#### Appendix A

#### Letter from the PI's Branch Head

 From:
 Arthur, Keith (LARC-D318)

 To:
 Stephens, Chad L. (LARC-D318)

Cc: Prinzel, Lawrence J. (LARC-D318); Holbrook, Jon B. (LARC-D318)

Subject: RE: request for BH letter re: support of proposed HC2S research protocol

**Date:** Tuesday, April 5, 2022 10:40:23 AM

As Head of NASA Langley's Crew Systems and Aviation Operations Branch (D318), I confirm that the proposed "Human Contributions to Safety (HC2S)" flight simulation study is fully funded by the NASA Aeronautics Research Mission Directorate (ARMD). Furthermore, I acknowledge that the proposed research's scientific merit has been assessed and confirmed through an Initial Management Review, the Preliminary Experiment Review, and the Final Experiment Review conducted by management representatives and members of D318. Additionally, I confirm that the potential of the proposed research to meet its intended goals is high.

Keith Arthur

Keith Arthur

Head, Crew Systems and Aviation Operations Branch, NASA Langley Research Center 757-864-8425

### Sub-TDN #: C2.04.001.006

#### **Title**

Technical Point of Contact (TPOC):
Alternate TPOC: Rania Ghatas
Resource Analyst: Bernita Bond
Organization Code: D318

### Notice of Request (NOR) Support and Subject Request # NORxx-xxx

DATE Submitted: 4/7/2022

TO: Erin Thomas FROM: Chad Stephens Crew Systems & Aviation Operations Branch

B1268A, R1156 B1268A, R1158 MS 152 MS-152

Email: <u>Erin.E.Thomas@nasa.gov</u> Email: chad.l.stephens@nasa.gov

Larc-dl-recruitment@mail.nasa.gov

CC: Rania Ghatas Michael Greenwood

Crew Systems & Aviation Operations Branch Research Directorate/TEAMS-3 COR

B1268A B2102 MS 152 MS 041

Email: rania.w.ghatas@nasa.gov Email: michael.c.greenwood@nasa.gov

SUBJECT: Airline, Corporate, General Aviation Technical Expertise and Test Subject Delivery for TEAMS-3 Contract

#80LARC17C0003

TITLE: Notice for TDN#: 04.001.006 entitled "HCS2" SOTERIA Study

#### a. Description of Work:

Provide 1 pilot and 1 Air Traffic Controller for pre-study scenario check-out sessions; 12 (twelve) flight crews (24 pilots) to support 24 days (two consecutive days for each flight crew) of testing to be used as subjects in NASA Langley's Integrated Flight Deck (IFD) simulator. Day 1 will consist of all flight simulation runs and Day 2 will consist of interviews and follow-up questionnaires related to the flight simulation sessions. The Air Traffic Controller to support 12 days of testing (Day 1 for each flight crew) in addition to days for pre-study scenario check-out sessions to include training and familiarity. The Selection Criteria below describes the necessary specific skills for all pilots and ATC.. The subjects will be primarily involved with:

⊠interviews □surveys □table-top simulation □full-mission simulation □flight tests □workshop

- b. Period of Performance: February 1-2, 2022 (pre-study scenario check-out session); April 12-13, 2022 (pre-study scenario check-out session); May 2022 June 2022 (study data collection sessions), it is requested that Day 2 and Day 1 for flight crews overlap so that up to 4 (four) flight crews can be run in one week (e.g., Crew 1 Day 2 on Tuesday and Crew 2 Day 1 on same Tuesday then Crew 2 Day 2 on Wednesday and Crew 3 Day 1 on same Wednesday, etc.)
- c. Number of Subjects: 1 pilot and 1 Air Traffic Controller (for pre-study scenario check-out sessions); 12 (twelve) flight crews totaling 24 pilots for flight simulation study at NASA Langley. Support (non-subject) TRACON Air Traffic Controller also requested (the number contingent on availability to support 12 days of testing + days for flight simulation scenario check-out; anticipated need of 2 controllers for 6 days each but if possible, would prefer 1 TRACON ATC to provide support across 12 days.

#### d. Delivery Schedule:

Dates:	Time:
February 1-2, 2022 (for flight simulation scenario check-out)	8am-5pm (ET)
April 12-13, 2022 (for flight simulation scenario check-out)	8am-5pm (ET)
May 2, 2022 – June 11, 2022 (for study data collection sessions)	Day 1: 8am-5pm (ET) Day 2: 8am-3pm (ET)

e. Selection Criteria: Pilot selection criteria is (a) American Airlines pilots; (b) pilots participate as part of flight crew with 1 pilot current Captain on B737NG and 1 pilot current F/O on B737NG; (c) currently flying B737NG aircraft; (d) based at DFW, PHL, MIA, CLT, ORF, RIC, DCA, BOS, JFK, or LGA; and (e) preference to be given to those based out of CLT and/or fly to CLT at least once weekly and also (f) preference to be given to local-area (ORF, CLT, RIC, DCA) B737NG American Airlines pilots. ATC selection criteria is (a) current or recently retired (within 2 years) TRACON or ARTCC Air Traffic Controller; and (b) preference provided to local-area TRACON ATC (domiciled local-area and/or RIC or ORF controller) and also (c) preference given to controller with significant post-2016 CLT TRACON experience. Familiarity (self-reported is acceptable) with ARTCC and/or TRACON operations with RNAVs and RNAV Optimal Profile Descents is required. The researchers request to contact possible candidates prior to selection (via telephone) to ensure compatibility with study objectives.

#### f. Special Instructions or Information:

- Reimburse for travel expenses, including costs for airfare, accommodations, rental cars (including self-reserved), and miscellaneous expenses.
- 2. Stipend: \$725 per day for pilots, \$725 per day for ATC
- 3. Fill-out the appropriate paperwork for badging and allowing non-Langley workers on-site at NASA LaRC. Abide by NASA COVID-19 policy and procedures (https://nasapeople.nasa.gov/coronavirus/NASA\_COVID-19\_Response\_Plan.pdf) and Langley Research Center COVID-19 policy and procedures (https://larcsos.larc.nasa.gov/).
- 4. Deliver subjects to: Lobby, NASA Langley Research Center building 1268A
- 5. Subject shall be told the following: Area navigation standard terminal arrival route (RNAV STAR) procedures used at major airports are intended to increase predictability and efficiency. These procedures provide vertical, lateral, and speed profiles for aircraft to follow as they descend toward an airport. Analyzing aircraft flight track data for more than 10 million flights into 32 domestic airports revealed that only 12.4% of flights fully complied with the vertical and lateral profiles in the RNAV STARs. Although the finding provides an example in which published procedures were frequently misaligned with normal operations, questions remain with regard to the reasons for the misalignment, and thus how to interpret this finding. Traditional approaches to risk and safety management have focused on what can go wrong, and minimizing unwanted outcomes, such as accidents and incidents, and ill-equipped to enable learning from events such as procedural non-adherences that do not result in reported undesired outcomes, nor to explore whether these non-adherences may, in fact, reflect desired behaviors. An alternative and complementary approach to risk and safety management is to focus on what goes right and identify how successes can also contribute to safety management and assurance. Focusing on the rare cases of failures attributed to human error provides little information about how or why human performance routinely results in safe, successful outcomes. The study shall examine how commercial aircraft pilots anticipate, monitor for, respond to, and learn from routine disturbances during RNAV arrivals into Charlotte Douglas International Airport (KCLT). The research shall help to better inform pilot contributions to commercial aviation safety.

This study will be performed at NASA Langley Research Center, in Hampton, Virginia, in the Integrated Flight Deck (IFD) flight simulator. The IFD is a fixed-base flight simulator that replicates the flight deck of a Boeing 737NG aircraft. You will receive training on the operation of the equipment that you will operate and a briefing on the tasks in which you will participate. You will be allowed time to familiarize yourself with the equipment prior to starting your participation in the study. If you consent to participate in the study, you will be asked to fly approximately 9 simulated approaches into Charlotte Douglas International Airport (KCLT). Each experiment run/flight simulation scenario will last approximately 30 minutes. After each run, you will be asked to fill out questionnaires and participate in question and answer/interview session. During the course of the study, you will provide your impressions and assessments through verbal responses and completing written questionnaires. You will also participate in a debrief consisting of questionnaires, interviews, and knowledge elicitation activities. The duration of your participation will require approximately 8 hours of your time each day, during 2 consecutive days. You may take a break at any time during the 8 hours, though you are encouraged to complete each experiment run/flight simulation scenario before taking a break. Prior to Day 1 of the study, you will be provided with a digital copy of the Informed Consent document for this study, a Privacy Act Notice, and approximately 5 (five) questionnaires/inventories to complete before you arrive on-site for the study. Day 1 of the study will consist of flight simulation runs and Day 2 of the study will consist of a debrief on the flight simulation sessions. You are requested to wear your pilot uniform on Day 1 for the flight simulation runs. On Day 1 during the flight

simulation runs you will be outfitted with a headset to record brain activity and a wristband to record pulse rate. The headset requires the use of electrode paste to detect brain activity and we request that you do not use any hair styling product on Day 1 because it can interfere with proper recording and will need to be rinsed out prior to donning the headset.

#### g. Technical Point of Contact (TPOC):

Name: Chad Stephens

Email: chad.l.stephens@nasa.gov

Telephone: (757) 864-1547 or (540) 239-7114

Fax: (757) 864-7793

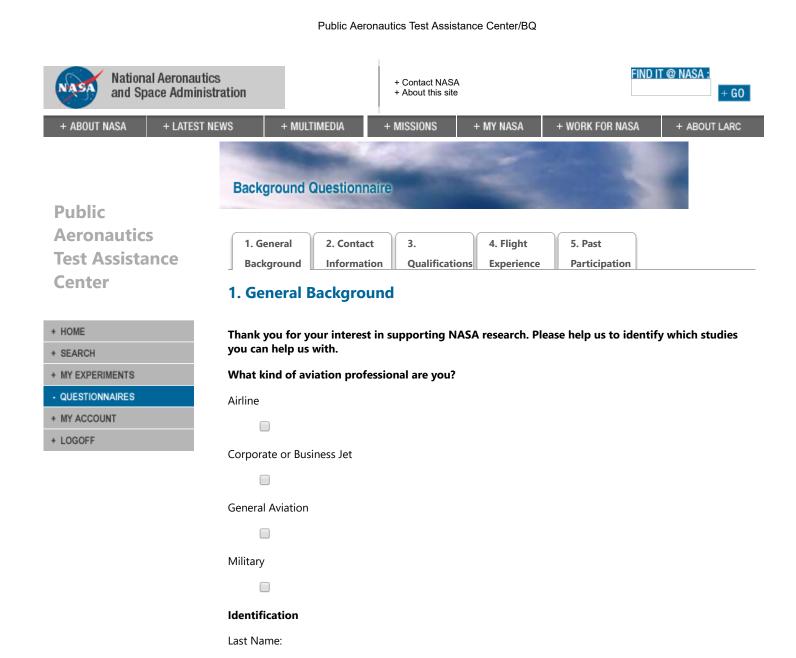
NASAS Badge # (needed to process Visitor Requests): 009-501007

WBS: Fund Code:

#### h. Brief Description of Task:

American Airlines B737NG pilots, operating as flight crew in respective roles of Captain and F/O, shall perform RNAV STARs into KCLT in the NASA Langley Research Center Integrated Flight Deck (IFD) B737NG simulator at NASA Langley Research Center, in Hampton, Virginia. Air Traffic Controller to serve as research confederate. The pilots shall provide data on understanding pilot contributions to commercial aviation safety to include quantitative, observer-based, eye tracking and other psychophysiology measures, safety reports, interviews, walkthrough protocols, questionnaires, and scales and inventories.

Contact for Further Information: Chad Stephens (757 864-1547) or Lance Prinzel (757 864-2277) or Jon Holbrook (757 864-9275). Researchers work in Research Directorate, Crew Systems & Aviation Operations (D-318).



First Name:

M.I.:

Address:

City:

State:

Select State

ZIP Code:

Count	ry:	
US Cit	izen:	
	<ul><li>Yes</li></ul>	
	○ No	
If no, a	are you a permanent resident alien?	
	○ Yes	
	<ul><li>No</li></ul>	
card h		nts must be a US citizen or an Immigrant alien (green uired to complete additional paperwork prior to
Count	ry of Citizenship if not U.S.A.	
Are yo	ou a native English speaker?	
	○ Yes	
	○ No	
Other	language proficiencies:	
Gende	er:	
	0.4.1	
	Male Female	
A	- C.Maie	
Age		
Years	of Formal Education:	
Do yo	u wear corrective lenses?	
	○ Yes	
	○ No	
Have y	you had LASIK or other corrective vision	surgery?
	○ Yes	
	○ No	
Would	d you like to be considered as a participa	nt for future NASA simulator/flight experiments?
	○ Yes	
	O No	
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**Background Questionnaire** 

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### Public Aeronautics Test Assistance Center

+ HOME
+ SEARCH
+ MY EXPERIMENTS
- QUESTIONNAIRES
+ MY ACCOUNT
+ LOGOFF

1. General	2. Contact	3.	4. Flight	5. Past
Background	Information	Qualifications	Experience	Participation

#### 2. Contact Information

Home Phone:

Work Phone:	
FAX:	
Cell/Other:	
·	
Email:	
How often do you check this account?	
** Important **	
How much notification time do you typically need?	
What is the best way to reach you on short term notic	e (2 days ahead)?
What is the best way to reach you on urgent notice (d	lay prior to experiment)?
	//

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compensation for that day.

Next Page

If we are unable to conduct the experiment on the day you arrive, you will still receive

- + USA.gov The U.S. government's official web portal.
  + Freedom of Information Act
  + Budgets, Strategic Plans and Accountability Reports
  + The President's Management Agenda
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1. General	2. Contact	3.	4. Flight	5. Past
Background	Information	Qualifications	Experience	Participation

#### 3. Qualifications

$Cl_{-}$	:4:	ation

Are you currently employed by an FAA Part operator?

- 91 Operator
- 121 Operator
- 107 Operator
- 135 Operator
- Other Part Operator
- O No

#### **Military Experience**

Are you a current or former military pilot?

- Yes, currently employed (Active Duty)
- Yes, currently employed (Reserve/Guard)
- Former or retired
- O No

Years of military flying:

Date of most recent Military flying:

#### **Business/Corporate Exerience**

Are you a current or former corporate pilot?

- Yes, currently employed (full-time)
- Yes, currently employed (part-time)
- Former or retired
- O No

Years of business/corporate flying:

Date of most recent Business/Corporate flying:

Current position:

Select position ▼

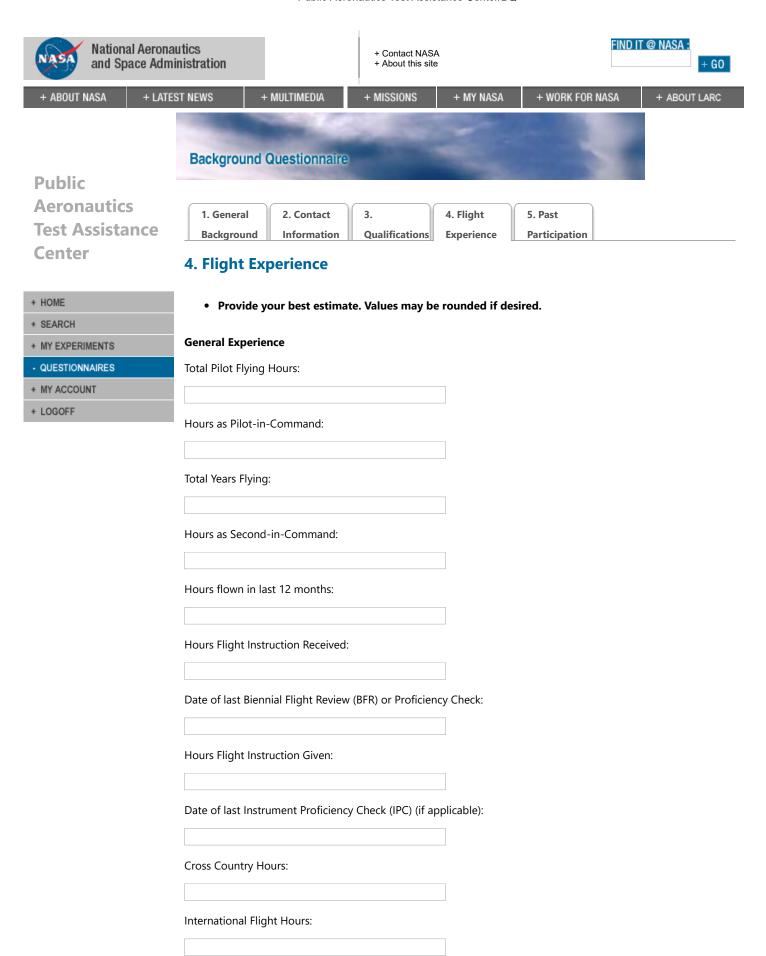
For what Business/Corporation do you fly (so that we	e can match crews when required):
What Business/Corporation are you employed by (so	that we can match crews when required)
Scheduled Airline Experience	
Are you a current or former airline pilot?	
Yes, currently employed (full-time) Yes, currently employed (reserve) Yes, currently employed (furloughed) Former or retired No	
Years of airline flying:	1
Date of most recent Scheduled Airline flying:	
Current position:	
Select position ▼	
What airline do you fly for (so that we can match crev	ws when required):
Select Airline	<b>▼</b>
Private/Recreational Experience  Do you fly for recreation?	
○ Yes ○ No	
Do you currently own aircraft?	
○ Yes ○ No	
Have you previously owned aircraft?	
○ Yes ○ No	
Do you currently rent aircraft?	
○ Yes ○ No	
Certificate/Ratings:	
Do you hold a current and valid:	
Private Pilot Certificate?	
○ Yes ○ No	
Single-Engine Land Rating?	
○ Yes ○ No	

Multi-Engine Land Rating?
○ Yes ○ No
Instrument Airplane Rating?
○ Yes ○ No
Certified Flight Instructor (CFI) Certificate?
○ Yes ○ No
Instrument Instructor (CFII) Certificate?
○ Yes ○ No
Commercial Pilot Certificate?
○ Yes ○ No
Multi-Engine Instructor (MEI) Certificate?
○ Yes ○ No
Rotorcraft Rating?
○ Yes ○ No
Instrument Helicopter Rating?
○ Yes ○ No
Glider Rating?
○ Yes ○ No
Single-Engine Sea Rating?
○ Yes ○ No
Multi-Engine Sea Rating?
○ Yes ○ No
Airline Transport Pilot Certificate?
○ Yes ○ No
Other Ratings:
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  + Freedom of Information Act
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Current to fly Instrument Flight Rules (IFR):

○ Yes ○ No
Night Flying Hours:
Actual Instrument Flight Hours:
Multi-Engine, # Years:
Glass Cockpit, # Years:
Types of Glass Cockpits used (check all that apply)
Boeing
Airbus
Honeywell
Rockwell Collins
Garmin
Other
If Other Glass Cockpit, enter type(s):
General Aviation Experience
Single-Engine Land Hours:
Multi-Engine Land Hours:
Rotorcraft Hours:
Glider Hours:

#### **Specific Aircraft Experience:**

• Please list the types of aircraft on which you have experience, beginning with the most recently flown.

- For each aircraft, please indicate your approximate number of flying and simulator hours.
- If you were type rated on this aircraft, please check the type rated box.
- If you were an Instructor (IP) or a Check Airman (Chk) on any of these aircraft, please check the approprate box.

Specific Aircraft Experience:

Aircraft Type (Most recent first)	HUD	CDU	FMS	Glass	Hours in Type	Simulator Hours	Type Rated	IP	Chk
Select Model ▼					300 300 - 1000 >	0 0 < 50 > 50			
Select Model ▼					300 300 - 1000 >	0 0 < 50 0 > 50			
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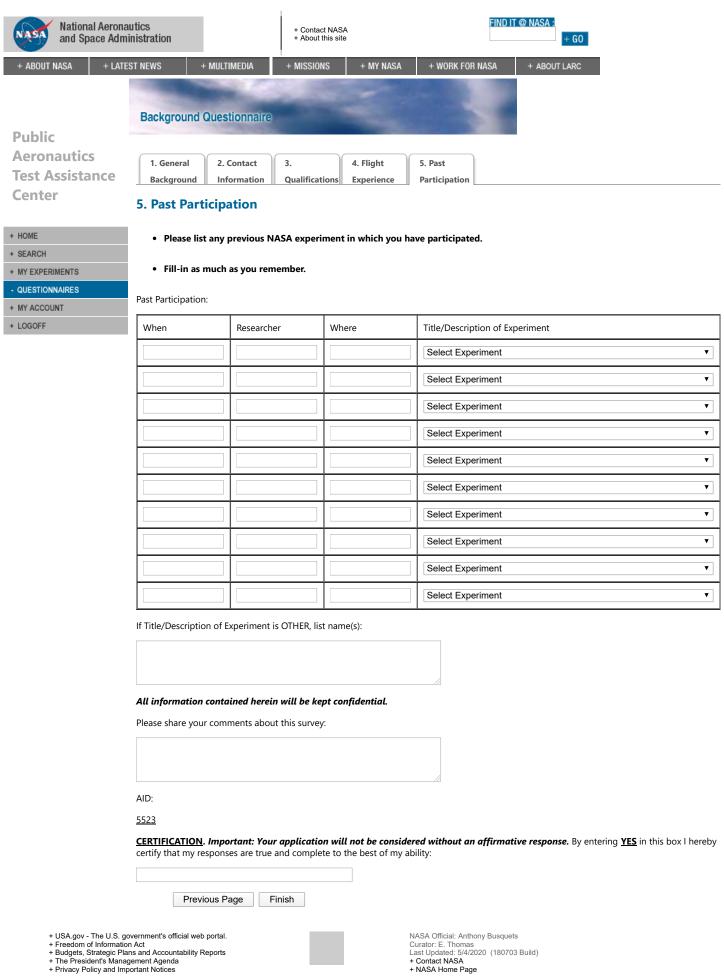
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0 - no experience

Central Pacific (CENPAC)

Other (Please identify below)  0 - no experience  If you indicated that you have experience in region Other above, please identify the region.  What was the approximate date of your last oceanic flight? (MM/DD/YYYY)  Other Experience  Have you ever flown with a HUD?  If yes, month/year:  Have you ever flown using a Velocity Vector or Flight Path Vector display?  If yes, month/year:  Experience using a certified GPS system for IFR approaches and procedures?  Yes No  Experience using a Horizontal Situation Indicator (HSI)?  Yes No  Experience using weather information systems?  Yes No  Experience using weather information system used?  Experience using an Enhanced Vision System (EVS)?  Yes No	0 - no experience ▼
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Yes No  Experience using a Horizontal Situation Indicator (HSI)? Yes No  Experience using weather information systems? Yes No  If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)? Yes	and number of hours:
Yes No  Experience using a Horizontal Situation Indicator (HSI)? Yes No  Experience using weather information systems? Yes No  If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)? Yes	
No  Experience using a Horizontal Situation Indicator (HSI)?  Yes No  Experience using weather information systems?  Yes No  If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)?  Yes	Experience using a certified GPS system for IFR approaches and procedures?
Experience using a Horizontal Situation Indicator (HSI)?  Yes No  Experience using weather information systems?  Yes No  If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)?	○ Yes
Yes No  Experience using weather information systems? Yes No  If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)? Yes	○ No
No  Experience using weather information systems?  Yes No  If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)?  Yes	Experience using a Horizontal Situation Indicator (HSI)?
Yes No  If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)?  Yes	
No  If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)?  Yes	Experience using weather information systems?
If yes, what type of weather information system used?  and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)?  Yes	○ Yes
and, what display is used for the weather information?  Experience using an Enhanced Vision System (EVS)?  Yes	○ No
Experience using an Enhanced Vision System (EVS)?  Yes	If yes, what type of weather information system used?
Experience using an Enhanced Vision System (EVS)?  Yes	
○ Yes	and, what display is used for the weather information?
○ Yes	
	○ Yes ○ No

	Experience using an Enhanced Flight	Vision System (EF	/S)?
	○ Yes ○ No		
	Experience using a Synthetic Vision S	ystem (SVS)?	
	○ Yes ○ No		
	Do you have a high performance airc	raft endorsement?	
	Yes No		
	Have you ever been Required Naviga	tion Performance	qualified (RNP)?
	Yes No		
	Are you currently using RNP?		
	Yes No		
	Special Qualifications (example: PhD	Aeronautical Engir	neering or Experimental Test Pilot School):
	Previous Page Next F	Page	
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Please read the below statements about yourself and indicate how well it applies to you by circling the answer to the right from 1 (*does not apply at all*) to 4 (*applies very strongly*). Let me know how true the following characteristics are as they apply to you generally:

Characteristics About You	Does not Apply at A	11	1	Applies Very Strongly
1. I am generous with my friends.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
2. I quickly get over and recover from being startled.	Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
3. I enjoy dealing with new and unusual situations.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
4. I usually succeed in making a favorable impression on people.	Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
5. I enjoy trying new foods I have never tasted before.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
6. I am regarded as a very energetic person.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
7. I like to take different paths to familiar places.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
8. I am more curious than most people.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
9. Most of the people I meet are likable.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
10. I usually think carefully about something before acting.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
11. I like to do new and different things.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
12. My daily life is full of things that keep me interested.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
13. I would be willing to describe myself as a pretty "strong" personality.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly
14. I get over my anger at someone reasonably quickly.	1 Does not apply at all	2 Applies slightly	3 Applies somewhat	4 Applies very strongly

**Administrator Instructions:** Participants are told that (a) general self-efficacy relates to "one's estimate of one's overall ability to perform successfully in a wide variety of achievement situations, or to how confident one is that she or he can perform effectively across different tasks and situations," and (b) self-esteem relates to "the overall affective evaluation of one's own worth, value, or importance, or to how one feels about oneself as a person."

Participant Instructions: Please circle your answer below.

- 1					
1.	I will be able to ac	hieve most of th	ne goals that I set for r	nyself.	
	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
2.	When facing diffic	ult tasks, I am c	ertain that I will accon	nplish them.	
	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
3.	In general, I think	that I can obtair	outcomes that are in	nportant to me	<b>)</b> .
	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
4.	I believe I can suc	ceed at most ar	ny endeavor to which	I set my mind	
	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
5.	I will be able to su	ccessfully over	come many challenges	S.	
	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
6.	I am confident that	t I can perform e	effectively on many di	fferent tasks.	
	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
7.	Compared to othe	r people, I can o	do most tasks very we	ell.	
	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
8.	Even when things	are tough, I car	n perform quite well.		
	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree

**Administrator Instructions:** Participants are to read each statement carefully and circle the response that they feel most accurately describes their experiences. Indicate to the participant that "There are no right or wrong answers." and to "Please answer honestly and not to skip any statements."

Participant Instructions: Please circle your answer below.

1. Verbalizing or applying	what was previou	usly learned is easy for me.		
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
2. I always provide a thoro	ough briefing.			
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
3. It is important to me to	prioritize actions.			
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
4. I always develop contin	gency plans.			
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
5. Monitoring automation	is a pilot ability.			
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
6. Working together as a t	eam is important	to me.		
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
7. I always provide my flig	ht crew with new	information as I become av	ware of it.	
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
8. I prefer to be the monitor	oring pilot.			
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
9. I tend to be highly awar	e of the workload	of my co-pilot.		
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
10. I actively seek out nev	v information.			
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
11. Sharing my mental mo	odel with my co-p	ilot is important to me.		
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
12. Learning new ways of	performing flight	tasks is something I am ve	ry good at.	
Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree

# **System Resilience Potential Scale**

We are interested in understanding how your "work system" (i.e., the resources, tools, equipment, procedures, and training you are provided) support your ability to achieve, reprioritize, or redirect your goals and actions to sustain safe and successful operations.

For your "work system," please tell us about the AVAILABILITY and EFFECTIVENESS of each of the policies/procedures listed below:

	My work system includes policies or procedures that support my ability to:	Yes/No			fective is oor, 5=v		
1	Detect and track anomalies, surprises, and inconsistencies.	Y / N	1	2	3	4	5
2	Evaluate the plausibility of anomalies, surprises, and inconsistencies.	Y / N	1	2	3	4	5
3	Evaluate data quality/integrity.	Y / N	1	2	3	4	5
4	Use anomalies, surprises, and inconsistences to form new understandings.	Y / N	1	2	3	4	5
5	Compare different understandings or perspectives.	Y / N	1	2	3	4	5
6	Formulate new goals or reprioritize existing goals.	Y / N	1	2	3	4	5
7	Recognize goal conflicts.	Y / N	1	2	3	4	5
8	Diagnose problems with a plan.	Y / N	1	2	3	4	5
9	Establish common ground with others regarding goals and plans.	Y / N	1	2	3	4	5
10	Shift existing resources to institute a new plan.	Y / N	1	2	3	4	5
11	Acquire new resources to institute a new plan.	Y / N	1	2	3	4	5
12	Direct another's goals (e.g., teammate, another operator/worker, etc.).	Y / N	1	2	3	4	5
13	Establish new roles and responsibilities within my team.	Y / N	1	2	3	4	5
14	Anticipate what others (e.g., teammates, other operators/workers) will do next.	Y / N	1	2	3	4	5
15	Understand the status of other workers (i.e., whether another person is having trouble).	Y / N	1	2	3	4	5
16	Understand my own status (i.e., whether I am approaching/passing my personal limits).	Y / N	1	2	3	4	5
17	Gauge teamwork quality.	Y / N	1	2	3	4	5

# **NASA Monitoring v1.0.1**

at least 1-2 prior flights in the last 6 months (4)

Start of Block: Introduction
This survey focuses on the positive contribution to flight safety that come from the everyday decisions and actions of pilots.
Special instructions: Throughout the survey we will often ask you about "the last leg that you flew." For these questions, please think of your most recent leg flown and answer the questions based on what you did on this one, most recent leg.
It will likely take you approximately 15-30 minutes.
Click the continue arrow on the bottom right to begin.
End of Block: Introduction
Start of Block: General Info
Q1 On my last leg, the destination airport was:
Q2 I have flown into this destination airport:
O for the first time on this trip (1)
O previously, but not in the last 3 years (2)
O a few times in the last 3 years (3)

Q3 Our airframe was a:	
Q4 What was most challenging during your last leg?	
Q5 What did you do to manage this situation?	
End of Block: General Info	

**Start of Block: Preflight Phase through Cruise** 



Q6 Sometimes pilots include additional information during a briefing beyond what is standard or in SOPs. During the **preflight briefing**, did you discuss any of the following topics in **greater** 

apply)	dai, for example, concerning a particular challenge of timeat? (Select all that
	departure weather (1)
	weather en route (2)
	weather at destination airport (3)
	airport navaid or runway conditions (4)
	expected airport traffic (5)
	fuel planning (6)
	minimum equipment list (MEL) items (7)
	planned use of automation (8)
	knowledge about destination airport from prior flights (9)
origin) (10	additional review of procedures (e.g., engine failure, overweight landing, return to
	amount or type of flying experience of flight crew (11)
	other or additional details (12)
	no additional detail (13)

Q7 In retrospect, did you think the information covered in preflight briefing was:						
O too detailed (1)						
about right (2)						
O not enough, useful to have addressed more (3)						
$X \rightarrow X \rightarrow$						
Q8 During <b>cruise</b> , before the descent briefing, which of the following did you do? (Select all that apply)						
spent time discussing arrival, approach, or landing challenges (1)						
set weather to auto-update (2)						
reviewed other STARS that ATC might assign (3)						
performed landing distance calculation for expected runway(s) (4)						
discussed prior experience with this airport (5)						
discussed any impact of MEL items (6)						
other (7)						
none (8)						
End of Block: Preflight Phase through Cruise						
Start of Block: Descent Briefing and Arrival Phases						

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Q9 During the descent briefing, did you discuss any of the following topics in greater detail than usual from what is required in SOPs? (Select all that apply) pilot flying described the way they planned to fly the arrival (e.g., modes, specific gates) (1) discussed how to manage any challenging segments of the STAR (2) discussed when speedbrakes may be necessary (3) discussed possibility of configuring early (4) discussed speed management (5) discussed when non critical tasks should be done (6) discussed weather on descent or at airport (7) discussed possible runway change (8) other (9) \_\_\_\_\_ none (10) Q10 Were you cleared to fly the STAR that was programmed in your original flight plan? Yes (1) O No (2)

Q11 What did all that apply)	you do to assess how your autoflight system would handle your STAR? (Select
the chart	checked that the values in the flight management computer matched values on (1)
	checked the flight management computer's predictions (2)
	checked whether wind would impact energy management during the STAR (3)
	recognized the STAR would not need pilot intervention from prior experience (4)
	not applicable (e.g., no STAR planned or not a concern) (5)
Q12 What stra	ategies did you use to assess the STAR? (Select all that apply)
	identified a specific segment of the approach that might be difficult (1)
	used a 3-to-1 rule to assess distance from a point on the STAR to the runway (2)
	used a 3-to-1 rule to assess some segment(s) of the arrival (3)
	considered where in a way point's altitude window the autoflight might fly (4)
	observed whether the FMC indicated it would meet all the constraints (5)
	information from a display showing vertical profile information (6)
	other (7)
	not applicable (STAR not planned) (8)



,	how did you (individually or as a team) manage that? (Select all that apply)
	made a mental note to personally monitor the threat (1)
	identified/pointed out a potential threat (2)
	explicitly suggested monitoring the threat (3)
	shared a plan to address a potential threat (4)
	other (5)
	not applicable (6)
Page Break	

							_
	 						_
	 						_
							_
							_
		additional	that you v	vish you h	nad cove	ered in de	escent
		additional	that you v	vish you t	nad cove	ered in de	escent
		additional	that you v	vish you h	nad cove	ered in de	escent
		additional	that you v	vish you t	nad cove	ered in de	escent
		additional	that you v	vish you h	nad cove	ered in de	escent
		additional	that you v	vish you h	nad cove	ered in de	escent
5 In retrospec fing that woul		additional	that you v	vish you h	nad cove	ered in de	escent

Q16 Did ATC	modify your arrival in any of the following ways? (Select all that apply)
	none -ATC did not modify (1)
	held you high (2)
	slowed you down (3)
	shortened your path (4)
	assigned a "descend via, except" (5)
	took you off and returned you to the same STAR (6)
	changed to another STAR (7)
	gave you more than three clearances at once (8)
	gave you clearances at more than three points in time during your arrival (9)
	changed to a different runway (10)
	other (11)

Q17 Concerning ATC clearances, we			
O could comply using the autopilot as programmed without pilot intervention (1)			
O were able to comply but required speed brakes to make some clearance (2)			
O knew we would be close, and passed a waypoint with slightly high altitude or speed (3)			
knew we could not make the clearance and said we could do speed or altitude but not both (4)			
O knew we could not make the clearance and said unable (5)			
O other (6)			
$X \rightarrow$			
Q18 Did the weather or airport conditions change significantly between what was predicted preflight and what you encountered on descent or at the airport? (Select all that apply)			
tail wind increased (1)			
reduced visibility (2)			
convective weather (3)			
icing conditions (4)			
contaminated runway (5)			
other (6)			
no significant change (7)			

Q19 Did any	of the following impact you? (Select all that apply)
	frequency congestion (1)
	navigation instrument malfunction (2)
	unexpected automation behavior (3)
	fatigue (4)
	traffic separation issues (5)
	other noteworthy issues (6)
$X \rightarrow$	
Q20 Did you all that apply)	take any of the following actions to gather information concerning arrival? (Select
	put ACRS WX on auto-update (1)
	changed pages on the FMC to check way point restrictions (2)
	contacted dispatch for any reason (3)
	entered an MCP value to "trick" the FMC to reveal additional information (4)
did you cl	made other changes to displays to get a more useful view or information What hange, for what information? (5)
Page Break	



	ts sometimes enter control changes into the flight management computer (FMC,FMS, or change modes using the Mode Control Panel during their STAR. (Select all that
	changed a restriction because auto-flight would not meet constraints (1)
	changed an entry to make it easier to comply with future constraints (2)
(3)	changed an altitude constraint window to a hard altitude to constrain automation
	changed a restriction to accept a clearance from ATC (4)
	reverted to a lower level of automation during the STAR to meet a constraint (5)
	other (6)
	not applicable (7)
	ing descent, we had a shared monitoring plan with information that was effectively (Select one best response)
O c	learly yes, agree (1)
O s	omewhat agree (2)
Os	omewhat disagree (3)
O N	lot really, I was aware of some gaps or misunderstanding (4)

Q23 During descent, the PM: (Select all that apply)									
	reported a concern about making a waypoint (1)								
	reported a concern about energy management (4)								
	reported points where PF actions or aircraft state were not as expected (2)								
	provided positive confirmation of expected actions or states, beyond SOP (3)								
X→									
Q24 At any point on the <b>arrival or approach</b> , was the energy state higher than you were comfortable with? (Select one best response)									
O No, no	○ No, not an issue (1)								
O No, but required very close monitoring (2)									
O Somewhat, but resolved quickly (3)									
O Yes, a concern requiring active management (4)									
End of Block	End of Block: Descent Briefing and Arrival Phases								
Start of Block: Approach and Landing Phases									

Q25 Did you apply)	encounter any of the following events during your approach phase? (Select all that
	ATC changed your approach trajectory (1)
	ATC changed your runway (2)
	potentially conflicting traffic (3)
	wake turbulence (4)
	you initiated a go around for any reason (5)
	other noteworthy event (6)
X→	
Q26 Did you standard for y	fly any part of the approach manually, or at lower levels of automation than your airline?
O no (1	)
O yes (	2)
Display This Q	duestion:
If Did you = yes	fly any part of the approach manually, or at lower levels of automation than standard for
X→	

Q26a Why did you choose to do so? (Select all that apply)									
to accommodate ATC (1)									
to recover from automation challenges (2)									
to r	to maintain manual flying skills (3)								
to a	achieve required stabilization gates (4)								
oth	er reason (5)								
$\chi$									
Q27 At approxima	ately what altitude did you disconnect the autoflight?								
O (enter disconnect altitude below) (3)									
autoflight remained engaged throughout landing (autoland) (2)									
X→									
Q28 Did you confi	gure flaps or landing gear differently from your normal or standard profile?								
O no, used s	tandard configuration (1)								
O yes (2)									
Display This Questi	ion:								
	gure flaps or landing gear differently from your normal or standard profile? = yes								
X→									

•	id you configure flaps or landing gear differently from your normal or star ect all that apply)	ndard								
	challenging ATC speed assignment (1)									
	held high by ATC (2)									
	to avoid weather (3)									
	unexpected energy state from automation (4)									
	other (5)									
Q29 Did any	thing happen during landing that was not routine?									
O no (2										
Display This C	Question: thing happen during landing that was not routine? = yes									
Q29a Descril	be what happened during landing:									
End of Bloc	k: Approach and Landing Phases									
Start of Bloc	ck: Overall Assessment									

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NOTE . The following	questions are regarding your overall assessment of the last leg that you flew.
Q30 Did you ç	gain any useful information by listening to the traffic ahead of you?
O no, dic	I not listen (1)
Olistene	d but did not gain useful information (2)
Oyes (3	3)
Display This Qu If Did you	uestion: gain any useful information by listening to the traffic ahead of you? = yes
X→	
Q30a What us	seful information did you gain? (Select all that apply)
	which arrival was in use (1)
	which runways were in use (2)
	preparing to flip the airport (3)
	about turbulence (4)
	about convective weather (5)
	possibility of having to hold (6)
	other (7)
$X \rightarrow X \rightarrow$	

Q31 Compared to a typical flight, how challenging was your last leg? (Select one best answer)
O not challenging at all (1)
O less challenging than typical (2)
O about typical (3)
osomewhat more challenging than typical (4)
O quite a bit more challenging than typical (5)
$X \rightarrow$
Q32 In your last leg, did you learn something that might help you on future flights?
○ Yes (1)
O No (2)
Display This Question:
If In your last leg, did you learn something that might help you on future flights? = Yes
Q32a What did you learn?

needed to think deeper than just following SOPs to successfully complete the flight?
○ Yes (1)
O No (2)
Display This Question:
If In your last leg, did you encounter something that made this NOT a textbook flight, and you neede = Yes
Q33a What did you encounter and what did you do?
End of Block: Overall Assessment
Start of Block: Attitude Assessment
Q34 How often do you expect that the automation is not adequate and the aircraft needs pilot intervention to comply with all the constraints?
O Never (if original plan was entered correctly) (1)
Only in very exceptional conditions (2)
O Rarely (3)
Occasionally (4)
O Happens from time to time (5)

Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.	เษแ นอ.		-	atus? Pick one to
Rate your agreement with the following statement about the most recent leg				
Rate your agreement with the following statement about the most recent leg				
Rate your agreement with the following statement about the most recent leg  236  Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.				
Rate your agreement with the following statement about the most recent leg				
Rate your agreement with the following statement about the most recent leg				<del></del>
Rate your agreement with the following statement about the most recent leg				
Rate your agreement with the following statement about the most recent leg				
Rate your agreement with the following statement about the most recent leg				
Rate your agreement with the following statement about the most recent leg  236  Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.	End of Block: Attitude A	Assessment		
Q36  Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.	Start of Block: Likert Ra	tings		
Q36  Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.	<b>D</b>			
Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.	Rate your agreement wit	th the following state	ment about the most recent is	∍g
Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.				
Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.				
Disagree (1) Somewhat Agree (2) Agree (3)  Our briefing(s) covered critical monitoring roles.	$X \rightarrow X \rightarrow$			
Our briefing(s) covered critical monitoring roles.	X→ X→ X→			
covered critical monitoring roles.	X→ X→ Q36	Disagree (1)	Somewhat Agree (2)	Agree (3)
		Disagree (1)	Somewhat Agree (2)	Agree (3)
(U.5D 1)	Our briefing(s) covered critical	Disagree (1)	Somewhat Agree (2)	Agree (3)
(232)	Our briefing(s) covered critical monitoring roles.	Disagree (1)	Somewhat Agree (2)	Agree (3)
	covered critical	Disagree (1)	Somewhat Agree (2)	Agree (3)

Q37

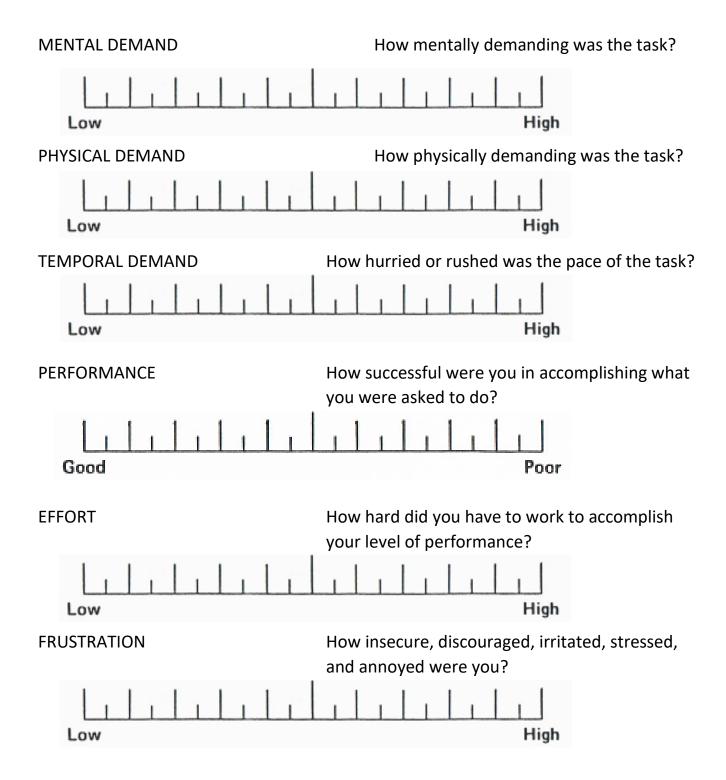
Rarely (1)	Sometimes (2)	Mostly (3)	Always (4)
0	0	0	0
$\circ$	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0		0	0
0		0	0
	Rarely (1)	Rarely (1) Sometimes (2)	Rarely (1) Sometimes (2) Mostly (3)

χ→ χ→

Q38			
	Was not up to my usual standard (1)	Was typical for me (2)	Exceeded my usual standard (3)
Overall, I feel that my performance on this leg: (Q38_1)	0	0	0
End of Block: Likert Ra	atings		
Start of Block: Demogr	raphics		
In this final section, ple	ase answer the following	g questions about yours	elf.
Q39 I am:			
O Captain (1)			
O First Officer (2)			
X→			
Q40 On my last leg, I wa	as:		
O Pilot Flying (1)			
O Pilot Monitoring	(2)		
Q41 Approximately how	many flight hours have	you logged <b>with this ty</b>	pe of aircraft?
Q42 Approximately how	many flight hours have	you logged with <b>your ai</b>	rline?

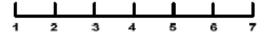
**End of Block: Demographics** 

# **NASA Task Load Index**



# Instability of Situation

How changeable is the situation? Is the situation highly unstable and likely to change suddenly (High) or is it very stable and straightforward (Low)?



### Complexity of Situation

How complicated is the situation? Is it complex with many interrelated components (High) or is it simple and straightforward (Low)?



# Variability of Situation

How many variables are changing within the situation? Are there a large number of factors varying (High) or are there very few variables changing (Low)?



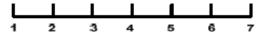
### Arousal

How aroused are you in the situation? Are you alert and ready for activity (High) or do you have a low degree of alertness (Low)?



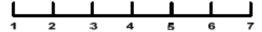
### Concentration of Attention

How much are you concentrating on the situation? Are you concentrating on many aspects of the situation (High) or focussed on only one (Low)?



### Division of Attention

How much is your attention divided in the situation? Are you concentrating on many aspects of the situation (High) or focussed on only one (Low)?



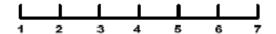
### **Spare Mental Capacity**

How much mental capacity do you have to spare in the situation? Do you have sufficient to attend to many variables (High) or nothing to spare at all (Low)?



# Information Quantity

How much information have you gained about the situation? Have you received and understood a great deal of knowledge (High) or very little (Low)?



# Familiarity with Situation

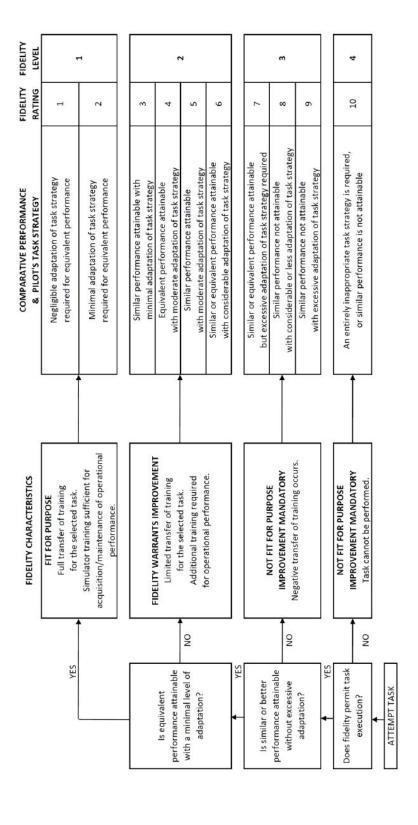
How familiar are you with the situation? Do you have a great deal of relevant experience (High) or is it a new situation (Low)?



# **Resilient Performance Self-Assessment**

Different situations provide opportunities to demonstrate different resilient behaviors. For the scenario you just completed, rate whether you performed the following behaviors, and if so, how successfully you feel you demonstrated that behavior:

	For the scenario I just completed, I	Performed?	If YES, how successfully? (1=very unsuccessful, 5=very succes				
		Yes/No	(1=ver	y unsucce	essful, 5=	very succ	essful)
1	Applied prior knowledge (that I brought with me to the simulation) to solve a problem.	Y / N	1	2	3	4	5
2	Verbally shared prior knowledge/experience with the other crewmember.	Y / N	1	2	3	4	5
3	Heightened my own awareness/focus to prepare for an impending challenging task.	Y / N	1	2	3	4	5
4	Monitored aircraft status in response to a detected anomaly, surprise, or inconsistency.	Y / N	1	2	3	4	5
5	Discussed expected actions before an anticipated challenging task.	Y / N	1	2	3	4	5
6	Developed "what if" scenarios to support a possible change in plan.	Y / N	1	2	3	4	5
7	Gathered information to support a possible change in plan.	Y / N	1	2	3	4	5
8	Identified countermeasures to an identified problem/pressure.	Y / N	1	2	3	4	5
9	Intervened to address or resolve an unwanted condition.	Y / N	1	2	3	4	5
10	Changed automation or system to respond to an unanticipated new pressure.	Y / N	1	2	3	4	5
11	Cross-checked other pilot's actions, over and above SOP-required cross-checking.	Y / N	1	2	3	4	5
12	Initiated an action to decrease my own or other crewmember's workload / taskload.	Y / N	1	2	3	4	5
13	Asked external source (e.g., ATC) for input/assistance.	Y / N	1	2	3	4	5
14	Asked other pilot for input/assistance.	Y / N	1	2	3	4	5
15	Managed time effectively to complete tasks.	Y / N	1	2	3	4	5
16	Debriefed what happened after a problem occurred.	Y / N	1	2	3	4	5



# After Run 1

	After flying the arrival – Threat Mitigations										
<b>*</b>	Please rate [by circling a number along each scale] the extents of crew involvement in										
	<ul><li> Planning</li><li> Commun</li><li> Vigilance</li></ul>	nication ar	nd c	oord	linat	tion					
	that were significant	•		_		_	e fo	llow	ing	thre	eats, if they became
	☐ Airı	oort / run	way	/ CO	nst	ruc	tion				
	0	Actual ar	nou	nt o	f pla	anni	ng a	and	pre	oara	ation required:
		1 None	2	3	4	5	6	7	8	9	10 Extensive
	0	Actual ar 1 None	nou 2				com 6			tion 9	and coordination required: 10 Extensive
	0	Actual ar	nou	nt o	f viç	gilar	nce a	and	mo	nito	ring required:
		1 None	2	3	4	5	6	7	8	9	10 Extensive
	□ Cle	arances /	re-	rou	tes						Extensive
						:				_4:_	an and an extension of the
	0	Actual ar			-		ng / 6	-	-	atio 9	n required: 10
		None	۷	J	_	J	U	,	U	3	Extensive
	0	Actual ar	nou	nt o	f cr	ew (	com	mur	icat	tion	/ coordination required:
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		None									Extensive
	0	Actual ar									g required:
		1	2	3	4	5	6	7	8	9	
		None									Extensive
	☐ Arr	ival amer									
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		1 None	2	3	4	5	6	7	8	9	10 Extensive
			2011	nt o	for	0147	20 m	mur	vico	tion	
	0	Actual at					20111 6				and coordination required:
		None	_	J	7	J	J	'	U	9	Extensive
	0		ກດເເ	nt o	f vic	ralin	nce :	and	mo	nito	ring required:
	O				•	-					10

Extensive

None

Date: \_\_\_\_\_

Crew # \_\_\_\_\_

Subject # \_\_\_\_\_

TAFTT / LNDIZ

CB: T -L / L-T

Pre / Post 1 or 2

PF/PM

left seat / right seat

Arter nying the arrival – Threat willigations											
□ Runway changes											
0	Actual ar	nou	nt o	f pla	anni	ing a	and	prep	oara	ation required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
0	Actual ar	nou	nt o	f cre	ew (	com	mur	nicat	ion	and coordination required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
0	Actual ar	nou	nt o	f vig	jilar	nce	and	mor	nito	ring required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
Visi	bility										
0	Actual ar	nou	nt o	f pla	anni	ing /	pre	para	atio	n required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
0	Actual ar	nou	nt o	f cre	ew (	com	mur	nicat	ion	/ coordination required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
0	Actual ar	nou	nt o	f vig	jilar	nce .	/ mo	nito	ring	g required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
Dela	ays										
0	Actual ar	nou	nt o	f pla	anni	ing a	and	prep	oara	ation required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
0	Actual ar	nou	nt o	f cre	ew (	com	mur	nicat	ion	and coordination required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
0	Actual ar	nou	nt o	f vig	jilar	nce	and	mor	nito	ring required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
Flig	ht divers	ion	S								
0	Actual ar	nou	nt o	f pla	anni	ing /	pre	para	atio	n required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
0	Actual ar	nou	nt o	f cre	ew (	com	mur	nicat	ion	/ coordination required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	
0	Actual ar	nou	nt o	f vig	jilar	nce .	/ mo	nito	ring	g required:	
	1	2	3	4	5	6	7	8	9	10	
	None									Extensive	

Date: \_\_\_\_\_

Crew # \_\_\_\_\_

Subject # \_\_\_\_\_

TAFTT / LNDIZ

CB: T -L / L-T

Pre / Post 1 or 2

PF/PM

left seat / right seat

# <u>After flying the arrival – Error Mitigations:</u> Judgments of actual successfulness in mitigating potential errors

Today's commercial aircrews also employ a number of skills, practices, and strategies – pilot "competencies" -- to help ensure continued safe and efficient flight. Seasoned crews exercise these competencies daily in managing, mitigating, and often even avoiding altogether the commission of errors and mistakes that can occur in the complex and demanding operations required in current-day commercial aviation.

Having just flown this arrival, please take a few moments to think about the aspects of crew competency that you employed in managing, mitigating, and eliminating mistakes and errors that arose in the course of performing your crew-operational duties:

☐ Flight-deck automation management of aircraft flight path
☐ Manual-control management of aircraft flight path
□ Aviation knowledge
☐ Problem solving and decision making
■ Workload management
☐ Leadership and teamwork
□ Communication
☐ Situation awareness

■ Application of procedures

Date:
Crew #
Subject #
left seat / right seat
PF/PM
TAFTT / LNDIZ
CB: T –L / L-T

Pre / Post 1 or 2

### After flying the arrival – Error Mitigations For each of these crew competency factors, please indicate [by circling a number along each scale] how successful you were in managing, mitigating, or eliminating mistakes and errors that arose while flying the arrival. Application of procedures Actual level of success: 1 2 3 4 5 6 7 8 9 10 Not very successful Very successful ☐ Flight-deck automation management of aircraft flight path Actual level of success: 1 2 3 4 5 6 7 8 9 10 Not very successful Very successful ■ Manual-control management of aircraft flight path Actual level of success: 2 3 4 5 6 7 8 Not very successful Very successful Aviation knowledge Actual level of success: 2 3 4 10 Not very successful Very successful Problem solving and decision making Actual level of success: 2 1 3 4 5 6 7 8 10 Not very successful Very successful Workload management Actual level of success: 2 10 3 4 5 Not very successful Very successful Leadership and teamwork Actual level of success: 2 4 5 10 Not very successful Verv successful Communication Actual level of success: 2 3 10 4 6

Not very successful

Actual level of success:

Not very successful

2

6 7

5

Situation awareness

Date: \_\_\_\_\_
Crew # \_\_\_\_
Subject # \_\_\_\_
left seat / right seat
PF / PM
TAFTT / LNDIZ
CB: T -L / L-T

Pre / Post 1 or 2

Very successful

Very successful

# Prior to Run 2

# BOEING TEM CARD

VAMINII d/T d Oddi A	THREATS / MITIGATION	ITIGATION	A DIVERSE WEATUED
Contamination Construction Signage Hotspots NOTAMS	Clearance/Re-Routes Arr/Dep Amendments Runway Changes ATC Errors Language Difficulty Nonstandard Phraseology Radio Congestion Similar Sounding Call Signs	Systems Communication Equipment MELs Automation Performance	Visibility Delaing Winds Precipitation
ENVIRONMENT	AIRLINE/OPS/DISPATCH	OPERATIONAL	GROUND/RAMP/MX
Terrain Night Traffic	Schedule Pressure  Delays Paperwork Crew Scheduling Manuals/Charts FMC Database	Time Pressure Missed Approach Flight Diversion Unfamiliar Airport Non-normal Conditions	Handling Congestion Logbook Maintenance Errors
PHYSIOLOGY	CABIN	CREW	
Fatigue Stress Hydration Nutrition	Passengers Interruptions Events/Distractions Flight Attendants	Experience Recency First Crew Flight Mission Familiarity	

# TAKEOFF BRIEFING

# THREATS (PM, PF) / MITIGATION **BASIC PLAN**

- Taxi Path, Runway, Intersection
- Route: Clearance, Flight Plan, FMC RTE crosscheck
- Return to the Airport: Emergency, Takeoff Alternate
- Takeoff Performance: Data Valid, Appropriate for Conditions, Configuration

- **CONSIDERATIONS** Specific PM Duties, Noise Abatement, Takeoff Engine Failure Plan
- Review as Needed

# **APPROACH BRIEFING**

# THREATS (PM, PF) / MITIGATION

# **BASIC PLAN**

- Weather, FMC Programming, NOTAMs
- Flaps, VREF and Bugs, STAR and Routing
- Automation: Approach Mode, Minimums, MCP ALT Handling, Missed Approach, Alternate, Fuel
- Landing Runway, Landing Distance Assessment, Touchdown Point, Exit,
- Autobrakes

# CONSIDERATIONS

Specific PM duties, Arrival Missed Approach Plan

# DEBRIEF

- Safety: Were margins of safety compromised anytime?
- Standards: Were standards, policies, tasks compromised?

Workload Management Leadership & Teamwork

PERCEPTUAL

**DECISION-BASED** 

SKILL-BASED

Problem Solving & Decision Making

Application of Procedures Flight Path - Automation Flight Path - Manual

Knowledge

**ERRORS / MITIGATION** 

Situation Awareness Communication

- Unresolved Questions: What events prompted questions in pilots' minds that were never adequately answered?
- Opportunities for Improvement: In which areas could pilots have performed at a higher level?

# <u>Prior to</u> flying the arrival – Threat Mitigations: Estimating likely involvement levels

Today's commercial aircrews typically face numerous threats to maintaining safe and efficient flight. These threats have many sources: Airport conditions, air traffic control, aircraft systems, weather and other aspects of the environment, the airline's operations and dispatch, flight-operational demands, ground, ramp, and maintenance operations, and behavioral and physiological factors for both passengers and crew members. Anticipating and mitigating these threats are critical aspects of crew ...

Preparing for flying this upcoming arrival, please take a few moments to think about mitigations to these potentially relevant threats:

☐ Airport / runway construction
☐ Clearances / re-routes
☐ Arrival amendments
☐ Runway changes
☐ Visibility

☐ Flight diversions

■ Delays

Date:
Crew #
Subject #
left seat / right seat
PF/PM
TAFTT / LNDIZ
CB: T –L / L-T
Pre / Post 1 or 2

		Prior to	fly	ing	the	e ar	riva	a/ –	Th	rea	t Mitigations	
*	Please rate [by circling a number along each scale] the extents of crew involvement in											
	<ul><li>Planning and general preparation</li><li>Communication and coordination</li><li>Vigilance and overall monitoring</li></ul>											
	that would likely be required for mitigating the following threats, if they were to become significant factors in the arrival.											
	☐ Airport / runway construction											
	0	Likely an	nour	nt of	pla	nnir	ng a	nd p	orep	ara	ation required:	
		1	2	3	4	5	6	7	8	9	10	
		None									Extensive	
	0	Likely an	nour								and coordination required:	
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		None									Extensive	
	0	•			_						ring required:	
		1	2	3	4	5	6	7	8	9	10	
		None									Extensive	
	☐ Cle	arances /	re-	rou	tes							
	0	Likely an			-		_				n required:	
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		None									Extensive	
	0	•									/ coordination required:	
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	0	-			_					_	required:	
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		None									Extensive	
	☐ Arrival amendments											
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		None									Extensive	
	0	•									and coordination required:	
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	0	•			_						ring required:	
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Extensive

None

Date: \_\_\_\_\_

Crew # \_\_\_\_\_

Subject # \_\_\_\_\_

TAFTT / LNDIZ

CB: T -L / L-T

Pre / Post 1 or 2

PF/PM

left seat / right seat

		<u>Prio</u>	<u>r to</u>	fly	ing	the	arı	riva	a/ —	Th	reat Mitigations
	Rur	nway cha	nge	S							
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		None									Extensive
	0	Likely an	nour	nt of	cre	w c	omn	nuni	icati	ion	and coordination required:
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		None									Extensive
	0	Likely am	nour	nt of	vigi	iland	ce a	nd r	mon	itor	ing required:
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		None									Extensive
	Visi	bility									
	0	Likely an	nour	nt of	pla	nnir	ıg / լ	orep	oara	tior	n required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Likely am	nour	nt of	cre	w c	omn	nuni	icati	ion .	/ coordination required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Likely an	nour	nt of	vigi	iland	ce /	mor	nitor	ring	required:
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		None									Extensive
	Dela	ays									
	0	Likely an	nour		-		-	-	-		tion required:
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	0	-			_					_	required:

Extensive

None

Date: \_\_\_\_\_

Crew # \_\_\_\_\_

PF/PM

Subject # \_\_\_\_\_

TAFTT / LNDIZ

CB: T -L / L-T

Pre / Post 1 or 2

left seat / right seat

# <u>Prior to</u> flying the arrival – Error Mitigations: Estimating expected successfulness in mitigating potential errors

Today's commercial aircrews also employ a number of skills, practices, and strategies – pilot "competencies" -- to help ensure continued safe and efficient flight. Seasoned crews exercise these competencies daily in managing, mitigating, and often even avoiding altogether the commission of errors and mistakes that can occur in the complex and demanding operations required in current-day commercial aviation.

Preparing for flying this upcoming arrival, please take a few moments to think about these aspects of crew competency that might be involved in managing, mitigating, and eliminating mistakes and errors that could arise in the course of performing your crew-operational duties:

☐ Flight-deck automation management of aircraft flight path
☐ Manual-control management of aircraft flight path
□ Aviation knowledge
☐ Problem solving and decision making
■ Workload management
☐ Leadership and teamwork
□ Communication
☐ Situation awareness

■ Application of procedures

Date:
Crew #
Subject #
left seat / right seat
PF/PM
TAFTT / LNDIZ
CB: T –L / L-T

Pre / Post 1 or 2

	<u>Prior to</u> flying	g th	e a	rriv	al –	- Er	ror	Mit	tiga	tions
along	each scale] the level o	f su	cces	s y	ou a	ntic	ipat	e ha	aving	g in managing,
☐ App	olication of procedures									
0	Anticipated level of s	ucce	ess:							
	1	2	3	4	5	6	7	8	9	
	•									Very successful
☐ Flig				ent	of a	ircra	aft fl	ight	pat	h
0	•			1	5	6	7	0	0	10
	·	2	3	4	5	O	′	0	9	Very successful
■ Ma	•	ent (	of ai	rcra	ıft fli	ght	path	า		,
0	Anticipated level of s	ucce	ess:			Ŭ				
	1	2	3	4	5	6	7	8	9	10
	Not very successful									Very successful
☐ Avi	ation knowledge									
0	Anticipated level of s									
	1	2	3	4	5	6	7	8	9	10
D Dro	•	oion	mal	cin a						Very successful
	•			Kirig						
O	Anticipated level of S			4	5	6	7	8	9	10
	Not very successful						-			Very successful
□ Wo	rkload management									
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<b>∟</b> Lea										
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	·	2	3	4	Э	О	1	Ö	9	10 Very successful
☐ Co	•									,
0	Anticipated level of s	ucce	ess:							
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	Not very successful									Very successful
☐ Situ	uation awareness									
	along mitigal Approximation of the control of the c	For each of these crew compalong each scale] the level of mitigating, or eliminating miss.  Application of procedures.  Anticipated level of standard level	For each of these crew compete along each scale] the level of such mitigating, or eliminating mistakes  Application of procedures  Anticipated level of successful  Flight-deck automation manages  Anticipated level of successful  Not very successful  Manual-control management  Anticipated level of successful  Not very successful  Aviation knowledge  Anticipated level of successful  Not very successful  Problem solving and decision  Anticipated level of successful  Not very successful  Not very successful  Not very successful  Not very successful  Contact the successful  Contact the successful  Contact the successful  Communication  Anticipated level of successful	For each of these crew competency along each scale] the level of success mitigating, or eliminating mistakes are application of procedures  Application of procedures  Anticipated level of success:  1 2 3  Not very successful  Flight-deck automation managem  Anticipated level of success:  1 2 3  Not very successful  Manual-control management of air  Anticipated level of success:  1 2 3  Not very successful  Aviation knowledge  Anticipated level of success:  1 2 3  Not very successful  Problem solving and decision male  Anticipated level of success:  1 2 3  Not very successful  Not very successful  Workload management  Anticipated level of success:  1 2 3  Not very successful  Leadership and teamwork  Anticipated level of success:  1 2 3  Not very successful  Communication  Anticipated level of success:  1 2 3  Not very successful  Communication  Anticipated level of success:  1 2 3  Not very successful	For each of these crew competency fact along each scale] the level of success ye mitigating, or eliminating mistakes and each scale] the level of success:  Application of procedures  Anticipated level of success:  1 2 3 4  Not very successful  Flight-deck automation management  Anticipated level of success:  1 2 3 4  Not very successful  Manual-control management of aircra  Anticipated level of success:  1 2 3 4  Not very successful  Aviation knowledge  Anticipated level of success:  1 2 3 4  Not very successful  Problem solving and decision making  Anticipated level of success:  1 2 3 4  Not very successful  Workload management  Anticipated level of success:  1 2 3 4  Not very successful  Not very successful  Canticipated level of success:  1 2 3 4  Not very successful  Canticipated level of success:  1 2 3 4  Not very successful  Communication  Anticipated level of success:  1 2 3 4  Not very successful  Communication  Anticipated level of success:  1 2 3 4  Not very successful	For each of these crew competency factors, along each scale] the level of success you a mitigating, or eliminating mistakes and error and procedures  Application of procedures  Application of procedures  Anticipated level of success:  1 2 3 4 5  Not very successful  Flight-deck automation management of a complete and procedures  Anticipated level of success:  1 2 3 4 5  Not very successful  Manual-control management of aircraft flices and process	For each of these crew competency factors, ple along each scale] the level of success you antic mitigating, or eliminating mistakes and errors the mitigating of the mitigation of procedures  Anticipated level of success:  1 2 3 4 5 6  Not very successful  Manual-control management of aircraft flight  Anticipated level of success:  1 2 3 4 5 6  Not very successful  Aviation knowledge  Anticipated level of success:  1 2 3 4 5 6  Not very successful  Problem solving and decision making  Anticipated level of success:  1 2 3 4 5 6  Not very successful  Workload management  Anticipated level of success:  1 2 3 4 5 6  Not very successful  Leadership and teamwork  Anticipated level of success:  1 2 3 4 5 6  Not very successful  Communication  Anticipated level of success:  1 2 3 4 5 6  Not very successful  Communication  Anticipated level of success:  1 2 3 4 5 6  Not very successful  Communication  Anticipated level of success:  1 2 3 4 5 6  Not very successful	For each of these crew competency factors, please along each scale] the level of success you anticipat mitigating, or eliminating mistakes and errors that mitigating is a succession of a success:  1 2 3 4 5 6 7  Not very successful  Anticipated level of success:  1 2 3 4 5 6 7  Not very successful  Problem solving and decision making  Anticipated level of success:  1 2 3 4 5 6 7  Not very successful  Workload management  Anticipated level of success:  1 2 3 4 5 6 7  Not very successful  Leadership and teamwork  Anticipated level of success:  1 2 3 4 5 6 7  Not very successful  Communication  Anticipated level of success:  1 2 3 4 5 6 7  Not very successful  Communication  Anticipated level of success:  1 2 3 4 5 6 7  Not very successful  Communication  Anticipated level of success:  1 2 3 4 5 6 7  Not very successful	For each of these crew competency factors, please est along each scale] the level of success you anticipate hamitigating, or eliminating mistakes and errors that may mitigating, or eliminating mistakes and errors that may mitigating the mitigation of procedures:  1 2 3 4 5 6 7 8 Not very successful  Aviation knowledge  Anticipated level of success:  1 2 3 4 5 6 7 8 Not very successful  Problem solving and decision making  Anticipated level of success:  1 2 3 4 5 6 7 8 Not very successful  Workload management  Anticipated level of success:  1 2 3 4 5 6 7 8 Not very successful  Leadership and teamwork  Anticipated level of success:  1 2 3 4 5 6 7 8 Not very successful  Communication  Anticipated level of success:  1 2 3 4 5 6 7 8 Not very successful  Communication  Anticipated level of success:  1 2 3 4 5 6 7 8 Not very successful	<ul> <li>Anticipated level of success:         <ul> <li>1</li> <li>2</li> <li>3</li> <li>4</li> <li>5</li> <li>6</li> <li>7</li> <li>8</li> <li>9</li> <li>Not very successful</li> </ul> </li> <li>Flight-deck automation management of aircraft flight path         <ul> <li>Anticipated level of success:</li></ul></li></ul>

2 3 4 5 6 7 8 9 10

Very successful

Anticipated level of success:

Not very successful

12

Date: \_\_\_\_\_

Crew # \_\_\_\_\_

PF/PM

Subject # \_\_\_\_\_

TAFTT / LNDIZ

CB: T -L / L-T

Pre / Post 1 or 2

left seat / right seat

# After Run 2

# BOEING TEM CARD

	ADVERSE WEATHER	Visibility Deicing Winds Precipitation	GROUND/RAMP/MX	Handling Congestion Logbook Maintenance Errors		
MITIGATION	AIRCRAFT	Systems Communication Equipment MELs Automation Performance	OPERATIONAL	Time Pressure Missed Approach Flight Diversion Unfamiliar Airport Non-normal Conditions	CREW	Experience Recency First Crew Flight Mission Familiarity
THREATS / MITIGATION	ATC	Clearance/Re-Routes Arr/Dep Amendments Runway Changes ATC Errors Language Difficulty Nonstandard Phraseology Radio Congestion Similar Sounding Call Signs	AIRLINE/OPS/DISPATCH	Schedule Pressure    Delays     Paperwork     Crew Scheduling     Manuals/Charts     FMC Database	CABIN	Passengers Interruptions Events/Distractions Flight Attendants
	AIRPORT/RUNWAY	Contamination Construction Signage Hotspots NOTAMS	ENVIRONMENT	Terrain Night Traffic	PHYSIOLOGY	Fatigue Stress Hydration Nutrition

# TAKEOFF BRIEFING

# THREATS (PM, PF) / MITIGATION **BASIC PLAN**

- Taxi Path, Runway, Intersection
- Route: Clearance, Flight Plan, FMC RTE crosscheck
- Return to the Airport: Emergency, Takeoff Alternate
- Takeoff Performance: Data Valid, Appropriate for Conditions, Configuration

- **CONSIDERATIONS** Specific PM Duties, Noise Abatement, Takeoff Engine Failure Plan
- Review as Needed

# **APPROACH BRIEFING**

# THREATS (PM, PF) / MITIGATION

# **BASIC PLAN**

- Weather, FMC Programming, NOTAMs
- Flaps, VREF and Bugs, STAR and Routing
- Automation: Approach Mode, Minimums, MCP ALT Handling, Missed Approach, Alternate, Fuel
- Landing Runway, Landing Distance Assessment, Touchdown Point, Exit,
- Autobrakes

# CONSIDERATIONS

Specific PM duties, Arrival Missed Approach Plan

# DEBRIEF

- Safety: Were margins of safety compromised anytime?
- Standards: Were standards, policies, tasks compromised?

Workload Management Leadership & Teamwork

PERCEPTUAL

**DECISION-BASED** 

SKILL-BASED

Problem Solving & Decision Making

Application of Procedures Flight Path - Automation Flight Path - Manual

Knowledge

**ERRORS / MITIGATION** 

Situation Awareness Communication

- Unresolved Questions: What events prompted questions in pilots' minds that were never adequately answered?
- Opportunities for Improvement: In which areas could pilots have performed at a higher level?

# <u>After</u> flying the arrival – Threat Mitigations: Estimating actual involvement levels

[skip this paragraph for the <u>second</u> post-arrival ratings]

Today's commercial aircrews typically face numerous threats to maintaining safe and efficient flight. These threats have many sources: Airport conditions, air traffic control, aircraft systems, weather and other aspects of the environment, the airline's operations and dispatch, flight-operational demands, ground, ramp, and maintenance operations, and behavioral and physiological factors for both passengers and crew members. Anticipating and mitigating these threats are critical aspects of crew ...

Having just flown this arrival, please take a few moments to think about your mitigations to any of these relevant threats you encountered:

☐ Airport / runway construction
☐ Clearances / re-routes
☐ Arrival amendments
☐ Runway changes
☐ Visibility

DelaysFlight diversions

Date:
Crew #
Subject #
left seat / right seat
PF/PM
TAFTT / LNDIZ
CB: T –L / L-T
Pre / Post 1 or 2

		<u>After</u> f	flyii	ng i	the	arr	ival	<b>–</b> 7	Thre	eat	Mitigations
*	<ul> <li>Please rate [by circling a number along each scale] the extents of crew involvement in</li> </ul>										
	<ul> <li>Planning and general preparation</li> <li>Communication and coordination</li> <li>Vigilance and overall monitoring</li> </ul>										
	that were required for mitigating the following threats, if they became significant factors in the arrival.										
	☐ Air	port / run	way	y co	nst	ruc	tion				
	0	Actual ar	mou	ınt c	of pl	ann	ing a	and	pre	par	ation required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	mou	ınt c	of cr	ew	com	mui	nica	tion	and coordination required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	mou	ınt c	of vi	gilar	nce	and	mo	nito	ring required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	☐ Cle	arances <i>l</i>	re-	rou	tes						
	0	Actual ar	nou	ınt c	of pl	ann	ing /	pre	par	atio	on required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	mou	ınt c	of cr	ew (	com	mui	nica	tion	/ coordination required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	mou	ınt c	of vi	gilar	nce ,	/ mc	onito	rin	g required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	☐ Arr	ival amer	ndm	nent	S						
	0	Actual ar	mou	ınt c	of pl	ann	ing a	and	pre	par	ation required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	nou	ınt c	of cr	ew (	com	mui	nica	tion	and coordination required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	ຠດເ	int c	of vi	gilar	nce :	and	mo	nito	orina required:

1 2 3 4 5 6 7 8 9 10

Extensive

None

Date: \_\_\_\_\_\_
Crew # \_\_\_\_\_
Subject # \_\_\_\_\_
left seat / right seat
PF / PM
TAFTT / LNDIZ
CB: T -L / L-T
Pre / Post 1 or 2

		<u>Aft</u>	<u>er</u> f	lyii	ng t	he	arri	val	<b>– 7</b>	hr	eat Mitigations
	☐ Runway changes										
	<ul> <li>Actual amount of planning and preparation required:</li> </ul>										
		1		3	-		6			9	10
		None									Extensive
	0	Actual ar	nou	nt o	f cre	ew c	comr	mun	icat	ion	and coordination required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	<ul> <li>Actual amount of vigilance and monitoring required:</li> </ul>										
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	Visi	bility									
	0	Actual ar	nou	nt o	f pla	anni	ng/	pre	para	atio	n required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	nou	nt o	f cre	ew c	comr	mun	icat	ion	/ coordination required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	<ul> <li>Actual amount of vigilance / monitoring required:</li> </ul>										
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
□ Delays											
	0	Actual ar	nou	nt o	f pla	anni	ng a	ınd	prep	oara	ation required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	nou	nt o	f cre	ew c	comr	nun	icat	ion	and coordination required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	0	Actual ar	nou	nt o	f vig	jilan	ice a	and	mor	nito	ring required:
		1	2	3	4	5	6	7	8	9	10
		None									Extensive
	Flig	ht divers	ion	S							
	0	Actual ar	nou	nt o	f pla	anni	ng /	pre	para	atio	n required:
		1			-		6	-			•
		None									Extensive
	0	Actual ar	nou	nt o	f cre	ew c	comr	nun	icat	ion	/ coordination required:
		1					6			9	10
		None									Extensive
	0	Actual ar	nou	nt o	f vig	jilan	ice /	mo	nito	rinç	g required:
		1	2	3	4	5	6	7	8	9	10

Extensive

None

Date: \_\_\_\_\_

Crew # \_\_\_\_\_

PF/PM

Subject # \_\_\_\_\_

TAFTT / LNDIZ

CB: T-L / L-T

Pre / Post 1 or 2

left seat / right seat

# <u>After flying the arrival – Error Mitigations:</u> Judgments of actual successfulness in mitigating potential errors

Today's commercial aircrews also employ a number of skills, practices, and strategies – pilot "competencies" -- to help ensure continued safe and efficient flight. Seasoned crews exercise these competencies daily in managing, mitigating, and often even avoiding altogether the commission of errors and mistakes that can occur in the complex and demanding operations required in current-day commercial aviation.

Having just flown this arrival, please take a few moments to think about the aspects of crew competency that you employed in managing, mitigating, and eliminating mistakes and errors that arose in the course of performing your crew-operational duties:

☐ Flight-deck automation management of aircraft flight path
☐ Manual-control management of aircraft flight path
□ Aviation knowledge
☐ Problem solving and decision making
■ Workload management
☐ Leadership and teamwork
□ Communication
☐ Situation awareness

■ Application of procedures

Date:
Crew #
Subject #
left seat / right seat
PF / PM
TAFTT / LNDIZ
CB: T-L / L-T
Pre / Post 1 or 2

### After flying the arrival – Error Mitigations For each of these crew competency factors, please indicate [by circling a number along each scale] how successful you were in managing, mitigating, or eliminating mistakes and errors that arose while flying the arrival. Application of procedures Actual level of success: 1 2 3 4 5 6 7 8 9 10 Not very successful Very successful ☐ Flight-deck automation management of aircraft flight path Actual level of success: 1 2 3 4 5 6 7 8 9 10 Not very successful Very successful ■ Manual-control management of aircraft flight path Actual level of success: 2 3 4 5 6 7 8 Not very successful Very successful Aviation knowledge Actual level of success: 2 3 4 10 Not very successful Very successful Problem solving and decision making Actual level of success: 2 1 3 4 5 6 7 8 10 Not very successful Very successful Workload management Actual level of success: 2 10 3 4 5 Not very successful Very successful Leadership and teamwork Actual level of success: 2 4 5 10 Not very successful Very successful Communication Actual level of success: 2 3 10 4 6 Very successful Not very successful

Date: \_\_\_\_\_
Crew # \_\_\_\_
Subject # \_\_\_\_
left seat / right seat
PF / PM
TAFTT / LNDIZ
CB: T -L / L-T

Pre / Post 1 or 2

Actual level of success:

2

6 7

5

Situation awareness

# Semi-Structured Interview

**Initial Question:** Unplanned and unexpected events happen routinely during operations in the NAS. We are interested in how pilots make adjustments before, during, and after these unplanned or unexpected events in order to maintain safe operations. Can you tell me about an unplanned or unexpected event that you experienced during the approach you flew?

# Probe 1 (Anticipate):

- Were there things you were aware of at the start of your flight that you thought increased the likelihood that this event might occur during that flight?
- How did you know that this event might occur?
- How else might you have been able to anticipate that this event would occur?

# Probe 2 (Monitor):

- Were there things that you experienced during your flight that you thought increased the likelihood that this event might occur during that flight?
- What signaled/indicated to you that this event was about to occur, was occurring, or had occurred?
- How did you know what indicators of this event to look for during your flight?
- What other indicators could have alerted you to this event?

# Probe 3 (Respond):

- How did you respond to this event?
- How did you know what to do in response to this event?
- If you had not already known what to do to respond to this event, how would you have figured out what to do?

# Probe 4 (Learn):

- What did you learn from this event?
- How did what you learned impact the reminder of your flight or that operation?

# Probe 5 (Wrap-up):

• Is there anything further you'd like for us to know about this event that we haven't already discussed?

# **Pilot Incident/Situation Report**

Aviation safety incident/situation reports are an important facet of the continuing effort to maintain and improve aviation safety.

Please write a situation report for the flight that you just reviewed. Keeping in mind the topics shown below, discuss those which you feel are relevant and anything else you think is important. Include what you believe really caused the problem, and what could be done to prevent a recurrence, or correct the situation. Note that your report will not be shared with your co-pilot or any other person who participated in the scenario (e.g., ATC).

# **CHAIN OF EVENTS**

- How the problem arose
- How it was discovered
- Contributing factors
- · Corrective actions

# **HUMAN PERFORMANCE CONSIDERATIONS**

- · Perceptions, judgments, decisions
- Actions or inactions
- Factors affecting the quality of human performance

# PRIVACY ACT NOTICE

# COLLECTION OF INFORMATION TO DETERMINE ELIGIBILITY TO PARTICIPATE IN RESEARCH AS A SUBJECT VOLUNTEER

# **GENERAL**

This information is provided pursuant to Public Law 93-579 (Privacy Act of 1974), December 31, 1974, for individuals supplying information for inclusion in a system of records.

# **AUTHORITY**

The authority to collect the information requested from you in the informed consent associated with the Human Contributions to Safety (HC2S) SWS Operations and Technologies for Enabling Resilient In-Time Assurance (SOTERIA) Flight Simulation Study in which you may participate is derived from one or more of the following: Title 14, Code of Federal Regulations, Sections 1212 and 1230; Title 51, United States Code, Section 20113.

# **PURPOSES AND USES**

The information you supply will be used to determine your eligibility to participate as a volunteer subject in the **Human Contributions to Safety (HC2S) SWS Operations and Technologies for Enabling Resilient In-Time Assurance (SOTERIA) Flight Simulation Study.** The information you provide will be evaluated by NASA employees and contractors overseeing and conducting the research. Your personal identifying information will not be shared outside of NASA and contractor researchers working with NASA who are associated with this particular research. Your personal identifying information will be maintained under secure conditions (locked file), and only the Principal Investigator(s) (PI) overseeing your research will have access to your personal identifying information contained within the file.

The information will be maintained in a NASA System of Records: Human Experimental Research Data Records (NASA 10HERD). The information supplied is confidential and will be maintained under secure conditions as described above but is subject to routine uses for such information that are identified in System of Record Notice for Human Experimental Research Data Records published at 72 Federal Register 55812 on October 1, 2007. Release of such information is not permissible where your consent is required.

### EFFECTS OF NONDISCLOSURE

Disclosure of the personal identify	ying information sought is voluntary; however, failure to					
furnish the information could exclude you from being able to participate as a volunteer in the research						
Signature of Interviewer	Signature of Volunteer					
	Date					

# Authorization for eIRB Submission

April 8, 2022

TO: Chad Stephens

FROM: LaRC Reviewer

TITLE: Human Contributions to Safety (HC2S) SWS Operations and Technologies for Enabling Resilient In-Time Assurance (SOTERIA) Flight Simulation Study

This proposed study has been reviewed at NASA Langley Research Center and is authorized to proceed for submission into the eIRB process for review by the NASA IRB. The NASA IRB will determine the level of review required to ensure compliance with federal regulation 14 CFR 1230 and NASA policy to determine approval. The eIRB submission process is also required to receive a reliance acknowledgement with another IRB.

Sincerely,

Anna Trujillo,

LaRC RD IRB Reviewer,

Senior Research Engineer,

Dynamic Systems and Control Branch