

ON CERTAIN PROPERTIES OF THE p -UNITARY CAYLEY GRAPH OVER A FINITE RING

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Dedicated to Professor Ján Mináč on the occasion of his 71th birthday

ABSTRACT. In recent work [7], we study certain Cayley graphs associated with a finite commutative ring and their multiplicative subgroups. Among various results that we prove, we provide the necessary and sufficient conditions for such a Cayley graph to be prime. In this paper, we continue this line of research. Specifically, we investigate some basic properties of certain p -unitary Cayley graphs associated with a finite commutative ring. In particular, under some mild conditions, we provide the necessary and sufficient conditions for this graph to be prime.

1. INTRODUCTION

Let G be an undirected graph. A homogeneous set in G is a set X of vertices of G such that every vertex in $V(G) \setminus X$ is adjacent to either all or none of the vertices in X . A homogeneous set X is said to be non-trivial if $2 \leq |X| < |V(G)|$. As explained in [7, Section 1.1], the existence of non-trivial homogeneous sets allows us to decompose G as a joined union of smaller graphs. Such a decomposition is important for many problems in network theory and dynamical systems on them (see [5, 11, 13, 17]). In [7, Section 4], we study the prime property of various Cayley graphs associated with a finite commutative ring. There, we provide necessary and sufficient conditions for the existence of homogeneous sets in those Cayley graphs under some mild conditions (see [7, Theorem 4.1]). In this paper, we continue and expand this line of research to some other directions. Specifically, we will investigate some basic properties of the p -unitary Cayley graphs whose definition we will explain below.

Let R be a finite commutative ring and p a natural number. Let $S = (R^\times)^p$ be the set of all invertible p -th powers in R . Since we only deal with undirected graphs in this paper; we will assume that $-1 \in (R^\times)^p$.

Definition 1.1. (See [20, Section 1.2]) The p -unitary Cayley graph $G_R(p)$ is the undirected graph with the following data

- (1) The vertex set $V(G_R(p))$ of $G_R(p)$ is R .
- (2) Two vertices $a, b \in V(G_R(p))$ are connected if and only if $a - b \in (R^\times)^p$.

Remark 1.2. Because we want to deal with one prime at a time, we will assume that p is a prime number throughout the rest of this article.

Example 1.3. The Cayley graph $X_{3, \mathbb{F}_{13}}$ is described by Fig. 1. It is a connected, regular graph of degree 4.

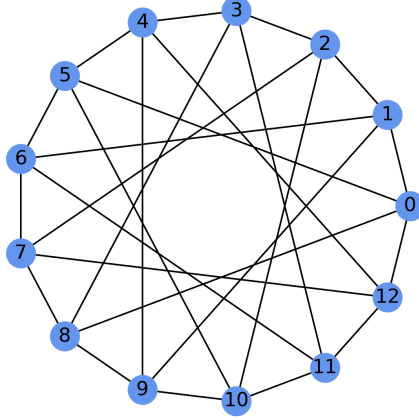


FIGURE 1. The Cayley graph $G_{\mathbb{F}_{16}}(3)$

The case $p = 1$, where $G_R(1)$ is often referred to as the unitary Cayley graph associated with R , is studied extensively in the literature (see for example [1, 3, 7, 14, 22]). When R is a finite field of characteristics $\ell \neq p$, $G_R(p)$ is often called a generalized Paley graph. These generalized Paley graphs often have interesting arithmetic and spectral properties and they have found various applications in coding and cryptography theory (see [9, 12, 18, 20]).

In [7], amongst various results that we discover, we are able to classify all prime unitary Cayley graphs (see [7, Theorem 4.35]). In light of this theorem, the following question seems to be quite natural.

Question 1.4. When is $G_R(p)$ a prime graph?

By definition, a connected component of G (or of its complement G^c) is necessarily a homogeneous set. Consequently, if $G_R(p)$ is prime, then it must be connected and anticonnected (recall that a graph is called anticonnected if G^c is connected). As a result, a closely related question about $G_R(p)$ is the following.

Question 1.5. When is $G_R(p)$ connected and anticonnected?

By [20, Corollary 2.5], we know the complete answer for Question 1.5 when R is a local ring such that p is invertible in R . The cases where either p is not invertible in R or R is not a local ring are more complicated and we will deal with them in this work.

1.1. Outline. In Section 2, we recall some backgrounds in graph theory as well as some relevant results in our previous work [7]. To proceed further, we remark that by the structure theorem, $R = R_1 \times R_2 \times \dots \times R_d$ where R_i 's are finite local rings. We then see that $G_R(p)$ is the tensor product of $G_{R_i}(p)$ (see Definition 2.2 for the definition of the tensor product of graphs). More specifically

$$G_R(p) \cong \prod_{i=1}^d G_{R_i}(p).$$

From this tensor product decomposition, it seems natural to first study the case where R is a finite local ring. In this case, as expected, the behavior of $G_R(p)$ depends on whether p

is invertible on R . In Section 3, we discuss the case where R is local and p is invertible in R . Using the results in [19], we are able to provide a complete answer to Question 1.4 (see Theorem 3.6). In this section, we also study induced subgraphs of $G_R(p)$ which we need later for the general case. However, this topic could be of independent interest. Section 4 deals with the case where R is local but p is not invertible in R . In this case, using some rather involved ring-theoretic arguments, we are also able to give a complete answer to Question 1.4 (see Theorem 4.15). Additionally, we also investigate some induced subgraphs of $G_R(p)$ in this case. As a by-product, we introduce some auxiliary polynomials f_p, g_p that possess some interesting arithmetic properties. Finally in Section 5, we study Question 1.4 and Question 1.5 in the general case. Here, we provide the necessary and sufficient conditions for $G_R(p)$ to be prime (see Theorem 5.5). We also discuss some special cases where we can verify these conditions directly.

2. BACKGROUNDS AND PREVIOUS WORK

In this section, we discuss some fundamental concepts in graph theory. We also recall some results in [7] that we will use throughout this article.

Definition 2.1 (Induced subgraph). Let G be a graph and $H \subseteq V(G)$ a non-empty subset. The induced subgraph $\Gamma[H]$ on H is the subgraph of Γ with the following data

- (1) $V(G[H]) = H$.
- (2) $E(G[H]) = \{(x, y) \in E(G) | x, y \in H\}$.

Definition 2.2 (Tensor product of graphs). Let G, H be two graphs. The tensor product $G \times H$ of G and H (also known as the direct product) is the graph with the following data:

- (1) The vertex set of $G \times H$ is the Cartesian product $V(G) \times V(H)$.
- (2) Two vertices (g, h) and (g', h') are connected in $G \times H$ if and only if $(g, g') \in E(G)$ and $(h, h') \in E(H)$.

Definition 2.3 (Wreath product). Let Γ, Δ be two graphs. We define the wreath product of Γ and Δ as the graph $\Gamma \cdot \Delta$ with the following data

- (1) The vertex set of $\Gamma \cdot \Delta$ is the Cartesian product $V(\Gamma) \times V(\Delta)$.
- (2) (x, y) and (x', y') are connected in $\Gamma \cdot \Delta$ if either $(x, x') \in E(\Gamma)$ or $x = x'$ and $(y, y') \in E(\Delta)$.

Definition 2.4 (The complete graph K_n). K_n is the graph on n vertices which are pairwise connected.

We also recall the definition of certain Cayley graphs associated with a ring as discussed in [7, Section 4].

Definition 2.5. Let R be a commutative ring, and S a subgroup of the set R^\times of units of R such that $-1 \in S$. We denote $\text{Cay}(R, S)$ the Cayley graph $\text{Cay}((R, +), S)$. To be more specific, the vertex set of $\text{Cay}(R, S)$ is R and two vertices $a, b \in R$ are connected if and only if $a - b \in S$.

To study the prime property of $\text{Cay}(R, S)$, we will make use of the following result which was first discovered in [7].

Proposition 2.6. (see [7, Theorem 4.1] and [7, Proposition 4.7]) *Suppose that $\text{Cay}(R, S)$ is connected and anti-connected. If $\text{Cay}(R, S)$ is not prime, then there exists a non-trivial ideal I such that I is a homogeneous set. Furthermore, I is a subset of the Jacobson radical of R .*

3. $G_R(p)$ WHERE R IS A FINITE LOCAL RING OF RESIDUE CHARACTERISTICS $\ell \neq p$

3.1. When is $G_R(p)$ prime. Let R be a local ring and M its maximal ideal. Let $k = R/M$ be the residue field. If $\text{char}(k) \neq p$, then all roots of $x^p - a$ over k lift to a root over R by Hensel's lemma (see [21]). We conclude that

Proposition 3.1. *M is a homogeneous set in $G_R(p)$. As a result, if $G_R(p)$ is prime, then R is a field.*

Since the Jacobson radical of a field is 0, by Proposition 2.6, we know that the question of whether $G_R(p)$ is prime reduces to the question of whether it is connected and anticonnected. We remark that the graph $G_R(p)$ is not always connected (Fig. 2 shows an example of a p -unitary Cayley graph with 4 connected components). In [20, Corollary 2.5], the authors provide the precise condition for $G_R(p)$ to be connected. To recap this result, we need to recall the definition of a primitive divisor.

Definition 3.2. Let ℓ be a prime number and m, n two natural numbers. We say that n is a primitive divisor of $\ell^m - 1$ if $n | \ell^m - 1$ and $n \nmid \ell^a - 1$ for each $1 \leq a \leq m - 1$. In this case, we write $n \nmid \ell^m - 1$.

Proposition 3.3. ([20, Corollary 2.5] and [19, Corollary 3.1]) *Suppose that $R = k$ is a field of order ℓ^m where $\ell \neq p$. Then $G_R(p)$ is connected if and only one of the following conditions hold*

- (1) $p \nmid \ell^m - 1$. In this case $G_R(p) = K_{\ell^m}$.
- (2) $p | \ell^m - 1$ and $\frac{\ell^m - 1}{p} \nmid \ell^m - 1$.

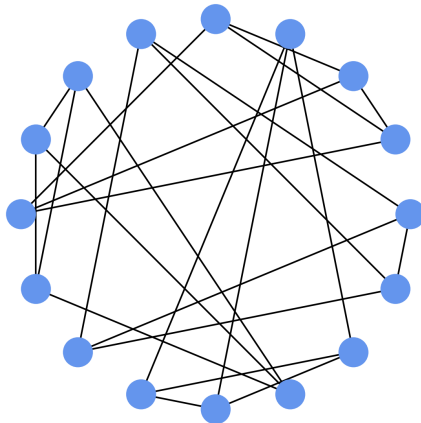


FIGURE 2. The Cayley graph $G_{\mathbb{F}_{16}}(5)$

Corollary 3.4. *Suppose that $R = k$ is a field of order ℓ^m where $\ell \neq p$. If $\ell > p$ then $G_R(p)$ is connected.*

Proof. If $p \nmid \ell^m - 1$, then the statement is true since $G_R(p) = K_{\ell^m}$. Now, suppose that $p \mid \ell^m - 1$. If $1 \leq a \leq m - 1$, then

$$\ell^a - 1 \leq \ell^{m-1} - 1 < \frac{\ell^m - 1}{\ell} < \frac{\ell^m - 1}{p}.$$

Consequently $\frac{\ell^m - 1}{p} \nmid \ell^m - 1$ and hence $G_R(p)$ is connected. \square

Proposition 3.5. *Suppose that $R = k$ is a field of order ℓ^m where $\ell \neq p$. Assume further that $G_R(p)$ is connected. The following conditions are equivalent*

- (1) $p \nmid \ell^m - 1$.
- (2) $(R^\times)^p = R^\times = R \setminus 0$.
- (3) $G_R(p) = K_{\ell^m}$.
- (4) $G_R(p)$ is not anticonnected.

Proof. The equivalence of (1) – (2) – (3) follows from the fact that R^\times is a cyclic group of order $\ell^m - 1$. Clearly, (3) implies (4). We claim that (4) implies (2) as well. Suppose, in fact, that $G_R(p)$ is not anticonnected but $R^\times \neq (R^\times)^p$. Let $a \in R^\times \setminus (R^\times)^p$. Let $\Phi_a : R \rightarrow R$ be the multiplication by a map. Since $a \notin (R^\times)^p$, under Φ_a , $G_R(p)$ is a subgraph of $G_R(p)^c$. Since $G_R(p)$ is connected, $G_R(p)^c$ is connected as well. This is a contradiction. We conclude that $(R^\times)^p = R^\times$. \square

Combining Proposition 3.1, Proposition 3.3 and Proposition 3.5 we answer Question 1.4 in the case R is a finite local ring with residue characteristics $\ell \neq p$.

Theorem 3.6. *Suppose that $R = k$ is a finite local field of residue characteristics $\ell \neq p$. Then $G_R(p)$ is prime if and only if the following conditions hold*

- (1) R is a finite field of order ℓ^m .
- (2) $p \mid \ell^m - 1$ and $\frac{\ell^m - 1}{p} \nmid \ell^m - 1$.

3.2. Induced subgraphs of $G_R(p)$. In this section, we study various induced subgraphs of $G_R(p)$. This will be helpful later on when we study the general case. First, we remark that by Proposition 3.1, we can safely assume that R is a finite field of characteristics $\ell \neq p$. Therefore, we will assume that R is a finite field throughout this section. Furthermore, if $p \nmid |R| - 1$ then $G_p(R) = K_{|R|}$. As a result, most of our results would be either obvious or in need of some easy modifications. Therefore, we will also assume that p is a divisor of $|R| - 1$.

Our main tool in this section is the theory of character sums and their Weil bounds (see [2, 15]). To do so, we first make a connection between $G_R(p)$ and character theory. We know that R^\times is a cyclic group of order $|R| - 1$. By fixing a primitive root of unity $g \in R^\times$, we have an embedding

$$\iota : R^\times \hookrightarrow \mathbb{C}^\times,$$

sending $g \mapsto \zeta_{|R|-1}$ where $\zeta_{|R|-1}$ is a primitive $(|R| - 1)$ -root of unity. Let $\chi : R^\times \rightarrow \mathbb{C}$ be the character defined by $\chi(g) = \zeta_{\frac{|R|-1}{p}}$. Then χ is a character of order p . Furthermore, $\chi(x) = 1$ if and only if $x \in (R^\times)^p$.

Remark 3.7. We recall that in [7, 16], we define and study the Paley graph P_χ as $\text{Cay}(R, \ker(\chi))$. The above discussion shows that $P_\chi = G_R(p)$.

To apply the Weil bound, we introduce the following auxiliary polynomials.

$$P_1(x) = \frac{1}{p} \left(\frac{1 - x^p}{1 - x} \right) = \frac{1}{p} (1 + x + \dots + x^{p-1}),$$

and

$$P_0(x) = 1 - P_1(x) = \frac{p-1}{p} - \frac{1}{p} (x + x^2 + \dots + x^{p-1}).$$

We can see that if z is an p -root of unity; i.e. $z^p = 1$, then

$$P_1(z) = \begin{cases} 1 & \text{if } z = 1 \\ 0 & \text{else.} \end{cases}$$

Similarly

$$P_0(z) = \begin{cases} 0 & \text{if } z = 1 \\ 1 & \text{else.} \end{cases}$$

Lemma 3.8. Suppose that $R = k$ is a field of characteristics $\ell \neq p$. Suppose that $|R| \geq (p+1)^4$. Then K_3 is a subgraph of $G_R(p)$. More specifically, there exists $a \in R \setminus \{0, 1\}$ such that the induced subgraph on $\{0, 1, a\}$ is K_3 .

Proof. We will look for a of the form $a = x^p$ where $x \in R^\times$. With this choice, we only need to make sure that $(1, x^p) \in E(G_R(p))$. In other words, we need to find $x \neq 0$ such that $\chi(1 - x^p) = 1$. Let T be the set of all $a \in R$ such that either $a = 0$ or $a^p = 1$. We know that $|T| = p+1$. Let S be the set of all $x \in R^\times$ such that $\chi(1 - x^p) = 1$. Let us consider the following function

$$f(a) = P_1(\chi(1 - a^p)) = \frac{1}{p} \frac{\chi(a)^p - 1}{\chi(a) - 1} = \frac{1}{p} \sum_{i=0}^{p-1} \chi^k(1 - a^p).$$

We can see that if $a \in R$ then $0 \leq |f(a)| \leq 1$. Additionally, if $a \in T \setminus \{0\}$ then $f(a) = \frac{1}{p}$; $f(0) = 1$. Furthermore, if $x \notin T$, then $f(a) = 1$ if $a \in S$ and $f(a) = 0$ otherwise. We conclude that

$$|S| = \sum_{a \in R} f(a) - \sum_{a \in T} f(a) = \frac{1}{p} \sum_{i=0}^{p-1} \left(\sum_{a \in R} \chi^k(1 - a^p) \right) - \frac{p+p}{p}.$$

By the Weil bound, we know that for $1 \leq i \leq p-1$

$$\left| \sum_{a \in R} \chi^k(1 - a^p) \right| \leq (p-1) \sqrt{|R|}.$$

On the other hand, when $i = 0$ we have

$$\left| \sum_{a \in R} 1 \right| = |R|.$$

Therefore, by triangle inequality we have

$$p|S| \geq |R| - (p-1)^2\sqrt{|R|} - 2p.$$

By an elementary calculation, we can see that if $|R| \geq (p+1)^4$ then $|S| > 0$. In other words, we can find $x \in (R^\times)$ such that the induced graph on $\{0, 1, x^p\}$ is K_3 . \square

Remark 3.9. The bound $|R| > (p+1)^4$ also implies that the Waring problem for R has an exact answer (see [19, Example (a), Page 3].)

Corollary 3.10. *Suppose that $R = k$ is a field of characteristics $\ell \neq p$. Assume that either ℓ is odd or $|R| > (p+1)^4$. Then $G_R(p)$ is not a bipartite graph.*

Proof. $G_R(p)$ contains the C_ℓ -cycle

$$0 \rightarrow 1 \dots \rightarrow \ell \rightarrow 0.$$

Therefore, if ℓ is odd then $G_R(p)$ is not a bipartite graph. Similarly, if $|R| > (p-1)^4$ then $C_3 = K_3$ is an induced subgraph of $G_R(p)$. Therefore, $G_R(p)$ is not a bipartite graph. \square

Remark 3.11. We wrote some Sagemath code to search for an example where $G_R(p)$ is bipartite (by Corollary 3.10, we only need to consider the case R is a finite field of characteristics 2). So far, our attempt has been unsuccessful. This leads us to wonder whether such an example exists at all.

In [8], the authors show that for each n , the complete graph K_n is an induced subgraph of the generalized Paley graph $G_{\mathbb{F}_\ell}(2)$ as long as ℓ is large enough. More generally, the main result in [6] shows that for a fixed graph G , G is an induced subgraph of $G_{\mathbb{F}_\ell}(2)$ if ℓ is big enough. At the time of our writing, it is unclear to us whether similar results have been obtained for $G_{\mathbb{F}_\ell}(p)$. Since the proof for this fact is quite standard and straightforward, we provide it here for the sake of completeness.

Theorem 3.12. *Let G be an undirected graph. Let p be a fixed prime number and R a prime finite field of characteristics $\ell \neq p$; i.e., $R = \mathbb{F}_\ell$. Assume further that $p|\ell-1$. Then there exists a constant C depending on p and G such that if $\ell > C$ then G is isomorphic to an induced subgraph of $G_R(p)$.*

Proof. Let $k = |G|$. The key idea in our proof is to find $y \in \mathbb{F}_\ell$ and a tuple $(a_1, a_2, \dots, a_k) \in \mathbb{Z}^k$ such that the induced graph on $\{y^{a_1}, y^{a_2}, \dots, y^{a_k}\}$ is G . We can choose (a_1, a_2, \dots, a_k) in such a way that $a_i - a_j$ are pairwise different. For example, we can take $a_i = 2^{i-1}$. In order to make sure that the induced graph on $\{y^{a_1}, y^{a_2}, \dots, y^{a_k}\}$ is G , it is sufficient to find $y \in \mathbb{F}_\ell$ such that $\chi(y) = 1$ and

$$\chi(y^{a_j - a_i} - 1) = \begin{cases} 1 & \text{if } (i, j) \in V(G) \\ \neq 1 & \text{else.} \end{cases}$$

Let S be the set of all such y . Our goal is to show that $|S| > 0$ whenever ℓ is sufficiently large. Similar to the proof of Lemma 3.8, we will do so by a counting argument. Let $C = (c_{ij})$

be the adjacency matrix of G . Define the following function

$$f(x) = P_1(\chi(x)) \prod_{i < j} P_{c_{ij}}(\chi(x^{a_j - a_i} - 1)).$$

Let T be the set of y such that either $y = 0$ or y is a root of the equation $y^{a_j - a_i} - 1$ for some $i < j$. Then T is a finite set. For each $x \in \mathbb{F}_\ell$, $0 \leq f(x) \leq 1$. Furthermore, if $x \in \mathbb{F}_\ell \setminus T$, then $f(x) = 1$ if $x \in S$ and $f(x) = 0$ otherwise. Therefore, we have

$$|S| = \left| \sum_{y \in \mathbb{F}_\ell} f(y) - \sum_{y \in T} f(y) \right| \geq \left| \sum_{y \in \mathbb{F}_\ell} f(y) \right| - |T|.$$

By the Weil bound, we also have

$$\left| \sum_{y \in \mathbb{F}_p} f(y) \right| \geq \frac{(p-1)^N}{p^{\binom{|G|}{2}+1}} \ell - C\sqrt{\ell},$$

where N is the number of (i, j) such that $i < j$ and $(i, j) \notin V(G)$ and C is a constant depending on G and p only. We conclude that

$$|S| \geq \frac{(p-1)^N}{p^{\binom{|G|}{2}+1}} \ell - C\sqrt{\ell} - |T|.$$

Therefore, for ℓ big enough, $|S| > 0$. In other words, we can find y such that the induced graph on $\{y^{a_1}, y^{a_2}, \dots, y^{a_k}\}$ is G . \square

Remark 3.13. In [1, Theorem 9.1] the authors classify all $G_R(1)$ which are perfect. In particular, they show that the graph $G_R(1)$ is always a perfect graph if R is a finite local ring. However, things are different when $p > 1$. In fact, by Theorem 3.12, for each p there exists a constant C_p such that if $\ell > C_p$, then the cycle graph \mathbf{C}_5 is an induced subgraph of $G_R(p)$. Consequently $G_{\mathbb{F}_\ell}(p)$ is not perfect.

4. $G_R(p)$ WHERE p IS A LOCAL RING OF RESIDUE CHARACTERISTICS p

In this section, we study Question 1.4 and Question 1.5 in the case R is a local field of characteristics p . We will start our investigation with the following lemma.

Lemma 4.1. *Suppose that R is an \mathbb{F}_p -algebra and $\text{Cay}(R, (R^\times)^p)$ is connected. Then R is a finite field.*

Proof. Let H_1 (respectively H_2) be the abelian group generated by R^\times (respectively $(R^\times)^p$). We claim that

$$H_2 = H_1^p = \{a^p | a \in H_1\}.$$

Let x be an element of H_2 . Then we can write

$$x = \sum_{i=1}^d n_i r_i^p,$$

where $n_i \in \mathbb{Z}$ and $r_i \in R^\times$. By Fermat's little theorem, we know that $n_i^p = n_i$ for all $1 \leq i \leq d$. Therefore, we can write

$$x = \sum_{i=1}^d n_i^p r_i^p = \left(\sum_{i=1}^d n_i r_i \right)^p.$$

We conclude that $x \in H_1^p$. Therefore, $H_2 \subset H_1^p$. By a similar argument can show that $H_1^p \subset H_2$. This shows that $H_2 = H_1^p$.

Now, because $\text{Cay}(R, (R^\times)^p)$ is connected, we must have $H_2 = R$. Consequently $H_1^p = R$ and hence $R = R^p$. This implies that the Frobenius map $\Phi : R \rightarrow R$ sending $r \mapsto r^p$ is an isomorphism. Since M is nilpotent, we must have $M = 0$. In other words, R is a field. \square

Corollary 4.2. *Let R be a finite commutative ring such that $k = R/M$ has characteristics p . Suppose that $\text{Cay}(R, (R^\times)^p)$ is connected. Then $M = pR$ and R/pR is a finite field.*

Proof. Apply Lemma 4.1 for the ring R/p . \square

Proposition 4.3. *Let S be a subset of R . Then $\text{Cay}(R, S)$ is connected if and only if $\text{Cay}(R/p, \varphi(S))$ is. Here $\varphi : R \rightarrow R/p$ is the canonical map.*

Proof. Let H be the abelian group generated by S . By definition, $\varphi(H)$ is the abelian group generated by $\varphi(S)$. Suppose that $\text{Cay}(R/p, \varphi(S))$ is connected. We then have $\varphi(H) = R/p$. We claim that $H = R$. Let $r \in R$. Because $\varphi(H) = R/p$, we can find $h_1 \in H$ such that $\phi(h_1) = \phi(r)$. This implies that $r - h_1 \in \ker(\varphi) = pR$. Therefore, we can write

$$r = h_1 + pr_1,$$

where $h_1 \in H$ and $r_1 \in R$. Keeping the same process, we see that for each $n \geq 1$, we can find $(h_1, h_2, \dots, h_n) \in R^n$ and $r_n \in R$ such that

$$r = h_1 + ph_2 + \dots + p^{n-1}h_n + p^n r_n.$$

Because p is nilpotent, we can find $n \in \mathbb{N}$ such that $p^n = 0$. Consequently, in the above equation, we would have

$$r = h_1 + ph_2 + \dots + p^{n-1}h_n \in H.$$

\square

Let (R, M) be a local ring and $\phi : R \rightarrow R/M := k$ be the canonical map.

Proposition 4.4. *Let (R, M) be a finite commutative local ring such that $k = R/M$ has characteristics p . Let $a \in k^\times$. Then*

- (1) *There exists $x \in R^\times$ such that $\phi(x^p) = a$.*
- (2) *Suppose that $M = pR$. If $x_1, x_2 \in R^\times$ such that $\phi(x_1^p) = \phi(x_2^p) = a$, then $x_1^p \equiv x_2^p \pmod{p^2 R}$.*

Proof. Since k^\times is a cyclic of order prime to p , we have $k^\times = (k^\times)^p$. Therefore, we can find $b \in k^\times$ such that $a = b^p$. Let x be any lift of b to R ; namely $\phi(x) = b$. We then see that

$$\phi(x^p) = \phi(x)^p = b^p = a.$$

For the second part, we observe that we have

$$0 = (\phi(x_1)^p - \phi(x_2)^p) = (\phi(x_1) - \phi(x_2))^p.$$

Because R/pR is a field, we must have $\phi(x_1) = \phi(x_2)$. In other words, $x_1 = x_2 + pa$ for some $a \in R$. We can then see that $x_1^p \equiv x_2^p \pmod{p^2 R}$. \square

Corollary 4.5. *Suppose that $M = pR$ and $p^2R = 0$. Then, for each $a \in k^\times$, there exists a unique $y \in (R^\times)^p$ such that $\phi(y) = a$. In other words, the induced map $\phi : (R^\times)^p \rightarrow k^\times = (k^\times)^p$ is an isomorphism.*

By Proposition 4.3 and Proposition 4.4, we have the following.

Proposition 4.6. *Let (R, M) be a finite commutative local ring such that $k = R/M$ has characteristics p . Then $\text{Cay}(R, (R^\times)^p)$ is connected if and only if $M = pR$. Furthermore, in the case $p = 2$, $M = pR = 0$ and R is a field and $G_R(p) \cong K_{|R|}$.*

Proof. The forward direction follows from Corollary 4.2. Now suppose that $M = pR$. By Proposition 4.3, $G_R(p)$ is connected if and only if $G_{R/p}(p) = G_k(p)$ is also connected where $k = R/M$. Since $k = R/M$ is a finite field of characteristics p , $|k^\times|$ is a group with order prime to p . As a result, $k^\times = (k^\times)^p = k \setminus \{0\}$ and hence $G_k(p) = K_{|k|}$ the complete graph on $|k|$ nodes. In particular, it is connected. We conclude that $G_R(p)$ is connected as well.

Let us consider the case $p = 2$. Because $G_R(p)$ is connected, by the above argument, we know that $M = 2R$ and R/M is a field of characteristics $p = 2$. We claim that $M = 0$. In fact, by our assumption that $G_R(2)$ is an undirected graph, $-1 \in (R^\times)^2$; i.e, there exists $x \in R$ such that $x^2 = -1$. Let $\bar{x} \in R/M = R/2R$ be the projection of x to the residue field of R . Then $\bar{x}^2 = \overline{-1} = \bar{1}$. Consequently $(\bar{x} - 1)^2 = 0$. Since $R/2R$ is a field, we must have $\bar{x} = 1$. In other words, we can write $x = 1 + 2a$ for some $a \in R$. We then have

$$0 = x^2 + 1 = (1 + 2a)^2 + 1 = 2(1 + 2a + 2a^2).$$

Since $1 + 2a + 2a^2 \in R^\times$, we conclude that $2 = 0$ in R . This shows that $M = 2R = 0$ and R is a field of characteristics 2. In this case $R^\times = (R^\times)^2 = R \setminus \{0\}$. Consequently $G_R(p) = K_{|R|}$. \square

Corollary 4.7. *$G_R(p)$ is a connected bipartite undirected graph if and only if $p = 2$ and $R = \mathbb{F}_2$.*

Proof. $G_R(p)$ contains the p -cycle

$$0 \rightarrow 1 \rightarrow \dots \rightarrow p-1 \rightarrow 0.$$

Consequently, if p is odd, then $G_R(p)$ contains an odd cycle and therefore it is not bipartite. Let us consider the case $p = 2$. By Proposition 4.6, we know that $G_R(p) = K_{|R|}$ in this case. Therefore, $G_R(p)$ is bipartite if and only if $|R| = 2$. In other words, $R = \mathbb{F}_2$. \square

Proposition 4.8. *Let (R, M) be a finite commutative local ring such that $k = R/M$ has characteristics p . Suppose that $G_R(p)$ is connected. Then $\text{Cay}(R, (R^\times)^p)$ is anti-connected if and only if R is not a field.*

Proof. If R is a field then $G_R(p) = K_{|R|}$. As a result, the complement of $G_R(p)$ is the empty graph $E_{|R|}$ and hence, $G_R(p)$ is not anticonnected. Conversely, suppose that R is not a field. We claim that $G_R(p)$ is anticonnected. First of all, since $G_R(p)$ is connected, Proposition 4.3 implies that $M = pR$. We claim that $\varphi(R \setminus (R^\times)^p) = k$ where $k = R/M = R/pR$ and $\varphi : R \rightarrow R/p$ is the canonical projection map. Let $\bar{x} \in k$ and x be any lift of \bar{x} to R . We

claim that $x + a \notin (R^\times)^p$ for each $a \in pR \setminus p^2R$. Suppose to the contrary that $x + a = z^p$ for some $z \in R$. Since $k = k^p$ we can write $\bar{x} = \bar{y}^p$ for some $y \in R$. Over R/p , we then have

$$0 = \bar{z}^p - \bar{y}^p = (\bar{z} - \bar{y})^p.$$

Because R/p is a field, we conclude that $\bar{z} = \bar{y}$. In other words, we can write $z = y + pt$ for some $t \in R$. We then have

$$a = z^p - y^p = (y + pt)^p - y^p = p^2w$$

for some $w \in R$. Because $a \in pR \setminus p^2R$, we can write $a = pb$ for some $b \in R^\times$. We then have $p(b - pw) = 0$. Because $b - pw \in R^\times$, we conclude that $p = 0$ and hence $pR = 0$. This is a contradiction since we assume that R is not a field. \square

By Proposition 4.6 and Proposition 4.8, we have a complete answer to Question 1.5. We now focus on Question 1.4. For this question, we have the following key observation which is discovered through various experiments with Sagemath.

Proposition 4.9. *Let (R, M) be a finite commutative local ring such that $k = R/M$ has characteristics p . Then p^2R is a homogeneous set in $\text{Cay}(R, (R^\times)^p)$.*

Proof. By [7, Proposition 4.4], for p^2R to be a homogeneous set in $G_R(p)$ we need to show that

$$p^2R + (R^\times)^p \subset (R^\times)^p.$$

Let $x \in (R^\times)$ and $a \in R$, we claim that $x^p + p^2a \in (R^\times)^p$. From the equation

$$x^p + p^2a = x^p(1 + p^2(ax^{-p})),$$

we can assume that $x = 1$. While Hensel's lemma does not apply directly, we can modify it to fit our situation. Specifically, we claim that there for each $n \geq 1$, we can find $x_n \in$ such that

$$x_n^p \equiv 1 + p^2a \pmod{p^{n+1}}.$$

We remark the above congruence immediately implies that $x_n \in R^\times$. For $n = 1$, we can take $x_1 = 1 + ap$. In this case

$$x_1^p = (1 + ap)^p = 1 + ap^2 + \sum_{k=2}^p \binom{p}{k} (ap)^k \equiv 1 + ap^2 \pmod{p^2}.$$

Suppose that the statement has been verified for all n . We claim that it is also true for $n + 1$. In fact, let $x_{n+1} = x_n + p^n b$ for some $b \in R$. We then have

$$x_{n+1}^p = (x_n + p^n b)^p \equiv x_n^p + x_n^{p-1} p^{n+1} b \equiv 1 + p^2a + (x_n^p - 1 - p^2a + x_n^{p-1} p^{n+1} b) \pmod{p^{n+2}}.$$

By the induction hypothesis, we can write $x_n^p - 1 - p^2a = p^{n+1}c_n$ for some $c_n \in R$. If we take $b = -(x_n^{-1})^{p-1}c_n$ then

$$x_{n+1}^p \equiv 1 + p^2a \pmod{p^{n+2}}.$$

Since p is nilpotent in R , $p^n = 0$ for some large enough n . Therefore, we can find n such that $x_n^p = 1 + p^2a$. This shows that $1 + p^2a \in (R^\times)^p$. \square

By [7, Corollary 4.2] and Corollary 4.5, we have the following.

Corollary 4.10. For each $m \geq 1$, we define

$$R_m = R/p^m.$$

Then $G_R(p)$ is the wreath product of $G_{R_2}(p)$ and E_n where $n = |p^2 R|$; i.e. (see Definition 2.3 for the definition of the wreath product of two graphs)

$$G_R(p) \cong G_{R_2}(p) \cdot E_n.$$

Furthermore, $G_{R_2}(p)$ is a regular graph of degree $|R_1| - 1$.

Example 4.11. Figure Fig. 3 shows the graph $G_{\mathbb{Z}/25}(5)$. It is a regular graph of degree 4.

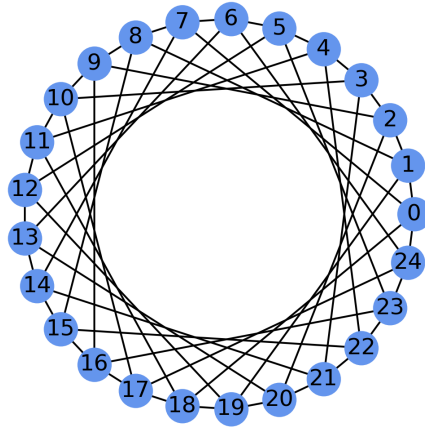


FIGURE 3. The Cayley graph $G_{\mathbb{Z}/25}(5)$

We now show that $p^2 R$, under mild conditions, is the maximal homogeneous set in $G_R(p)$.

Proposition 4.12. Let (R, M) be a finite commutative local ring such that $k = R/M$ has characteristics p . Let $a \in M^2 \setminus M$. Then

$$(a + (R^\times)^p) \cap (R^\times)^p = \emptyset.$$

Proof. The key argument for the proof of this proposition is somewhat similar to the one given in Proposition 4.8. For the sake of completeness, we provide it here for the reader's convenience. Let us assume to the contrary that $(a + (R^\times)^p) \cap (R^\times)^p \neq \emptyset$. Then we can find $x, y \in R^\times$ such that

$$a + x^p = y^p.$$

By projecting this equation over the residue field R/M we see that $(\bar{x} - \bar{y})^p = 0$. Consequently, $\bar{x} = \bar{y}$. In other words, we can write $y = x + m$ for some $m \in M$. We then have

$$a = y^p - x^p = (x + m)^p - x^p = pm \left(\sum_{k=1}^p \frac{\binom{p}{k}}{p} x^k m^{p-k-1} \right) \in M^2.$$

This contradicts our assumption that $a \notin M^2$. □

We have the following corollary.

Corollary 4.13. *Suppose that I is a proper ideal in R and I is a homogeneous set in $G_R(p)$. Suppose further that $G_R(p)$ is connected. Then $I \subset p^2R$. In other words, p^2R is the maximal ideal which is also a homogeneous set in $G_R(p)$.*

Proof. Because I is a homogeneous set, by [7, Proposition 4.4] we know that

$$I + (R^\times)^p \subset (R^\times)^p.$$

By Proposition 4.12, we know that for each $a \in I$, $a \in M^2$. Because $G_R(p)$ is connected, by Proposition 4.6, we know that $M = pR$. As a result, $a \in p^2R$. Since this is true for all $a \in I$, we conclude that $I \subset p^2R$. \square

Remark 4.14. We remark that we do not require $G_R(p)$ to be anticonnected in the proof of Corollary 4.13.

Theorem 4.15. *Let (R, M) be a finite commutative local ring such that $k = R/M$ has characteristics p . Then $\text{Cay}(R, (R^\times)^p)$ is a prime graph if and only if the following conditions hold*

- (1) R is not a field.
- (2) $M = pR$.
- (3) $p^2R = 0$.

Proof. First, let us assume that $G_R(p)$ is a prime graph. Then $G_R(p)$ must be connected and anticonnected. By Proposition 4.6, and Proposition 4.8 we conclude that $M = pR$ and R is not a field. Furthermore, by Proposition 4.9, p^2R is a homogeneous set in $G_R(p)$. Because $G_R(p)$ is prime, we must have $p^2R = 0$.

Conversely, suppose that the three conditions above are satisfied. We claim that $G_R(p)$ is prime. First, Proposition 4.6, and Proposition 4.8 shows that $G_R(p)$ is connected and anticonnected. Suppose that $G_R(p)$ is not prime. By Proposition 2.6, we know that there exists a proper ideal I in R such that $I \neq 0$ and I is a homogeneous set in $G_R(p)$. By Corollary 4.13, we know that $I \subset p^2R$. By our assumption $p^2R = 0$ and therefore $I = 0$. This is a contradiction. We conclude that $G_R(p)$ is a prime graph. \square

Remark 4.16. A particular example of rings that satisfy the conditions of Theorem 4.15 is the class of Galois rings (see [4, Section 6.1])

$$R = GR(p^2, r) = \mathbb{Z}[x]/(p^2, f(x)),$$

where $f(x) \in \mathbb{Z}[x]$ is an irreducible polynomial modulo p .

Remark 4.17. In general, a ring that satisfies the conditions of Theorem 4.15 must be a quotient ring of the Laurent series over a Cohen ring (see [21, Theorem 10.160.8]).

4.1. Induced subgraphs of $G_R(p)$. In Section 3.2 we study various induced subgraphs of $G_R(p)$ where R is a field of characteristics $\ell \neq p$. In this section, we study a similar problem for $G_R(p)$ where R is a local ring of residue characteristics p . Since our main interest lies in the case $G_R(p)$ is connected, we will make that assumption throughout this section. By Proposition 4.3, this would imply that $M = pR$. Furthermore, in the case $p = 2$, $G_R(p) = K_{|R|}$ and hence our problem is rather trivial in this case. Therefore, we will also assume that $p \geq 3$.

Lemma 4.18. *The following conditions are equivalent*

- (1) K_3 is an induced subgraph of $G_R(p)$.
- (2) There exists $a \in R^\times$ such that the induced subgraph on $\{0, 1, -a^p\}$ is K_3 . In other words, there exists $a \in R^\times$ such that $1 + a^p \in (R^\times)^p$.

Proof. Clearly (2) implies (1). Let us show that (1) implies (2) as well. By assumption, there exists $u_1, u_2, u_3 \in R$ such that the induced graph on $\{u_1, u_2, u_3\}$ is K_3 . By definition, $u_2 - u_1 \in (R^\times)^p$. We can then see that the induced subgraph on

$$\left\{ \frac{u_1 - u_1}{u_2 - u_1}, \frac{u_2 - u_1}{u_2 - u_1}, \frac{u_3 - u_1}{u_2 - u_1} \right\} = \left\{ 0, 1, \frac{u_3 - u_1}{u_2 - u_1} \right\}$$

is also K_3 . We can then take $a = -\frac{u_3 - u_1}{u_2 - u_1}$ (here we use the fact that $-1 \in (R^\times)^p$). \square

Inspired by Lemma 4.18, we define the following polynomial

$$f_p(x) = (1 + x)^p - x^p - 1 \in \mathbb{Z}[x].$$

The introduction of $f_p(x)$ is suggested to us by Dr. Ha Duy Hung. We thank him for sharing this idea.

Example 4.19. Here are some examples of $f_p(x)$ for small p .

$$f_3(x) = 3x(x + 1).$$

$$f_5(x) = 5x(x + 1)(x^2 + x + 1),$$

$$f_7(x) = 7x(x + 1)(x^2 + x + 1)^2,$$

$$f_{11}(x) = 11x(x + 1)(x^2 + x + 1)(x^6 + 3x^5 + 7x^4 + 9x^3 + 7x^2 + 3x + 1).$$

Proposition 4.20. K_3 is an induced subgraph of $G_R(p)$ if and only there exists $a \in R^\times$ such that $a + 1 \in R^\times$ and $f_p(a) = 0$.

Proof. If $a \in R^\times$ such that $1 + a \in R^\times$ and $f_p(a) = 0$ then the induced graph on $\{0, 1, -a^p\}$ is K_3 . Conversely, suppose that K_3 is an induced subgraph of $G_R(p)$. By Lemma 4.18, we can find $a \in R^\times$ such that

$$1 + a^p = b^p,$$

for some $b \in R^\times$. By Proposition 4.9, we can assume that $p^2R = 0$. By taking this equation over R/pR , we conclude that $b \equiv 1 + a \pmod{p}$. Consequently

$$b^p \equiv (1 + a)^p \pmod{p^2R}.$$

Since $p^2R = 0$, we conclude that $b^p = (1 + a)^p$ and that $f(a) = 0$. We remark that since $b \equiv 1 + a \pmod{pR}$ and $b \in R^\times$, $1 + a \in R^\times$ as well. \square

Let us next discuss a particular factor of $f_p(x)$.

Lemma 4.21. Suppose that $p > 3$. Let m be the multiplicity of $x^2 + x + 1$ in $f_p(x)$. Then

$$m = \begin{cases} 1 & \text{if } p \equiv 2 \pmod{3} \\ 2 & \text{else.} \end{cases}$$

Proof. Let ζ_3 be a primitive 3-root of unity. Then the minimal polynomial of ζ_3 is $\Phi_3 = x^2 + x + 1$ and m is the multiplicity of ζ_3 in $f_p(x)$. Therefore, in order to calculate m , we need to study $f_p^{(k)}(\zeta_3)$ for $k \geq 0$. First, we observe that

$$\zeta_3 + 1 = -\zeta_3^2.$$

Consequently

$$f_p(\zeta_3) = (-\zeta_3^2)^p - \zeta_3 - 1 = -(\zeta_3^{2p} + \zeta_3^p + 1) = \zeta_3^2 + \zeta_3 + 1 = 0.$$

Similarly, we have

$$f_p'(\zeta_3) = p[(-\zeta_3^2)^{p-1} - \zeta_3^{p-1}] = p\zeta_3^{p-1}(\zeta_3^{p-1} - 1).$$

We can see that $f_p'(\zeta_3) = 0$ if and only if $p \equiv 1 \pmod{3}$. Finally, assume now that $p \equiv 1 \pmod{3}$ then

$$f_p''(\zeta_3) = p(p-1)[(-\zeta_3^2)^{p-2} - \zeta_3^{p-2}] = p(p-1) \neq 0.$$

□

Corollary 4.22. *Suppose that $p > 3$. There exists a polynomial $g_p(x) \in \mathbb{Z}[x]$ such that*

$$f_p(x) = px(x+1)(x^2+x+1)^m g_p(x),$$

with $g(\zeta_3) \neq 0$. Here

$$m = \begin{cases} 1 & \text{if } p \equiv 2 \pmod{3} \\ 2 & \text{if } p \equiv 1 \pmod{3}. \end{cases}$$

Remark 4.23. We have verified that $g_p(x)$ is irreducible for all $p < 10^4$. We wonder whether this is the case for all p .

We have the following partial observation.

Lemma 4.24. *If $a \in \mathbb{C}$ is a repeated root of $f_p(x)$, then $a^2 + a + 1 = 0$. Consequently, $g_p(x)$ is separable over $\mathbb{Z}[x]$.*

Proof. Because a is a repeated root of $f_p(x)$, we have $f(a) = f'(a) = 0$. We have

$$0 = f'(a) = p((a+1)^{p-1} - a^{p-1}) = 0.$$

There exists $\lambda \in \mathbb{C}$ such that $\lambda^{p-1} = 1$ and $a+1 = \lambda a$. We then see that $a = \frac{1}{\lambda-1}$. Substituting this into the equation $f(a) = 0$, we have

$$\left(\frac{\lambda}{\lambda-1}\right)^p - \left(\frac{1}{\lambda-1}\right)^p - 1 = 0.$$

Using the fact that $\lambda^{p-1} = 1$, we see that

$$0 = \lambda^p - (\lambda-1)^p - 1 = \lambda - (\lambda-1)^p - 1 = (\lambda-1)(1 - (\lambda-1)^{p-1}).$$

We conclude that $(\lambda-1)^{p-1} = \lambda^{p-1} = 1$. We can find $0 \leq k, l \leq p-1$ such that

$$\begin{aligned} \lambda &= e^{\frac{2k}{p-1}i} = \cos\left(\frac{2k}{p-1}\right) + i \sin\left(\frac{2k}{p-1}\right), \\ \lambda - 1 &= e^{\frac{2l}{p-1}i} = \cos\left(\frac{2l}{p-1}\right) + i \sin\left(\frac{2l}{p-1}\right). \end{aligned}$$

We conclude that

$$\sin\left(\frac{2k}{p-1}\right) = \sin\left(\frac{2l}{p-1}\right), \cos\left(\frac{2k}{p-1}\right) = 1 + \cos\left(\frac{2l}{p-1}\right).$$

From this, we can see that

$$\cos\left(\frac{2k}{p-1}\right) = \frac{1}{2}, \cos\left(\frac{2l}{p-1}\right) = -\frac{1}{2}.$$

We conclude either $\lambda = \frac{1+\sqrt{3}i}{2}$ or $\lambda = \frac{1-\sqrt{3}i}{2}$. We then have $a = \pm\zeta_3$; i.e, $a^2 + a + 1 = 0$. By Corollary 4.22, $g(a) \neq 0$. We conclude that $g_p(x)$ has no repeated roots. \square

We now show that there is an analogous statement over $\mathbb{F}_p[x]$.

Proposition 4.25. *Let $h_p(x) = \frac{1}{p}f_p(x) = (x^2 + x + 1)^m g_p(x) \in \mathbb{Z}[x]$ where m is given in Lemma 4.21. The following conditions are equivalent*

- (1) $a \in \bar{\mathbb{F}}_p$ is a repeated root of $h_p(x)$
- (2) a is a root of $h_p(x)$ and $a \in \mathbb{F}_p \setminus \{0, -1\}$.

Furthermore, in this case, the multiplicity of a is exactly 2.

Proof. Clearly $h'_p(x) = (x+1)^{p-1} - x^{p-1}$. Suppose that h_p has a root $a \in \mathbb{F}_p \setminus \{0, -1\}$. Then $h'_p(a) = (a+1)^{p-1} - a^{p-1} = 1 - 1 = 0$.

Now we suppose that h_p has a multiple root $a \in \bar{\mathbb{F}}_p$. From $0 = h'_p(a) = (a+1)^{p-1} - a^{p-1}$, we see that $a \neq 0$, $a \neq -1$ and $\left(\frac{a+1}{a}\right)^{p-1} = 1$. Hence $\lambda := \frac{a+1}{a} \in \mathbb{F}_p$ and thus $a = \frac{1}{\lambda - 1} \in \mathbb{F}_p$.

Finally, we note that

$$h''_p(a) = (p-1)((a+1)^{p-2} - a^{p-2}) = (p-1)\left(\frac{1}{a+1} - \frac{1}{a}\right) = -\frac{p-1}{a(a+1)} \neq 0.$$

Therefore, the multiplicity of a is 2. \square

Proposition 4.26. *Suppose that $p \equiv 1 \pmod{3}$. Then K_3 is an induced subgraph of $G_R(p)$.*

Proof. Let $k = R/pR$, the residue field of R . Since $p \equiv 1 \pmod{3}$, k^\times is a cyclic group of order divisible by 3. Consequently, we can find $a_0 \in k^\times$ such that $a_0 \neq 1$ and $a_0^3 = 1$. In other words, $a_0^2 + a_0 + 1 = 0$. By Hensel's lemma, we can lift a_0 to a root $a \in R$; namely $a^2 + a + 1 = 0$ and $\bar{a} = a_0$ where \bar{x} is the projection of x to k . We remark that since $1 + \bar{a} = 1 + a_0 \neq 0$, $1 + a \in R^\times$. Furthermore, by Lemma 4.21 we have

$$1 - (-a)^p = (1 + a)^p.$$

This implies that $f_p(a) = 0$. By Proposition 4.20, we conclude that the induced graph on $\{0, 1, -a^p\}$ is K_3 . \square

Remark 4.27. The converse of Proposition 4.26 is not true. The smallest counterexample is $p = 59$. In this case, we can check that $a = 4$ is a solution of $g_p(x) = 0$ over \mathbb{Z}/p and hence of $f_p(x) = 0$ over \mathbb{Z}/p^2 . For prime $p < 500$, $g_p(x)$ has a root in \mathbb{F}_p if and only if $p \in \{59, 79, 83, 179, 193, 227, 337, 419, 421, 443, 457\}$. It seems that there exists infinitely many p such that $g_p(x)$ has a root in \mathbb{F}_p .

5. THE GENERAL CASE

In Section 3 and Section 4, we provide complete answers for Question 1.5 and Question 1.4. In this section, we study these questions in the general case; namely

$$(5.1) \quad R = \prod_{i=1}^d R_i.$$

where R_i 's are finite local rings whose maximal ideals are M_i 's. For the rest of this section, we will fix the decomposition given in Eq. (5.1). With this decomposition, we have an isomorphism

$$G_R(p) \cong G_{R_1}(p) \times G_{R_2}(p) \times \dots \times G_{R_d}(p).$$

We first deal with Question 1.5. By Weichsel's Theorem (see [10, Theorem 5.9 and Corollary 5.10]), we have the following.

Proposition 5.1. *$G_R(p)$ is connected if and only if the following conditions hold*

- (1) *$G_{R_i}(p)$ is connected for each $1 \leq i \leq d$.*
- (2) *At most one of the $G_{R_i}(p)$ is a bipartite graph.*

When R is a local ring, via Proposition 3.3 (for the case $p \in R^\times$) and Proposition 4.6 (for the case $p \notin R^\times$) we provide the explicit conditions for $G_R(p)$ to be connected. In this case, we also provide some sufficient conditions for $G_R(p)$ to be non-bipartite (see Corollary 3.10 and Corollary 4.7). For the general case, we have the following observation.

Proposition 5.2. *Suppose that G_1, G_2, \dots, G_d are connected graphs and $d \geq 2$. Then the directed product $G_1 \times G_2 \times \dots \times G_d$ is anti-connected.*

Proof. Move like a Rook! □

Corollary 5.3. *Suppose that $d \geq 2$. If $G_R(p)$ is connected, then it is also anticonnected.*

We now return to Question 1.4. Under the decomposition $R = \prod_{i=1}^d R_i$, we know that each ideal I in R is of the form

$$I = I_1 \times I_2 \times \dots \times I_d,$$

where I_i is an ideal in R_i for each $1 \leq i \leq d$. We have the following lemma.

Lemma 5.4. *Suppose that $I = I_1 \times I_2 \times \dots \times I_d$ is a proper ideal in R ; i.e $I \neq R$. Then I is a homogeneous set in R if and only if the following conditions hold*

- (1) *I_i is a homogeneous set in R_i for each $1 \leq i \leq d$.*
- (2) *$I_i \neq R_i$ for each $1 \leq i \leq d$.*

Proof. This statement follows from the fact that I is a homogeneous set in R if and only if

$$I + (R^\times)^p \subset (R^\times)^p.$$

Under the decomposition given in Eq. (5.1) this is equivalent to

$$I_i + (R_i^\times)^p \subset (R_i^\times)^p, \forall 1 \leq i \leq d.$$

This condition is equivalent to the conditions (1) + (2) above. □

Theorem 5.5. *Suppose that $d \geq 2$. $G_R(p)$ is prime if and only if the following conditions hold*

- (1) $G_{R_i}(p)$ is a connected graph for each $1 \leq i \leq d$.
- (2) $G_R(p)$ is connected.
- (3) If p is invertible in R_i then R_i is a field.
- (4) If p is not invertible in R_i then $M_i = pR_i$ and $p^2R_i = 0$. Here M_i is the maximal ideal in R_i .

Proof. Suppose that $G_R(p)$ is prime. Then (1), (2) hold. We note that if p is not invertible in R and $G_{R_i}(p)$ is connected, we must have $M_i = pR_i$ (by Corollary 4.2). For each $1 \leq i \leq d$, we define

$$J_i = \begin{cases} M_i & \text{if } p \in R_i^\times \\ p^2M_i & \text{else.} \end{cases}$$

Then $J = \prod_{i=1}^d J_i$ is a homogeneous set in $G_R(p)$. Because $G_R(p)$ is prime, we must have $I = 0$ or equivalently $I_i = 0$ for each $1 \leq i \leq d$.

Conversely, suppose that all conditions are satisfied. We claim that $G_R(p)$ is prime. Suppose to the contrary that $G_R(p)$ is not prime. By Corollary 5.3, we know that $G_R(p)$ is also anticonnected. By [7, Theorem 4.1], there exists a non-zero proper ideal I in R such that I is a homogeneous set in R . Let us write $I = \prod_{i=1}^d I_i$. By Lemma 5.4, we know that each I_i is a homogeneous set in R_i . By the definition of J_i together with Corollary 4.13, we know that $I_i \subset J_i$ for each $1 \leq i \leq d$. By our assumption, we must have $I_i = \{0\}$ and hence $I = 0$. This is a contradiction. \square

Remark 5.6. We note that condition (1) is described explicitly in Proposition 3.3 (for the case $p \in R_i^\times$) and in Proposition 4.6 (for the case $p \notin R_i^\times$).

We provide some partial results where the conditions in Theorem 5.5 can be described more explicitly. First, by Weichsel's theorem Proposition 5.1 and the fact that $G_{R_i}(p)$ is not bipartite if $2 \in R_i^\times$ (see Corollary 3.10) we have the following.

Proposition 5.7. *Suppose that there exists at most one i such that $2 \notin R_i^\times$. Then $G_R(p)$ is connected if and only if $G_{R_i}(p)$ is connected for all $1 \leq i \leq d$.*

When $p = 2$, we have the following.

Theorem 5.8. *Suppose that $d \geq 2$. Then $G_R(2)$ is prime if and only if the following conditions hold*

- (1) There exists at most one $1 \leq i \leq d$ such that $R_i = \mathbb{F}_2$.
- (2) If 2 is not invertible in R_i then R_i is a field of characteristics 2.
- (3) If 2 is invertible in R_i then R_i is a field of characteristics $\ell \neq 2$.

Proof. The necessary conditions follow from Theorem 5.5. We now show that they are sufficient as well. If 2 is invertible in R_i then $G_{R_i}(2)$ is connected and not bipartite by Corollary 4.2 and Corollary 3.10. On the other hand, if 2 is not invertible in R_i then $G_{R_i}(2) \cong K_{|R_i|}$ by

Proposition 4.6. In particular, it is bipartite if and only $|R_i| = 2$. We can then see that under the above conditions, all conditions mentioned in Theorem 5.5 are satisfied. Therefore, $G_R(p)$ is prime. \square

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