## **Understanding Neural Networks**

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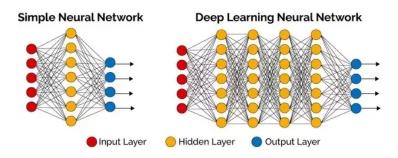
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Taiwan

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- Introduction
- 2 Congergent learning (ICLR 2016)
- Transferable (NIPS 2014)

### What do neural networks learn?



- such that they can usually generalize
- such that transfer learning is possible
- . . .

- How transferable are features in deep neural networks? (NIPS 2014)
- Convergent Learning: Do different neural networks learn the same representations? (ICLR 2016)
- Towards Understanding Learning Representations: To what extent do different neural networks learn the same representation? (NIPS 2018)

### Selected Authors

- Jason Yosinski : Ph.D. at Cornell, now at Uber Al Lab
  - understanding neural networks and figuring out how to make them better
- John E. Hopcroft: 79 years old, 1986 Turing Awards
- Liwei Wang : several papers related to generalization issues

Neural networks are found to learn general features on the first layer.

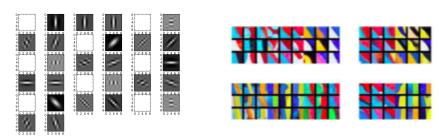


FIGURE - Color blobs

FIGURE - Gabor filter

- When to change from **general** to **specific** for a particular dataset?
- Can we use the **general** part of NN for transfer learning?

# Possible benefits of transfer learning

- When the target dataset is significantly small, transfer learning can be a powerful tool to prevent overfitting.
- We can <u>learn</u> a new task with just few training samples. (testing error of the new task is close to its training error)
- Save time and memories

Can we quantify or even measure the degree to which a particular layer is general or specific?

## How general?

- Universally general? (the feature can be applied to any task)
- some particular features often appear (Use same network architecture, some particular features appear even with different initialization.)
- Transferable to the same task? (Use same network architecture, the learned feature can be applied to other samples in the same task.)
- Transferable to other tasks? (transferable to several/particular tasks such that it can perform at least as good as relearn the task)

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## Convergent learning

Use the same network architecture, would features learned by multiple networks converge to a set of particular features? (one-to-one mapping or span similar low-dimensional subspaces or neither of them)

#### Basic Settings

- same architecture (5 convolutional layers + 3 fully-connected)
- same training dataset (ImageNet)
- different random initializations (results in Net1, Net2)

# Quantify similarity

Calculate correlation of two random variables,  $X_{\ell,i}^n$  and  $X_{\ell,j}^m$ .

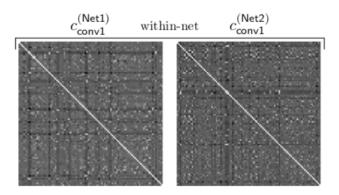
- n, m: Netn and Netm (n, m can be the same)
- $\ell$ : layer  $\ell \in \{\text{conv1}, \text{conv2}, \text{conv3}, \text{conv4}, \text{conv5}, \text{fc6}, \text{fc7}\}$
- i, j: i's activation unit in layer  $\ell$  v.s. j's activation unit in layer  $\ell$  (i, j can be the same)
- unit: hidden nodes for fully-connected layers while sum over values of all spatial positions of a filter/channel.

ex. Mean :  $\mu_{\ell,i}^n = \mathbb{E}[X_{\ell,i}^n]$  (aggregating over the validation set)

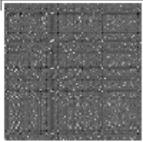
- within-net correlation :  $\mathbb{E}[(X_{\ell,i}^n \mu_{\ell,i}^n)(X_{\ell,j}^n \mu_{\ell,j}^n)]/\sigma_{\ell,i}^n\sigma_{\ell,j}^n$
- ullet between-net correlation :  $\mathbb{E}[(X_{\ell,i}^n-\mu_{\ell,i}^n)(X_{\ell,j}^m-\mu_{\ell,j}^m)]/\sigma_{\ell,i}^n\sigma_{\ell,j}^m$

### Results for within-net correlation

Correlation value serves as a way of measuring how related the activations of one unit are to another unit, either within the network or between networks.



### Results for between-net correlation



natural (unaligned) order

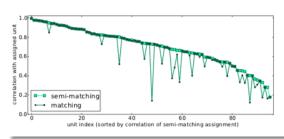
Is there a one-to-one alignment between features learned by different neural networks?

If we allow ourselves to permute the units of one network, to what extent can we find equivalent or nearly-equivalent units across networks?

#### bipartite semi-matching

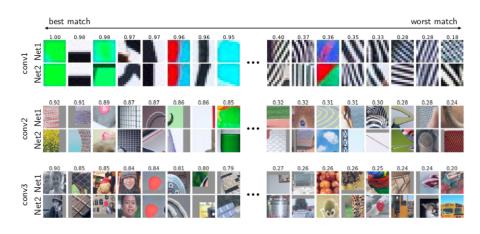
For each unit in Net1, we find the unit in Net2 with maximum correlation to it.

Net1	1	2	3	4	5	6	7	8	9	10
Net2	5	2	6	1	8	4	2	9	6	7
	0.9	0.82	0.7	8.0	1	0.95	0.82	0.7	0.97	0.78



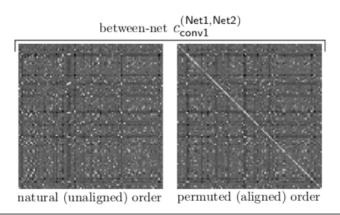
## bipartite semi-matching

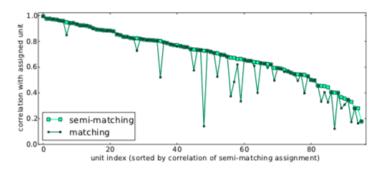
Plot the image patch from the validation set that causes the highest activation for that unit.



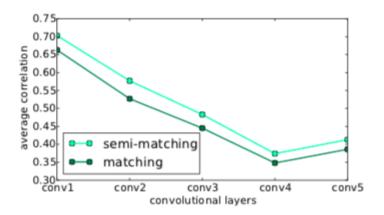
#### bipartite matching

Find a one-to-one assignment between Net1 and Net2 such that the correlation is maximized.





Correlations between paired conv1 units in Net1 and Net2.

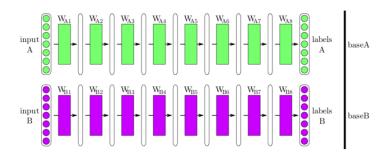


Average correlations between paired conv1 units in Net1 and Net2.

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### Experiments

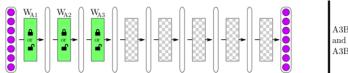
- Prepare non-overlapping task A and task B (can be either similar or not, which will be specified later)
- Two eight-layer CNN on A and B respectively.



# Transfer networks example

• **selffer** network BnB : the first *n* layers are copied from baseB and frozen. The higher layers are initialized randomly and trained on dataset B.

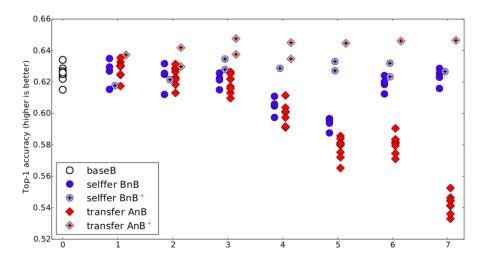
• **transfer** network AnB : the first *n* layers are copied from baseA and frozen. The higher layers are initialized randomly and trained on dataset B.



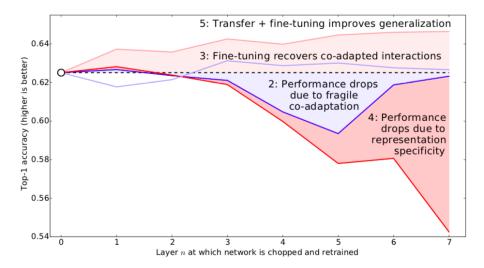
#### **Datasets**

- for transferable to the similar task: Randomly assign half of the 1000 ImageNet classes to A and half to B. (ImageNet contains clusters of similar classes, ex: several kinds of dogs and cats)
- for transferable to different tasks:551 classes in the man-made group and 449 in the natural group

## Results for transferable to the similar task



## Results for transferable to the similar task



#### Discussion

- The first two layers are general for they can be transferred almost perfectly from A to B.
- AnB<sup>+</sup> performs extraordinary well.
- B4B, B5B exhibit worse performance. (the reason is unknown)
  (Authors: The original network contained fragile co-adapted
  features on successive layers, that is, features that interact with
  each other in a complex or fragile way such that this
  co-adaptation could not be relearned by the upper layers alone.)
- AnB might caused by two effects: the drop from lost co-adaptation and the drop from features that are less and less general

### Results for transferable to a different task

• task A : man-made

task B : natural

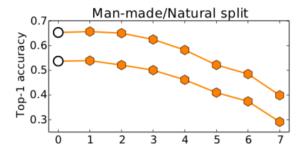
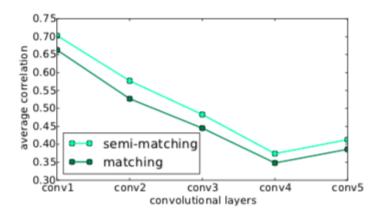


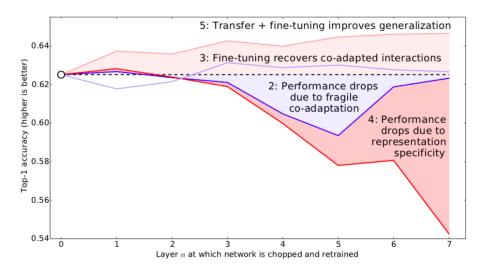
FIGURE - upper : baseB and AnB

Although the two tasks now are less similar, the first two layers are still quite general.

## Recap two results



## Recap two results



#### References

- How transferable are features in deep neural networks? (NIPS 2014)
- Convergent Learning: Do different neural networks learn the same representations? (ICLR 2016)
- SVCCA: Singular Vector Canonical Correlation Analysis for Deep Understanding and Improvement
- Towards Understanding Learning Representations: To what extent do different neural networks learn the same representation? (NIPS 2018)