

# Paper Reading: Phrase-Based Attentions

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# Motivation

- ▶ Success of phrase-based statistical machine translation.
- ▶ In existing NMT systems. most of them use token based attention and ignore the importance of phrasal alignments.

# Phase-based Attentions

- ▶ Phrase-based Attention methods (How to achieve phrasal attention):
  1. Key-Value Convolution
  2. Query-as-Kernel Convolution
- ▶ Multi-Headed Phrasal Attention (How to use in multi-head attention framework):
  1. Homogeneous n-gram Attention
  2. Heterogeneous n-gram Attention
  3. Interleaved Phrases to Phrase Heterogeneous Attention

# One-dimensional Convolutional operation

The convolutional operator applied to each token  $x_t$  with corresponding vector representation  $x_t \in \mathbb{R}^{d_1}$  as:

$$o_t = \mathbf{w} \oplus_{k=0}^n \mathbf{x}_{t \pm k} \quad (4)$$

where  $\oplus$  denotes vector concatenation,  $\mathbf{w} \in \mathbb{R}^{n \times d_1}$  is the weight vector (*a.k.a.* kernel), and  $n$  is the window size. We repeat this process with  $d_2$  different weight vectors to get a  $d_2$ -dimensional latent representation for each token  $x_t$ . We will use the notation  $\text{Conv}_n(\mathbf{X}, \mathbf{W})$  to denote the convolution operation over an input sequence  $\mathbf{X}$  with window size  $n$  and kernel weights  $\mathbf{W} \in \mathbb{R}^{n \times d_1 \times d_2}$ .

# Key-Value Convolution

Use trainable kernel parameters  $W_k$  and  $W_v$  to compute the latent representation of n-gram sequence using convolution operation over key and value vectors.

$$\text{CONVKV}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \mathcal{S}\left(\frac{(\mathbf{Q}\mathbf{W}_q)\text{Conv}_n(\mathbf{K}, \mathbf{W}_k)^T}{\sqrt{d_k}}\right) \text{Conv}_n(\mathbf{V}, \mathbf{W}_v)$$

Where  $S$  is the softmax function,  $W_q \in R^{d_q * d_k}$ ,  $W_k \in R^{n * d_k * d_k}$ ,  $W_v \in R^{n * d_v * d_v}$ , are the respective kernel weights for Q, K and V. The queries do not interact directly with the keys to learn the attention weights, instead the model relies on the kernel weights to learn n-gram patterns.

# Query-as-Kernel Convolution

In order to allow the queries to directly and dynamically influence the word order of phrasal keys and values, the Query-as-Kernel Convolution is proposed.

$$\text{QUERYK}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \mathcal{S}\left(\frac{\text{Conv}_n(\mathbf{K}\mathbf{W}_k, \mathbf{Q}\mathbf{W}_q)}{\sqrt{d_k * n}}\right) \text{Conv}_n(\mathbf{V}, \mathbf{W}_v)$$

Where  $\mathbf{W}_q \in R^{n*d_q*d_k}$ ,  $\mathbf{W}_k \in R^{d_k*d_k}$ ,  $\mathbf{W}_v \in R^{n*d_v*d_v}$  are trainable weights.

# Multi-Headed Phrasal Attention: Homogeneous n-gram attention

Each head attends to one particular n-gram type ( $n=1, 2, \dots, N$ ). For instance, figure shows a homogeneous structure, where the first four heads attend to unigrams, and the last four attend to bigrams.

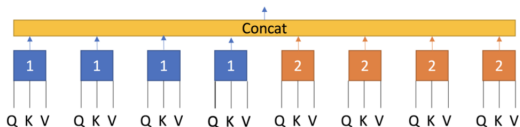


Figure 1: Homogeneous multi-head attention, where each attention head features one n-gram type. In this example, there are eight heads, which are distributed equally between unigrams and bigrams.

# Heterogeneous n-gram attention

Heterogeneous n-gram attention allows the query to freely attend to all types of n-grams simultaneously.

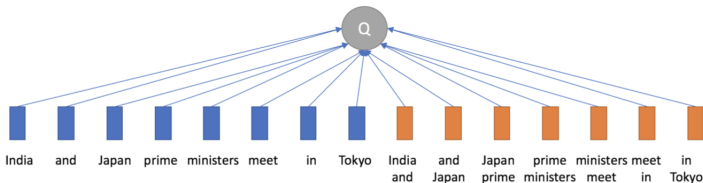


Figure 2: Heterogeneous n-gram attention for each attention head. Attention weights and vectors are computed from all n-gram types simultaneously.

For CONV KV technique in Equation 5, the attention output is given by:

$$\mathcal{S}\left(\frac{(QW_q)[(KW_{k,1})^T; \text{Conv}_2(K, W_{k,2})^T; \dots]}{\sqrt{d_k}}\right)[(VW_{v,1}); \text{Conv}_2(V, W_{v,2}); \dots] \quad (7)$$

For QUERY K technique (Equation 6), the attention output is given as follows:

$$\mathcal{S}\left[\left(\frac{(QW_{q,1})(KW_{k,1})^T}{\sqrt{d}}; \frac{\text{Conv}_2(KW_{k,2}, QW_{q,2})}{\sqrt{d * n_2}}; \dots\right)[(VW_{v,1}); \text{Conv}_2(V, W_{v,2}); \dots]\right] \quad (8)$$



## Interleaved Phrases to Phrase Heterogeneous Attention

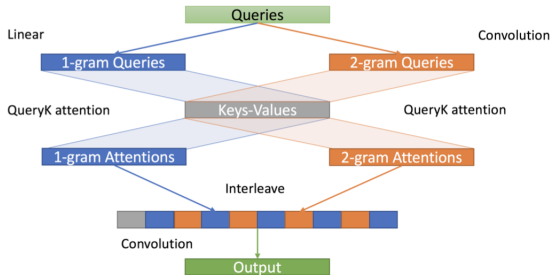


Figure 3: Interleaved phrase-to-phrase heterogeneous attention. The queries are first transformed into unigram and bigram representations, which in turn then attend independently on key-value pairs to produce unigram and bigram attention vectors. The attention vectors are then interleaved before passing through another convolutional layer.

# Experiment results

Model	Technique	N-grams	En-De	De-En
Transformer (Base, 1 GPU)	-	-	26.07	29.82
Transformer (Base, 8 GPUs)	-	-	27.30	—
Vaswani et al. (2017)				
Homogeneous	CONV KV	44	26.60 (+0.53)	30.17 (+0.36)
Homogeneous	QUERY K	44	26.78 (+0.71)	30.03 (+0.21)
Heterogeneous	CONV KV	12	27.04 (+0.97)	30.09 (+0.27)
Heterogeneous	QUERY K	12	26.95 (+0.88)	30.20 (+0.38)
Interleaved	CONV KV	12	27.33 (+1.26)	30.17 (+0.36)
Interleaved	QUERY K	12	<b>27.40 (+1.33)</b>	<b>30.30 (+0.48)</b>

Table 1: BLEU (cased) scores on WMT’14 testset for English-German and German-English. For homogeneous models, the **N-grams** column denotes how we distribute the 8 heads to different n-gram types; *e.g.*, 323 means 3 unigram heads, 2 bigram heads and 3 trigram heads. For heterogeneous, the numbers indicate the phrase lengths of the collection of n-gram components jointly attended by each head; *e.g.*, 12 means attention scores are computed across unigram and bigram logits.

# Experiment results

Model	Technique	Uni-bi-grams		Uni-bi-tri-grams	
		Head/N-gram	BLEU	Head/N-gram	BLEU
Homogeneous	CONVKV	44	26.60	323	26.55
Homogeneous	QUERYK	44	26.78	323	26.86
Heterogeneous	CONVKV	12	27.04	123	27.15
Heterogeneous	QUERYK	12	26.95	123	27.09

Table 2: BLEU scores for models that use only uni-bi-grams vs. the ones that use uni-bi-tri-grams.

# Conclusion

- ▶ Embed phrases into attention modules
- ▶ n-gram information can be used.