# Coordination & Agreement CDK 15

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# Meta

#### MDS/MODIS Evaluations 2015

	Average	n	6	5	4	3	2	1
<b>BSWU/MODIS</b>								
Course	5,44	39	22	12	5			
Søren	5,56	36	23	11	1	1		
Holger	5,46	13	8	3	2			
Frederik	5,71	14	11	2	1			
KSDT/SMDS								
Course	4,33	3		1	2			
Søren	5,33	3	1	2				
Holger		0						
Frederik		0						

### Comments

- You like:
  - TAs & TA support for mini-projects
  - Lecture contents & structure
  - Mini-project/lecture alternation

### Comments

- You dislike:
  - Too few lectures
  - Too large groups
  - My articulation

### Evaluation summary

- The course is functioning
- Next year: better group sizes
- I'll keep working on my speech
- What do you think?

# Recap

- P2P Motivation: Resource sharing.
- Unstructured networks (flooding, random walk)
- Structured networks (routing tables)
- Example: Pastry (ring-topology)
- Security (trust!)
- Applications

# Coordination & Agreement

### Plan

- Motivation: Fundamentals of agreeing.
- Distributed Mutual Exclusion
- Elections
- Consensus

### Motivation



# Redundancy

The Space Shuttle had 5 redundant avionics computers.

- Fundamental engineering problem: Making computers agree on anything.
- Applications everywhere in engineering, protocol design, P2P, ...
- Fundamental problem of computer science: What can we/can we not do with computers?



### Distributed Mutual Exclusion

- Prevent distributed processes from simultaneously "doing something" (be in a critical section).
- E.g., don't issue "fire" and "move" simultaneously to your automated cannons.
- E.g., move operation on distributed file system.
- Like mutual exclusion in thread-programming.

- Process p<sub>i</sub> among p<sub>1</sub> ... p<sub>n</sub>
- Asynchronous, reliable message delivery, no process failures.
- API:
   enter()
   resourceAccess()
   exit()

# Discuss: Design a protocol for achieving distributed mutual exclusion.

Properties:

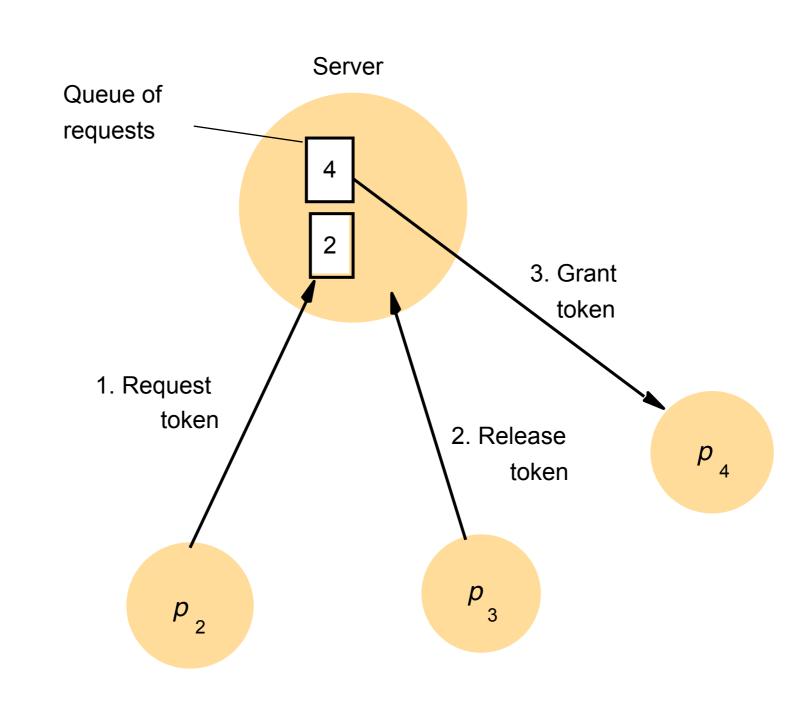
ME1 (safe): at most one process in CS at any time. ME2 (live): request to enter/exit eventually succeeds. ME3 (order): entry to CS respects happens-before of enter() calls

### Solution 1: Central server.

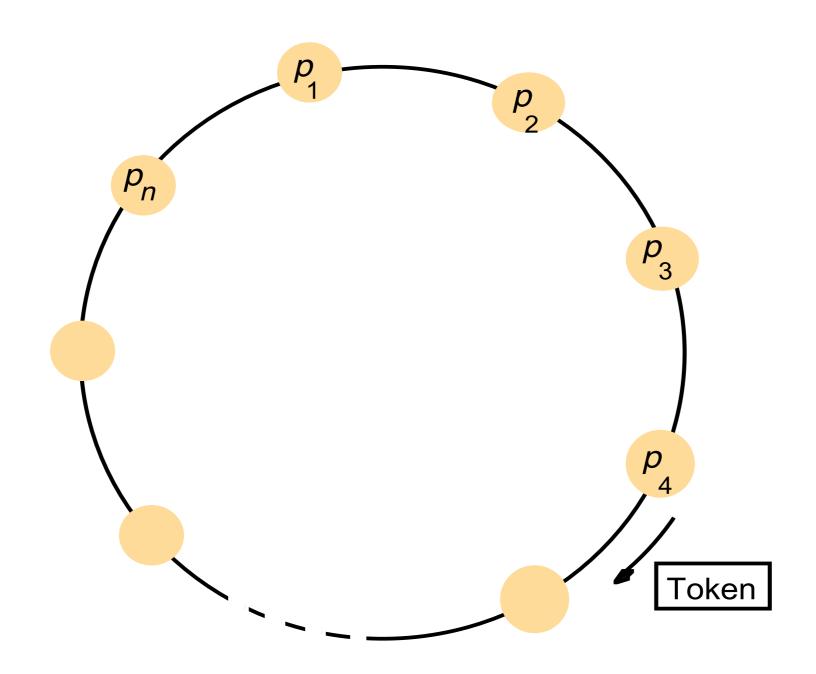
Duh.

#### Solution 1: Central server.

*p* 1



### Solution 2: Token ring.



# Solution 3: Ricart & Agrawala

```
On initialisation:
state := RELEASED;
```

```
On enter():
    state := WANTED;
    "multicast 'req"
    T := time of 'req'
    wait for N-1 replies
    state := HELD;
```

```
On exit():
state := RELEASED
reply to all in queue
```

# Comp Discuss: Compare central server, ring, Ricart & Agrawala,

- Satisfies ME3 (order)?
- Bandwidth consumed. Approximated by "number of messages sent".
- Client delay for entry()/exit() operations.
- Throughput. Approximated by synchronization delay (time between exit()/enter()).

# Comparison

	Server	Token-ring	Ricart & Agrawala	
Bandwidth	2	(continuous)	2(N-1) N-1	enter() exit()
Client delay	2 (rt) 0	0N (avg .5N) 1	2(N-1) 0	enter() exit()
Sync delay	2 (rt)	1N (avg .5N)	1	

rt = roundtrip time

### Fault-tolerance

 Neither works in the presence of crash failures, lost messages.

# Summary: Distributed mutual exclusion

- Correctness: safe, live, (ordered).
- Algorithms: server, token ring, Ricart & Agrawala
- Criteria: bandwidth, client delay, sync delay.



### Elections

### The problem

- We want to elect a mutual exclusion server from a set of peers.
- Any process may call the election. There may be concurrent such calls.

### The problem, in detail

- Process p<sub>i</sub> among p<sub>1</sub> ... p<sub>n</sub>
- Each process p<sub>i</sub> has a variable elected<sub>i</sub>.
- We require that
   E1 (safety): Always elected<sub>i</sub> = P or elected<sub>i</sub> = ⊥
   E2 (liveness): Correct processes set elected<sub>i</sub> ≠ ⊥

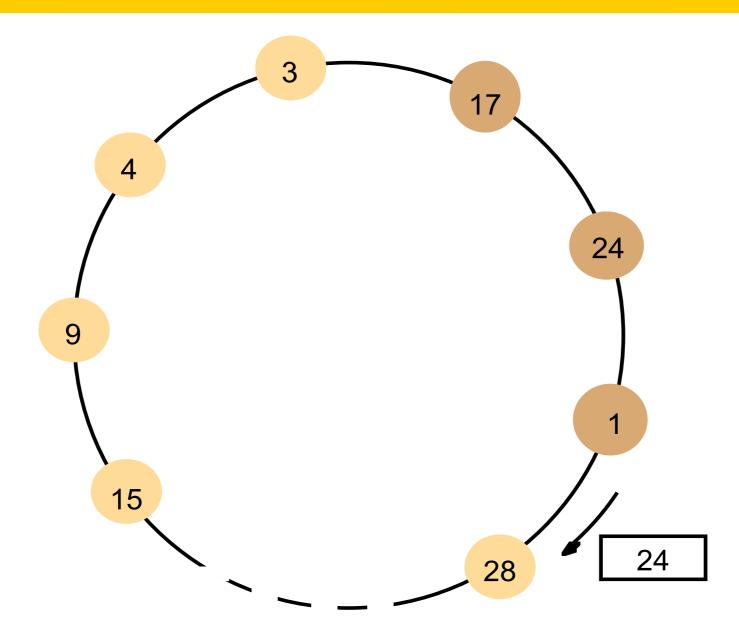
# Solution: Ring

```
Initialisation of p<sub>i</sub>:
 state := non-participant
 elected_i := \bot
Call election by p<sub>i</sub>:
 send 'election(i)'
On receive 'elected(j)':
 if (i == j) then
  /* Do nothing. */
 else
   elected_i := j
   send 'elected(j)'
```

# Solution: Ring

```
On p<sub>i</sub> receiving 'election(j)':
 if (i == i) then
  elected_i = i
  send 'elected(i)'
 else if (j > i) then
  send 'election(i)'
 else if (state == non-participant) then
  send 'election(i)'
 else
  /* Do nothing */
 state := participant
```

Figure 15.7 A ring-based election in progress



Note: The election was started by process 17.

The highest process identifier encountered so far is 24.

Participant processes are shown in a darker colour

### Correctness?

```
On p<sub>i</sub> receiving 'election(j)':
 if (i == i) then
  elected_i = i
  send 'elected(i)'
 else if (j > i) then
  send 'election(i)'
 else if (state == non-participant) then
  send 'election(i)'
 else
  /* Do nothing */
 state := participant
```

### Evaluation

- Bandwidth (no. messages sent)
- Turn-around (max no. sequential messages in run)

### Evaluation

- Bandwidth (no. messages sent):
   Worst-case, single election: 3N-1
- Turn-around (max no. sequential messages in run):
   Worst-case, single election: 3N-1

### Tolerates no failures.

# Solution 2: Bully

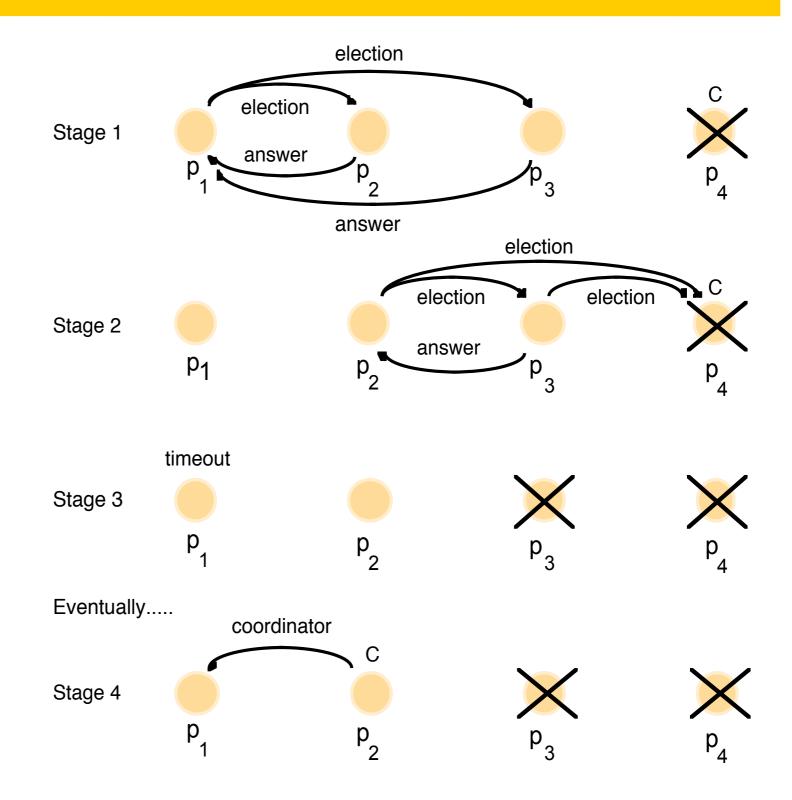
- Synchronous dist. sys.; crash failures allowed; detect crash failures by timeouts.
- Fixed set of initial processes known to all.
- Messages: election, answer, coordinator

# Solution 2: Bully

- Call election by sending to higher-id processes election.
- Highest id process m declares himself winner by sending coordinator(m) to all.
- Others sends back answer, then call new elections.
- If timeout on caller c without **answer**, all higher ids must be gone; caller now have highest id and sends **coordinator(c)** to all.
- If timeout on caller c without **coordinator**, highest-id process must be gone; call new election.
- A new process with maximum id m immediately sends coordinator(m) to all.

#### Figure 15.8 The bully algorithm

The election of coordinator  $p_2$ , after the failure of  $p_4$  and then  $p_3$ 



## Bully Correctness

- Call election by sending to higher-id processes election.
- Highest id process m declares himself winner by sending coordinator(m) to all.
- Others sends back answer, then call new elections.
- If timeout on caller c without answer, all higher ids must be gone; caller now have highest id and sends coordinator(c) to all.
- If timeout on caller c without **coordinator**, highest-id process must be gone; call new election.
- A new process with maximum id m immediately sends coordinator(m) to all.

## Summary: Elections

- Elect a leader
- Ring solution. Failure intolerant.
- Bully solution. Synchr., tolerates crash failures.



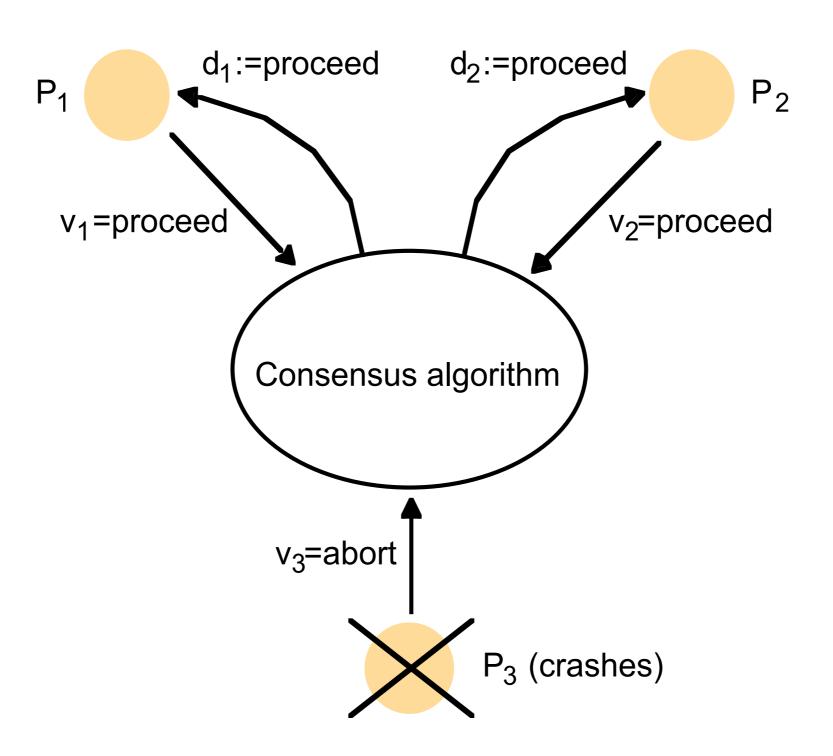
## Consensus



## The problem

- Each process p<sub>i</sub> proposes a value from a set D
- Each process p<sub>i</sub> eventually sets decision variable d<sub>i</sub>
- The protocol must satisfy:
   Termination: each p<sub>i</sub> sets its d<sub>i</sub>
   Agreement: each correct p<sub>i</sub> chooses the same d<sub>i</sub>
   Integrity: If correct processes all proposed the same value, this value is the d<sub>i</sub>.
- Failure model: Byzantine process failures, crash failures, reliable asynchronous communication.

#### Figure 15.16 Consensus for three processes



### Variant: Byzantine Generals

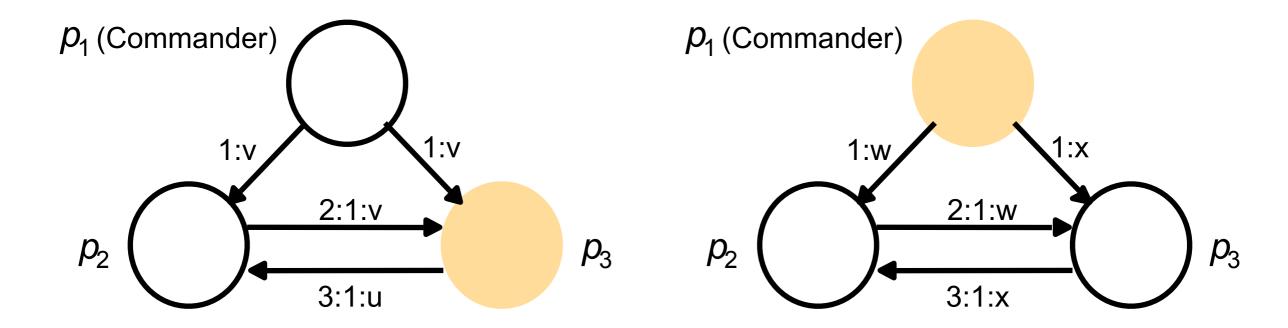
- Only one process, the commander, proposes a value.
- Agreement: Correct processes must agree on some value.
- Integrity: If the commander is correct, they agree on his value.

## BG and C are equivalent

- If we had a protocol for Consensus, we could make one for Byzantine Generals:
  - Commander sends its proposed value to all.
  - Run C with each process proposing the value it received.
- If we had a protocol for Byzantine Generals, we could make one for Consensus:
  - Assume majority of processes correct.
  - Run BG once for each process to propose a value; then take majority.
  - (Proof in book using Interactive Consistency.)

#### BG in a synchronous setting

- Byzantine process failures, private communication.
- No solution with 3 processes, 1 failure.



Faulty processes are shown coloured

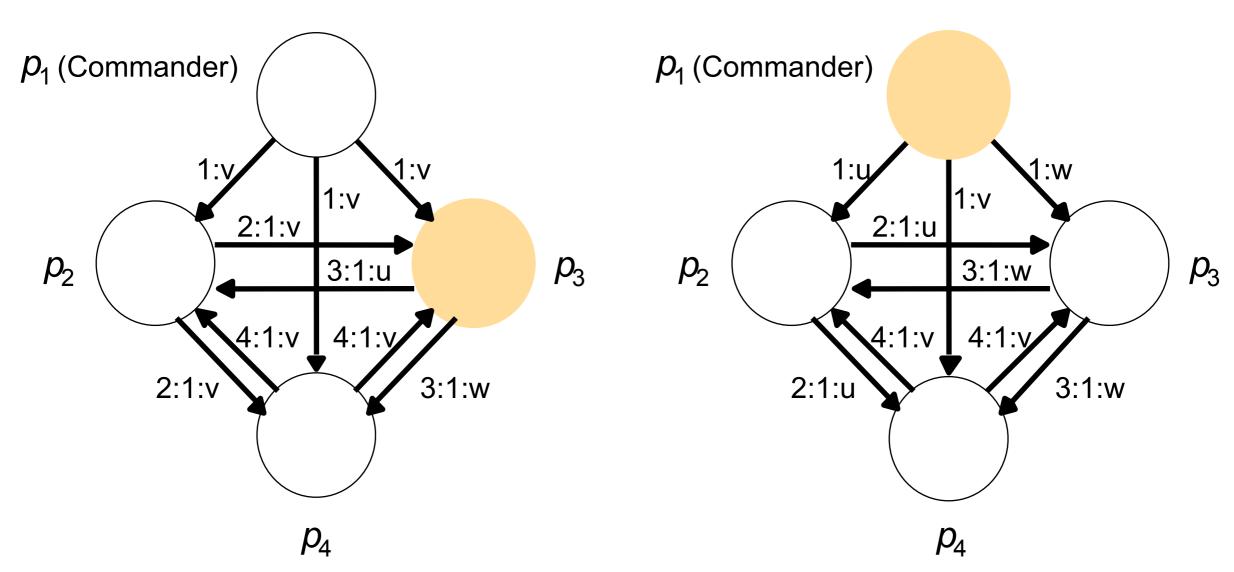
### BG in a synchronous setting

- No solution with 3 processes, 1 failure.
- In, general no solution if N ≤ 3f
   (f number of incorrect processes).
- If there were, we could have three processes simulate a larger system with N/3 generals each.
- If at most one process is faulty, it simulates at most N/3 faulty processes.
- This contradicts the previous example.

## BG with N=4, f=1

- Special case of general algorithm.
- Two rounds:
  - Commander proposes value
  - Lieutenants exchange values

#### Figure 15.19 Four Byzantine generals



Faulty processes are shown coloured

## Beyond the basics

- Impossible with just one crash failure in asynchronous systems. (Duh.)
- Practical workarounds:
  - Fault masking
  - Failure detectors
  - Randomized behaviour

## Summary: Consensus

- Agree on a value.
- Byzantine Generals, Consensus. Equivalent.
- Impossible for 3 process, 1 byzantine process failure. In sync. system!
- In general possible for N ≤ 3f in sync system.
- Impossible with single process failure in async system.

# Summary



#### Solution: Voting at the actuator

The engine receives commands from all computers, acts according to majority.

## Summary

- Motivation: Fundamentals of agreeing.
- Distributed Mutual Exclusion
- Elections
- Consensus

## Read on your own

- Maekawa's voting algorithm.
- Interactive consistency
- Consensus protocol for a synchronous system
- Equivalence of BC, C
- Bully protocol details
- Impossibility and possibility results for BC/C.