

Product: MK-xxxx

Protocol/Amendment No.: xxx

VEAP ID NO: XXXXX

Epidemiology No.(PE Studies only): EP0xxxx.xx

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TITLE:

Estimating Diabetes-Related Disparities in Health Care Use Trends with Healthcare
Cost and Utilization Project and Medical Expenditure
Panel Survey Data

Summary of Changes (Optional)

Protocol Section	Change
List of Abbreviations	Added BRFSS to list of abbreviations
Protocol Summary	Removed rural/urban designation from Objective 3/MEPS analysis, as variable only available through research data center
2	Removed rural/urban designation from Objective 3/MEPS analysis as variable only available through research data center
4	Specified number of discharge diagnoses to be used in identifying diabetes disease state and comorbid conditions
4	Added criteria for determining Adult population without diabetes-disease state
4	Removed rural/urban designation from Objective 3/MEPS analysis, as variable only available through research data center
4.2	Added diabetes-status to list of stratifications
4.2	Removed rural/urban designation from Objective 3/MEPS analysis, as variable only available through research data center
5.1	Added information about BRFSS informed consent procedure
7.0	Replaced NHIS with BRFSS to analysis design to generate state-level demographic data and rate estimates
7.1	Added analysis by diabetes-status to objectives 1 and 2. Added rate standardization to rate calculation, fixed grammatical error at end of objective 2, removed rural/urban from objective 3
7.2.3	Added limitation to using discharge diagnoses to identify patient population without diabetes, fixed grammatical error in limitation 3
Appendix 5.	Reformatted tables, replaced NHIS with BRFSS
Appendix 5.	Added table 7 for analysis with and without diabetes
Appendix 5.	Reformatted tables, updated age groups and regions
Appendix 6.	Reformatted tables, updated age groups and regions, renamed tables to account for new table
Appendix 7.	Updated race (reflecting sample size limitations) and region category, and removed rural/urban as data is not available in MEPS

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List of Abbreviations

NIS National Inpatient Sample
NEDS National Emergency Department Sample
SID State Inpatient Databases
SEDD State Emergency Department Databases
ICD-9 International Classification of Disease, 9th Modification
ICD-10 International Classification of Disease, 10th Modification
IRB Institutional Review Board
ED Emergency Department
PQI Prevention Quality Indicators
AHRQ US Agency for Health Research and Quality
BRFSS Behavioral Risk Factor Surveillance System

List of Definitions

Health Care Service Use	The utilization of the following healthcare services/products: Hospital Inpatient Stays/Hospitalizations, Emergency Department Visits, and Prescription Drugs,
cascade of care	diagnosis, linkage to care, achievement of individual treatment targets, and a composite of all individual targets

Protocol summary

Title	Estimating Diabetes-Related Disparities in Health Care Use Trends with Healthcare Cost and Utilization Project Data and Medical Expenditure Panel Survey Data
Vendor/Collaborator	Emory Healthcare
Rationale	There exists limited data on the healthcare service utilization trends among people with diabetes by sociodemographic group. Identifying contributors to disparities in diabetes management will shed light on possible intervention targets to reduce these disparities and improve outcomes.
Primary Objective(s)	<p>Objective 1. To describe trends in ED visit rates and inpatient use rates among adults with diabetes in the United States from 2005-2016 by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and health insurance coverage.</p> <p>Objective 2. To describe trends in the rates of potentially preventable hospitalizations, as defined by, among adults with diabetes in the United States from 2005-2016 by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and health insurance coverage.</p> <p>Objective 3. To describe trends of prescription drug usage (antihyperglycemic agents, antihyperlipidemic agents, antihypertensive agents, antiplatelet agents, and antidepressant/anxiolytic agents) among adults with diabetes in the United States from 2005-2016 by age, sex, race/ethnicity, , presence of comorbidities, geographic region, and health insurance coverage.</p>
Study Design	Retrospective serial cross-sectional design using data from the AHRQ's Healthcare Cost and Utilization Project and Medical Expenditure Panel Survey
Study Population	U.S. non-institutionalized population aged 18+, diagnosed with diabetes
Study Duration	10 Years
Outcomes	<p>Number and Rates of Hospital Stays and ED visits across sociodemographic groups, 2008-2016</p> <p>Number and Rates of Potentially Preventable Hospitalizations across sociodemographic groups, 2008-2016</p> <p>Number and rates of People with Diabetes Prescribed Cardiovascular Modifying Agents across sociodemographic groups, 2008-2016</p>
Statistical Methods	Descriptive Statistics of Survey and Administrative Claims Data. Survey weights, calculation of mean and standard deviation, frequency tables, Taylor-Series linearization, and Jack-Knife methods will be used to generate estimates.
Limitations	<ol style="list-style-type: none"> 1. Generalizability issues arising from state selection and National Inpatient Sample sampling methods. 2. Reliance on administrative claims data and clinician billing to identify diabetes-related claims. 3. Generation of encounter-level estimates, rather than patient-level estimates 4. Reliance on ICD codes provided by the AHRQ's Prevention Quality Indicators to define Potentially Preventable Hospitalizations

1 Background and Rationale

1.1 Background

From 1990 to 2010, the number of people with a diabetes diagnosis more than tripled, from 6.5 million to 20.7 million.¹ As of 2015, approximately 9.4% of the United States population have diabetes.² The increased burden of diabetes bears large costs to society; the American Diabetes Association estimates the direct cost of diabetes at \$237 billion in 2017, or approximately 1 in 4 health care dollars spent in the United States.³

There exist wide-ranging disparities in diabetes prevalence,^{4,5} quality of care,^{6,7} and outcomes^{8–10} in the United States. In terms of race, American Indians, Black, and Hispanic patients account for a disproportionate share of diabetes complications and worse disease-related outcomes,^{11,12} whereas Whites have a higher risk of all-cause mortality and cardiovascular disease compared to ethnic minorities. Prevalence of diabetes has significantly increased in both White and Black residents of the southeastern Stroke Belt states, indicating regional variation.⁵ Further, diabetes is more prevalent and inadequately managed in rural areas.^{13,14} Recent cost-saving trends towards high deductible health insurance plans disproportionately impact lower-income individuals, who may forego necessary care until the disease progresses.¹⁵

Although studies reported an improvement in population achievement of diabetes treatment goals from 1990-2010 - recent data indicate there has not been an improvement from 2005 to 2016.^{16,17} Currently, only an estimated 23% of those with diabetes engaged in health care and met four major care goals: blood pressure, cholesterol, lipoprotein cholesterol target, and smoking abstinence.¹⁷ Clinicians may fail to escalate treatment to achieve treatment goals - even though patients are not reaching glycemic targets.¹⁸ Termed clinical inertia, this inefficient care delivery may also be influenced by a patient's sociodemographic factors. Research suggests that older and White patients are more likely to have treatment intensified at lower HbA1c compared to younger and Black patients.¹⁹

Given the progressive nature of diabetes, quality care delivery along the care cascade—the process of diagnosis, linkage to care, and the achievement of treatment targets—is necessary to prevent the development of severe complications and comorbidities. Disparities exist along the diabetes care cascade, as young adults, women, non-Hispanic Blacks, and patients that were covered by Medicaid or uninsured are less likely to meet care goals.^{17,20}

Although interventions have been conducted to address clinical inertia and improve provider behavior,²¹ there still remains gaps in the literature in describing how health care use varies among those with diabetes. This project seeks to understand those utilization patterns and identify whether trends in health care use vary by sociodemographic groups. These data will serve to guide future research efforts and interventions towards improving the quality and equity along the diabetes care cascade.

1.2 Rationale

Although trends in outpatient use, ED visits, and hospital discharges have been examined by race, age group, sex, complication type, and health insurance coverage, there has been no data published on trends by geographic region, rural/urban location.^{22,23} Further, the aforementioned data describe trends until 2011. This provides an opportunity to both update and further describe healthcare utilization trends among people with diabetes

Further, existing data show distributions of healthcare use, but there are no data examining the same people linked across datasets and how they use health care in a given year. Using data that link individuals throughout the continuum of care, we can examine what differentiates individuals that are readmitted and those that are not.

Potentially preventable hospitalizations are defined as conditions for which good outpatient care can prevent the need for hospitalization, or for which early intervention can prevent complications or more serious disease.²⁴ Recent research on trends in potentially preventable hospitalizations among people with diabetes has been stratified in terms of age, sex, health insurance coverage, income, region, conditions, and race.²⁵⁻²⁷

This analysis will use criteria defined by the AHRQ's diabetes-related PQIs to define potentially preventable hospitalizations, with the expanded composite proposed by Tseng et al. functioning as parameters for a sensitivity analysis.²⁸ Using an expanded composite with HCUP data will test their validity at the national scale, whereas the original analysis was limited to Veteran's Administration data. We will also examine potentially preventable hospitalization trends stratified by geographic regions and rural/urban location, addressing the remaining gap in the literature.

No literature has been published which describes the trends in medications commonly prescribed (antihyperglycemic agents, antihyperlipidemic agents, antihypertensive agents, antiplatelet agents, and antidepressant/anxiolytic agents) among people with diabetes. We will fill this gap in the literature and determine if these patterns of use vary by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, or health insurance coverage. Identifying contributors to disparities in diabetes management will shed light on possible intervention targets to reduce these disparities and improve outcomes.

2 Objectives and Hypotheses

2.1 Primary Objective(s) & Hypothesis(es)

Objective 1. To describe trends in ED visit rates and inpatient use rates among adults with diabetes in the United States from 2005-2016.

Trends will be stratified by age, sex, race/ethnicity, rural/urban designation (as defined by the National Center for Health Statistics and described in Section 4: Variables), presence of comorbidities (microvascular, macrovascular, and depression/anxiety), geographic region, and health insurance coverage.

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Objective 2. To describe trends in the rates of potentially preventable diabetes-related hospitalizations, as defined by ICD-9 and ICD-10 codes for Prevention Quality Indicators 1, 3, 14, and 16 published by the AHRQ's Preventable Quality Indicators (described in Section 4: Variables) among adults with diabetes in the United States from 2005-2016.

Trends will be stratified by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and health insurance coverage.

Objective 3. To describe trends of prescription drug usage (antihyperglycemic agents, antihyperlipidemic agents, antihypertensive agents, antiplatelet agents, and antidepressant/anxiolytic agents) among adults with diabetes in the United States from 2005-2016.

Trends will be stratified by age, sex, race/ethnicity, , presence of comorbidities, geographic region, and health insurance coverage.

3 METHODOLOGY

3.1 Summary of Study Design

The analysis will be conducted using a retrospective serial cross-sectional design using data from the AHRQ's Healthcare Cost and Utilization Project. Specifically, data from the National Inpatient Sample, the Nationwide Emergency Department Sample, the State Inpatient Database, and the State Emergency Department Database, and the Medical Expenditure Panel Survey will be used for the analysis.

The AHRQ's Healthcare Cost and Utilization Project (HCUP) is the "largest collection of all payer, encounter-level hospital care data in the United States." There are multiple HCUP datasets: The National Inpatient Sample (NIS), the Nationwide Emergency Department Sample (NEDS), the State Inpatient Database (SID), and the State Emergency Department Database (SEDD). Each dataset contains hospital-level claims data. MEPS provides data from self-reported survey responses, physician claims data, hospital claims data, and pharmaceutical claims data.

NIS: The NIS contains a record of every non-psychiatric, non-federal hospital discharge from a nationally representative sample over a single year.

NEDD: The NEDD contains a record of every non-psychiatric, non-federal hospital emergency department discharge from a nationally representative sample over a single year.

SID: The SID contains a record of every non-psychiatric, non-federal hospital discharge in an individual state over a single year.

SEDD: The SEDD contains a record of ED visits at hospital-affiliated EDs that do not result in a hospital admission.

The National Inpatient Sample is a database of hospital inpatient stays derived from billing data by U.S community hospitals. Data are systematically sampled from the State Inpatient Databases. Each year of the NIS includes over 7 million inpatient stays. The Nationwide Emergency Department Sample contains data from approximately 31 million ED visits per year and estimates roughly 143 million ED visits. These datasets are available for purchase through the HCUP website. The HCUP data use agreement requires that researchers do not attempt to discover the individual identity of anyone in the database. A powerful tool within these datasets is the "revisit variable," a unique code applied to a patient that allows him or her to be followed throughout one year of data. Importantly, revisit variables reset each year, so the same patient may have different revisit variables in different years of the data, thereby preventing tracking of patients between years of the dataset. The revisit variable also allows for analysis of a patient's healthcare use across datasets (i.e. a patient's use of emergency departments and inpatient stays over the course of a year).

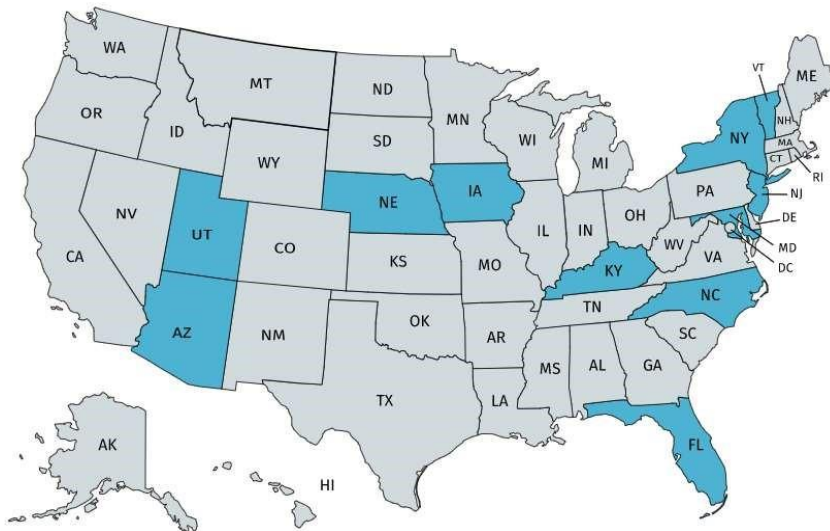
There are 11 states with State Inpatient and State Emergency Department datasets for the years of interest (2016, 2014, 2011, and 2008): Arizona, Florida, Iowa, Kentucky, Maryland, Nebraska, New York, New Jersey, North Carolina, Vermont, and Utah (Figure 1). Four of these states carry the revisit variable that allows for linkage across datasets and the tracking of a single patient's health care use: Florida, Nebraska, New York, and Utah. These states have data available since 2006.

Table 1. Census Geographic Region and Medicaid Expansion Status for States Considered

State	Census Geographic Region	Medicaid Expansion Status
Kentucky	South	Full Expansion

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Arizona	South	Full Expansion
Iowa	Midwest	Full Expansion
Maryland	South	Full Expansion
New Jersey	Northeast	Full Expansion
New York	Northeast	Full Expansion
Vermont	Northeast	Full Expansion
Nebraska	Midwest	Full Expansion
Utah	West	Partial Expansion
Florida	South	No Expansion
North Carolina	South	No Expansion



Aims 1 and 2: U.S. non-institutionalized population aged 18+, diagnosed with diabetes as indicated by presence of a diabetes-specific ICD-9 or ICD-10 codes which visited hospital inpatient and emergency department settings from years 2005-2016.

Aim 3: U.S. non-institutionalized population aged 18+, diagnosed with diabetes as indicated by presence of a diabetes-specific ICD-9 or ICD-10 codes, presence of self-reported diabetes, or prescription of 1+ diabetes medication in past 2 years.

3.3 Inclusion Criteria

Aim 1:

- Observation has presence of ICD-9 codes indicative of diabetes disease state (Appendix 1)

Or

- Observation has presence of ICD-10 indicative of diabetes disease state (Appendix 1) ☐ Patient is age 18+

Aim 2:

- Observation has presence of ICD-9 codes indicative of diabetes disease state (Appendix 1)

Or

- Observation has presence of ICD-10 codes indicative of diabetes disease state (Appendix 1)
- Patient is age 18+
- Observation has presence of ICD-9 codes indicative of a potentially preventable hospitalization (Appendix 2)

Aim 3:

- Patient has indicated presence of self-reported diabetes

Or

- Patient has been diagnosed 1+ diabetes medication in past two years

Or

- Patient inpatient, outpatient, or emergency department visit has presence of ICD-9 codes indicative of diabetes disease state (Appendix 1)

Or

- Patient inpatient, outpatient, or emergency department visit has presence of ICD-10 codes indicative of diabetes disease state (Appendix 1)
- Patient is age 18+

3.4 Exclusion Criteria

Patient is <18 years old.

3.5 Stratification

Stratified according to the following factors:

- 1) Age
- 2) Race/ethnic group
- 3) Sex
- 4) Health Insurance Coverage
- 5) Geographic Region
- 6) Urban/Rural designation
- 7) Presence of comorbidities

4 Variables and Epidemiological Measurements

4.1 Variables

All variables are gathered from cross-sectional snapshots from 2008-2016. No follow up or pre-index period is defined as there is no longitudinal aspect of this study. Variables for analysis are listed below, with relevant information supplied by the AHRQ's documentation for data elements. Each variable will be used for the purpose of quantitative descriptive analyses. We will start by taking the sum total of all-cause health care use and outcomes. From there, we will have to try to disaggregate the health care use that was "diabetes-related" based on the billing claim.

Variable	Definition	Unit
Age	<p>NIS/NEDD/SID/SEDD</p> <p>AGE</p> <p>Age in years (AGE) is calculated from the birth date (DOB) and the admission date (ADATE) in the HCUP State databases with the few exceptions listed below. Ages over 89 are aggregated into a single category of 90 years or older in the HCUP nationwide databases starting in data year 2012.</p> <p>Age will be grouped into 18-44, 45-64, and 65+ for purpose of analysis</p> <p>MEPS</p> <p>AGELAST</p> <p>Person's Age Last Time Eligible</p> <p>When date of birth was not provided but age was provided (either from the MEPS interviews or the 2008-2009 NHIS data), the month and year of birth were assigned randomly from among the possible valid options. For any cases still not accounted for, age was imputed using:</p> <p>(1) the mean age difference between MEPS participants with certain family relationships (where available) or</p> <p>(2) the mean age value for MEPS participants.</p> <p>Age will be grouped into 18-44, 45-64, and 65+ for purpose of analysis</p>	Mean years (SD), Range
Sex	NIS/NEDD/SID/SEDD	

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	FEMALE Indicator of sex Categories: Male, Female, Missing, Invalid, Inconsistent MEPS	Number and % female/male
	SEX Data on the sex of each RU member (SEX) were initially determined from the 2008 NHIS for Panel 14 and from the 2009 NHIS for Panel 15. The SEX variable was verified and, if necessary, corrected during each MEPS interview.	

Race/Ethnicity	NIS/NEDS/SID/SEDD	Number and % of the cohort
	RACE Categories: White, Black, Hispanic, Asian or Pacific Islander, Native American, Other	
	MEPS	
	FY PUF5 2002–2011 RACEX Categories: White, Black, American Indian/Alaska Native, Asian, Native Hawaiian/Pacific Islander, Multiple Races RACETHNX Categories: Hispanic, Black – No other race reported, Asian – No other race reported, Other race/Not Hispanic	

	NIS/NEDS/SID/SEDD	
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Diabetes Disease State (Generated Variable)	<p>DXn</p> <p>In the HCUP databases, ICD-9-CM diagnoses are represented as 3- to 5-character alphanumeric codes with implicit decimals (i.e., decimals not included).</p> <p>I10_DXn</p> <p>In the HCUP databases, ICD-10-CM diagnoses are represented by alphanumeric codes with a maximum length of 7 characters and implicit decimals (i.e., decimals not included). The HCUP data elements for ICD-10-CM diagnoses are length 7.</p> <p>NDX</p> <p>NDX indicates the total number of ICD-9-CM diagnoses (valid and invalid) coded on the discharge record. In assigning NDX, the first listed diagnosis is included in the count, even if it is blank, so long as there is a secondary diagnosis present.</p> <p>I10_NDX '</p> <p>For data beginning in the fourth quarter of 2015, the count of the number of diagnoses is stored in the data element I10_NDX to indicate the implementation of the ICD-10-CM/PCS coding system.</p> <p>MEPS</p>	Number in the cohort
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	<p>DIABDX Diabetes Diagnosis (>17)</p> <p>DSDIA53 DCS: Diabetes Diagnosis by Health Prof</p> <p>RXNAME Medicine name</p> <p>RXDRGNAM Multum medicine name</p> <p>ICD9CODX ICD-9-CM Code for Condition – Edited</p> <p>ICD10CODX ICD-10-CM Code for Condition – Edited</p> <p>Aims 1&2: Hospital Discharges with presence of ICD-9 or ICD-10 codes indicative of diabetes in first 5 discharge diagnoses (Appendix 1). Gestational diabetes is not included.</p> <p>Statistical measures of validation:²⁹ Sensitivity: 95.6%, Specificity: 92.8%, PPV: 54.0% NPV: 99.6%</p> <p>Aim 3: Presence of self-reported diabetes Statistical measures of validation:³⁰ Sensitivity: (58.5%-70.8%) Specificity: (95.6%-96.8%) PPV: (92.7%-95.4%) NPV: (85.4% - 90.6%) or patient has been prescribed 1+ diabetes medication in past two years or</p>	
	<p>Physical Claims or Hospital Discharges with presence of any of ICD-9 or ICD-10 codes indicative of diabetes (Appendix 1)</p> <p>Statistical measures of validation:²⁹ Sensitivity: 95.6%, Specificity: 92.8%, PPV: 54.0% NPV: 99.6%</p> <p>The combined algorithm has not been validated.</p>	

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Indicator for non-diabetes (Generated Variable)	Aims 1 and 2: Lack of presence of any ICD-9 or ICD-10 codes indicative of diabetes (Appendix 1) in any available discharge diagnoses. Aim 3: Lack of any positive indicator of diabetes: No self-report of diabetes, No diabetes medication script filled over two years, No Physical Claims or Hospital Discharges with presence of any of ICD- 9 or ICD-10 codes indicative of diabetes (Appendix 1)	Number in the cohort
Geographic location/Region	NIS, NEDS, SID, SEDD	Number and % of the cohort
	HOSP_REGION: Region of Hospital Categories: Northeast, Midwest, South, West	
	MEPS REGION Categories: Northeast, Midwest, South, and West	

Rural/ Urban	NIS, NEDS, SID, SEDD	Number and % of the cohort
	PL_NCHS: Patient Location Categories: “Central” counties of metro areas, “Fringe” counties of metro areas”, Counties in metro areas of 250,000-999,999, Counties in metro areas of 50,000-249,999, Micropolitan counties, and Not metropolitan or micropolitan counties.	

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	<p>The analyses will use Urban/Rural definitions based on the National Center for Health Statistics 2013 Urban-Rural Classification Scheme.³¹</p> <p>Urban:</p> <p>Large central metro counties in metropolitan statistical area (MSA) of 1 million population that: (1) contain the entire population of the largest principal city of the MSA, or (2) are completely contained within the largest principal city of the MSA, or (3) contain at least 250,000 residents of any principal city in the MSA.</p> <p>Large fringe metro counties in MSA of 1 million or more population that do not qualify as large central.</p> <p>Medium metro counties in MSA of 250,000-999,999 population. Small metro counties in MSAs of less than 250,000 population.</p> <p>Rural:</p> <p>Micropolitan: Urban cluster population 10,000-49,999.</p> <p>Noncore: Nonmetropolitan counties that did not qualify as micropolitan.</p>	
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Insurance Coverage	NIS/NEDS/SID/SEDD	Number and % of the cohort
	<p>PAY1</p> <p>Indicates the expected primary payer (Medicare, Medicaid, private insurance, etc.). To ensure uniformity of coding across data sources, PAY1 combines detailed categories in the more general groups.</p> <p>Categories: Medicare, Medicaid, Private Insurance, Self-Pay, No charge, Other, Missing, Invalid</p>	
	<p>MEPS</p> <p>PRVEVXX t An insurance coverage variable using responses for t the following binary variables (XX indicates year)</p> <p>TRIEVXX Ever Have Private Insurance during XX</p> <p>MCREVXX</p> <p>MCDEVXX Ever Have TRICARE/CHAMPVA during XX</p> <p>OPAEVXX Ever Have Medicare during XX</p> <p>OPBEVXX</p> <p>UNINSXX Ever Have Medicaid/SCHIP during XX</p> <p>INSCOVXX</p> <p>INSURCXX</p>	

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	<p>Ever Have Other Public A Ins during XX</p> <p>Ever Have Other Public B Ins during XX Uninsured All of XX</p> <p>Health Insurance Coverage Indicator XX</p> <p>Full Year Insurance Coverage Status XX</p>	
Co-morbidities		

Micro-vascular Complications	NIS, NEDS, SID, SEDD	Number and % of the cohort
Macro-vascular Complications	DXn In the HCUP databases, ICD-9-CM diagnoses are represented as 3- to 5-character alphanumeric codes with implicit decimals (i.e., decimals not included). I10_DXn	
Depression/Anxiety	In the HCUP databases, ICD-10-CM diagnoses are represented by alphanumeric codes with a maximum length of 7 characters and implicit decimals (i.e., decimals not included). The HCUP data elements for ICD-10-CM diagnoses are length 7. MEPS	
	ICD9CODX ICD-9-CM Code for Condition – Edited	
	Micro-Vascular Complications	
	<ul style="list-style-type: none">• Diabetic Retinopathy• Nephropathy• Neuropathy	
	Macro-vascular Complications	

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	<ul style="list-style-type: none">• Acute Coronary Syndrome• Acute Myocardial Infarction• Angina• Arrhythmia• CABG Revascularization/Carotid Revascularization/ Claudication / Surgical Revascularization• Heart Failure• Peripheral Arterial Disease or Vascular Disease• Stroke/TIA <p>Depression/Anxiety</p>
	<p>Inclusion criteria includes ICD-09 and ICD-10 codes that indicate presence of suicidal behavior, anxiety disorders, and depression disorders. All individuals with psychoses or other bipolar disorders will be excluded.</p> <p>ICD-9 and ICD-10 codes for above comorbidities/complications are listed in Appendix 4. The codes for retinopathy, nephropathy, neuropathy, and peripheral arterial disease or vascular disease were adapted from the criteria used for the Adjusted Diabetes Complication Severity Index.³² and adapted to ICD-10 by Glasheen et.al.³³. The codes for the remaining complications were identified in the literature, or published by the AHRQ for health services research purposes and adapted to ICD-10 using the online resources cited by Glasheen et. al., ICD9Data.com and ICD10Data.com.³⁴⁻⁴⁰</p> <p>Existence of comorbidities will be identified using ICD-9 and ICD-10 codes with flagged variables to allow for analyses by comorbidity status.</p>

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Potentially preventable hospitalizations	NIS/NEDS/SID/SEDD	Number and % of the cohort
	<p>DX_Visit_Reasonn and DXn</p> <p>In the HCUP databases, ICD-9-CM diagnoses are represented as 3- to 5-character alphanumeric codes with implicit decimals (i.e., decimals not included).</p> <p>I10_DXn</p> <p>In the HCUP databases, ICD-10-CM diagnoses are represented by alphanumeric codes with a maximum length of 7 characters and implicit decimals (i.e., decimals not included). The HCUP data elements for ICD-10-CM diagnoses are length 7.</p> <p>The original value of the first listed diagnosis (DX1), whether blank or coded, is retained in the first position of the diagnosis vector. Starting at the first secondary diagnosis (DX2), the diagnoses are shifted during HCUP processing to eliminate blank secondary diagnoses. For example, if DX2 and DX4 contain non-missing diagnoses and DX3 is blank, then the value of DX4 is shifted into DX3. Secondary diagnoses are never shifted into the first listed position (DX1).</p> <p>DXPOAn</p> <p>Indicates whether each diagnosis (DXn) was present at admission. This provides an indicator of complications arising during a hospitalization</p> <p>The Prevention Quality Indicators are surveillance tools which can be used with hospital inpatient discharge data to identify potentially preventable hospitalizations.²⁴</p>	

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	<p>Criteria for a potentially preventable hospitalization are any hospitalization events that include a diagnosis included in the AHRQ's Prevention Quality Indicators for Diabetes. ICD-9 and ICD10 codes for Lower Extremity Ulcers and Hypoglycemia, to be used for sensitivity analysis, are also included in Appendix 2. The coding algorithms for the Prevention Quality Indicators are not available.</p> <p>These codes were selected by the AHRQ's Evidence-Based Practice Center at the University of California at San Francisco and Stanford University, using comprehensive literature reviews and empirical evaluations.⁴¹</p> <p>Hypoglycemia ⁴² Sensitivity: 97%, Specificity: 99%, PPV: 93%% NPV: 88%</p>	
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Hospital inpatient & emergency department services	NIS/ /SID/SEDD	Number and % of discharges
	<p>HCUP_ED Indicates records that have evidence of emergency department (ED) services reported on the HCUP record. A value of 1 or more indicates that there is evidence of ED services, per HCUP criteria. A value of 0 marks records that do not include evidence of ED services.</p> <p>HCUP_OS Indicates records that have evidence of observation stay (OS) services reported on the HCUP record. A value of 1 or greater indicates that there is evidence of OS. A value of 0 marks records that do not include evidence of OS. It is possible that records with HCUP_OS=0 did in fact have OS services, but that information was not captured on the HCUP record.</p> <p>LOS Length of stay (LOS) is calculated by subtracting the admission date (ADATE) from the discharge date (DDATE). Same-day stays are therefore coded as 0. Leave days are not subtracted.</p> <p>NEDS</p>	

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	NCPT NCPT indicates the total number of CPT or HCPCS procedures (valid and invalid) coded on the discharge record. Identifying diabetes-related inpatient stays and emergency department visits will be through filtering the datasets for diabetes disease state indicators. Each independent observation in the NIS and NEDS are an inpatient stay or emergency visit. For state datasets with revisit variables, multiple utilization events over the course of a year are linked, allowing for a per-patient analysis. Use of the Emergency Department Services and Observation stay variables are for the purpose of characterizing inpatient stays only.	
	MEPS XX indicates year Full Year Consolidated File OPTOTVXX Hospital Outpatient Visits OPDRVXX Physician Outpatient Visits ERTOTXX Total Emergency Room Visits IPDISXX Total Inpatient Stays IPNGTDXX Total Inpatient Stays Including Zero IPZEROXX Night Stays Zero Night Stays ER Visits File Event date – year ERDATEYR Event date – month ERDATEMM Was surg proc performed on p this SURGPROC visit MEDPRESC Any medicine prescribed for p this visit	

	Medical	# Inpatient Events Assoc. w/ Condition	
	Conditions		
	File		
	IPNUM		
	OPNUM		
		# Outpatient Events Assoc. w/ Condition	
	OBNUM	# Office-Based Events Assoc. w/ Condition	
	ERNUM	# ER Events Assoc. w/ Condition	

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Medication	MEPS		Number (% of cohort)
<ul style="list-style-type: none">• Antihyperglycemic agents• Antihyperlipedemic agents• Antihypertensive agents• Antiplatelet agents• Antidepressant/anxiolytic agents	DRUGIDX	Drug ID	No. reporting of actual drug or drug class will be in scope for this study
	RXNAME	Medicine name	
	RXDRGNAM	name	
	RXQUANTY	Multum	
	RXFORM	medicine	
	RXFRMUNT	name	
	RXSTRENG	Quantity of	
	RXSTRUNT	Rx/prescribed	
	RXDAYSUP	medicine	
	RXNAME:	Dosage form	
	TC1:	Quantity unit	
	TC1S1:	of medication	
	TC1S1_1:	Quantity unit	
	TC1S1_2:	of medication	
	TC1S2:	Unit of	
	TC1S2_1:	medication	
	TC1S3:	Days supplied	
	TC1S3_1:	of prescribed	
	TC2:	med	
	TC2S1:	Medicine	
	TC2S1_1:	name	
	TC2S1_2:	Multum	
	TC2S2:	therapeutic	
	TC3:	class #1	
	TC3S1:	Multum	
	TC3S1_1:	therapeutic	
		sub-sub-class for TC1S1	
		Multum	
	Antihyperglycemic therapeutic		
	sub-sub-class for TC1S1		
	Multum		
	therapeutic		
	sub-sub-class for TC1S1		
	Multum		
	therapeutic		
	sub-class #2 for TC1		
	Multum		
	therapeutic		
	sub-sub-class for TC1S2		

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	<p>Multum therapeutic sub-class #3 for TC1</p> <p>Multum therapeutic sub-sub-class for TC1S3</p> <p>Multum therapeutic class #2</p> <p>Multum therapeutic sub-class #1 for TC2</p> <p>Multum therapeutic sub-sub-class for TC2S1</p> <p>Multum therapeutic sub-sub-class for TC2S1</p> <p>Multum therapeutic sub-class #2 for TC2</p> <p>Multum therapeutic class #3</p> <p>Multum therapeutic sub-class #1 for TC3</p> <p>Multum therapeutic sub-sub-class for TC3S1</p>
	<ul style="list-style-type: none">• Meglitinides• Biguanides• Sulphonylureas• Alpha-glucosidase inhibitors• Glitazones• Thiazolidinediones• DPP-4 inhibitors• GLP-1 receptor agonists• SGLT-2 inhibitors• Long-acting insulin• Intermediate acting insulin• Rapid-Acting insulin

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		<ul style="list-style-type: none">• Antihypertensive agents• Anti-hypertensive Diuretics• Calcium channel blocking agents• Angiotensin converting enzyme inhibitors• Angiotensin II receptor antagonists• Alpha-1 adrenergic receptor agonists
	<ul style="list-style-type: none">• Alpha-2 adrenergic receptor agonists• Beta-adrenergic blocking agents• Vasodilators• Renin Inhibitors• Aldosterone receptor antagonists• Endothelium receptor antagonists• Antihypertensive combinations <p>Antiplatelet agents</p> <ul style="list-style-type: none">• Glycoprotein platelet inhibitors• Platelet aggregation inhibitors• Protease-activated receptor-1 antagonists <p>Antihyperlipidemic agents</p> <ul style="list-style-type: none">• Statins• Fibrates <p>Antidepressant/anxiolytic agents</p>	

	<ul style="list-style-type: none">• SSRI antidepressants• Tricyclic antidepressants• Monoamine oxidase inhibitors• Phenylpiperazine antidepressants• Tetracyclic antidepressants• SSNR antidepressants• Barbiturates• Benzodiazepines	
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4.2 Outcomes

Objective 1: To describe trends in ED visit rates and inpatient use rates among adults with diabetes in the United States from 2005-2016 by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and health insurance coverage.

- Number of Hospital Inpatient Stays for years 2008, 2011, 2014, and 2016.
- Absolute and percentage change in number of Hospital Inpatient Stays from 2008 to 2016.
- Number of Hospital Inpatient Stays for years 2008, 2011, 2014, and 2016.
- Absolute and percentage change in number of Hospital Inpatient Stays from 2008 to 2016.
- Rate of Hospital Inpatient Stays per 1000 people with diabetes for years 2008, 2011, 2014, and 2016.
- Absolute and percentage change in rate of Hospital Inpatient Stays from 2008 to 2016.
- Rate of Emergency Department Visits per 1000 people for years 2008, 2011, 2014, and 2016.
- Absolute and percentage change in rate of Emergency Department Visits from 2008 to 2016.
- Stratification of findings by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and health insurance coverage, and diabetes status.

Objective 2: To describe trends in the rates of potentially preventable hospitalizations among adults with diabetes in the United States from 2005-2016 by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and health insurance coverage.

- Number of Potentially Preventable Hospitalizations among people with diabetes for years 2008, 2011, 2014, and 2016.
- Rates of Potentially Preventable Hospitalizations per 1000 persons with diabetes for years 2008, 2011, 2014, and 2016.
- Absolute and percent change in number of Potentially Preventable Hospitalizations from 2008 to 2016.
- Absolute and percent change in rates of Potentially Preventable Hospitalizations from 2008 to 2016.
- Stratification of percent change in rates of Potentially Preventable Hospitalizations by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and health insurance coverage, and diabetes status.

Objective 3: To describe trends of prescription drug (specifically, antihyperglycemic agents, antihyperlipidemic agents, antihypertensive agents, antiplatelet agents, and antidepressant/anxiolytic

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agents) usage among adults with diabetes in the United States from 2005-2016 by age, sex, race/ethnicity, , presence of comorbidities, geographic region, and health insurance coverage.

- Number of people with diabetes prescribed medications in drug classes listed above in the years 2008, 2011, 2014, and 2016.
- Absolute and percent change in number of people receiving prescriptions in drug classes listed above from 2008-2016.
- Percent change in number of people with diabetes prescribed in drug classes listed above from 2008-2016.
- Stratification of findings by age, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and health insurance coverage.

5 STUDY PROCEDURES

5.1 General Informed Consent

The State Inpatient Database, State Emergency Department Database, National Inpatient Sample, and National Emergency Department Databases each collect data on hospital-level claims and discharges from state governments and private data agencies with statewide inpatient data systems. Dissemination of the State Inpatient Database is controlled by the original data source, with measures taken to protect the identity of individual patients and physicians.⁴³

Individuals that participate in the Medical Expenditure Panel Survey are sampled from the National Health Interview Survey (NHIS). When the interviewer arrives at the household address, he/she provides a copy of an advance letter which contains information about the purpose of the NHIS and amount of time the interview will require, as well as verbal consent for survey participation.⁴⁴ The Medical Expenditure Panel Survey keeps the identity of each individual household member who participates confidential. No information that may identify an individual is released to the public without prior consent. All personal identifying information is removed before data publication.⁴⁵

Individuals that participated in the Behavioral Risk Factor Surveillance System were asked to provide informed consent during the phone interview. No personal information was collected, and individual data is kept confidential.⁵² The Behavioral Risk Factor Surveillance System interview is not being conducted for the purposes of this study.

This research is not considered to meet the definition of human subject research. As such, Emory does not require IRB review.

6 Safety and Product Quality Complaint Reporting and Related Procedures

Adverse Event (AE) and Product Quality Complaint (PQC) Reporting Language for Non-Interventional Study Protocols

Adverse Event and Product Quality Complaint Reporting

This is a non-interventional database study based on secondary use of data collected for other purposes. No administration of any therapeutic or prophylactic agent is required in this protocol. No reporting of individual adverse events or product quality complaints to regulatory agencies is planned for this database study because there is no access to individual patient/subject records and it is not possible to assess the causality of individual cases. Study-specific health outcomes of interest, including any that qualify as adverse events, will be summarized as part of any interim analysis (including safety analysis, if required) and in the final study report, which will be provided to regulatory agencies by the sponsor as required.

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Any relevant safety information will be summarized in the appropriate Periodic Safety Update Report (PSUR)/Periodic Benefit Risk Evaluation Report (PBRER) and/or Development Safety Update Reports (DSUR) if required.

If an investigator elects to spontaneously report any suspected adverse reactions or product quality complaints, they should be reported via fax to Local DPOC [*fax number: 215-616-5677*], in English using an AE and PQC report form (see section 12 for form) for reporting to worldwide regulatory agencies as appropriate.

7 Statistical Analysis Plan

7.1 Statistical Methods

Descriptive analyses will be conducted pertaining to each aim in order to describe the trends in 1) Inpatient and ED care utilization rates, 2) Potentially preventable Hospitalization rates, and 3) Cardiovascular-modifying medication usage. Data from 4 different years will be used to conduct these analyses: 2008, 2011, 2014, and 2016.

Validation of the data will be run alongside the descriptive analysis, and data that are missing or incorrect will be corrected if possible and otherwise excluded. If analysis indicates that the missing data are missing completely at random, case deletion will be used to progress the analysis. Otherwise, multiple imputation methods will be used to impute values. The specific imputation model used will depend on the type of data element in the analysis that require imputation.

During 1988 to 2011, the NIS was constructed annually by including 100% of the discharges from 20% of US hospitals. Starting in 2012, the AHRQ redesigned the NIS as a 20% national patient-level sample in 2012, with nonrepresentative sampling across hospitals.⁴⁶ This change will be addressed through the use of trend weights which allow for trend analysis prior to and post sampling change.⁴⁷

These trend weights will be used for NIS data from 2008 and 2011 in order to make estimates comparable to the data from 2014 and 2016. For data from 2012 on, discharge weights will be used which will allow extrapolation of NIS sample discharges to produce nationwide estimates. The discharge weights are constant for all discharges within a stratum as defined by hospital characteristics.⁴⁸ Discharge weights will also be applied to the NEDS dataset in order to allow for the generation of nationwide estimates. These weights will address the complex survey design of the NIS and NEDS and account for clustering, stratification, and sampling bias.

The MEPS sample design includes stratification, clustering, multiple stages of selection, and disproportionate sampling. In order to obtain accurate descriptive statistics, the analysis will account for survey design complexities by applying MEPS survey weights to produce estimates. The sampling weights also reflect adjustments for survey nonresponse and adjustments to population control totals.⁴⁹

The analysis will use the Taylor-series linearization and/or the jack-knife methods to estimate the standard errors associated with weighted estimates.

Rate estimates will be calculated as follows:

$$\frac{\text{Total Healthcare Service Use* in XX year} \times 1000}{\text{Number of People with Diabetes in XX year}}$$

*Where Healthcare Service Use is defined as number of Hospital Inpatient Stays, ED visits, Potentially Preventable Hospitalizations, and Drugs Prescribed

In order to create a denominator for use in estimating rates per 1000 persons with diabetes Behavioral Risk Factor Surveillance Survey (BRFSS) data will be used to determine the population of people with diabetes. The population estimates generated will use self-reported diabetes diagnosis to indicate presence of diabetes disease state. BRFSS data will be also be used to estimate the population of people with diabetes by sex, race/ethnicity, rural/urban designation, geographic region, and health insurance coverage status. These estimates will be used to generate rates per stratification of interest. Rates will be standardized using available demographic data to allow for comparison over years.

Overall rates of service use will be calculated by diabetes and non-diabetes status and compared using rate ratios for both within-group and between-group comparisons for each year of data available. Within-group rate ratios will be calculated using 2008 as the reference year. Approximate confidence intervals for standardized rate ratios will be calculated using the log-normal distribution.

Absolute change estimates will be calculated as follows:

$$\begin{aligned} & \text{Estimate for Healthcare Service use in 2016} \\ & - \text{Estimate for Healthcare Service use in 2008} \end{aligned}$$

Percent change estimates will be calculated as follows:

$$\frac{\text{Absolute Change from 2008 – 2016}}{\text{Estimate for Healthcare Service use in 2008}} \times 100$$

Self-reported diabetes diagnosis will be used to indicate presence of diabetes disease state. In order to account for the complex survey design of the Behavioral Risk Factor Surveillance Survey, a sample weight will be applied to generate these estimates.

Each analytic procedure/code will first be conducted and validated with one year of data, and then applied and adjusted for use with prior years after confirmation of validity.

For Objectives 1 and 2, we will conduct revisit analyses. For states with revisit variables available (Florida, New York, Utah, and Nebraska), we will create variables to indicate the number of potentially preventable hospitalization each individual patient had. The analysis will be repeated among these states in order to generate patient-level health care use estimates, rather than encounter-level use estimates. No revisit analyses will be completed with NIS data, as the revisit variable is unavailable.

We will repeat the analyses for Objectives 1 and 2 using nationally-representative NIS and NEDS data. These estimates will be used for the purposes of a sensitivity analysis and determine whether the estimates generated using SID and SEDD data are nationally representative. We will use MEPS to

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generate additional estimates for comparison when possible, specifically for total number of inpatient stays and ED visits.

Continuous variables will be expressed as the mean \pm standard deviation. Categorical variables will be presented in terms of expected count and frequency in the dataset. Rates will be expressed per 1000 persons with diabetes.

No statistical testing of differences will be performed as the analysis was designed as a descriptive study.

All statistical analysis will be performed using R (R Core Team (2013). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. www.R-project.org/).⁵¹

Shell tables are provided in the Appendix, as items 5, 6, 7. Variables to be reported in the course of the analysis are provided in the appendices.

Objective 1:

First, the total number of claims in the SID and SEDD will be estimated. The datasets will then be filtered to select for observations consistent with a diabetes disease state, using variables that indicate ICD-9 and ICD-10 codes (Appendix 1). The mean age will be calculated and reported along with range for each year. A frequency distribution will then be generated in terms of sex, rural/urban designation, race, and insurance coverage, which will be reported as the estimated count and percent of observations represented (Appendix 5, Table 2).

After describing the dataset, both unweighted and weighted estimates for the count of hospital inpatient stays and ED visits for each year will be calculated. A variable for age group will be created, with categories as follows: 18-44, 45-64, and 65+. The estimates will be reported by age group, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and insurance coverage, alongside the percentage of observations represented. Absolute change and percent change from 2008 to 2016 will then be estimated and reported (Appendix 5, Tables 3 and 4).

The estimates generated by the previous analyses will be divided by the estimates generated in the Behavioral Risk Factor Surveillance Survey, specific to the stratifications of interest to generate rates of health care use per 1000 persons with diabetes (Appendix 5, Tables 5 and 6).

Objective 2

Of the filtered dataset generated for our first objective, we will then filter observations for presence of ICD-9 or ICD-10 codes indicative of a potentially preventable hospitalization (Appendix 2).

Two variables will be created:

- 1) a binary variable that flags whether or not an inpatient stay was potentially preventable

- 2) a variable to indicate what type of potentially preventable hospitalization is present (Short-Term Diabetes Complications, Long-Term Diabetes Complications, Uncontrolled Diabetes without Complications, Diabetes-related Lower-Extremity Amputations, Lower Extremity Ulcers/inflammation/infections, or Hypoglycemia).

The dataset will then be filtered for observations flagged for potentially preventable hospitalizations. The counts of potentially preventable hospitalizations by age group, sex, race/ethnicity, rural/urban designation, presence of comorbidities, geographic region, and insurance coverage, alongside the percentage of observations represented will then be estimated. Rates of potentially preventable hospitalizations per 1000 persons with diabetes will be estimated using BRFSS estimates as the denominator (Appendix 6, Table 7). Absolute change and percent change in potentially preventable hospitalizations from 2008 to 2016 will then be estimated and reported (Appendix 6, Table 8).

This analysis will be conducted again using the conditions suggested by Tseng et al., hypoglycemia and lower extremity ulcers.²⁸ The results of this analysis will be compared to the initial estimates and will serve as a sensitivity analysis.

Objective 3

For the MEPS analysis, survey weights will be applied to the dataset to account for stratification, clustering, multiple stages of selection, and disproportionate sampling. First, the total database population will be estimated. The datasets will then be filtered to select for observations consistent with a diabetes disease state, using variables that indicate ICD-9 and ICD-10 codes, presence of self-reported diabetes, or the prescription of a diabetes medication in the past year (Appendix 1).

The mean age will be calculated and reported along with range for each year. A frequency distribution will then be generated in terms of age group, sex, race/ethnicity, , presence of comorbidities, geographic region, and insurance coverage, which will be reported as the estimated count and percent of observations represented (Appendix 7, Table 9).

After describing the dataset, variables will be created which indicate whether an individual was prescribed antihyperglycemic agents, antihyperlipidemic agents, antihypertensive agents, antiplatelet agents, and/or antidepressant/anxiolytic agents. Drugs will be described and reported and analyzed by category of drug and not by class or name.

We will then estimate and report the weighted count and % of people with diabetes prescribed antihyperglycemic agents, antihyperlipidemic agents, antihypertensive agents, antiplatelet agents, and antidepressant/anxiolytic agents each year, as well as the absolute and percent change from 2008 to 2016 (Appendix 7, Table 10).

Weighted estimates will then be calculated by age group, sex, race/ethnicity, presence of comorbidities, geographic region, and insurance coverage. Absolute change and percent change in

prescriptions for medications from 2008 to 2016 will then be estimated and reported (Appendix 7. Table 11).

7.2 Bias

Selection of state inpatient databases to be used in the analysis was limited by budget and data availability issues. Each state provides different variables, and data availability varies by state. This may result in a bias towards the health service utilization characteristics present in higher-resource states which have greater data availability. Although the analysis uses data from geographically distributed states, it may not be a representative national sample. However, no national datasets contain the patient-level and health care use details that HCUP's state databases do.

7.2.1 Methods to Minimize Bias

The analysis will use weights as necessary in order to eliminate possible sampling bias. For the NIS, the analysis will use trend weights prior to 2011 and discharge weights after 2011 to allow for comparison of estimates. For the NEDS, the analysis will use discharge weights to generate nationally representative estimates. As the NEDS does not have a variable indicating state, we will compare NEDS estimates with SEDD estimates by region.

To address possible bias stemming from variations in state characteristics, the analysis will use 11 states which are widely distributed terms of geographic location and political leaning. In order to test whether our sample is providing nationally representative findings, we will use NIS data, which contains a 20% sample of all discharges across the US (46 states and D.C.). We will then perform sensitivity analyses for objectives 1 and 2 to determine if the results using the 11 states are generally similar (provide a good reflection of national sample results) or dissimilar (suggesting presence of bias).

However, because not all states provide data to the National Inpatient Sample there may be some bias in national estimates that occur if omitted states have substantially different hospitalization patterns than states that do provide data.⁵⁰

The analysis will also validate findings, when possible, with MEPS data. For example, MEPS includes a variable which provides the total number of inpatients stays a patient had over the course of the past two years – this variable could be used to compare estimates of health service utilization generated with NIS or SID data.

The MEPS sample design includes stratification, clustering, multiple stages of selection, and disproportionate sampling. In order to obtain accurate statistics, the analysis will account for survey design complexities by applying MEPS survey weights to produce estimates. The sampling weights also reflect adjustments for survey non-response and adjustments to population control totals.⁴⁹

7.2.2 Limitations

- 1) As it pertains to Aims 1 and 2, the use of state databases leads to generalizability issues. Although we have selected states that vary in terms of geographic and political distribution, the results from analyses that use state databases will not be nationally representative.
- 2) The NIS, NEDS, SID, and SEDD all provide hospital-level health care claims data. We will disaggregate the health care use that was “diabetes-related” but this might be subject to clinician billing. Relying on ICD-9 and ICD-10 codes may result in misclassifications, as claims data is originally intended for administrative purposes, and not health services research. Additionally, using claims data to identify diagnoses may result in inaccurate estimates for certain conditions, as algorithms may vary in validity.^{34,35}
- 3) For analyses conducted using National Inpatient Sample data, there is no method to identify individual patients, so recurrent hospitalizations are all considered as distinct observations. To address this limitation, we will conduct analyses using pooled state inpatient data and apply an adjustment factor to the results found in the National Inpatient Sample to produce Nationwide estimates. These estimates will be presented with the acknowledgement that the pooled states used to produce the adjustment factor may not be nationally representative. Further, outpatient encounters observation-only stays are not included within the sample. Conditions and procedures that occur across multiple healthcare settings may be underrepresented.⁴⁶
- 4) Relying on the Prevention Quality Indicators to define potentially preventable hospitalizations may result in some necessary hospitalizations being categorized as potentially preventable. One could only determine whether or not a hospitalization was potentially preventable by examining each individual case.⁴¹
- 5) Both the NIS and MEPS are designed so as to not support state-level analysis. This limits the use of the NIS and MEPS in generating health care utilization estimates at the state level. Although we may be able to calculate the effect of the revisit variable on health care use estimates, we will not be able to apply the effect as an adjustment factor to estimate nationwide rates of service use.
- 6) Relying on ICD-codes to identify non-diabetes status in individual events or patients may result in mis-identifying some patients, i.e., a patient may have diabetes, but the condition was not relevant to the patient encounter, and was excluded from the coding of the event. To address this limitation, we will use all discharges diagnoses (up to 30 diagnoses) available on each record to exclude records with diabetes diagnoses present

8 ADMINISTRATIVE AND REGULATORY DETAILS

8.1 Confidentiality

8.1.1 Confidentiality of Data

By signing this protocol, the investigator affirms to the Sponsor that information furnished to the investigator by the Sponsor will be maintained in confidence, and such information will be divulged to the Institutional Review Board, Ethics Review Committee or similar or expert committee; affiliated institution and employees, only under an appropriate understanding of confidentiality with such board or committee, affiliated institution and employees. Data generated by this study will be considered confidential by the investigator, except to the extent that it is included in a publication as provided in the Publications section of this protocol.

8.1.2 Confidentiality of Subject Records

By signing this protocol, the investigator agrees that the Sponsor (or Sponsor representative), Institutional Review Board/Independent Ethics Committee (IRB/IEC), or Regulatory Agency representatives may consult and/or copy study documents in order to verify worksheet/case report form data. By signing the consent form, the subject agrees to this process. If study documents will be photocopied during the process of verifying worksheet/case report form information, the subject will be identified by unique code only; full names/initials will be masked prior to transmission to the Sponsor.

By signing this protocol, the investigator agrees to treat all subject data used and disclosed in connection with this study in accordance with all applicable privacy laws, rules and regulations.

8.1.3 Confidentiality of Investigator Information

By signing this protocol, the investigator recognizes that certain personal identifying information with respect to the investigator, and all subinvestigators and study site personnel, may be used and disclosed for study management purposes, as part of a regulatory submissions, and as required by law. This information may include:

- name, address, telephone number and e-mail address;
- hospital or clinic address and telephone number;
- curriculum vitae or other summary of qualifications and credentials; and
- other professional documentation.

Consistent with the purposes described above, this information may be transmitted to the Sponsor, and subsidiaries, affiliates and agents of the Sponsor, in your country and other countries, including countries that do not have laws protecting such information. Additionally, the investigator's name and business contact information may be included when reporting certain serious adverse events to regulatory agencies or to other investigators. By signing this protocol, the investigator expressly consents to these uses and disclosures.

If this is a multicenter study, in order to facilitate contact between investigators, the Sponsor may share an investigator's name and contact information with other participating investigators upon request.

8.2 Compliance with Financial Disclosure Requirements

Financial Disclosure requirements are outlined in the US Food and Drug Administration Regulations, Financial Disclosure by Clinical Investigators (21 CFR Part 54). It is the Sponsor's responsibility to determine, based on these regulations, whether a request for Financial Disclosure information is required. It is the investigator's/subinvestigator's responsibility to comply with any such request.

The investigator/subinvestigator(s) agree, if requested by the Sponsor in accordance with 21 CFR Part 54, to provide his/her financial interests in and/or arrangements with the Sponsor to allow for the submission of complete and accurate certification and disclosure statements. The investigator/subinvestigator(s) further agree to provide this information on a Certification/Disclosure Form, commonly known as a financial disclosure form, provided by the Sponsor or through a secure password-protected electronic portal provided by the Sponsor. The investigator/subinvestigator(s) also consent to the transmission of this information to the Sponsor in the United States for these purposes. This may involve the transmission of information to countries that do not have laws protecting personal data.

8.3 Compliance with Law, Audit and Debarment

By signing this protocol, the investigator agrees to conduct the study in an efficient and diligent manner and in conformance with this protocol; generally accepted standards of Good Pharmacoepidemiology Practice and all applicable federal, state and local laws, rules and regulations relating to the conduct of the study.

The investigator also agrees to allow monitoring, audits, Institutional Review Board/Independent Ethics Committee review and regulatory agency inspection of study-related documents and procedures and provide for direct access to all study-related source data and documents.

The investigator agrees not to seek reimbursement from subjects, their insurance providers or from government programs for procedures included as part of the study reimbursed to the investigator by the Sponsor.

The Investigator shall prepare and maintain complete and accurate study documentation in compliance with Good Pharmacoepidemiology Practice, standards and applicable federal, state and local laws, rules and regulations; and, for each subject participating in the study, provide all data, and, upon completion or termination of the study, submit any other reports to the Sponsor as required by this protocol or as otherwise required pursuant to any agreement with the Sponsor.

Study documentation will be promptly and fully disclosed to the Sponsor by the investigator upon request and also shall be made available at the investigator's site upon request for inspection, copying, review and audit at reasonable times by representatives of the Sponsor or any regulatory agencies. The investigator agrees to promptly take any reasonable steps that are requested by the Sponsor as a result of an audit to cure deficiencies in the study documentation and worksheets/case report forms.

The investigator must maintain copies of all documentation and records relating to the conduct of the study in accordance with their institution's records retention schedule which is compliant with all applicable regional and national laws and regulatory requirements. If an institution does not have a records retention schedule to manage its records long-term, the investigator must maintain all documentation and records relating to the conduct of the study for 5 years after final report or first publication of study results, whichever comes later, per GPP guidelines. This documentation includes, but is not limited to, the protocol, worksheets/case report forms, advertising for subject participation, adverse event reports, subject source data, correspondence with regulatory authorities and IRBs/ERCs, consent forms, investigator's curricula vitae, monitor visit logs, laboratory reference

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ranges, laboratory certification or quality control procedures and laboratory director curriculum vitae. All study documents shall be made available if required by relevant regulatory authorities. The investigator must consult with the Sponsor prior to discarding study and/or subject files.

The investigator will promptly inform the Sponsor of any regulatory agency inspection conducted for this study.

Persons debarred from conducting or working on studies by any court or regulatory agency will not be allowed to conduct or work on this Sponsor's studies. The investigator will immediately disclose in writing to the Sponsor if any person who is involved in conducting the study is debarred or if any proceeding for debarment is pending or, to the best of the investigator's knowledge, threatened.

In the event the Sponsor prematurely terminates a particular study site, the Sponsor will promptly notify that site's IRB/IEC.

According to European legislation, a Sponsor must designate an overall coordinating investigator for a multi-center study (including multinational). When more than one study site is open in an EU country, Merck, as the Sponsor, will designate, per country, a national principal coordinator (Protocol CI), responsible for coordinating the work of the principal investigators at the different sites in that Member State, according to national regulations. For a single-center study, the Protocol CI is the principal investigator. In addition, the Sponsor must designate a principal or coordinating investigator to review the study report that summarizes the study results and confirm that, to the best of his/her knowledge, the report accurately describes the conduct and results of the study in the study's final report. The Sponsor may consider one or more factors in the selection of the individual to serve as the Protocol CI and or CSR CI (e.g., availability of the CI during the anticipated review process, thorough understanding of study methods, appropriate enrollment of subject cohort, timely achievement of study milestones). The Protocol CI must be a participating study investigator.

8.5 Quality Management System

By signing this protocol, all parties agree to following applicable standard operating procedures (SOPs). All parties also agree to ensuring all existing and new study personnel are appropriately trained to ensure the study is conducted and data are generated, documented, and reported in compliance with the protocol, Good Pharmacoeconomics Practice (GPP), and all applicable federal, state, and local laws, rules and regulations. All parties should maintain transparency and open communication in order to effectively manage the study and proactively mitigate any risks.

The Sponsor may conduct routine or for-cause audits to ensure oversight and conduct of the study are completed in accordance with the protocol, quality standards (e.g. GPP), and applicable laws and regulations. If a significant quality issue (SQI) is identified at any time during the conduct of the study, it must be escalated to the Sponsor immediately. A SQI is any issue with the potential to negatively impact, either directly or indirectly, the rights, safety and well-being of patients or study participants and/or the integrity of the data. In the event an audit or SQI results in corrective or preventive actions, all parties are expected to appropriately implement the action plan in a timely manner.

8.6 Data Management

The investigator or qualified designee is responsible for recording and verifying the accuracy of subject data. By signing this protocol, the investigator acknowledges that his/her electronic signature is the

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legally binding equivalent of a written signature. By entering his/her electronic signature, the investigator confirms that all recorded data have been verified as accurate.

For an outsourced study the institutional policies of the vendor should be followed for development of data management plans. However, the vendor should ensure compliance with Good Pharmacoepidemiology Practice, and all applicable federal, state, and local laws, rules and regulations relating to the conduct of the study.

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9 Publications

The Risk Management Subteam (RMST) Lead /Clinical Safety Risk Manager (CSRM) Physician will be notified if any safety data are generated in the final study report or any interim report. The safety and conclusion sections of the final study report or interim report must be reviewed by the RMST Lead/CSRM Physician prior to finalization of the report. The review by the CSRM Physician must occur prior to any release of results to the public domain in the form of abstracts, posters, presentations or manuscripts.

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11 Appendices

Appendix 1.

ICD-9-CM and ICD-10-CM Codes indicating Diabetes Disease State

ICD-9-CM		ICD-10-CM	
25000	Diabetes mellitus without mention of complication, type II or unspecified type, not stated as uncontrolled	E119	Type 2 diabetes mellitus without complications
25001	Diabetes mellitus without mention of complication, type I [juvenile type], not stated as uncontrolled	E109	Type 1 diabetes mellitus without complications
25002	Diabetes mellitus without mention of complication, type II or unspecified type, uncontrolled	E1165	Type 2 diabetes mellitus with hyperglycemia
25003	Diabetes mellitus without mention of complication, type I [juvenile type], uncontrolled	E1065	Type 1 diabetes mellitus with hyperglycemia
25010	Diabetes with ketoacidosis, type II or unspecified type, not states as uncontrolled	E1169	Type 2 diabetes mellitus with other specified complication
		E1310	Other specified diabetes mellitus with ketoacidosis without coma
25011	Diabetes with ketoacidosis, type I [juvenile type], not stated as uncontrolled	E1010	Type 1 diabetes mellitus with ketoacidosis without coma
25012	Diabetes with ketoacidosis, type II or unspecified type, uncontrolled	E1165	Type 2 diabetes mellitus with hyperglycemia
		E1169	Type 2 diabetes mellitus with other specified complication
		E1310	Other specified diabetes mellitus with ketoacidosis without coma

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25013	Diabetes with ketoacidosis, type I [juvenile type], uncontrolled	E1010	Type 1 diabetes mellitus with ketoacidosis without coma
		E1065	Type 1 diabetes mellitus with hyperglycemia
25020	Diabetes with hyperosmolarity, type II or unspecified type, not stated as uncontrolled	E1100	Type 2 diabetes mellitus with hyperosmolarity without nonketotic hyperglycemic-hyperosmolar coma (NKHHC)
		E1101	Type 2 diabetes mellitus with hyperosmolarity with coma
25021	Diabetes with hyperosmolarity, type I [juvenile type], not stated as uncontrolled	E1069	Type 1 diabetes mellitus with other specified complication
25022	Diabetes with hyperosmolarity, type II or unspecified type, uncontrolled	E1100	Type 2 diabetes mellitus with hyperosmolarity without nonketotic hyperglycemic-hyperosmolar coma (NKHHC)
		E1165	Type 2 diabetes mellitus with hyperglycemia
25023	Diabetes with hyperosmolarity, type I [juvenile type], uncontrolled	E1065	Type 1 diabetes mellitus with hyperglycemia
		E1069	Type 1 diabetes mellitus with other specified complication
25030	Diabetes with other coma, type II or unspecified type, not states as uncontrolled	E11641	Type 2 diabetes mellitus with hypoglycemia with coma
25031	Diabetes with other coma, type I [juvenile type], not stated as uncontrolled	E1011	Type 1 diabetes mellitus with ketoacidosis with coma
		E10641	Type 1 diabetes mellitus with hypoglycemia with coma

25032	Diabetes with other coma, type II or unspecified type, uncontrolled	E1101	Type 2 diabetes mellitus with hyperosmolarity with coma
		E1165	Type 2 diabetes mellitus with hyperglycemia
25033	Diabetes with other coma, type I [juvenile type], uncontrolled	E1011	Type 1 diabetes mellitus with ketoacidosis with coma
		E1065	Type 1 diabetes mellitus with hyperglycemia

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25040	Diabetes with renal manifestations, type II or unspecified type, not stated as uncontrolled	E1129	Type 2 diabetes mellitus with other diabetic kidney complication
25041	Diabetes with renal manifestations, type I [juvenile type], not stated as uncontrolled	E1029	Type 1 diabetes mellitus with other diabetic kidney complication
25042	Diabetes with renal manifestations, type II or unspecified type, uncontrolled	E1121	Type 2 diabetes mellitus with diabetic nephropathy
		E1165	Type 2 diabetes mellitus with hyperglycemia
25043	Diabetes with renal manifestations, type I [juvenile type], uncontrolled	E1021	Type 1 diabetes mellitus with diabetic neuropathy
		E1065	Type 1 diabetes mellitus with hyperglycemia
25050	Diabetes with ophthalmic manifestations, type II or unspecified type, not stated as uncontrolled	E11311	Type 2 diabetes mellitus with unspecified diabetic retinopathy with macular edema.
		E11319	Type 2 diabetes mellitus with unspecified diabetic retinopathy without macular edema
		E1136	Type 2 diabetes mellitus with diabetic cataract
		E1139	Type 2 diabetes mellitus with other diabetic ophthalmic complication
25051	Diabetes with ophthalmic manifestations, type I [juvenile type], not states as uncontrolled	E10311	Type 1 diabetes mellitus with unspecified diabetic retinopathy with macular edema.
		E10319	Type 1 diabetes mellitus with unspecified diabetic retinopathy without macular edema
		E1036	Type 1 diabetes mellitus with diabetic cataract
		E1039	Type 1 diabetes mellitus with other diabetic ophthalmic complication
25052	Diabetes with ophthalmic manifestations, type II or	E11311	Type 2 diabetes mellitus with unspecified diabetic



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	unspecified type, uncontrolled		retinopathy with macular edema.
		E11319	Type 2 diabetes mellitus with unspecified diabetic retinopathy without macular edema
		E1136	Type 2 diabetes mellitus with diabetic cataract
		E1139	Type 2 diabetes mellitus with other diabetic ophthalmic complication
		E1165	Type 2 diabetes mellitus with hyperglycemia
25053	Diabetes with ophthalmic manifestations, type I [juvenile type], uncontrolled)	E10311	Type 1 diabetes mellitus with unspecified diabetic retinopathy with macular edema.
		E10319	Type 1 diabetes mellitus with unspecified diabetic retinopathy without macular edema
		E1036	Type 1 diabetes mellitus with diabetic cataract
		E1039	Type 1 diabetes mellitus with other diabetic ophthalmic complication
		E1065	Type 1 diabetes mellitus with hyperglycemia
25060	Diabetes with neurological manifestations, type II or unspecified type, not stated as uncontrolled	E1140	Type 2 diabetes mellitus with diabetic neuropathy, unspecified
25061	Diabetes with neurological manifestations, type I [juvenile type], not stated as uncontrolled	E1040	Type 1 diabetes mellitus with diabetic neuropathy, unspecified
25062	Diabetes with neurological manifestations, type II or unspecified type, uncontrolled	E1140	Type 2 diabetes mellitus with diabetic neuropathy, unspecified
		E1165	Type 2 diabetes mellitus with hyperglycemia
25063	Diabetes with neurological	E1040	Type 1 diabetes mellitus with diabetic neuropathy, unspecified

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	manifestations, type I [juvenile type], uncontrolled	E1065	Type 1 diabetes mellitus with hyperglycemia
25070	Diabetes with peripheral circulatory disorders, type II or unspecified type, not stated as uncontrolled	E1151	Type 2 diabetes mellitus with diabetic peripheral angiopathy without gangrene
25071	Diabetes with peripheral circulatory disorders, type I [juvenile type], not stated as uncontrolled	E1051	Type 1 diabetes mellitus with diabetic peripheral angiopathy without gangrene
25072	Diabetes with peripheral circulatory disorders, type II or unspecified type, uncontrolled	E1151	Type 2 diabetes mellitus with diabetic peripheral angiopathy without gangrene
		E1165	Type 2 diabetes mellitus with hyperglycemia
25073	Diabetes with peripheral circulatory disorders, type I [juvenile type], uncontrolled	E1051	Type 1 diabetes mellitus with diabetic peripheral angiopathy without gangrene
		E1065	Type 1 diabetes mellitus with hyperglycemia
25080	Diabetes with other specified manifestations, type II or unspecified type, not stated as uncontrolled	E11618	Type 2 diabetes mellitus with other diabetic arthropathy
		E11620	Type 2 diabetes mellitus with diabetic dermatitis
		E11621	Type 2 diabetes mellitus with foot ulcer
		E11622	Type 2 diabetes mellitus with other skin ulcer
		E11628	Type 2 diabetes mellitus with other skin complications
		E11630	Type 2 diabetes mellitus with periodontal disease

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		E11638	Type 2 diabetes mellitus with other oral complications
		E11649	Type 2 diabetes mellitus with hypoglycemia without coma
		E1165	Type 2 diabetes mellitus with hyperglycemia
		E1169	Type 2 diabetes mellitus with other specified complication
25081	Diabetes with other specified manifestations, type I [juvenile type], not stated as uncontrolled	E10618	Type 1 diabetes mellitus with other diabetic arthropathy
		E10620	Type 1 diabetes mellitus with diabetic dermatitis
		E10621	Type 1 diabetes mellitus with foot ulcer
		E10622	Type 1 diabetes mellitus with other skin ulcer
		E10628	Type 1 diabetes mellitus with other skin complications

		E10630	Type 1 diabetes mellitus with periodontal disease
		E10638	Type 1 diabetes mellitus with other oral complications
		E10649	Type 1 diabetes mellitus with hypoglycemia without coma
		E1065	Type 1 diabetes mellitus with hyperglycemia



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		E1069	Type 1 diabetes mellitus with other specified complication
25082	Diabetes with other specified manifestations, type II or unspecified type, uncontrolled	E1165	Type 2 diabetes mellitus with hyperglycemia
		E1169	Type 2 diabetes mellitus with other specified complication
25083	Diabetes with other specified manifestations, type I [juvenile type], uncontrolled	E1065	Type 1 diabetes mellitus with hyperglycemia
		E1069	Type 1 diabetes mellitus with other specified complication
25090	Diabetes with unspecified complication, type II or unspecified type, not stated as uncontrolled	E118	Type 2 diabetes mellitus with unspecified complications
25091	Diabetes with unspecified complication, type I [juvenile type], not stated as uncontrolled	E108	Type 1 diabetes mellitus with unspecified complications
25092	Diabetes with unspecified complication, type II or unspecified type, uncontrolled	E1165	Type 2 diabetes mellitus with hyperglycemia
		E118	Type 2 diabetes mellitus with unspecified complications
25093	Diabetes with unspecified complication, type I [juvenile type], uncontrolled	E1065	Type 1 diabetes mellitus with hyperglycemia
		E108	Type 1 diabetes mellitus with unspecified complications
		E1100	Type 2 diabetes mellitus with hyperosmolarity without nonketotic hyperglycemic-hyperosmolar coma (NKHHC)

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	E1101	Type 2 diabetes mellitus with hyperosmolarity with coma
	E1121	Type 2 diabetes mellitus with diabetic nephropathy
	E1122	Type 2 diabetes mellitus with diabetic chronic kidney disease
	E1129	Type 2 diabetes mellitus with other diabetic kidney complication

	E11311	Type 2 diabetes mellitus with unspecified diabetic retinopathy with macular edema
	E11319	Type 2 diabetes mellitus with unspecified diabetic retinopathy without macular edema
	E11321	Type 2 diabetes mellitus with mild nonproliferative diabetic retinopathy with macular edema
	E11329	Type 2 diabetes mellitus with mild nonproliferative diabetic retinopathy without macular edema
	E11331	Type 2 diabetes mellitus with moderate nonproliferative diabetic retinopathy with macular edema
	E11339	Type 2 diabetes mellitus with moderate nonproliferative diabetic retinopathy without macular edema
	E11341	Type 2 diabetes mellitus with severe nonproliferative diabetic retinopathy with macular edema
	E11349	Type 2 diabetes mellitus with severe nonproliferative diabetic retinopathy without macular edema
	E11351	Type 2 diabetes mellitus with severe nonproliferative diabetic retinopathy with macular edema
	E11359	Type 2 diabetes mellitus with proliferative diabetic retinopathy without macular edema

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	E1136	Type 2 diabetes mellitus with diabetic cataract
	E1139	Type 2 diabetes mellitus with other diabetic ophthalmic complication
	E1140	Type 2 diabetes mellitus with diabetic neuropathy, unspecified
	E1141	Type 2 diabetes mellitus with diabetic mononeuropathy
	E1142	Type 2 diabetes mellitus with diabetic polyneuropathy
	E1143	Type 2 diabetes mellitus with diabetic autonomic (poly)neuropathy
	E1144	Type 2 diabetes mellitus with diabetic amyotrophy
	E1149	Type 2 diabetes mellitus with other diabetic neurological complication
	E1151	Type 2 diabetes mellitus with diabetic peripheral angiopathy without gangrene
	E1152	Type 2 diabetes mellitus with diabetic peripheral angiopathy with gangrene
	E1159	Type 2 diabetes mellitus with other circulatory complications
	E11610	Type 2 diabetes mellitus with diabetic neuropathic arthropathy
	E11618	Type 2 diabetes mellitus with other diabetic arthropathy

	E11620	Type 2 diabetes mellitus with diabetic dermatitis
	E11621	Other specified diabetes mellitus with foot ulcer
	E11622	Other specified diabetes mellitus with other skin ulcer
	E11628	Other specified diabetes mellitus with other skin complications
	E11630	Other specified diabetes mellitus with periodontal disease
	E11638	Other specified diabetes mellitus with other oral complications
	E11641	Other specified diabetes mellitus with hypoglycemia with coma

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	E11649	Other specified diabetes mellitus with hypoglycemia without coma
	E1165	Other specified diabetes mellitus with hyperglycemia
	E1169	Type 2 diabetes mellitus with other specified complication
	E118	Type 2 diabetes mellitus with unspecified complications
	E119	Type 2 diabetes mellitus without complications
	E1300	Other specified diabetes mellitus with hyperosmolarity without nonketotic hyperglycemic-hyperosmolar coma (NKHHC)
	E1301	Other specified diabetes mellitus with hyperosmolarity with coma
	E1310	Other specified diabetes mellitus with ketoacidosis without coma
	E1311	Other specified diabetes mellitus with ketoacidosis with coma
	E1321	Other specified diabetes mellitus with diabetic nephropathy
	E1322	Other specified diabetes mellitus with diabetic chronic kidney disease
	E1329	Other specified diabetes mellitus with other diabetic kidney complication
	E13311	Other specified diabetes mellitus with unspecified diabetic retinopathy with macular edema
	E13319	Other specified diabetes mellitus with unspecified diabetic retinopathy without macular edema
	E13321	Other specified diabetes mellitus with mild nonproliferative diabetic retinopathy with macular edema
	E13329	Other specified diabetes mellitus with mild nonproliferative diabetic retinopathy without macular edema
	E13331	Other specified diabetes mellitus with moderate nonproliferative diabetic retinopathy with macular edema
	E13339	Other specified diabetes mellitus with moderate

		nonproliferative diabetic retinopathy without macular edema
	E13341	Other specified diabetes mellitus with severe nonproliferative diabetic retinopathy with macular edema
	E13349	Other specified diabetes mellitus with severe nonproliferative diabetic retinopathy without macular edema
	E13351	Other specified diabetes mellitus with severe nonproliferative diabetic retinopathy without macular edema
	E13359	Other specified diabetes mellitus with proliferative diabetic retinopathy without macular edema
	E1336	Other specified diabetes mellitus with diabetic cataract
	E1339	Other specified diabetes mellitus with other diabetic ophthalmic complication
	E1340	Other specified diabetes mellitus with diabetic neuropathy, unspecified
	E1341	Other specified diabetes mellitus with diabetic mononeuropathy
	E1342	Other specified diabetes mellitus with diabetic polyneuropathy
	E1343	Other specified diabetes mellitus with diabetic autonomic (poly)neuropathy
	E1344	Other specified diabetes mellitus with diabetic amyotrophy
	E1349	Other specified diabetes mellitus with other diabetic neurological complication
	E1351	Other specified diabetes mellitus with diabetic peripheral angiopathy without gangrene
	E1352	Other specified diabetes mellitus with diabetic peripheral angiopathy with gangrene
	E1359	Other specified diabetes mellitus with other circulatory complications
	E13610	Other specified diabetes mellitus with diabetic neuropathic arthropathy

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	E13618	Other specified diabetes mellitus with other diabetic arthropathy
	E13620	Other specified diabetes mellitus with other diabetic arthropathy
	E13621	Other specified diabetes mellitus with other diabetic
	E13622	Other specified diabetes mellitus with other skin ulcer
	E13628	Other specified diabetes mellitus with other skin complications
	E13630	Other specified diabetes mellitus with periodontal disease
	E13638	Other specified diabetes mellitus with other oral complications
	E13641	Other specified diabetes mellitus with hypoglycemia with coma
	E13649	Other specified diabetes mellitus with hypoglycemia without coma
	E1365	Other specified diabetes mellitus with hyperglycemia
	E1369	Other specified diabetes mellitus with other specified complication
	E138	Other specified diabetes mellitus with unspecified complications
	E139	Other specified diabetes mellitus without complications

Appendix 2. ICD-9 and ICD-10 codes used for Diabetes Prevention Quality Indicators, as described by the Agency for Healthcare Research and Quality ²²⁻²⁵

	ICD-9-CM:		ICD-10-CM:	
1) short-term diabetes complications (e.g., diabetic ketoacidosis, hypersomolarity, or coma)*	25010	DM KETO T2, DM CONT	E1010	Type 1 diabetes mellitus with ketoacidosis without coma
	25011	DM KETO T1, DM CONT	E1011	Type 1 diabetes mellitus with ketoacidosis with coma
	25012	DM KETO T2, DM UNCONT	E10641	Type 1 diabetes mellitus with hypoglycemia with coma

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	25013	DM KETO T1, DM UNCONT	E1065	Type 1 diabetes mellitus with hyperglycemia
	25020	DM W/ HYPROSM T2, DM CONT	E1100	Type 2 diabetes mellitus with hyperosmolarity without nonketotic hyperglycemic- hyperosmolar coma (NKHHC)
	25021	DM W/ HYPROSM T1, DM CONT	E1101	Type 2 diabetes mellitus with hyperosmolarity with coma
	25022	DM W/ HYPROSM T2, DM UNCNT	E11641	Type 2 diabetes mellitus with hypoglycemia with coma
	25023	DM W/ HYPROSM T1, DM UNCNT	E1165	Type 2 diabetes mellitus with hyperglycemia
	25030	DM COMA NEC TYP II, DM CNT		
	25031	DM COMA NEC T1, DM CONT		
	25032	DM COMA NEC T2, DM UNCONT		
	25033	DM COMA NEC T1, DM UNCONT		
	ICD-9-CM		ICD-10-CM	
2) long-term diabetes complications (e.g., renal, ophthalmic, or neurological manifestations and peripheral	25040	DM RENAL COMP T2 CONT	E1021	Type 1 diabetes mellitus with diabetic nephropathy
	25041	DM RENAL COMP T1 CONT	E1022	Type 1 diabetes mellitus with diabetic chronic kidney disease

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circulatory disorders)	25042	DM RENAL COMP T2 UNCNT	E1029	Type 1 diabetes mellitus with other diabetic kidney
	25043	DM RENAL COMP T1 UNCNT	E10311	Type 1 diabetes mellitus with unspecified diabetic retinopathy with macular edema
	25050	DM EYE COMP T2 CONT	E10319	Type 1 diabetes mellitus with unspecified diabetic retinopathy without macular edema
	25051	DM EYE COMP T1 CONT	E10321	Type 1 diabetes mellitus with mild nonproliferative diabetic retinopathy with macular edema

	25052	DM EYE COMP T2 UNCNT	E10329	Type 1 diabetes mellitus with mild nonproliferative
	25053	DM EYE COMP T1 UNCNT	E10331	Type 1 diabetes mellitus with moderate nonproliferative diabetic retinopathy with macular edema
	25060	DM NEURO COMP T2 CONT	E10339	Type 1 diabetes mellitus with moderate nonproliferative diabetic retinopathy without macular edema
	25061	DM NEURO COMP T1 CONT	E10341	Type 1 diabetes mellitus with severe nonproliferative
	25062	DM NEURO COMP T2 UNCNT	E10349	Type 1 diabetes mellitus with severe nonproliferative
	25063	DM NEURO COMP T1 UNCNT	E10351	Type 1 diabetes mellitus with proliferative diabetic retinopathy with macular edema
	25070	DM CIRCU DIS T2 CONT	E10359	Type 1 diabetes mellitus with proliferative diabetic retinopathy without macular edema

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25071	DM CIRCU DIS T1 CONT	E1036	Type 1 diabetes mellitus with diabetic cataract
25072	DM CIRCU DIS T2 UNCNT	E1039	Type 1 diabetes mellitus with other diabetic ophthalmic complication
25073	DM CIRCU DIS T1 UNCNT	E1040	Type 1 diabetes mellitus with diabetic neuropathy, unspecified
25080	DM W COMP NEC T2 CONT	E1041	Type 1 diabetes mellitus with diabetic mononeuropathy
25081	DM W COMP NEC T1 CONT	E1042	Type 1 diabetes mellitus with diabetic polyneuropathy
25082	DM W COMP NEC T2 UNCNT	E1043	Type 1 diabetes mellitus with diabetic autonomic (poly)neuropathy
25083	DM W COMP NEC T1 UNCNT	E1044	Type 1 diabetes mellitus with diabetic amyotrophy
25090	DM W COMPL NOS T2 CONT	E1049	Type 1 diabetes mellitus with other diabetic neurological complication
25091	DM W COMPL NOS T1 CONT	E1051	Type 1 diabetes mellitus with diabetic peripheral angiopathy without gangrene
25092	DM W COMPL NOS T2 UNCNT	E1052	Type 1 diabetes mellitus with diabetic peripheral with gangrene
25093	DM W COMPL NOS T1 UNCNT	E1059	Type 1 diabetes mellitus with other circulatory complications
		E10610	Type 1 diabetes mellitus with diabetic neuropathic arthropathy
		E10618	Type 1 diabetes mellitus with other diabetic arthropathy
		E10620	Type 1 diabetes mellitus with diabetic dermatitis
		E10621	Type 1 diabetes mellitus with foot ulcer
		E10622	Type 1 diabetes mellitus with other skin ulcer

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		E10628	Type 1 diabetes mellitus with other skin complications
		E10630	Type 1 diabetes mellitus with periodontal disease
		E10638	Type 1 diabetes mellitus with other oral complications

		E1069	Type 1 diabetes mellitus with other specified complication
		E108	Type 1 diabetes mellitus with unspecified complications
		E1121	Type 2 diabetes mellitus with diabetic nephropathy
		E1122	Type 2 diabetes mellitus with diabetic chronic kidney
		E1129	Type 2 diabetes mellitus with other diabetic kidney complication
		E11311	Type 2 diabetes mellitus with unspecified diabetic retinopathy with macular edema
		E11319	Type 2 diabetes mellitus with unspecified diabetic retinopathy without macular edema
		E11321	Type 2 diabetes mellitus with mild nonproliferative diabetic retinopathy with macular edema
		E11329	Type 2 diabetes mellitus with mild nonproliferative diabetic retinopathy without macular edema
		E11331	Type 2 diabetes mellitus with moderate nonproliferative diabetic retinopathy with macular edema
		E11339	Type 2 diabetes mellitus with moderate nonproliferative diabetic retinopathy without macular edema
		E11341	Type 2 diabetes mellitus with severe nonproliferative diabetic



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			retinopathy with macular edema
		E11349	Type 2 diabetes mellitus with severe nonproliferative diabetic retinopathy without macular edema
		E11351	Type 2 diabetes mellitus with proliferative diabetic retinopathy with macular edema
		E11359	Type 2 diabetes mellitus with proliferative diabetic retinopathy without macular edema
		E1136	Type 2 diabetes mellitus with diabetic cataract
		E1139	Type 2 diabetes mellitus with other diabetic ophthalmic complication
		E1140	Type 2 diabetes mellitus with diabetic neuropathy, unspecified
		E1141	Type 2 diabetes mellitus with diabetic mononeuropathy
		E1142	Type 2 diabetes mellitus with diabetic polyneuropathy
		E1143	Type 2 diabetes mellitus with diabetic autonomic (poly)neuropathy
		E1144	Type 2 diabetes mellitus with diabetic amyotrophy
		E1149	Type 2 diabetes mellitus with other diabetic neurological complication
		E1151	Type 2 diabetes mellitus with diabetic peripheral angiopathy without gangrene

		E1152	Type 2 diabetes mellitus with diabetic peripheral
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			angiopathy with gangrene
		E1159	Type 2 diabetes mellitus with other circulatory complications
		E11610	Type 2 diabetes mellitus with diabetic neuropathic arthropathy
		E11618	Type 2 diabetes mellitus with other diabetic arthropathy
		E11620	Type 2 diabetes mellitus with diabetic dermatitis
		E11622	Type 2 diabetes mellitus with other skin ulcer E11628
		E11628	Type 2 diabetes mellitus with other skin complications
		E11630	Type 2 diabetes mellitus with periodontal disease
		E11638	Type 2 diabetes mellitus with other oral complications
		E1169	Type 2 diabetes mellitus with other specified

			E118	Type 2 diabetes mellitus with unspecified complications
3) uncontrolled diabetes without complications (e.g., high glucose concentrations)	ICD-9-CM		ICD-10-CM	
	25002	DMII WO CMP UNCTRLD	E1065	Type 1 diabetes mellitus with hyperglycemia
	25003	DMI WO CMP UNCNTRLD	E1165	Type 2 diabetes mellitus with hyperglycemia
			E10649	Type 1 diabetes mellitus with hypoglycemia without coma
			E11649	Type 2 diabetes mellitus with hypoglycemia without coma
4) diabetes-related lower-extremity amputations	ICD-9-PC		ICD-10-PC	
	8410	LOWER LIMB AMPUTATIONOS	OY620Z Z	Detachment at Right Hindquarter, Open Approach
	8412	AMPUTATION THROUGH FOOT	OY630Z Z	Detachment at Left Hindquarter, Open Approach
	8413	DISARTICULATION OF ANKLE	OY640Z Z	Detachment at Bilateral Hindquarter, Open Approach
	8414	AMPUTAT THROUGH MALLEOLI	OY670Z Z	Detachment at Right Femoral Region, Open Approach
	8415	BELOW KNEE AMPUTAT NEC	OY680Z Z	Detachment at Left Femoral Region, Open Approach

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	8416	DISARTICULATION OF KNEE	OY6C0 Z1	Detachment at Right Upper Leg, High, Open Approach
	8417	ABOVE KNEE AMPUTATION	OY6C0 Z2	Detachment at Right Upper Leg, Mid, Open Approach
	8418	DISARTICULATION OF HIP	OY6C0 Z3	Detachment at Right Upper Leg, Low, Open Approach
	8419	HINDQUARTER AMPUTATION	OY6D0 Z1	Detachment at Left Upper Leg, High, Open Approach
			OY6D0 Z2	Detachment at Left Upper Leg, Mid, Open Approach
			OY6D0 Z3	Detachment at Left Upper Leg, Low, Open Approach

		OY6F0Z Z	Detachment at Right Knee Region, Open Approach
		OY6G0 ZZ	Detachment at Left Knee Region, Open Approach
		OY6H0 Z1	Detachment at Right Lower Leg, High, Open Approach
		OY6H0 Z2	Detachment at Right Lower Leg, Mid, Open Approach
		OY6H0 Z3	Detachment at Right Lower Leg, Low, Open Approach
		OY6J0Z 1	Detachment at Left Lower Leg, High, Open



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	Approach
OY6J0Z 2	Detachment at Left Lower Leg, Mid, Open Approach
OY6J0Z 3	Detachment at Left Lower Leg, Low, Open Approach
OY6M0 Z0	Detachment at Right Foot, Complete, Open Approach
OY6M0 Z4	Detachment at Right Foot, Complete, Open Approach
OY6M0 Z5	Detachment at Right Foot, Complete 1st Ray, Open
OY6M0 Z6	Detachment at Right Foot, Complete 2nd Ray, Open
OY6M0 Z7	Detachment at Right Foot, Complete 3rd Ray, Open
OY6M0 Z8	Detachment at Right Foot, Complete 4th Ray, Open
OY6M0 Z9	Detachment at Right Foot, Complete 5th Ray, Open
OY6M0 ZB	Detachment at Right Foot, Partial 1st Ray, Open
OY6M0 ZC	Detachment at Right Foot, Partial 2nd Ray, Open
OY6M0 ZD	Detachment at Right Foot, Partial 3rd Ray, Open
OY6M0 ZF	Detachment at Right Foot, Partial 4th Ray, Open
OY6N0 Z0	Detachment at Right Foot, Partial 5th Ray, Open

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	OY6N0 Z4	Detachment at Left Foot, Complete, Open Approach
	OY6N0 Z5	Detachment at Left Foot, Complete 1st Ray, Open
	OY6N0 Z6	Detachment at Left Foot, Complete 2nd Ray, Open
	OY6N0 Z7	Detachment at Left Foot, Complete 3rd Ray, Open
	OY6N0 Z8	Detachment at Left Foot, Complete 4th Ray, Open
	OY6N0 Z9	Detachment at Left Foot, Complete 5th Ray, Open
	OY6N0 ZB	Detachment at Left Foot, Partial 1st Ray, Open

	OY6N0 ZC	Detachment at Left Foot, Partial 2nd Ray, Open
	OY6N0 ZD	Detachment at Left Foot, Partial 3rd Ray, Open
	OY6N0 ZF	Detachment at Left Foot, Partial 4th Ray, Open
	OY6P0Z 0	Detachment at Left Foot, Partial 5th Ray, Open
	OY6P0Z 1	Detachment at Right 1st Toe, Complete, Open
	OY6P0Z 2	Detachment at Right 1st Toe, High, Open Approach
	OY6P0Z 3	Detachment at Right 1st Toe, Mid, Open Approach
	OY6Q0 Z0	Detachment at Right 1st Toe, Low, Open Approach



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OY6Q0 Z1	Detachment at Left 1st Toe, Complete, Open Approach
OY6Q0 Z2	Detachment at Left 1st Toe, High, Open Approach
OY6Q0 Z3	Detachment at Left 1st Toe, Mid, Open Approach
OY6R0 Z0	Detachment at Left 1st Toe, Low, Open Approach
OY6R0 Z1	Detachment at Right 2nd Toe, Complete, Open
OY6R0 Z2	Detachment at Right 2nd Toe, High, Open Approach
OY6R0 Z3	Detachment at Right 2nd Toe, Mid, Open Approach
OY6S0Z 0	Detachment at Right 2nd Toe, Low, Open Approach
OY6S0Z 1	Detachment at Left 2nd Toe, Complete, Open
OY6S0Z 2	Detachment at Left 2nd Toe, High, Open Approach
OY6S0Z 3	Detachment at Left 2nd Toe, Mid, Open Approach
OY6T0 Z0	Detachment at Left 2nd Toe, Low, Open Approach
OY6T0 Z1	Detachment at Right 3rd Toe, Complete, Open
OY6T0 Z2	Detachment at Right 3rd Toe, High, Open Approach
OY6T0 Z3	Detachment at Right 3rd Toe, Mid, Open Approach
OY6U0 Z0	Detachment at Right 3rd Toe, Low, Open

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			Approach
		0Y6U0 Z1	Detachment at Left 3rd Toe, Complete, Open
		0Y6U0 Z2	Detachment at Left 3rd Toe, High, Open Approach
		0Y6U0 Z3	Detachment at Left 3rd Toe, Mid, Open Approach

		0Y6V0 Z0	Detachment at Left 3rd Toe, Low, Open Approach
		0Y6V0 Z1	Detachment at Right 4th Toe, Complete, Open
		0Y6V0 Z2	Detachment at Right 4th Toe, High, Open Approach
		0Y6V0 Z3	Detachment at Right 4th Toe, Mid, Open Approach
		0Y6W0 Z0	Detachment at Right 4th Toe, Low, Open Approach
		0Y6W0 Z1	Detachment at Left 4th Toe, Complete, Open Approach
		0Y6W0 Z2	Detachment at Left 4th Toe, High, Open Approach
		0Y6W0 Z3	Detachment at Left 4th Toe, Mid, Open Approach
		0Y6X0 Z0	Detachment at Left 4th Toe, Low, Open Approach
		0Y6X0 Z1	Detachment at Right 5th Toe, Complete, Open
		0Y6X0 Z2	Detachment at Right 5th Toe, High, Open Approach
		0Y6X0 Z3	Detachment at Right 5th Toe, Mid, Open



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			Approach
		0Y6Y0 Z0	Detachment at Right 5th Toe, Low, Open Approach
		0Y6Y0 Z1	Detachment at Left 5th Toe, Complete, Open Approach
		0Y6Y0 Z2	Detachment at Left 5th Toe, High, Open Approach
		0Y6Y0 Z3	Detachment at Left 5th Toe, Mid, Open Approach
		0Y6T0 Z0	Detachment at Left 5th Toe, Low, Open Approach
		0Y6T0 Z1	Detachment at Right Hindquarter, Open Approach
		0Y6T0 Z2	Detachment at Left Hindquarter, Open Approach
		0Y6T0 Z3	Detachment at Bilateral Hindquarter, Open Approach
		0Y6U0 Z0	Detachment at Right Femoral Region, Open Approach
		0Y6U0 Z1	Detachment at Left Femoral Region, Open Approach
		0Y6Y0 Z2	Detachment at Right Upper Leg, High, Open Approach
		0Y6Y0 Z3	Detachment at Right Upper Leg, Mid, Open Approach
		0Y6C0 Z1	Detachment at Right Upper Leg, Low, Open Approach
		0Y6C0 Z2	Detachment at Right Upper Leg, High, Open Approach
		0Y6C0 Z3	Detachment at Right Upper Leg, Mid, Open Approach

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			0Y620Z Z	Detachment at Right Upper Leg, Low, Open Approach
			0Y6J0Z 1	Detachment at Right Hindquarter, Open Approach
			0Y6J0Z 2	Detachment at Left Lower Leg, High, Open Approach
			0Y6J0Z 3	Detachment at Left Lower Leg, Mid, Open Approach
Proposed PQI: Lower Extremity Ulcers/inflammation/ infections ²⁸	ICD-9-CM		ICD-10-CM	
	4540	Varicose Veins of lower extremities with ulcer	I83.009	Varicose veins of unspecified lower extremity with ulcer of unspecified site
			I83.019	Varicose veins of right lower extremity with ulcer of unspecified site
			I83.029	Varicose veins of left lower extremity with ulcer of unspecified site

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	707.1	Ulcer of lower limb, unspecified	L97.909	Non-pressure chronic ulcer of unspecified part of unspecified lower leg with unspecified severity
	680.6	Carbuncle and furuncle of leg, except foot	L02.429	Furuncle of limb, unspecified
			L02.439	Carbuncle of limb, unspecified
	680.7	Carbuncle and furuncle of foot	L02.629	Furuncle of unspecified foot
			L02.639	Carbuncle of unspecified foot
	681.1	Cellulitis and abscess of toe, unspecified	L03.039	Cellulitis of unspecified toe
			L03.049	Acute lymphangitis of unspecified toe
	682.6	Cellulitis and abscess of leg, except foot	L03.129	Acute lymphangitis of unspecified part of limb
			L03.119	Cellulitis of unspecified part of limb

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	682.7	Cellulitis and abscess of foot, except toes	L03.119	Cellulitis of unspecified part of limb
			L03.129	Acute lymphangitis of unspecified part of limb
	711.05	Pyogenic arthritis, pelvic region and thigh	M00.05 9	Staphylococcal arthritis, unspecified ankle and foot
			M00.15 9	Pneumococcal arthritis, unspecified hip
			M00.25 9	Other streptococcal arthritis, unspecified hip
			M00.85 9	Arthritis due to other bacteria, unspecified hip

	711.06	Pyogenic arthritis, lower leg	M00.06 9	Pneumococcal arthritis, unspecified hip
			M00.16 9	Pneumococcal arthritis, unspecified knee
			M00.26 9	Other streptococcal arthritis, unspecified knee
			M00.86 9	Arthritis due to other bacteria, unspecified knee

	711.07	Pyogenic arthritis, ankle and foot	M00.07 09	Staphylococcal arthritis, unspecified ankle and foot
			M00.17 9	Pneumococcal arthritis, unspecified ankle and foot
			M00.27 9	Other streptococcal arthritis, unspecified ankle and foot
			M00.87 9	Arthritis due to other bacteria, unspecified ankle and foot
	730.05	Acute osteomyelitis, pelvic region and thigh	M86.15 9	Other acute osteomyelitis, unspecified femur
			M86.25 9	Subacute osteomyelitis, unspecified femur
	730.06	Acute osteomyelitis, lower leg	M86.16 9	Other acute osteomyelitis, unspecified tibia and fibula
			M86.26 9	Subacute osteomyelitis, unspecified tibia and fibula
	730.07	Acute osteomyelitis, ankle and foot	M86.17 9	Other acute osteomyelitis, unspecified ankle and foot
			M86.27 9	Subacute osteomyelitis, unspecified ankle and foot
	730.15	Chronic osteomyelitis, pelvic region and thigh	M86.65 9	Other chronic osteomyelitis, unspecified thigh
	730.16	Chronic osteomyelitis, lower leg	M86.66 9	Other chronic osteomyelitis, unspecified tibia and fibula
	730.17	Chronic osteomyelitis, ankle and foot	M86.67 9	Other chronic osteomyelitis, unspecified ankle and foot

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	730.25	Unspecified osteomyelitis, pelvic region and thigh	M86.9	Osteomyelitis, unspecified
	730.26	Unspecified osteomyelitis, lower leg	M86.9	Osteomyelitis, unspecified
	730.27	Unspecified osteomyelitis, ankle and foot	M86.9	Osteomyelitis, unspecified

	730.35	Periostitis, without mention of osteomyelitis, pelvic region and thigh	M86.9	Osteomyelitis, unspecified
	730.36	Periostitis, without mention of osteomyelitis, lower leg	M86.9	Osteomyelitis, unspecified
	730.37	Periostitis, without mention of osteomyelitis, ankle and foot	M86.9	Osteomyelitis, unspecified
	730.85	Other infections involving bone in diseases classified elsewhere, pelvic region and thigh	M90.85 9	Osteopathy in diseases classified elsewhere, unspecified thigh
	730.86	Other infections involving bone in diseases classified elsewhere, lower leg	M90.86 9	Osteopathy in diseases classified elsewhere, unspecified lower leg
	730.87	Other infections involving bone in diseases classified elsewhere, ankle and foot	M90.87 9	Osteopathy in diseases classified elsewhere,

				unspecified ankle and foot
	730.95	Unspecified infection of bone, pelvic region and thigh	M86.9	Osteomyelitis, unspecified
	730.96	Unspecified infection of bone, lower leg	M86.9	Osteomyelitis, unspecified
	730.97	Unspecified infection of bone, ankle and foot	M86.9	Osteomyelitis, unspecified
	785.4	Gangrene	I96	Gangrene, not elsewhere classified
Proposed PQI: Hypoglycemia ²⁸ Algorithm described by Ginde et al. (Appendix 3)	ICD-9-CM		ICD-10-CM	
	251.0	Hypoglycemic coma	E15	Nondiabetic hypoglycemic coma
	251.1	Other specified hypoglycemia	E16.0	Drug-induced hypoglycemia without coma
			E16.1	Other hypoglycemia
	251.2	Hypoglycemia, unspecified	E16.2	Hypoglycemia, unspecified
	270.3	Leucine-induced hypoglycemia	E71.0	Maple-syrup-urine disease
			E71.120	Methylmalonic acidemia
			E71.19	Other disorders of branched-chain aminoacid metabolism

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			E71.2	Disorder of branched-chain amino-acid metabolism, unspecified
	775.0	Hypoglycemia in an infant born to a diabetic mother	P70.0	Syndrome of infant of mother with gestational diabetes
			P70.1	Syndrome of infant of a diabetic mother
	775.6	Neonatal hypoglycemia	P70.4	Other neonatal hypoglycemia
	962.3	Poisoning by insulins and antidiabetic agents	T38.3X 1A	Poisoning by insulin and oral hypoglycemic [antidiabetic] drugs, accidental (unintentional), initial encounter
			T38.3X 2A	Poisoning by insulin and oral hypoglycemic [antidiabetic] drugs, intentional self-harm, initial encounter

			T38.3X 3A	Poisoning by insulin and oral hypoglycemic [antidiabetic] drugs, assault, initial encounter
			T38.3X 4A	Poisoning by insulin and oral hypoglycemic [antidiabetic] drugs, undetermined, initial encounter
	250.80	Diabetes with other specified manifestations, type II or unspecified	E11.618	Type 2 diabetes mellitus with other diabetic arthropathy

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		type, not stated as uncontrolled	E11.620	Type 2 diabetes mellitus with diabetic dermatitis
			E11.621	Type 2 diabetes mellitus with foot ulcer
			E11.622	Type 2 diabetes mellitus with other skin ulcer
			E11.628	Type 2 diabetes mellitus with other skin complications
			E11.630	Type 2 diabetes mellitus with periodontal disease
			E11.638	Type 2 diabetes mellitus with other oral complications
			E11.649	Type 2 diabetes mellitus with hypoglycemia without coma
			E11.65	Type 2 diabetes mellitus with hyperglycemia
			E11.69	Type 2 diabetes mellitus with other specified complication
	259.8	Secondary diabetic glycogenosis	E34.8	Other specified endocrine disorders
	272.7	Lipidoses	E75.21	Fabry (-Anderson) disease
			E75.22	Gaucher disease
			E75.249	Niemann-Pick disease, unspecified
			E77.0	Defects in post-translational modification of

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				lysosomal enzymes
			E77.1	Defects in glycoprotein degradation
	681.00	Cellulitis and abscess of finger, unspecified	L03.019	Cellulitis of unspecified finger
			L03.029	Acute lymphangitis of unspecified finger
	707.1	Ulcers of lower extremity	E11.618	Type 2 diabetes mellitus with other diabetic arthropathy
	707.2	Ulcers of lower extremity	E11.620	Type 2 diabetes mellitus with diabetic dermatitis
	707.3	Ulcers of lower extremity	E11.621	Type 2 diabetes mellitus with foot ulcer
	707.4	Ulcers of lower extremity	E11.622	Type 2 diabetes mellitus with other skin ulcer
	707.5	Ulcers of lower extremity	E11.628	Type 2 diabetes mellitus with other skin complications
	707.6	Ulcers of lower extremity	E11.630	Type 2 diabetes mellitus with periodontal disease
	707.7	Ulcers of lower extremity	E11.638	Type 2 diabetes mellitus with other oral complications
	707.8	Ulcers of lower extremity	E11.649	Type 2 diabetes mellitus with hypoglycemia without coma
	707.9	Ulcers of lower extremity	E11.65	Type 2 diabetes mellitus with hyperglycemia
	709.3	Degenerative skin disorders	L92.1	Necrobiosis lipoidica, not elsewhere classified
			L94.2	Calcinosis cutis
			L98.8	Other specified disorders of the skin and subcutaneous tissue
	730.00	Acute osteomyelitis, site unspecified	M86.10	Other acute osteomyelitis, unspecified site

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			M86.20	Subacute osteomyelitis, unspecified site
	730.1	Chronic osteomyelitis, site unspecified	M86.60	Other chronic osteomyelitis, unspecified site
	730.2	Unspecified osteomyelitis, site unspecified	M86.9	Osteomyelitis, unspecified
	731.8	Other bone involvement in diseases classified elsewhere	M90.80	Osteopathy in diseases classified elsewhere, unspecified site
	250.3	Diabetes with other coma, type II or unspecified type, not stated as uncontrolled	E11.641	Type 2 diabetes mellitus with hypoglycemia with coma
			E10.11	Type 1 diabetes mellitus with ketoacidosis with coma
			E10.641	Type 1 diabetes mellitus with hypoglycemia with coma
			E11.01	Type 2 diabetes mellitus with hyperosmolarity with coma
			E11.65	Type 2 diabetes mellitus with hyperglycemia
			E10.11	Type 1 diabetes mellitus with ketoacidosis with coma
			E10.65	Type 1 diabetes mellitus with hyperglycemia

Appendix 3. Figure describing coding algorithm for hypoglycemic events, published by Ginde et al.⁴²

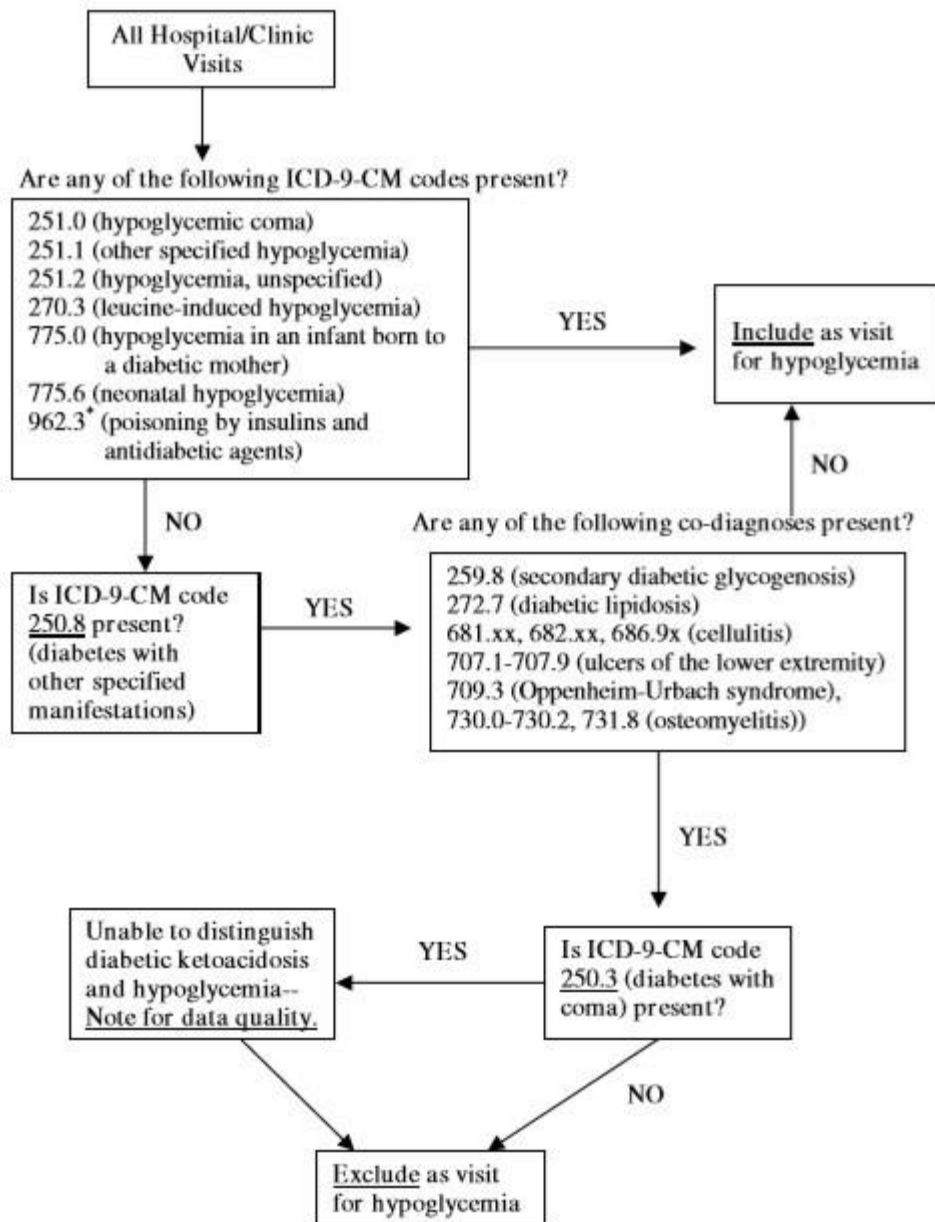


Figure 1
ICD-9-CM coding algorithm to identify emergency department visits for hypoglycemia. ICD-9-CM – International Classification of Diseases, Ninth Revision. * Consider exclusion of this code from algorithm, since positive predictive value was 54% in this analysis, and exclusion improved the accuracy of the algorithm.

Appendix 4. ICD-9 and ICD-10 codes to describe comorbidities, as described by the Updated Diabetes Severity Index and previously published Literature

Microvascular complications				
Retinopathy ³² , ³³	ICD-9-CM:		ICD-10-CM:	
	249.5x	Secondary diabetes with ophthalmic manifestations	Main Codes	
	250.5x	Diabetic ophthalmologic disease	E08	Diabetes Mellitus due to underlying conditions
	362.01	Background diabetic retinopathy	E09	Drug or chemical induced diabetes mellitus
	362.1x	Other background retinopathy and retinal vascular changes	E10	Type 1 diabetes mellitus
	362.0x, excluding 362.02	Diabetic retinopathy, excluding proliferative diabetic retinopathy	E11	Type 2 diabetes mellitus
	362.81-362.83	Retinal hemorrhage, retinal exudates and deposits, retinal edema	E13	Other specified diabetes mellitus
	361.x	Retinal detachment	Each main code above, with following relevant subcodes:	
	362.02	Proliferative retinopathy	E**.34x	Severe nonproliferative diabetic retinopathy
	369.x	Blindness and low vision	E**.35x	Proliferative diabetic retinopathy
			Regular codes:	

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		H33.x	Retinal detachments and breaks	
		H35.0x	Background retinopathy and retinal vascular changes	
		H35.35x	Cystoid macular degeneration	
		H35.6x	Retinal hemorrhage	
		H35.8x	Other specified retinal disorders	
		H35.9	Unspecified retinal disorder	
		H43.1x	Vitreous hemorrhage	
		H54.x	Blindness and low vision	
Nephropathy ³ 2,33	ICD-9		ICD-10	
	250.4x	Diabetes with renal manifestations	Main Codes	
	249.4x	Secondary diabetes with renal manifestations	E08	Diabetes mellitus due to underlying condition
	580.x	Acute glomerulonephritis	E09	Drug or chemical induced diabetes mellitus
	581.x	Nephrotic syndrome	E10	Type 1 diabetes mellitus
	581.81	Hypertension, nephrosis1	E11	Type 2 diabetes mellitus
	582.x	Chronic glomerulonephritis	E13	Other specified diabetes mellitus
	583.x	Nephritis and nephropathy not specified as acute or chronic	Each main code above, with following relevant subcodes:	

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	585.1	CKD, Stage 1	E**.21	With diabetic nephropathy
	585.2	CKD, Stage 2 (mild)	E**.22	With diabetic chronic kidney disease
	585.3	CKD, Stage 3 (moderate)	E**.29	With other diabetic kidney complication
	585.9	CKD, unspecified	Regular codes:	

	585.4	CKD Stage 4 (severe)	N00.x	Acute nephritic syndrome
	585.5	CKD Stage 5	N04.x	Nephrotic syndrome
	585.6	End stage renal disease	N03.x	Chronic nephritic syndrome
	586	Renal failure, unspecified	N05.x	Unspecified nephritic syndrome
	593.9	Unspecified disorder of kidney and ureter	N18.1	CKD, Stage 1
			N18.2	CKD, Stage 2 (mild)
			N18.3	CKD, Stage 3 (moderate)
			N18.9	CKD, unspecified
			N18.4	CKD, Stage 4 (severe)
			N18.5	CKD, Stage 5
			N18.6	End stage renal disease
			N19	Unspecified kidney failure
Neuropathy ^{32, 33}	ICD-9-CM		ICD-10-CM	
	249.6x	Secondary diabetes with neurological manifestations	Main Codes	
	250.6x,	Diabetes with neurological manifestations,	E08	Diabetes mellitus due to underlying condition
	357.2	Polyneuropathy in diabetes	E09	Drug or chemical induced diabetes mellitus

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337.0x	Idiopathic peripheral autonomic neuropathy	E10	Type 1 diabetes mellitus
337.1	Peripheral autonomic neuropathy in disorders classified elsewhere	E11	Type 2 diabetes mellitus
354.x	Mononeuritis of upper limb and mononeuritis multiplex	E13	Other specified diabetes mellitus
355.x	Mononeuritis of lower limb and unspecified site	Each main code above, with following relevant subcodes:	
356.9	Unspecified hereditary and idiopathic peripheral neuropathy	E**.4x	With neurological complications
358.1	Myasthenic syndromes in diseases classified elsewhere	Regular Codes:	
458.0	Orthostatic hypotension	G90.09	Other [than carotid sinus syncope] idiopathic peripheral autonomic neuropathy
536.3	Gastroparesis	G90.8	Other disorders of autonomic nervous system
564.5	Functional diarrhea	G90.9	Disorder of the autonomic nervous system, unspecified;
596.54	Neurogenic bladder NOS	G99.0	Autonomic neuropathy in diseases classified elsewhere
713.5	Arthropathy associated with neurological disorders	G56.x	Mononeuropathies of upper limb
458.0	Orthostatic hypotension	G57.x	Mononeuropathies of lower limb

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	536.3	Gastroparesis	G60.9	Hereditary and idiopathic neuropathy, unspecified
	564.5	Functional diarrhea	G73.3	Myasthenic syndromes in other diseases classified elsewhere
	713.5	Arthropathy associated with neurological disorders	G90.01	Carotid sinus syncope
	951.0	Injury to oculomotor nerve	H49.x	Paralytic strabismus
	951.1	Injury to trochlear nerve	I95.1	Orthostatic hypotension

	951.3	Injury to abducens nerve	K31.84	Gastroparesis
			K59.1	Functional diarrhea
			N31.9	Neuromuscular dysfunction of bladder, unspecified
			M14.6x	Charcôt's joint
			S04.x	Injury to cranial nerve

Macrovascular complications

Acute Coronary Syndrome ³⁴	ICD-9-CM		ICD-10-CM	
	411.0	Postmyocardial infarction syndrome		Acute coronary thrombosis not resulting in myocardial infarction
	411.0	Intermediate coronary syndrome	I24.0	
	411.1	Intermediate coronary syndrome	I24.8	Other forms of acute ischemic heart disease
			I24.9	Acute ischemic heart disease, unspecified

	411.8	Other acute and subacute forms of ischemic heart disease		
	411.81	Acute coronary occlusion without myocardial infarction		
	411.89	Other acute and subacute forms of ischemic heart disease, other		
Acute Myocardial Infarction ⁴⁰	ICD-9-CM		ICD-10-CM	
	410	Acute myocardial infarction of anterolateral wall, episode of care unspecified	I21	Acute myocardial infarction
	410.01	Acute myocardial infarction of anterolateral wall, initial episode of care	I21.0	Acute transmural myocardial infarction of anterior wall
	410.02	Acute myocardial infarction of anterolateral wall, subsequent episode of care	I21.1	Acute transmural myocardial infarction of inferior wall
	410.1	Acute myocardial infarction of other anterior wall, episode of care unspecified	I21.2	Acute transmural myocardial infarction of other sites
	410.11	Acute myocardial infarction of other anterior	I21.3	Acute transmural myocardial

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		wall, initial episode of care		infarction of unspecified site
	410.12	Acute myocardial infarction of other anterior wall, subsequent episode of care	I21.4	Acute subendocardial myocardial infarction
	410.2	Acute myocardial infarction of inferolateral wall, episode of care unspecified	I21.9	Acute myocardial infarction, unspecified
	410.21	Acute myocardial infarction of inferolateral wall, initial episode of care	I22	Subsequent myocardial infarction
	410.22	Acute myocardial infarction of inferolateral wall, subsequent episode of care	I22.0	Subsequent myocardial infarction of anterior wall
	410.3	Acute myocardial infarction of inferoposterior wall, episode of care unspecified	I22.1	Subsequent myocardial infarction of inferior wall

	410.31	Acute myocardial infarction of inferoposterior wall, initial episode of care	I22.8	Subsequent myocardial infarction of other sites
	410.32	Acute myocardial infarction of inferoposterior wall, subsequent episode of care	I22.9	Subsequent myocardial infarction of unspecified site

410.4	Acute myocardial infarction of other inferior wall, episode of care unspecified	I23	Certain current complications following acute myocardial infarction
410.41	Acute myocardial infarction of other inferior wall, initial episode of care	I23.0	Haemopericardium as current complication following acute myocardial infarction
410.42	Acute myocardial infarction of other inferior wall, subsequent episode of care	I23.1	Atrial septal defect as current complication following acute myocardial infarction
410.5	Acute myocardial infarction of other lateral wall, episode of care unspecified	I23.2	Ventricular septal defect as current complication following acute myocardial infarction
410.51	Acute myocardial infarction of other lateral wall, initial episode of care	I23.3	Rupture of cardiac wall without haemopericardium as current complication following acute myocardial
410.52	Acute myocardial infarction of other lateral wall, subsequent episode of care	I23.4	Rupture of chordae tendineae as current complication following acute myocardial infarction
410.6	True posterior wall infarction, episode of care unspecified	I23.5	Rupture of papillary muscle as current complication following acute myocardial infarction
410.61	True posterior wall infarction, initial episode of care	I23.6	Thrombosis of atrium, auricular appendage, and ventricle as current complications following acute my
410.62	True posterior wall infarction, subsequent episode of care	I23.8	Other current complications following acute myocardial infarction
410.7	Subendocardial infarction, episode of care unspecified		
410.71	Subendocardial infarction, initial episode of care		
410.72	Subendocardial infarction,		

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		subsequent episode of care
410.8		Acute myocardial infarction of other specified sites, episode of care unspecified
410.81		Acute myocardial infarction of other specified sites, initial episode of care
410.82		Acute myocardial infarction of other specified sites, subsequent episode of care
410.9		Acute myocardial infarction of unspecified site, episode of care unspecified
410.91		Acute myocardial infarction of unspecified site, initial episode of care

	410.92	Acute myocardial infarction of unspecified site, subsequent episode of care	
	411.89	Other acute and subacute forms of ischemic heart disease, other	
Angina ³⁸	ICD-9-CM		ICD-10-CM
	411.1	Intermediate coronary syndrome	I20.0 Unstable angina
	413.1	Prinzmetal angina	I20.1 Angina pectoris with

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				documented spasm
	413.9	Other and unspecified angina pectoris	I20.8	Other forms of angina pectoris
	786.5	Chest pain, unspecified	I20.9	Angina pectoris, unspecified
	786.51	Precordial pain	R07.1	Chest pain on breathing
	786.52	Painful respiration	R07.2	Precordial pain
	786.59	Other chest pain	R07.81	Pleurodynia
			R07.82	Intercostal pain
			R07.89	Other chest pain
			R07.9	Chest pain, unspecified
Arrhythmia ³⁸	ICD-9-CM		ICD-10-CM	
	427.41	Ventricular fibrillation	I49.01	Ventricular fibrillation
	427.42	Ventricular flutter	I49.02	Ventricular flutter
	427.60	Premature beats, unspecified	I49.1	Atrial premature depolarization
	427.61	Supraventricular premature beats	I49.2	Junctional premature depolarization
	427.69	Other premature beats	I49.3	Ventricular premature depolarization
	427.81	Sinoatrial node dysfunction	I49.40	Unspecified premature depolarization
	427.89	Other specified cardiac dysrhythmias	I49.49	Other premature depolarization

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	427.9	Cardiac dysrhythmia, unspecified	I49.5	Sick sinus syndrome
	785.0	Tachycardia, unspecified	I49.8	Other specified cardiac arrhythmias
	785.1	Palpitations	I49.9	Cardiac arrhythmia, unspecified
	785.3	Other abnormal heart sounds	R00.0	Tachycardia, unspecified
			R00.1	Bradycardia, unspecified
			R00.2	Palpitations
			R00.8	Other abnormalities of heart beat
			R00.9*	Unspecified abnormalities of heart beat
	ICD-9-CM		ICD-10-CM	

CABG Revascularization/ Carotid Revascularization/ Claudication/ Surgical Revascularization ^{36,37}	433.1	Carotid artery occlusion and stenosis without mention of cerebral infarction	I63.139	Carotid artery occlusion and stenosis without mention of cerebral infarction
	433.11	Carotid artery occlusion and stenosis with cerebral infarction	I63.239	Cerebral infarction due to unspecified occlusion or stenosis of unspecified carotid artery
	433.3	Multiple and bilateral carotid artery occlusion and stenosis without mention of cerebral infarction	I65.8	Occlusion and stenosis of other precerebral arteries

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433.31	Multiple and bilateral carotid artery occlusion and stenosis with cerebral infarction	I63.59	Cerebral infarction due to unspecified occlusion or stenosis of other cerebral artery
435.9	Transient cerebral ischemia	G45.9	Transient cerebral ischemic attack, unspecified
362.34	Amaurosis fugax	I67.848	Other cerebrovascular vasospasm and vasoconstriction
38.12	Carotid endarterectomy	H34.00	Transient retinal artery occlusion, unspecified eye
39.5	Angioplasty or atherectomy of noncoronary vessel	03CH0Z Z	Extirpation of Matter from Right Common Carotid Artery, Open Approach
39.7	Endovascular repair of vessel	03CH4Z Z	Extirpation of Matter from Right Common Carotid Artery, Percutaneous Endoscopic Approach
39.9	Insertion of noncoronary artery stent or stents	03CJ0ZZ	Extirpation of Matter from Left Common Carotid Artery, Open Approach
0.63	Percutaneous insertion of carotid artery stent	03CJ4ZZ	Extirpation of Matter from Left Common Carotid Artery, Percutaneous Endoscopic Approach
36.1	Bypass anastomosis for	03CK0Z Z	Extirpation of Matter from Right Internal



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		heart revascularization		Carotid Artery, Open Approach
36.10		Aortocoronary bypass for heart revascularization, not otherwise specified	03CK4Z Z	Extirpation of Matter from Right Internal Carotid Artery, Percutaneous Endoscopic Approach
36.11		(Aorto)coronary bypass of one coronary artery	03CL0Z Z	Extirpation of Matter from Left Internal Carotid Artery, Open Approach
36.12		(Aorto)coronary bypass of two coronary arteries	03CL4Z Z	Extirpation of Matter from Left Internal Carotid Artery, Percutaneous Endoscopic Approach
36.13		(Aorto)coronary bypass of three coronary arteries	03CM0Z Z	Extirpation of Matter from Right External Carotid Artery, Open Approach
36.14		(Aorto)coronary bypass of four or more coronary arteries	03CM4Z Z	Extirpation of Matter from Right External Carotid Artery, Percutaneous Endoscopic Approach
36.15		Single internal mammarycoronary artery bypass	03CN0Z Z	Extirpation of Matter from Left External Carotid Artery, Open Approach
36.16		Double internal mammarycoronary artery bypass	03CN4Z Z	Extirpation of Matter from Left External Carotid Artery, Percutaneous Endoscopic Approach

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	36.17	Abdominal - coronary artery bypass	03CP0Z Z	Extirpation of Matter from Right Vertebral Artery, Open Approach
	36.19	Other bypass anastomosis for heart revascularization	03CP4Z Z	Extirpation of Matter from Right Vertebral Artery, Percutaneous Endoscopic Approach

36.2	Heart revascularization by arterial implant	03CQ0Z Z	Extirpation of Matter from Left Vertebral Artery, Open Approach
34.20	flaccid hemiplegia	03CQ4Z Z	Extirpation of Matter from Left Vertebral Artery, Percutaneous Endoscopic Approach
42.70	paroxysmal supraventricular tachycardia	03CR0Z Z	Extirpation of Matter from Face Artery, Open Approach
42.73	atrial fibrillation and flutter	03CR3Z Z	Extirpation of Matter from Face Artery, Percutaneous Approach
42.74	ventricular fibrillation and flutter	03CR4Z Z	Extirpation of Matter from Face Artery, Percutaneous Endoscopic Approach
42.75	cardiac arrest	03CS0Z Z	Extirpation of Matter from Right Temporal Artery, Open Approach
42.78	other specified cardiac dysrhythmias	03CS3Z Z	Extirpation of Matter from Right Temporal Artery, Percutaneous Approach
42.79	unspecified cardiac dysrhythmia	03CS4Z Z	Extirpation of Matter from Right Temporal Artery, Percutaneous Endoscopic Approach
42.80	congestive heart failure unspecified	03CT0Z Z	Extirpation of Matter from Left Temporal Artery, Open Approach

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42.81	left heart failure	03CT0Z Z	Extirpation of Matter from Left Temporal Artery, Open Approach
42.82	systolic heart failure	03CT3Z Z	Extirpation of Matter from Left Temporal Artery, Percutaneous Approach
42.83	diastolic heart failure	03CT4Z Z	Extirpation of Matter from Left Temporal Artery, Percutaneous Endoscopic Approach
42.84	combined systolic and diastolic heart failure	03CU0Z Z	Extirpation of Matter from Right Thyroid Artery, Open Approach
42.89	unspecified heart failure	03CU3Z Z	Extirpation of Matter from Right Thyroid Artery, Percutaneous Approach
43.40	cerebral thrombosis	03CU4Z Z	Extirpation of Matter from Right Thyroid Artery, Percutaneous Endoscopic Approach
43.50	basilar artery syndrome	03CV0Z Z	Extirpation of Matter from Left Thyroid Artery, Open Approach
51.84	unspecified acute edema of lung	03CV3Z Z	Extirpation of Matter from Left Thyroid Artery, Percutaneous Approach
51.85	pulmonary insufficiency following trauma&surgery	03CV4Z Z	Extirpation of Matter from Left Thyroid Artery, Percutaneous Endoscopic Approach
55.70	acute vascular insufficiency of intestine	027x- 037x	Dilation of multiple arteries and veins using various devices
55.79	unspecified vascular insufficiency of intestine	<u>021008</u> <u>W</u>	Bypass Coronary Artery, One Artery from Aorta with Zooplasic Tissue, Open Approach
56.09	unspecified intestinal obstruction	<u>021009</u> <u>W</u>	Bypass Coronary Artery, One Artery from Aorta with Autologous Venous Tissue,

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				Open Approach
59.33	stricture or kinking of ureter	<u>02100A</u> <u>W</u>		Bypass Coronary Artery, One Artery from Aorta with Autologous Arterial Tissue, Open Approach
59.39	unspecified disorder of kidney and ureter	<u>02100JW</u>		Bypass Coronary Artery, One Artery from Aorta with Synthetic Substitute, Open Approach
7810	abnormal involuntary movements	021048 W		Bypass Coronary Artery, One Artery from Aorta with Zooplastic Tissue, Percutaneous Endoscopic Approach

9970	nervous system complications nec	021049 W		Bypass Coronary Artery, One Artery from Aorta with Autologous Venous Tissue, Percutaneous Endoscopic Approach
9971	cardiac complications nec	02104A W		Bypass Coronary Artery, One Artery from Aorta with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
9973	respiratory complications nec	02104JW		Bypass Coronary Artery, One Artery from Aorta with Synthetic Substitute, Percutaneous Endoscopic Approach
9974	digestive system complication nec	02104K W		Bypass Coronary Artery, One Artery from Aorta with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach

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9975	surg complication urinary tract	021108 W	Bypass Coronary Artery, Two Arteries from Aorta with Zooplastic Tissue, Open Approach
9985	postoperative infection not elsewhere classified	021109 W	Bypass Coronary Artery, Two Arteries from Aorta with Autologous Venous Tissue, Open Approach
59.33	stricture or kinking of ureter	02110A W	Bypass Coronary Artery, Two Arteries from Aorta with Autologous Arterial Tissue, Open Approach
59.39	unspecified disorder of kidney and ureter	02110JW	Bypass Coronary Artery, Two Arteries from Aorta with Synthetic Substitute, Open Approach
78.10	abnormal involuntary movements	02110K W	Bypass Coronary Artery, Two Arteries from Aorta with Nonautologous Tissue Substitute, Open Approach
99.70	nervous system complications nec	021148 W	Bypass Coronary Artery, Two Arteries from Aorta with Zooplastic Tissue, Percutaneous Endoscopic Approach
99.71	cardiac complications nec	021149 W	Bypass Coronary Artery, Two Arteries from Aorta with Autologous Venous Tissue, Percutaneous Endoscopic Approach
99.73	respiratory complications nec	02114A W	Bypass Coronary Artery, Two Arteries from Aorta with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
99.74	digestive system complication nec	02114JW	Bypass Coronary Artery, Two Arteries from Aorta with Synthetic Substitute, Percutaneous Endoscopic Approach

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99.75	surg complication urinary tract	02114K W	Bypass Coronary Artery, Two Arteries from Aorta with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
99.85	postoperative infection not elsewhere classified	021208 W	Bypass Coronary Artery, Three Arteries from Aorta with Zooplastic Tissue, Open Approach
342.00	flacid hemiplegia affecting unspecified side	021209 W	Bypass Coronary Artery, Three Arteries from Aorta with Autologous Venous Tissue, Open Approach
342.01	flacid hemiplegia affecting dominant side	02120A W	Bypass Coronary Artery, Three Arteries from Aorta with Autologous Arterial Tissue, Open Approach

342.02	flacid hemiplegia affecting nondominant side	02120JW	Bypass Coronary Artery, Three Arteries from Aorta with Synthetic Substitute, Open Approach
342.10	spastic hemiplegia affecting unspecified side	02120K W	Bypass Coronary Artery, Three Arteries from Aorta with Nonautologous Tissue Substitute, Open Approach
342.11	spastic hemiplegia affecting dominant side	02120K W	Bypass Coronary Artery, Three Arteries from Aorta with Nonautologous Tissue Substitute, Open Approach
342.12	spastic hemiplegia affecting nondominant side	002120K W	Bypass Coronary Artery, Three Arteries from Aorta with Nonautologous Tissue Substitute, Open Approach
342.80	other spec hemiplegia affecting unspec side	02124A W	Bypass Coronary Artery, Three Arteries from Aorta with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
342.81	other spec hemiplegia affecting dominant side	02124JW	Bypass Coronary Artery, Three Arteries from Aorta with Synthetic Substitute, Percutaneous Endoscopic Approach
342.82	other spec hemiplegia affecting nondominant side	02124K W	Bypass Coronary Artery, Three Arteries from Aorta with Nonautologous Tissue

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			Substitute, Percutaneous Endoscopic Approach
342.90	unspec hemiplegia affecting unspec side	021308 W	Bypass Coronary Artery, Four or More Arteries from Aorta with Zooplastic Tissue, Open Approach
342.91	unspecified hemiplegia affecting dominant side	021309 W	Bypass Coronary Artery, Four or More Arteries from Aorta with Autologous Venous Tissue, Open Approach
342.92	unspec hemiplegia affecting nondominant side	02130A W	Bypass Coronary Artery, Four or More Arteries from Aorta with Autologous Arterial Tissue, Open Approach
362.34	transient arterial occlusion of retina	02130JW	Bypass Coronary Artery, Four or More Arteries from Aorta with Synthetic Substitute, Open Approach
368.12	transient visual loss	02130K W	Bypass Coronary Artery, Four or More Arteries from Aorta with Nonautologous Tissue Substitute, Open Approach
427.31	atrial fibrillation	021348 W	Bypass Coronary Artery, Four or More Arteries from Aorta with Zooplastic Tissue, Percutaneous Endoscopic Approach
427.32	atrial flutter	021349 W	Bypass Coronary Artery, Four or More Arteries from Aorta with Autologous Venous Tissue, Percutaneous Endoscopic Approach



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427.41	ventricular fibrillation	02134A W	Bypass Coronary Artery, Four or More Arteries from Aorta with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
427.42	ventricular flutter	02134JW	Bypass Coronary Artery, Four or More Arteries from Aorta with Synthetic Substitute, Percutaneous Endoscopic Approach
427.81	sinoatrial node dysfunction	02134K W	Bypass Coronary Artery, Four or More Arteries from Aorta with Nonautologous

			Tissue Substitute, Percutaneous Endoscopic Approach
427.89	other specified cardiac dysrhythmias	0210088	Bypass Coronary Artery, One Artery from Right Internal Mammary with Zooplastic Tissue, Open Approach
428.20	unspecified systolic heart failure	0210089	Bypass Coronary Artery, One Artery from Left Internal Mammary with Zooplastic Tissue, Open Approach
428.21	acute systolic heart failure	021008C	Bypass Coronary Artery, One Artery from Thoracic Artery with Zooplastic Tissue, Open Approach
428.22	chronic systolic heart failure	0210098	Bypass Coronary Artery, One Artery from Right Internal Mammary with Autologous Venous Tissue, Open Approach
428.23	acute on chronic systolic heart failure	0210099	Bypass Coronary Artery, One Artery from Left Internal Mammary with Autologous

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			Venous Tissue, Open Approach
428.30	unspecified diastolic heart failure	021009C	Bypass Coronary Artery, One Artery from Thoracic Artery with Autologous Venous Tissue, Open Approach
428.31	acute diastolic heart failure	02100A8	Bypass Coronary Artery, One Artery from Right Internal Mammary with Autologous Arterial Tissue, Open Approach
428.32	chronic diastolic heart failure	02100A9	Bypass Coronary Artery, One Artery from Left Internal Mammary with Autologous Arterial Tissue, Open Approach
428.33	acute on chronic diastolic heart failure	02100A C	Bypass Coronary Artery, One Artery from Thoracic Artery with Autologous Arterial Tissue, Open Approach
428.40	unspec combined systolic&diastolic heart failure	02100J8	Bypass Coronary Artery, One Artery from Right Internal Mammary with Synthetic Substitute, Open Approach
428.41	acute combined systolic&diastolic heart failure	02100J9	Bypass Coronary Artery, One Artery from Left Internal Mammary with Synthetic Substitute, Open Approach
428.42	chronic comb systolic&diastolic heart failure	02100JC	Bypass Coronary Artery, One Artery from Thoracic Artery with Synthetic Substitute, Open Approach
428.43	acute chronic comb systolic&diastolic heart fail	02100K8	Bypass Coronary Artery, One Artery from Right Internal Mammary with

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				Nonautologous Tissue Substitute, Open Approach
433.00	occlusion&stenos basilar art w/o mention infarct	02100K9		Bypass Coronary Artery, One Artery from Left Internal Mammary with Nonautologous Tissue Substitute, Open Approach
433.01	occlusion&stenosis basilar artery w/infarct	02100K C		Bypass Coronary Artery, One Artery from Thoracic Artery with Nonautologous Tissue Substitute, Open Approach
433.10	occlusion&stenos carotid art w/o mention infarct	02100Z8		Bypass Coronary Artery, One Artery from Right Internal Mammary, Open Approach
433.11	occlusion&stenosis carotid artery w/infarct	02100Z9		Bypass Coronary Artery, One Artery from Left Internal Mammary, Open Approach

433.20	occlusion&stenos vert art w/o mention infarct	02100ZC		Bypass Coronary Artery, One Artery from Thoracic Artery, Open Approach
433.21	occlusion&stenosis vertebral artery w/infarct	210488		Bypass Coronary Artery, One Artery from Right Internal Mammary with Zooplastic Tissue, Percutaneous Endoscopic Approach
433.30	occl&stenos mx&bilat precerbrl art w/o infarct	210489		Bypass Coronary Artery, One Artery from Left Internal Mammary with Zooplastic Tissue, Percutaneous Endoscopic Approach
433.31	occl&stenos mx&bilat precerbrl art w/infarct	021048C		Bypass Coronary Artery, One Artery from Thoracic Artery with Zooplastic Tissue,



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			Percutaneous Endoscopic Approach
433.80	occl&stenos oth spec precerbrl art w/o infarct	210498	Bypass Coronary Artery, One Artery from Right Internal Mammary with Autologous Venous Tissue, Percutaneous Endoscopic Approach
433.81	occl&stenos oth spec precerbrl art w/infarct	210499	Bypass Coronary Artery, One Artery from Left Internal Mammary with Autologous Venous Tissue, Percutaneous Endoscopic Approach
433.90	occl&stenos uns precerbrl art w/o infarct	021049C	Bypass Coronary Artery, One Artery from Thoracic Artery with Autologous Venous Tissue, Percutaneous Endoscopic Approach
433.91	occlusion&stenos unspec precerbrl art w/infarct	02104A8	Bypass Coronary Artery, One Artery from Right Internal Mammary with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
434.00	cerebral thrombosis without mention infarct	02104A9	Bypass Coronary Artery, One Artery from Left Internal Mammary with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
434.01	cerebral thrombosis with cerebral infarction	02104A C	Bypass Coronary Artery, One Artery from Thoracic Artery with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
434.10	cerebral embolism without mention infarct	02104J8	Bypass Coronary Artery, One Artery from

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				Right Internal Mammary with Synthetic Substitute, Percutaneous Endoscopic Approach
434.11	cerebral embolism with cerebral infarction	02104J9		Bypass Coronary Artery, One Artery from Left Internal Mammary with Synthetic Substitute, Percutaneous Endoscopic Approach
434.90	unspec cerbrl art occlusion w/o mention infarct	02104JC		Bypass Coronary Artery, One Artery from Thoracic Artery with Synthetic Substitute, Percutaneous Endoscopic Approach
434.91	unspecified cerebral artery occlusion w/infarct	02104K8		Bypass Coronary Artery, One Artery from Right Internal Mammary with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
438.10	unspec spch&lange deficit due cerebrvasc disease	02104K9		Bypass Coronary Artery, One Artery from Left Internal Mammary with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
438.11	aphasia due to cerebrovascular disease	02104K C		Bypass Coronary Artery, One Artery from Thoracic Artery with Nonautologous Tissue

				Substitute, Percutaneous Endoscopic Approach
438.12	dysphasia due to cerebrovascular disease	02104Z8		Bypass Coronary Artery, One Artery from Right Internal Mammary, Percutaneous

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			Endoscopic Approach
438.13	dysarthria	02104Z9	Bypass Coronary Artery, One Artery from Left Internal Mammary, Percutaneous Endoscopic Approach
438.14	fluency disorder	02104ZC	Bypass Coronary Artery, One Artery from Thoracic Artery, Percutaneous Endoscopic Approach
438.19	oth spch&lange deficits due cerebrvasc disease	211088	Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Zooplastic Tissue, Open Approach
438.20	hemipl affect unspec side due cerebrvasc disease	211089	Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Zooplastic Tissue, Open Approach
438.21	hemipl affct dominant side due cerebrvasc dz	021108C	Bypass Coronary Artery, Two Arteries from Thoracic Artery with Zooplastic Tissue, Open Approach
438.22	hemipl affct nondominant side due cerebrvasc dz	211098	Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Autologous Venous Tissue, Open Approach
438.30	monopleg upper limb uns side due cerebrvasc dz	211099	Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Autologous Venous Tissue, Open Approach
438.31	monopleg upper limb dom side due cerebrvasc dz	021109C	Bypass Coronary Artery, Two Arteries from Thoracic Artery with Autologous Venous Tissue, Open Approach
438.32	monopleg up limb nondom side due cerebrvasc dz	02110A8	Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Autologous Arterial Tissue, Open Approach

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438.40	monopleg low limb unspec side due cerebrvasc dz	02110A9	Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Autologous Arterial Tissue, Open Approach
438.41	monopleg low limb dom side due cerebrvasc dz	02110A C	Bypass Coronary Artery, Two Arteries from Thoracic Artery with Autologous Arterial Tissue, Open Approach
438.42	monopleg low limb nondom side due cerebrvasc dz	02110J8	Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Synthetic Substitute, Open Approach
438.50	oth paralyt synd affct uns sidecerebrvasc dz	02110J9	Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Synthetic Substitute, Open Approach
438.51	oth paralyt synd affct dom sidecerebrvasc dz	02110JC	Bypass Coronary Artery, Two Arteries from Thoracic Artery with Synthetic Substitute, Open Approach
438.52	oth paralyt synd affct nondom side- cerebrvasc dz	02110K8	Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Nonautologous Tissue Substitute, Open Approach
438.53	other paralytic syndrome, bilateral	02110K9	Bypass Coronary Artery, Two Arteries from Left Internal Mammary with

			Nonautologous Tissue Substitute, Open Approach
438.81	apraxia due to cerebrovascular disease	02110K C	Bypass Coronary Artery, Two Arteries from Thoracic Artery with Nonautologous Tissue Substitute, Open Approach

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438.82	dysphagia due to cerebrovascular disease	02110Z8	Bypass Coronary Artery, Two Arteries from Right Internal Mammary, Open Approach
438.83	facial weakness late effect cerebrovascular dz	02110Z9	Bypass Coronary Artery, Two Arteries from Left Internal Mammary, Open Approach
438.84	ataxia as late effect of cerebrovascular disease	02110ZC	Bypass Coronary Artery, Two Arteries from Thoracic Artery, Open Approach
438.85	vertigo as late effect cerebrovascular disease	211488	Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Zooplastic Tissue, Percutaneous Endoscopic Approach
438.89	other late effects of cerebrovascular disease	211489	Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Zooplastic Tissue, Percutaneous Endoscopic Approach
997.00	unspecified nervous system complication nec	021148C	Bypass Coronary Artery, Two Arteries from Thoracic Artery with Zooplastic Tissue, Percutaneous Endoscopic Approach
997.01	central nervous system complication nec	211498	Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Autologous Venous Tissue, Percutaneous Endoscopic Approach
997.02	iatrogenic cerebrovascular infarct/hemorrhage ne	211499	Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Autologous Venous Tissue, Percutaneous Endoscopic Approach
997.09	other nervous system complications nec	021149C	Bypass Coronary Artery, Two Arteries from Thoracic Artery with Autologous



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				Venous Tissue, Percutaneous Endoscopic Approach
443.9	Peripheral vascular disease, unspecified - intermittent claudication	02114A8		Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
39.25	Aorto-iliac femoral bypass	02114A9		Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
39.29	Peripheral bypass	02114A C		Bypass Coronary Artery, Two Arteries from Thoracic Artery with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
38.08	Incision of lower limb arteries	02114J8		Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Synthetic Substitute, Percutaneous Endoscopic Approach
38.16	Endarterectomy of abdominal arteries	02114J9		Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Synthetic

				Substitute, Percutaneous Endoscopic Approach
38.18	Endarterectomy of lower limb arteries	02114JC		Bypass Coronary Artery, Two Arteries from Thoracic Artery with Synthetic Substitute, Percutaneous Endoscopic Approach

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	02114K8	Bypass Coronary Artery, Two Arteries from Right Internal Mammary with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
	02114K9	Bypass Coronary Artery, Two Arteries from Left Internal Mammary with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
	02114K C	Bypass Coronary Artery, Two Arteries from Thoracic Artery with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
	I70.51	Atherosclerosis of nonautologous biological bypass graft(s) of the extremities intermittent claudication
	PCS 0410x- 041Jx	Bypass Abdominal Aorta - Bypass Left External Iliac Artery
	PCS 0312x061V4x	Bypass Innominate Artery – Bypass Bypass Left Foot Vein
	04Bx- 04W4YZ	Excision of Right Femoral Artery - Revision of Other Device in Lower Artery
	PCS 045Kx- 045Yx	Destruction of Right Femoral Artery - Destruction of Lower Artery
	04LK0C Z - 04LW4Z Z	Occlusion of Right Femoral Artery - Occlusion of Left Foot Artery

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	I70.511	Atherosclerosis of nonautologous biological bypass graft(s) of the extremities with intermittent claudication, right leg
	I70.512	Atherosclerosis of nonautologous biological bypass graft(s) of the extremities with intermittent claudication, left leg
	I70.518	Atherosclerosis of nonautologous biological bypass graft(s) of the extremities with intermittent claudication, other extremity
	I70.519	Atherosclerosis of nonautologous biological bypass graft(s) of the extremities with intermittent claudication, other extremity
	I70.61A	Atherosclerosis of nonautologous biological bypass graft(s) of the extremities with intermittent claudication, unspecified extremity

	I70.71	therosclerosis of nonbiological bypass graft(s) of the extremities with intermittent claudication
	I70.41	Atherosclerosis of other type of bypass graft(s) of the extremities with intermittent claudication
	I70.31	Atherosclerosis of autologous vein bypass graft(s) of the extremities with intermittent claudication
	I70.21	Atherosclerosis of unspecified type of bypass graft(s) of the extremities with intermittent claudication

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I87.8	Atherosclerosis of native arteries of extremities with intermittent claudication
I73.9	Claudicatio venosa intermittens
02114Z8	Claudication (intermittent)
02114Z9	Bypass Coronary Artery, Two Arteries from Right Internal Mammary, Percutaneous Endoscopic Approach
02114ZC	Bypass Coronary Artery, Two Arteries from Left Internal Mammary, Percutaneous Endoscopic Approach
021208C	Bypass Coronary Artery, Two Arteries from Thoracic Artery, Percutaneous Endoscopic Approach
021209C	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Zooplastic Tissue, Open Approach
02120A C	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Autologous Venous Tissue, Open Approach
02120JC	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Autologous Arterial Tissue, Open Approach
02120K C	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Synthetic Substitute, Open Approach
02120ZC	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Nonautologous Tissue Substitute, Open Approach
021248C	Bypass Coronary Artery, Three Arteries from Thoracic Artery, Open Approach
021249C	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Zooplastic Tissue,

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			Percutaneous Endoscopic Approach
		02124A C	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Autologous Venous Tissue, Percutaneous Endoscopic Approach
		02124JC	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Autologous Arterial Tissue, Percutaneous Endoscopic Approach

		02124K C	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Synthetic Substitute, Percutaneous Endoscopic Approach
		02124ZC	Bypass Coronary Artery, Three Arteries from Thoracic Artery with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
		021308C	Bypass Coronary Artery, Three Arteries from Thoracic Artery, Percutaneous Endoscopic Approach
		021309C	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Zooplastic Tissue, Open Approach
		02130A C	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Autologous Venous Tissue, Open Approach
		02130JC	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Autologous Arterial Tissue, Open Approach

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02130K C	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Synthetic Substitute, Open Approach
02130ZC	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Nonautologous Tissue Substitute, Open Approach
021348C	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery, Open Approach
021349C	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Zooplastic Tissue, Percutaneous Endoscopic Approach
02134A C	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Autologous Venous Tissue, Percutaneous Endoscopic Approach
02134JC	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
02134K C	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Synthetic Substitute, Percutaneous Endoscopic Approach

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	02134ZC	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
	021008F	Bypass Coronary Artery, Four or More Arteries from Thoracic Artery, Percutaneous Endoscopic Approach

	021009F	Bypass Coronary Artery, One Artery from Abdominal Artery with Zooplastic Tissue, Open Approach
	02100AF	Bypass Coronary Artery, One Artery from Abdominal Artery with Autologous Venous Tissue, Open Approach
	02100JF	Bypass Coronary Artery, One Artery from Abdominal Artery with Autologous Arterial Tissue, Open Approach
	02100KF	Bypass Coronary Artery, One Artery from Abdominal Artery with Synthetic Substitute, Open Approach
	02100ZF	Bypass Coronary Artery, One Artery from Abdominal Artery with Nonautologous Tissue Substitute, Open Approach
	021048F	Bypass Coronary Artery, One Artery from Abdominal Artery, Open Approach
	021049F	Bypass Coronary Artery, One Artery from Abdominal Artery with Zooplastic Tissue,

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02104AF	Bypass Coronary Artery, One Artery from Abdominal Artery with Autologous Venous Tissue, Percutaneous Endoscopic Approach
02104JF	Bypass Coronary Artery, One Artery from Abdominal Artery with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
02104KF	Bypass Coronary Artery, One Artery from Abdominal Artery with Synthetic Substitute, Percutaneous Endoscopic Approach
02104ZF	Bypass Coronary Artery, One Artery from Abdominal Artery with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0210083	Bypass Coronary Artery, One Artery from Abdominal Artery, Percutaneous Endoscopic Approach
0210093	Bypass Coronary Artery, One Artery from Coronary Artery with Zooplastic Tissue, Open Approach
02100A3	Bypass Coronary Artery, One Artery from Coronary Artery with Autologous Venous Tissue, Open Approach
02100J3	Bypass Coronary Artery, One Artery from Coronary Artery with Autologous Arterial

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			Tissue, Open Approach
		02100K3	Bypass Coronary Artery, One Artery from Coronary Artery with Synthetic Substitute, Open Approach
		02100Z3	Bypass Coronary Artery, One Artery from Coronary Artery with Nonautologous Tissue Substitute, Open Approach
		0210483	Bypass Coronary Artery, One Artery from Coronary Artery, Open Approach

		0210493	Bypass Coronary Artery, One Artery from Coronary Artery with Zooplastic Tissue, Percutaneous Endoscopic Approach
		02104A3	Bypass Coronary Artery, One Artery from Coronary Artery with Autologous Venous Tissue, Percutaneous Endoscopic Approach
		02104J3	Bypass Coronary Artery, One Artery from Coronary Artery with Autologous Arterial Tissue, Percutaneous Endoscopic Approach
		02104K3	Bypass Coronary Artery, One Artery from Coronary Artery with Synthetic Substitute, Percutaneous Endoscopic Approach
		02104Z3	Bypass Coronary Artery, One Artery from Coronary Artery with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach

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021K0Z8	Bypass Coronary Artery, One Artery from Coronary Artery, Percutaneous Endoscopic Approach
021K0Z9	Bypass Right Ventricle to Right Internal Mammary, Open Approach
021K0Z C	Bypass Right Ventricle to Left Internal Mammary, Open Approach
021K0Z W	Bypass Right Ventricle to Thoracic Artery, Open Approach
021K4Z8	Bypass Right Ventricle to Aorta, Open Approach
021K4Z9	Bypass Right Ventricle to Right Internal Mammary, Percutaneous Endoscopic Approach
021K4Z C	Bypass Right Ventricle to Left Internal Mammary, Percutaneous Endoscopic Approach
021K4Z W	Bypass Right Ventricle to Thoracic Artery, Percutaneous Endoscopic Approach
021L0Z8	Bypass Right Ventricle to Aorta, Percutaneous Endoscopic Approach
021L0Z9	Bypass Left Ventricle to Right Internal Mammary, Open Approach
021L0Z C	Bypass Left Ventricle to Left Internal Mammary, Open Approach
021L4Z8	Bypass Left Ventricle to Thoracic Artery, Open Approach
021L4Z9	Bypass Left Ventricle to Right Internal Mammary, Percutaneous Endoscopic Approach

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		021L4Z C	Bypass Left Ventricle to Left Internal Mammary, Percutaneous Endoscopic Approach
		G8190	Bypass Left Ventricle to Thoracic Artery, Percutaneous Endoscopic Approach
		G8191	hemiplegia, unspecified affecting unspecified side

		G8192	hemiplegia, unspecified affecting right dominant side
		G8193	hemiplegia, unspecified affecting left dominant side
		G8194	hemiplegia, unspecified affecting right nondominant side
		G9781	hemiplegia, unspecified affecting left nondominant side
		G9782	other intraoperative complications of nervous system
		I509	other postprocedural complications and disorders of nervous system
		I6359	heart failure, unspecified
		I658	cerebral infarction due to unspecified occlusion or stenosis of other cerebral artery
		I6609	occlusion and stenosis of other precerebral arteries
		I6619	occlusion and stenosis of unspecified middle cerebral artery
		I6629	occlusion and stenosis of unspecified anterior cerebral artery
		I669	occlusion and stenosis of unspecified posterior cerebral artery
		I69928	occlusion and stenosis of unspecified cerebral artery
		I69998	other speech and language deficits following unspecified cerebrovascular disease

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	J9589	other sequelae following unspecified cerebrovascular disease
	K550	other postprocedural complications and disorders of respiratory system, not elsewhere classified
	R001	acute vascular disorders of intestine
	G8100	vertebro-basilar artery syndrome
	G8101	flaccid hemiplegia affecting unspecified side
	G8102	flaccid hemiplegia affecting right dominant side
	G8103	flaccid hemiplegia affecting left dominant side
	G8104	flaccid hemiplegia affecting right nondominant side
	G8110	flaccid hemiplegia affecting left nondominant side
	G8111	spastic hemiplegia affecting unspecified side
	G8112	spastic hemiplegia affecting right dominant side
	G8113	spastic hemiplegia affecting left dominant side

	G8114	spastic hemiplegia affecting right nondominant side
	G970	spastic hemiplegia affecting left nondominant side
	H3400	cerebrospinal fluid leak from spinal puncture
	H53129	transient retinal artery occlusion, unspecified eye
	I469	transient visual loss, unspecified eye
	I471	cardiac arrest, cause unspecified
	I4891	supraventricular tachycardia
	I4892	unspecified atrial fibrillation
	I4901	unspecified atrial flutter

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I4902	ventricular fibrillation
I495	ventricular flutter
I498	sick sinus syndrome
I499	other specified cardiac arrhythmias
I501	cardiac arrhythmia, unspecified
I5020	left ventricular failure
I5021	unspecified systolic (congestive) heart failure
I5022	acute systolic (congestive) heart failure
I5023	chronic systolic (congestive) heart failure
I5030	acute on chronic systolic (congestive) heart failure
I5031	unspecified diastolic (congestive) heart failure
I5032	acute diastolic (congestive) heart failure
I5033	chronic diastolic (congestive) heart failure
I5040	acute on chronic diastolic (congestive) heart failure
I5041	unspecified combined systolic (congestive) and diastolic (congestive) heart failure
I5042	acute combined systolic (congestive) and diastolic (congestive) heart failure
I5043	chronic combined systolic (congestive) and diastolic (congestive) heart failure
I63019	acute on chronic combined systolic (congestive) and diastolic (congestive) heart failure
I63119	cerebral infarction due to thrombosis of unspecified vertebral artery

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		I63139	cerebral infarction due to embolism of unspecified vertebral artery
		I6320	cerebral infarction due to embolism of unspecified carotid artery

		I63219	cerebral infarction due to unspecified occlusion or stenosis of unspecified precerebral arteries
		I6322	cerebral infarction due to unspecified occlusion or stenosis of unspecified vertebral arteries
		I63239	cerebral infarction due to unspecified occlusion or stenosis of basilar arteries
		I6330	cerebral infarction due to unspecified occlusion or stenosis of unspecified carotid arteries
		I6340	cerebral infarction due to thrombosis of unspecified cerebral artery
		I6350	cerebral infarction due to embolism of unspecified cerebral artery
		I6509	cerebral infarction due to unspecified occlusion or stenosis of unspecified cerebral artery
		I651	occlusion and stenosis of unspecified vertebral artery
		I6529	occlusion and stenosis of basilar artery
		I659	occlusion and stenosis of unspecified carotid artery
		I69898	occlusion and stenosis of unspecified precerebral artery
		I69920	other sequelae of other cerebrovascular disease
		I69921	aphasia following unspecified cerebrovascular disease
		I69922	dysphasia following unspecified cerebrovascular disease

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		I69923	dysarthria following unspecified cerebrovascular disease
		I69931	fluency disorder following unspecified cerebrovascular disease
		I69932	monoplegia of upper limb following unspecified cerebrovascular disease affecting right dominant side
		I69933	monoplegia of upper limb following unspecified cerebrovascular disease affecting left dominant side
		I69934	monoplegia of upper limb following unspecified cerebrovascular disease affecting right non-dominant side
		I69939	monoplegia of upper limb following unspecified cerebrovascular disease affecting left non-dominant side
		I69941	monoplegia of upper limb following unspecified cerebrovascular disease affecting unspecified side
		I69942	monoplegia of lower limb following unspecified cerebrovascular disease affecting right dominant side

		I69943	monoplegia of lower limb following unspecified cerebrovascular disease affecting left dominant side
		I69944	monoplegia of lower limb following unspecified cerebrovascular disease affecting right non-dominant side
		I69949	monoplegia of lower limb following unspecified cerebrovascular disease affecting left non-dominant side

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I69951	monoplegia of lower limb following unspecified cerebrovascular disease affecting unspecified side
I69952	hemiplegia and hemiparesis following unspecified cerebrovascular disease affecting right dominant side
I69953	hemiplegia and hemiparesis following unspecified cerebrovascular disease affecting left dominant side
I69954	hemiplegia and hemiparesis following unspecified cerebrovascular disease affecting right non-dominant side
I69959	hemiplegia and hemiparesis following unspecified cerebrovascular disease affecting left non-dominant side
I69961	hemiplegia and hemiparesis following unspecified cerebrovascular disease affecting unspecified side
I69962	other paralytic syndrome following unspecified cerebrovascular disease affecting right dominant side
I69963	other paralytic syndrome following unspecified cerebrovascular disease affecting left dominant side
I69964	other paralytic syndrome following unspecified cerebrovascular disease affecting right non-dominant side
I69965	other paralytic syndrome following unspecified cerebrovascular disease affecting left non-dominant side

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	I69969	other paralytic syndrome following unspecified cerebrovascular disease, bilateral
	I69990	other paralytic syndrome following unspecified cerebrovascular disease affecting unspecified side
	I69991	apraxia following unspecified cerebrovascular disease
	I69992	dysphagia following unspecified cerebrovascular disease
	I69993	facial weakness following unspecified cerebrovascular disease
	I97710	ataxia following unspecified cerebrovascular disease

	I97790	intraoperative cardiac arrest during cardiac surgery
	I97811	other intraoperative cardiac functional disturbances during cardiac surgery
	I97821	intraoperative cerebrovascular infarction during other surgery
	I9788	postprocedural cerebrovascular infarction following other surgery
	I9789	other intraoperative complications of the circulatory system, not elsewhere classified
	J810	other postprocedural complications and disorders of the circulatory system, not elsewhere classified
	J951	acute pulmonary edema
	J952	acute pulmonary insufficiency following thoracic surgery
	J953	acute pulmonary insufficiency following nonthoracic surgery
	J95821	chronic pulmonary insufficiency following surgery

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	J95822	acute postprocedural respiratory failure
	J95851	acute and chronic postprocedural respiratory failure
	J95859	ventilator associated pneumonia
	J9588	other complication of respirator [ventilator]
	J9600	other intraoperative complications of respiratory system, not elsewhere classified
	J9620	acute respiratory failure, unspecified whether with hypoxia or hypercapnia
	K559	acute and chronic respiratory failure, unspecified whether with hypoxia or hypercapnia
	K5660	vascular disorder of intestine, unspecified
	K6811	unspecified intestinal obstruction
	K913	postprocedural retroperitoneal abscess
	K9181	postprocedural intestinal obstruction
	K9182	other intraoperative complications of digestive system
	K9183	postprocedural hepatic failure
	K9186	postprocedural hepatorenal syndrome
	K9189	retained cholelithiasis following cholecystectomy
	N135	other postprocedural complications and disorders of digestive system
	N289	crossing vessel and stricture of ureter without hydronephrosis
	N9989	disorder of kidney and ureter, unspecified

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		R250	other postprocedural complications and disorders of genitourinary system
		R253	cramp and spasm
		R259	fasciculation
		R29890	other symptoms and signs involving the nervous system
		T81.710 A	complication of mesenteric artery following a procedure, not elsewhere classified, initial encounter
		T81.711 A	complication of renal artery following a procedure, not elsewhere classified, initial encounter
		T81.718 A	complication of other artery following a procedure, not elsewhere classified, initial encounter
		T8172X A	complication of vein following a procedure, not elsewhere classified, initial encounter
		T81.710 A	complication of mesenteric artery following a procedure, not elsewhere classified, initial encounter
		T81.711 A	complication of renal artery following a procedure, not elsewhere classified, initial encounter
		T81.718 A	complication of other artery following a procedure, not elsewhere classified, initial encounter
		T81.72X A	complication of vein following a procedure, not elsewhere classified, initial encounter
		34200	flacid hemiplegia affecting unspecified side
		34201	flacid hemiplegia affecting dominant side
		34200	flacid hemiplegia affecting unspecified side

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	34201	flacid hemiplegia affecting dominant side
	34202	flacid hemiplegia affecting nondominant side
	34210	spastic hemiplegia affecting unspecified side
	34211	spastic hemiplegia affecting dominant side
	34212	spastic hemiplegia affecting nondominant side
	34280	other spec hemiplegia affecting unspec side
	34281	other spec hemiplegia affecting dominant side
	34282	other spec hemiplegia affecting nondominant side
	34290	unspec hemiplegia affecting unspec side
	34291	unspecified hemiplegia affecting dominant side
	34292	unspec hemiplegia affecting nondominant side

	36234	transient arterial occlusion of retina
	36812	transient visual loss
	42731	atrial fibrillation
	42732	atrial flutter
	42741	ventricular fibrillation
	42742	ventricular flutter
	42781	sinoatrial node dysfunction
	42789	other specified cardiac dysrhythmias
	42820	unspecified systolic heart failure
	42821	acute systolic heart failure
	42822	chronic systolic heart failure
	42823	acute on chronic systolic heart failure

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		42830	unspecified diastolic heart failure
		42831	acute diastolic heart failure
		42832	chronic diastolic heart failure
		42833	acute on chronic diastolic heart failure
		42840	unspec combined systolic&diastolic heart failure
		42841	acute combined systolic&diastolic heart failure
		42842	chronic comb systolic&diastolic heart failure
		42843	acute chronic comb systolic&diastolic heart fail
		43300	occlusion&stenos basilar art w/o mention infarct
		43301	occlusion&stenosis basilar artery w/infarct
		43310	occlusion&stenos carotid art w/o mention infarct
		43311	occlusion&stenosis carotid artery w/infarct
		43320	occlusion&stenos vert art w/o mention infarct
		43321	occlusion&stenosis vertebral artery w/infarct
		43330	occl&stenos mx&bilat precerbrl art w/o infarct
		43331	occl&stenos mx&bilat precerbrl art w/infarct
		43380	occl&stenos oth spec precerbrl art w/o infarct
		43381	occl&stenos oth spec precerbrl art w/infarct
		43390	occl&stenos uns precerbrl art w/o infarct
		43391	occlusion&stenos unspec precerbrl art w/infarct
		43400	cerebral thrombosis without mention infarct

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43401	cerebral thrombosis with cerebral infarction
43410	cerebral embolism without mention infarct
43411	cerebral embolism with cerebral infarction
43490	unspec cerbrl art occlusion w/o mention infarct
43491	unspecified cerebral artery occlusion w/infarct
43810	unspec spch&lange deficit due cerebrvasc disease
43811	aphasia due to cerebrovascular disease
43812	dysphasia due to cerebrovascular disease
43813	dysarthria
43814	fluency disorder
43819	oth spch&lange deficits due cerebrvasc disease
43820	hemipl affect unspec side due cerebrvasc disease
43821	hemipl affct dominant side due cerebrvasc dz
43822	hemipl affct nondominant side due cerebrvasc dz
43830	monopleg upper limb uns side due cerebrvasc dz
43831	monopleg upper limb dom side due cerebrvasc dz
43832	monopleg up limb nondom side due cerebrvasc dz
43840	monopleg low limb unspec side due cerebrvasc dz
43841	monopleg low limb dom side due cerebrvasc dz
43842	monopleg low limb nondom side due cerebrvasc dz
43850	oth paralyt synd affct uns side-cerebrvasc dz
43851	oth paralyt synd affct dom side-cerebrvasc dz

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		43852	oth paralyt synd affct nondom sidecerebrvasc dz
		43853	other paralytic syndrome, bilateral
		43881	apraxia due to cerebrovascular disease
		43882	dysphagia due to cerebrovascular disease
		43883	facial weakness late effect cerebrovascular dz

		43884	ataxia as late effect of cerebrovascular disease
		43885	vertigo as late effect cerebrovascular disease
		43889	other late effects of cerebrovascular disease
		51851	ac resp fail post trauma/surgery
		51852	ot pulm insuff post trauma/surg
		51853	ac/chr resp fail post trauma/surg
		78191	loss of height
		78192	abnormal posture
		78193	ocular torticollis
		78194	facial weakness
		78199	oth symptoms invlv nerv&musculoskeletal systems
		99700	unspecified nervous system complication nec
		99701	central nervous system complication nec
		99702	iatrogenic cerebrovascular infarct/hemorrhage ne
		99709	other nervous system complications nec
		99731	ventilator associated pneumonia

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			99732	postprocedural aspiration pneumonia
			99739	other respiratory complications
			99741	retained stone fol cholecystectomy
			99749	ot digestive system complications
			99771	vascular complications of mesenteric artery
			99772	vascular complications of renal artery
			99779	vascular complications of other vessels
			99851	infected postoperative seroma nec
			99859	other postoperative infection nec
Heart Failure 40	ICD-9-CM		ICD-10-CM	
	428.0	Congestive heart failure, unspecified	150.1	Left ventricular failure
	428.1	Left heart failure	150.20	Unspecified systolic (congestive) heart failure
	428.20	Systolic heart failure	150.21	Acute systolic (congestive) heart failure
	428.21	Systolic heart failure	150.22	Chronic systolic (congestive) heart failure
	428.22	Chronic systolic heart failure	150.23	Acute on chronic systolic (congestive) heart failure
	428.23	Acute on chronic systolic heart failure	150.30	Unspecified diastolic (congestive) heart failure
	428.30	Diastolic heart failure, unspecified	150.31	Acute diastolic (congestive) heart failure

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	428.31	Acute diastolic heart failure	I50.32	Chronic diastolic (congestive) heart failure
	428.32	Chronic diastolic heart failure	I50.33	Acute on chronic diastolic (congestive) heart failure
	428.33	Acute on chronic diastolic heart failure	I50.40	Unspecified combined systolic (congestive) and diastolic (congestive) heart failure
	428.40	Combined systolic and diastolic heart failure, unspecified	I50.41	Acute combined systolic (congestive) and diastolic (congestive) heart failure
	428.41	Acute combined systolic and diastolic heart failure	I50.42	Chronic combined systolic (congestive) and diastolic (congestive) heart failure
	428.42	Chronic combined systolic and diastolic heart failure	I50.43	Acute on chronic combined systolic (congestive) and diastolic (congestive) heart failure
	428.43	Acute on chronic combined systolic and diastolic heart failure	I50.9	Heart failure, unspecified
	428.9	Heart failure, unspecified		
Peripheral Arterial or Vascular Disease ^{32,33}	ICD-9-CM		ICD-10-CM	
	250.7x	Diabetes with peripheral circulatory disorders	Main Codes	

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	249.7x	Secondary diabetes with peripheral circulatory disorders	E08	Diabetes mellitus due to underlying condition
	440.21	Atherosclerosis of native arteries of the extremities with intermittent claudication	E09	Drug or chemical induced diabetes mellitus
	442.3	Aneurysm of artery of lower extremity	E10	Type 1 diabetes mellitus
	443.81	Peripheral angiopathy in diseases classified elsewhere (including claudication)	E11	Type 2 diabetes mellitus
	443.9	Peripheral vascular disease, unspecified	E13	Other specified diabetes mellitus
	892.1	Open wound of foot except toe(s) alone, complicated	Relevant Subcodes	
	040.0	Gas gangrene	E**.51	Diabetic peripheral angiopathy, no gangrene
	444.22	Arterial embolism and thrombosis of lower extremity	E**.52	Diabetic peripheral angiopathy, with gangrene
	707.1x	Ulcer of lower limbs, except decubitus ulcer	E**.59	Diabetes, other circulatory complications
	785.4	Gangrene	E**.621	Diabetic foot ulcer
				Regular Codes:

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		I72.4	Aneurysm of artery of lower extremity
		I70.21x	Atherosclerosis of native arteries of extremities with intermittent claudication
		I73.89	Other specified peripheral vascular diseases

		I73.9	Peripheral vascular disease, unspecified
		S91.3x	Open wound of foot
		A48.0	Gas gangrene
		I74.3	Embolism and thrombosis of arteries of the lower extremities
		L97.x	Embolism and thrombosis of arteries of the lower extremities
		L97.x	Non-pressure chronic ulcer of lower limb, not elsewhere classified
		I96	Gangrene, not elsewhere classified
Stroke ^{35,32}	ICD-9-CM		ICD-10-CM
	430	Subarachnoid hemorrhage	I6000 Nontraumatic subarachnoid hemorrhage from unspecified carotid siphon and bifurcation
	431	Intracerebral hemorrhage	I6001 Nontraumatic subarachnoid

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			hemorrhage from right carotid siphon and bifurcation
432.0	Nontraum extradural hem	I6002	Nontraumatic subarachnoid hemorrhage from left carotid siphon and bifurcation
432.1	Subdural hemorrhage	I6010	Nontraumatic subarachnoid hemorrhage from unspecified middle cerebral artery
432.9	Intracranial hemorr nos	I6011	Nontraumatic subarachnoid hemorrhage from right middle cerebral artery
433.01	Basi art occl w/ infarct	I6012	Nontraumatic subarachnoid hemorrhage from left middle cerebral artery
433.11	Carotd occl w/ infrcr	I6020	Nontraumatic subarachnoid hemorrhage from unspecified anterior communicating artery
433.21	Vertb art occl w/ infrcr	I6021	Nontraumatic subarachnoid hemorrhage from right anterior communicating artery
433.31	Mult precer occl w/ infrcr	I6022	Nontraumatic subarachnoid hemorrhage from left anterior communicating artery
433.81	Precer occl nec w/ infrcr	I6030	Nontraumatic subarachnoid hemorrhage from unspecified

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				posterior communicating artery
	433.91	Preceer occl nos w/ infrcr	I6031	Nontraumatic subarachnoid hemorrhage from right posterior communicating artery
	434.01	Cere thrombosis w/ infrcr	I6032	Nontraumatic subarachnoid hemorrhage from left posterior communicating artery
	434.11	Cere embolism w/ infrcr	I604	Nontraumatic subarachnoid hemorrhage from basilar artery

	434.91	Cereb occl nos w/ infrcr	I6050	Nontraumatic subarachnoid hemorrhage from unspecified vertebral artery
	433.91	Preceer occl nos w/ infrcr	I6051	Nontraumatic subarachnoid hemorrhage from right vertebral artery
	434.01	Cere thrombosis w/ infrcr	I6052	Nontraumatic subarachnoid hemorrhage from left vertebral artery
	434.11	Cere embolism w/ infrcr	I606	Nontraumatic subarachnoid hemorrhage from other intracranial arteries
	434.91	Cereb occl nos w/ infrcr	I607	Nontraumatic subarachnoid hemorrhage from unspecified intracranial artery
	436.x	Acute, but ill-defined,	I67.89	Other cerebrovascular disease

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V12.54		cerebrovascular disease		
		Personal history of transient ischemic attack (TIA), and cerebral infarction without residual deficits	I608	Other nontraumatic subarachnoid hemorrhage
			I609	Nontraumatic subarachnoid hemorrhage, unspecified
			I610	Nontraumatic intracerebral hemorrhage in hemisphere, subcortical
			I611	Nontraumatic intracerebral hemorrhage in hemisphere, cortical
			I612	Nontraumatic intracerebral hemorrhage in hemisphere, unspecified
			I613	Nontraumatic intracerebral hemorrhage in brain stem
			I614	Nontraumatic intracerebral hemorrhage in cerebellum
			I615	Nontraumatic intracerebral hemorrhage, intraventricular
			I616	Nontraumatic intracerebral hemorrhage, multiple localized
			I618	Other nontraumatic intracerebral hemorrhage

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	I619	Nontraumatic intracerebral hemorrhage, unspecified
	I6200	Nontraumatic subdural hemorrhage, unspecified
	I6201	Nontraumatic acute subdural hemorrhage

	I6202	Nontraumatic subacute subdural hemorrhage
	I6203	Nontraumatic chronic subdural hemorrhage
	I621	Nontraumatic extradural hemorrhage
	I629	Nontraumatic intracranial hemorrhage, unspecified
	I6300	Cerebral infarction due to thrombosis of unspecified precerebral artery
	I63011	Cerebral infarction due to thrombosis of right vertebral artery
	I63012	Cerebral infarction due to thrombosis of left vertebral artery
	I63019	Cerebral infarction due to thrombosis of unspecified vertebral artery
	I6302	Cerebral infarction due to thrombosis of basilar artery
	I63031	Cerebral infarction due to thrombosis of right carotid artery
	I63032	Cerebral infarction due to thrombosis of left carotid artery
	I63039	Cerebral infarction due to thrombosis of unspecified carotid artery

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	I6309	Cerebral infarction due to thrombosis of other precerebral artery
	I6310	Cerebral infarction due to embolism of unspecified precerebral artery
	I63111	Cerebral infarction due to embolism of right vertebral artery
	I63112	Cerebral infarction due to embolism of left vertebral artery
	I63119	Cerebral infarction due to embolism of unspecified vertebral artery
	I6312	Cerebral infarction due to embolism of basilar artery
	I63131	Cerebral infarction due to embolism of right carotid artery
	I63132	Cerebral infarction due to embolism of left carotid artery

	I63139	Cerebral infarction due to embolism of unspecified carotid artery
	I6319	Cerebral infarction due to embolism of other precerebral artery
	I6320	Cerebral infarction due to unspecified occlusion or stenosis of unspecified precerebral arteries
	I63211	Cerebral infarction due to unspecified occlusion or stenosis of right vertebral arteries
	I63212	Cerebral infarction due to unspecified occlusion or stenosis of left vertebral arteries
	I63219	Cerebral infarction due to unspecified occlusion or stenosis of unspecified vertebral arteries

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	I6322	Cerebral infarction due to unspecified occlusion or stenosis of basilar arteries
	I63231	Cerebral infarction due to unspecified occlusion or stenosis of right carotid arteries
	I63232	Cerebral infarction due to unspecified occlusion or stenosis of left carotid arteries
	I63239	Cerebral infarction due to unspecified occlusion or stenosis of unspecified carotid arteries
	I6329	Cerebral infarction due to unspecified occlusion or stenosis of other precerebral arteries
	I6330	Cerebral infarction due to thrombosis of unspecified cerebral artery
	I63311	Cerebral infarction due to thrombosis of right middle cerebral artery
	I63312	Cerebral infarction due to thrombosis of left middle cerebral artery
	I63319	Cerebral infarction due to thrombosis of unspecified middle cerebral artery
	I63321	Cerebral infarction due to thrombosis of right anterior cerebral artery
	I63322	Cerebral infarction due to thrombosis of left anterior cerebral artery
	I63329	Cerebral infarction due to thrombosis of unspecified anterior cerebral artery
	I63331	Cerebral infarction due to thrombosis of right posterior cerebral artery

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	I63332	Cerebral infarction due to thrombosis of left posterior cerebral artery
	I63339	Cerebral infarction due to thrombosis of unspecified posterior cerebral artery
	I63341	Cerebral infarction due to thrombosis of right cerebellar artery
	I63342	Cerebral infarction due to thrombosis of left cerebellar artery
	I63349	Cerebral infarction due to thrombosis of unspecified cerebellar artery
	I63339	Cerebral infarction due to thrombosis of other cerebral artery
	I6340	Cerebral infarction due to embolism of unspecified cerebral artery
	I63411	Cerebral infarction due to embolism of right middle cerebral artery
	I63412	Cerebral infarction due to embolism of left middle cerebral artery
	I63419	Cerebral infarction due to embolism of unspecified middle cerebral artery
	I63421	Cerebral infarction due to embolism of right anterior cerebral artery
	I63422	Cerebral infarction due to embolism of left anterior cerebral artery
	I63429	Cerebral infarction due to embolism of unspecified anterior cerebral artery
	I63431	Cerebral infarction due to embolism of right posterior cerebral artery

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		I63432	Cerebral infarction due to embolism of left posterior cerebral artery
		I63439	Cerebral infarction due to embolism of unspecified posterior cerebral artery
		I63441	Cerebral infarction due to embolism of right cerebellar artery
		I63442	Cerebral infarction due to embolism of left cerebellar artery
		I63449	Cerebral infarction due to embolism of unspecified cerebellar artery
		I6349	Cerebral infarction due to embolism of other cerebral artery
		I6350	Cerebral infarction due to unspecified occlusion or stenosis of unspecified cerebral artery
		I63511	Cerebral infarction due to unspecified occlusion or stenosis of right middle cerebral artery
		I63512	Cerebral infarction due to unspecified occlusion or stenosis of left middle cerebral artery
		I63519	Cerebral infarction due to unspecified occlusion or stenosis of unspecified middle cerebral artery
		I63521	Cerebral infarction due to unspecified occlusion or stenosis of right anterior cerebral artery
		I63522	Cerebral infarction due to unspecified occlusion or stenosis of left anterior cerebral artery
		I63529	Cerebral infarction due to unspecified occlusion or stenosis of unspecified anterior cerebral artery

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		I63531	Cerebral infarction due to unspecified occlusion or stenosis of right posterior cerebral artery
		I63532	Cerebral infarction due to unspecified occlusion or stenosis of left posterior cerebral artery
		I63539	Cerebral infarction due to unspecified occlusion or stenosis of unspecified posterior cerebral artery
		I63541	Cerebral infarction due to unspecified occlusion or stenosis of right cerebellar artery
		I63542	Cerebral infarction due to unspecified occlusion or stenosis of left cerebellar artery
		I63549	Cerebral infarction due to unspecified occlusion or stenosis of unspecified cerebellar artery
		I6359	Cerebral infarction due to unspecified occlusion or stenosis of other cerebral artery
		I636	Cerebral infarction due to cerebral venous thrombosis, nonpyogenic
		I638	Other cerebral infarction
		I639	Cerebral infarction, unspecified

Depression/Anxiety ³⁹	ICD-9	ICD-10
	Inclusion criteria: Depression, anxiety, stress reaction, and suicidal ideation attempt	

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	296.20	Major depressive disorder, single episode – unspecified	F32.9	Major depressive disorder, single episode, unspecified
	296.22	Major depressive disorder, single episode – moderate	F32.1	Major depressive disorder, single episode, moderate
	296.23	Major depressive disorder, single episode – severe, without mention of psychotic behavior	F32.2	Major depressive disorder, single episode, severe without psychotic features
	296.30	Major depressive disorder, recurrent episode – unspecified	F33.9	Major depressive disorder, recurrent, unspecified
	296.32	Major depressive disorder, recurrent episode – moderate	F33.1	Major depressive disorder, recurrent, moderate
	296.33	Major depressive disorder, recurrent episode – severe, without mention of psychotic behavior	F33.2	Major depressive disorder, recurrent severe without psychotic features
	300.00	Anxiety state, unspecified	F41.9	Anxiety disorder, unspecified
	300.01	Panic disorder without agoraphobia	F41.0	Panic disorder [episodic paroxysmal anxiety] without agoraphobia
	300.02	Generalized anxiety disorder	F41.1	Generalized anxiety disorder

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	300.09	Other anxiety, dissociative, and somatoform disorders	F41.8	Other specified anxiety disorders
	300.21	Agoraphobia with panic disorder	F40.01	Agoraphobia with panic disorder
	300.22	Agoraphobia without mention of panic attacks	F40.02	Agoraphobia without panic disorder
	300.23	Social phobia	F40.10	Social phobia, unspecified
	300.29	Other isolated or specific phobias	F40.218	Other animal type phobia
			F40.240	Claustrophobia
			F40.241	Acrophobia
			F40.8	Other phobic anxiety disorders
	300.3	Obsessive-compulsive disorders	F42	Obsessive-compulsive disorder
	300.4	Dysthymic disorder	F34.1	Dysthymic disorder
	300.6	Depersonalization disorder	F48.1	Depersonalization-derealization syndrome
	300.7	Hypochondriasis	F45.21	Hypochondriasis

			F45.22	Body dysmorphic disorder

300.81	Somatization disorder	F45.0	Somatization disorder
300.82	Undifferentiated somatoform disorder	F45.1	Undifferentiated somatoform disorder
		F45.9	Somatoform disorder, unspecified
300.89	Other somatoform disorders	F45.8	Other somatoform disorders
		F48.8	Other specified nonpsychotic mental disorders
300.9	Unspecified nonpsychotic mental disorder	F48.9	Nonpsychotic mental disorder, unspecified
		F99	Mental disorder, not otherwise specified
308.0	Predominant disturbance of emotions	F43.0	Acute stress reaction
308.1	Predominant disturbance of consciousness	F43.0	Acute stress reaction
308.2	Predominant psychomotor disturbance	F43.0	Acute stress reaction
308.3	Other acute reactions to stress	F43.0	Acute stress reaction
308.4	Mixed disorders as reaction to stress	F43.0	Acute stress reaction
308.9	Unspecified acute reaction to stress	F43.0	Acute stress reaction
		R45.7	State of emotional shock and stress, unspecified
309.0	Adjustment disorder with depressed mood	F43.21	Adjustment disorder with depressed mood

309.1	Prolonged depressive reaction	F43.21	Adjustment disorder with depressed mood
309.24	Adjustment disorder with anxiety	F43.22	Adjustment disorder with anxiety
309.28	Adjustment disorder with mixed anxiety and depressed mood	F43.23	Adjustment disorder with mixed anxiety and depressed mood
309.29	Other adjustment reactions with predominant disturbance of other emotions	F43.29	Adjustment disorder with other symptoms
		F94.8	Other childhood disorders of social functioning
309.3	Adjustment disorder with disturbance of conduct	F43.24	Adjustment disorder with disturbance of conduct

309.4	Adjustment disorder with mixed disturbance of emotions and conduct	F43.25	Adjustment disorder with mixed disturbance of emotions and conduct
309.81	Posttraumatic stress disorder	F43.10	Post-traumatic stress disorder, unspecified
		F43.12	Post-traumatic stress disorder, chronic
309.82	Adjustment reaction with physical symptoms	F43.8	Other reactions to severe stress
309.83	Adjustment reaction with withdrawal	F43.8	Other reactions to severe stress

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309.89	Other specified adjustment reactions	F43.8	Other reactions to severe stress
309.9	Unspecified adjustment reaction	F43.20	Adjustment disorder, unspecified
311	Depressive disorder, not elsewhere classified	F32.9	Major depressive disorder, single episode, unspecified
V6284	Suicidal Ideation	R45851	Suicidal Ideations
E950.0	Suicide and self-inflicted poisoning by analgesics, antipyretics, and antirheumatics		
E950.1	Suicide and self-inflicted poisoning by barbiturates		
E950.2	Suicide and self-inflicted poisoning by other sedatives and hypnotics		
E950.3	Suicide and self-inflicted poisoning by tranquilizers and other psychotropic agents		
E950.4	Suicide and self-inflicted poisoning by other specified drugs and medicinal substances		
E950.5	Suicide and self-inflicted poisoning by unspecified drug or medicinal substances		
E950.6	Suicide and self-inflicted poisoning by agricultural and horticultural chemical and pharmaceutical preparations other than plant foods and fertilizers		

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E950.7	Suicide and self-inflicted poisoning by corrosive and caustic substances
E950.8	Suicide and self-inflicted poisoning by arsenic and its compounds
E950.9	Suicide and self-inflicted poisoning by other and unspecified solid and liquid substances

E951.0	Suicide and self-inflicted poisoning by gas disturbed by pipeline
E951.1	Suicide and self-inflicted poisoning by liquefied petroleum gas distributed in mobile containers
E951.8	Suicide and self-inflicted poisoning by other utility gas
E952.0	Suicide and self-inflicted poisoning by motor vehicle exhaust gas
E952.1	Suicide and self-inflicted poisoning by other carbon monoxide
E952.8	Suicide and self-inflicted poisoning by other specified gases and vapors
E952.9	Suicide and self-inflicted poisoning by unspecified gases and vapors
E953.0	Suicide and self-inflicted injury by hanging

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	E953.1	Suicide and self-inflicted injury by suffocation by plastic bag		
	E953.8	Suicide and self-inflicted injury by other specified means		
	E953.9	Suicide and self-inflicted injury by hanging, strangulation, and suffocation – unspecified means		
	E954	Suicide and self-inflicted injury by submersion [drowning]	X71.8X XA	Other intentional self-harm by drowning and submersion, initial encounter
			X71.9X XA	Intentional self-harm by drowning and submersion, unspecified, initial encounter
	E955.0	Suicide and self-inflicted injury by handgun		
	E955.1	Suicide and self-inflicted injury by shotgun	X73.0X XA	Intentional self-harm by shotgun discharge, initial encounter
	E955.2	Suicide and self-inflicted injury by hunting rifle	X72.XX XA	Intentional self-harm by handgun discharge, initial encounter
	E955.4	Suicide and self-inflicted injury by other and unspecified firearms	X73.9X XA	Intentional self-harm by unspecified larger firearm discharge, initial encounter
	E955.5	Suicide and self-inflicted injury by explosives	X75.XX XA	Intentional self-harm by explosive material, initial encounter

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	E955.6	Suicide and self-inflicted injury by air gun	X74.01 XA	Intentional self-harm by airgun, initial encounter
	E955.9	Suicide and self-inflicted injury by unspecified firearms, air guns, and explosives	X74.9X XA	Intentional self-harm by unspecified firearm discharge, initial encounter
	E956	Suicide and self-inflicted injury by cutting and piercing instruments	X78.9X XA	Intentional self-harm by unspecified sharp object, initial encounter
	E957.0	Suicide and self-inflicted injuries by jumping from residential premises	X80.XX XA	Intentional self-harm by jumping from a high place, initial encounter
			Y92.00 9	Unspecified place in unspecified noninstitutional (private) residence as the place of occurrence of the external cause
	E957.1	Suicide and self-inflicted injuries by jumping from other man-made structures	X80.XX XA	Intentional self-harm by jumping from a high place, initial encounter
			Y92.89	Other specified places as the place of occurrence of the external cause
	E957.2	Suicide and self-inflicted injuries by jumping from natural sites	X80.XX XA	Intentional self-harm by jumping from a high place, initial encounter
			Y92.82 8	Other wilderness area as the place of occurrence of the external cause

			Y92.83 8	Other recreation area as the place of occurrence of the external cause
	E957.9	Suicide and self-inflicted injuries by jumping from unspecified high place	X80.XX XA	Intentional self-harm by jumping from a high place, initial encounter
			Y92.9	Unspecified place or not applicable
	E958.0	Suicide and self-inflicted injury by jumping or lying before a moving object	X81.8X XA	Intentional self-harm by jumping or lying in front of other moving object, initial encounter
	E958.1	Suicide and self-inflicted injury by burns, fire	X76.XX XA	Intentional self-harm by smoke, fire and flames, initial encounter
	E958.2	Suicide and self-inflicted injury by scald	X77.2X XA	Intentional self-harm by other hot fluids, initial encounter
	E958.3	Suicide and self-inflicted injury by extremes of cold	X83.2X XA	Intentional self-harm by exposure to extremes of cold, initial encounter
	E958.4	Suicide and self-inflicted injury by electrocution	X83.1X XA	Intentional self-harm by electrocution, initial encounter

	E958.5	Suicide and self-inflicted injury by crashing of motor vehicle	X82.8X XA	Other intentional self-harm by crashing of motor vehicle, initial encounter
	E958.7	Suicide and self-inflicted injury by caustic substances, except poisoning	X83.8X XA	Intentional self-harm by other specified means, initial encounter

E958.8	Suicide and self-inflicted injury by other and specified means	X83.8X XA	Intentional self-harm by other specified means, initial encounter
Exclusion Criteria: Psychoses or bipolar disorders			
296.00	Bipolar I disorder, single manic episode – unspecified	F30.10	Manic episode without psychotic symptoms, unspecified
296.03	Bipolar I disorder, single manic episode – severe, without mention of psychotic behavior	F30.13	Manic episode, severe, without psychotic symptoms
296.04	Bipolar I disorder, single manic episode – severe, specified as with psychotic behavior	F30.2	Manic episode, severe with psychotic symptoms
296.10	Manic disorder, recurrent episode – unspecified	F30.10	Manic episode without psychotic symptoms, unspecified
296.13	Manic disorder, recurrent episode – severe, without mention of psychotic behavior	F30.13	Manic episode, severe, without psychotic symptoms
296.14	Manic disorder, recurrent episode – severe, specified as with psychotic behavior	F30.2	Manic episode, severe with psychotic symptoms
296.24	Major depressive disorder, single episode – severe, specified as with psychotic behavior	F32.3	Major depressive disorder, single episode, severe with psychotic features
296.34	Major depressive disorder, recurrent episode – severe, specified as with psychotic behavior	F33.3	Major depressive disorder, recurrent, severe with psychotic symptoms
296.40	Bipolar I disorder; most recent episode	F31.10	Bipolar disorder, current episode manic without

		(or current) manic – unspecified		psychotic features, unspecified
296.41	Bipolar I disorder; most recent episode (or current) manic – mild	F31.11	Bipolar disorder, current episode manic without psychotic features, mild	
296.42	Bipolar I disorder; most recent episode (or current) manic – moderate	F31.12	Bipolar disorder, current episode manic without psychotic features, moderate	
296.43	Bipolar I disorder; most recent episode (or current) manic – severe, without mention of psychotic behavior	F31.13	Bipolar disorder, current episode manic without psychotic features, severe	
296.44	Bipolar I disorder; most recent episode (or current) manic – severe, specified as with psychotic disorder	F31.2	Bipolar disorder, current episode manic severe with psychotic features	

296.50	Bipolar I disorder; most recent episode (or current) depressed – unspecified	F31.30	Bipolar disorder, current episode depressed, mild or moderate severity, unspecified
296.52	Bipolar I disorder; most recent episode (or current) depressed – moderate	F31.32	Bipolar disorder, current episode depressed, moderate
296.53	Bipolar I disorder; most recent episode (or current) depressed – severe, without mention of psychotic behavior	F31.4	Bipolar disorder, current episode depressed, severe, without psychotic features
296.54	Bipolar I disorder; most recent episode (or current) depressed – severe, specified as with psychotic disorder	F31.5	Bipolar disorder, current episode depressed, severe, with psychotic features

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296.60	Bipolar I disorder; most recent episode (or current) mixed – unspecified	F31.60	Bipolar disorder, current episode mixed, unspecified
296.62	Bipolar I disorder; most recent episode (or current) mixed – moderate	F31.62	Bipolar disorder, current episode mixed, moderate
296.63	Bipolar I disorder; most recent episode (or current) mixed – severe, without mention of psychotic behavior	F31.63	Bipolar disorder, current episode mixed, severe, without psychotic features
296.64	Bipolar I disorder; most recent episode (or current) mixed – severe, specified as with psychotic disorder	F31.64	Bipolar disorder, current episode mixed, severe, with psychotic features
296.7	Bipolar I disorder; most recent episode (or current) unspecified	F31.9	Bipolar disorder, unspecified
296.80	Bipolar disorder, unspecified	F31.9	Bipolar disorder, unspecified
296.90	Unspecified episodic mood disorder	F39	Unspecified mood [affective] disorder
296.99	Other specified episodic mood disorder	F34.8	Other persistent mood [affective] disorders
295.00	Simple type schizophrenia – unspecified	F20.89	Other schizophrenia
295.01	Simple type schizophrenia – subchronic	F20.89	Other schizophrenia
295.02	Simple type schizophrenia – chronic	F20.89	Other schizophrenia
295.03	Simple type schizophrenia –	F20.89	Other schizophrenia

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		subchronic with acute		
295.04		exacerbation Simple type schizophrenia – chronic with acute	F20.89	Other schizophrenia
295.05		exacerbation Simple type schizophrenia – in remission	F20.89	Other schizophrenia
295.10		Disorganized type schizophrenia – unspecified	F20.1	Disorganized schizophrenia

295.11		Disorganized type schizophrenia – subchronic	F20.1	Disorganized schizophrenia
295.12		Disorganized type schizophrenia – chronic	F20.1	Disorganized schizophrenia
295.13		Disorganized type schizophrenia – subchronic with acute exacerbation	F20.1	Disorganized schizophrenia
295.14		Disorganized type schizophrenia – chronic with acute exacerbation	F20.1	Disorganized schizophrenia
295.15		Disorganized type schizophrenia – in remission	F20.1	Disorganized schizophrenia
295.20		Catatonic type schizophrenia – unspecified	F20.2	Catatonic schizophrenia
295.21		Catatonic type schizophrenia – subchronic	F20.2	Catatonic schizophrenia
295.22		Catatonic type schizophrenia – chronic	F20.2	Catatonic schizophrenia
295.23		Catatonic type schizophrenia – subchronic with acute exacerbation	F20.2	Catatonic schizophrenia

295.24	Catatonic type schizophrenia – chronic with acute exacerbation	F20.2	Catatonic schizophrenia
295.30	Paranoid type schizophrenia – unspecified	F20.0	Paranoid schizophrenia
295.31	Paranoid type schizophrenia – subchronic	F20.0	Paranoid schizophrenia
295.32	Paranoid type schizophrenia – chronic	F20.0	Paranoid schizophrenia
295.33	Paranoid type schizophrenia – subchronic with acute exacerbation	F20.0	Paranoid schizophrenia
295.34	Paranoid type schizophrenia – chronic with acute exacerbation	F20.0	Paranoid schizophrenia
295.35	Paranoid type schizophrenia – in remission	F20.0	Paranoid schizophrenia
295.40	Schizophreniform disorder – unspecified	F20.81	Schizophreniform disorder
295.41	Schizophreniform disorder – subchronic	F20.81	Schizophreniform disorder
295.42	Schizophreniform disorder – chronic	F20.81	Schizophreniform disorder
295.43	Schizophreniform disorder – subchronic with acute exacerbation	F20.81	Schizophreniform disorder
295.44	Schizophreniform disorder – chronic with acute exacerbation	F20.81	Schizophreniform disorder
295.45	Schizophreniform disorder – in remission	F20.81	Schizophreniform disorder
295.50	Latent schizophrenia – unspecified	F20.89	Other schizophrenia

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	295.53	Latent schizophrenia – subchronic with acute exacerbation	F20.89	Other schizophrenia
	295.54	Latent schizophrenia – chronic with acute exacerbation	F20.89	Other schizophrenia

	295.60	Residual type schizophrenia – unspecified	F20.5	Residual schizophrenia
	295.62	Residual type schizophrenia – chronic	F20.5	Residual schizophrenia
	295.63	Residual type schizophrenia – subchronic with acute exacerbation	F20.5	Residual schizophrenia
	295.64	Residual type schizophrenia – chronic with acute exacerbation	F20.5	Residual schizophrenia
	295.65	Residual type schizophrenia – in remission	F20.5	Residual schizophrenia
	295.70	Schizoaffective disorder – unspecified	F25.9	Schizoaffective disorder, unspecified
	295.71	Schizoaffective disorder – subchronic	F25.9	Schizoaffective disorder, unspecified
	295.72	Schizoaffective disorder – chronic	F25.9	Schizoaffective disorder, unspecified
	295.73	Schizoaffective disorder – subchronic with acute exacerbation	F25.9	Schizoaffective disorder, unspecified
	295.74	Schizoaffective disorder – chronic with acute exacerbation	F25.9	Schizoaffective disorder, unspecified

	295.75	Schizoaffective disorder – in remission	F25.9	Schizoaffective disorder, unspecified
	295.80	Other specified types of schizophrenia – unspecified	F20.89	Other schizophrenia
	295.82	Other specified types of schizophrenia – chronic	F20.89	Other schizophrenia
	295.83	Other specified types of schizophrenia – subchronic with acute exacerbation	F20.89	Other schizophrenia
	295.84	Other specified types of schizophrenia – chronic with acute exacerbation	F20.89	Other schizophrenia
	295.85	Other specified types of schizophrenia – in remission	F20.89	Other schizophrenia
	295.90	Unspecified schizophrenia – unspecified	F20.9	Schizophrenia, unspecified
	295.91	Unspecified schizophrenia – subchronic	F20.9	Schizophrenia, unspecified
	295.92	Unspecified schizophrenia – chronic	F20.9	Schizophrenia, unspecified
	295.93	Unspecified schizophrenia – subchronic with acute exacerbation	F20.9	Schizophrenia, unspecified
	295.95	Unspecified schizophrenia – in remission	F20.9	Schizophrenia, unspecified
	297.0	Paranoid state, simple	F22	Delusional disorders
	297.1	Delusional disorder	F22	Delusional disorders
	297.2	Paraphrenia	F22	Delusional disorders

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297.3	Shared psychotic disorder	F24	Shared psychotic disorder	
297.8	Other specified paranoid states	F22	Delusional disorders	
297.9	Unspecified paranoid state	F23	Brief psychotic disorder	
298.0	Depressive type psychosis	F32.3	Major depressive disorder, single episode, severe with psychotic features	
		F33.3	Major depressive disorder, recurrent, severe with psychotic symptoms	
298.1	Excitatory type psychosis	F28	Other psychotic disorder not due to a substance or known physiological condition	
298.2	Reactive confusion	F44.89	Other dissociative and conversion disorders	
298.3	Acute paranoid reaction	F23	Brief psychotic disorder	
298.4	Psychogenic paranoid psychosis	F23	Brief psychotic disorder	
298.8	Other and unspecified reactive psychosis	F23	Brief psychotic disorder	
298.9	Unspecified psychosis	F29	Unspecified psychosis not due to a substance or known physiological condition	

* The character 'x' to the right of a decimal point indicates digits must be added to the preceding digits to create a billable code. The use of 'x' in ICD-10 codes indicates that all codes falling under the preceding head digits are to be included for the analysis.



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Appendix 5. Table shells for Aim 1

Table 2. Demographic characteristics of the diabetes population using Hospital Inpatient or Emergency Department settings, years 2008, 2011, 2014, 2016

Variable	Year				Change 2008-2016	
	2008	2011	2014	2016	Absolute Change	% Change
Age, years, n (%)						
18-29						
30-44						
45-64						
64-74						
75+						
Sex, n (%)						
Female						
Male						
Race, n (%)						
White						
Black						
Hispanic						
Asian or Pacific						
Islander						
Native American						
Region, n (%)						
Northeast						
Midwest						
South						
West						
Rural/Urban, n (%)						
Rural						
Urban						
Insurance, n (%)						
Medicare						
Medicaid						
Private Insurance						
Self-pay						
No Charge						
Other						
Comorbidities, n (%)						
Macrovascular						
Microvascular						
Depression/Anxiety						

Denominators for rates are from the Behavioral Risk Factor Surveillance Survey. Rates have been age-standardized to the U.S. population in the year 2010

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Table 3. Number of Hospital Inpatient Stays among diabetes mellitus population, years 2008, 2011, 2014, 2016

Variable	Year				Change 2008-2016	
	2008	2011	2014	2016	Absolute Change	% Change
Age, years, n (%)						
18-29						
30-44						
45-64						
64-74						
75+						
Sex, n (%)						
Female						
Male						
Race, n (%)						
White						
Black						
Hispanic						
Asian or Pacific						
Islander						
Native American						
Region, n (%)						
Northeast						
Midwest						
South						
West						
Rural/Urban, n (%)						
Rural						
Urban						
Insurance, n (%)						
Medicare						
Medicaid						
Private Insurance						
Self-pay						
No Charge						
Other						
Comorbidities, n (%)						
Macrovascular						
Microvascular						
Depression/Anxiety						

Denominators for rates are from the Behavioral Risk Factor Surveillance Survey. Rates have been age-standardized to the U.S. population in the year 2010

Table 4. Number of ED Visits among diabetes mellitus population, years 2008, 2011, 2014, 2016

Variable	Year				Change 2008-2016	
	2008	2011	2014	2016	Absolute Change	% Change
Age, years, n (%)						
18-29						
30-44						
45-64						
64-74						
75+						
Sex, n (%)						
Female						
Male						
Race, n (%)						
White						
Black						
Hispanic						
Asian or Pacific						
Islander						
Native American						
Region, n (%)						
Northeast						
Midwest						
South						
West						
Rural/Urban, n (%)						
Rural						
Urban						
Insurance, n (%)						
Medicare						
Medicaid						
Private Insurance						
Self-pay						
No Charge						
Other						
Comorbidities, n (%)						
Macrovascular						
Microvascular						
Depression/Anxiety						

Denominators for rates are from the Behavioral Risk Factor Surveillance Survey. Rates have been age-standardized to the U.S. population in the year 2010

Table 5. Rate of Hospital Inpatient Stays per 1000 people with diabetes mellitus, years 2008, 2011, 2014, 2016

Variable	Year				Change 2008-2016	
	2008	2011	2014	2016	Absolute Change	% Change
Age, years						
18-29						
30-44						
45-64						
64-74						
75+						
Sex						
Female						
Male						
Race						
White						
Black						
Hispanic						
Asian or Pacific						
Islander						
Native American						
Region						
Northeast						
Midwest						
South						
West						
Rural/Urban						
Rural						
Urban						
Insurance						
Medicare						
Medicaid						
Private Insurance						
Self-pay						
No Charge						
Other						
Comorbidities						
Macrovascular						
Microvascular						
Depression/Anxiety						

Denominators for rates are from the Behavioral Risk Factor Surveillance Survey. Rates have been age-standardized to the U.S. population in the year 2010

Table 6. Rate of ED Visits per 1000 people with diabetes mellitus, years 2008, 2011, 2014, 2016

Variable	Year				Change 2008-2016	
	2008	2011	2014	2016	Absolute Change	% Change
Age, years						
18-29						
30-44						
45-64						
64-74						
75+						
Sex						
Female						
Male						
Race						
White						
Black						
Hispanic						
Asian or Pacific						
Islander						
Native American						
Region						
Northeast						
Midwest						
South						
West						
Rural/Urban						
Rural						
Urban						
Insurance						
Medicare						
Medicaid						
Private Insurance						
Self-pay						
No Charge						
Other						
Comorbidities						
Macrovascular						
Microvascular						
Depression/Anxiety						

Denominators for rates are from the Behavioral Risk Factor Surveillance Survey. Rates have been age-standardized to the U.S. population in the year 2010

Table 7. Age- and Sex- Adjusted Rates of Emergency Department Visits and Hospital Inpatient Use (per 1000 persons) among US Adult Population with and without Diabetes from 2008-2017

	Rates (95% C.I.)			
	2008*	2011	2014	2016/2017
Diabetes				
ED Visits				
Ratio	X			
Inpatient Stays				
Ratio	X			
Non-Diabetes				
ED Visits				
Ratio	X			
Inpatient Stays				
Ratio	X			
	Rate Ratio (95% C.I.)			
Diabetes/Non-Diabetes				
ED Visits				
Inpatient Stays				

All rates are age- and sex-standardized to the 2010 US Adult Population without Diabetes

- Ratios reflect rate ratios and 95% C.I. using 2008 rates as reference
- Reflects rate ratios using non-Diabetes health service use rates as reference

Appendix 6. Table Shells for Aim 2 Results

Table 8. Age-Standardized Rates of Potentially Preventable Hospitalizations among U.S. Adults with Diagnosed Diabetes

Variable	Year				Change 2008-2016	
	2008	2011	2014	2016	Absolute Change	% Change
Short Term Diabetes Complications						
No. of Cases						
No./1000 persons with Diabetes						
Long-Term Diabetes Complications						
No./1000 persons with Diabetes						
Uncontrolled Diabetes without Complications						
No./1000 persons with Diabetes						
Diabetes-related Lower-Extremity Amputations						
No./1000 persons with Diabetes						
Lower Extremity Ulcers/inflammation/infections						
No./1000 persons with Diabetes						
Hypoglycemia						
No./1000 persons with Diabetes						
Lower Extremity Ulcers/inflammation/infections						
No./1000 persons with Diabetes						
Microvascular Depression/Anxiety						

Denominators for rates are from the Behavioral Risk Factor Surveillance Survey. Rates have been age-standardized to the U.S. population in the year 2010

Table 9. % Change in rates of Potentially Preventable Hospitalizations by Sociodemographic Factors from 2008-2016

	Short-Term Diabetes Complications	Long-Term Diabetes Complications	Uncontrolled Diabetes without Complications	Diabetes-related Lower-Extremity Amputations
Age, years				
18-29				
30-44				
45-64				
64-74				
75+				
Sex				
Female				
Male				
Race				
White				
Black				
Hispanic				
Asian or Pacific				
Islander				
Native American				
Region				
Northeast				
Midwest				
South				
West				
Rural/Urban				
Rural				
Urban				
Insurance				
Medicare				
Medicaid				
Private Insurance				
Self-Pay				
No Charge				
Other				
Comorbidities				
Macrovascular				
Microvascular				
Depression/				
Anxiety				

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Appendix 7. Table Shells for Aim 3 Results

Table 10. Demographic characteristics of sample, years 2008, 2011, 2014, 2016

Variable	Year			
	2008	2011	2014	2016
Total database population				
All patients with Diabetes, n				
Age, years				
Mean (SD)				
Range				
Sex, n (%)				
Female				
Male				
Geographic Region, n (%)				
Northeast				
Midwest				
South				
West				
Race, n (%)				
White				
Black				
Other				
Insurance, n (%)				
Medicare				
Medicaid				
Private Insurance				
Self-Pay				
No Charge				
Other				
Comorbidities, n (%)				
Macrovascular				
Microvascular				
Depression/Anxiety				

Table 11. Number of People with Diabetes Prescribed Cardiovascular-Modifying and Antidepressant Medications in Years 2008, 2011, 2014, and 2016

	2008	2011	2014	2016	Absolute Change	% Change
	n (%)	n (%)	n (%)	n (%)		

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Antihyperglycemic
agents

Antihyperlipidemic
agents

Antihypertensive
agents

Antiplatelet
agents

Antidepressant/
Anxiolytic Agents

Table 12. % Change in Cardiovascular-Modifying Drugs Prescribed to People with Diabetes by Sociodemographic Characteristics from 2008-2016

Antihyperglycemic	Hyperlipidemia	Hypertension	Antiplatelets
	Drugs	Agents	Agents
Age, years			
18-44			
45-64			
65+			
Sex			
Female			
Male			
Geographic Region			
Northeast			
Midwest			
South			
West			
Race			
White			
Black			
Other			
Insurance			
Medicare			
Medicaid			
Private Insurance			
Self-Pay			
No Charge			
Other			
Comorbidities, n (%)			
Macrovascular			
Microvascular			
Depression/Anxiety			

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12 Attachments

[Link to HCEI Form 2 Template](#)



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Form_3.23.20.doc

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13 SIGNATURES

13.1 Sponsor's Representative

PRINTED NAME	
TITLE	
SIGNATURE	
DATE SIGNED	

13.2 Investigator

I agree to conduct this study in accordance with the design outlined in this protocol and to abide by all provisions of this protocol (including other manuals and documents referenced from this protocol); changes from the protocol are acceptable only with a mutually agreed upon protocol amendment. I agree to conduct the study in accordance with generally accepted standards of Good Pharmacoepidemiology Practice. I also agree to report all information or data in accordance with the protocol and, in particular, I agree to report any serious adverse experiences as defined in Section 6 – Safety Reporting and Related Procedures. I understand that information that identifies me will be used and disclosed as described in the protocol, and that such information may be transferred to countries that do not have laws protecting such information. Since the information in this protocol is confidential, I understand that its disclosure to any third parties, other than those involved in approval, supervision, or conduct of the study is prohibited. I will ensure that the necessary precautions are taken to protect such information from loss, inadvertent disclosure, or access by third parties.

PRINTED NAME	
TITLE	
SIGNATURE	
DATE SIGNED	

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Epidemiology No.(PE Studies only): EP0xxxx.xx

13.3 Supplier

I agree to conduct this study in accordance with the design outlined in this protocol and to abide by all provisions of this protocol (including other manuals and documents referenced from this protocol); changes from the protocol are acceptable only with a mutually agreed upon protocol amendment. I agree to conduct the study in accordance with generally accepted standards of Good Pharmacoepidemiology Practice. I also agree to report all information or data in accordance with the protocol and, in particular, I agree to report any serious adverse experiences as defined in Section 6 – Safety and Product Quality Complaint Reporting and Related Procedures. I understand that information that identifies me will be used and disclosed as described in the protocol, and that such information may be transferred to countries that do not have laws protecting such information. Since the information in this protocol is confidential, I understand that its disclosure to any third parties, other than those involved in approval, supervision, or conduct of the study is prohibited. I will ensure that the necessary precautions are taken to protect such information from loss, inadvertent disclosure, or access by third parties.

PRINTED NAME	
TITLE	
SIGNATURE	
DATE SIGNED	