C = 0.1 \mu F$



- The gain in the passband is 20 dB then  $K = 10$
- and the 3dB point is 500 rad/sec

Hence ;

$$H(s) = \frac{-10s}{s + 500} = \frac{-(R_2/R_1)s}{s + (1/R_1C)}$$

$$\Rightarrow \frac{1}{R_1C} = 500 \quad \Rightarrow \quad R_1 = \frac{1}{0.1 \cdot 10^{-6} \cdot 500} = 20 \text{ k}\Omega$$

$$\Rightarrow \frac{R_2}{R_1} = 10 \quad \Rightarrow \quad R_2 = 200 \text{ k}\Omega$$

### Scaling

- We usually make computations for filter circuits

 using convenient values of  $R, L$  and  $C$  such as 1  $\Omega$ , 1 H and 1 F.

- A designer can then transform the convenient values into realistic values

 using “scaling”.

### magnitude scaling

- We scale a circuit in magnitude

 by multiplying the impedance at a given frequency with the scale factor,  $K_m > 0$

$$R' = k_m R \quad , \quad L' = k_m L \quad \text{and} \quad C' = C/k_m$$

### frequency scaling

- We change the circuit parameters so that

 at the new frequency, the impedance of each element is the same as it was at the original frequency.

Hence ;

- If we let  $K_f > 0$  denote the scale factor, then

$$R' = R \quad , \quad L' = L/k_f \quad \text{and} \quad C' = C/k_f$$

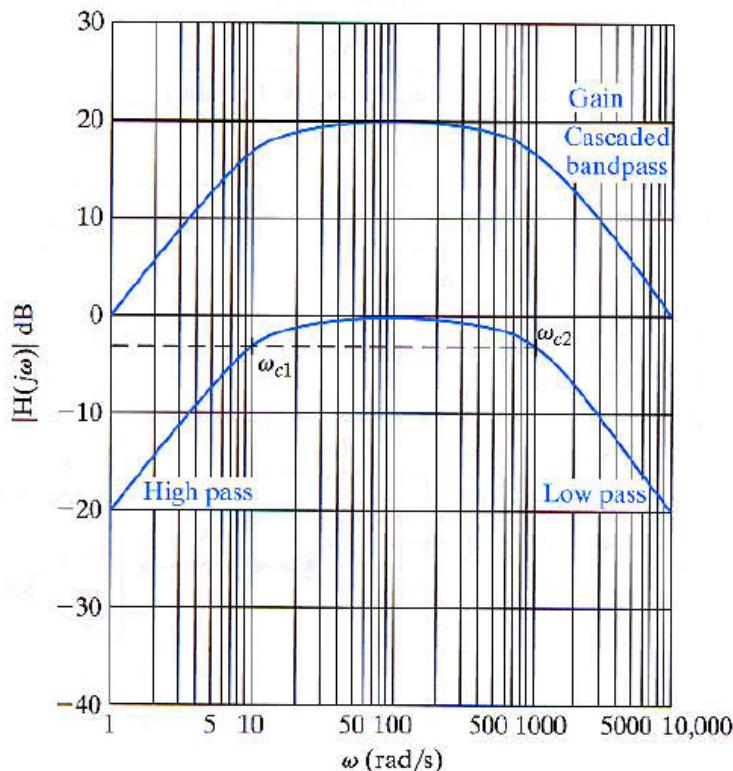
## Simultaneous scaling

- A circuit can be scaled simultaneously in magnitude and frequency

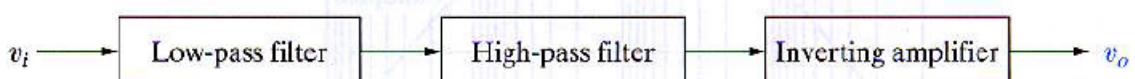
$$R' = k_m R \quad , \quad L' = \frac{k_m}{k_f} L \quad , \quad C' = \frac{1}{k_m k_f} C$$

## Op amp bandpass filter

- An initial approach is motivated by the following Bode plot



- The bandpass filter consists of three separate components :
  1. A unity-gain low-pass filter whose cut off frequency is  $\omega_{c2}$
  2. A unity-gain high-pass filter whose cut off frequency is  $\omega_{c1}$
  3. A gain component to provide the desired level of gain in the pass band.
- These three components are cascaded in series :



## Our goal

- We aim to establish the relationship between  $\omega_{c1}$  and  $\omega_{c2}$

that will permit each subcircuit to be designed independently.

### **Transfer function**

- Can be obtained as the product of the transfer functions of the cascaded units :

$$\begin{aligned}
 H(s) &= \frac{V_0}{V_i} = \left( \frac{-\omega_{c_2}}{s + \omega_{c_2}} \right) \left( \frac{-s}{s + \omega_{c_1}} \right) \left( \frac{-R_f}{R_i} \right) \\
 &= \frac{-K\omega_{c_2}s}{(s + \omega_{c_2})(s + \omega_{c_1})} = \frac{-K\omega_{c_2}s}{\underbrace{s^2 + (\omega_{c_1} + \omega_{c_2})s + \omega_{c_1}\omega_{c_2}}_{\rightarrow \omega_{c_2}}} \\
 &\triangleq \frac{\beta s}{s^2 + \beta s + \omega_0^2}
 \end{aligned}$$

Therefore ;

- We require that

$$\omega_{c_2} \gg \omega_{c_1} \Rightarrow \omega_{c_1} + \omega_{c_2} \approx \omega_{c_2}$$

- Then resistor and capacitor values are computed by

$$\omega_{c_2} = \frac{1}{R_L C_L}, \quad \omega_{c_1} = \frac{1}{R_H C_H}$$

- and for  $R_f$  and  $R_i$

$$\begin{aligned}
 |H(j\omega_0)| &= \left| \frac{-K\omega_{c_2}j\omega_0}{(j\omega_0)^2 + \omega_{c_2}(j\omega_0) + \omega_{c_1}\omega_{c_2}} \right| \\
 &= \frac{K\omega_{c_2}}{\omega_{c_2}} \\
 &= K = \frac{R_f}{R_i}
 \end{aligned}$$

**Ex.** Design a bandpass filter for a graphic equalizer to provide an amplification of 2 within the band of frequencies between 100 and  $10^4$  Hz. Use  $0.2 \mu F$  capacitors.

**Solution.** Note that  $\omega_{c_2} = 100\omega_{c_1}$ , i.e.  $\omega_{c_2} \gg \omega_{c_1}$

$$\omega_{c_2} = \frac{1}{R_L \cdot 0.2 \cdot 10^{-6}} = 2\pi \cdot 10 \text{ 000} \quad \Rightarrow \quad R_L \approx 80 \Omega$$

Next ;

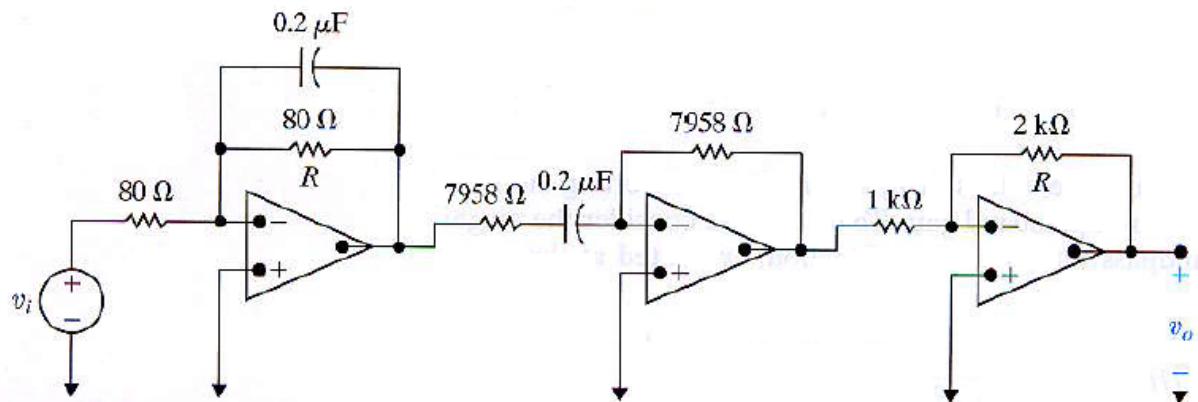
$$\omega_{c_1} = \frac{1}{R_H \cdot 0.2 \cdot 10^{-6}} = 2\pi \cdot 100 \quad \Rightarrow \quad R_H \approx 7958 \Omega$$

- and for the gain stage, we have

$$R_f = 2R_i$$

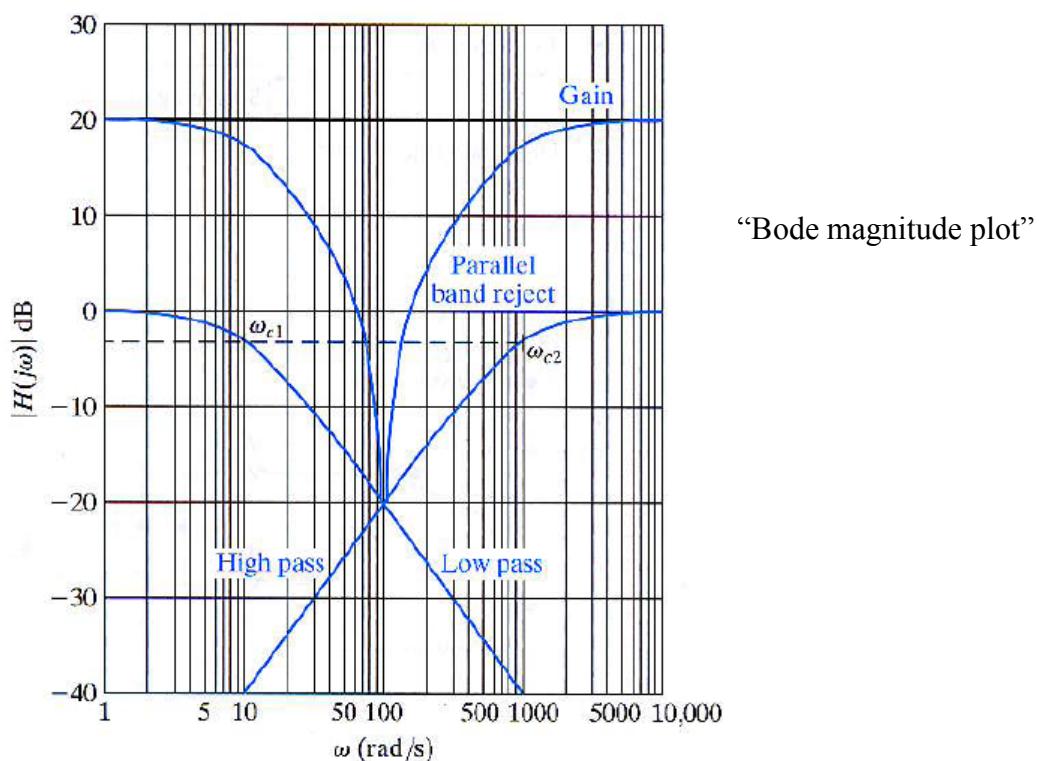
- selecting a  $1\text{ k}\Omega$  resistor for  $R_i$  gives

$$R_f = 2(100) = 2\text{ k}\Omega$$



### Op amp bandreject filter

- The bandreject filter also consists of 3 separate components :
  1. The unity-gain low-pass filter with a cut off frequency of  $\omega_{c_1}$
  2. The unity-gain high-pass filter with a cut off frequency of  $\omega_{c_2}$
  3. The gain component to provide the desired level of gain in the passbands.



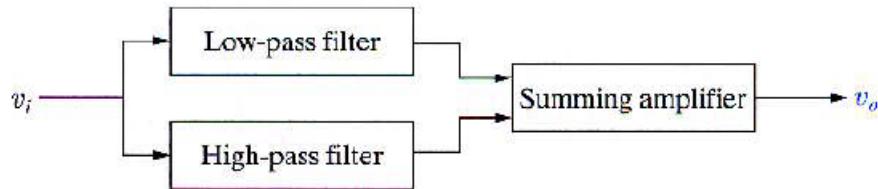
Note that ;

- These 3 components can NOT be cascaded

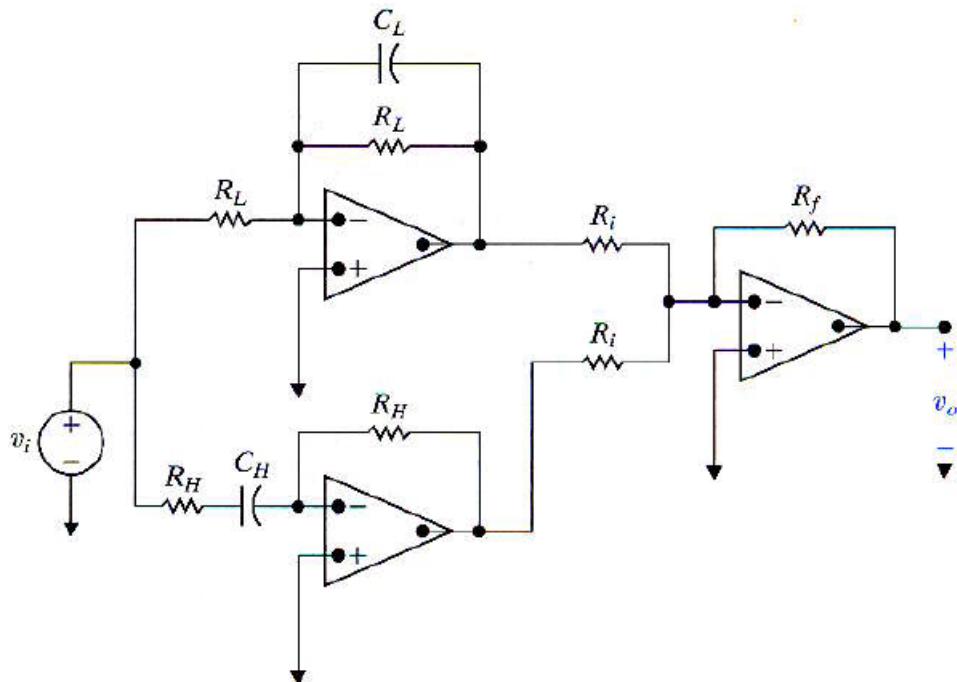
 because they do NOT combine additively on the Bode plot.

Instead ;

- We use a parallel connection and a summing amplifier.



That is ;



- The resulting circuit has a transfer function of

$$H(s) = \left( -\frac{R_f}{R_i} \right) \left( \frac{-\omega_{c_1}}{s + \omega_{c_1}} + \frac{-s}{s + \omega_{c_2}} \right)$$

$$= \frac{R_f}{R_i} \left[ \frac{s^2 + 2\omega_{c_1}s + \omega_{c_1}\omega_{c_2}}{(s + \omega_{c_1})(s + \omega_{c_2})} \right] \triangleq K \frac{s^2 + \omega_0^2}{s^2 + \beta s + \omega_0^2}$$

Similarly ;

- Assuming that  $\omega_{c_2} \gg \omega_{c_1}$ , the two cut off frequencies for the transfer function are  $\omega_{c_1}$  and  $\omega_{c_2}$

$$\rightarrow \omega_{c_1} = \frac{1}{R_L C_L} , \quad \omega_{c_2} = \frac{1}{R_H C_H}$$

- In the two passbands (*as  $s \rightarrow 0$  and  $s \rightarrow \infty$* ), the gain is  $R_f/R_i$

$$\rightarrow \text{that is, } K = R_f/R_i$$

### **Design consideration**

- We have 6 unknowns and 3 equations
- Typically we choose a commercially available capacitor value for  $C_L$  and  $C_H$

$\rightarrow$  then  $R_L$  and  $R_H$  are calculated accordingly.

- Finally we choose a value for either  $R_F$  or  $R_i$

$\rightarrow$  to compute the other resistance.

Moreover ;

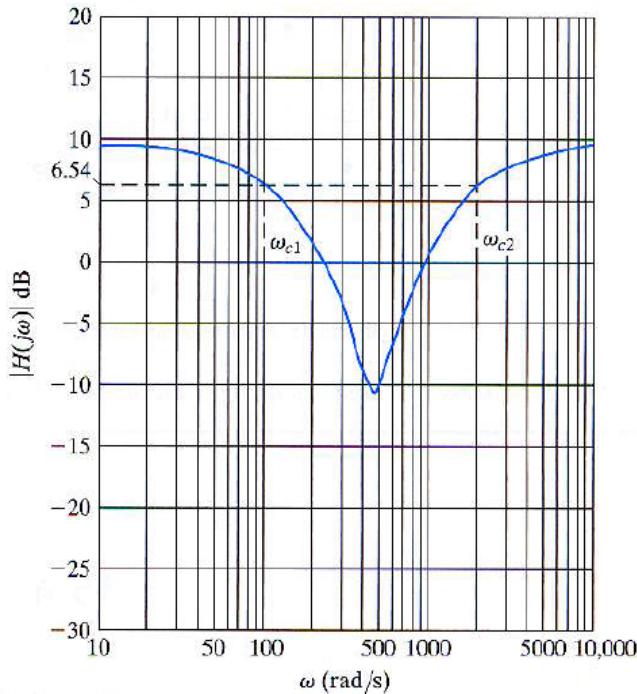
- At the center frequency,  $\omega_0 = \sqrt{\omega_{c_1} \omega_{c_2}}$

$$\begin{aligned} |H(j\omega_0)| &= \left| \frac{R_f}{R_i} \frac{(j\omega_0)^2 + 2\omega_{c_1}(j\omega_0) + \omega_{c_1}\omega_{c_2}}{(j\omega_0)^2 + (\omega_{c_1} + \omega_{c_2})(j\omega_0) + \underbrace{\omega_{c_1}\omega_{c_2}}_{-(j\omega_0)^2}} \right| \\ &= \frac{R_f}{R_i} \frac{2\omega_{c_1}}{\omega_{c_1} + \omega_{c_2}} \\ &\approx \frac{R_f}{R_i} \frac{2\omega_{c_1}}{\omega_{c_2}} \end{aligned}$$

- If  $\omega_{c_2} \gg \omega_{c_1}$  then  $|H(j\omega_0)| \ll 2R_f/R_i$ , that is

$\rightarrow$  the magnitude at the center frequency is much smaller than the passband magnitude.

**Ex.** Design an op amp bandreject filter with the following Bode plot. Use  $0.5 \mu F$  capacitors in your design.



- We find that

$$\omega_{c_1} = 100 \text{ rad/s}$$

$$\omega_{c_2} = 2000 \text{ rad/s}$$

$$K = 10^{(10/20)} \approx 3$$

$$\Rightarrow \omega_{c_2} = 20\omega_{c_1} \Rightarrow \omega_{c_2} \gg \omega_{c_1}$$

- We design a prototype LPF

 and use a scaling to meet the specifications.

- The frequency scale factor,  $k_f = 100$

 shifting the cut off frequency from 1 rad/s to 100 rad/s

- The magnitude scale factor,  $k_m = 20000$

 because  $0.5 \cdot 10^{-6} = \frac{1}{k_m \cdot \underline{100}} \cancel{k_f}$

- Then we obtain

$$R_L = 20 \text{ kΩ} , \quad C_L = 0.5 \cdot 10^{-6}$$

- For the high-pass filter, we have

$$k_f = 2000 \quad \text{and} \quad 0.5 \cdot 10^{-6} = \frac{1}{k_m \cdot 2000} \Rightarrow k_m = 1000$$

- We thus get

$$R_H = 1 \text{ kΩ} , \quad C_H = 0.5 \text{ μF}$$

- Let us choose  $R_i = 1 \text{ kΩ}$  then

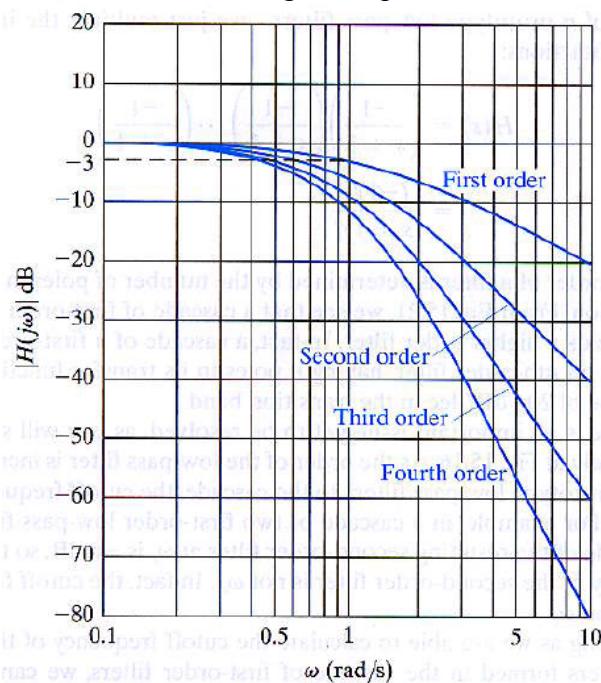
$$\frac{R_f}{1 \text{ kΩ}} = 3 \Rightarrow R_f = 3 \text{ kΩ}$$

### **Higher order op amp filters**

- All filters covered so far are all nonideal
- ↳ which can NOT sharply divide the passband and stopband.
- Thus we can construct circuits with a sharper transition at the cut off frequency.

### **Cascading identical filters**

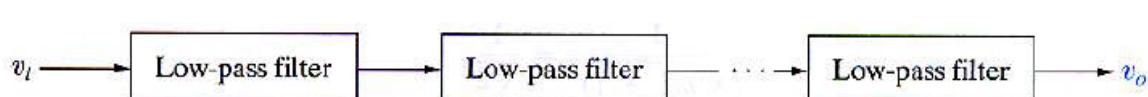
- Consider the following Bode plots :



- It shows the Bode magnitude plots of a cascade of identical prototype low-pass filters.
  - As more filters are added to the cascade
- ↳ the transition from passband to stopband becomes sharper.
- Using one filter, the transition occurs with
- ↳ an asymptotic slope of  $20 \text{ dB/dec}$

In general ;

- An  $n^{th}$  element cascade of identical low-pass filters



↳ a transition from the passband to the stopband with a slope of  $20 n \text{ dB/dec}$ .

### **What about the transfer function**

- We just multiply the individual transfer functions :

$$H(s) = \left(\frac{-1}{s+1}\right)\left(\frac{-1}{s+1}\right) \dots \left(\frac{-1}{s+1}\right)$$

$$= \frac{(-1)^n}{(s+1)^n}$$

- The order of a filter is determined by

 the # of poles in its transfer function.

### **Cut off frequency of higher order filters**

- We shall solve for  $\omega_{cn}$  that results in

$$|H(j\omega_{cn})| = \frac{1}{\sqrt{2}}$$

$$\Rightarrow \left| \frac{(-1)^n}{(j\omega_{cn} + 1)^n} \right| = \frac{1}{\sqrt{2}}$$

$$\Rightarrow \frac{1}{(\sqrt{\omega_{cn}^2 + 1})^n} = \frac{1}{\sqrt{2}}$$

$$\Rightarrow (\omega_{cn} + 1)^n = 2 \quad \Rightarrow \quad \omega_{cn} = \sqrt[n]{\sqrt[4]{2} - 1}$$

**e.g.** If  $N = 4$  then  $\omega_{c4} = \sqrt[4]{\sqrt[4]{2} - 1} = 0.435 \text{ rad/s}$

**Ex.** Design a 4<sup>th</sup> order low-pass op amp filter with  $\omega_c = 500 \text{ Hz}$  and a passband gain of 10. Use 1  $\mu\text{F}$  capacitors.

**Solution.** We first calculate

$$k_f = \frac{2\pi \cdot 500}{0.435} = 7222.39$$

$$1.10^{-6} = \frac{1}{k_m \cdot 7222.39} \quad \Rightarrow \quad k_m = 138.46$$

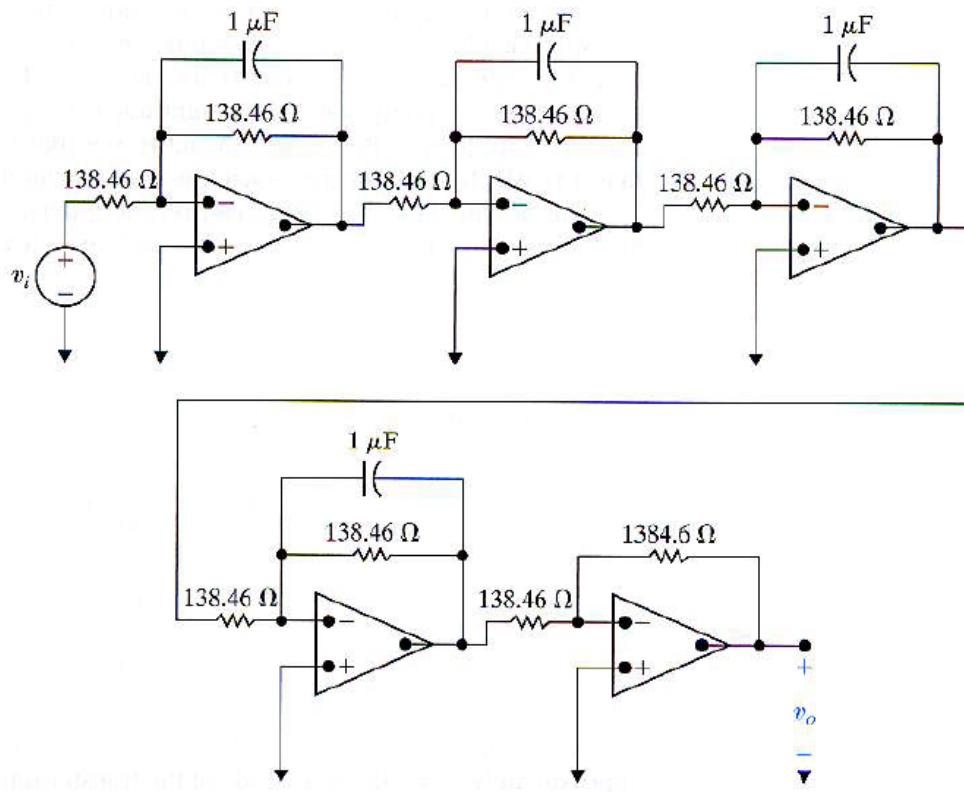
$$\Rightarrow R = 138.46 \Omega \quad , \quad C = 1 \mu\text{F}$$

- For the inverting amplifier stage, we have

$$\frac{R_f}{R_i} = 10 \quad \Rightarrow \quad \text{selecting } R_i = 138.46 \Omega \text{ gives } R_f = 1384.6 \Omega$$

Hence ;

$$H(s) = -10 \left( \frac{7222.39}{s + 7222.39} \right)^4$$



### A serious shortcoming

- The gain of the cascaded identical filters is NOT constant

→ between the zero and the cut off frequency,  $\omega_c$ .

- This gain is equal to 1 for an ideal filter.

Indeed ;

- A unity-gain low-pass  $n^{th}$  order cascade has

$$H(s) = \frac{\omega_{cn}^n}{(s + \omega_{cn})^n}$$

$$\Rightarrow H(j\omega) = \frac{\omega_{cn}^n}{(\sqrt{\omega^2 + \omega_{cn}^2})^n} = \frac{1}{(\sqrt{(\omega/\omega_{cn})^2 + 1})^n}$$

- For  $\omega \ll \omega_{cn}$ , we have  $|H(j\omega)| = 1$

However ;

- The magnitude decreases when  $\omega$  approaches  $\omega_c$ .

Hence ;

- We need to employ other approaches

 because of this non-ideal behavior of the cascade of low-pass filters in the passband.

### **Butterworth filters (Maximally flat magnitude filter)**

- A unity-gain Butterworth low-pass filter has a transfer function with

$$|H(j\omega)| = \frac{1}{\sqrt{1 + (\omega/\omega_c)^{2n}}}$$

**Note :** First described in 1930 by the British engineer Stephen Butterworth.

where  $n$  is the order of the filter.

### **Observations**

- We notice that ;
  1. The cut off frequency is  $\omega_c$  rad/s for all  $n$
  2. If  $n \gg 1$ , the denominator is close to 1 when  $\omega < \omega_c$
  3. The exponent of  $(\omega/\omega_c)$  is always even

 required for a physically realizable circuit.

### **how to find $H(s)$ then ?**

- We first consider a prototype filter, that is

$$\omega_c = 1 \text{ rad/s}$$

$$|H(j\omega)|^2 = H(j\omega) H(-j\omega)$$

$$= H(s)H(-s) , \quad \text{because } s = j\omega \Rightarrow s^2 = -\omega^2$$

$$= \frac{1}{1 + \omega^{2n}}$$

$$= \frac{1}{1 + (\omega^2)^n}$$

$$= \frac{1}{1 + (-s^2)^n}$$

Hence ;

$$H(s)H(-s) = \frac{1}{1 + (-1)^n s^{2n}}$$

### A procedure to find $H(s)$

- Find the roots of the polynomial

$$1 + (-1)^n s^{2n} = 0$$

- Assign the LHP roots to  $H(s)$  and RHP roots to  $H(-s)$
- Combine terms in the denominator of  $H(s)$  to form 1<sup>st</sup> and 2<sup>nd</sup> order factors.

**Ex.** Find the Butterworth transfer functions for  $n = 2$ .

**Solution.** For  $n = 2$ , we find the roots of

$$\begin{aligned} 1 + (-1)^2 s^4 &= 0 \\ \Rightarrow s^4 &= -1 = 1 \angle 180^\circ \end{aligned}$$

$$\begin{aligned} s_1 &= 1 \angle 45^\circ = \frac{1}{\sqrt{2}} + j \frac{1}{\sqrt{2}} \\ s_2 &= 1 \angle 135^\circ = -\frac{1}{\sqrt{2}} + j \frac{1}{\sqrt{2}} \\ s_3 &= 1 \angle 225^\circ = -\frac{1}{\sqrt{2}} - j \frac{1}{\sqrt{2}} \\ s_4 &= 1 \angle 315^\circ = \frac{1}{\sqrt{2}} - j \frac{1}{\sqrt{2}} \end{aligned}$$

- Roots  $s_2$  and  $s_3$  are in the LHP.

Thus ;

$$H(s) = \frac{1}{\left(s + \frac{1}{\sqrt{2}} - j \frac{1}{\sqrt{2}}\right)\left(s + \frac{1}{\sqrt{2}} + j \frac{1}{\sqrt{2}}\right)} = \frac{1}{s^2 + \sqrt{2}s + 1}$$

### Butterworth filter circuits

- We now consider the problem of designing a circuit

 with the transfer function of a Butterworth filter.

- For  $n^{th}$  order Butterworth polynomial, we have

1	$(s + 1)$
2	$(s^2 + \sqrt{2}s + 1)$
3	$(s + 1)(s^2 + s + 1)$
4	$(s^2 + 0.765s + 1)(s^2 + 1.848s + 1)$
5	$(s + 1)(s^2 + 0.618s + 1)(s^2 + 1.618s + 1)$
6	$(s^2 + 0.518s + 1)(s^2 + \sqrt{2} + 1)(s^2 + 1.932s + 1)$
7	$(s + 1)(s^2 + 0.445s + 1)(s^2 + 1.247s + 1)(s^2 + 1.802s + 1)$
8	$(s^2 + 0.390s + 1)(s^2 + 1.111s + 1)(s^2 + 1.6663s + 1)(s^2 + 1.962s + 1)$

Note that ;

- The Butterworth polynomials are the product of  $1^{st}$  and  $2^{nd}$  order factors.
- All odd-order Butterworth polynomials include the factor  $(s + 1)$

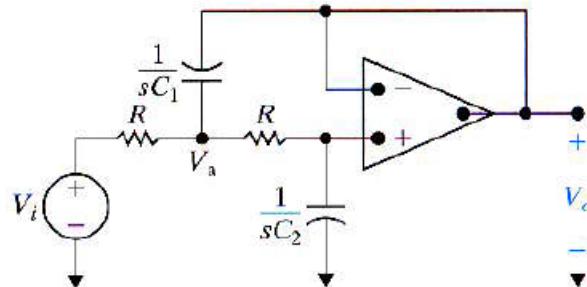
$$H(s) = \frac{1}{s + 1} \quad \Rightarrow \quad \text{a prototype low-pass filter.}$$

Moreover ;

- One needs to find a circuit that provides a transfer function of the form

$$H(s) = \frac{1}{s^2 + b_1 s + 1}$$

- Consider the following circuit



- Writing node-voltage equations give

$$\frac{V_a - V_i}{R} + \frac{V_a - V_0}{(1/sC_1)} + \frac{V_a - V_0}{R} = 0$$

$$\frac{V_0 - V_a}{R} + \frac{V_0}{(1/sC_2)} = 0$$

- Solving for  $V_0$  yields

$$V_0 = \frac{V_i}{R^2 C_1 C_2 s^2 + 2 R C_2 s + 1}$$

$$\Rightarrow \frac{V_0}{V_i} = H(s) = \frac{\frac{1}{R^2 C_1 C_2}}{s^2 + \frac{2}{R C_1} s + \frac{1}{R^2 C_1 C_2}}$$

- We set  $R = 1 \Omega$  and obtain

$$\frac{\frac{1}{C_1 C_2}}{s^2 + \frac{2}{C_1} s + \frac{1}{C_1 C_2}} = \frac{1}{s^2 + b_1 s + 1}$$

$$\Rightarrow C_2 = \frac{1}{C_1} \quad \text{and} \quad b_1 = \frac{2}{C_1}$$

**Ex.** Design a  $4^{th}$ order Butterworth low-pass filter with  $\omega_c = 500 \text{ Hz}$  and a passband gain of 10. Use as many  $1 \text{ k}\Omega$  resistors as possible.

**Solution.** The  $4^{th}$ order Butterworth polynomial is

$$(s^2 + 0.765s + 1)(s^2 + 1.848s + 1)$$

- We thus need a cascade of two  $2^{nd}$ order filters

 plus an inverting amplifier for the passband gain of 10.

- For the  $1^{st}$  stage, we have

$$\frac{2}{C_1} = 0.765 \Rightarrow C_1 = 0.38 \text{ F} , \quad C_2 = \frac{1}{0.38} = 2.61 \text{ F}$$

- Similarly for the  $2^{nd}$  stage, we have

$$\frac{2}{C_3} = 1.848 \Rightarrow C_3 = 1.08 \text{ F} , \quad C_4 = \frac{1}{1.08} = 0.924 \text{ F}$$

Note that ;

$$(C_1, C_2, C_3, C_4) \Rightarrow \text{a } 4^{th} \text{order Butterworth filter with } \omega_c = 1 \text{ rad/s}$$

$$k_f = \frac{2\pi(500)}{1} \Rightarrow k_f = 3141.6$$

$$k_m = 1000$$

Hence ;

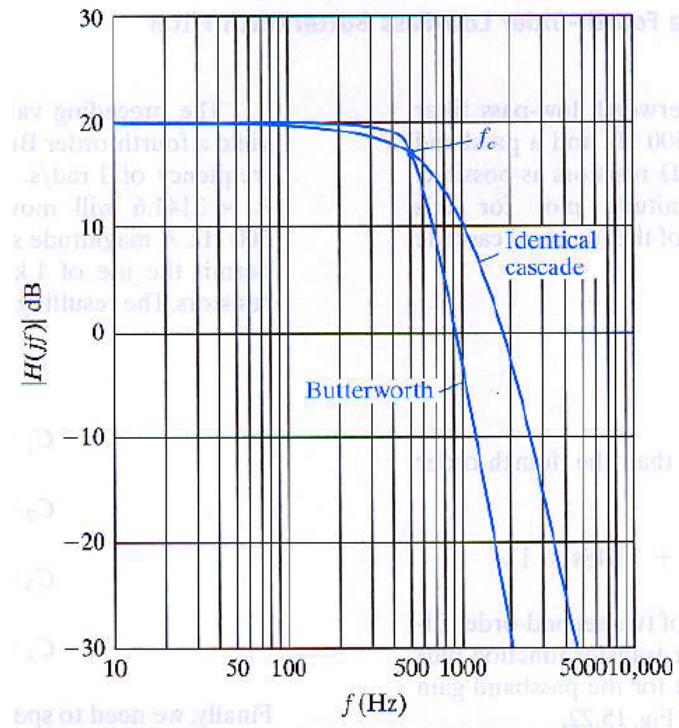
$$C'_1 = \frac{0.38}{3141.6 \cdot 1000} = 120.96 \text{ nF} , \quad C'_2 = \frac{2.61}{3141.6 \cdot 1000} = 830.79 \text{ nF}$$

$$C'_3 = \frac{1.08}{3141.6 \cdot 1000} = 343.77 \text{ nF} , \quad C'_4 = \frac{0.924}{3141.6 \cdot 1000} = 294.12 \text{ nF}$$

- Letting

$$R_i = 1 \text{ k}\Omega \Rightarrow R_f = 10.1 \text{ k}\Omega = 10 \text{ k}\Omega$$

- Consider the Bode magnitude plots of 4<sup>th</sup> order Butterworth and identical cascade filters



- Both filters have a passband gain of 10 (20dB) and a cutoff frequency of 500 Hz.

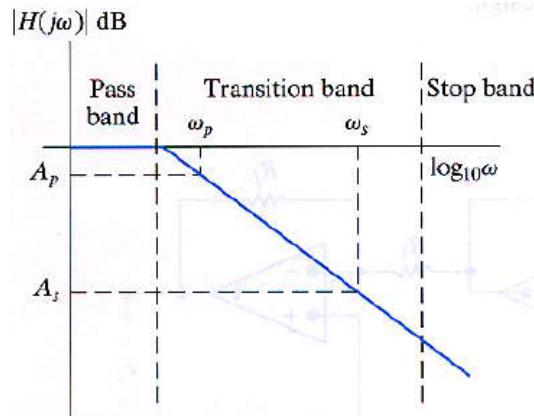
However ;

- The Butterworth filter is closer to an ideal low pass filter

→ due to its flatter passband and steeper rolloff at the cutoff frequency.

### Finding the order of a Butterworth filter

- We wish to determine the smallest possible  $n$
- ➡ to meet the filtering specifications.
- The filtering specifications are usually given
- ➡ in terms of the abruptness of the transition region.



- For the Butterworth filter,

$$A_p = 20 \log_{10} \frac{1}{\sqrt{1 + \omega_p^{2n}}} = -10 \log_{10}(1 + \omega_p^{2n})$$

$$A_s = 20 \log_{10} \frac{1}{\sqrt{1 + \omega_s^{2n}}} = -10 \log_{10}(1 + \omega_s^{2n})$$

$$\Rightarrow 1 + \omega_p^{2n} = 10^{-0.1A_p} , \quad 1 + \omega_s^{2n} = 10^{-0.1A_s}$$

- Then we get

$$\left( \frac{\omega_s}{\omega_p} \right)^n = \frac{\sqrt{10^{-0.1A_s} - 1}}{\sqrt{10^{-0.1A_p} - 1}}$$

$$\Rightarrow n = \frac{\log \sqrt{10^{-0.1A_s} - 1} - \log \sqrt{10^{-0.1A_p} - 1}}{\log \sqrt{\omega_s/\omega_p}}$$

- Choosing  $\omega_p \triangleq \omega_c = 1 \text{ rad/s}$  yields

$$A_p = -20 \log_{10} \sqrt{2} \Rightarrow 10^{-0.1A_p} = 2$$

- Since  $10^{-0.1A_s} \gg 1$  to achieve a steep transition

$$n = \frac{-0.05A_s}{\log_{10} \omega_s} \Rightarrow \text{Select the nearest possible integer}$$

**Ex.**

- Determine the order of a Butterworth filter whose magnitude is 10 dB less than the passband magnitude at 500 Hz and 60 dB less than the passband magnitude at 5000 Hz.
- Determine the cutoff frequency of the filter.
- What is the actual gain of the filter at 5000 Hz ?

**Solution.**

a.

$$\left(\frac{\omega_s}{\omega_p}\right)^n = \frac{\sqrt{10^{-0.1A_s} - 1}}{\sqrt{10^{-0.1A_p} - 1}}$$

$$\Rightarrow \left(\frac{5000}{500}\right)^n = \frac{\sqrt{10^{-0.1(-60)} - 1}}{\sqrt{10^{-0.1(-10)} - 1}} \Rightarrow 10^n \cong \frac{1000}{3}$$

$$n \cong 2.52$$

∴ We need a 3<sup>rd</sup> order Butterworth filter.

b. We know that

$$|H(j\omega)| = \frac{1}{\sqrt{1 + (\omega/\omega_c)^6}}$$

$$\Rightarrow 20 \log_{10} \frac{1}{\sqrt{1 + (500/f_c)^6}} = -10$$

$$\Rightarrow \log_{10}[1 + (500/f_c)^6] = 1 \Rightarrow 1 + (500/f_c)^6 = 10$$

$$\Rightarrow (500/f_c)^3 \Rightarrow f_c = 346.6806 \Rightarrow \omega_c = 2\pi f_c = 2178.33 \text{ rad/s}$$

c.

$$K = 20 \log_{10} \frac{1}{\sqrt{1 + (5000/346.6806)^6}} = -69.54 \text{ dB}$$

## Butterworth high-pass filter

- An  $n^{th}$ -order Butterworth filter has a transfer function
 

↳ with the  $n^{th}$  order polynomial in the denominator and  $s^n$  in the numerator.
- We pursue a cascade approach in its design.

### first-order factor

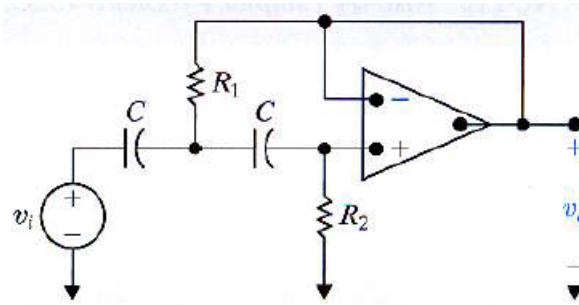
- Achieved by including a prototype high-pass filter in the cascade

↳ with  $R_1 = R_2 = 1 \Omega$  and  $C = 1 F$

### second-order factors

- We need a circuit with a transfer function of the form

$$H(s) = \frac{s^2}{s^2 + b_1 s + 1}$$



$$H(s) = \frac{s^2}{s^2 + \frac{2}{R_2 C} s + \frac{1}{R_1 R_2 C^2}} \triangleq \frac{s^2}{s^2 + b_1 s + 1}$$

- Setting  $C = 1 F$  yields

$$2/R_2 = b_1 \quad \text{and} \quad \frac{1}{R_1 R_2} = 1$$

### an important observation

- Note that the high-pass circuit was obtained from the low-pass circuit

↳ by simply interchanging resistors and capacitors.

- The prototype transfer function of a high-pass filter can be obtained

↳ by replacing  $s$  in the low-pass transfer function with  $(1/s)$

Finally ;

- We can use magnitude and frequency scaling

 to design a Butterworth high-pass filter with practical component values and cutoff frequency other than 1 rad/s.

### **Butterworth bandpass filter**

- We can combine  $n^{th}$ -order low-pass and high-pass Butterworth filters in cascade

 to produce  $n^{th}$ -order Butterworth bandpass filters.

### **Butterworth bandreject filter**

- We shall combine these filters in parallel with a summing amplifier

 to produce  $n^{th}$ -order Butterworth bandreject filters.

### **Narrowband bandpass and bandreject filters**

- The cascade and parallel component design methods for bandpass and bandreject filters  
 lead to only broadband or low-Q filters.
- This limitation is due to the discrete poles of the transfer functions.
- The largest quality factor arises when the discrete pole locations are the same.

Hence ;

- We have

$$\begin{aligned}
 H(s) &= \left( \frac{-\omega_c}{s + \omega_c} \right) \left( \frac{-s}{s + \omega_c} \right) \\
 &= \frac{s\omega_c}{s^2 + 2\omega_c s + \omega_c^2} \\
 &= \frac{0.5\beta s}{s^2 + \beta s + \omega_c^2} \quad \text{"standard form of bandpass filter transfer function"}
 \end{aligned}$$

$$\Rightarrow \beta = 2\omega_c , \omega_0^2 = \omega_c^2$$

- Then we find that

$$Q = \frac{\omega_0}{\beta} = \frac{\omega_c}{2\omega_c} = \frac{1}{2}$$

Thus ;

- With discrete-poles, the highest quality bandpass filter (or bandreject filter) we can achieve

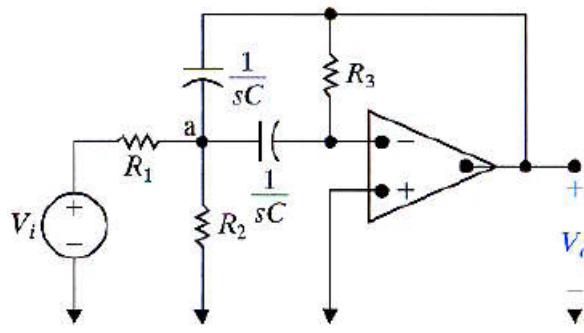
↳ has  $Q = \frac{1}{2}$

### **How to achieve high quality factors ?**

- We need an op amp circuit that can produce

↳ a transfer function with complex conjugate poles.

- Let us consider the following circuit :



- KCL at the inverting input :

$$\frac{-V_a}{(1/sC)} + \frac{-V_0}{R_3} = 0 \quad \Rightarrow \quad V_a = \frac{V_0}{sR_3C}$$

- KCL at node a :

$$\frac{V_a - V_i}{R_1} + \frac{V_a}{(1/sC)} + \frac{V_a - V_0}{(1/sC)} = 0$$

$$\Rightarrow V_i = (1 + 2sR_1C + R_1/R_2)V_a - sR_1CV_0$$

- Substituting  $V_a$  expression into  $V_i$  gives

$$H(s) = \frac{V_0}{V_i} = \frac{-s/R_1C}{s^2 + \frac{2}{R_3C}s + \frac{1}{R_{eq}R_3C^2}} \triangleq \frac{-K\beta s}{s^2 + \beta s + \omega_0^2}$$

where  $R_{eq} = R_1//R_2$  and

$$\beta = \frac{2}{R_3C} , \quad K = \frac{R_3}{2R_1} , \quad \omega_0 = \frac{1}{\sqrt{R_{eq}R_3C}}$$

### **design of a prototype version**

- We let  $\omega_0 = 1 \text{ rad/s}$  and  $C = 1 \text{ F}$ , then

$$Q = \frac{\omega_0}{\beta} = \frac{1}{\beta}$$

$$\Rightarrow R_3 = \frac{2}{\beta C} = 2Q , \quad K = \frac{2Q}{2R_1} \Rightarrow R_1 = \frac{Q}{K}$$

- and

$$\frac{R_1 R_2}{R_1 + R_2} R_3 = 1 \Rightarrow \frac{Q}{K} R_2 (2Q) = \frac{Q}{K} + R_2$$

$$\Rightarrow (2Q^2 - K)^2 = Q \Rightarrow R_2 = \frac{Q}{2Q^2 - K}$$

Therefore ;

- $R_1, R_2$  and  $R_3$  are given in terms of the desired quality factor,  $Q$  and passband gain,  $K$  :

$$R_1 = \frac{Q}{K} , \quad R_2 = \frac{Q}{2Q^2 - K} , \quad R_3 = 2Q$$

- Then we shall use “scaling”

 to specify practical values of the circuit components.

**Ex.** Design a bandpass filter with  $\omega_0 = 3 \text{ kHz}$ ,  $Q = 10$  and  $K = 2$ . Use  $0.01 \mu\text{F}$  capacitors. Find the transfer function of your circuit and draw Bode diagram.

**Solution.** We first calculate prototype circuit components

$$R_1 = 10/2 = 5 \Omega , \quad R_2 = 10/(2.10^2 - 2) = 10/198 , \quad R_3 = 2.10 = 20 \Omega$$

$$k_f = 2\pi \cdot 3000 = 6000\pi$$

$$0.01 \cdot 10^{-6} = \frac{1}{k_m \cdot 6000\pi} = k_m = 5300$$

Hence ;

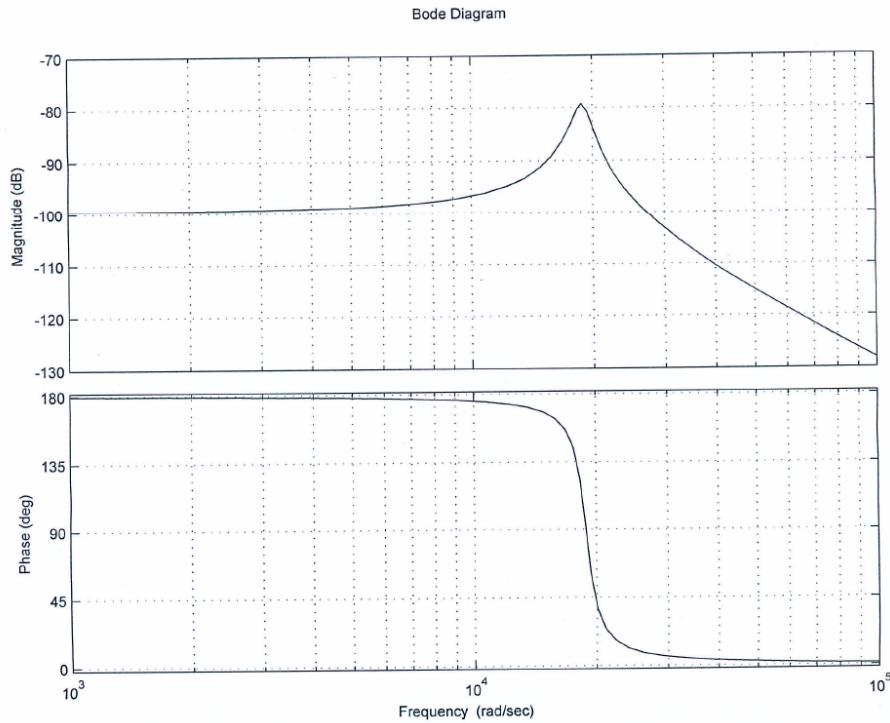
$$R'_1 = 5300 \cdot 5 \cong 26.5 \Omega$$

$$R'_2 = 5300 \cdot 10 / 198 \cong 267.6768 \Omega$$

$$R'_3 = 5300 \cdot 20 \cong 106 k\Omega$$

- and

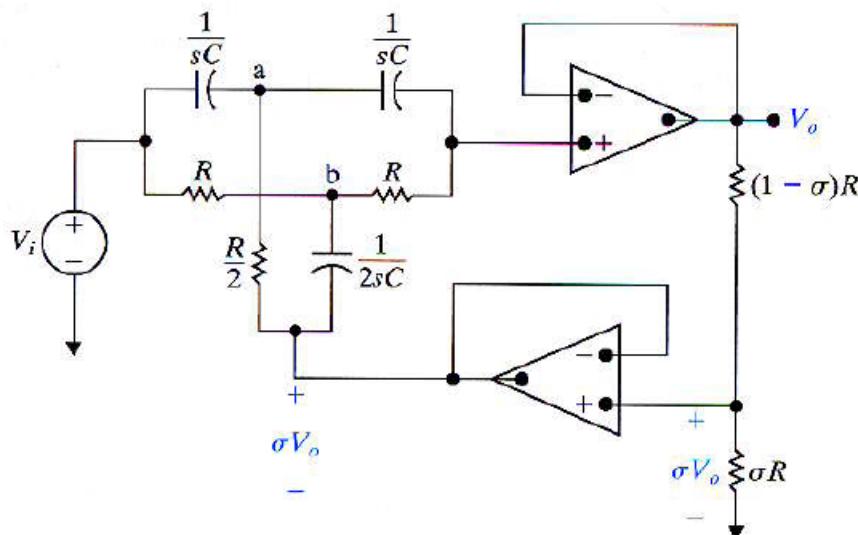
$$H(s) = -\frac{2.600\pi}{s^2 + 600\pi s + (6000\pi)^2} = -\frac{3769.9}{s^2 + 1850.0s + 3.5531 \cdot 10^8}$$



### Active high-Q bandreject filter

- We consider an active high-Q bandreject filter circuit

↳ known as the “twin-T notch filter” because of the two T-shaped parts of the circuit at nodes a and b.



- KCL at node a :

$$\frac{V_a - V_i}{(1/sC)} + \frac{V_a - V_0}{(1/sC)} + \frac{V_a - \sigma V_0}{(R/2)} = 0$$

- KCL at node b :

$$\frac{V_b - V_i}{R} + \frac{V_b - V_0}{R} + \frac{V_b - \sigma V_0}{(1/2sC)} = 0$$

- KCL at noninverting terminal of the top op amp :

$$\frac{V_0 - V_b}{R} + \frac{V_0 - V_a}{(1/sC)} = 0$$

- Making algebraic rearrangements allows to get

$$\begin{aligned} H(s) = \frac{V_0}{V_i} &= \frac{s^2 + \frac{1}{R^2 C^2}}{s^2 + \frac{4(1-\sigma)}{RC}s + \frac{1}{R^2 C^2}} \\ &\triangleq \frac{s^2 + \omega_0^2}{s^2 + \beta s + \omega_0^2} \end{aligned}$$

where

$$\omega_0^2 = \frac{1}{R^2 C^2} \quad , \quad \beta = \frac{4(1-\sigma)}{RC}$$

- We have 3 parameters ( $R$ ,  $C$ ,  $\sigma$ ) and two design constraints ( $\omega_0$  and  $\beta$ ).

Thus ;

- We choose one parameter, usually, the capacitor value arbitrarily.
- Then we shall choose

$$R = \frac{1}{\omega_0 C}$$

and

$$\beta = \frac{4(1-\sigma)}{(1/\omega_0)} \Rightarrow 1-\sigma = \frac{\beta}{4\omega_0} \Rightarrow \sigma = 1 - \frac{\beta}{4\omega_0}$$

OR

$$\sigma = 1 - \frac{1}{4Q}$$

**Ex.** Design a high-Q active bandreject filter with  $\omega_0 = 5000 \text{ rad/s}$ ,  $\beta = 1000 \text{ rad/s}$ . Use  $1 \mu\text{F}$  capacitors in your design.

**Solution.** We first consider a bandreject prototype filter, that is

$$R = 1 \Omega, C = 1 F, \text{ and } \omega_0 = 1 \text{ rad/s}$$

- We calculate

$$Q = \frac{\omega_0}{\beta} = \frac{5000}{1000} = 5$$

$$\sigma = 1 - \frac{1}{4.5} = 0.95$$

$$k_f = 5000, \quad 10^{-6} = \frac{1}{k_m \cdot 5000} \Rightarrow k_m = 200$$

$$\Rightarrow R' = 200.1 = 200 \Omega$$

$$H(s) = \frac{s^2 + 25 \cdot 10^6}{s^2 + 1000s + 25 \cdot 10^6}$$

