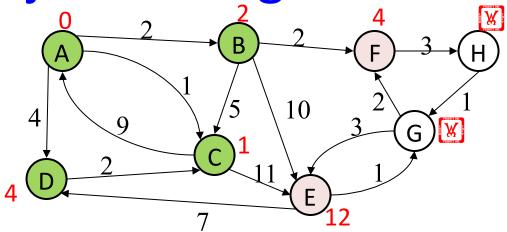
# Dijkstra's algorithm

- The idea: reminiscent of BFS, but adapted to handle weights
  - Grow the set of nodes whose shortest distance has been computed
  - Nodes not in the set will have a "best distance so far"
  - A priority queue will turn out to be useful for efficiency

Dijkstra's Algorithm: Idea



- Initially, start node has cost 0 and all other nodes have cost ∞
- At each step:
  - Pick closest unknown vertex v
  - Add it to the "cloud" of known vertices
  - Update distances for nodes with edges from v
- That's it! (But we need to prove it produces correct answers)

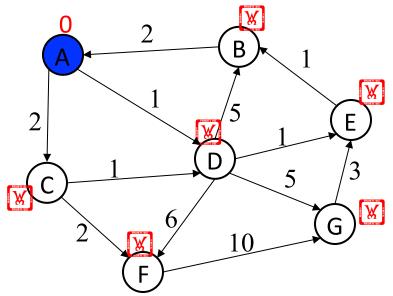
## The Algorithm

- 1. For each node  $\mathbf{v}$ , set  $\mathbf{v}.\mathbf{cost} = \infty$  and  $\mathbf{v}.\mathbf{known} = \mathbf{false}$
- 2. Set source.cost = 0
- 3. While there are unknown nodes in the graph
  - a) Select the unknown node **v** with lowest cost
  - b) Mark **v** as known
  - c) For each edge (v,u) with weight w,
     c1 = v.cost + w// cost of best path through v to u
     c2 = u.cost // cost of best path to u previously known
    if (c1 < c2) { // if the path through v is better
     u.cost = c1
     u.path = v// for computing actual paths
    }</pre>

## Important features

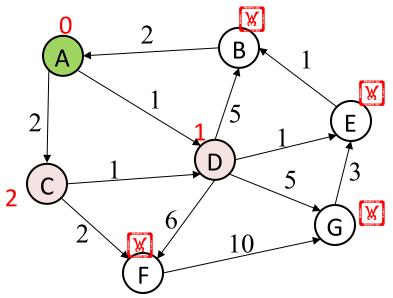
- When a vertex is marked known, the cost of the shortest path to that node is known
  - The path is also known by following back-pointers

 While a vertex is still not known, another shorter path to it *might* still be found



#### Order Added to Known Set:

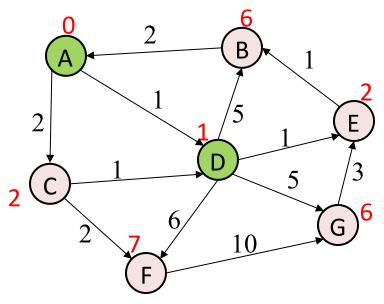
vertex	known?	cost	path
Α		0	
В		??	
С		??	
D		??	
Е		??	
F		??	
G		??	



#### Order Added to Known Set:

A

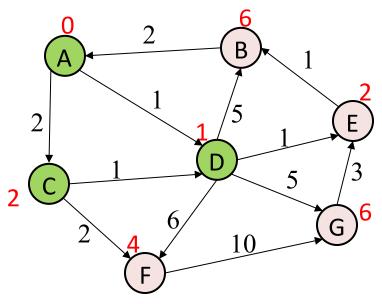
vertex	known?	cost	path
Α	Y	0	
В		??	
С		≤ 2	Α
D		≤ 1	Α
Е		??	
F		??	
G		??	



#### Order Added to Known Set:

A, D

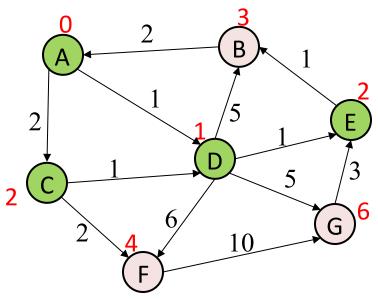
vertex	known?	cost	path
Α	Y	0	
В		≤ 6	D
С		≤ 2	Α
D	Y	1	Α
Е		≤ 2	D
F		≤ 7	D
G		≤ 6	D



#### Order Added to Known Set:

A, D, C

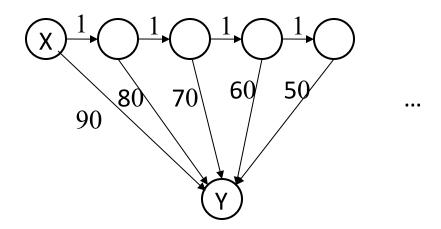
vertex	known?	cost	path
Α	Y	0	
В		≤ 6	D
С	Y	2	Α
D	Y	1	Α
Е		≤ 2	D
F		≤ 4	С
G		≤ 6	D



#### Order Added to Known Set:

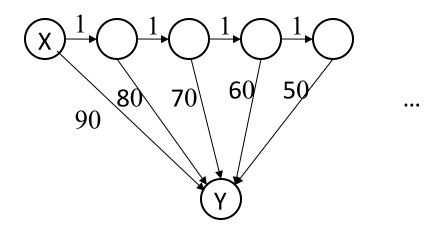
A, D, C, E

vertex	known?	cost	path
Α	Υ	0	
В		≤ 3	Е
С	Υ	2	Α
D	Y	1	Α
E	Y	2	D
F		≤ 4	С
G		≤ 6	D



How will the best-cost-so-far for Y proceed?

Is this expensive?



How will the best-cost-so-far for Y proceed? 90, 81, 72, 63, 54, ...

Is this expensive? No, each edge is processed only once

## A Greedy Algorithm

- Dijkstra's algorithm
  - For single-source shortest paths in a weighted graph (directed or undirected) with no negativeweight edges
- An example of a greedy algorithm:
  - At each step, irrevocably does what seems best at that step
    - A locally optimal step, not necessarily globally optimal
  - Once a vertex is known, it is not revisited
    - Turns out to be globally optimal

## Where are We?

- Had a problem: Compute shortest paths in a weighted graph with no negative weights
- Learned an algorithm: Dijkstra's algorithm
- What should we do after learning an algorithm?
  - Prove it is correct
    - Not obvious!
    - We will sketch the key ideas
  - Analyze its efficiency
    - Will do better by using a data structure we learned earlier!

## **Correctness: Intuition**

#### Rough intuition:

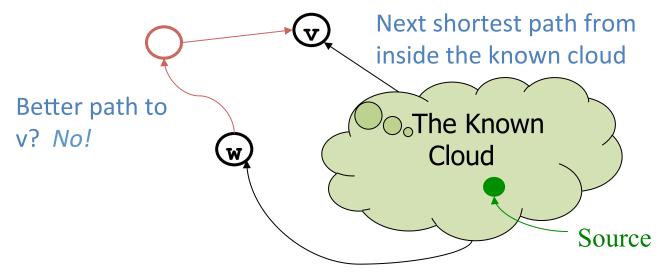
All the "known" vertices have the correct shortest path

- True initially: shortest path to start node has cost 0
- If it stays true every time we mark a node "known", then by induction this holds and eventually everything is "known"

Key fact we need: When we mark a vertex "known" we won't discover a shorter path later!

- This holds only because Dijkstra's algorithm picks the node with the next shortest path-so-far
- The proof is by contradiction...

## Correctness: The Cloud (Rough Sketch)



Suppose v is the next node to be marked known ("added to the cloud")

- The best-known path to v must have only nodes "in the cloud"
  - Else we would have picked a node closer to the cloud than v
- Suppose the actual shortest path to v is different
  - It won't use only cloud nodes, or we would know about it
  - So it must use non-cloud nodes. Let w be the *first* non-cloud node on this path. The part of the path up to w is already known and must be shorter than the best-known path to v. So v would not have been picked. Contradiction.

## Naïve asymptotic running time

• So far:  $O(|V|^2)$ 

- We had a similar "problem" with topological sort being  $O(|V|^2)$  due to each iteration looking for the node to process next
  - We solved it with a queue of zero-degree nodes
  - But here we need the lowest-cost node and costs can change as we process edges
- Solution?

## Improving asymptotic running time

- So far:  $O(|V|^2)$
- We had a similar "problem" with topological sort being  $O(|V|^2)$  due to each iteration looking for the node to process next
  - We solved it with a queue of zero-degree nodes
  - But here we need the lowest-cost node and costs can change as we process edges

#### Solution?

- A priority queue holding all unknown nodes, sorted by cost
- But must support decreaseKey operation
  - Must maintain a reference from each node to its current position in the priority queue
  - Conceptually simple, but can be a pain to code up

# Efficiency, second approach

Use pseudocode to determine asymptotic run-time

```
dijkstra(Graph G, Node start) {
  for each node: x.cost=infinity, x.known=false
  start.cost = 0
  build-heap with all nodes
  while(heap is not empty) {
    b = deleteMin()
    b.known = true
    for each edge (b,a) in G
     if (!a.known)
       if (b.cost + weight((b,a)) < a.cost) {</pre>
         decreaseKey(a, "new cost - old cost")
         a.path = b
```

# Efficiency, second approach

Use pseudocode to determine asymptotic run-time

```
dijkstra(Graph G, Node start) {
  for each node: x.cost=infinity, x.known=false
  start.cost = 0
  build-heap with all nodes
  while(heap is not empty) {
                                                  O(|V|\log|V|)
    b = deleteMin()
    b.known = true
    for each edge (b,a) in G
     if (!a.known)
       if(b.cost + weight((b,a)) < a.cost){</pre>
                                                  O(|E|log|V|)
         decreaseKey(a, "new cost - old cost"
          a.path = b
                                          O(|V|\log|V|+|E|\log|V|)
```





# CSE373: Data Structures & Algorithms Software-Design Interlude – Preserving Abstractions

Hunter Zahn Summer 2016

## Motivation

- Essential: knowing available data structures and their trade-offs
  - You're taking a whole course on it! ☺
- However, you will rarely if ever re-implement these "in real life"
  - Provided by libraries
- But the key idea of an abstraction arises all the time "in real life"
  - Clients do not know how it is implemented
  - Clients do not need to know
  - Clients cannot "break the abstraction" no matter what they
     do

# Interface vs. implementation

- Provide a reusable interface without revealing implementation
- More difficult than it sounds due to aliasing and field-assignment
  - Some common pitfalls
- So study it in terms of ADTs vs. data structures
  - Will use priority queues as example in lecture, but any ADT would do
  - Key aspect of grading your homework on graphs

## Recall the abstraction

#### Clients:

"not trusted by ADT implementer"

- Can perform any sequence of ADT operations
- Can do anything type-checker allows on any accessible objects

```
new PQ(...)
insert(...)
deleteMin(...)
isEmpty()
```

#### Data structure:

- Should document how operations can be used and what is checked (raising appropriate exceptions)
  - E.g., parameter for method x not null
- If used correctly, correct priority queue for any client
- Client "cannot see" the implementation
  - E.g., binary min heap

## Our example

- A priority queue with to-do items, so earlier dates "come first"
  - Simpler example than using Java generics

Exact method names and behavior not essential to

example

```
public class Date {
   ... // some private fields (year, month, day)
   public int getYear() {...}
   public void setYear(int y) {...}
   ... // more methods
public class ToDoItem {
   ... // some private fields (date,
description)
   public void setDate(Date d) {...}
   public void setDescription(String d) {...}
   ... // more methods
   continued next slide ...
```

## Our example

- A priority queue with to-do items, so earlier dates "come first"
  - Simpler example than using Java generics
- Exact method names and behavior not essential to example

tructures & Algorithms

### An obvious mistake

Why we trained you to "mindlessly" make fields private:

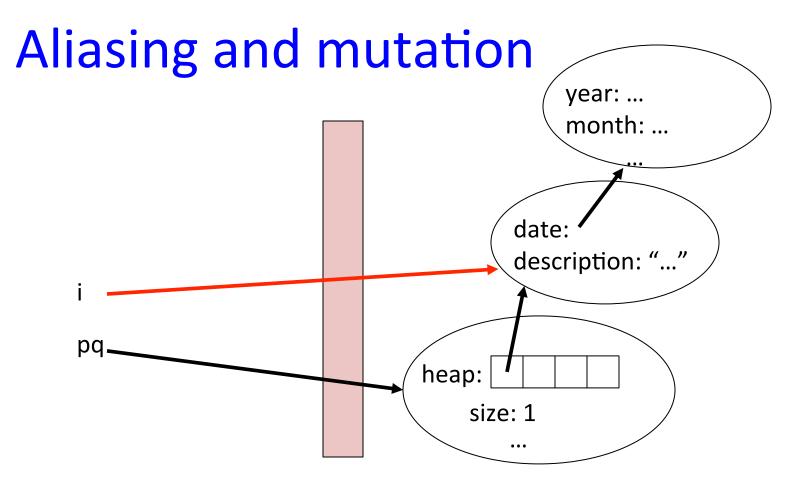
```
public class ToDoPQ {
    ... // other fields
    public ToDoItem[] heap;
    public ToDoPQ() {...}
    void insert(ToDoItem t) {...}
    ...
}

// client:
pq = new ToDoPQ();
pq.heap = null;
pq.insert(...); // likely exception
```

- Today's lecture: private does not solve all your problems!
  - Upcoming pitfalls can occur even with all private fields

## Less obvious mistakes

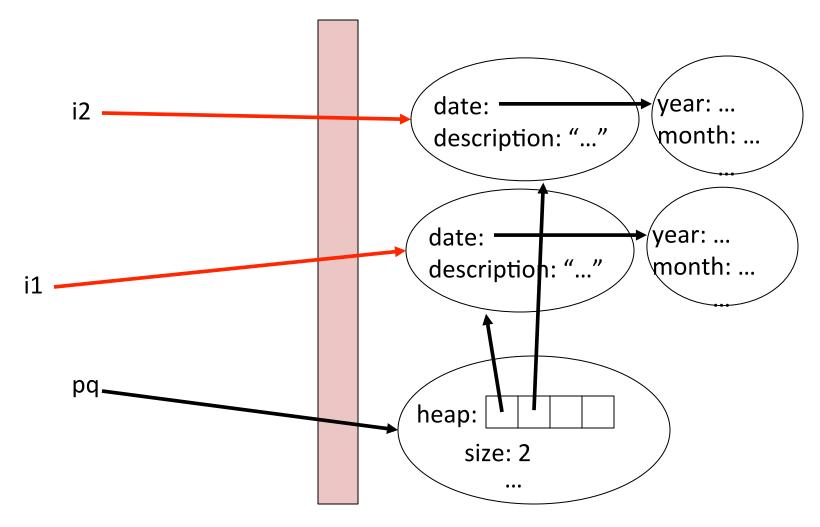
```
public class ToDoPQ {
   ... // all private fields
   public ToDoPQ() {...}
   void insert(ToDoItem i) {...}
// client:
ToDoPQ pq = new ToDoPQ();
ToDoItem i = new ToDoItem (...);
pq.insert(i);
i.setDescription("some different thing");
pq.insert(i); // same object after update
x = deleteMin(); // x's description???
y = deleteMin(); // y's description???
```



- Client was able to update something inside the abstraction because client had an alias to it!
  - It is too hard to reason about and document what should happen, so better software designs avoid the issue!

## More bad clients

## More bad clients



## More bad clients

```
pq = new ToDoPQ();
ToDoItem i1 = new ToDoItem(...);
pq.insert(i1);
i1.setDate(null);
ToDoItem i2 = new ToDoItem(...);
pq.insert(i2); // NullPointerException???
```

Get exception inside data-structure code even if insert did a careful check that the date in the ToDoItem is not null

Bad client later invalidates the check

## The general fix

- Avoid aliases into the internal data (the "red arrows") by copying objects as needed
  - Do not use the same objects inside and outside the abstraction because two sides do not know all mutation (field-setting) that might occur
  - "Copy-in-copy-out"
- A first attempt:

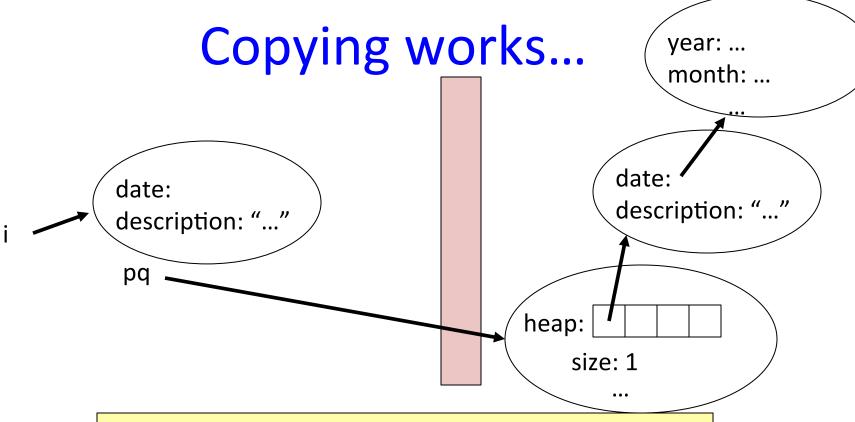
```
public class ToDoPQ {
    ...
    void insert(ToDoItem i) {
        ToDoItem internal_i =
            new ToDoItem(i.date,i.description);
        ... // use only the internal object
    }
}
```

## Must copy the object

```
public class ToDoPQ {
    ...
    void insert(ToDoItem i) {
        ToDoItem internal_i =
            new ToDoItem(i.date,i.description);
            ... // use only the internal object
    }
}
```

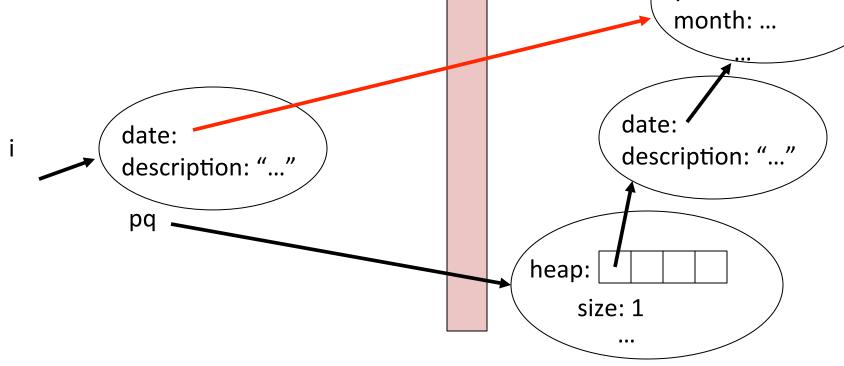
- Notice this version accomplishes nothing
  - Still the alias to the object we got from the client:

```
public class ToDoPQ {
    ...
    void insert(ToDoItem i) {
        ToDoItem internal_i = i;
        ... // internal_i refers to same object
    }
}
```



```
ToDoItem i = new ToDoItem(...);
pq = new ToDoPQ();
pq.insert(i);
i.setDescription("some different thing");
pq.insert(i);
x = deleteMin();
y = deleteMin();
```

Didin't do enough copying yet wear: ...



```
Date d = new Date(...)
ToDoItem i = new ToDoItem(d, "buy beer");
pq = new ToDoPQ();
pq.insert(i);
d.setYear(2015);
...
```

## Deep copying

- For copying to work fully, usually need to also make copies of all objects referred to (and that they refer to and so on...)
  - All the way down to int, double, String, ...
  - Called <u>deep copying</u> (versus our first attempt <u>shallow-copy</u>)
- Rule of thumb: Deep copy of things passed into

abstraction

## Constructors take input too

- General rule: Do not "trust" data passed to constructors
  - Check properties and make deep copies
- Example: Floyd's algorithm for **buildHeap** should:
  - Check the array (e.g., for null values in fields of objects or array positions)
  - Make a deep copy: new array, new objects

```
public class ToDoPQ {
    // a second constructor that uses
    // Floyd's algorithm, but good design
    // deep-copies the array (and its contents)
    void PriorityQueue(ToDoItem[] items) {
        ...
    }
}
```

# That was copy-in, now copy-out...

#### So we have seen:

 Need to deep-copy data passed into abstractions to avoid pain and suffering

#### Next:

 Need to deep-copy data passed out of abstractions to avoid pain and suffering (unless data is "new" or no longer used in abstraction)

#### • Then:

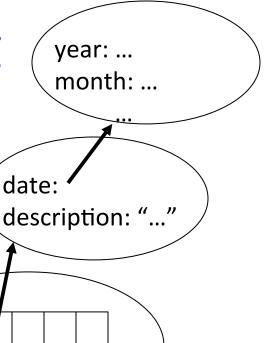
 If objects are immutable (no way to update fields or things they refer to), then copying unnecessary

## deleteMin is fine

```
public class ToDoPQ {
    ...
    ToDoItem deleteMin() {
        ToDoItem ans = heap[0];
        ... // algorithm involving percolateDown
        return ans;
}
```

- Does not create a "red arrow" because object returned is no longer part of the data structure
- Returns an alias to object that was in the heap, but now it is not, so conceptual "ownership" "transfers" to the client

getMin needs copying



```
ToDoItem i = new ToDoItem(...);
pq = new ToDoPQ();
x = pq.getMin();
x.setDate(...);
```

pq

```
public class ToDoPQ {
  ToDoItem getMin() {
    int ans = heap[0];
    return ans;
  }
}
```

Uh-oh, creates a "red arrow"

heap:

size: 1

## The fix

 Just like we deep-copy objects from clients before adding to our data structure, we should deep-copy parts of our data structure and return the copies to clients

Copy-in and copy-out

## Less copying

- (Deep) copying is one solution to our aliasing problems
- Another solution is immutability
  - Make it so nobody can ever change an object or any other objects it can refer to (deeply)
  - Allows "red arrows", but immutability makes them harmless
- In Java, a final field cannot be updated after an object is constructed, so helps ensure immutability
  - But final is a "shallow" idea and we need "deep" immutability

### This works

```
public class Date {
   private final int year;
   private final String month;
   private final String day;
public class ToDoItem {
   private final Date date;
   private final String description;
public class ToDoPQ {
   void insert(ToDoItem i) { /*no copy-in needed!*/ }
   ToDoItem getMin() { /*no copy-out needed!*/}
```

#### Notes:

- String objects are immutable in Java
- (Using String for month and day is not great style though)

### This does not work

```
public class Date {
  private final int year;
   private String month; // not final
   private final String day;
public class ToDoItem {
  private final Date date;
   private final String description;
public class ToDoPO {
   void insert(ToDoItem i) {/*no copy-in*/}
   ToDoItem getMin() { /*no copy-out*/}
```

Client could mutate a Date's month that is in our data structure

So must do entire deep copy of ToDoItem

#### final is shallow

```
public class ToDoItem {
   private final Date date;
   private final String description;
}
```

- Here, final means no code can update the date or description fields after the object is constructed
- So they will always refer to the same Date and String objects
- But what if those objects have their contents change
  - Cannot happen with String objects
  - For Date objects, depends how we define Date
- So final is a "shallow" notion, but we can use it "all the way down" to get deep immutability

#### This works

- When deep-copying, can "stop" when you get to immutable data
  - Copying immutable data is wasted work, so poor style

```
public class Date { // immutable
  private final int year;
  private final String month;
  private final String day;
public class ToDoItem {
  private Date date;
  private String description;
public class ToDoPQ {
   ToDoItem getMin() {
    int ans = heap[0];
    return new ToDoItem(ans.date, // okay!
                        ans.description);
```

#### What about this?

```
public class Date { // immutable
public class ToDoItem { // immutable (unlike last slide)
public class ToDoPQ {
  // a second constructor that uses
  // Floyd's algorithm
 void PriorityQueue(ToDoItem[] items) {
     // what copying should we do?
```

#### What about this?

```
public class Date { // immutable
public class ToDoItem { // immutable (unlike last slide)
public class ToDoPQ {
  // a second constructor that uses
  // Floyd's algorithm
 void PriorityQueue(ToDoItem[] items) {
     // what copying should we do?
```

Copy the array, but do not copy the ToDoItem or Date objects

### Homework 4

- You are implementing a graph abstraction
- As provided, Vertex and Edge are immutable
  - But Collection<Vertex> and Collection<Edge> are not
- You might choose to add fields to Vertex or Edge that make them not immutable
  - Leads to more copy-in-copy-out, but that's fine!
- Or you might leave them immutable and keep things like "best-path-cost-so-far" in another dictionary (e.g., a HashMap)

There is more than one good design, but preserve your abstraction

Great practice with a key concept in software design