

# An Analysis of the Balance Problem

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This analysis was inspired by a problem involving Santa Claus, 1024 wrapped gifts (with one slightly heavier), and a balance.

## 1. The Balance Problem

*The problem:* We have a balance that can compare two different weights and tell us which is heavier or if they weigh the same. If we have 1024 boxes, with one box being slightly heavier than the others, what is the fewest number of weighs we'll need to find the heavier box?

*The video answer:* A simple binary divide and conquer algorithm computes the solution in 10 comparisons every time.

## 2. The Binary Divide and Conquer Algorithm:

```
def findBox(int[] weights):  
    mid = len(weights)/2  
    if totalWeight(weights[0:mid]) < totalWeight(weights[mid:]):  
        return findBox(weights[mid:])  
    return findBox(weights[0:mid])
```

Our recurrence relation is then  $T(n) = T(n/2) + O(1)$ , giving us a runtime of  $\log_2 n$  comparisons. This is obviously a much better approach than a naive approach, which makes  $O(n)$  comparisons to see when two boxes weigh different.

### 3. The Ternary Divide and Conquer Algorithm:

However, my intuition was that instead of dividing the boxes into TWO groups every time, we could instead divide it into THREE. Then, we'd compare two of these thirds, and then if they were equal, we'd know the heavier box MUST be in the third we didn't weigh, by simple parity. If they weren't equal, we'd know the heavier box is in the third that was heavier. Thus, our problem size goes down by a factor of 3 each time. There was one problem that I thought about (that the original problem managed to circumvent since 1024 is a power of 2): what if the sample size can't be divided into 3 groups? Well, I reasoned that at most you could have 2 leftover; we'd just put these in the third we aren't putting on the balance. Then, if the two we're weighing are equal, we'd have the other third (with the extras) be our new set. This still cuts the amount of boxes we look at asymptotically by 3 each iteration.

```
def heavierBoxByThirds(int[] weights):
    third1, third2 = len(weights)/3, 2*len(weights)/3
    if totalWeight(weights[0:third1]) > totalWeight(weights[third1:third2]):
        return heavierBoxByThirds(weights[0:third1])
    elif totalWeight(weights[0:third1]) < totalWeight(weights[third1:third2]):
        return heavierBoxByThirds(weights[third1:third2])
    return heavierBoxByThirds(weights[third2:])
```

The recurrence relation is thus  $T(n) = T(n/3) + O(1)$ , leading to a runtime of  $\log_3 n$ , asymptotically faster than the binary divide and conquer algorithm.

One potential issue that came up: What if at each splitting into thirds, we find that the first two thirds are always equal in weight (so the heavier box is in the third with extras)? This would mean that our problem size wouldn't be decreasing from  $n$  to  $n/3$  at each iteration, but rather  $n$  to  $n/3 + 2$  (at worst case). Could this ever be worse than the binary divide and conquer, which is a decrease from  $n$  to  $n/2$ ? Well,  $n/3 + 2 < n/2$  for all  $n > 12$ . And the problems we are looking at are all over a size of 12! So we are golden. Fun note: even for sizes under 12, it turns out binary and ternary splits are the SAME number of comparisons. Try it out!

So this ternary divide and conquer algorithm should be the most optimal (based on worst case time) way of finding the heavier box. I confirmed my intuition with a simpler version of this problem.

#### Bonus:

I thought of a way to optimize the Binary Divide and Conquer Algorithm. It turns out a similar quarternary divide and conquer algorithm would have the same worst case run-time, but a MUCH better expected runtime! The thing about our binary divide and conquer algorithm is that it MUST go through  $\log_2 n$  comparisons. There's no way to skip

steps. If we instead to a quaternary divide, we could get lucky sometimes and cut the sample size from  $n$  to  $n/4$  in just one comparison instead of two! Even if the two quarters are even, we will still have eliminated half of the boxes, and we still get a decrease from  $n$  to  $n/2$  in one comparison, just as we did with the binary divide and conquer.

So half of the time, we'll get lucky and get a size reduction to  $1/4$  and half the time we'll just have to settle for a size reduction to  $1/2$ . This averages out to a size reduction to  $1/2 * 1/4 + 1/2 * 1/2 = 3/8$ . Which means the quaternary divide and conquer actually has an AVERAGE running time that is better than the binary divide and conquer but worse than ternary divide and conquer. Unfortunately, it seems like further splits wouldn't be optimal. After you compare less than half of the original boxes each time

$$(1/5 + 1/5 = 2/5 < 1/2)$$

you can't really take advantage of divide and conquer anymore. To put my solution in context with the binary divide and conquer:  $\log_2 1024 = 10$  comparisons.  $\log_3 1024 = 6.3$  comparisons! That's 37% faster.

Anyways, it was fun thinking about the problem. It was fun using some of my Algorithms class knowledge, but most importantly, it's cool that my solution works.