# Waste Segregation Project CNN Image Classification Model

by Thi Anh Tuyet Tu

## **Problem Statement**

Improper waste disposal contributes to environmental degradation, increased landfill waste and inefficient recycling processes. Manual sorting is labour-intensive, error-prone and costly. An Al-powered waste classification system addresses these challenges by streamlining waste segregation, reducing operational costs and improving recycling rates.

# **Objective**

The objective of this project is to implement an effective waste material segregation system using convolutional neural networks (CNNs) that categorises waste into distinct groups. This process enhances recycling efficiency, minimises environmental pollution, and promotes sustainable waste management practices.

#### The key goals are:

- Accurately classify waste materials into categories like cardboard, glass, paper, and plastic.
- Improve waste segregation efficiency to support recycling and reduce landfill waste.
- Understand the properties of different waste materials to optimise sorting methods for sustainability.

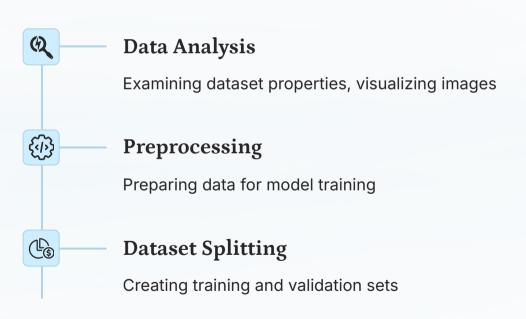
# ML Approach

This project applies deep learning techniques to enhance recycling efficiency, reduce environmental pollution and promote sustainable waste management practices. In this project, we will:

- Train and fine-tune a CNN model to classify waste such as cardboard, glass, paper and plastic
- Evaluate model accuracy using performance metrics such as precision, recall and F1-score
- Gain insights into the role of AI in sustainable environmental solutions

## PART 1:

## DATA ANALYSIS AND PREPROCESSING



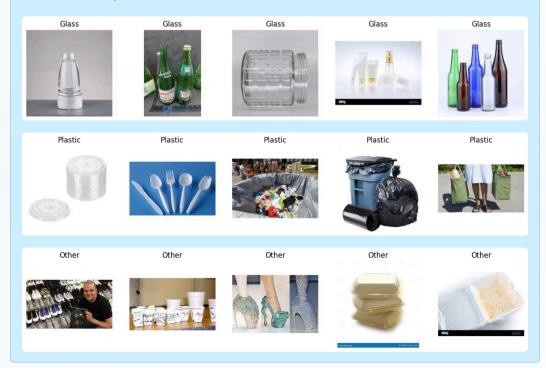
#### **Raw Data Overview**

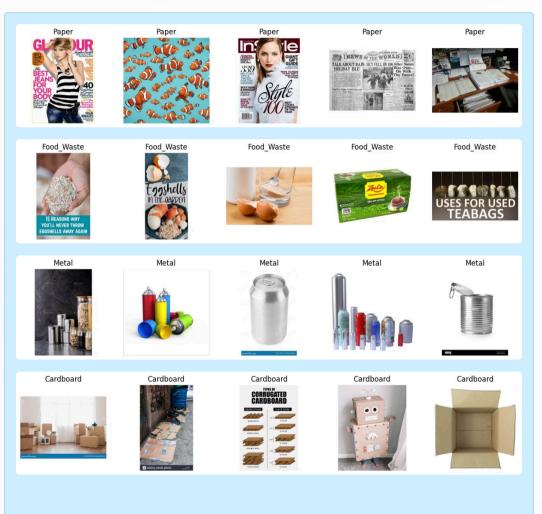
#### **Dataset Structure**

The dataset consisted of a compressed zip file containing waste classification images organized into 7 distinct categories. After extraction, the data was structured as individual folders representing different waste types, with each folder containing corresponding images for that classification.

#### **Organization Method**

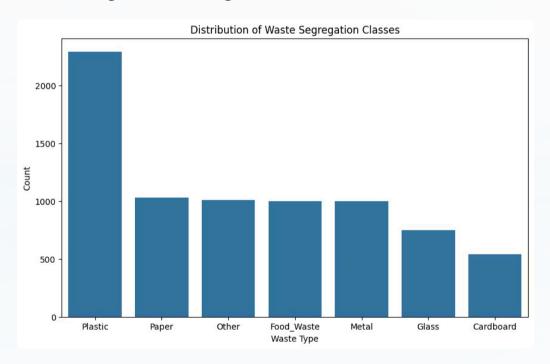
Images were organized in a hierarchical folder structure, with each waste category having its own dedicated directory.





# **Dataset Composition**

Te dataset contains 7,625 total images distributed across 7 waste categories with significant class imbalance:



Label	Category	Count	Percentage
0	Plastic	2,295	30.1%
1	Paper	1,030	13.5%
2	Other	1,010	13.2%
3	Food_Waste	1,000	13.1%
4	Metal	1,000	13.1%
5	Glass	750	9.8%
6	Cardboard	540	7.1%

# **Image Properties**







**Format** 

PNG files

**Original Dimensions** 

256×256 pixels

Channels

RGB (3-channel color images)

Pixel Value Range

0-255 (standard 8-bit)

# **Data Preprocessing Pipeline**

#### **Image Resizing**

Maintained square aspect ratio and resized to 224×224 pixels, which is optimal for CNN architectures

#### **Color Space Verification**

Ensured all images are in RGB format

#### **Label Encoding**

Applied one-hot encoding using pandas get\_dummies for multi-class classification

#### **Pixel Normalization**

Scaled pixel values to [0,1] range and converted to float32 for model compatibility

#### **Train-Validation Split**

70-30 split with stratification to preserve class distribution



## **Final Dataset Structure**

5,337

**Training Set** 

70% of total images

2,288

**Validation Set** 

30% of total images

224x224

**Image Shape** 

(224, 224, 3)

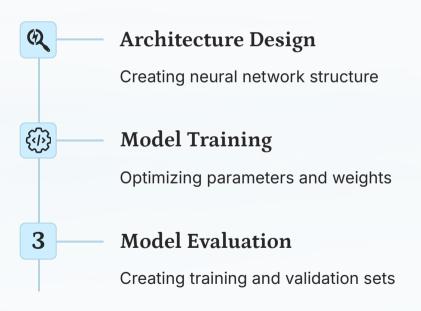
7

**Label Shape** 

(7,) - one-hot encoded

## **PART 2:**

## MODEL ARCHITECTURE AND TRAINING ANALYSIS



# Initial Model Exploration (1-3 Layers)

#### **Architecture Design:**

- Convolutional Layers: 1-3 layers with (3×3) kernels
- Filter Progression:  $32 \rightarrow 64 \rightarrow 128$
- Pooling: MaxPooling (2×2) after each conv layer
- Regularization: Dropout (0.25 after conv, 0.5 after dense)
- Global Pooling: Global Average Pooling instead of Flatten to reduce parameters
- Output: Softmax activation for 7-class classification
- Optimizer: Adam with categorical crossentropy loss

## **Initial Model Performance Analysis**

CONV	TRAINING TIME (MINUTES)	PARAMETERS	FINAL VAL ACCURACY	FINAL VAL LOSS	BEST VAL ACCURACY	BEST VAL ACC EPOCH	FINAL TRAIN ACCURACY	FINAL TRAIN LOSS
1	2.90	6,023	32.78%	1.7085	32.91%	9 😅	33.18% 🔽	1.7373
2	6.32 🗾	28,615 📈	39.03% 📈	1.6042	39.03% 📈	10 😅	35.92% 🕡	1.6738
3	7.80 📈	110,663 📈	43.23% 🐒	1.5199	43.23% 🕎	10 😅	40.19% 🔽	1.6309

- **Performance Scaling Confirmed:** Clear positive correlation between depth and accuracy. Validation accuracy increases from 32.78% (0 layers) to 43.23% (2 layers) a significant 10.45% improvemen
- Training Efficiency Excellent: Loss consistently decreases as layers are added, indicating better model learning. Training time and parameters grow substantially with each layer (37.8 mins and 1.1M params for 2 layers). 3-layer model achieves best performance with reasonable computational cost (2.7x time for 32% gain).
- Healthy Learning Pattern: Training and validation accuracies closely aligned, indicating minimal overfitting detected across all architectures
- Early Convergence Signal: All models reached peak accuracy at final epoch, indicating strong potential for improvement with more training epochs
- Architecture Scaling Potential: Consistent improvement pattern suggests deeper architectures (4-6 layers) will likely yield better results
- Foundation Established: Solid baseline performance provides clear direction for second model optimization

# Extended Architecture Testing (4-6 Layers)



#### **Deeper Architecture**

• Test 4-6 convolutional layers to capitalize on scaling benefits



#### Filter Cap

Maximum 512 filters to prevent overfitting



#### **Extended Training**

Double the epochs (20+ epochs) since all models showed potential for continued learning

Based on foundation results, the second model will target with this configuration.

**Expected Outcomes:** Significant performance improvement while maintaining healthy training dynamics observed in foundation model.

- Performance Target: Aim for >50% accuracy based on observed scaling trajectory
- Maintain Efficiency: Monitor computational cost vs. performance trade-offs

## **Extended Model Performance Analysis**

CONV LAYERS	TRAINING TIME (MINUTES)	PARAMETERS	FINAL VAL ACCURACY	FINAL VAL LOSS	BEST VAL ACCURACY	BEST VAL ACC EPOCH	FINAL TRAIN ACCURACY	FINAL TRAIN LOSS
1	3.68	6,023	34.53%	1.6890	34.75%	19	33.69% 🗚	1.7080
2	6.28 📈	28,615 📈	38.11% 📈	1.5962	41.65% 🔏	19 🖬	39.40% 👃	1.6210
3	7.77 🗷	110,663 🗷	41.48% 📈	1.5557	42.57% 🔏	13 🥆	39.91% 4	1.6063
4	7.74	110,663 🖻	43.49% 📈	1.4838	44.67% 🐒	15 📈	42.44% 4	1.5710
5	7.82 📈	110,663 🖸	41.43%	1.4949 📈	44.10%	17 📈	40.73% 4	1.5974 📈
6	7.89 📈	110,663 🖸	44.01% 📈	1.4874	44.01% 🖘	20 🗾	40.30% 🛦	1.6037 📈
	Posit	ive Trend	Negative Tre	nd Be	est Performer	Underfitti	ng Warning	

- Optimal Architecture Confirmed: 4 convolutional layers achieves peak validation accuracy (44.67%)
- Significant Performance Gain: 29.4% relative improvement from 1-layer (34.53%) to 4-layer (44.67%)
- Diminishing Returns Beyond 4 Layers: Performance plateaus/declines at 5-6 layers despite same parameter count
- Unusual Pattern: Train accuracy < validation accuracy suggests underfitting rather than overfitting
- Excellent Training Efficiency: Only 2.1x time increase (3.68→7.89 mins) for 18x parameter growth
- Strategic Direction: Use 4-layer architecture as a base model, and further optimize this model

# **Final Model Optimization**

#### **Class Weight Implementation**

Addressing class imbalance, help to improving accuracy with better prediction for minority classes



#### **Callback Integration**

Monitoring and optimizing training

#### **Hyperparameter Tuning**

Fine-tuning model parameters

#### **Performance Evaluation**

Measuring model improvements

# Class Weight Implementation

#### Due to significant class imbalance, class weights were incorporated:

Plastic 2,295 0.47473  Paper 1,030 1.05746  Other 1,010 1.07840  Food Waste 1,000 1.08918  Metal 1,000 1.08918  Glass 750 1.45224			
Paper 1,030 1.05746  Other 1,010 1.07840  Food Waste 1,000 1.08918  Metal 1,000 1.08918  Glass 750 1.45224	LABEL	COUNT	WEIGHT
Other 1,010 1.07840  Food Waste 1,000 1.08918  Metal 1,000 1.08918  Glass 750 1.45224	Plastic	2,295	0.474738
Food Waste 1,000 1.08918  Metal 1,000 1.08918  Glass 750 1.45224	Paper	1,030	1.057460
Metal 1,000 1.08918 Glass 750 1.45224	Other	1,010	1.078400
Glass 750 1.45224	Food Waste	1,000	1.089184
10 May 10	Metal	1,000	1.089184
Cardboard 540 2.01700	Glass	750	1.452245
540 Z101700	Cardboard	540	2.017007

- Class weights delivered a meaningful 2.3% improvement in validation accuracy (43.5% →
  45.8%), indicating better generalization and more balanced predictions across minority classes.
  However, this performance gain came at the cost of significant training instability, with highly volatile learning curves and erratic convergence patterns most notably a dramatic drop to 19.3% validation accuracy at epoch 6. Training time also increased by 40% per step due to the weighted loss computations.
- While the baseline model without class weights showed smooth, consistent improvement, the class-weighted version exhibited unpredictable fluctuations throughout training. Despite these challenges, the final 45.8% validation accuracy represents the best performance achieved and suggests improved minority class recognition.
- The recommendation is to retain class weights for the proven performance benefit, but implement more aggressive callbacks (shorter patience for early stopping and learning rate reduction) to mitigate the training instability and ensure more reliable convergence to the improved performance baseline.

## **Callback Integration**

#### **Monitoring Metric Selection**

All callbacks monitor val\_accuracy as the primary metric since this directly reflects the model's generalization capability on unseen data, which is more reliable than training accuracy for preventing overfitting.

#### **EarlyStopping Configuration**

Set with patience=7 epochs to allow sufficient time for the model to recover from temporary performance dips while preventing unnecessary training once peak performance is reached. The restore\_best\_weights=True ensures the final model uses the best-performing weights rather than potentially inferior final epoch weights.

#### **ModelCheckpoint Strategy**

Configured to save only the best model based on validation accuracy, providing a safety net to preserve optimal performance even if training continues beyond the peak.

#### **Learning Rate Reduction**

Implemented with factor=0.7 to provide moderate learning rate reduction (30% decrease) when validation accuracy plateaus. This conservative factor allows gradual fine-tuning without overly aggressive learning rate drops that could halt learning prematurely. The patience=4 setting is shorter than EarlyStopping to enable learning rate adjustments before complete training termination, while min\_lr=1e-6 prevents the learning rate from becoming too small to be effective.

## Final Model

4

**Convolutional Layers** 

Optimal depth for feature extraction

456,007

**Total Parameters** 

Model complexity

30

**Maximum Epochs** 

With early stopping

## **Final Model Performance**

#### **Evaluation Metrics:**

Accuracy: 55.5%

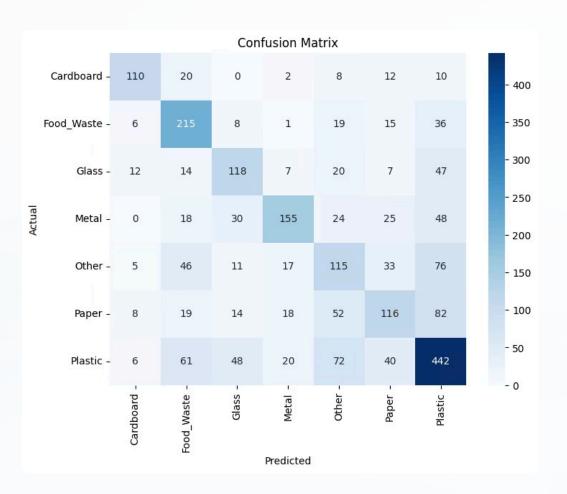
• Precision: 56.0%

• Recall: 55.5%

• F1 Score: 55.3%

#### **Confusion Matrix Analysis:**

The model shows strong performance for well-represented classes (Plastic, Paper) but struggles with minority classes (Glass, Cardboard). The confusion matrix reveals common misclassifications between similar waste types, particularly between Paper and Cardboard categories.



# **Key Conclusions**

1 Optimal Architecture

4-layer CNN provides the best balance of performance and efficiency

**⊘** Class Imbalance Impact

Class weights improve minority class recognition but introduce training instability

Regularization Necessity

Callbacks are essential for managing overfitting and training volatility

**Performance Limitation** 

55.5% accuracy indicates room for improvement through data augmentation or transfer learning approaches