Chapter 3: Transport Layer

Computer Networking: A Top-Down Approach
6th edition
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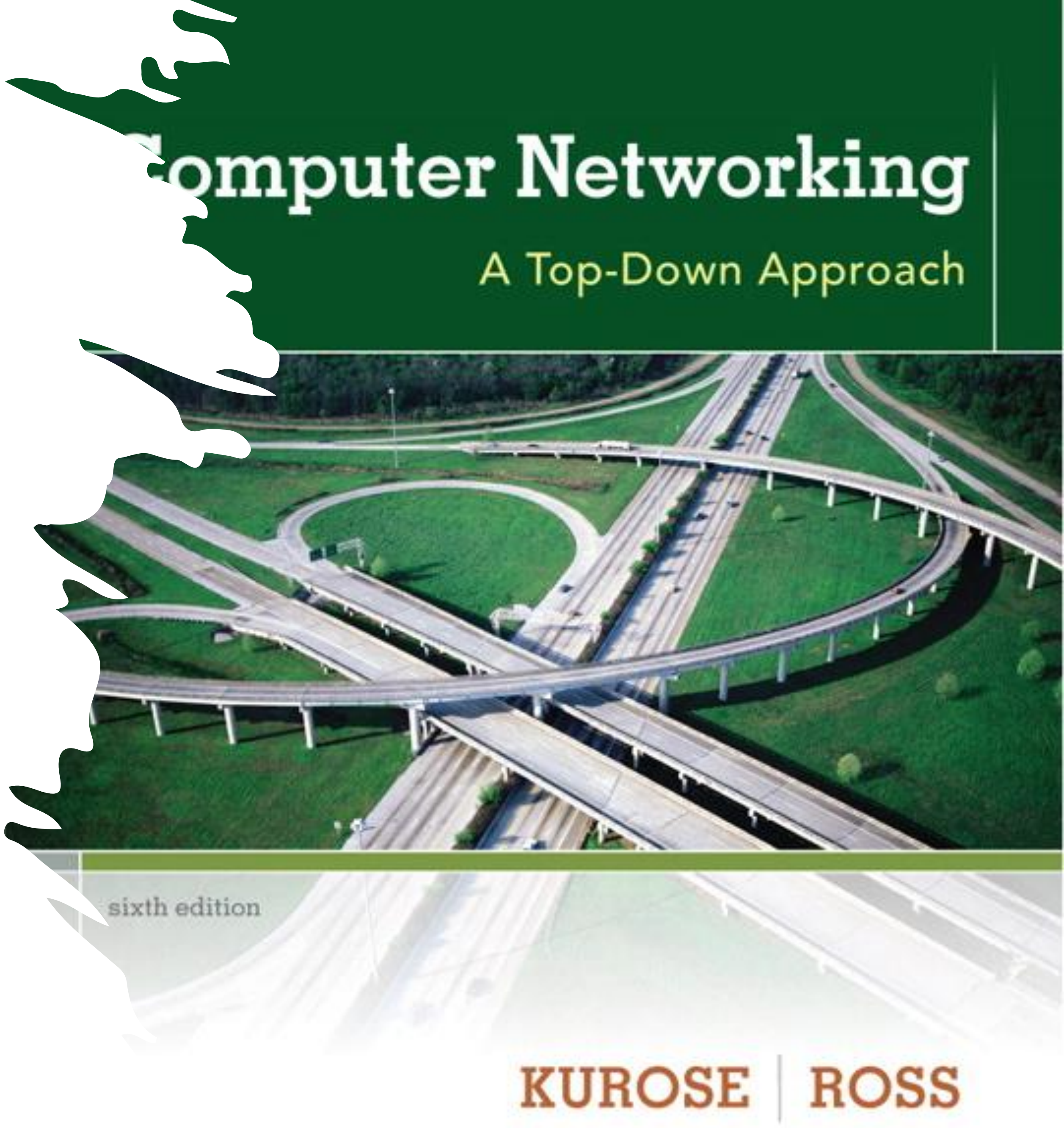
Addison-Wesley



Chapter 3: Transport Layer

Our goals:

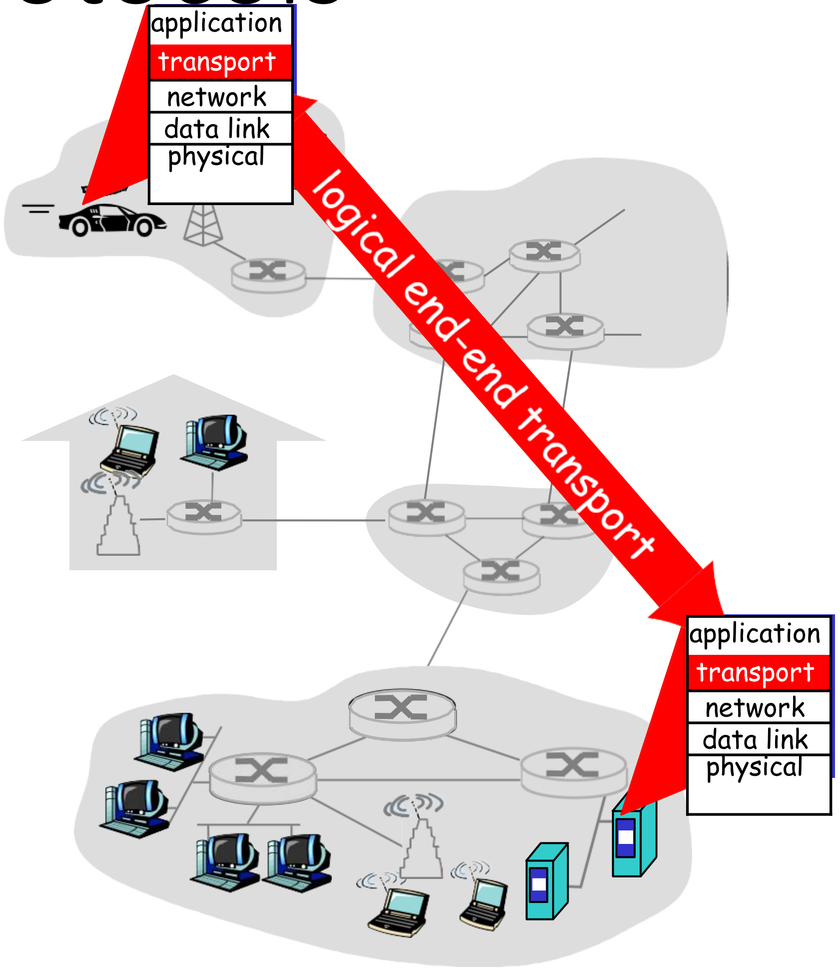
- understand principles behind transport layer services:
  - multiplexing/demultiplexing
  - reliable data transfer
  - flow control
  - congestion control
- learn about transport layer protocols in the Internet:
  - UDP: connectionless transport
  - TCP: connection-oriented transport
  - TCP congestion control

Chapter 3 outline

1. Transport-layer services
2. Multiplexing and demultiplexing
3. Connectionless transport: UDP
4. Principles of reliable data transfer
5. Connection-oriented transport: TCP
   - segment structure
   - reliable data transfer
   - flow control
   - connection management

Transport services and protocols

- provide logical communication between app processes running on different hosts
- transport protocols run in end systems
  - send side: breaks app messages into segments, passes to network layer
  - rcv side: reassembles segments into messages, passes to app layer
- more than one transport protocol available to apps
  - Internet: TCP and UDP

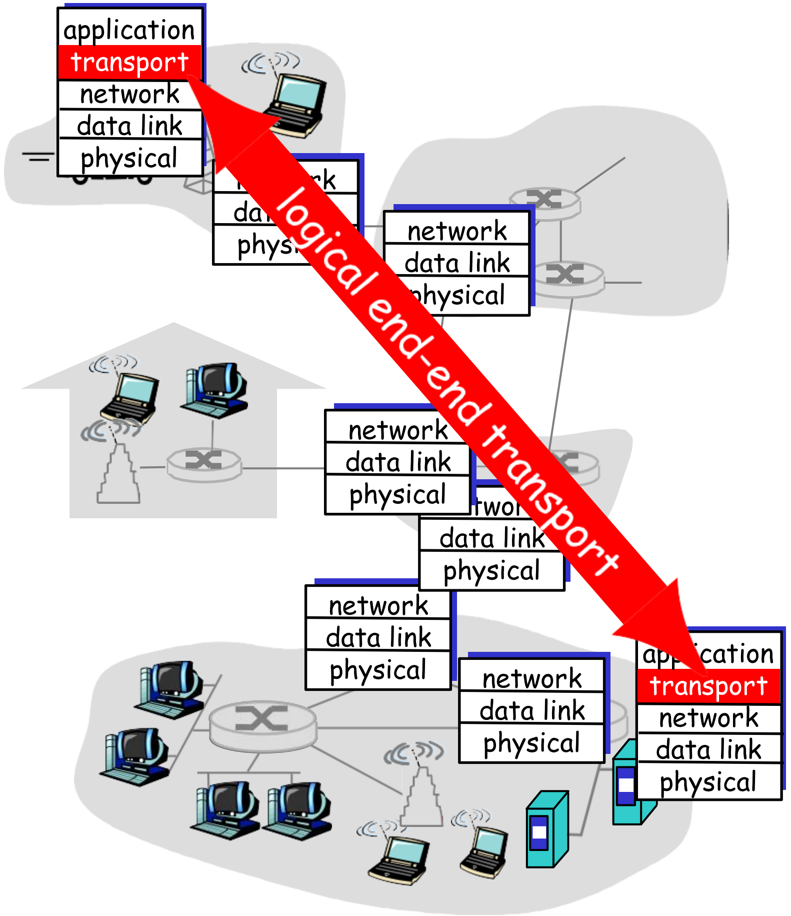


Transport vs. network layer

- network layer: logical communication between hosts
- transport layer: logical communication between processes
  - relies on, enhances, network layer services

Internet transport-layer protocols

- reliable, in-order delivery (TCP)
  - congestion control
  - flow control
  - connection setup
- unreliable, unordered delivery: UDP
  - no-frills extension of "best-effort" IP
- services not available:
  - delay guarantees
  - bandwidth guarantees



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6. Principles of congestion control
7. TCP congestion control

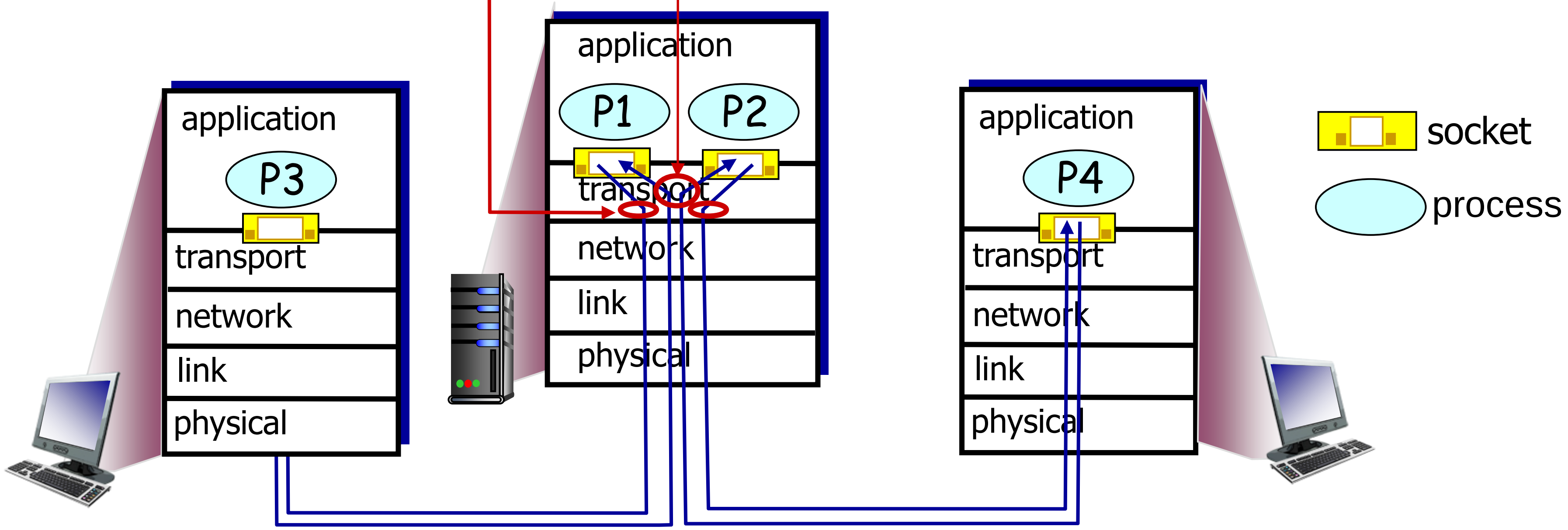
Multiplexing/demultiplexing

multiplexing at sender:

handle data from multiple sockets, add transport header (later used for demultiplexing)

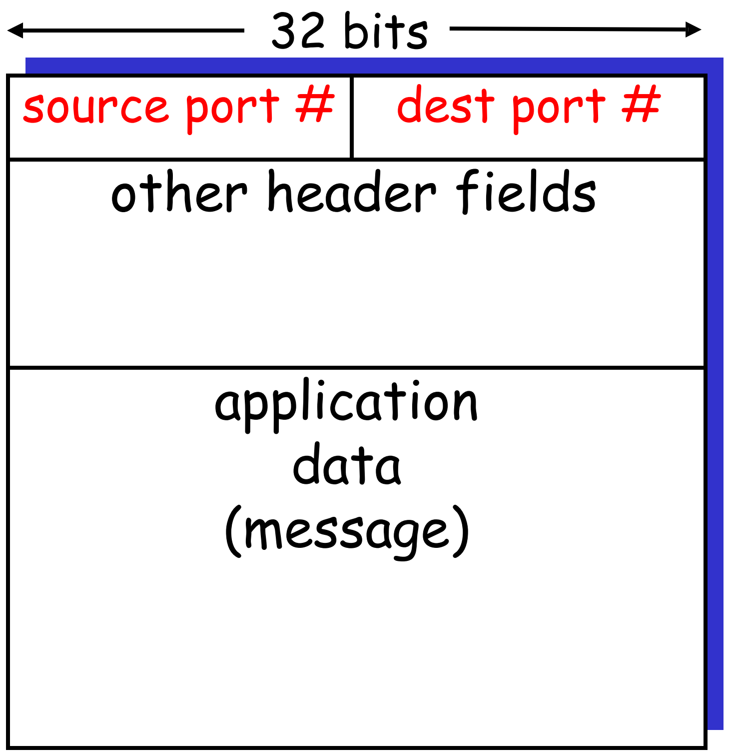
demultiplexing at receiver:

use header info to deliver received segments to correct socket



How demultiplexing works

- host receives IP datagrams
  - each datagram has source IP address, destination IP address
  - each datagram carries 1 transport-layer segment
  - each segment has source, destination port number
- host uses IP addresses & port numbers to direct segment to appropriate socket



TCP/UDP segment format

Connectionless demultiplexing

- *recall:* created socket has host-local port #:

```
DatagramSocket mySocket1
= new DatagramSocket(12534);
```

- *recall:* when creating datagram to send into UDP socket, must specify
  - destination IP address
  - destination port #

- when host receives UDP segment:
  - checks destination port # in segment
  - directs UDP segment to socket with that port #

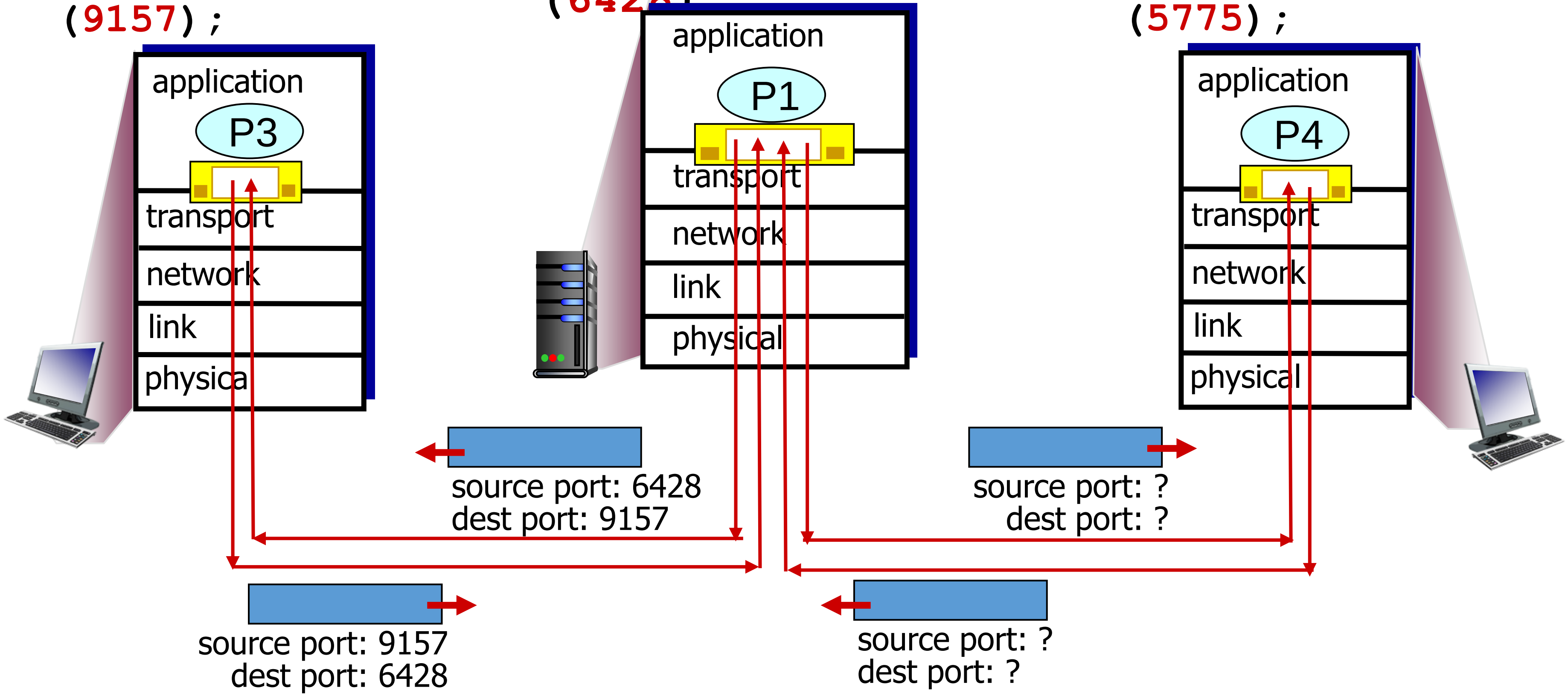
IP datagrams with *same dest. port #,* but different source IP addresses and/or source port numbers will be directed to *same socket* at dest

Connectionless demux (cont)

```
DatagramSocket
 serverSocket = new
 DatagramSocket
 (6428);
```

```
DatagramSocket
 mySocket2 = new
 DatagramSocket
 (9157);
```

```
DatagramSocket
 mySocket1 = new
 DatagramSocket
 (5775);
```

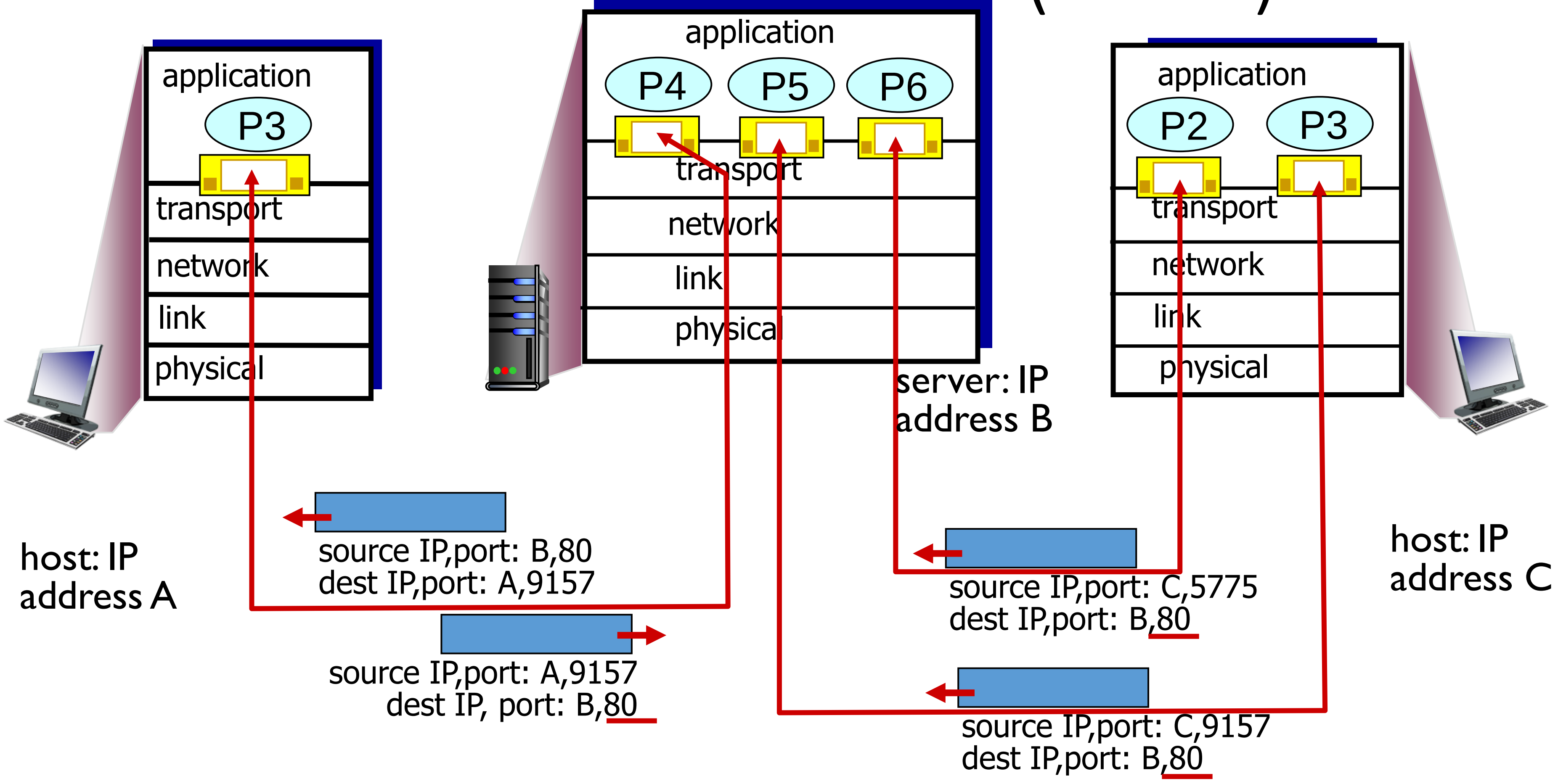


Connection-oriented demux

- TCP socket identified by 4-tuple:
  - source IP address
  - source port number
  - dest IP address
  - dest port number
- recv host uses all four values to direct segment to appropriate socket

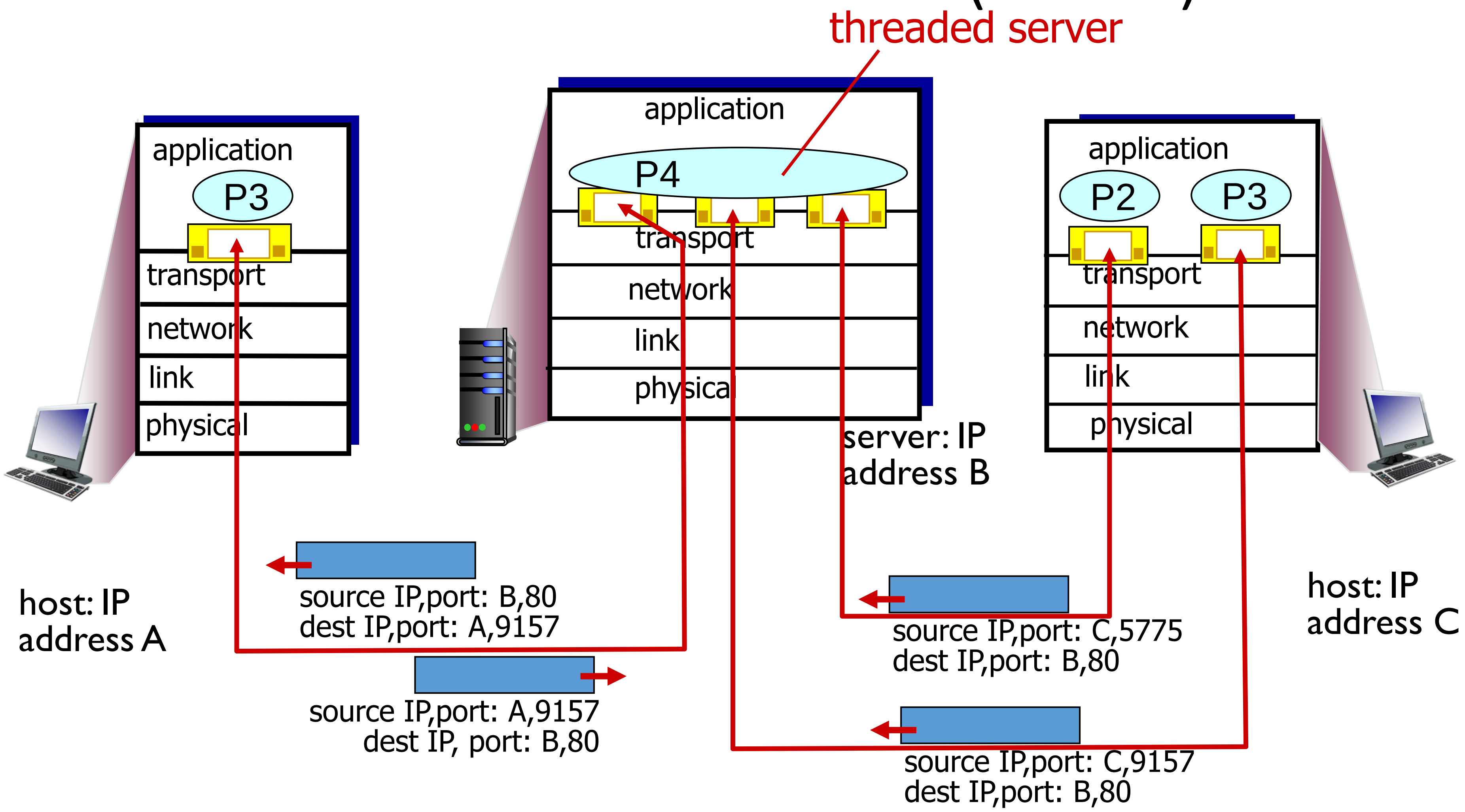
- server host may support many simultaneous TCP sockets:
  - each socket identified by its own 4-tuple
- web servers have different sockets for each connecting client
  - non-persistent HTTP will have different socket for each request

Connection-oriented demux (cont.)



three segments, all destined to IP address: B,
dest port: 80 are demultiplexed to *different* sockets

Connection-oriented demux (cont.)



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UDP: User Datagram Protocol [RFC 768]

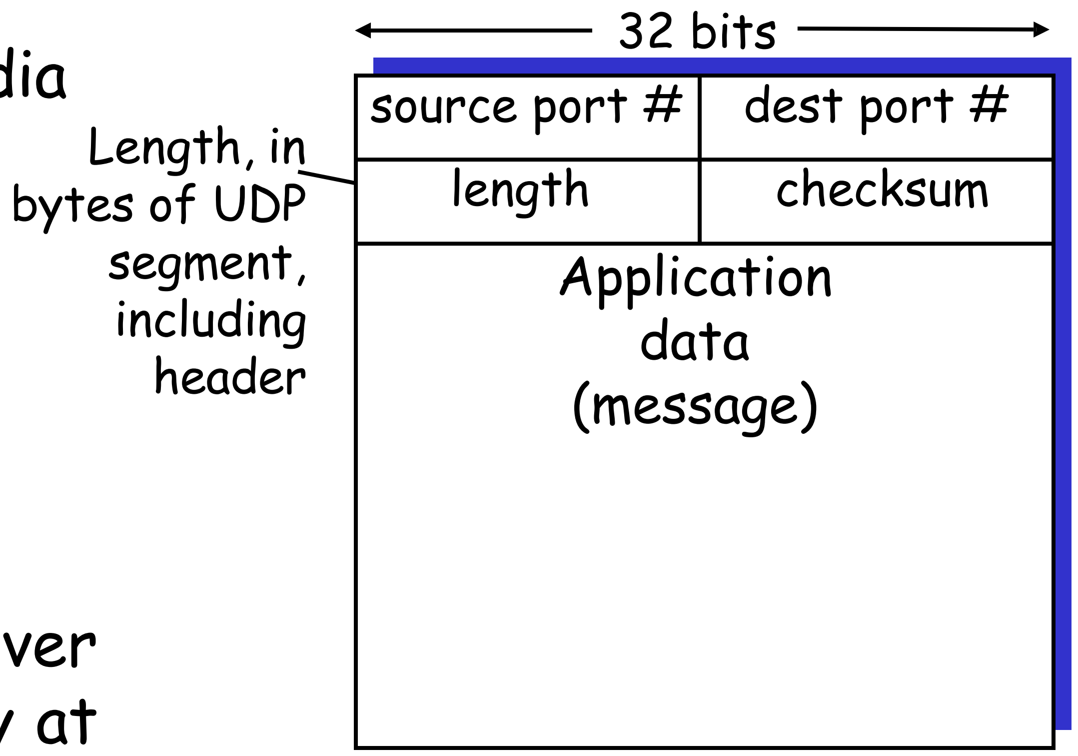
- "no frills," "bare bones" Internet transport protocol
- "best effort" service, UDP segments may be:
  - lost
  - delivered out of order to app
- connectionless:
  - no handshaking between UDP sender, receiver
  - each UDP segment handled independently of others

Why is there a UDP?

- no connection establishment (which can add delay)
- simple: no connection state at sender, receiver
- small segment header
- no congestion control: UDP can blast away as fast as desired

UDP: more

- often used for streaming multimedia apps
  - loss tolerant
  - rate sensitive
- other UDP uses
  - DNS
  - SNMP
- reliable transfer over UDP: add reliability at application layer
  - application-specific error recovery!



UDP segment format

UDP checksum

Goal: detect "errors" (e.g., flipped bits) in transmitted segment

Sender:

- treat segment contents as sequence of 16-bit integers
- checksum: addition (1's complement sum) of segment contents
- sender puts checksum value into UDP checksum field

Receiver:

- compute checksum of received segment
- check if computed checksum equals checksum field value:
  - NO - error detected
  - YES - no error detected. But maybe errors nonetheless? More later ….

Internet Checksum Example

example: add three words 16-bit

```
           0110011001100110
           0101010101010101
           0000111100001111
           ----------------

     sum   1100101011001010
checksum   0011010100110101
```

Note: when adding numbers, a carryout from the most significant bit needs to be added to the result

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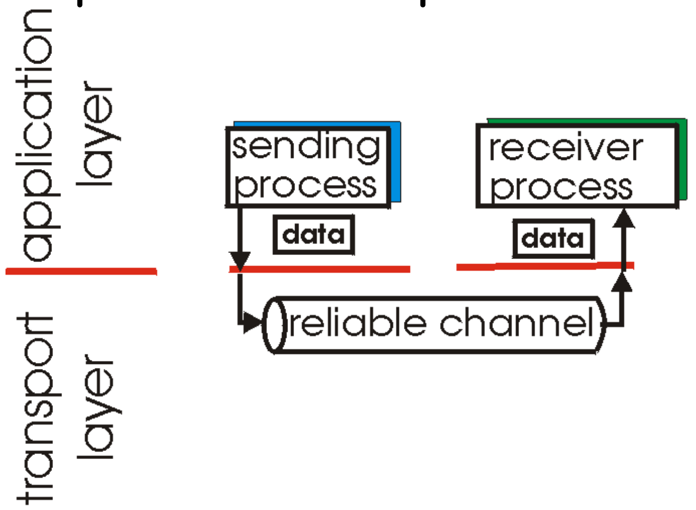
Principles of Reliable data transfer

- important in app., transport, link layers
- top-10 list of important networking topics!



(a) provided service

- characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

Principles of Reliable data transfer

- important in app., transport, link layers
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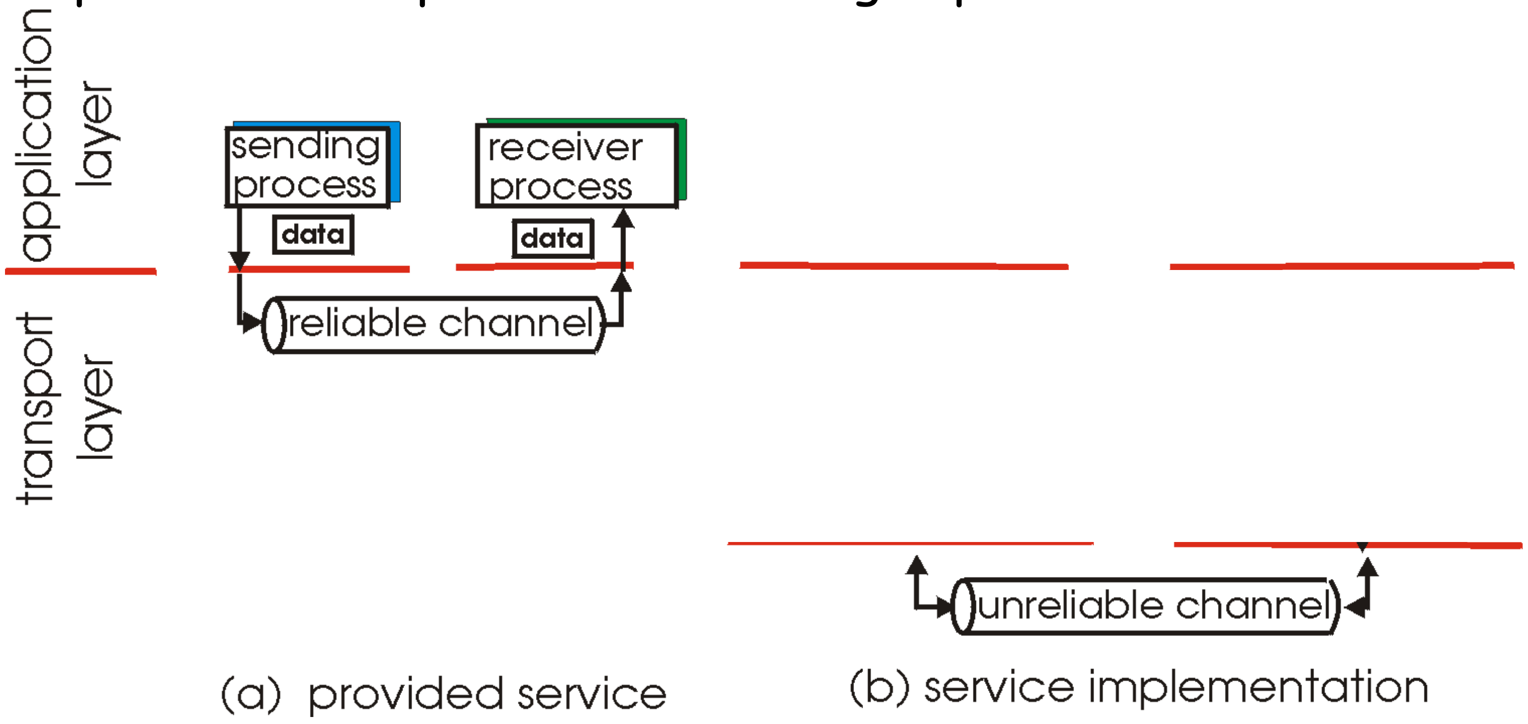

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Principles of Reliable data transfer

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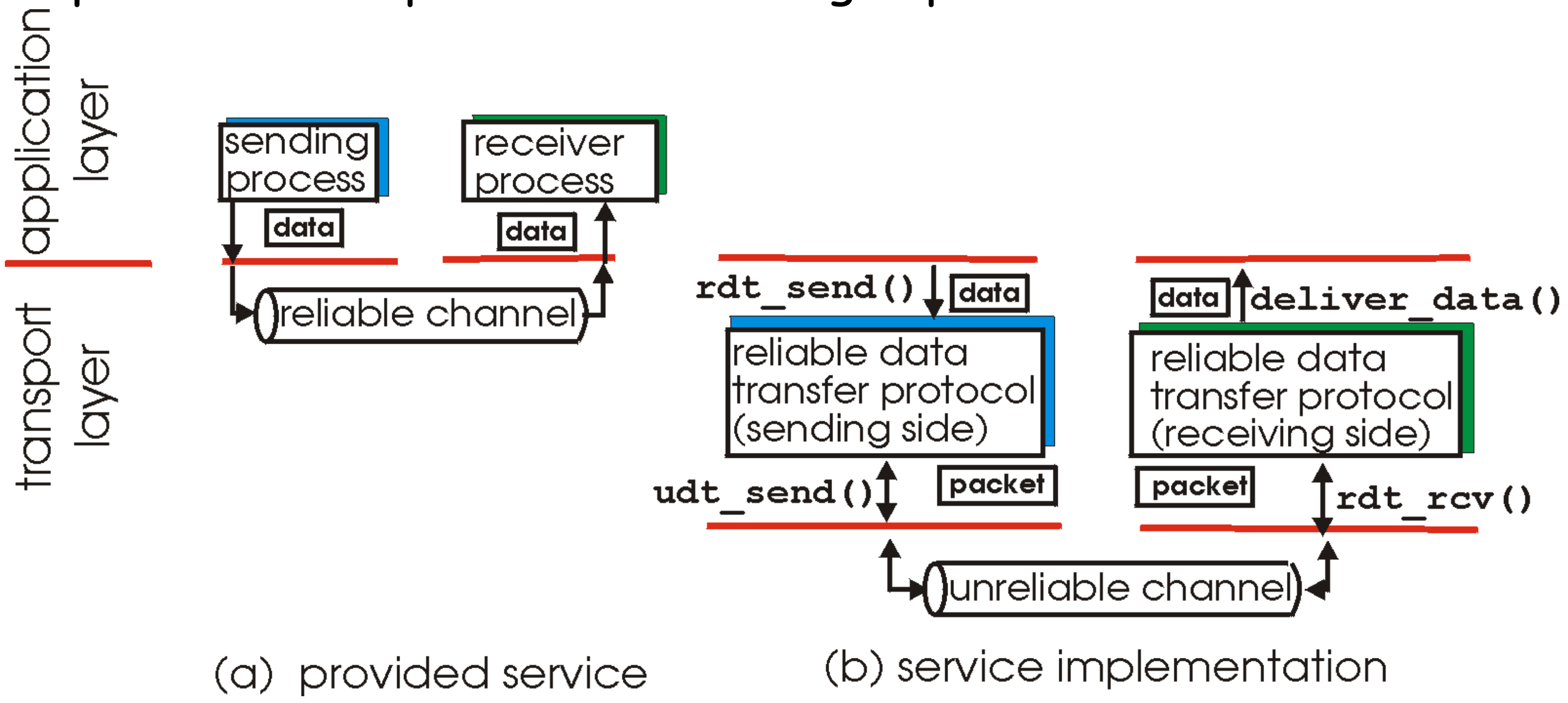
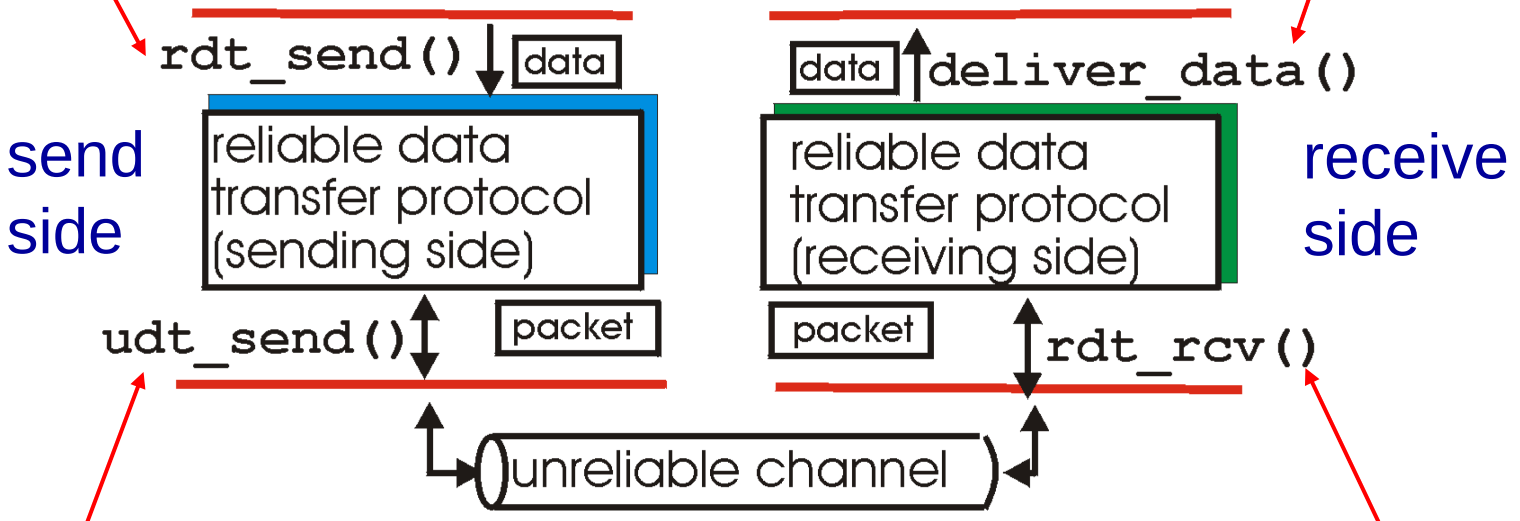


- characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

Reliable data transfer: getting started

rdt_send(): called from above, (e.g., by app.). Passed data to deliver to receiver upper layer

deliver_data(): called by **rdt** to deliver data to upper



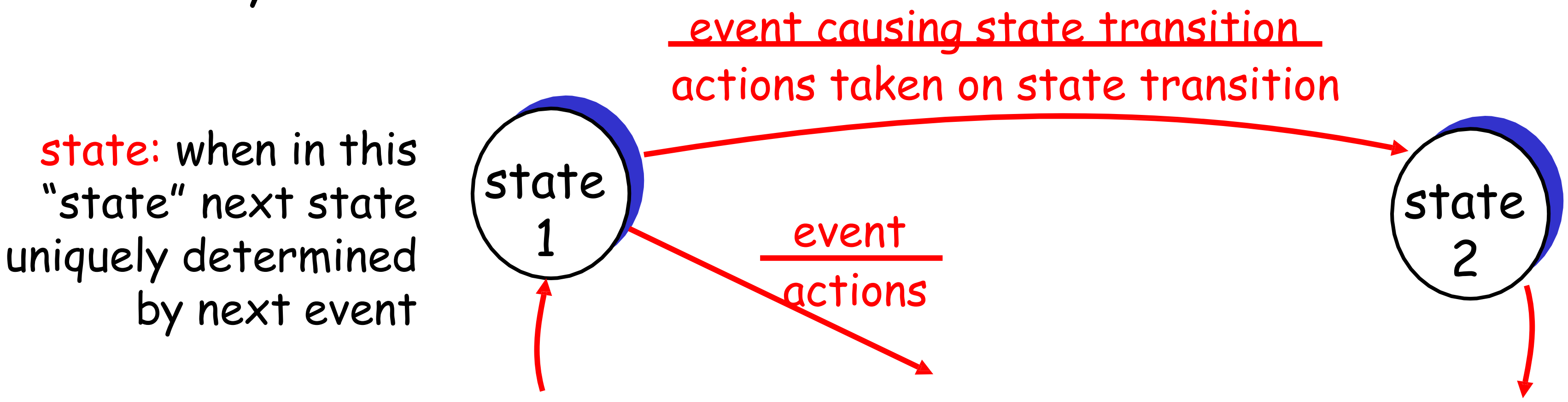
udt_send(): called by rdt, to transfer packet over unreliable channel to receiver

rdt_rcv(): called when packet arrives on rcv-side of channel

Reliable data transfer: getting started

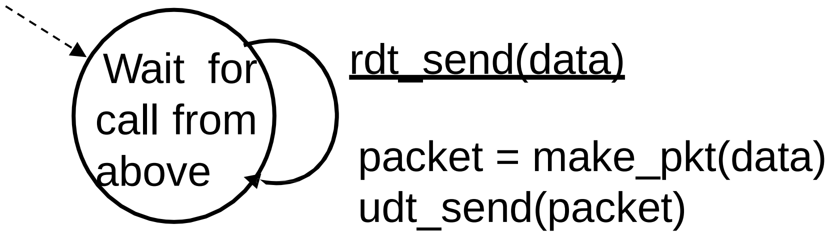
We'll:

- incrementally develop sender, receiver sides of reliable data transfer protocol (rdt)
- consider only unidirectional data transfer
  - but control info will flow on both directions!
- use finite state machines (FSM) to specify sender, receiver

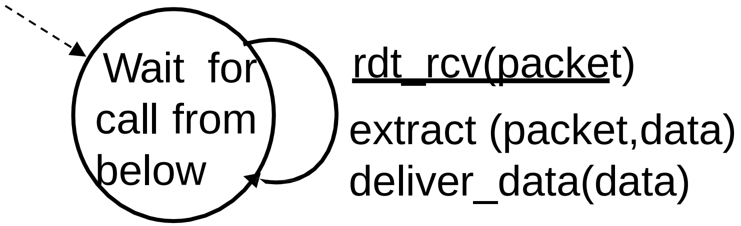


Rdt1.0: reliable transfer over a reliable channel

- underlying channel perfectly reliable
  - no bit errors
  - no loss of packets
- separate FSMs for sender, receiver:
  - sender sends data into underlying channel
  - receiver read data from underlying channel



sender



receiver

Rdt2.0: channel with bit errors

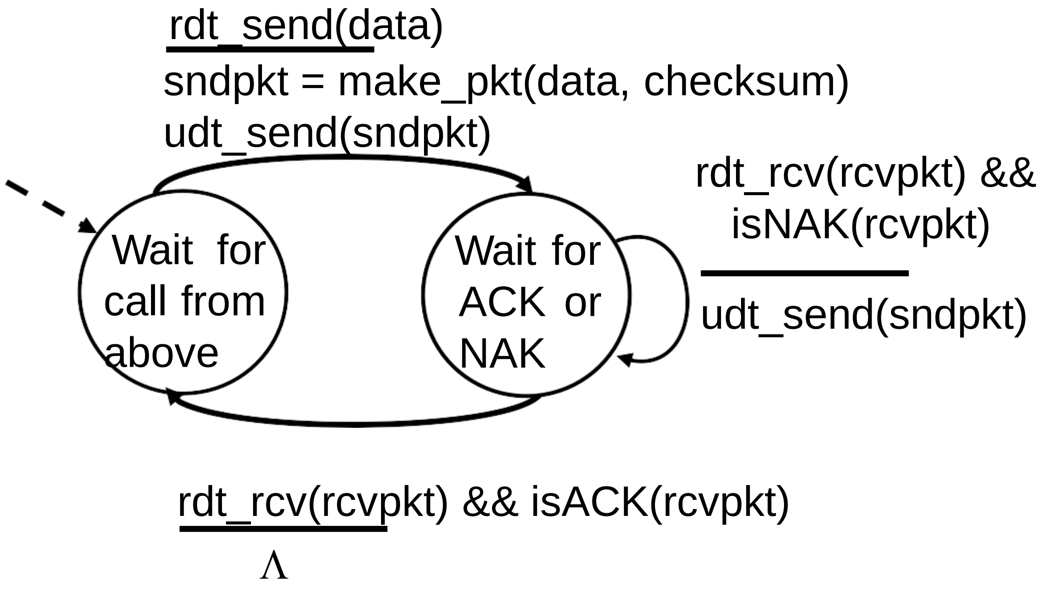
- underlying channel may flip bits in packet
  - checksum to detect bit errors
- the question: how to recover from errors:

How do humans recover from "errors"
during conversation?

Rdt2.0: channel with bit errors

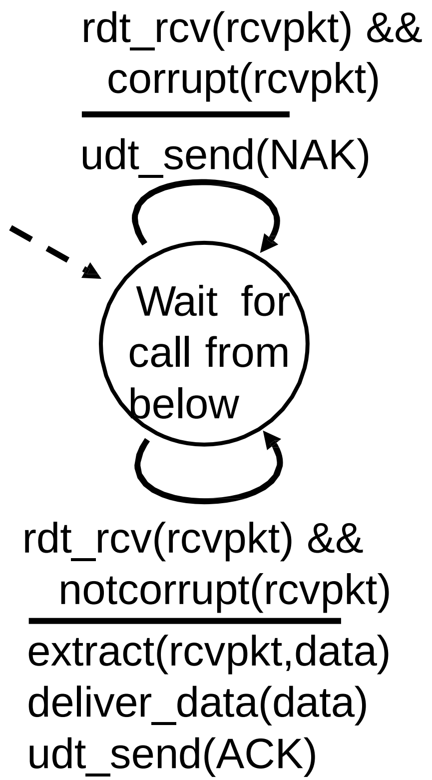
- underlying channel may flip bits in packet
  - checksum to detect bit errors
- the question: how to recover from errors:
  - acknowledgements (ACKs): receiver explicitly tells sender that pkt received OK
  - negative acknowledgements (NAKs): receiver explicitly tells sender that pkt had errors
  - sender retransmits pkt on receipt of NAK
- new mechanisms in `rdt2.0` (beyond `rdt1.0`):
  - error detection (checksum)
  - receiver feedback: control msgs (ACK,NAK) rcvr->sender

rdt2.0: FSM specification

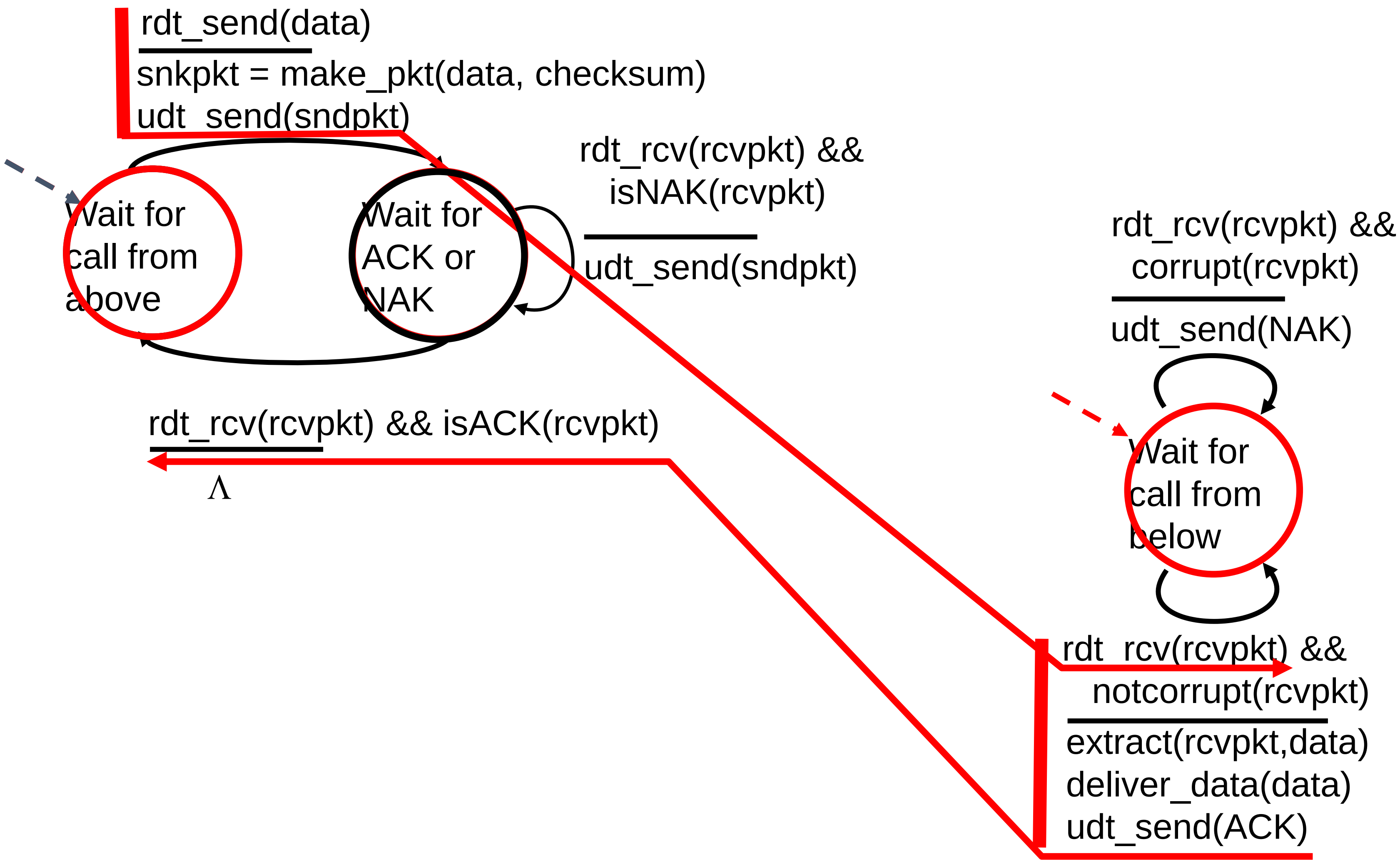


sender

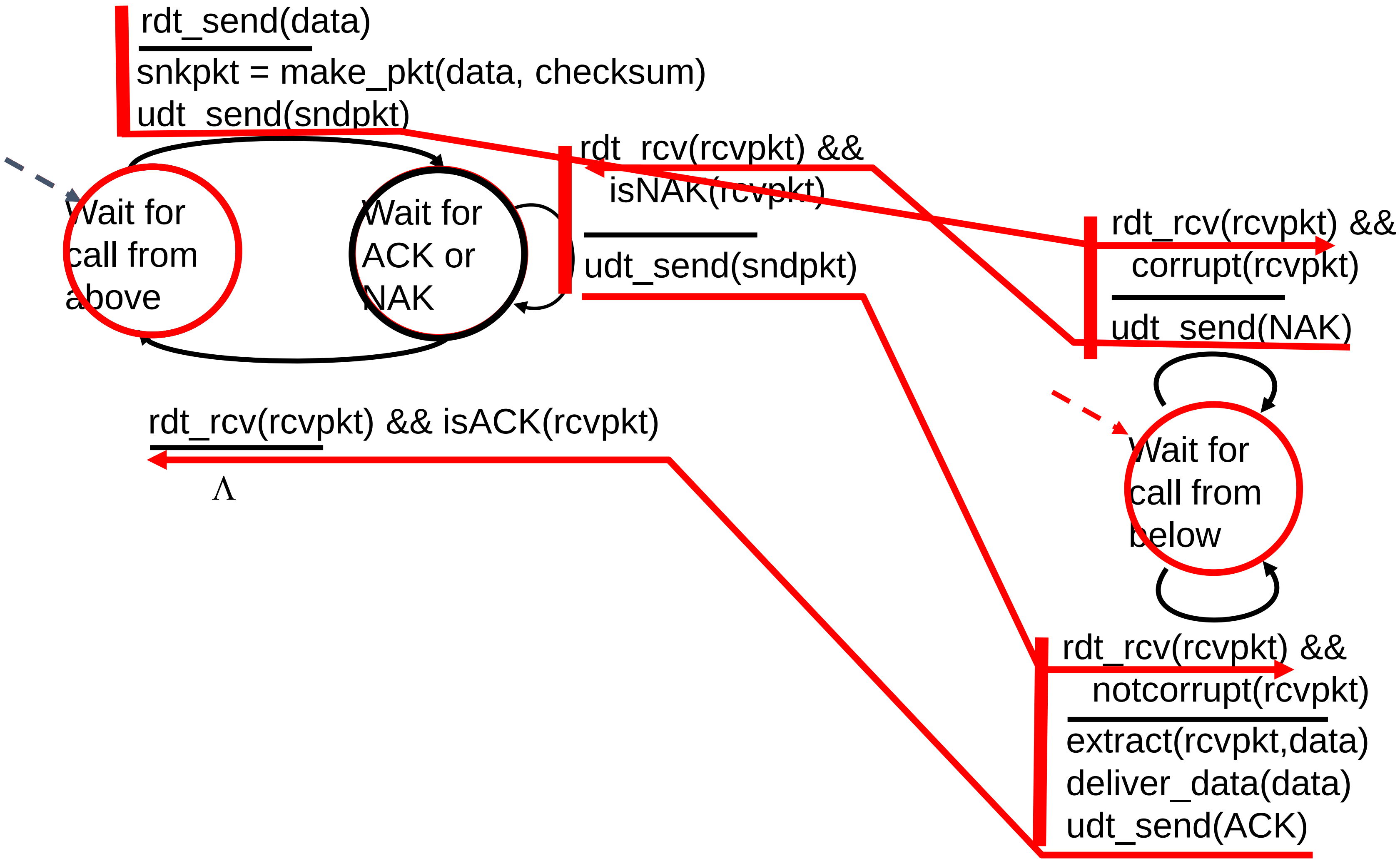
receiver



rdt2.0: operation with no errors



rdt2.0: error scenario



rdt2.0 has a fatal flaw!

what happens if ACK/NAK corrupted?

- sender doesn't know what happened at receiver!
- can't just retransmit: possible duplicate

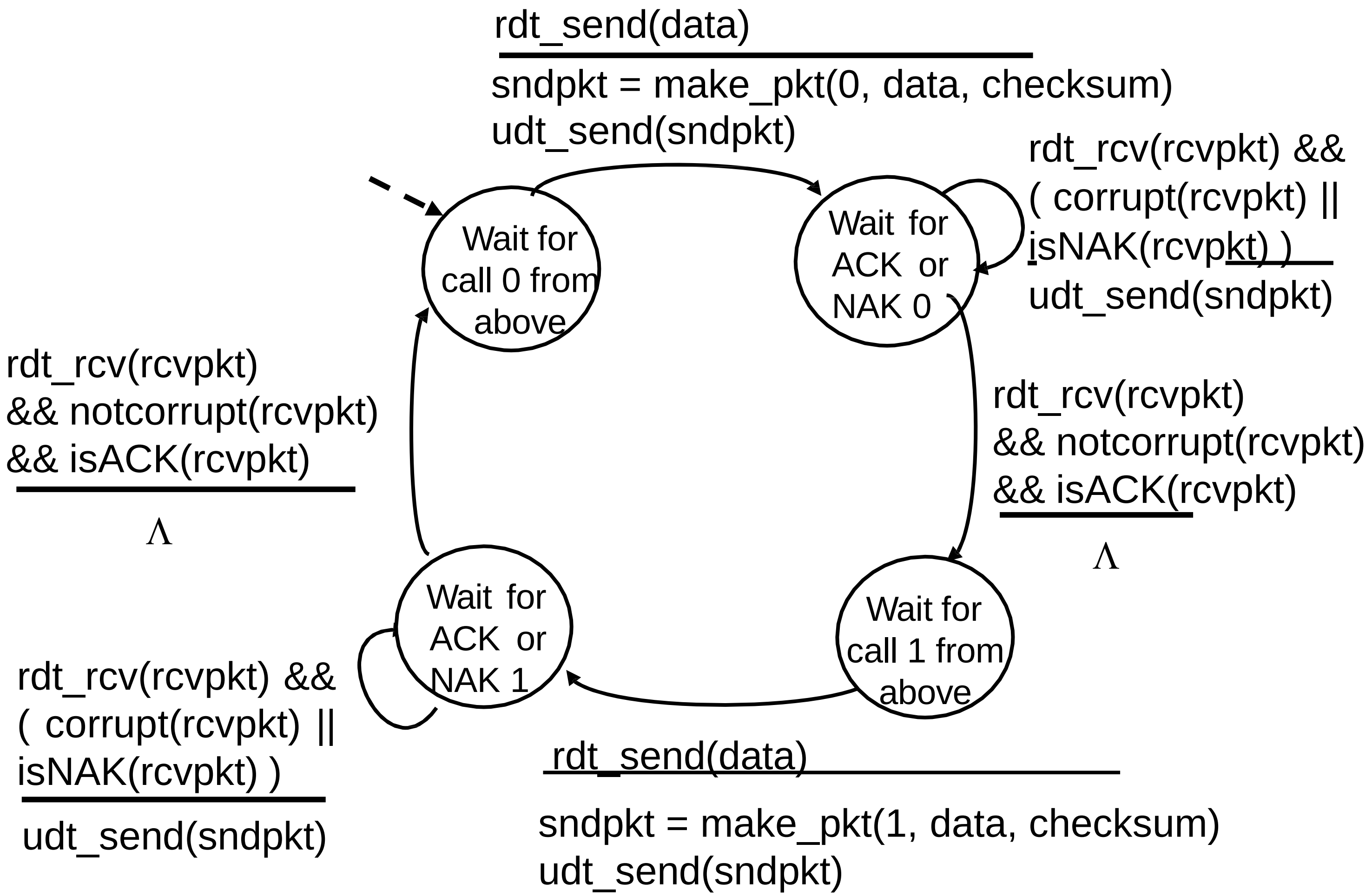
handling duplicates:

- sender retransmits current pkt if ACK/NAK corrupted
- sender adds *sequence number* to each pkt
- receiver discards (doesn't deliver up) duplicate pkt

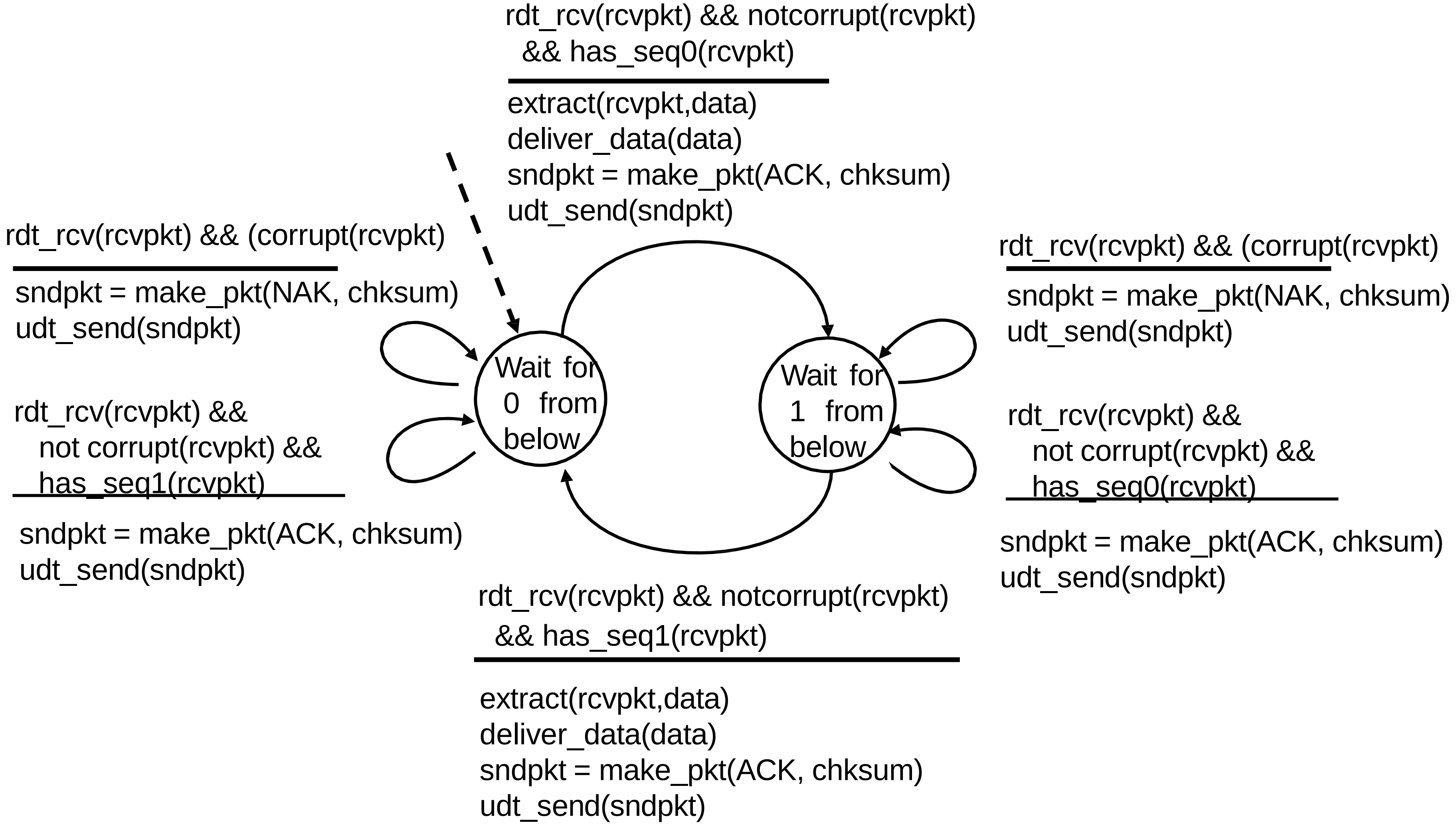
stop and wait

sender sends one packet, then waits for receiver response

rdt2.1: sender, handles garbled ACK/NAKs



rdt2.1: receiver, handles garbled ACK/NAKs



rdt2.1: discussion

Sender:

- seq # added to pkt
- two seq. #'s (0,1) will suffice.
- must check if received ACK/NAK corrupted
- twice as many states
  - state must "remember" whether "current" pkt has 0 or 1 seq. #

Receiver:

- must check if received packet is duplicate
  - state indicates whether 0 or 1 is expected pkt seq #
- note: receiver can not know if its last ACK/NAK received OK at sender

Recap

Rdt Version	Scenario	Features Added
1.0	No error	Nothing
2.0	Data Bit Error	Checksum, ACK/NAK
2.1	Data Bit Error ACK/NAK Bit Error	Checksum, ACK/NAK, Sequence Number
3.0	What else?	

rdt3.0: channels with errors and loss

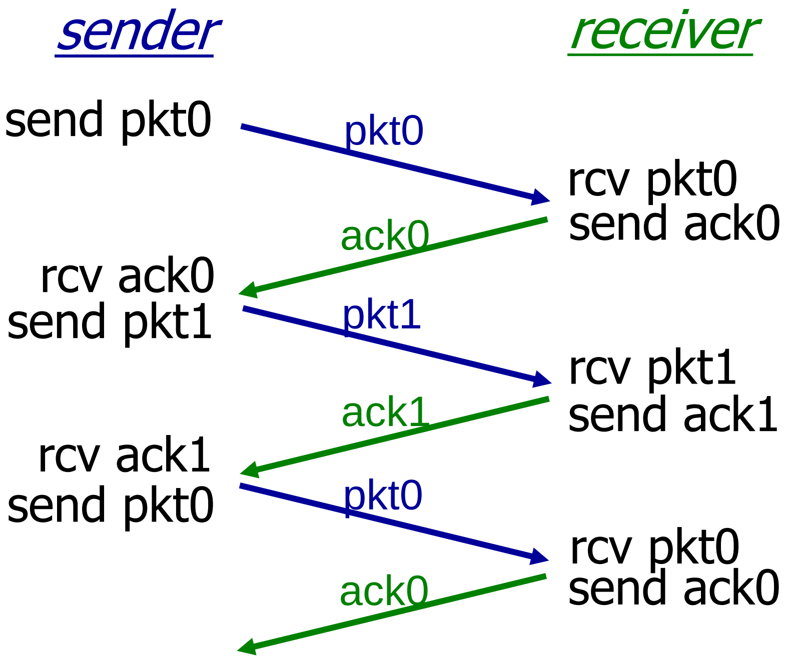
New assumption:
underlying channel can also lose packets (data or ACKs)

- checksum, seq. #, ACKs, retransmissions will be of help, but not enough

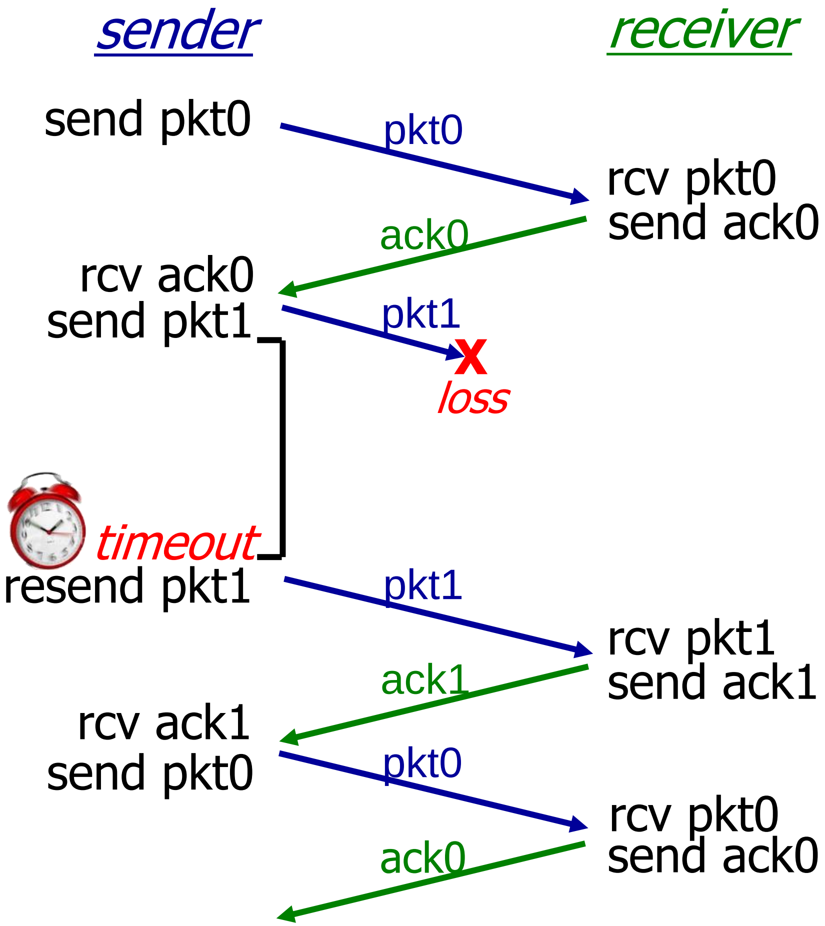
Approach: sender waits "reasonable" amount of time for ACK

- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
  - retransmission will be duplicate, but use of seq. #'s already handles this
  - receiver must specify seq # of pkt being ACKed
- requires countdown timer

rdt3.0 in action

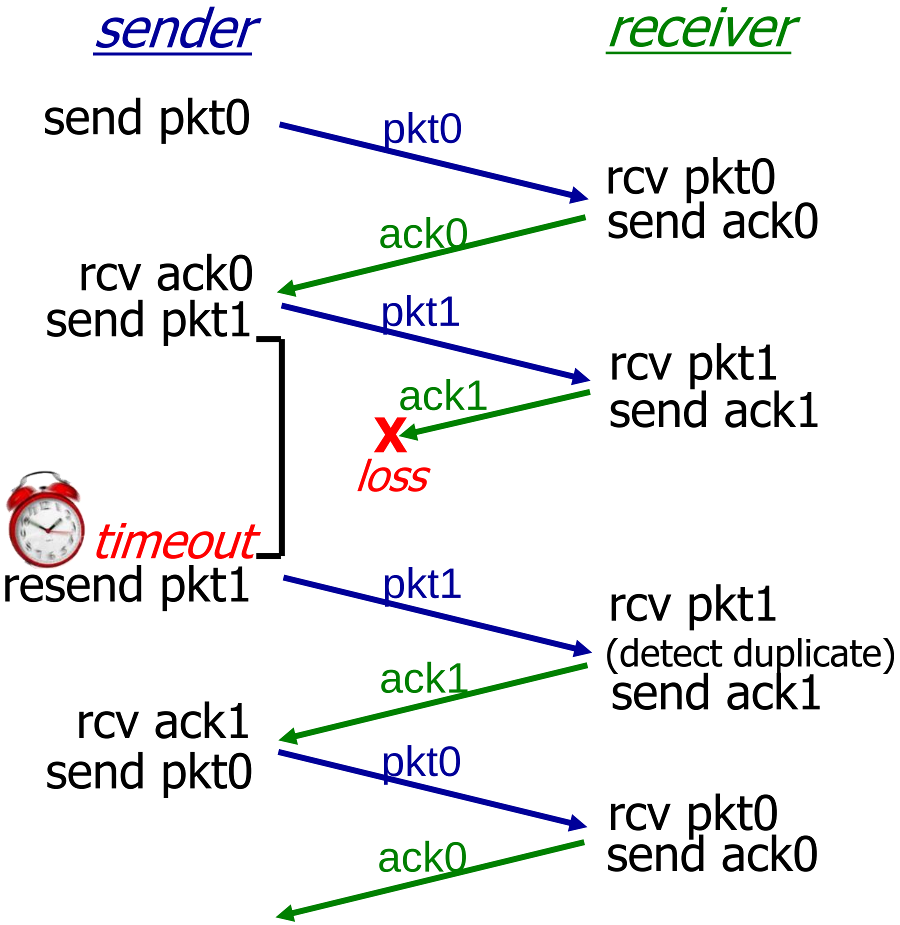


(a) no loss

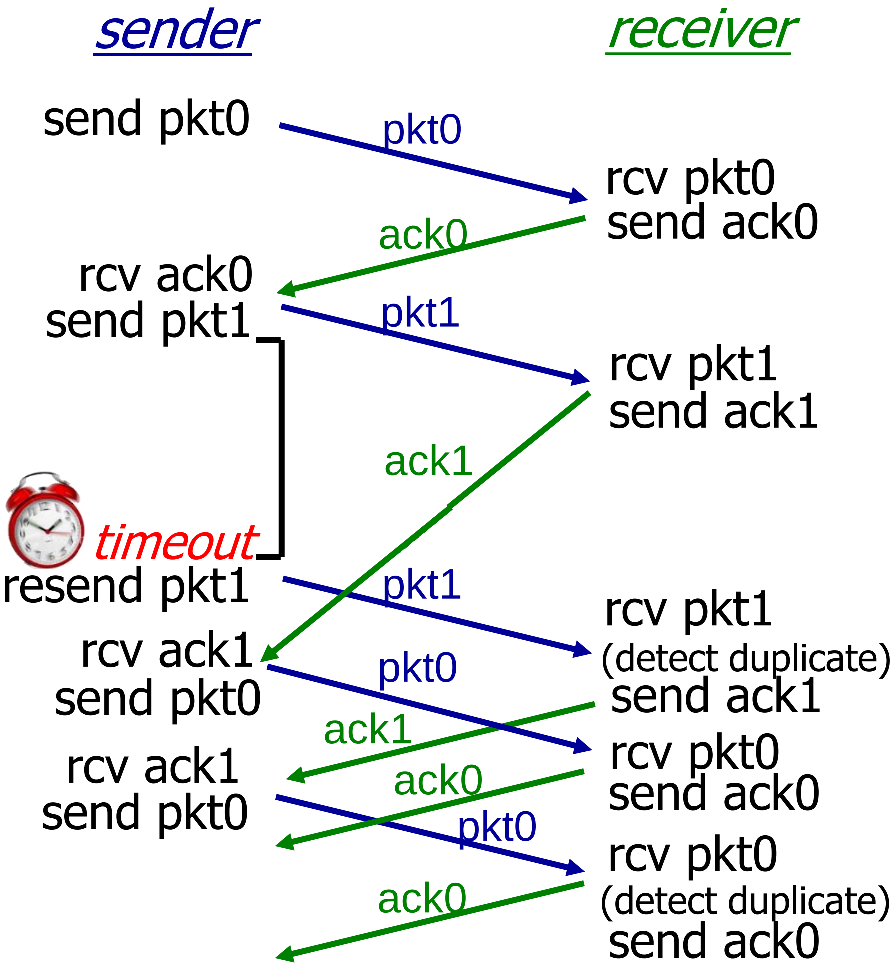


(b) packet loss

rdt3.0 in action



(c) ACK loss



(d) premature timeout/ delayed ACK

Recap

Rdt Version	Scenario	Features Added
1.0	No error	Nothing
2.0	Data Bit Error	Checksum, ACK/NAK
2.1	Data Bit Error ACK/NAK Bit Error	Checksum, ACK/NAK, Sequence Number
3.0	Data Bit Error ACK/NAK Bit Error Packet Loss	Checksum, ACK/NAK, Sequence Number, Timeout

Performance of rdt3.0

- rdt3.0 works, but performance stinks
- ex: 1 Gbps link, 15 ms prop. delay, 8000 bit packet:

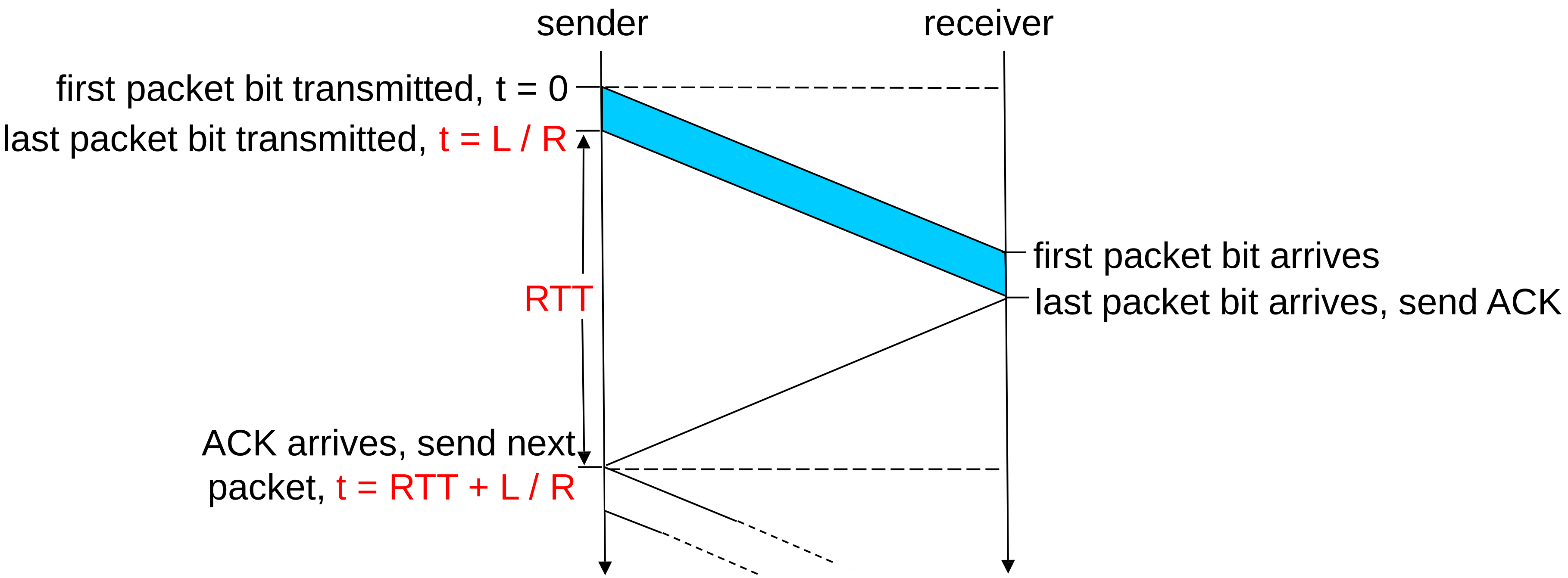
$$d_{trans} = \frac{L}{R} = \frac{8000 \text{bits}}{10^9 \text{bps}} = 8 \text{ microseconds}$$

  - U_{sender}: utilization – fraction of time sender busy sending

$$U_{sender} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

  - if RTT=30 msec, 1KB pkt every 30 msec -> 33kB/sec thruput over 1 Gbps link
  - network protocol limits use of physical resources!

rdt3.0: stop-and-wait operation



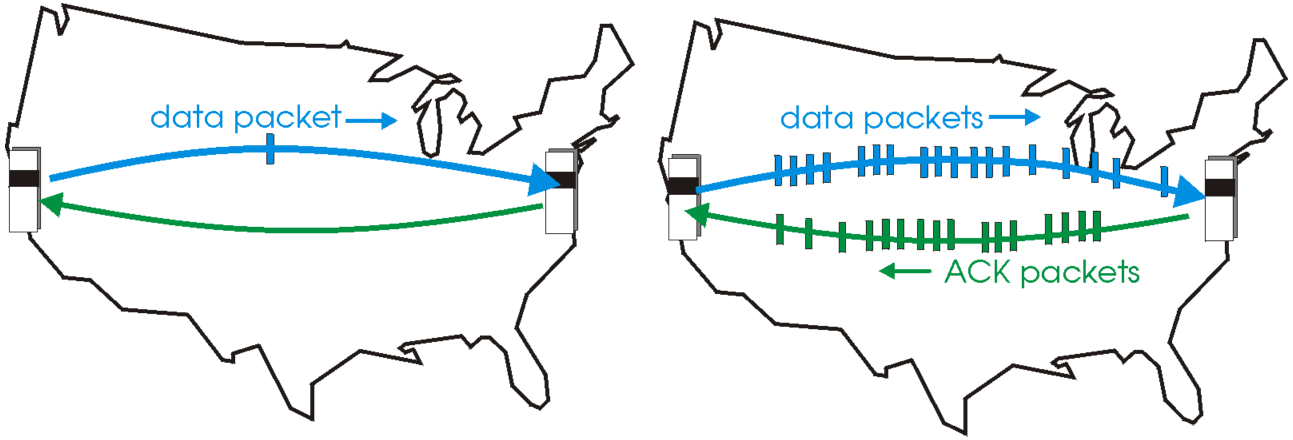
$$U_{sender} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

Why send only one packet?
Can we send more?

Pipelined protocols

pipelining: sender allows multiple, "in-flight", yet-to-be-acknowledged pkts

- range of sequence numbers must be increased
- buffering at sender and/or receiver

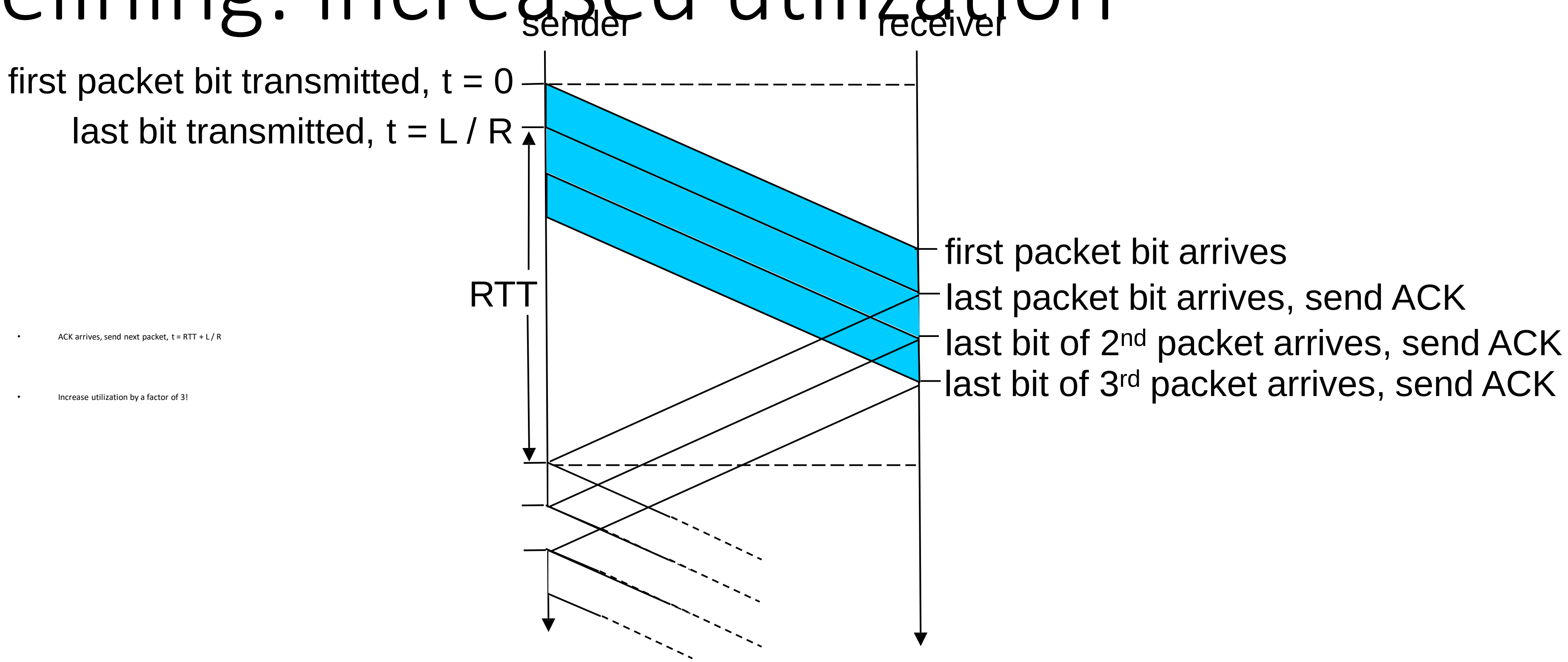


(a) a stop-and-wait protocol in operation

(b) a pipelined protocol in operation

- two generic forms of pipelined protocols: go-Back-N, selective repeat

Pipelining: increased utilization



- ACK arrives, send next packet, t = RTT + L / R
- Increase utilization by a factor of 3!

$$U_{sender} = \frac{3 * L / R}{RTT + L / R} = \frac{.024}{30.008} = 0.0008$$

How many packets should be in the pipeline?

Bandwidth-Delay Product (BDP)

- A logical link has a bandwidth of B bits/s and the round trip time is D sec.
- Bandwidth-Delay Product or BDP is computed as B*D
- Example:

1. Two computers are connected over a WAN and can transfer data at 2Mbps with 100ms round trip time
   - BDP = 2 * 0.1 = 0.2 Mb
2. A satellite link has a bandwidth of 10Mbps and RTT of 1s
   - BDP = 10 * 1 = 2 Mb
3. Two computers from two universities in different continents are connected by a 500Mps link and has RTT of 200ms
   - BDP = 500 * 0.2 = 100 Mb

BDP Computation (cont'd)

How do you interpret BDP?

Why is BDP interesting?

- BDP estimates on the amount of data that the communicate pipe can "store"
  - If the amount of unack data (window size) allowed is less than the BDP, sender will be idle at times, leading to lower efficiency

Pipelined Protocols

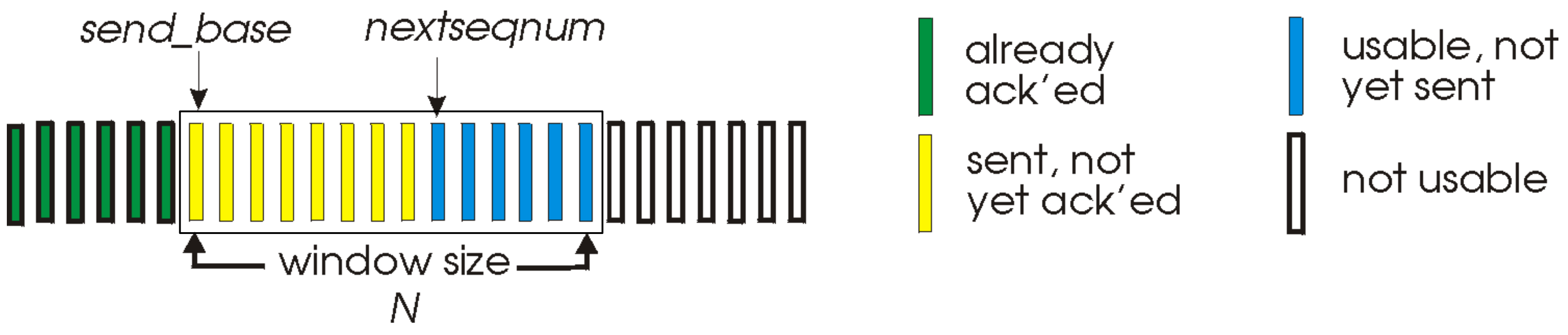
- Selective Repeat: big pic
- sender can have up to N unack'ed packets in pipeline
- rcvr sends individual ack for each packet
- sender maintains timer for each unacked packet
  - when timer expires, retransmit only unack'ed packet

- Go-back-N: big picture:
- sender can have up to N unacked packets in pipeline
- rcvr only sends
- cumulative acks
  - doesn't ack packet if
- there's a gap
- sender has timer for oldest unacked packet
  - if timer expires, retransmit all unack'ed packets

Go-Back-N

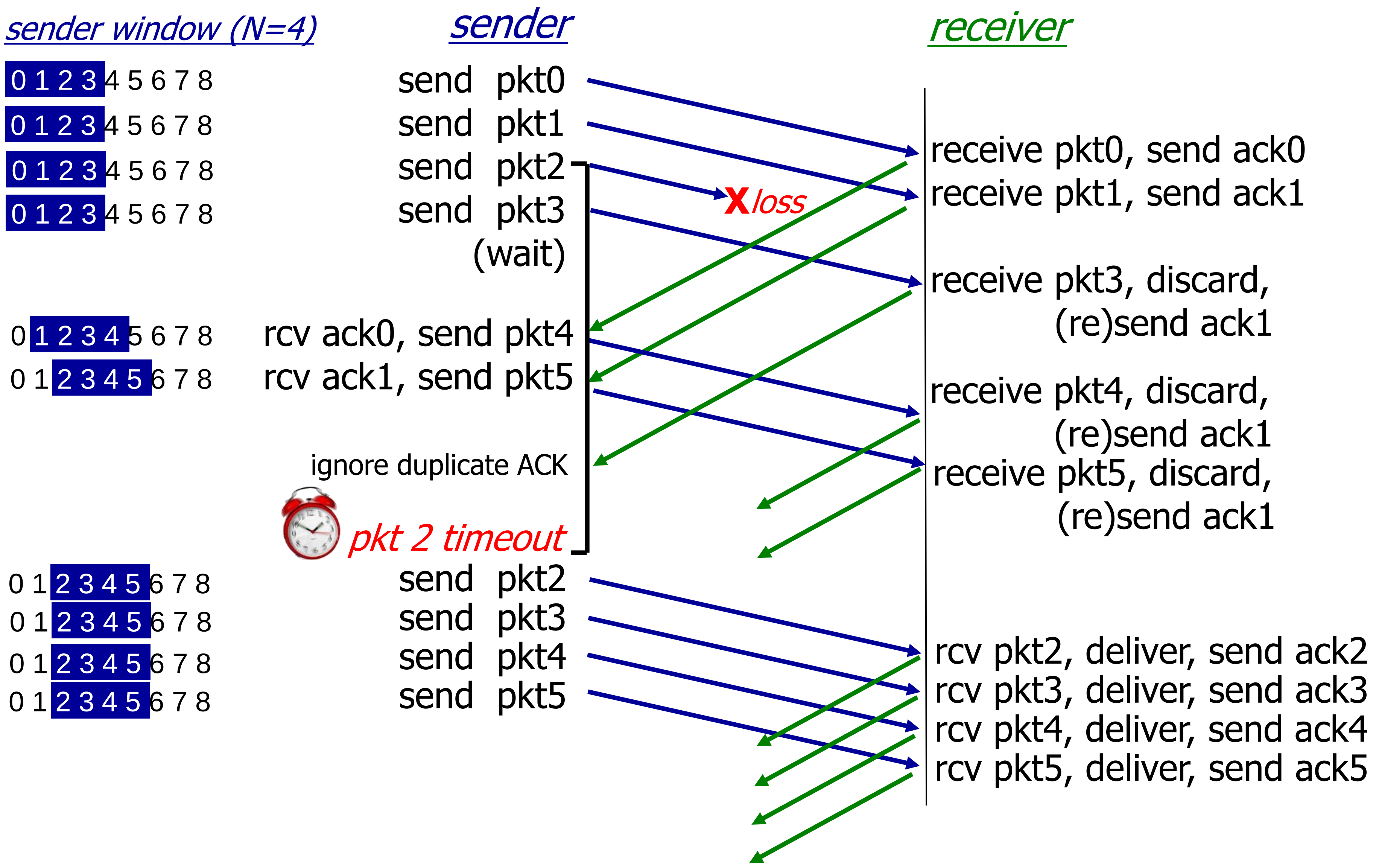
Sender:

- k-bit seq # in pkt header
- "window" of up to N, consecutive unack'ed pkts allowed



- ACK(n): ACKs all pkts up to, including seq # n - "cumulative ACK"
  - may receive duplicate ACKs (see receiver)
- timer for each in-flight pkt
- timeout(n): retransmit pkt n and all higher seq # pkts in window

Go-Back-N


sender window (N=4)
sender
receiver
0 1 2 3 4 5 6 7 8
0 1 2 3 4 5 6 7 8
0 1 2 3 4 5 6 7 8
0 1 2 3 4 5 6 7 8
send pkt0
send pkt1
send pkt2
send pkt3
(wait)
X loss
receive pkt0, send ack0
receive pkt1, send ack1
receive pkt3, discard,
(re)send ack1
0 1 2 3 4 5 6 7 8
0 1 2 3 4 5 6 7 8
rcv ack0, send pkt4
rcv ack1, send pkt5
receive pkt4, discard,
(re)send ack1
receive pkt5, discard,
(re)send ack1
ignore duplicate ACK
pkt 2 timeout
0 1 2 3 4 5 6 7 8
0 1 2 3 4 5 6 7 8
0 1 2 3 4 5 6 7 8
0 1 2 3 4 5 6 7 8
send pkt2
send pkt3
send pkt4
send pkt5
rcv pkt2, deliver, send ack2
rcv pkt3, deliver, send ack3
rcv pkt4, deliver, send ack4
rcv pkt5, deliver, send ack5

Selective Repeat

- receiver individually acknowledges all correctly received pkts
  - buffers pkts, as needed, for eventual in-order delivery to upper layer
- sender only resends pkts for which ACK not received
  - sender timer for each unACKed pkt
- sender window
  - N consecutive seq #'s
  - again limits seq #s of sent, unACK'ed pkts