

## CS 670 Spring 2015 - Solutions to HW 4

### Problem 17.1-3

Let  $c(i)$  denote the cost of the  $i^{th}$  operation.

$$\sum_{i=1}^n c(i) = \sum_{j=0}^{\lfloor \log_2(n) \rfloor} 2^j + n - \lfloor \log_2(n) \rfloor$$

The first term on the right counts the cost of the  $2^{th}$  power operations. The term  $n - \lfloor \log_2(n) \rfloor$  counts the cost of the rest of the operations.

$$\Rightarrow \sum_{i=1}^n c(i) \leq \frac{2^{\lfloor \log_2(n) \rfloor + 1} - 1}{2 - 1} + n - \lfloor \log_2(n) \rfloor \leq 3n$$

The amortized cost is thus bounded by

$$\frac{\sum_{i=1}^n c(i)}{n} \leq 3$$

### Problem 17.2-1

Let the amortized cost of *PUSH* be 3, and that of *POP* and *MULTIPOP* be 1 and *COPY* be 0. The idea is that whenever we made push we pay 1 unit for pushing, and 1 unit for any subsequent popping. We also pay another 1 unit for any copying that would be done after  $k$  operations. Similarly, *POP* and *MULTIPOP* need to pay 1 unit for copying after  $k$  operations. Hence, the order for  $n$  operations is  $O(n)$ .

### Problem 17.2-2

Let the amortized cost of each operation be 3. Each operation pays 1 unit for itself and 2 units for the next op which is a power of 2.

When  $i$  is power of 2, say  $i = 2^r$ , the extra units earned from the operations  $2^{r-1}$  to  $2^r$  is  $2 * (2^r - 2^{r-1}) = 2^r$ , which can be used to pay for the operation  $i$ .

For example:

1st op pays 1 unit for itself and 2 units for the 2nd op. So the actual costs of ops 1 and 2 are covered

2nd and 3rd op pay 1 unit each for themselves and 2 units each for the 4th op. So the actual costs of ops 3 and 4 are covered

4th, 5th, 6th and 7th op pay 1 unit each for themselves and 2 units each for the 8th op. So the actual cost of ops 5, 6, 7 and 8 are covered.

## Problem 19-3

a. The FIB-HEAP-CHANGE-KEY( $H, x, k$ ) operation in the case in which

- $k < \text{key}[x]$  will invoke the FIB-HEAP-DECREASE-KEY( $H, x, k$ ) operation which has an amortized cost of  $O(1)$ .
- $k = \text{key}[x]$  will just return after the comparison and hence has an amortized cost of  $O(1)$  (due to the cost of comparison).
- $k > \text{key}[x]$  will invoke CUT( $H, y, p[y]$ ) for each child of  $x$  (i.e.,  $p[y] = x$ ) and then increase the key of  $x$  to  $k$  ( $\text{key}[x] \leftarrow k$ ) and then invoke CUT( $H, x, p[x]$ ). Since the maximum degree of node in an  $n$ -node Fibonacci heap is  $O(\log n)$  we have the amortized cost of the operation in this case is  $O(\log n)$ .

Alternatively, we could  $\text{key}[x] \leftarrow k$  and push  $x$  down the tree until there is no heap property violation by swapping  $x$  with a child with the minimum key at each level. The amortized cost is  $O(\log n)$  if we maintain for each node a linked list for its children with a pointer to the child with a minimum key. This would incur an  $O(1)$  extra cost for all other operations, but won't change the big- $O$  cost.  $O(\log n)$  and since the height of a tree is  $O(\log n)$  the total cost is  $O(\log^2 n)$ .

b. Deleting a node has an amortized cost of  $O(\log n)$ . If we were to delete  $r$  particular nodes the amortized cost would be  $O(r \log n)$  but since the question says we could delete arbitrary nodes, the time cost could be less. What we can do is to cut  $r$  leaf nodes so that each one of them becomes a singleton tree, and then we remove each one of them. In order to facilitate this kind of operation we maintain for each tree a linked list of the leaf nodes and a pointer from the root to a leaf node. In this process of pruning there may be cascade-cuts triggered as a result of enforcing the cut policy. The amortized cost of pruning  $r$  nodes is  $O(r)$ , nevertheless, since it requires  $r$  actual cuts.