

Cosine Transformer for Transductive Few-Shot Image Classification

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Abstract. This paper addresses the few-shot image classification problem. Given a pair of labeled support and unlabeled query sets, the approaching method is to obtain the heatmap between two sets to label the latter one in the transductive setting manner. One effective methods is to utilize the cross-attention with the scaled dot-product mechanism. However, the difference in magnitude between two different sets of embedding vectors can affect the output attention map a great deal. We tackle this problem by improving the attention mechanism with cosine similarity. With the proposed cosine attention, we develop a few-shot image classification method named FS-CT (Few-shot Cosine Transformer). The proposed cosine attention improves FS-CT performances by nearly 5% to over 20% in accuracy compared to the scaled dot-product attention in various scenarios on *mini*-ImageNet, CUB-200, and CIFAR-FS datasets. The FS-CT method along with the cosine attention mechanism is simple to implement, with the implementation code available at <https://github.com/vinuni-vishc/Few-shot-transformer>.

1 Introduction

In this paper, we seek to tackle the image classification task in few-shot learning, which learns to perform the classification task given small labeled data and is able to shift into other novel tasks. Few-shot learning methods can be essential in several applications, especially in medical imaging or security research, where the data is unavailable due to scarcity or being under privacy or security issues. A standard few-shot learning problem, generally, includes two major sets: a labeled *support set* that provides just small sample images describing the category and the unlabeled *query set* to perform the task, in this case, categorical classification. Both sets are then split into two groups, disjoint in categories, for

training and evaluating the few-shot method. Previous studies on few-shot classification can be split into three main approaches: (i) using a distance or similarity metric to label query samples on a learnable embedding space [32], (ii) utilizing an additional memory for an extra learning method [26], and (iii) fine-tuning learnable parameters to transfer to other tasks with few update steps [23]. Since the supporting data is limited, a good embedding is often required to tackle the few-shot problem effectively. However, while few-shot learning may be an effective solution for the scarce data problems, there are two major challenges for the study: (i) few support images are unable to describe the category under the variations in appearance and shape and (ii) support and query images may significantly differ under different variations as in the first challenge.

To develop an effective method for few-shot learning problems, a pivotal step is obtaining a well-represented relationship between the labeled set and unlabeled set to perform tasks on the latter one. One effective approach is utilizing the attention mechanism, the core of transformer architectures, for the relational map. There are two main variants for the mechanism: cross-attention calculates the external association between two sets of features, and self-attention calculates the internal relationship within one set. In this work, our core idea to tackle the few-shot image classification task is utilizing the cross-attention mechanism between support and query sets to classify the queries. To obtain good representatives for the support set, the prototypical embedding [29] is applied, as it comes with a well-represented feature for individual classes with low computation cost. The prototypical embedding along with the cross-attention mechanism are two main components of our proposed approach in this study.

However, the two limitations of few-shot learning affect these two modules significantly. As the small number of support samples cannot represent the full extent of the category, the arithmetic mean approach is unreliable for prototypical embedding when the support samples, chosen randomly, might in the margin of the data distribution. This may make the prototypical feature unrepresented. On the other hand, the differences between support and query sets may come with differences in feature and magnitude and that affects the performance of the attention map a great deal when using the default scaled dot-product mechanism [31]. We noticed these limitations haven't been tackled in previous studies. Therefore, we made two improvements to directly tackle these two limitations: (i) propose a weight averaging method with learnable weight replacing the arithmetic mean of the prototypical embedding, and (ii) eliminate the differences in magnitude between two feature sets with a proposed cosine attention mechanism. These two improvements are incorporated in our proposed method Few-shot Cosine Transformer (FS-CT).

To evaluate the effectiveness of our proposed method, we developed a detailed empirical evaluation scheme on various settings, configurations, and backbone

networks. Our FS-CT with two attention variants using either the standard scaled-dot product attention (which is called Softmax Attention in this study) and the improved Cosine Attention are evaluated on three few-shot datasets *mini*-ImageNet, CUB-200, and CIFAR-FS under various backbones and different settings. We further utilized another attention-based few-shot image classification CrossTransformer (CTX) [6] for a fine comparison under the same evaluation scheme, also validating our proposed cosine attention on the other method. Based on the detail evaluation scheme, we conducted an ablation study on the impact of our improvement in the method’s performances. Furthermore, we developed a few-shot dataset describing yoga poses with 50 categories with arbitrary image size and variations, which somewhat reflect the actual data, as a customized dataset to evaluate methods’ performance and served as an initial step of conducting research for health-related yoga monitoring problem.

In summary, with the proposed FS-CT, our contributions in this paper are:

- We improve the prototypical network with learnable averaging weight for better categorical representation for the support set.
- We develop a new attention mechanism called cosine attention to learn attention weight without the effect of the difference in features’ magnitudes.
- We propose a few-shot image classification method based prototypical network and transformer framework.
- We develop a detail empirical evaluation scheme for our proposed method and conduct an ablated study to understand how our proposed modules improve model’s performances. We also develop a custom few-shot dataset for yoga poses classification.

In the following, Section 2 provides mathematical formulation of the few-shot task and reviews previous studies; Section 3 specifies our proposed FS-CT with cosine attention mechanism; Section 4 presents our experimental settings and evaluation; Section 5 discusses limitations and future works; and Section 6 concludes our contributions.

2 Background and Related Work

2.1 Related Work

Few-shot Learning Few-shot learning is a subset of Meta-learning, which develops models that are able to adapt into unseen task with small training data. Few-shot learning algorithms obtain task-level knowledge from seen data and effectively generalize them for solving tasks from unseen data. Based on the learning method, Few-shot learning algorithms can be divided into three learning methods. *Metric-based learning* [4, 13, 22, 29, 30, 32] learns an embedding space that samples from the same category are mapped to points that

close together and vice versa under a distance metric. *Memory-based learning* [19, 20, 26] involves external memory to store and update data, thus updating parameters rapidly to solve a few-shot learning problem. *Optimization-based learning* [8, 9, 14, 23, 25, 35] fine-tune model’s parameters to quickly adapt to the new task with only a few effective gradient descent steps. Metric-based learning is the most simple yet effective method among three, which usually consists of two stages: *feature extraction* to obtain extracted feature from both labeled and unlabeled samples under the same embedding space, and *metric function* to utilize a similarity or distance metric for categorizing unlabeled samples by comparing [30] or clustering [29] the embedded features.

Base on the test settings, there are two learning approaches for few-shot algorithm, including *inductive learning* [8, 28, 30, 32] and *transductive learning* [1, 6, 13, 16, 21]. Inductive learning classifies each query sample individually, while transductive learning classifies every query sample collectively. The latter learning method allows additional information in data distribution or visual resemblance can be obtained and leveraged among query samples, thus potentially improving the overall performance. In this study, we considered transductive learning for our method due to the characteristic of the attention mechanism.

Cross-attention mechanism After being introduced in [31] for natural language processing tasks, transformer soon rising to the dominance in computer vision [3, 5, 7]. The core of transformer is the attention mechanism, which calculates an attention map indicate the relationship between features for solving tasks. Attention mechanism comes with two variants, *self-attention* determines the inner relationships within a feature set, and *cross-transformer* calculates the outer relationships between two feature sets. Several few-shot learning studies are inspired by transformer and its attention mechanism [6, 11, 15, 34], where the methods, in general, involve attention mechanism to align labeled and unlabeled feature for classification.

While attention is a powerful mechanism, it has critical problems of missing good insight about attention and an expensive quadratic computational cost. Thus, research on the attention mechanism focus on three main directions: (i) reducing the computation cost, (ii) obtaining a good insight for attention, and (iii) designing a good attention mechanism for a specific task. Most studies on attention often focus on the self-attention mechanism with one set of features as input rather than two in cross-attention. Therefore, the difference between two feature sets that might happen in cross-attention becomes unnoticed. This made the attention output becomes unstable, thus reducing the transformer performance. In this paper, we explore deeply this phenomenon inside the scaled dot-product attention mechanism and propose a novel mechanism called cosine attention to tackle the problem.

2.2 Problem setting

We first formalize a standard few-shot classification problem while introducing some notations. Given a base set D_{base} and meta-learner algorithm $\mathcal{A}(\cdot | \theta)$. The objective of few-shot classification is to learn the optimal parameter θ^* so that it can achieve a good performance of algorithm $\mathcal{A}(\cdot | \theta)$ on a novel set D_{novel} . D_{base} categories do not overlap with D_{novel} categories.

A few-shot learning problem is usually trained with an episodic learning strategy, where the proposed approach is trained and tested on different tasks with different sets of categories. The episodic learning with m tasks is generally described in Figure 1. Individual task $\mathcal{T} = \{S, Q\} \sim p(D)$ is derived randomly from data set D , where D can be either the training set D_{base} or testing set D_{novel} depending on the training scheme and $p(D)$ is the distribution over D . Each task \mathcal{T} consists of two sets: labeled support set S and unlabeled query set Q that share the same n ground-truth categories. Task $\mathcal{T} = \{S, Q\}$ is also called *episodic batch* or episode for short. One training epoch may contain many different episodes.

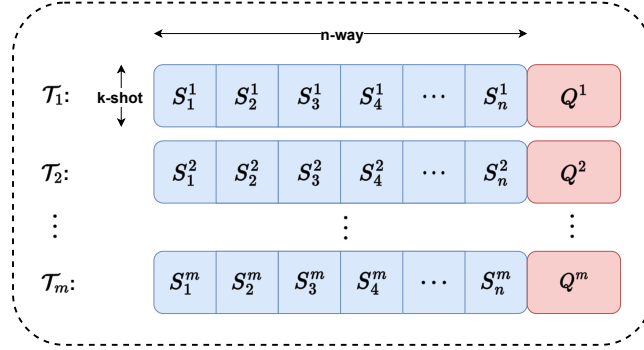


Fig. 1: The formulation of Few-shot learning problem on m tasks (episodes). Each task \mathcal{T} comes with different sets of categories and consists of labeled support set S and unlabeled query set Q that share the same categories and S follows the n -way k -shot setting.

Let (x, y) be defined as the input image sample and its ground-truth respectively. The objective for a Few-shot Learning problem is to recognizing labels for the query set $Q = \{\mathbf{x}_q^i\}_{i=1}^q$, given the support set $S = \{(\mathbf{x}_s, y_s)^{i,j}\}_{i=1, \dots, n}^{j=1, \dots, k}$, where $y_s \in C$ for a set of n categories (n -way), k is the number of training samples per category (k -shot), and q is the total number of Q samples. The number of k must be small in a few-shot setting. In this paper, we explore two configu-

rations: 5-way 5-shot and 5-way 1-shot. The few-shot classification problem can be formulated with the following optimization function:

$$\begin{aligned}\theta^* &= \arg \min_{\theta} \mathbb{E}_{(S,Q) \sim p(D)} \mathcal{L}(S, Q; \mathbf{A}(\cdot | \theta)) \\ \mathcal{L}(S, Q; \mathbf{A}(\cdot | \theta)) &= \frac{1}{q} \sum_{(\mathbf{x}_q, y) \in Q} -\log [p(y | \hat{y} = \mathbf{A}(\mathbf{x}_q | \theta); S)] + \lambda R(\theta)\end{aligned}\tag{1}$$

where $p(y | \mathbf{A}(\mathbf{x}_q | \theta); S)$ is the probabilistic prediction of sample $\mathbf{x}_q \in Q$ on true label y using few-shot algorithm $\mathbf{A}(\cdot | \theta)$ given for \mathbf{x}_q and support set S . $\lambda R(\theta)$ is an optional regularization with factor λ . The loss function $\mathcal{L}(S, Q; \mathbf{A}(\cdot | \theta))$ is dependent on the few-shot problem and method, in this case, Categorical Cross-Entropy loss for categorical classification.

3 Proposed Method

In this section, we describe our Few-Shot Cosine Transformer (FS-CT) architecture, which utilizes episodic learning to learn the relationship between label support set and unlabeled query set via attention representation for classifying query set follows the transductive learning method. Figure 2 presents the overall architecture of FS-CT, with two main components: learnable prototypical embedding, and Cosine Transformer.

Overall, FS-CT shares a similar architecture with the transformer encoder architecture. Given two input support set S and query set Q , their images are fed into a backbone feature extractor to obtain two feature tensor Z_S and Z_Q , then features from Z_S is averaged along individual categories to obtain the prototypical representation Z_P like the prototypical network [29]. Unlike other convention transformer-based architectures, positional encoding is removed, since the arrangement of features is unimportance. After that, Z_P and Z_Q are brought into three linear layers to split into a multi-head of three features $\langle \mathbf{q}^*, \mathbf{k}, \mathbf{v} \rangle^4$, then go through a multi-head cross-attention mechanism to obtain the weight attention features of Z_Q on Z_P . Instead of using the vanilla softmax attention, we propose a variation of the attention mechanism named cosine attention, which utilizes cosine similarity to calculate the attention weight. The outcome attention values between heads are then fed to an output linear layer to combine heads together, followed by a two-layer MLP with GELU activation function.

⁴ To avoid the conflict from double usage of the term “query”, we denote the queries \mathbf{q}^* of the attention mechanism to distinguish with the query set Q with sample size q in the Few-shot tasks

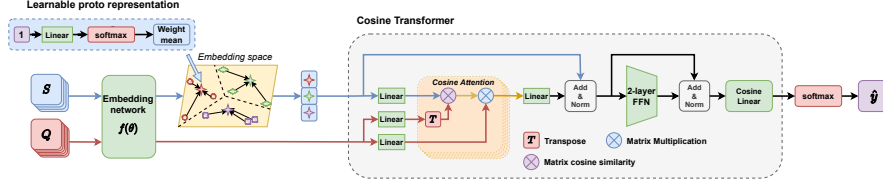


Fig. 2: The overall architecture of the proposed Few-shot Cosine Transformer, including two main components: (a) *learnable prototypical embedding* that calculates the categorical proto representation given random support features that might be either in the far margin of the distribution or very close to each other and (b) *Cosine Transformer* that determines the attention embedding map between proto representations and query samples for the few-shot classification tasks. The heart of Cosine Transformer is *Cosine Attention*, an attention mechanism with cosine similarity and no softmax function to deal with two different sets of feature. The Cosine Transformer shares a similar architecture with a standard transformer encoder block, with two skip connections to preserve information, a two-layer feed-forward network between, and norm layers between them to reduce noise. The outcome value is through a cosine linear layer, with cosine similarity replacing the dot-product, before feeding to softmax for queries prediction.

Two skip-connected layers are used between steps to prevent losing information and layer normalization is applied before linear layer for smoothing values throughout the FS-CT. Finally, the outcome feature is brought through a Cosine linear layer followed by the softmax to yield probabilistic scores on individual categories to predict queries' labels. In the following subsections, we will describe in detail essential modules within our FS-CT method:

3.1 Learnable prototypical representation

Given support set $S = (X_S, Y_S)$ and query set $Q = (X_Q, Y_Q)$ follows few-shot setting (n -way, k -shot, q query samples) with $Y_S, Y_Q \in n$ categories; $X_S, X_Q \in \mathbb{R}^{c \times h \times w}$; X_S and X_Q are first fed to a backbone feature extraction $f(\cdot | \theta)$ to obtain the feature representations $Z_S \in \mathbb{R}^{n \times k \times d}$, $Z_Q \in \mathbb{R}^{q \times d}$. Then, all k learned features $\{z_c^i\} \in Z_S$, $i \in [1, k]$ that share the same category c are then average equally (arithmetic mean) to obtain the prototypical representation $Z_P \in \mathbb{R}^{n \times d}$, with $z_c \in Z_P$ represented for the centroid of category c . However, with the few supporting samples chosen randomly, the prototypical representations are not guaranteed to be well represented using this arithmetic mean approach. This may happen when the embeddings of chosen samples are in the far margin of the categorical distribution space or close to each other (see Figure 2). This problem becomes critical when the number of shots is low. To tackle the problem, we

developed a learnable averaging method to obtain the prototypical feature for each category that represents better with given data as follows:

$$z_P = \sum_{i=1}^k z_s^i \cdot \text{Softmax}[g(a \mid \theta_P)] \quad (2)$$

Initially, the averaging weights $W_{avg} = g(a \mid \theta_P)$ for calculating categories' centroid are obtained by feeding a fixed scalar input a into the linear layer $g(\cdot \mid \theta_P)$ with learnable weight $\theta_P \in \mathbb{R}^{n \times k \times 1}$ and no bias. We used $a = 1$ so that the output W_{avg} can have the same value with the weight θ_P . After achieving the averaging weight, Softmax is applied along the k axis to ensure that components within that axis form the weight distribution with the sum of 1. Then, the prototypical representation $Z_P \in \mathbb{R}^{n \times d}$ is obtained by element-wise multiplication between $Z_s \in \mathbb{R}^{n \times k \times d}$ and $W_{avg} \in \mathbb{R}^{n \times k \times 1}$, then summarize values along the k axis to achieve the weight averaging representation on each category, which is the prototypical representation.

In our implementation, instead of feeding a fixed scalar into a linear layer, we directly initiate a learnable parameter with value $a = 1$ that shares the same dimensional space $\mathbb{R}^{n \times k \times d}$ and fed it to a softmax function for W_{avg} . We used the initial value of 1 for the learnable parameter as we want to begin with averaging equally among feature vectors within the same category at first, but then the averaging weight values will vary through the training process to obtain a better prototypical representation that fits best with given data. In the experiment, this learnable averaging approach helps us achieve a better representation, and thus comes with higher performances in many scenarios.

3.2 Cosine Transformer

Cosine Similarity between two matrices Given two vectors $\mathbf{a}, \mathbf{b} \in \mathbb{R}^n$, the cosine similarity score between \mathbf{a} and \mathbf{b} is calculated by the dot-product of two vectors divided by the product of their magnitudes, as follows:

$$S_C(\mathbf{a}, \mathbf{b}) = \frac{\mathbf{a} \cdot \mathbf{b}}{\|\mathbf{a}\| \cdot \|\mathbf{b}\|} = \frac{\sum_{i=1}^n a_i \cdot b_i}{\sqrt{\sum_{i=1}^n a_i^2} \cdot \sqrt{\sum_{i=1}^n b_i^2}} \quad (3)$$

From the definition for vectors, we extended the above definition for cosine similarity on matrices. Specifically, the cosine similarity $S_C(A, B) \in \mathbb{R}^{n \times m}$ between matrix $A \in \mathbb{R}^{n \times k}$ and $B \in \mathbb{R}^{k \times m}$ is the *Hadamard division* between the multiplication of A and B^\top and the *outer product* between vectors $M_A \in \mathbb{R}^n$ and $M_{B^\top} \in \mathbb{R}^m$, where M_A and M_{B^\top} are the vectorizations of the *magnitude values of the row vectors* of two matrices A and B^\top , respectively. The definition

is described by the formula:

$$\begin{aligned} S_C(A, B) &= (A \cdot B) \oslash (M_A \otimes M_{B^\top}) \\ &= (A \cdot B) \oslash \left(\left[\sqrt{\sum_{i=1}^k a_{1,i}^2}, \dots, \sqrt{\sum_{i=1}^k a_{n,i}^2} \right] \otimes \left[\sqrt{\sum_{i=1}^k b_{i,1}^2}, \dots, \sqrt{\sum_{i=1}^k b_{i,m}^2} \right] \right) \end{aligned} \quad (4)$$

With that definition, individual element $S_C(A, B)_{i,j}$ is *the cosine similarity score* between the row vector a_i of matrix A and the column vector b_j of matrix B , where $i \in [1, n]$, $j \in [1, m]$.

Cosine Attention mechanism Initially, as n and q are different in values, we reshaped the proto-feature $Z_P \in \mathbb{R}^{n \times d}$ into the $1 \times n \times d$ tensor and $Z_Q \in \mathbb{R}^{q \times d}$ into a $q \times 1 \times d$ tensor so we can maintain both n and q dimensions in the attention output $H_{out} \in \mathbb{R}^{q \times n \times d}$, providing the attention relationship between Z_P and Z_Q . With the two reshaped tensors, a set of three representations $\langle \mathbf{q}^*, \mathbf{k}, \mathbf{v} \rangle$ are obtained by linear layers: $\mathbf{q}^* = Z_P \cdot \theta_{q^*}^\top$; $\mathbf{k} = Z_Q \cdot \theta_k^\top$; $\mathbf{v} = Z_Q \cdot \theta_v^\top$ where $\theta_{q^*}, \theta_k, \theta_v \in \mathbb{R}^{d \times d_h}$ are the weight matrices, d_h is the dimension inside attention. The output attention head H_{out} can be calculated using the scaled dot-product or “softmax attention” by:

$$\text{Softmax Attention} = \text{Softmax} \left[(\mathbf{q}^* \cdot \mathbf{k}^\top) / \sqrt{d} \right] \cdot \mathbf{v} \quad (5)$$

Specifically, in the softmax attention, the matrix multiplication performs dot-product operation between every pair of feature vectors between \mathbf{q}^* and \mathbf{k}^\top , then divided by a scaling factor \sqrt{d} before feeding to a softmax function for a attention weight matrix $A \in \mathbb{R}^{q \times n \times 1}$. The core of the softmax attention is the dot product operation, which calculates the similarity involving vector angle and length (magnitude). The involvement of feature-length comes with limitations. First, the feature magnitude is a distinguishing yet unimportant factor in calculating attention, and the similarity output map can become unstable when features’ magnitudes vary. This can be critical when \mathbf{q} and \mathbf{k} comes from two distributions, few-shot support and query sets respectively. Second, the enlarging in magnitude makes the Softmax function produces an extremely small gradient output, thus leading to gradient vanishing [31]. While the division of the fixed scaling factor \sqrt{d} in softmax attention helps counter this phenomenon, the differential in feature magnitudes are still remained.

Therefore, to remove the effect of the differences in vectors’ magnitude, we replaced the dot-product with cosine similarity for calculating A . We clarify that the concept of replacing the dot-product operation with cosine similarity is not new and has been studied in [18] and applied in few-shot image classification in [4, 10] in terms of a cosine similarity-based classification/recognition model.

In this study, the replacement of cosine similarity in the attention mechanism helps us highlight the alignment between two representations by features' content. Specifically, instead of using a fixed number for scaling the entire weight matrix, individual components of the multiplied matrix will be divided with the product of their corresponding vector's magnitude. We refer to this attention mechanism as "Cosine Attention" with the general formula described as follows, based on the definition of cosine similarity for matrices in the above section:

$$\textbf{Cosine Attention} = [(\mathbf{q}^* \cdot \mathbf{k}^\top) \oslash (M_{\mathbf{q}^*} \otimes M_{\mathbf{k}})] \cdot \mathbf{v} \quad (6)$$

With cosine similarity, the output attention map A focuses more on the features' content and determines better the similarity relationship between every pair of features $\langle q_i^* \in \mathbf{q}^*, k_j \in \mathbf{k}^\top \rangle$. Furthermore, the output distribution of cosine attention can be stable even if its input magnitude varies [18]. By removing the magnitude, the output of cosine similarity is bounded into the range of $[-1, 1]$, indicating the similarity between two feature. Thus, Softmax function is no longer necessary for normalizing values. Without the softmax, attention weight matrix A still maintains the probabilistic distributions in the row vectors as well as its components' ratio. Moreover, as cosine similarity does not scale the weight distributions into the sum of 1, $a_{i,j} \in A$ possesses a wider range of value. This helps emphasize query features on aligned categories in H_{out} and vice versa, hence boosting the model's performances. In the experiment, removing Softmax in our proposed cosine attention helps increase our method's performance greatly.

Furthermore, the multi-head mechanism is also applied for cosine attention. The initial three linear layers split Z_P and Z_Q into sets of $\langle \mathbf{q}_t^*, \mathbf{k}_t, \mathbf{v}_t \rangle$ where $t \in [1, 8]$. For each set, a corresponding h_t is computed, represented for the projection output in different perspectives. Then, the $H_{out} \in \mathbb{R}^{q \times n \times d}$ is obtained with the output weight matrix $\theta_o \in \mathbb{R}^{d_h \times d}$ by:

$$H_{out} = \text{concat}(h_1, \dots, h_8) \cdot \theta_o^\top \quad (7)$$

Cosine linear layer for queries prediction After the attention block, two skip connections are performed on $H_{out} = (Z_P + H_{out}) + \text{FFN}(Z_P + H_{out})$ with layer normalization before each step. The feed-forward network FFN is a simple two linear layers with GELU [12] activation between. With the final outcome feature $H_{out} \in \mathbb{R}^{q \times n \times d}$, a linear layers with weight $\theta_{out} \in \mathbb{R}^{d \times 1}$ is applied follows by softmax for $P_{out} \in \mathbb{R}^{q \times n}$, which represent the probabilistic prediction for every query features on n categories. Instead of using a conventional linear layer, we used a cosine linear layer, delivered from [4]. Instead of performing the dot-product between H_{out} and θ_{out} , cosine similarity is replaced between two L2-normalized tensors, using Equation (3). The replacement of cosine similarity

instead of the convention dot-product operation helps us achieve a better prediction score for P_{out} . Overall, the probabilistic prediction $p(c \mid \mathbf{h}_{q,c}; \theta_{out})$ for representation score $\mathbf{h}_{q,c}$ on label c for query sample \mathbf{x}_q over n categories and the predicted label \hat{y} are calculated by:

$$p(c \mid \mathbf{h}_{q,c}, \theta_{out}) = \frac{\exp[S_C(\mathbf{h}_{q,c}, \theta_{out}^\top)]}{\sum_{i=1}^n \exp[S_C(\mathbf{h}_{q,i}, \theta_{out}^\top)]}$$

$$\hat{y} = \arg \max_c p(c \mid \mathbf{h}_{q,c}, \theta_{out}) \quad (8)$$

3.3 Episodic Training for FS-CT

We training our FS-CT method with an episodic learning strategy. For each training step, task $\mathcal{T} = \{S, Q\}$ are selected randomly from the base set D_{base} which share the same N categorical labels. For convenience, all learning parameters are referred to as a general parameter θ . With FS-CT, includes backbone feature extraction $f(\cdot \mid \theta_f)$, is performed, we obtain the probabilistic prediction $p(y \mid \mathbf{x}_Q, S; \theta)$ of sample $\mathbf{x}_Q \in Q$ on label y given S . Finally, Categorical Cross-Entropy loss is applied to update parameters at the end of each training step by Equation (1). Details of the training strategy are presented in Algorithm 1.

4 Experiments

4.1 Datasets

To evaluate our method, we adapts three standardized few-shot image classification datasets *mini-ImageNet* [32], CIFAR-FS [2], and CUB-200 [33]. The *mini-ImageNet* dataset is the subset of ImageNet dataset (ILSVRC-2012) [24] that consists of 100 different categories with 600 image samples per each, each image have the size 84×84 pixels. In our implementation, we used the splits by Ravi and Laroché [23] including 64 training categories, 16 validation categories, and 20 testing categories. *CIFAR-FS* including 100 categories containing 600 images for each label with the size of 32×32 pixels. The splits of this dataset is similar to *mini-ImageNet*. The *CUB-200* dataset contains 200 categories of bird species with 11,788 images of 84×84 pixels, which are divided into 100 based categories for training, 50 categories for validating, and 50 novel categories for testing. The training categorical set served as D_{base} , while the validating or testing sets served as D_{novel} , depending on the training or testing phase of the evaluation scheme.

Yoga poses Dataset Besides using the three standardized datasets above, we also created a custom dataset for yoga poses scoring, including 50 categories of

Algorithm 1: FS-CT episodic training algorithm over one training epoch with N tasks (episodes). Each task \mathcal{T}_i is chosen randomly from the training set D_{base} with a different set of categories to train and update the general parameter θ of our proposed FS-CT, including the embedding backbone $f(\cdot | \theta_f)$.

Input : Training set D_{base} . Number of learning tasks N . Learnable parameters θ , learning rate α

Output : Updated parameters θ

```

for  $i$  in  $\{1, \dots, N\}$  do
1   Randomly chosen task  $\mathcal{T}_i = \{S, Q\} \sim p(D_{\text{base}})$ .
2   Obtain feature representations  $Z_S, Z_Q$  from  $S$  and  $Q$  by the
    backbone  $f(\cdot | \theta_f)$ 
3   Calculate the prototypical representation  $Z_P$  using Equation (2).
4   Obtain  $\langle \mathbf{q}^*, \mathbf{k}, \mathbf{v} \rangle$  for attention by:
       $\mathbf{q}^* \leftarrow Z_P \cdot \theta_{q^*}^\top; \quad \mathbf{k} \leftarrow Z_Q \cdot \theta_k^\top; \quad \mathbf{v} \leftarrow Z_Q \cdot \theta_v^\top$ 
5   Calculate  $H_{\text{out}}$  by Cosine Attention by Equations (6) and (7).
6   Perform two skip-connections and FFN for Cosine Transformer:
       $H_{\text{out}} \leftarrow (Z_P + H_{\text{out}}) + \text{linear}(\text{GELU}[\text{linear}(Z_P + H_{\text{out}})])$ 
7   Obtain the query prediction scores  $\hat{y}$  with Equation (8).
8   Compute the loss function  $\mathcal{L}$  as in Equation (1).
9   Perform gradient descent step to update the parameter:
       $\theta \leftarrow \theta - \alpha \nabla_\theta \mathcal{L}$ 
end

```



Fig. 3: Several exemplary samples for categorial poses of the yoga poses dataset, consisting of 50 different poses with total 2,480 images.

famous yoga poses with 2,480 images. We developed this small-scale dataset as an initial step for making a smart monitoring and studying scheme for Yoga participants. The dataset is partially derived from Kaggle [27] and storage in our implementation code on GitHub⁵. The number of images are ranging from 30 to 81 samples per category with arbitrary size. We splitted the dataset into 25 categories for training, 13 categories for validating, and 12 categories for testing. Some exemplary poses of the dataset are presented in Figure 3. Details of the dataset distribution are presented in Table 1.

Table 1: Statistical description of the custom image dataset for Yoga poses over three main sets for training, validation, and testing few-shot image classification method.

	Train	Val	Test	Total
Number of Categories	25	13	12	50
Min number of samples per category	30	39	31	30
Max number of samples per category	80	81	67	81
Average of samples per category	50.2	49.9	48.0	49.6
Total samples	1,255	649	576	2,480

⁵ <https://github.com/vinuni-vishc/Few-shot-transformer/tree/main/dataset/Yoga>

4.2 Implementation details

We implemented our method and conducted experiments on PyTorch, using CPU Intel Core i9-10900X 3.7GHz with a GPU NVIDIA GeForce RTX 3090 24GB and 16GB RAM memory. Methods were trained and experimented with the learning rate 0.001, weight decay 1×10^{-5} , and AdamW [17] optimization function, and no dropout. We performed two configurations: 5-way 5-shot and 5-way 1-shot, with 16 query samples for each category, making a total of 80 queries. All training steps are trained on 50 training epochs with 200 episodic batches (episodes) for each. Each training epoch is followed by a validating step with 200 episodes to select the best-performed model for the testing phase on 600 episodes. All training, validating, and testing sets are disjointed in categories. To increase training data samples for training models, we applied augmentation, including randomly resizing, cropping, horizontal flipping, color jittering, and image normalizing. All experiments were conducted in a same common ground of code, setting, and environment for a fair evaluation and comparison.

For backbone feature extraction, we mainly utilized four backbone models Conv4, Conv6, ResNet-18, and ResNet-32 for the experiments. Conv4 and Conv6 are the lightweight CNN model with 4 and 6 layers respectively, have been used before in [4, 29, 32] that are trained from scratch. On the other hand, the ResNet backbone networks and pretrained on *mini*-ImageNet are available on Torchvision. However, as the *mini*-ImageNet is a subset of the ImageNet, we deployed a special pre-trained model named FETI for evaluating the dataset, which will be described in detail later. For each type of backbone architecture, we resized sample images before training depending on the dataset and backbone model. Particularly, for CNN backbones, we resize images into 64×64 pixels for CIFAR-FS (due to its small size originally) and 84 pixels for other datasets, and for Res-Net backbones, the resized input image are 112×112 and 224×224 , respectively. In testing phase, we use the metric top 1-Accuracy in percentage for evaluating performance of methods on one episodic batch as follows:

$$\text{Acc} = \frac{\text{Number of correct predictions}}{\text{Number of query samples}} * 100 \quad (9)$$

and the total Accuracy is the mean of 600 episodic tasks, randomly generated from the testing set.

4.3 Ablation Study

For experiment, besides FS-CT model, we also deploy another attention-based few-shot learning algorithm CTX [6] for a direct comparison with our FS-CT method. We utilized both Softmax attention and Cosine attention to compare the two attention mechanism and evaluate our proposed Cosine attention method.

The main experiment results with *mini*-ImageNet, CIFAR-FS, and CUB-200 dataset are presented in Table 2 using four main backbones Conv4, Conv6, ResNet-18, and ResNet-32 in 1-shot and 5-shot settings. Note that we use the full ImageNet pre-trained models on ResNet for the *mini*-ImageNet in this table for a fair comparison in performance between datasets and we will have a separate section for a fair evaluation of this dataset in the next section. For CTX, we only conducted experiments on Res-Net backbones, and data augmentation was applied for FS-CT only.

Table 2: Validating our *FS-CT* and *CTX* [6] on 5-way classification, using either the standard *Softmax Attention* or *our* improved *Cosine Attention* on three datasets *mini*-ImageNet, CUB-200, and CIFAR-FS. We validate the two few-shot methods mainly on Res-Net 18 and Res-Net 34 with 50 epoch training. Similar to [4], we report the mean of 600 randomly generated test episodic tasks. Two extra CNN backbones Conv4 and Conv6 and *Augmentation* is applied for our FS-CT method only. The best and second best results are **bolded** and underlined, respectively. Evaluation metric is accuracy in percentage. This table is best viewed with color.

Methods	<i>mini</i> -ImageNet				CUB-200				CIFAR-FS			
	Conv4		Conv6		Conv4		Conv6		Conv4		Conv6	
	1-shot	5-shot	1-shot	5-shot	1-shot	5-shot	1-shot	5-shot	1-shot	5-shot	1-shot	5-shot
<i>FS-CT</i> + <i>Softmax Attn</i>	<u>42.55</u>	58.08	39.11	56.52	53.44	66.14	<u>55.41</u>	65.87	<u>48.57</u>	66.22	<u>46.66</u>	65.43
<i>FS-CT</i> + <i>Cosine Attn</i>	45.69	60.38	40.52	59.85	56.41	67.12	55.27	66.90	53.86	69.03	51.04	68.76
<i>FS-CT</i> + <i>Softmax Attn</i> + <i>Aug</i>	38.11	57.14	33.89	54.26	51.50	<u>69.73</u>	48.61	<u>71.62</u>	40.95	64.31	43.74	60.41
<i>FS-CT</i> + <i>Cosine Attn</i> + <i>Aug</i>	40.73	<u>60.21</u>	<u>35.33</u>	<u>57.81</u>	<u>56.34</u>	75.88	55.68	76.93	47.85	<u>68.35</u>	45.06	<u>67.40</u>

Methods	ResNet-18		ResNet-34		ResNet-18		ResNet-34		ResNet-18		ResNet-34	
	1-shot		1-shot		1-shot		1-shot		1-shot		1-shot	
	5-shot	5-shot	5-shot	5-shot	5-shot	5-shot	5-shot	5-shot	5-shot	5-shot	5-shot	5-shot
<i>CTX</i> + <i>Softmax Attn</i>	62.37	63.09	65.08	65.66	61.24	62.12	64.28	66.02	41.16	43.05	44.54	48.01
<i>CTX</i> + <i>Cosine Attn</i>	<u>77.21</u>	92.18	82.09	<u>93.41</u>	73.14	85.71	76.54	88.15	60.18	73.97	61.82	74.83
<i>FS-CT</i> + <i>Softmax Attn</i>	72.36	84.13	73.90	85.58	74.69	85.38	75.97	87.03	63.40	77.98	63.05	78.04
<i>FS-CT</i> + <i>Cosine Attn</i>	75.93	90.12	79.01	91.64	<u>77.72</u>	<u>89.13</u>	<u>77.72</u>	<u>89.63</u>	<u>64.29</u>	<u>80.57</u>	<u>65.67</u>	<u>81.44</u>
<i>FS-CT</i> + <i>Softmax Attn</i> + <i>Aug</i>	73.84	84.65	74.61	88.74	75.89	88.06	77.01	89.05	62.41	78.17	62.14	79.17
<i>FS-CT</i> + <i>Cosine Attn</i> + <i>Aug</i>	77.40	<u>91.33</u>	<u>80.32</u>	93.82	81.12	91.96	81.23	92.35	64.49	81.46	67.06	82.89

In general, our FS-CT outperformed CTX in different ResNet backbones, datasets, and few-shot settings. The results are augmented further with cosine attention mechanism, with the improved performance are from nearly 5% to over 20% in various scenarios. Overall, *5-shot learning comes with better performance than 1-shot learning*. This normally happens on few-shot algorithms as more label samples come with better centroid representation for individual categories, thus classify queries better. Furthermore, *augmentation helps improve classifier performances* on FS-CT, mainly with 5-shot setting. There are some

occasions that augmentation does not help improve performance in one-shot learning. This could be explained by augmentation that comes with the growth in noise in categorical representation, therefore affecting the performances on one-shot learning. Augmentation seems to be effective on ResNet backbones, as FS-CT using cosine attention with augmentation mainly achieves the best result within individual scenarios. Across scenarios, *deeper backbones comes with better performances*, as increasing the number of layers helps both CNN and ResNet backbones achieve higher results. Moreover, the models' performance is heavily affected by the choice of backbone, as in most cases, ResNet-34 feature extractor comes with the highest performance among four. These observations are further illustrated on Figure 4, where the line graphs present the test accuracy correlations between CTX and FS-CT variants on ResNet-34 backbone across three few-shot datasets.

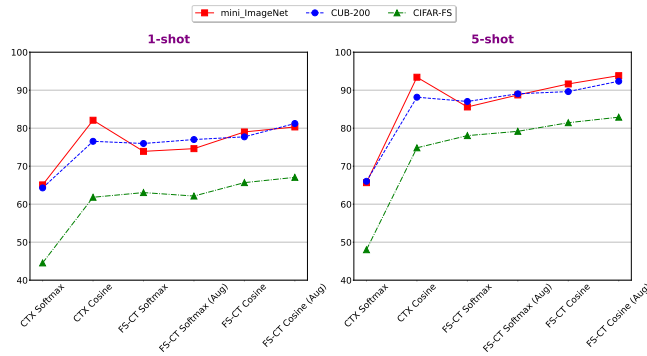


Fig. 4: Testing accuracies between CTX and FS-CT variants across the three datasets, with the backbone ResNet-34. Generally, FS-CT achieved higher accuracies than CTX, with the only exception in *mini-ImageNet*, where the performances of CTX using cosine attention are higher (in 1-shot learning) or nearly equal (in 5-shot learning) with the FS-CTs. Cosine attention improves the performance of two few-shot methods, and augmentation improves it further.

For the custom Yoga poses dataset, the results are separately presented in Table 3. While cosine attention still comes with more robust performances than the standard softmax attention, augmentation overall seems not to help the method in improving the outcome results. Our theory is that this phenomenon is affected by the significant difference in variations within the same pose category. We are aiming to invest deeper into the dataset on further studies for prospective application in Yoga monitoring research for healthcare.

Table 3: Evaluation of FS-CT and CTX on Yoga Dataset on ResNet-18 and ResNet-34, over 50 training epochs and 600 random testing tasks. The best and second best results are **bolded** and underlined, respectively. Evaluation metric is accuracy in percentage.

Methods	Yoga Dataset			
	ResNet-18		ResNet-34	
	1-shot	5-shot	1-shot	5-shot
<i>CTX</i> [6] + Softmax Attn	49.83	54.88	53.09	55.44
<i>CTX</i> + Cosine Attn	59.99	<u>76.61</u>	<u>63.12</u>	<u>77.80</u>
<i>FS-CT</i> + Softmax Attn	<u>61.40</u>	69.15	58.89	71.12
<i>FS-CT</i> + Softmax Attn + Aug	51.89	66.21	55.08	70.12
<i>FS-CT</i> + Cosine Attn	66.38	80.34	64.32	77.66
<i>FS-CT</i> + Cosine Attn + Aug	57.98	73.58	59.76	77.92

Improvement of Cosine attention mechanism From Table 2, we can observe that *using cosine attention helps achieve more robust performance than softmax attention*. This observation is further illustrated in Figure 5, where cosine attention helps both methods gain a higher accuracy at the starting point, and learn better training graphs across 50 epochs. As cosine attention produces a more stable attention outcome, the two methods’ performances improve significantly. Using cosine attention helps improve the learning ability consistently across datasets, settings, and methods. This highlight the effectiveness of our proposed attention mechanism that boosts models’ performances by removing the impact of differences in magnitude for the cross-attention mechanism. The training plots also indicate the robust performance of our proposed FS-CT in comparison with its counterpart CTX under the same dataset, few-shot setting, backbone, and attention mechanism.

Performances on *mini*-ImageNet with non-ovellaped pre-trained model

As *mini*-ImageNet dataset is a subset of the ImageNet dataset, using a pre-trained ResNet on the full ImageNet as feature extraction comes with a natural advanced performance on *mini*-ImageNet, as shown with very high performance in Table 2. Therefore, we alleviated this issue using a specific pre-trained model that had been trained on an ImageNet subset that was separated to be non-overlapped with the *mini*-ImageNet test-set. We adapted this pre-trained model, which is called “Feature Extractor Trained (partially) on ImageNet” or FETI in abbreviation, from [1]. As the pre-trained model is built specifically on ResNet-18, we used two backbones models ResNet-18 and ResNet-12 to evaluate our FS-CT method specifically on the *mini*-ImageNet dataset, as ResNet-32 is too

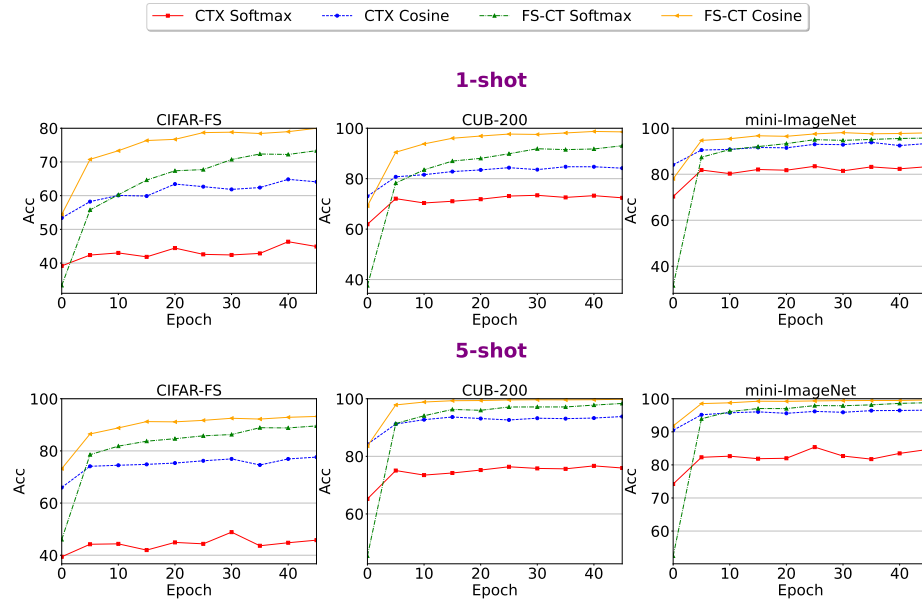


Fig. 5: Performance of FS-CT and CTX throughout training for 1-shot and 5-shot learning with ResNet-34 backbone, using either the standard *softmax* attention or the proposed *cosine* attention mechanism. Cosine attention significantly improves both few-shot methods among settings and datasets with higher starting points and better training plots, and FS-CT achieves better training performances than its counterpart CTX on both attention mechanism.

different in layers. Table 4 shows our FS-CT performance on *mini*-ImageNet with FETI pre-trained model. The performance are generally reduced in the comparison with results from Table 2, about 20 percent. This further indicates the importance of having good learned representation (through pre-trained model) in few-shot problems. However, the robust learning ability of Cosine attention is still prevailed, as FS-CT using cosine attention still outperformed the standard softmax attention.

5 Limitation Discussion

We have proposed a transformer-based method for a few-shot classification task with an attention mechanism using cosine similarity. While our results are promising in many datasets, there are some limitations. Firstly, the method performances are highly sensitive to the choosing of backbone feature extractors, especially the ones with a pre-trained model. Secondly, the complexity of architecture may hinder FS-CT from reaching better performances. Although skip-

Table 4: Performance of FS-CT models on *mini*-ImageNet with a pre-trained model FETI (Feature Extractor Trained (partially) on ImageNet) that was trained with non-test-set overlapping ImageNet classes to avoid the natural advantage of ImageNet pre-trained model. We use two supported backbones ResNet-12 and ResNet-18 for the pre-trained model, with the same validating scheme and configurations.

Methods	<i>mini</i> -ImageNet			
	ResNet-12		ResNet-18	
	1-shot	5-shot	1-shot	5-shot
<i>FS-CT</i> + Softmax Attn (FETI)	45.70	57.03	40.06	58.20
<i>FS-CT</i> + Softmax Attn + Aug (FETI)	38.88	57.16	47.72	62.71
<i>FS-CT</i> + Cosine Attn (FETI)	55.62	70.36	41.84	71.34
<i>FS-CT</i> + Cosine Attn + Aug (FETI)	49.39	73.00	55.67	73.42

connection was applied for preserving information, this might not be enough. There are many methods [4,29,32] with simple pipelines yet come with impressive performances in various datasets and we are aiming to pursue that approach in further study. Thirdly, we only considered transductive learning for our method. Finally, due to some limitations in resources, we can only compare directly our proposed cosine attention with the scaled dot-product softmax attention. There are a variety of attention mechanisms recently, and further studies should be made with a wider scope of problems (not only in the Few-shot classification task) for a comprehensive evaluation of attention mechanisms. We leave these limitations for our future studies.

6 Conclusion

In this paper, we proposed a novel approach for few-shot image classification with a transductive learning approach based on transformer architecture and the prototypical network. Our approach obtains the centroid feature of individual categories within the support set as a prototypical representation. We made this process learnable with changeable weights to improve the centroids' representation quality. Then, the two proto-feature and query features are fed to a transformer encoder with the cross-attention mechanism for few-shot classification. We proposed a novel design for the mechanism called Cosine Attention that removes the effect of features' magnitude differences and achieved a more stable, more robust outcome. We call our method Few-shot Cosine Transformer (FS-CT). Our proposed cosine attention outperforms the scaled dot-product attention in various scenarios, and our few-shot classification method FS-CT achieved comparable results on several datasets.

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