

AUTOMATA THEORY AND COMPUTABILITY

Automata Theory is a branch of Computer Science/Mathematics which deals with:

- * Logic of computation Dr. Chikkadevay G
Professor & Dean - Academics
BMS Institute of Technology & Management
Avalahalli, Yelahanka, Bengaluru - 560 064.
- * Abstract machines.

Automata is the plural version of automation.

Basic terminologies associated with ATC:

- . Symbol: Symbol is the smallest building block, which can be any letter or picture.
- . Alphabet: Alphabet is finite set of symbols.

Example: (i) $\Sigma = \{ A, B, C, \dots, Z \}$ alphabet of English language.

(ii) $\Sigma = \{ 0, 1 \}$ alphabet of Machine language

(iii) $\Sigma = \{ 0, 1, 2, \dots, 9 \}$ alphabet of decimal digits

(iv) $\Sigma = \{ श, श्व, \dots, ऽ् \}$ alphabet of our National language, Hindi.

- * **String:** String is a finite sequence of symbols from some alphabet.
- Usually string is denoted by w .
- Length of the string is denoted by $|w|$.
- Empty string is a string with no symbols or zero occurrence of symbols.
- Empty string is denoted by ϵ [epsilon]

Example:-

Alphabet $\Sigma = \{a, b\}$

To generate strings of length 2 from above Σ .

$$L = \{aa, ab, ba, bb\}$$

Number of string is 4.

Note: For alphabet $\Sigma = \{a, b\}$ with length n , number of strings can be generated is 2^n

- * **Language:** A language is a set of strings obtained from some Σ^* .

Ex: ① $\Sigma_1 = \{0, 1\}$ $L_1 = \{00, 01, 10, 11\}$ with length 2.
FINITE

② $\Sigma = \{a\}$, $L_2 = \{\text{Set of all strings starting with } a\}$
 $L_2 = \{a, aa, aaa, \dots\}$ INFINITE

Kleene closure / Star closure:

Consider the following Σ .

$$\Sigma = \{a, b\}$$

Σ^0 = Set of all the strings over Σ with length 0, $\{\epsilon\}$

$$\Sigma^1 = \dots -$$

$$\Sigma^2 = \dots -$$

⋮

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \dots \dots \dots$$

Σ^* is called Kleene closure / Star closure

it is defined as

"Set of all the strings over Σ of any length including ϵ "

Positive closure:

$$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \dots \dots$$

"Set of all the strings over Σ of any length excluding ϵ "

Note: $\begin{cases} \Sigma^* = \Sigma^+ \cup \epsilon \\ \Sigma^* = \Sigma^* - \epsilon \end{cases}$

AUTOMATA: Automata is an abstract model of digital computer which follows a predetermined sequence of operations automatically.

Finite Automata (FA) / Finite State Machine is

an automation with finite number of states.

Types of Finite State Machines / Finite Automata

There are two types

- Deterministic Finite State Machine - DFA
[Also called DFA - Deterministic Finite Automata]
- Non-Deterministic Finite State Machine - NFA
[Also called non-deterministic finite automata]

DFA / DFA:

Formal definition: A DFA is 5-tuple

$$M = (Q, \Sigma, \delta, q_0, F)$$

Where, Q is finite set of states

Σ is finite set of symbols, called alphabet.

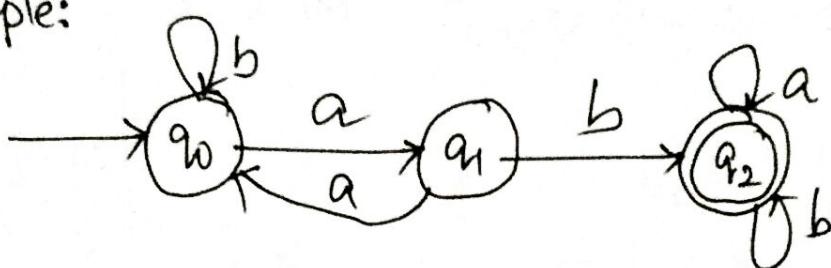
δ is transition function

q_0 is the start state. ($q_0 \in Q$)

F is a set of final states ($F \subseteq Q$).

Note: For DFA, for each internal state over each input symbol (alphabet), there will be exactly one transition.

Example:



The above DFA has exactly one transition from each state over each input symbol.

$$q_0: \begin{cases} \delta(q_0, a) = q_1 \\ \delta(q_0, b) = q_0 \end{cases} \quad \begin{array}{l} \text{From the state} \\ q_0 \text{ with } a \text{ and } b. \end{array}$$

$$q_1: \begin{cases} \delta(q_1, a) = q_0 \\ \delta(q_1, b) = q_2 \end{cases} \quad \begin{array}{l} \text{From the state } q_1 \\ \text{with } a \text{ and } b \end{array}$$

$$q_2: \begin{cases} \delta(q_2, a) = q_2 \\ \delta(q_2, b) = q_2 \end{cases} \quad \begin{array}{l} \text{From the state } q_2 \\ \text{with } a \text{ and } b. \end{array}$$

Note: Finite automata is represented by using directed graph (digraph).

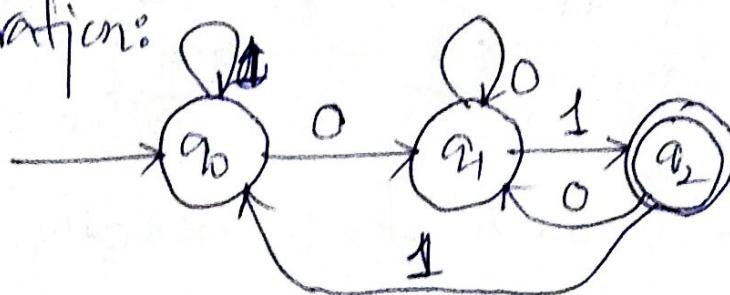
Transition: Means, change of state.

Transition function δ of DFA / DFSM.

$$Q \times \Sigma \rightarrow Q$$

[Each transition is from a given state (q) with an input (σ) to other or same state (q')]

Illustration:



$$M = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$\delta: Q \times \Sigma \rightarrow Q$$

q_0 is Start State (q_0)

F is Set of final states [$F \subseteq Q$]

$\delta: Q \times \Sigma \rightarrow Q$
$\delta(q_0, 0) \rightarrow q_1$
$\delta(q_0, 1) \rightarrow q_0$
$\delta(q_1, 0) \rightarrow q_1$
$\delta(q_1, 1) \rightarrow q_2$
$\delta(q_2, 0) \rightarrow q_1$
$\delta(q_2, 1) \rightarrow q_0$

Acceptance of strings by finite automata [DFA / NFA].

A string is said to be accepted by DFA if and only if the DFA starting at the start state (q_0) with sequence of symbols ends in final state (F states).

Formally, the string w is accepted by DFA.

if $\delta(q_0, w) = F$

where q_0 is start state and F is final state.

For every input symbol of string it takes one transition. It starts with start state q_0 and reaches^{one of the} final state F .

Problems on DFA:

Q. Design DFA to accept the strings over $\Sigma = \{a, b\}$ with at least one 'a'.

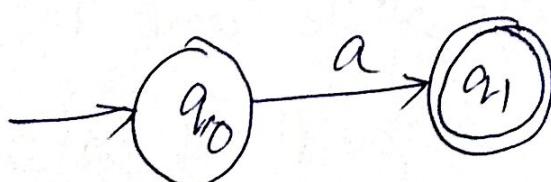
Solution:

Step-1: To write set of acceptable strings.

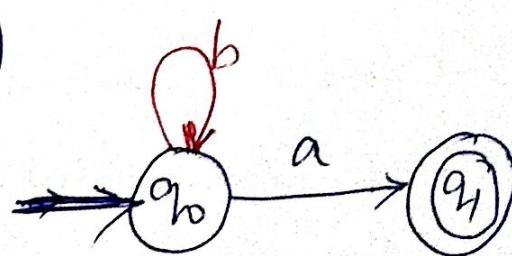
$$L = \{\tilde{a}, baa, b^n a a^n, \dots\}$$

Step-2: To write transition graph.

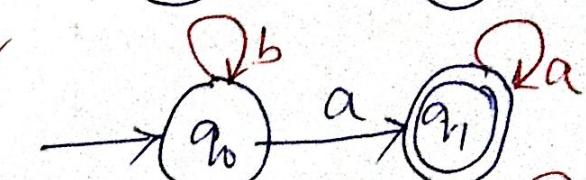
To accept a



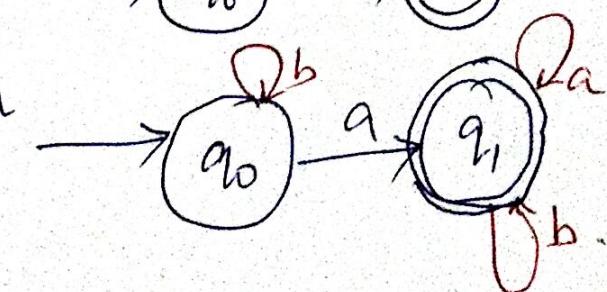
To accept $b^n a$



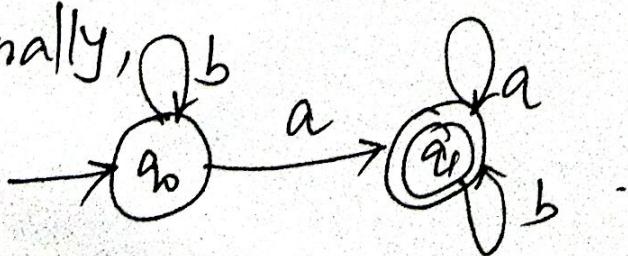
To accept $b^n a a^n$



To accept $b^n a a a b^n$



Finally,



Step-3: To write transition table:

[Tabular representation of DFA]

δ_D	a	b	
$q_0 \rightarrow q_0$	q_1	q_0	$Q \times \Sigma \rightarrow Q$
	q_1^*	q_1	

Note: Start state is denoted by $\rightarrow q_0$

Final state is denoted by \circled{q}

Step-4: To write complete DFA.

$$M = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

$$\delta : Q \times \Sigma \rightarrow Q$$

$$\delta(q_0, a) \rightarrow q_1$$

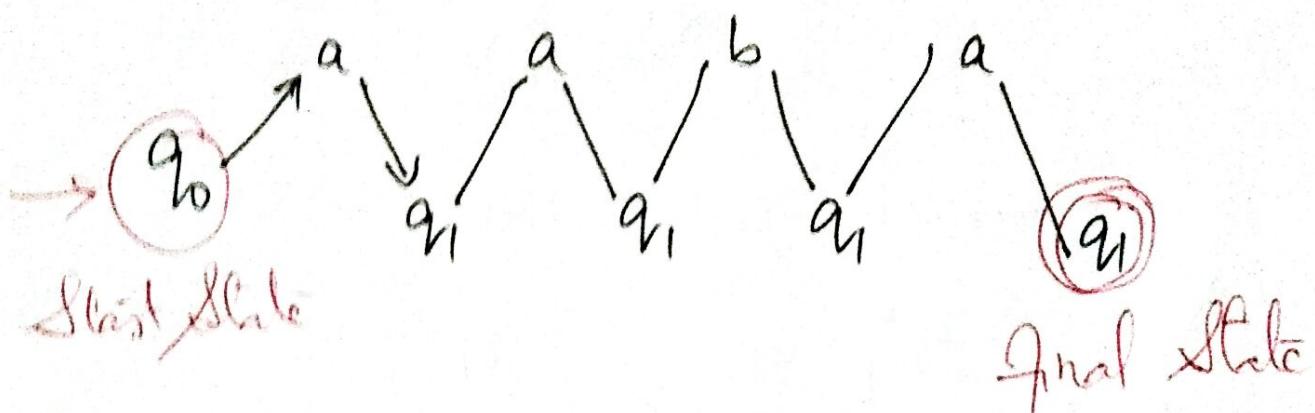
$$\delta(q_0, b) \rightarrow q_0$$

$$\delta(q_1, a) \rightarrow q_1$$

$$\delta(q_1, b) \rightarrow q_1$$

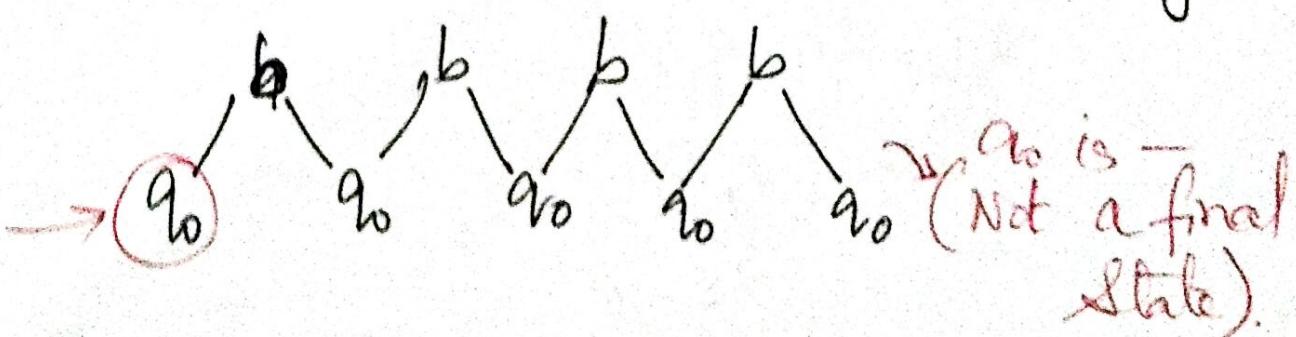
q_0 is start state $q_0 \in Q$:
 $F = \{q_1\}$ is set of final states.

Step-6: To show that the string aba is accepted.



Starting from the Start State q_0 , with the sequence of symbols of string (aba), reached final state q_3 , hence the string aba is accepted.

Step-6: To show that bbbb is rejected.



Starting from Start State q_0 , with the sequence of symbols of string (bbbb) not possible to reach final state, hence the string is rejected.