

# CS/CNS/EE 156a

## Homework 3

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1. Answer: [b]

Since  $\epsilon = 0.05$  and  $M = 1$ ,

$$2Me^{-2\epsilon^2 N} \leq 0.03$$

$$2 * 1 * e^{-2*0.05^2*N} \leq 0.03$$

$$e^{-2*0.05^2*N} \leq 0.015$$

$$-2 * 0.05^2 * N \leq \ln(0.015)$$

$$N \geq 839.94 \approx 840$$

Therefore, the answer is [b].

2. Answer: [c]

We go through the same process as what we did for problem 1, now with  $M = 10$ .

$$2Me^{-2\epsilon^2 N} \leq 0.03$$

$$2 * 10 * e^{-2*0.05^2*N} \leq 0.03$$

$$e^{-2*0.05^2*N} \leq 0.0015$$

$$-2 * 0.05^2 * N \leq \ln(0.0015)$$

$$N \geq 1300.46 \approx 1300$$

Therefore, the answer is [c]

3. Answer: [d]

We go through the same process as what we did for problem 1, now with  $M = 100$ .

$$2Me^{-2\epsilon^2 N} \leq 0.03$$

$$2 * 100 * e^{-2*0.05^2*N} \leq 0.03$$

$$e^{-2*0.05^2*N} \leq 0.00015$$

$$-2 * 0.05^2 * N \leq \ln(0.00015)$$

$$N \geq 1760.9 \approx 1761$$

Therefore, the answer is [d].

4. Answer: [b]

Until 4 points, it is easy to shatter them in 3D space with a plane. However, as we add 1 extra point, we can no longer shatter them. For example, when 4 points are on a same plane and the remaining 1 point is not on the plane, there happen many cases that we cannot separate the entire 5 points by using a plane. Therefore, we cannot shatter 5 points in 3-dimension.

5. Answer: [b]

By the lecture, we know that growth functions with break points are polynomials. If we don't have a break point, the growth function is  $2^N$ . Since i) and ii) are polynomials and v) is  $2^N$  (the case when there is no break point), the answer is [b]. Note that iii) and iv) are neither polynomial nor  $2^N$ .

6. Answer: [c]

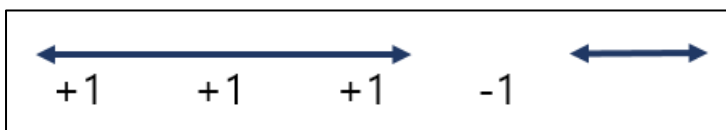
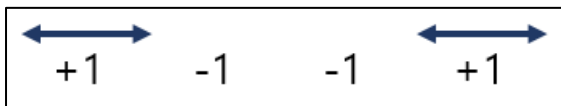
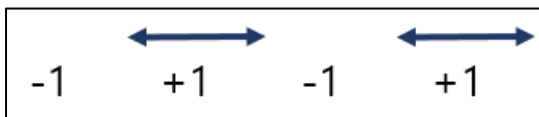
It is obvious that since we have two arbitrary intervals, we can shatter up to 4 points.

This is because up to 4 points, we can separate all points by using two intervals.

However, when it becomes 5 points, there can be three, distant, +1 groups.

For a more intuitive explanation, see the examples for 4-point case below.

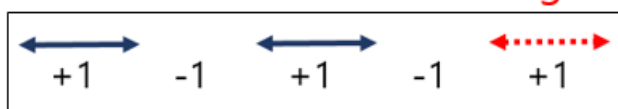
**Examples for separating 4 points (Does not include all cases. These examples are just to help explaining)**



*Etc...(Did not draw all cases, but as we can see, 4 points can be separated by using just two intervals)*

**However, when there are 5 points, we cannot separate them by using just two intervals, as following:**

**Two intervals are not enough!!**



Therefore, the break point is 5.

7. Answer: [c]

Choosing two distinct intervals is equivalent to choosing four end points out of  $N+1$  possible slots. Thus, it is  $\binom{N+1}{4}$

Now, for non-distinct intervals, it is equivalent to choosing a single interval. For single interval, we choose two end points out of  $N+1$  possible slots. It is  $\binom{N+1}{2}$ .

Finally, we should consider the case when the interval does not cover any point. It is 1.

Therefore, the answer is  $\binom{N+1}{4} + \binom{N+1}{2} + 1$ .

8. Answer: [d]

Up to  $2M$ , we can shatter the points because even for the worst case, which is the alternating pattern  $(+1, -1, +1, -1, +1, -1, \dots)$ , we can cover all  $M+1$  points by using  $M$  intervals. (The worst case here indicates the case when it requires largest number of intervals to cover all  $+1$ s.) However, when we add one more point to  $2M$ , which becomes  $2M+1$ , then the last point of  $+1$  cannot be covered since we've already used all  $M$  intervals. Therefore, the break point of the given hypothesis is  $2M+1$ .

9. Answer: [d]

It is obvious that we can shatter 1 point with a triangle.

For 3 points, it is also obvious that we can shatter them because in the lecture we saw that we could shatter 3 points by using a single line. Since an arbitrary chosen triangle (which has 3 sides) already has a line segment, we can definitely shatter 3 points.

For 5 points, I drew 5 alternating points  $(+1, -1, +1, -1, +1)$  on a circle, which are equi-distant from each other. I could shatter them by drawing a triangle including the three  $+1$  points. When I drew the triangle on the circle, I could see that the two  $-1$ s were located in the exterior area of the triangle.

For 7 points, I again drew 7 alternating points  $(-1, +1, -1, +1, -1, +1, -1)$  on a circle, which are equi-distant from each other. Since there were still three  $+1$  points, I could draw a triangle that included three  $+1$  points as I did for the case of 5 points. As in the case of 5 points, when I drew the triangle, the exterior area of triangle covered four  $-1$  points.

For 8 points, I drew 8 alternating points  $(-1, +1, -1, +1, -1, +1, -1, +1)$  on a circle. Since it is now *8 points*, there were *four*  $+1$  points on the circle. Since there were *four* (which is larger than three)  $+1$  points on the circle, I could no longer draw a triangle that covers all of the four  $+1$  points.

Therefore, 7 is the largest number of points in  $R^2$  that can be shattered by the triangle hypothesis.

10. Answer: [b]

For generalization, we can think of  $x_1^2 + x_2^2$ , which is equivalent to the distance from origin, as one variable. Then, the range of  $a^2 \leq x_1^2 + x_2^2 \leq b^2$  is now just an interval that determines  $+1$  and  $-1$ , based on the point's distance from the origin. In other words, it is equivalent to a case of single line and single interval. Therefore, choosing two end-points of  $N+1$  intervals and adding 1 for the case when the interval does not cover any point give the answer of  $\binom{N+1}{2} + 1$ .