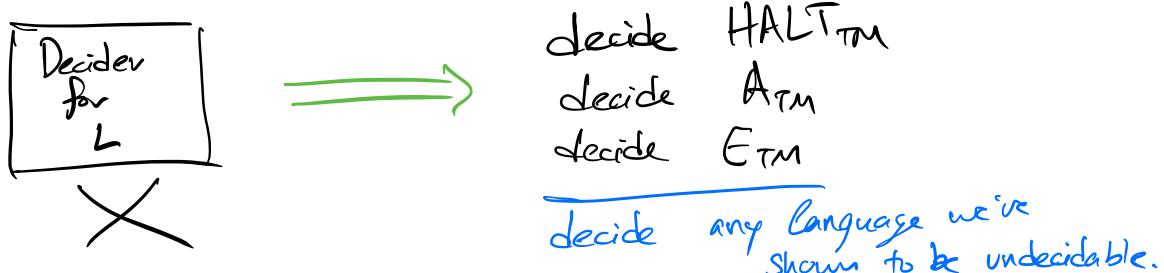
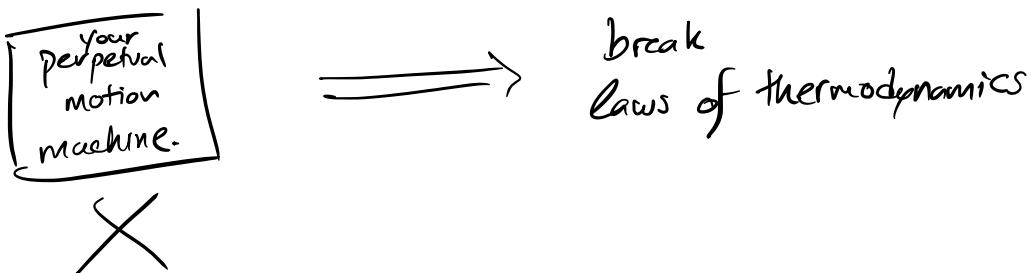


## Announcements :

- HW5 : due today; clock paused on late days until Sat at 12:07 am
- No class Thurs
- Today structure: start —  
(75 mins for course evals.)

- 
0. Review of undecidability by reduction.
  1. Flavors of Complexity
  2. Information Theory / Description Cxt.
  3. Wrap up - end of class + ~an extra hour for review.

## O. Undecidability via Reduction



Prop.

$\text{EQ}_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$   
is undecidable.

- Assume  $\text{EQ}_{\text{TM}}$  is decidable. (Some decider  $S$  for  $\text{EQ}_{\text{TM}}$ ).
- Know (past fact):  $E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM that rejects every input string } L(M) = \emptyset\}$   
why  $E_{\text{TM}}$  is undecidable.

We'll show: Using  $S$ , we can decide  $E_{\text{TM}}$ .  $\times$   
We'll build a decider  $T$  for  $E_{\text{TM}}$ .

T: "On input  $\langle M \rangle$ :  
(Goal: tie a decision of "are these two TMs equivalent?"  
to "is this TM empty?")

- Write down  $\langle M_{\text{NO}} \rangle$ , where  $M_{\text{NO}}$  rejects all strings.
- Run  $S(M, M_{\text{NO}})$ , and accept/reject according to  $S$ ."

$T$  decides  $E_{\text{TM}} \Rightarrow \times$ . Our assumption is false, no decider  $S$  exists.

If  $L(M) = \emptyset \iff L(M) = L(M_{\text{NO}}) \iff S(M, M_{\text{NO}})$  accepts.

## Complexity Flavors

time  $\begin{cases} P: \text{all languages a TM can decide in time } O(n^k), \text{ for some } k. \\ NP: \text{all languages a NTM can decide in time } O(n^k), \text{ for some } k. \end{cases}$

Other resources?

space  $\left[ \text{PSPACE} : \text{all languages that a TM can decide using unlimited time, and } O(n^k) \text{ tape squares.} \right]$

$P \subseteq \text{PSPACE}$ .  $\text{space} \geq \text{time}$ .

$\text{NP} \subseteq \text{PSPACE}$ .

randomness  $\left[ \text{BPP} = \text{all languages that a probabilistic TM can decide with } \underline{99\%} \text{ probability in polynomial time.} \right]$

quantum  $\left[ \text{BQP} = \text{all languages that a quantum TM can decide with high constant probability in polynomial time.} \right]$

### Complexity "Outlooks"

- worst-case complexity

measure runtime as  $f(n) = \max \text{ number of steps over any input of length } n$ .

- average-case complexity

measure runtime as  $f(n) = \underline{\text{"average" number of steps}}$   
on a "random" input of length  $n$ .

some distribution over the input.  
↳ usually uniform

- "smoothed"

runtime  $f(n) = \max \text{ number of steps over any } \underline{\text{length}}_n \text{ input}$   
(but you can fudge the input)

- "testing"  
T change the input a little bit  
(often w/ random noise)

REALLY BIG input.

Learning about the input takes time, using what you know to compute is cheap.

streaming, sketching, query complexity, PAC-Learning.

fine-grained complexity  $\tilde{O}(n^\omega)$   $\omega = \underline{2.37}$

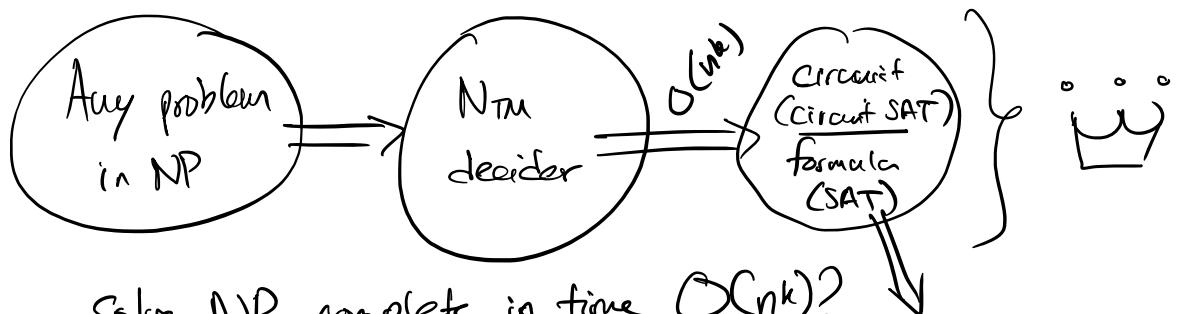
break: 2:78

NP  $\approx$  efficiently solved/decided by some NTM  $\oplus$

$\approx$  efficiently verifiable (some verifier accepts  
Input strings in language w/ compatible  
Proofs attached.) deterministic TM

How might we show  $NP \subseteq P$ ?

Idea: Show how to turn any problem in NP, which  
is decided by some NTM, into one specific problem  
type that tells us if the NTM accepts on some input.



Solve NP-complete in time  $O(n^k)$ ?

$\hookrightarrow NP \subseteq P$ .

$\hookrightarrow P = NP$ .

Hamiltonian Path  
Traveling Salesman  
Independent Set  
Subset Sum

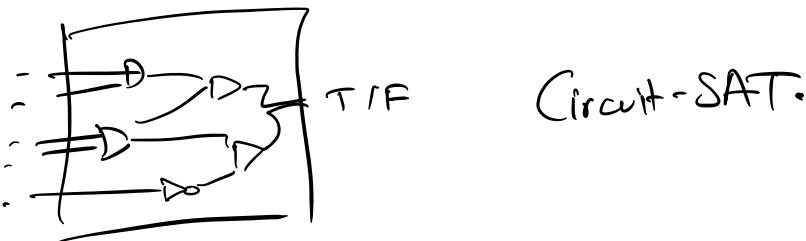
## Graph 3-coloring

:

$SAT = \text{satisfiability.}$

$$\begin{aligned} & \langle \varphi, x_1, x_2, x_3, \dots, x_n \rangle \\ & \quad \uparrow \\ & \quad \text{variables can be T/F} \\ \Rightarrow & (x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_4 \vee x_5) \wedge \bar{x}_2 \dots \end{aligned}$$

Question: can we assign T/F values to the variables to make this formula true?



## 2. Information Theory

two strings:      eight "01"'s

$$\begin{aligned} x &= 0101010101010101010101 \\ y &= 1101000010011101 \end{aligned}$$

???

Encoding English letters  $\Rightarrow$  bits

$E = 0$	$\vdots$	More code
$T = 1$		
$I = 00$		
$A = 01$		
$N = 10$		
$M = 11$		

"description complexity" - how much information we need to describe a string, in bits  $\approx$  "size" of the smallest TM that halts w/our string on the tape.

- Communication
- compression
- philosophy of science / epistemology

(Bayesian reasoning / Occam's razor)

break: back at 3:18

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In-class review

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### Reducing Variant TMs

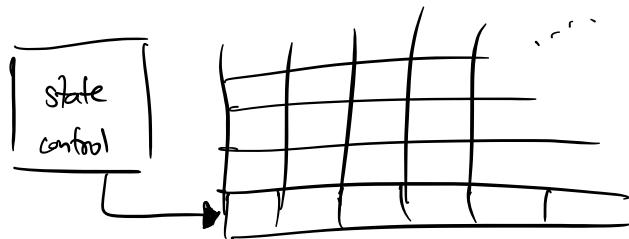
Given: a computational model like a TM, but a little different.

Goal: Show any language new model recognizes  
 $\hookrightarrow$  recognizable by TM

Any language rec. by TM  $\Rightarrow$  recognizable by new model.

Def. A 2DTM is defined just like a TM, except

- (1) instead of  $\{L, R\}$ , it can move  $\{\uparrow, \downarrow, L, R, U, D\}$
- (2) we operate on an infinite tape that extends up and right.
- (3) input on bottom row.



- 1) Any language a TM can recognize some 2DTM can recognize. ( $\Rightarrow$ ).
- 2) Any language a 2DTM can recognize, some TM can recognize.

Proof.) Let  $T_{2D}$  be a 2DTM. We can simulate as follows with a TM  $T$ :

$T = \text{"On input } \omega:$

1) Compute until we move "up" to a new row.

Simulate  $T_{2D}(\omega)$

2) When we move up to a new row, add a delimiter # to the end of the tape and use that space to start the new row, continue from the appropriate space.

( 3) Switch back and forth between rows as necessary. )

1 1 1 1 1 # 1 1 1 # 1 1 1 1 1 # 1 ..

R1            R2            R3

4) If we hit a delimiter and need more space in any row, move everything over one space to the right and continue."

(Very slick:  $\mathbb{Z} \times \mathbb{Z}$  is countably infinite.)

Let  $f$  be a mapping from  $\mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{N}$ .

Keep track of T2D's coordinates and use  $f(x,y)$  as the tape square for  $(x,y).$ )

$$E_{CFG} = \{ \langle G \rangle \mid G \text{ is a grammar w/ } L(G) = \emptyset \}$$

(equiv:  $G$  generates no strings.)

$$\begin{array}{l} S \rightarrow \overset{\bullet}{A}S \\ \underline{A \rightarrow \overset{\bullet}{O}} \end{array}$$

$$\begin{array}{l} S \rightarrow \overset{\bullet}{O}AO \\ A \rightarrow \overset{\bullet}{O}\underset{\bullet}{A}\overset{\bullet}{O} \mid B \\ B \rightarrow \overset{\bullet}{I}B\overset{\bullet}{I} \mid \# \end{array}$$

①

Idea: mark terminals.

② mark any variables that produce a string of only terminals. (Thus, marked variables can generate terminal strings.)

③ repeat (2), marking any var that produces a string of marked symbols.

At any point, the ~~dot~~ indicates "can generate a terminal string."

After no new vars get marked on some iteration,

accept if  $S$  is not marked, reject otherwise

$$INF_{CFG} = \{ \langle G \rangle \mid L(G) \text{ is infinite} \}$$

$$INF_{TM} = \{ \langle M \rangle \mid L(M) \text{ is infinite} \}$$

Goal:

Show if we have a decider for  $\text{INF}_{\text{TM}}$ , we could decide  $\text{ATM}$ .

Fact:  $\text{ATM} = \{\langle M, w \rangle \mid M \text{ accepts } w\}$  is undecidable.

Assume that  $S$  is a decider for  $\text{INF}_{\text{TM}}$ .

We'll build  $T$  that decides  $\text{ATM}$ .

Decider for  $\text{ATM}$   $T =$  "On input  $\langle M, w \rangle$ :  
    || Work down  $\langle M_2 \rangle$ , which works as follows:  
    ||  $M_2 =$  "On input  $x$ :  
        || Ignore  $x$ , simulate  $M(w)$ , if  $M(w)$  accepts, accept."  
        || Run  $S(\langle M_2 \rangle)$  and accept if and only if it accepts.  
    || ??"

If  $M(w)$  accepts:  $M_2$  accepts all strings.

If  $M(w)$  runs forever or rejects:  $M_2$  accepts nothing:  $L(M_2) = \emptyset$ .

$M_2$  accepts an infinite language ( $\Sigma^*$ )  $\iff M(w)$  accepts.

How did we come up with  $M_2$  here?

- Can do: Use  $S$  to test if a machine recognizes an infinite language.
  - Want to know: does  $M$  accept  $w$ ?
- ⊕ Idea: Let's build a machine that recognizes an  $\infty$  language  
 $\iff M$  accepts  $w$ .

Conclusion: If we had some decider  $S$  for  $\text{INF}_{\text{TM}}$ , we could use it to build a decider  $T$  for  $\text{ATM}$ . This is impossible,

So we can't possibly have  $S$ .

---

Proof: If we have a decider  $S$  for  
 $EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$   
we can decide  $HALT_{TM}$ .

Decide for  $HALT$ .  $T = \text{"On input } \langle M, w \rangle :$

// Goal: get  $M_1, M_2$  s.t.  $L(M_1) = L(M_2)$   
// if and only if  $M(w)$  halts.

$M_{\text{yes}}^{\text{no}}$ : "On input  $x$ , accept." reject  
 $M_{\text{no}}^{\text{yes}}$ : "On input  $x$ : If  $x \neq w$ , reject. If  $x = w$ :  
Run  $M(w)$ , and if  $M(w)$  halts, accept." Run  $M(w)$ , and if  $M(w)$  rejects, accept."

Run  $S(\langle M_{\text{yes}}, M_2 \rangle)$ , and accept if S accepts rejects.

$S(\langle M_{\text{yes}}, M_2 \rangle)$  accepts? This means  $L(M_2) = L(M_{\text{yes}}) = \text{all strings}$ .  
so we know  $M(w)$  must halt.

$S(\langle M_{\text{yes}}, M_2 \rangle)$  reject? This means  $L(M_2) \neq L(M_{\text{yes}}) = \text{all strings}$   
 $\Rightarrow L(M_2) \neq \text{all strings} \Rightarrow M(w)$  didn't halt.

---

### Context-free pumping lemma.

$$K = \{a^i b^j c^k \mid i, j, k \geq 1 \text{ and } ij = k\}.$$

Assume for contradiction that  $K$  is context-free.  
By the CFPL,  $\exists p$  such that for all  $s \in K$  with  $|s| \geq p$ ,  
 $s$  can be divided into 5 substrings  $uvxyz$  such that

- (1)  $uv^i xy^i z \in K$  for all  $i \geq 0$
- (2)  $|vxy| \leq p$
- (3)  $|vy| > 0$ .

Contradiction string:  $s = a^p b^p c^{p^2}$ .  $|s| \geq p$ ,  $s \in K$ .



By (2) and (3), vxy contains at most two of the three letters and vy contains at least one symbol.

(Left: vxy has  $\leq 2$  diff symbols)

$a \dots ab \dots \underbrace{b}_{\text{v}} c \dots \underbrace{cc \dots c}_{\text{y}}$

(Case 1) vxy contains no c's.

(vxy has  $\leq 2$  diff symbols) Then  $uv^2xy^2z$  must increase # of a's or b's, so  $i' \cdot j' > k$ .

(And some c's) (Case 2) vxy contains only c's.

Then  $uv^2xy^2z$  makes  $i'j' < k'$ .

case 3) vxy contains both b's and c's.

L vy contains only one letter  $\rightarrow$  done.

L vy contains both b's and c's.

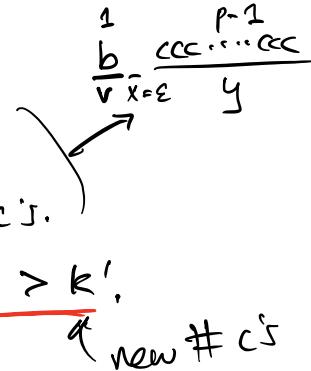
$uv^2xy^2z$  has: p a's.

at least  $p+1$  b's

at most  $p^2 + (p-1)$  c's.

so:  $i' \cdot j' \geq p(p+1) = p^2 + p > k'$ .

#a's      #b's




---

⊕1 A decidable  $\iff \bar{A}$  decidable.

⊕2 A decidable  $\iff A$  and  $\bar{A}$  are both recognizable.

let  $M_A$  be a rec. for  $A$

$M_{\bar{A}}$  be a rec for  $\bar{A}$

$M_{\text{decide-}A}$ : "On input  $w$

Simulate  $M_A(w)$  and  $M_{\bar{A}}(w)$  in parallel.

(One will halt.) Decide accordingly.

( $w \in A$  if  $M_A(w)$  halts,  $w \notin A$  if  $M_{\bar{A}}(w)$  halts.)

$A_{TM}$  recognizable, undecidable

$\overline{A}_{TM}$  must be unrecognizable else  $A_{TM}$  would be  
decidable by ②.