

**GIUSEPPE TURINI**

**CS-102: COMPUTING AND ALGORITHMS 2**  
**LESSON 09**

**CS-203: COMPUTING AND ALGORITHMS 3**  
**LESSON 01**

**ALGORITHM DESIGN AND ANALYSIS**

# HIGHLIGHTS

## Algorithmics

**Algorithm Problem Solving:** The Problem, HW Capabilities, Exact / Approx Design, Correctness, Analysis, Coding, Properties

**Important Problems:** Sorting, Searching, String Processing  
Graph Problems, Combinatorial, Geometric, and Numerical Problems

**Important Data Structures:** Linear, Graphs, Trees, Sets, and Dictionaries

## Efficiency Analysis

**Analysis Framework:** Input Size, Running Time, and Orders of Growth  
Worst / Best / Average-case Efficiencies

**Asymptotic Notations:** Big O / Big Omega / Big Theta Notations

Properties, Orders of Growth and Limits, Basic Efficiency Classes  
Non-recursive / Recursive Analysis, Empirical Analysis, Algorithm Visualization

**Data Structures Efficiency:** Linear, Graphs, Trees, Sets, and Dictionaries

# STUDY GUIDE

## STUDY MATERIAL

- This slides.

## SELECTED EXERCISES

- **Set 1:** ex. 1.1.4-6, 1.1.8-9, 1.2.1-2, 1.2.4-5, 1.2.9, 1.3.1, 1.4.2-4, 1.4.9-10.
- **Set 2:** ex. 2.1.1-5, 2.1.8-10, 2.2.1-7, 2.2.9-12, 2.3.1-6, 2.3.9, 2.3.11-12, 2.4.1-5, 2.4.7-12, 2.5.4, 2.5.6-9, 2.6.1-4.

## ADDITIONAL RESOURCES

- “**Introduction to the Design and Analysis of Algorithms (3<sup>rd</sup> Ed.)**”, chap. 1-2.
- “Data Abstraction and Problem Solving with Java (3<sup>rd</sup> Ed.)”, chap. 10.
- [visualgo.net/en](https://visualgo.net/en)

# ALGORITHMICS - INTRODUCTION

**Algorithmics** is the study of algorithms, and algorithm design techniques can be seen as problem-solving strategies even when no computer is involved.

*"... it has often been said that a person does not really understand something until after teaching it to someone else. Actually, a person does not **really** understand something until after teaching it to a **computer** ..."*

**Donald E. Knuth.** *Selected Papers on Computer Science.* 1996.

## Why study algorithms?

Because: algorithms are the core of computer science, to provide a toolkit of known algorithms, and a framework to design/analyze algorithms.

**The main issues related to algorithms are:** how to perform the **algorithm design**, and the **efficiency analysis** of algorithms.

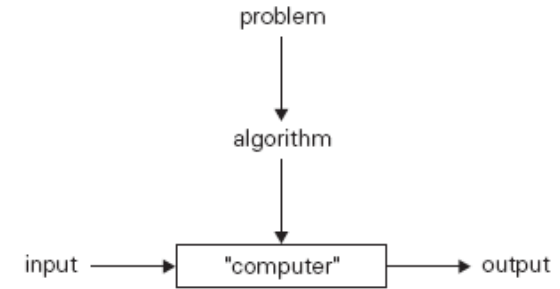
# ALGORITHMICS - ALGORITHM DEFINITION

**Algorithm:** A sequence of non-ambiguous instructions to solve a problem.

**Most algorithms are intended for computer implementation, but the notion of algorithm does not depend on such an assumption!**

In defining an algorithm, it is important to understand these important points:

- The **non-ambiguity** of each algorithm step cannot be compromised.
- The **range of inputs** for which an algorithm works has to be specified carefully.
- The same algorithm can be **represented in several different ways**.
- Algorithms for the **same problem can have different designs/performances**.



**FIGURE 1.1** The notion of the algorithm.

# ALGORITHMICS - ALGORITHM EXAMPLE 1

## METHODS FOR $\gcd(m,n)$ : EUCLID'S ALGORITHM

**Problem:** Find  $\gcd(m, n)$ , the greatest common divisor of two non-negative, not both zero integers  $m$  and  $n$ .

**Examples:**  $\gcd(60, 24) = 12$ , or  $\gcd(60, 0) = 60$ , or  $\gcd(0, 0) = ?$

Euclid's algorithm is based on repeated application of equality

$$\gcd(m, n) = \gcd(n, m \bmod n)$$

until the second number becomes 0, which makes the problem trivial.

**Example:**  $\gcd(60, 24) = \gcd(24, 60 \bmod 24) = \gcd(24, 12) =$   
 $= \gcd(12, 24 \bmod 12) = \gcd(12, 0) = 12$

# ALGORITHMICS - ALGORITHM EXAMPLE 2

## METHODS FOR $\text{gcd}(m,n)$ : EUCLID'S ALGORITHM (IMPLEMENTATION 1)

**Step 1:** If  $n = 0$ , return  $m$  and stop; otherwise go to Step 2.

**Step 2:** Divide  $m$  by  $n$  and assign the value of the remainder to  $r$ .

**Step 3:** Assign the value of  $n$  to  $m$  and the value of  $r$  to  $n$ . Go to Step 1.

```
while( n != 0 ) {  
    r = m mod n;  
    m = n;  
    n = r; }  
return m;
```

# ALGORITHMICS - ALGORITHM EXAMPLE 3

## METHODS FOR $\text{gcd}(m,n)$ : EUCLID'S ALGORITHM (IMPLEMENTATION 2)

**Step 1:** Assign the value of  $\min(m, n)$  to  $t$ .

**Step 2:** Divide  $m$  by  $t$ . If the remainder is 0, go to Step 3; otherwise, go to Step 4.

**Step 3:** Divide  $n$  by  $t$ . If the remainder is 0, return  $t$  and stop; otherwise, go to Step 4.

**Step 4:** Decrease  $t$  by 1 and go to Step 2.

```
t = min( m, n );  
while( true ) {  
    if( m mod t == 0 ) {  
        if( n mod t == 0 ) {  
            return t; } }  
    t--; }
```



# ALGORITHMICS - ALGORITHM EXAMPLE 4

## METHODS FOR $\gcd(m,n)$ : MIDDLE-SCHOOL PROCEDURE

**Step 1:** Find the prime factorization of  $m$ .

**Step 2:** Find the prime factorization of  $n$ .

**Step 3:** Find all the common prime factors.

**Step 4:** Compute product of all common prime factors, and return it as  $\gcd(m, n)$ .

**Question:** Is this an algorithm?

**Answer:** In this form, the middle-school procedure does not qualify as a legitimate algorithm because the prime factorization steps are not defined unambiguously (e.g. they require a list of prime numbers).

# ALGORITHMICS - DESIGN-ANALYSIS PROCESS

Algorithms are **procedural solutions to problems**.  
These solutions are **instructions to solve problems**.

This is the sequence of steps to design and analyze an algorithm:

1. understand the problem;
2. check computing device capabilities;
3. choose exact vs approximate solving;
4. choose algorithm design technique;
5. design algorithm and data structures;
6. prove algorithm correctness;
7. analyze the algorithm;
8. code the algorithm.

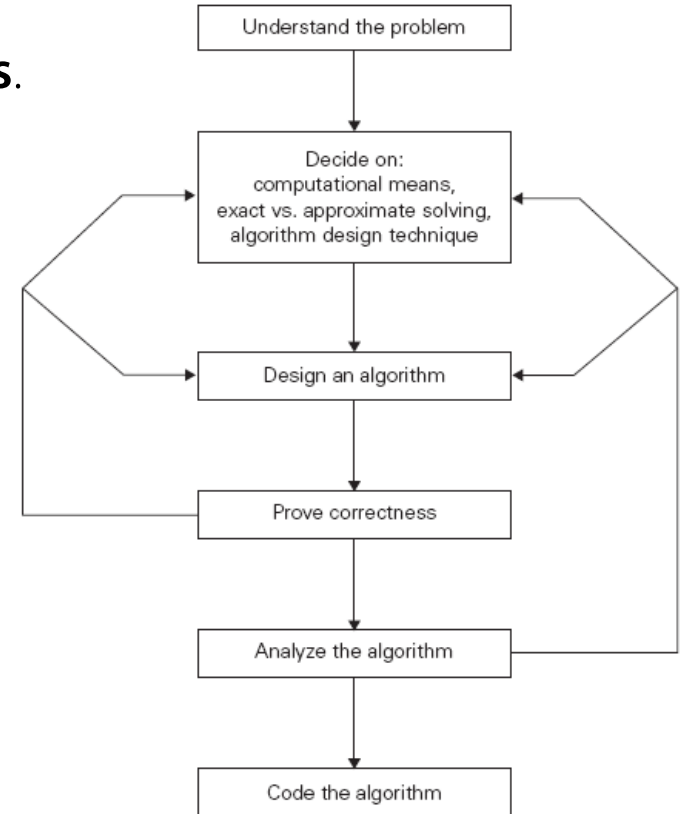


FIGURE 1.2 Algorithm design and analysis process.

# ALGORITHMICS - UNDERSTAND THE PROBLEM

**Understand the problem:** is the 1<sup>st</sup> step in design-analysis of algorithms.

- Read the problem **description**.
- Solve a few **examples** by hand.
- Consider about **special cases**.

**Problem instance:** An input to an algorithm specifies an instance of the problem.

**Legitimate inputs:** The set of instances the algorithm can handle.

**A correct algorithm does not have to work all the time,  
but it has to work correctly for all legitimate inputs.**

# ALGORITHMICS - HW COMPUTING CAPABILITIES

If your target computing HW is a **random-access machine (RAM)**, executing instructions sequentially, 1-by-1, you need to design a **sequential algorithm**.

If your target computing HW is a **parallel computer**, able to execute multiple instructions at once, you need to design a **parallel algorithm**.

**The study of techniques to design-analyze sequential algorithms is the current standard of algorithmics.**

# ALGORITHMICS - EXACT-APPROX SOLUTIONS

**Exact algorithm:** Is an algorithm that solves the problem exactly.

**Approximation algorithm:** Is an algorithm that solves the problem approximately.

**Question:** Why would one opt for an approximation algorithm?

**Answer:** Because:

- There are problems that cannot be solved exactly for most of their instances.
- Exact algorithms for a problem could be slower than approx algorithms.
- An approximation algorithm can be part of another algorithm that is exact.

# ALGORITHMICS - ALGORITHM DESIGN TECHNIQUES

**Algorithm design technique:** It is a general approach to solve problems algorithmically applicable to a variety of problems from different areas of computing. These techniques **provide guidance** for designing algorithms for new problems. These techniques allow to **classify algorithms** using their underlying design idea.

**The main algorithm design techniques are:**

- brute-force and exhaustive search,
- divide-and-conquer, decrease-and-conquer, transform-and-conquer,
- space-and-time tradeoffs,
- greedy approach,
- dynamic programming,
- iterative improvement,
- backtracking,
- branch-and-bound, etc.

# ALGORITHMICS - ALGORITHM DATA STRUCTURES

**Choose data structures appropriate for the algorithm operations.**

**Example:** Generate all prime integers not exceeding  $n \geq 2$  (Sieve of Eratosthenes)

```
for( p = 2; p <= n; p++ ) { A[p] = p; } // Init list A with integers from 2 to n.
for( p = 2; p <= floor( sqrt(n) ) ) {
    if( A[p] != 0 ) { // p has not been previously eliminated from list A.
        j = p * p; // Start checking from p^2.
        while( j <= n ) {
            A[j] = 0; // If less than n, mark current element as eliminated.
            j = j + p; } } } // Update current element to check next multiple value.
```

**Question:** It is better a linked list or an array to code the Sieve of Eratosthenes?

**Answer:** An array, because the access operation is faster on arrays than on linked lists.

# ALGORITHMICS - ALGORITHM SPECIFICATIONS

Once you have designed an algorithm, you need to specify it in some fashion:

- **Natural language:** It is easy but its inherent ambiguity makes difficult to describe an algorithm in a succinct and clear way.
- **Pseudocode:** It is a mix of a natural language and programming language constructs. It is usually more precise than natural language, and usually provides more succinct descriptions.
- **Flowchart:** It is a method of expressing an algorithm by a collection of connected geometric shapes containing descriptions of the steps of the algorithm. It is convenient only for very simple algorithms.



# ALGORITHMICS - PROVE CORRECTNESS

Once an algorithm has been designed, you have to prove its **correctness**: that the algorithm yields **correct results for all legitimate inputs in finite time**.

**To prove that an algorithm is correct:** You can use **mathematical induction** because the iterations of an algorithm are an natural (integer) sequence of steps.

**To prove that an algorithm is incorrect:** You need just 1 instance of its input for which the algorithm fails.

**To prove that an approximation algorithms is correct:** You need to demonstrate that the error produced does not exceed a predefined limit.

# ALGORITHMICS - ALGORITHM ANALYSIS

We usually want our algorithms to possess several qualities:

- **Correctness:** Providing a correct result for every legitimate input in a finite time.
- **Time efficiency:** How fast the algorithm runs.
- **Space efficiency:** How much extra memory it uses.
- **Simplicity:** Easy to understand, to program, and to debug.
- **Generality of the problem:** Easier to design algorithms for general problems.
- **Generality of the input:** Handling a set of inputs that is natural for the problem.

*"A designer knows he has arrived at perfection not when there is no longer anything to add, but when there is no longer anything to take away."*

**Antoine de Saint-Exupéry**

# ALGORITHMICS - ALGORITHM IMPLEMENTATION

**Most algorithms will be ultimately implemented as computer programs.**

Programming an algorithm presents both a peril and an opportunity:

- **The peril:** It lies in the possibility of making the transition from an algorithm to a program either incorrectly or very inefficiently.
- **The opportunity:** It is in allowing an empirical analysis of the algorithm.

# ALGORITHMICS - ALGORITHM PROPERTIES

These are some common algorithm properties/types:

- **In-place:** These algorithms process the input using no auxiliary data structures (no extra memory excluding inputs and outputs).
- **Out-of-core:** These algorithms (aka external memory algorithms) are designed to process data that are too large to fit into the memory at once.
- **Stable:** These **sorting** algorithms preserve the order of records with equal keys (this property is defined only for sorting algorithms).

# ALGORITHMICS - IMPORTANT PROBLEM TYPES

This is a brief list of the most important problem types:

- **Sorting:** Rearranging items in a list in non-decreasing order.
- **Searching:** Finding a value in a set.
- **String processing:** Searching a word in a text, etc.
- **Graph problems:** Finding shortest path between two nodes, etc.
- **Combinatorial problems:** Problems related to permutations, combinations, etc.
- **Geometric problems:** Solving geometrical problems (convex-hull, etc.).
- **Numerical problems:** Problems involving continuous mathematical objects.

**These problems allow to show different design and analysis techniques.**

# ALGORITHMICS - DATA STRUCTURE TYPES

Algorithms work on data, so data organization is critical in design-analysis.

These are the main data structure types for computer algorithms:

- **Linear:** A data structure is linear if its data is arranged in a sequence (arrays etc.).
- **Graph:** A set of vertices/nodes connected in pairs by edges/links.
- **Tree:** A connected acyclic graph.
- **Set:** An unordered collection of distinct elements.
- **Dictionary:** A data structure implementing search, insertion, and removal by key.

# ANALYSIS - INTRODUCTION

The **algorithm efficiency analysis** is an investigation in respect to 2 resources:

- **Time efficiency (complexity):** Investigation of the running time of an algorithm.
- **Space efficiency (complexity):** Investigation of the memory used.

This emphasis on efficiency is easy to explain.

- **First**, unlike simplicity and generality, efficiency can be measured.
- **Second**, the efficiency considerations are critical from a practical point of view.

# ANALYSIS - INPUT SIZE

**Most algorithms run longer on larger inputs.  
Therefore, we investigate the  
efficiency as a function of a parameter  $n$  indicating the input size.**

**Note:** Some algorithms require multiple parameters to indicate their input size.

**Note:** Sometimes is the input "magnitude" that determines the input size. In such situations, it is better to use the bit-size of the input to represent the input size.

"number of bits in the binary representation of  $n$ " =  $b = \lfloor \log_2 n \rfloor + 1$



# ANALYSIS - RUNNING TIME UNITS 1

If we use **standard unit of time** (sec etc.) to measure the running time of an implementation of an algorithm, there are some **drawbacks**:

- Results will depend on the speed of a particular HW.
- Results will depend on the quality of the implementation (code, compiler etc.).
- It will be hard to measure the actual running time (time queries etc.).

**To measure of the efficiency of an algorithm,  
we would like a metric that does not depend on these factors  
(hardware and implementation).**

# ANALYSIS - RUNNING TIME UNITS 2

A strategy is to count the number of times each algorithm operation is executed. However, this is both excessively difficult, and usually unnecessary.

The correct approach is:

1. To identify the most important operation of the algorithm (**basic operation**).
2. To compute the **number of times** the basic operation is executed.

As a rule, it is not difficult to identify the basic operation of an algorithm:  
**the basic operation is usually the most time-consuming operation in the innermost loop of the algorithm.**

**Example:** For sorting algorithms usually the basic operation is the comparison.

# ANALYSIS - RUNNING TIME UNITS 3

**Example of input size and basic operation:**

<b>Problem</b>	<b>Input size measure</b>	<b>Basic operation</b>
Search item in list	Number of items in list	Comparison
Multiply 2 matrices	Number of elements	Multiplication
Check prime number	Bit-size of number	Division
Graph problem	Number of vertices/edges	Visit/traverse vertex/edge

# ANALYSIS - RUNNING TIME UNITS 4

So, the efficiency analysis of an algorithm is based on:  
**counting how many times the basic operation is executed on inputs of size  $n$ .**

## Example:

- $c_{op}$  is the execution time of the algorithm basic operation on a particular HW.
- $C(n)$  is the count of this basic operation for a particular implementation.
- We can estimate the running time  $T(n)$  of that implementation as:

$$T(n) \approx c_{op} C(n)$$

# ANALYSIS - RUNNING TIME UNITS 5

$$T(n) \approx c_{op} C(n)$$

Of course this formula should be used with caution.

- The count **C(n)** does not include not-basic operations.
- The constant **c<sub>op</sub>** is an approximation.

**Still, unless the input size  $n$  is extremely large or very small, the formula above gives a reasonable estimate of the algorithm running time.**

# ANALYSIS - RUNNING TIME UNITS 6

$$T(n) \approx c_{op} C(n)$$

This formula also makes it possible to answer questions as:

**Question:** How faster this algorithm runs on an HW that is 10 times faster?

**Answer:** 10 times.

**Question:** If  $C(n) = n(n-1)/2$ , how longer the algorithm runs if we double input size?

**Answer:** Approximately 4 times longer.

$$C(n) = \frac{1}{2}n(n-1) = \frac{1}{2}n^2 - \frac{1}{2}n \approx \frac{1}{2}n^2 \Rightarrow \frac{T(2n)}{T(n)} \approx \frac{c_{op} C(2n)}{c_{op} C(n)} \approx \frac{\frac{1}{2}(2n)^2}{\frac{1}{2}n^2} = 4$$

# ANALYSIS - RUNNING TIME UNITS 7

**Question:** If  $C(n) = n(n-1)/2$ , how longer the algorithm runs if we double input size?

**Answer:** Approximately 4 times longer.

$$C(n) = \frac{1}{2}n(n-1) = \frac{1}{2}n^2 - \frac{1}{2}n \approx \frac{1}{2}n^2 \Rightarrow \frac{T(2n)}{T(n)} \approx \frac{c_{op} C(2n)}{c_{op} C(n)} \approx \frac{\frac{1}{2}(2n)^2}{\frac{1}{2}n^2} = 4$$

**Note:** This answer is independent from the value of  $c_{op}$ .

**Note:** This answer is independent from the multiplicative constant ( $1/2$ ).

This is why the efficiency analysis ignores multiplicative constants and focuses on **the order of growth of  $C(n)$  within a constant multiple for large input sizes.**

# ANALYSIS - ORDER OF GROWTH 1

## Why focus on the order of growth of count $C(n)$ for large input sizes?

- For small input sizes, the difference in running times is not significant to distinguish efficient/inefficient algorithms.
- For large input sizes, it is **the order of growth of the count  $C(n)$  that allows us to distinguish efficient/inefficient algorithms!**

**TABLE 2.1** Values (some approximate) of several functions important for analysis of algorithms

$n$	$\log_2 n$	$n$	$n \log_2 n$	$n^2$	$n^3$	$2^n$	$n!$
10	3.3	$10^1$	$3.3 \cdot 10^1$	$10^2$	$10^3$	$10^3$	$3.6 \cdot 10^6$
$10^2$	6.6	$10^2$	$6.6 \cdot 10^2$	$10^4$	$10^6$	$1.3 \cdot 10^{30}$	$9.3 \cdot 10^{157}$
$10^3$	10	$10^3$	$1.0 \cdot 10^4$	$10^6$	$10^9$		
$10^4$	13	$10^4$	$1.3 \cdot 10^5$	$10^8$	$10^{12}$		
$10^5$	17	$10^5$	$1.7 \cdot 10^6$	$10^{10}$	$10^{15}$		
$10^6$	20	$10^6$	$2.0 \cdot 10^7$	$10^{12}$	$10^{18}$		



# ANALYSIS - ORDER OF GROWTH 2

**TABLE 2.1** Values (some approximate) of several functions important for analysis of algorithms

$n$	$\log_2 n$	$n$	$n \log_2 n$	$n^2$	$n^3$	$2^n$	$n!$
10	3.3	$10^1$	$3.3 \cdot 10^1$	$10^2$	$10^3$	$10^3$	$3.6 \cdot 10^6$
$10^2$	6.6	$10^2$	$6.6 \cdot 10^2$	$10^4$	$10^6$	$1.3 \cdot 10^{30}$	$9.3 \cdot 10^{157}$
$10^3$	10	$10^3$	$1.0 \cdot 10^4$	$10^6$	$10^9$		
$10^4$	13	$10^4$	$1.3 \cdot 10^5$	$10^8$	$10^{12}$		
$10^5$	17	$10^5$	$1.7 \cdot 10^6$	$10^{10}$	$10^{15}$		
$10^6$	20	$10^6$	$2.0 \cdot 10^7$	$10^{12}$	$10^{18}$		

**This table is important for the efficiency analysis of algorithms:**

- The function (count) in the table that is growing the slowest is the **logarithmic function  $\log_2 n$** .
- The functions (counts) in the table that are growing really fast are the **exponential function  $2^n$** , and **factorial function  $n!$** .

# ANALYSIS - ORDER OF GROWTH 3

$$\log_a n = \log_a b \log_b n$$

**Note:** The formula above allows to change the logarithm base, leaving the count **C(n)** logarithmic (the difference is only a multiplicative constant). This is why:  
**we omit the logarithm base when we focus on the order of growth.**

The **logarithmic function** ( **$\log n$** ) grows so slow, that  
**algorithms with logarithmic counts run instantaneously on all input sizes.**

The **exponential-growth functions** ( **$2^n$  and  $n!$** ), while different, grow so fast that  
**algorithms with exponential counts take a lot of time even for small input sizes.**

# ANALYSIS - ORDER OF GROWTH 4

**TABLE 2.1** Values (some approximate) of several functions important for analysis of algorithms

$n$	$\log_2 n$	$n$	$n \log_2 n$	$n^2$	$n^3$	$2^n$	$n!$
10	3.3	$10^1$	$3.3 \cdot 10^1$	$10^2$	$10^3$	$10^3$	$3.6 \cdot 10^6$
$10^2$	6.6	$10^2$	$6.6 \cdot 10^2$	$10^4$	$10^6$	$1.3 \cdot 10^{30}$	$9.3 \cdot 10^{157}$
$10^3$	10	$10^3$	$1.0 \cdot 10^4$	$10^6$	$10^9$		
$10^4$	13	$10^4$	$1.3 \cdot 10^5$	$10^8$	$10^{12}$		
$10^5$	17	$10^5$	$1.7 \cdot 10^6$	$10^{10}$	$10^{15}$		
$10^6$	20	$10^6$	$2.0 \cdot 10^7$	$10^{12}$	$10^{18}$		

## How these functions react to a $\times 2$ increase in input size $n$ ?

- **Logarithmic function  $\log_2 n$**  increases by 1
- **Linear function  $n$**  increases twofold ( $\times 2$ ).
- **Linearithmic function  $n \log_2 n$**  increases more than twofold ( $\times 2$ ).
- **Quadratic function  $n^2$**  increases  $\times 4$ .
- **Cubic function  $n^3$**  increases  $\times 8$ .
- **Exponential function  $2^n$**  gets squared.

# ANALYSIS - EXAMPLE SEQUENTIAL SEARCH 1

The **sequential search** searches for a given item in a list of **n** items by checking successive items until either the given item is found or the list is exhausted.

```
int i = 0;
while( ( i < n ) && ( list[i] != k ) ) { i++; }
if( i < n ) { return i; }
else { return -1; }
```

**The input size for this algorithm is the size of the list.  
However, this algorithm could run differently for lists of the same size.**

**Example:** Sequential search in a list with 10 items, the comparisons performed range from 1 (successful search at front) to 10 (failed search or successful search at back).

# ANALYSIS - EXAMPLE SEQUENTIAL SEARCH 2

**Example:** Sequential search in a list with 10 items:

- In **worst case**, there are no matching items or the 1<sup>st</sup> matching item is the last in the list, so the sequential search makes the maximum number of comparisons:

$$C_{\text{worst}}(10) = 1 \text{ comparison for each list item} = 10$$

- In **best case**, the 1<sup>st</sup> matching item is the first in the list, so the sequential search makes the minimum number of comparisons:

$$C_{\text{best}}(10) = \text{"1 comparison with first list item"} = 1$$

- In **average case**, we have to compute the weighted average count considering all possible scenarios and their probability of happening. For example: successful searches with 80% probability, with equal probability on each item in the list:

$$C_{\text{avg}}(10) = [ (1 \times 8\%) + (2 \times 8\%) + \dots + (10 \times 8\%) ] + (10 \times 20\%) = 6.4$$

# ANALYSIS - WORST-BEST-AVERAGE CASES

**Some efficiencies depend not only on input size, but also on input details.**

In these cases, we cannot describe the count  $C(n)$  as a function of the input size. So, the analysis has to focus on specific types of inputs, in particular:

- The **best case** scenarios, when the algorithm runs the fastest, and  $C_{\text{best}}(n)$  counts the basic-operations performed in these scenarios with input size  $n$ .
- The **worst-case** scenarios, when the algorithm runs the slowest, and  $C_{\text{worst}}(n)$  counts the basic-operations performed in these scenarios with input size  $n$ .
- The **average-case**, when we consider the probability of all possible scenarios of input size  $n$ , classified using their count, and we average the results in:  $C_{\text{avg}}(n)$ .

# ANALYSIS - WORST-CASE EFFICIENCY

The **worst-case efficiency** of an algorithm is its efficiency for the worst-case input of size **n**, for which the algorithm runs the longest among all possible inputs of that size.

To determine the worst-case efficiency of an algorithm:

1. **Check the inputs** that yield the maximum count  **$C(n)$**  among all inputs of size **n**.
2. **Compute the count** in these worst-case scenarios as:  **$C_{\text{worst}}(n)$** .

**The worst-case analysis guarantees that for any instance of size  $n$ , the running time will not exceed the worst-case count  $C_{\text{worst}}(n)$ .**

# ANALYSIS - BEST-CASE EFFICIENCY

The **best-case efficiency** of an algorithm is its efficiency for the best-case input of size **n**, for which the algorithm runs the fastest among all possible inputs of that size.

To determine the best-case efficiency of an algorithm:

1. **Check the inputs** that yield the minimum count  **$C(n)$**  among all inputs of size **n**.
2. **Compute the count** in these best-case scenarios as:  **$C_{\text{best}}(n)$** .

**The best-case analysis is not important as the worst-case analysis, but it allows to discard an algorithm if its best-case efficiency is unsatisfactory.**



# ANALYSIS - AVERAGE-CASE EFFICIENCY 1

An algorithm **average-case efficiency** is its efficiency for the "typical " input of size **n**.

To determine the average-case efficiency of an algorithm:

1. **Classify all inputs** of size **n**, accordingly to the value of the count **C(n)**.
2. **Specify the probability** of each of these classes, accordingly to the problem.
3. **Compute the weighted arithmetic average** as:  **$C_{avg}(n)$** .

**The average-case efficiency is the best approximation of the count  $C(n)$ , whenever the count  $C(n)$  cannot be described by a function.**

# ANALYSIS - AVERAGE-CASE EFFICIENCY 2

**Note:** The average-case efficiency  $C_{\text{avg}}(n) \neq (C_{\text{worst}}(n) + C_{\text{best}}(n)) / 2$ .

To analyze the average-case efficiency we need assumptions on all inputs of size **n**:

1. **Know/describe all inputs** of size **n**, otherwise we cannot classify them.
2. **Know/specify the probability** of each input class.

**The analysis of the average-case efficiency is more difficult than the analyses of the worst-case and best-case efficiencies.**

# ANALYSIS - EXAMPLE SEQUENTIAL SEARCH 3

**Problem:** The **sequential search** searches for a given item in a list of **n** items by checking successive items until either the given item is found or the list is exhausted.

```
int i = 0;
while( ( i < n ) && ( list[i] != k ) ) { i++; }
if( i < n ) { return i; }
else { return -1; }
```

- **Input size:** The number of items in the list (**n**).
- **Basic operation:** The **comparison** between a list item and the input item.
- **Worst case:**  $C_{\text{worst}}(n) = 1 \text{ comparison for each list item} = n$
- **Best case:**  $C_{\text{best}}(n) = "1 \text{ comparison with first list item}" = 1$

# ANALYSIS - EXAMPLE SEQUENTIAL SEARCH 4

**Problem:** The **sequential search** searches for a given item in a list of **n** items by checking successive items until either the given item is found or the list is exhausted.

- **Average case:** Consider **p** the probability of a successful search.
  - **Successful searches:** The probability of the 1<sup>st</sup> match occurring at position **i** is **p/n** for every **i**, and the number of comparisons made is **i**.
  - **Unsuccessful searches:** The probability is **1-p** and the comparisons are **n**.

$$C_{\text{avg}}(n) = \left[ 1 \frac{p}{n} + 2 \frac{p}{n} + \dots + n \frac{p}{n} \right] + n (1 - p) = \frac{p (n + 1)}{2} + n (1 - p)$$

# ANALYSIS - ASYMPTOTIC NOTATIONS

The efficiency analysis focuses on the order of growth of count **C(n)**.  
To compare and rank such order of growth, we use 3 notations:

- **Big O notation,  $O$ .**
- **Big omega notation,  $\Omega$ .**
- **Big tetha notation,  $\Theta$ .**

**Note:** In the following discussion, **t(n)** and **g(n)** can be any non-negative functions defined on the set of natural numbers. In the context we are interested in, **t(n)** will be the running time of an algorithm (usually indicated by the basic operation count **C(n)**), and **g(n)** will be some simple function to compare **t(n)** with.

# ANALYSIS - BIG O NOTATION 1

**$O(g(n))$  is the set of all functions with a lower or same order of growth as  $g(n)$**   
(to within a constant multiple, as  $n$  goes to infinity).

## Example of assertions using Big O notation

$456 \in O(n^2)$	Constant has lower order of growth than quadratic.
------------------	--

$100n + 5 \in O(n^2)$	Linear has lower order of growth than quadratic.
-----------------------	--

$n(n-1)/2 \in O(n^2)$	Quadratic has same order of growth than quadratic.
-----------------------	--

$n^3 \notin O(n^2)$	Cubic has higher order of growth than quadratic.
---------------------	--

$0.001n^3 \notin O(n^2)$	Cubic has higher order of growth than quadratic.
--------------------------	--

$n^4 + n + 1 \notin O(n^2)$	4-deg-poly has higher order of growth than quadratic.
-----------------------------	---

# ANALYSIS - BIG O NOTATION 2

**$O(g(n))$  is the set of all functions with a lower or same order of growth as  $g(n)$**   
(to within a constant multiple, as  $n$  goes to infinity).

Function  **$t(n)$**  is in  **$O(g(n))$** , if  
 **$t(n)$**  is upper bounded by a  
positive constant multiple of  **$g(n)$**  for all large  **$n$** .

That is, if there are:

- A positive constant  **$c$** .
- A non-negative integer  **$n_0$** .
- Such that:

$$t(n) \leq c g(n), \quad \text{for all } n \geq n_0.$$

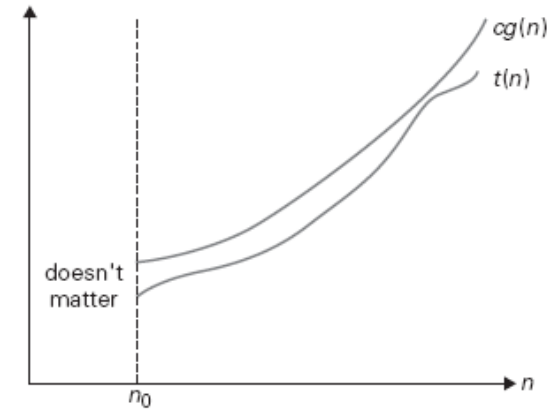


FIGURE 2.1 Big-oh notation:  $t(n) \in O(g(n))$ .

# ANALYSIS - BIG OMEGA NOTATION 1

$\Omega(g(n))$  is the set of all functions with a higher or same order of growth as  $g(n)$   
(to within a constant multiple, as  $n$  goes to infinity).

## Example of assertions using Big Omega notation

$n^4 \in \Omega(n^2)$	4-deg-poly has higher order of growth than quadratic.
-----------------------	---

$100 n^3 + 5 \in \Omega(n^2)$	Cubic has higher order of growth than quadratic.
-------------------------------	--

$n(n-1)/2 \in \Omega(n^2)$	Quadratic has same order of growth than quadratic.
----------------------------	--

$n \notin \Omega(n^2)$	Linear has lower order of growth than quadratic.
------------------------	--

$999 n + 999 \notin \Omega(n^2)$	Linear has lower order of growth than quadratic.
----------------------------------	--

$987 \notin \Omega(n^2)$	Constant has lower order of growth than quadratic.
--------------------------	--



# ANALYSIS - BIG OMEGA NOTATION 2

$\Omega(g(n))$  is the set of all functions with a higher or same order of growth as  $g(n)$   
(to within a constant multiple, as  $n$  goes to infinity).

Function  $t(n)$  is in  $\Omega(g(n))$ , if  
 $t(n)$  is lower bounded by a  
positive constant multiple of  $g(n)$  for all large  $n$ .

That is, if there are:

- A positive constant  $c$ .
- A non-negative integer  $n_0$ .
- Such that:

$$t(n) \geq c g(n), \quad \text{for all } n \geq n_0.$$

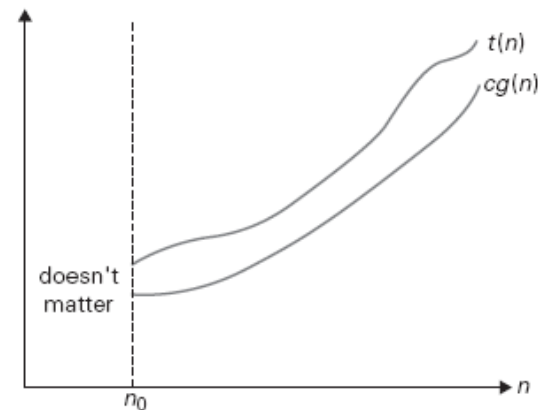


FIGURE 2.2 Big-omega notation:  $t(n) \in \Omega(g(n))$ .

# ANALYSIS - BIG TETHA NOTATION 1

**$\Theta(g(n))$  is the set of all functions with the same order of growth as  $g(n)$**   
(to within a constant multiple, as  $n$  goes to infinity).

## Example of assertions using Big Tetha notation

$3n^2 + 2n + 1 \in \Theta(n^2)$	Quadratic has same order of growth than quadratic.
$n^2 + \sin n \in \Theta(n^2)$	Quadratic has same order of growth than quadratic.
$n^2 + \log n \in \Theta(n^2)$	Quadratic has same order of growth than quadratic.
$n \notin \Theta(n^2)$	Linear has lower order of growth than quadratic.
$n^3 \notin \Theta(n^2)$	Cubic has higher order of growth than quadratic.
$987 \notin \Theta(n^2)$	Constant has lower order of growth than quadratic.

# ANALYSIS - BIG TETHA NOTATION 2

**$\Theta(g(n))$  is the set of all functions with the same order of growth as  $g(n)$**   
(to within a constant multiple, as  $n$  goes to infinity).

Function  **$t(n)$**  is in  **$\Theta(g(n))$** , if  
 **$t(n)$**  is both upper and lower bounded by two  
positive constant multiples of  **$g(n)$**  for all large  **$n$** .

That is, if there are:

- A positive constants  **$c_1$**  and  **$c_2$** .
- A non-negative integer  **$n_0$** .
- Such that:

$$c_2 g(n) \leq t(n) \leq c_1 g(n), \quad \text{for all } n \geq n_0.$$

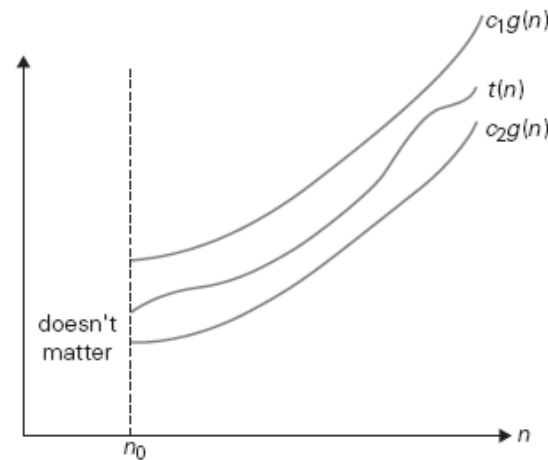


FIGURE 2.3 Big-theta notation:  $t(n) \in \Theta(g(n))$ .

# ANALYSIS - LIMITS AND ORDERS OF GROWTH

To compare orders of growth of 2 functions,

**compute the limit for  $n \rightarrow \infty$  of the ratio of the 2 functions.**

Three principal cases may arise ( $c$  is a positive constant):

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \begin{cases} 0 & \Rightarrow t(n) \text{ has lower order of growth than } g(n) \Rightarrow t(n) \in O(g(n)) \\ c & \Rightarrow t(n) \text{ has same order of growth than } g(n) \Rightarrow t(n) \in \Theta(g(n)) \\ \infty & \Rightarrow t(n) \text{ has higher order of growth than } g(n) \Rightarrow t(n) \in \Omega(g(n)) \end{cases}$$

**Note:** Sometimes, the limit of the ratio of the two functions may not exist, so in these cases you should use the definitions to compare the orders of growth.

# ANALYSIS - ORDERS OF GROWTH PROPERTIES

$$t_1(n) \in O(g_1(n)) \text{ AND } t_2(n) \in O(g_2(n))$$

**Theorem:**

$\Downarrow$

$$t_1(n) + t_2(n) \in O(\max\{g_1(n), g_2(n)\})$$

**Note:** Analogous assertions are true for  $\Omega$  and  $\Theta$  notations.

**L'Hôpital's rule:**

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{t'(n)}{g'(n)}$$

**Stirling's formula:**

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n, \quad \text{for large values of } n.$$

# ANALYSIS - BASIC EFFICIENCY CLASSES

## Basic asymptotic efficiency classes

<b>1</b>	constant	Usually a short for best-case efficiencies.
<b>log n</b>	logarithmic	Reducing problem size by constant factor each iteration.
<b>n</b>	linear	Usually algorithms that scan a list of size n.
<b>n log n</b>	linearithmic	Many divide-and-conquer algorithms.
<b>n<sup>2</sup></b>	quadratic	Algorithms with two embedded loops.
<b>n<sup>3</sup></b>	cubic	Algorithms with three embedded loops.
<b>2<sup>n</sup></b>	exponential	Algorithms that generate all subsets of an n-items set.
<b>3<sup>n</sup></b>	exponential	a <sup>n</sup> has different order of growth for different values of a.
<b>n!</b>	factorial	Algorithms generating all permutations of an n-items set.

# ANALYSIS - NON-RECURSIVE ALGORITHMS 1

To analyze the time-efficiency of non-recursive algorithms:

1. Decide on the parameters representing the **size of the input  $n$** .
2. Identify the algorithm **basic operation** (usually located in the innermost loop).
3. Check if the count of the basic operation depends only on the input size. If it also depends on other properties, the **worst-/best-/average-cases** are necessary.
4. Set up a **sum** expressing the count of the basic operation.
5. Solve the **sum** into a **closed-form formula** or find its **order of growth**.

# ANALYSIS - NON-RECURSIVE ALGORITHMS 2

**Summation rules and formulas useful in analyze non-recursive algorithms:**

**Rule 1:**  $\sum_{i=l}^u c a_i = c \sum_{i=l}^u a_i$

**Rule 2:**  $\sum_{i=l}^u (a_i \mp b_i) = \sum_{i=l}^u a_i \mp \sum_{i=l}^u b_i$

**Formula 1:**  $\sum_{i=l}^u 1 = u - l + 1$ , where  $l \leq u$  are lower and upper limits.

**Formula 2:**  $\sum_{i=0}^n i = \sum_{i=1}^n i = 1 + 2 + \dots + n = \frac{n(n+1)}{2} \approx \frac{1}{2} n^2 \in \Theta(n^2)$



# ANALYSIS - EXAMPLE ELEMENT UNIQUENESS 1

**Problem:** The **element uniqueness** checks if all items in a list of **n** items are distinct.

```
boolean checkElementUniqueness( int[] list ) {  
    for( int i = 0; i < list.length - 1; i++ ) {  
        for( int j = i+1; j < list.length; j++ ) {  
            if( list[i] == list[j] ) { return false; }  
        }  
    }  
    return true;  
}
```

- **Input size:** The number of items in the list (**n**).
- **Basic operation:** The **comparison** between 2 list items.
- **Best case:**  $C_{\text{best}}(n) = \text{"1st comparison fails"} = 1$

# ANALYSIS - EXAMPLE ELEMENT UNIQUENESS 2

- **Worst case:** The comparisons performed  $C_{\text{worst}}(n)$  are the max (in lists of size  $n$ ):
  - Lists with no equal items.
  - Lists in which the last 2 items are the only pair of equal items.

$$\begin{aligned} C_{\text{worst}}(n) &= \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} [ (n-1) - (i+1) + 1 ] = \sum_{i=0}^{n-2} (n-1-i) = \\ &= (n-1) + (n-2) + \dots + 1 = \frac{(n-1)n}{2} = \frac{1}{2}n^2 - \frac{1}{2}n \in \Theta(n^2) \end{aligned}$$

# ANALYSIS - RECURSIVE ALGORITHMS 1

To analyze the time-efficiency of recursive algorithms:

1. Decide on the parameters representing the **size of the input  $n$** .
2. Identify the algorithm **basic operation** (usually located in the innermost loop).
3. Check if the count of the basic operation depends only on the input size. If it also depends on other properties, the **worst-/best-/average-cases** are necessary.
4. Set up a **recursive definition** expressing the count of the basic operation.
5. Solve the **recursive definition** into a **closed-form formula** or find its **order of growth**.

# ANALYSIS - RECURSIVE ALGORITHMS 2

## Methods useful in analyze recursive algorithms:

- Forward Substitutions
- Backward Substitutions
- Smooth Function
- Smoothness Rule
- Master Theorem

# ANALYSIS - RECURSIVE ALGORITHMS 3

Methods useful in analyze recursive algorithms: **Backward Substitutions**

1. Starting from the recursive count  **$C(n)$** .
2. Apply iteratively the recurrence relation from the count definition.
3. Identify the pattern, parameterizing the equation.
4. Solve the pattern using last legit value for the parameter, and base case.

# ANALYSIS - RECURSIVE ALGORITHMS 4

Methods useful in analyze recursive algorithms: **Smooth Function**

**Eventually Non-Decreasing Function:** Let  $C(n)$  be a non-negative function defined on natural numbers.  $C(n)$  is eventually non-decreasing if there is a non-negative integer  $n_0$  so that  $C(n)$  is non-decreasing in the interval  $[n_0, \infty)$ .

**Smooth Function:** Let  $C(n)$  be a non-negative function defined on natural numbers.  $C(n)$  is called **smooth** if it is **eventually non-decreasing** and:

$$C(2n) \in \Theta(C(n))$$

**Note:** Fast-growing functions, such as  $a^n$  (with  $a > 1$ ) and  $n!$  are not smooth.

# ANALYSIS - RECURSIVE ALGORITHMS 5

Methods useful in analyze recursive algorithms: **Smoothness Rule**

**Smoothness Rule:** Let  $C(n)$  be an **eventually non-decreasing** function and let  $f(n)$  be a **smooth** function.

$$\begin{array}{ccc} C(n) \in \Theta(f(n)), & \forall n = b^k, b \geq 2 \\ \Downarrow & \\ C(n) \in \Theta(f(n)), & \forall n \end{array}$$

**Note:** Analogous results hold for  $\mathcal{O}$  and  $\Omega$  as well.

# ANALYSIS - EXAMPLE FACTORIAL 1

**Problem:** Compute the **factorial**  $F(n)=n!$  (recursively) for a non-negative integer  $n$ .

```
int F( int n ) {  
    if( n == 0 ) { return 1; }  
    else { return ( F( n-1 ) * n ); }  
}
```

$$F(n) = n! = \begin{cases} 1, & \text{if } n = 0. \\ F(n - 1) \times n, & \text{if } n \geq 1. \end{cases}$$

- **Input size:** The magnitude of the input number ( $n$ ).
- **Basic operation:** The **multiplication** between 2 integers.



# ANALYSIS - EXAMPLE FACTORIAL 2

- **Count basic operation:** It depends only on input size, and we call it **M(n)**.

$$\text{algorithm factorial} = F(n) = n! = \begin{cases} 1, & \text{if } n = 0. \\ F(n - 1) \times n, & \text{if } n \geq 1. \end{cases}$$

$$\text{count multiplications} = M(n) = \begin{cases} 0, & \text{if } n = 0. \\ M(n - 1) + 1, & \text{if } n \geq 1. \end{cases}$$

**Note:** Once the recursive definition for the count is complete, we can use several techniques to solve it into a closed-form formula, or to determine its order of growth.

# ANALYSIS - EXAMPLE FACTORIAL 3

$$\text{count multiplications} = M(n) = \begin{cases} 0, & \text{if } n = 0. \\ M(n - 1) + 1, & \text{if } n \geq 1. \end{cases}$$

To solve  **$M(n)$**  we use the **method of backward substitutions**:

apply  
recurrence  $\rightarrow$   
relation

$$\begin{aligned} M(n) &= M(n - 1) + 1 = \\ &= [M(n - 2) + 1] + 1 = M(n - 2) + 2 = \\ &= [M(n - 3) + 1] + 2 = M(n - 3) + 3 = \dots \end{aligned}$$

identify pattern  $\rightarrow$

$$M(n) = M(n - i) + i, \quad i \in [0, n]$$

solve pattern for last  $i \rightarrow$

$$M(n) = M(n - i) + i = M(n - n) + n = M(0) + n = \mathbf{n}$$

# ANALYSIS - EXAMPLE BINARY DIGITS 1

**Problem:** Find the **number of binary digits** of a positive integer **n**.

```
int F( int n ) {  
    if( n == 1 ) { return 1; }  
    else { return F( (int) Math.floor( n / 2 ) ) + 1; }  
}
```

$$F(n) = \text{"number of binary digits of integer } n" = \begin{cases} 1, & \text{if } n = 1. \\ F(\lfloor n / 2 \rfloor) + 1, & \text{if } n > 1. \end{cases}$$

- **Input size:** The magnitude of the input number (**n**).
- **Basic operation:** The **addition** between 2 integers (e.g. increment by 1).

# ANALYSIS - EXAMPLE BINARY DIGITS 2

- **Count basic operation:** It depends only on input size, and we call it **A(n)**.

$$\text{algorithm binary digits} = F(n) = \begin{cases} 1, & \text{if } n = 1. \\ F(\lfloor n / 2 \rfloor) + 1, & \text{if } n > 1. \end{cases}$$

$$\text{count additions} = A(n) = \begin{cases} 0, & \text{if } n = 1. \\ A(\lfloor n / 2 \rfloor) + 1, & \text{if } n > 1. \end{cases}$$

**Note:** The **floor** operator in recursive definition makes the method of **Backward Substitutions** useless on values of  $n \neq 2^k$ . So, we solve this recursive definition for  $n=2^k$  ( $k=\log_2 n$ ), and then we use the **Smoothness Rule**.

# ANALYSIS - EXAMPLE BINARY DIGITS 3

$$\text{count additions} = A(n) = \begin{cases} 0, & \text{if } n = 1. \\ A(\lfloor n / 2 \rfloor) + 1, & \text{if } n > 1. \end{cases}$$

To solve **A(n)** we use the **method of backward substitutions for  $n=2^k$  ( $k=\log_2 n$ )**:

apply  
recurrence  $\rightarrow$   
relation

$$\begin{aligned} A(n) &= A(2^k) = A(\lfloor 2^k / 2 \rfloor) + 1 = A(2^{k-1}) + 1 = \\ &= [A(\lfloor 2^{k-1} / 2 \rfloor) + 1] + 1 = A(2^{k-2}) + 2 = \\ &= [A(\lfloor 2^{k-2} / 2 \rfloor) + 1] + 2 = A(2^{k-3}) + 3 = \dots \end{aligned}$$

identify pattern  $\rightarrow$

$$A(2^k) = A(2^{k-i}) + i, \quad i \in [0, k]$$

solve pattern for last  $i \rightarrow$

$$A(2^k) = A(2^{k-i}) + i = A(2^{k-k}) + k = A(1) + k = k$$

# ANALYSIS - EXAMPLE BINARY DIGITS 4

$$\text{count additions} = A(n) = \begin{cases} 0, & \text{if } n = 1. \\ A(\lfloor n / 2 \rfloor) + 1, & \text{if } n > 1. \end{cases}$$

The result of the **method of backward substitutions for  $n=2^k$  ( $k=\log_2 n$ ):**

$$A(n) = A(2^k) = k = \log_2 n \in \Theta(\log n), \quad \forall n = 2^k$$

$\Downarrow$

$\log n$  is smooth, so we can apply smoothness rule

$\Downarrow$

$$A(n) = A(2^k) = k = \log_2 n \in \Theta(\log n), \quad \forall n$$

# ANALYSIS - EMPIRICAL ANALYSIS 1

To empirically analyze the time-efficiency of algorithms:

1. Decide **metric** and its **units** to be measured (count, time).
  2. Decide **input specs** (range, size) and create the **input sample** (set).
  3. Run **algorithm on input sample**, and **record the data** (metric and units).
2. Process and **analyze the data**.

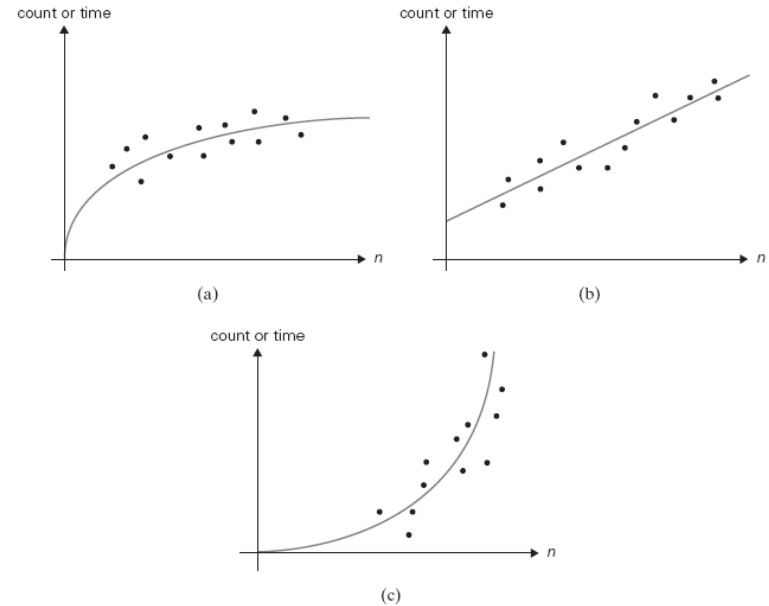


FIGURE 2.7 Typical scatter plots. (a) Logarithmic. (b) Linear. (c) One of the convex functions.

# ANALYSIS - EMPIRICAL ANALYSIS 2

## Considerations useful to empirically analyze the time-efficiency of algorithms:

- The **experiment design** depends on the goal (comparison, efficiency check).
- Operating system **time queries** are not accurate.
- If running time is small, you can **measure several runs** at the same time.
- On a **time-sharing operating system**, time queries include other processes.
- Time different program parts can pinpoint a bottleneck (**profiling**).



# ANALYSIS - ALGORITHM VISUALIZATION 1

There is another way to study algorithms, **algorithm visualization**:

- Visualize the **algorithm operations**.
- Display the **algorithm performance on different inputs**.
- Graph the **comparison of various algorithm performances** for same problem.

There are 2 main applications of algorithm visualization:

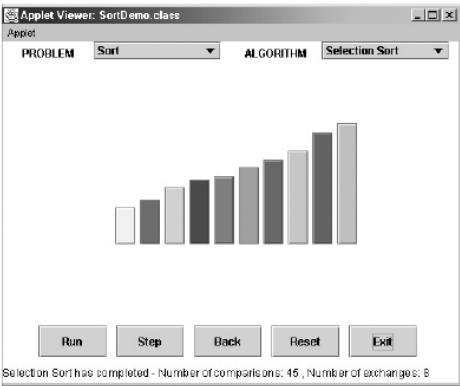
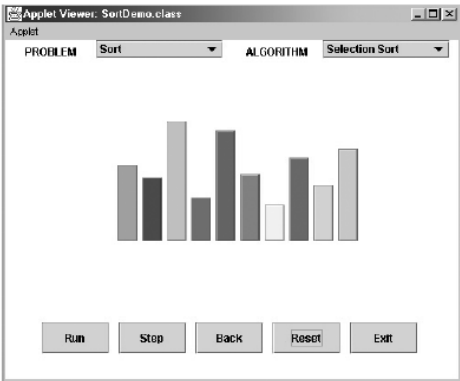
- **Research:** Help researchers uncover some unknown algorithm features.
- **Education:** Help students learning algorithms.

There are 2 principal variations of algorithm visualization:

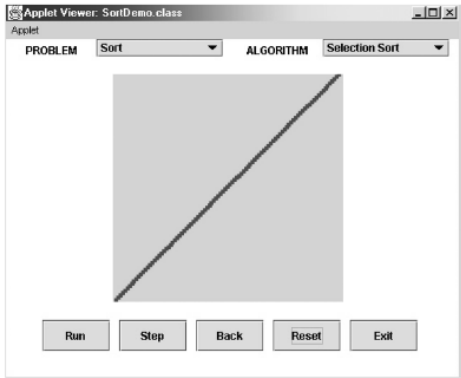
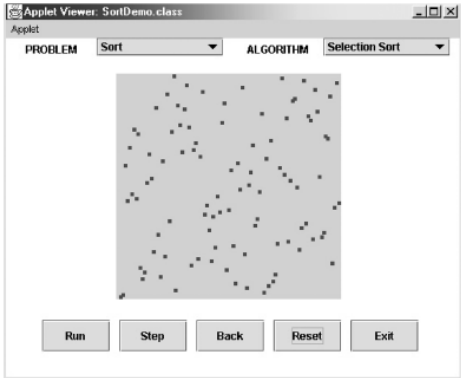
- **Static:** Shows the progress of an algorithm through a series of still images.
- **Dynamic (Animation):** Shows a movie-like animation of algorithm operations.

# ANALYSIS - ALGORITHM VISUALIZATION 2

## Examples of algorithm visualization



**FIGURE 2.8** Initial and final screens of a typical visualization of a sorting algorithm using the bar representation.



**FIGURE 2.9** Initial and final screens of a typical visualization of a sorting algorithm using the scatterplot representation.

# ANALYSIS - DATA STRUCTURES

- **Linear:** A data structure is linear if its data is arranged in a sequence.
  - **Array-Based:** Array-list, stacks, and queues.
  - **Reference-Based:** Linked lists, and all their variations (circular etc.).
- **Tree:** A connected acyclic graph.
  - **Reference-Based:** Hierarchy of nodes (standard, first-child-next-sibling).
  - **Array-Based:** Hierarchy implicit in array indexing (standard, heaps).
- **Graph:** A set of vertices/nodes connected in pairs by edges/links.
  - **Adjacency Matrix:** 2D array, storing vertex connectivity.
  - **Adjacency Lists:** 1D array of linked lists, storing vertex connectivity.
  - **Edge List:** 1D array of graph edges.
- **Set:** A data structure that can store unique items in no particular order.
  - **Bit Vector:** 1D bit-array associating each bit to an item in the set.
- **Dictionary:** A data structure implementing search, insertion, and removal by key.
  - **Hashing:** Hash table, array with custom key-based indexing.

# ANALYSIS - LINEAR ARRAY-BASED 1

An **array** is a series of items of same type, **stored contiguously** in memory and accessible by using an **index**.



FIGURE 1.3 Array of  $n$  elements.

**Each array item can be accessed in the same constant time regardless of where the item is indexed in the array.**

Linear data structures using arrays:

- **Array-Based Lists:** Data packed in left part, leaving empty right part.
- **Stacks:** Top at array back, bottom at array front.
- **Queues:** Circular array to avoid data drifting.

# ANALYSIS - LINEAR ARRAY-BASED 2

## Efficiency of linear array-based data structures

array-list	single access	$\Theta(1)$ : constant time.
array-list	insert/remove	$\Omega(1)$ , $O(n)$ : shifts are time-consuming.
array-list	resize	$\Theta(n)$ : data transfer is time-consuming.
stack	peek/pop/push	$\Theta(1)$ : constant time.
stack	resize	$\Theta(n)$ : data transfer is time-consuming.
queue	peek/dequeue/enqueue	$\Theta(1)$ : constant time.
queue	resize	$\Theta(n)$ : data transfer is time-consuming.

# ANALYSIS - LINEAR REFERENCE-BASED 1

A **linked list (LL)** is a series of nodes, each containing this information:

- **Data** of this LL item.
- **Links** to other LL nodes.

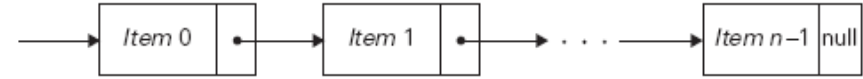


FIGURE 1.4 Singly linked list of  $n$  elements.

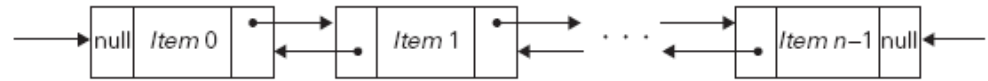


FIGURE 1.5 Doubly linked list of  $n$  elements.

**Linked list items are accessed only by starting from an entry point (head, tail) so the access time depends on the length of the path entry-point-to-item.**

Linear data structures using references:

- **Linked Lists (LLs):** Entry point at front (head), only forward traversal possible.
- **Circular/Doubly/Tail LLs:** Variations of LLs, diverse entry points and links.
- **Stacks:** Top at LL front, bottom at LL back.
- **Queues:** LL with tail, front at LL head, back at LL tail.

# ANALYSIS - LINEAR REFERENCE-BASED 2

## Efficiency of linear reference-based data structures

linked list	single access	$\Omega(1)$ , $O(n)$ : access starts at entry point.
linked list	insert/remove	$\Omega(1)$ , $O(n)$ : limited by access time.
circular doubly LL	single access	$\Omega(1)$ , $O(n)$ : improved avg access time.
circular doubly LL	insert/remove	$\Omega(1)$ , $O(n)$ : improved avg access time.
stack	peek/pop/push	$\Theta(1)$ : constant time.
queue	peek/deq/enq	$\Theta(1)$ : constant time.

# ANALYSIS - TREE REPRESENTATIONS 1

A **tree** is a set of nodes with a **hierarchical structure** (parent-child relations among nodes)

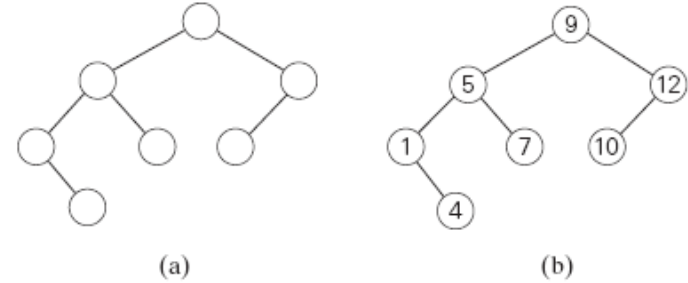


FIGURE 1.12 (a) Binary tree. (b) Binary search tree.

**The performance of a tree is mainly driven by its depth/height so it is convenient to control its shape to ensure the data is packed.**

Tree representations:

- **Reference-Based:** Standard hierarchy of nodes linked using references.
- **Array-Based:** 1D array of linked lists, storing vertex connectivity.
- **First-Child-Next-Sibling:** Reference-based, non-standard.



# ANALYSIS - TREE REPRESENTATIONS 2

## Efficiency of tree representations

reference-based BST	search/ins/del	$\Omega(1)$ , $O(n)$ : depends on depth/shape.
reference-based BST	traversal	$\Theta(n)$ : need to visit all nodes.
array-based BST	search/ins/del	$\Omega(1)$ , $O(n)$ : depends on depth/shape.
array-based BST	traversal	$\Theta(n)$ : need to visit all nodes.
array-based heap	search	$\Theta(1)$ :
array-based heap	insert/delete	$\Omega(1)$ , $O(\log n)$ : always complete.

# ANALYSIS - GRAPH REPRESENTATIONS 1

A **graph** is a set of vertices, connected by edges that could be weighted or directed/undirected.

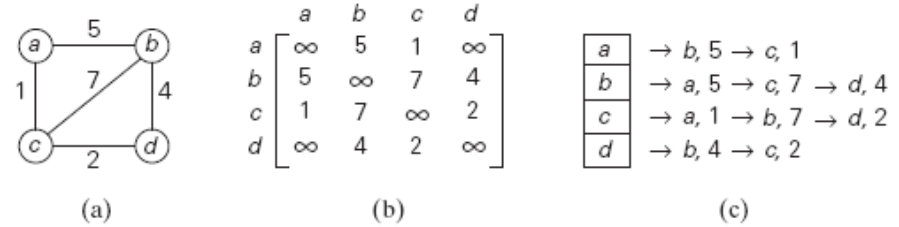


FIGURE 1.8 (a) Weighted graph. (b) Its weight matrix. (c) Its adjacency lists.

**Each array item can be accessed in the same constant time regardless of where the item is indexed in the array.**

Graph representations:

- **Adjacency Matrix:** 2D array, storing vertex connectivity.
- **Adjacency Lists:** 1D array of linked lists, storing vertex connectivity.
- **Edge List:** 1D array of graph edges.

# ANALYSIS - GRAPH REPRESENTATIONS 2

## Efficiency of graph representations

adjacency matrix	check edge a-b	$\Theta(1)$ : constant time.
adjacency matrix	add new vertex	$\Theta(n^2)$ : resize 2D matrix.
adjacency matrix	delete vertex	$\Theta(n)$ : clear 1 row and 1 column.
adjacency lists	check edge a-b	$\Omega(1)$ , $O(n)$ : depends on LL efficiency.
adjacency lists	add new vertex	$\Theta(n)$ : resize 1D array.
adjacency lists	delete vertex	$\Theta(1)$ : clear 1 array cell.
edge list	any operation	$\Omega(1)$ , $O(n)$ : same as array-list efficiency.

