

Big O Analysis

What is Big O

- For starters, lets look at this in terms of **speed of execution only**.
- Think of Big O as a rough bucketing of algorithms based on how efficient they are... i.e., how fast they can perform.
- Keep in mind that these are loose estimates, and we are not accounting for every little thing. We will explain what we mean by this.

- When doing a Big O analysis for execution time, we are trying to figure out how execution time is expected to change with change in input size (denoted by N).
- So, N is the number of elements that the algorithm is to work upon.
- If sorting 10,000 numbers, the N is 10,000.
- If computing factorial of 10, N is 10.

$O(1)$

```
void MyFunction( int [] intArray, int N ) {  
    print ("Number of items passed to MyFunction : " , N );  
}
```

- Printing the number of items will take the same time if N is 1, or if N is 1000000 or 10000000000
- In other words, this does NOT depend on the size of input passed in.
- The time taken to run this code is the same, regardless of value of N.
- So, this time does NOT change when N changes.
- This is called constant time, or $O(1)$

- Note that $O(1)$ does not necessarily indicate its very fast, it just means that the time does NOT depend on size of input.
- It could be a slow or a fast operation.

$O(N)$

```
void MyFunction( int [] intArray, int N ) {  
    int sum = 0;  
    for ii = 0 to ii < N {  
        sum = sum + intArray[ii];  
    }  
}
```

- In this code, the for loop is executed N times.
- Lets say I call this function twice:
 - in the 1st call, N is 10
 - in 2nd call, N is 1000000000 (1 billion)
- Definitely the 2nd call will take much longer compared to first call.
- The number of iterations of the loop changes at the same rate as N.
 - If N doubles, so do the number of iterations
 - If N is halved, so do the number of iterations
- This is called $O(N)$ complexity

- Example of $O(N)$ operations
 - Finding a max element in an unsorted array
 - Finding all elements with a certain value in an unsorted array.
 - Finding if an element exists in an unsorted array

This is $O(N)$:

```
void MyFunction( int [] intArray, int N )  
{  
    for ii = 0 to ii < N  
    {  
        print ( intArray[ii] );  
    }  
}
```


$O(N^2)$

- $O(N^2)$ algorithms are usually more expensive than $O(1)$ or $O(N)$ algorithms.
- This is because their run time grows at a rate of square of the input size.
 - If input size goes from, say, 10 to 100, it is a 10 times growth in input.
 - The run time growth here will be from 100 to 10,000, i.e. 100 times growth.
 - If input size goes from 1000 to 1000,000, it's a 1000 times growth.
 - Run time growth is from 10^6 to 10^{12} , i.e., a 1000,000 times growth.
- As you can see, this does not scale well at all.
- For large values of N , the time taken would go up by a lot.
- $O(N^2)$ is also called quadratic

- Examples of $O(N^2)$ algorithm
 - Sum all elements in an $N \times N$ matrix.
 - Bubble sort algorithm
 - Insertion sort algorithm
 - <http://bigocheatsheet.com/>

```

void MyFunction( int [][] intArray2D, int N )
{
    int sum = 0;
    for row = 0 to row < N {           ← This line executes N times
        for col = 0 to col < N {       ← This line executes N times
            sum = sum + intArray[row][col]; ← This line is executed N2 times
        }
    }
}

```

Analogies:

- N people, all shake hands with each other.
- Directory print example. This can be changed slightly to get analogy for $O(N)$ as well.
 - N people, so we print N directories, one for each person.
 - N^2 analogy: Last digit of every phone number is 1 more than it should be.
 - N analogy: Last digit of one phone number is 1 more than it should be.

$O(\log N)$

- $O(\log N)$ algorithms are usually faster than $O(N)$ algorithms.
- When we say log, we usually mean log base 2, ie, $\log_2(N)$
- Rate of growth here is much smaller than N .
 - If input size goes from, say, 100 to 1000, it is a 10 times growth in input.
 - The run time growth here will be from about 7 to about 10 (so, about 1.5 times)
 - If input size goes from 1000 to 1000,000, it is a 1000 times growth in input.
 - The run time growth here will be from about 10 to about 20 (that is only 2 times)
 - If input size goes from 1000 to 1000,000,000, it is a 10^6 times growth in input (million times).
 - The run time growth here will be from about 10 to about 30.

- Examples of $O(\log_2 N)$ algorithm
 - Finding an element in a sorted array.
 - Finding an element in a Binary Search Tree.
 - If BST has 1000 elements (and is balanced), worst case it will take 10 searches to find the element (or know it is not there).
 - If BST has 10^9 elements (and is balanced), worst case it will take 30 searches to find the element (or know it is not there).
 - Insertion of element into a Binary Search Tree.
 - Deletion of element from a Binary Search Tree.

What is the complexity of the following functions:

```
void MyFunction_1( int N )
{
    for index = 1 to index < N  ← How many times is this loop executed?
    {
        print index;
        index = index * 2;
    }
}
```

```
void MyFunction_2( int N )
{
    for index = 1 to index < N  ← How many times is this loop executed?
    {
        print index;
        index = index * 3;
    }
}
```

What is the complexity of the following :

```
void MyFunction_3( int N )  
{  
    for index = 1 to index < N  ← How many times is this loop executed?  
    {  
        print index;  
        index = index + 4;  
    }  
}
```

$N \log(N)$

- Generally means that you are doing a $\log N$ operation for each input item.
- Lot of efficient sorting algorithms have a complexity of $N \log N$.
- Quicksort best case is $O(N \log N)$
- Mergesort and heapsort are both $O(N \log N)$

Exponential

- Exponential algorithms are very expensive.
- The complexity is $O(2^n)$
- This means that with each increasing element, the runtime will double.
- 2^5 that is 32 operations
- 2^6 64 operations
- 2^{10} 1024 operations
- 2^{30} 1 billion (approx.) operations
- Example:
 - Lets say we have a crypto key of size 2 bits which we use for some basic encryption.
 - This would be trivial to break, because there can only be 4 possible combinations, and these can be obtained using brute force.
 - With a key size of 4 bits, brute force evaluation would give 16 possible combinations (4 times more), also trivial.

Exponential

With a key size of 64 bits, we have 2^{64} possible combinations, which is a lot.

And a key size of 65 bits yields 2×2^{64} possible combinations, which means an increase in the bit size by 1 doubled the possible combinations.

And a key size of 128 bits will have $2^{64} \times 2^{64}$ possible combinations !!!

Imagine breaking that with a brute force attack.

From: https://en.wikipedia.org/wiki/Brute-force_attack

Breaking a symmetric 256-bit key by brute force requires 2^{128} times more computational power than a 128-bit key.

Fifty supercomputers that could check a billion billion (10^{18}) keys per second (if such a device could ever be made) would, in theory, require about 3×10^{51} years to exhaust the 256-bit key space !!!

Exponential

- Another example is computing all subsets of a given set of elements.
- If a set has n elements, it has 2^n subsets.
- So, set with 10 elements has 2^{10} subsets (1024)
- And a set with 11 elements has 2^{11} subsets (2048)
- Example:
 - Set with 2 elements: { a, b }
 - Enumeration of its subsets:
 - { }, {a}, {b}, {ab} ← So that's $2^2 = 4$ subsets
 - Set with 3 elements: { a, b, c }
 - Enumeration of its subsets:
 - { }, {a}, {b}, {c}, {ab}, {ac}, {bc}, {abc} ← So that's $2^3 = 8$ subsets

Exponential

- Another example is recursively computing Fibonacci numbers.
- This has 2^n calls to Fibonacci function.

```
int Fibonacci( int n )  
{  
    if ( n <= 1 )  
        return n;  
    return Fibonacci( n - 1 ) + Fibonacci( n - 2 );  
}
```

Exponential question 😊

If you take a really big piece of paper (regular paper thickness, like the one used in printers), and fold it a few times, lets see how thick it can get:

Num folds	Layers of paper	Thickness	Units
0	1	0.05 mm	
1	2	0.10 mm	
10	1024	2 in.	
20	1048576	2064 in.	

Num folds	Layers of paper	Thickness	Units	
30	1073741824	34 miles		
40	1.09951E+12	34360 miles		> circumference of earth (25K miles)
43	8.79609E+12	274878 miles		distance to moon
44	1.75922E+13	549756 miles		roundtrip to moon

Num folds	Layers of paper	Thickness	Units	
47	1.40737E+14	4398047 miles		4 million miles
51	2.2518E+15	70368744 miles		70 million miles
52	4.5036E+15	140737488 miles		140m miles, which is > distance to sun (93m miles)

So, as we see, exponential rate makes the numbers go up very very fast.

Factorial

An algorithm with factorial complexity is $O(n!)$

This is even more expensive than exponential.

$$\text{Factorial}(5) = 1 * 2 * 3 * 4 * 5$$

$$\text{Factorial}(N) = 1 * 2 * 3 * \dots * (N-1) * N$$

For even small values of n , this becomes very very expensive.

$$10! = 3,628,800$$

$$15! = 1,307,674,368,000$$

$$20! = 2,432,902,008,176,640,000$$

LAB (Stop class recording)

1. Write a for loop in which:
 - The control variable, say, `ii`, starts with an initial value of 1.
 - `ii` increases by a factor of 2 in each iteration, i.e., `ii` is multiplied by 2 in every iteration.
 - Stopping condition for the loop is `ii <= 1000,000,000` , i.e., 1 billion
 - In each iteration, print the value of `ii`, and then a new line, i.e., value of `ii` is on a line by itself.

 - How many lines does your output have?
 - In other words, how many iterations did it take to get to the stopping condition?
2. What if the value of `ii` increases by a factor of 10 in each iteration, how many lines will your output have?
3. Write a function that:
 - Takes an integer as its parameter
 - Returns the factorial of the passed in parameter.
 - So, the function signature will look something like:
 - `long Factorial (int n)`

 - What validation do you need to perform on the parameter?

 - Factorial of a number is defined as the product of all numbers starting from 1 to that number.
 - E.g.:
 - $\text{Factorial}(3) = 1 * 2 * 3 = 6$
 - $\text{Factorial}(7) = 1 * 2 * 3 * 4 * 5 * 6 * 7 = 5040$
 - $= \text{Factorial}(6) * 7$

LAB

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- So, repeating what we said earlier:
 - Big O analysis is a rough bucketing of algorithms.
 - We are simplifying a lot of things and making some assumptions in order to categorize algorithms into these buckets.
 - Does it make sense... are we doing the right thing?
 - The graphs coming up certainly makes it look like we are:

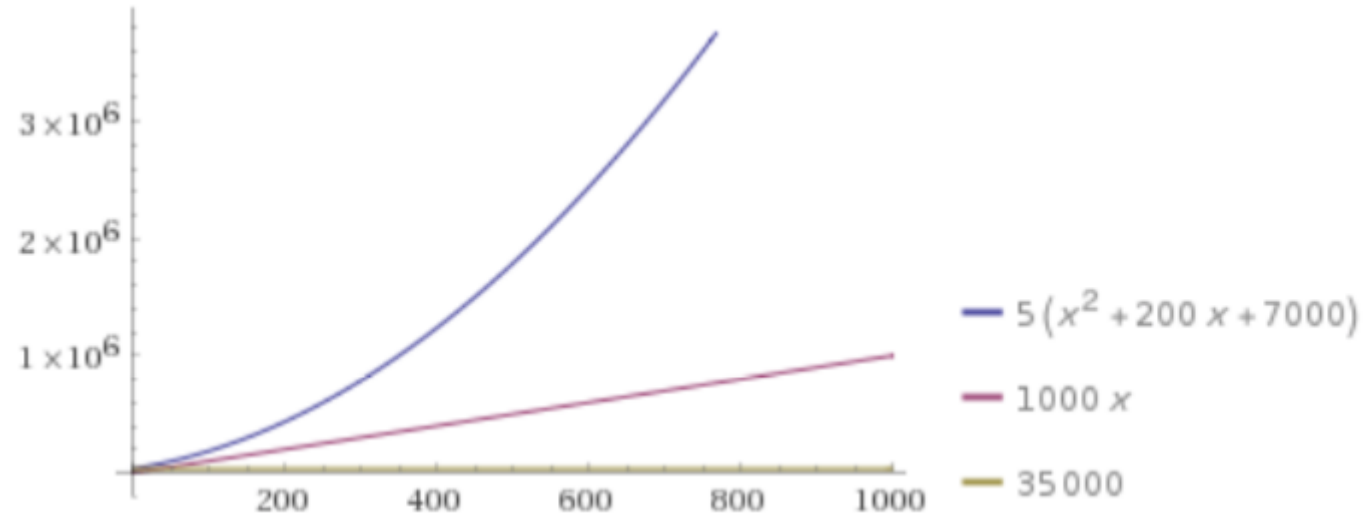
- It graphs three functions

$$f1(x) = 5x^2 + 1000x + 35000$$

$$f2(x) = 1000x$$

$$f3(x) = 35000$$

- As you can see, the term that has $5x^2$ dominates, especially so when value of x increases.



- <http://www.wolframalpha.com/input/?x=0&y=0&i=plot+++5x%5E2+%2B1000x+%2B35000,+1000x,+35000+from+x%3D0+to+1000>

Higher order term prevails for large x

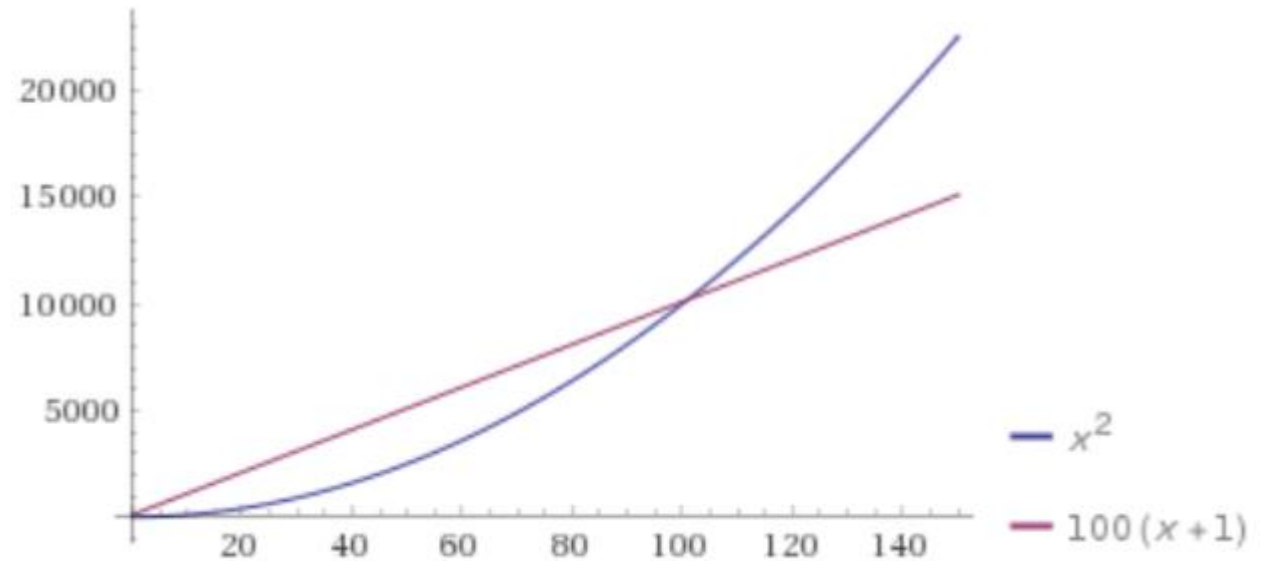
x goes from 0 to 150

$$f1(x) = x^2$$

$$f2(x) = 100x + 100$$

For $x < \text{about } 110$, **f1** (quadratic) is faster than **f2** (linear).

But f1 increases very rapidly (see next slide)



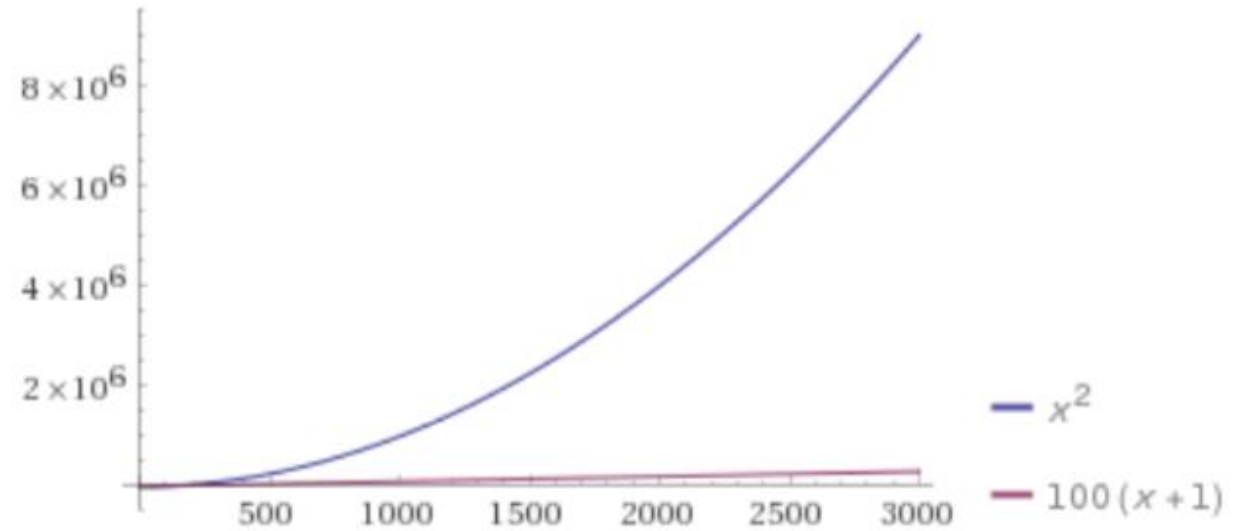
- <http://www.wolframalpha.com/input/?x=0&y=0&i=plot+++x%5E2+,+100x+%2B+100+from+x%3D0+to+150>

See how rapidly **f1** (quadratic) increases compared to **f2** (linear) for higher values of x:

x goes from 0 to 3000

$$\mathbf{f1(x) = x^2}$$

$$\mathbf{f2(x) = 100x + 100}$$



<http://www.wolframalpha.com/input/?x=0&y=0&i=plot+++x%5E2+,+100x+%2B+100+from+x%3D0+to+3000>

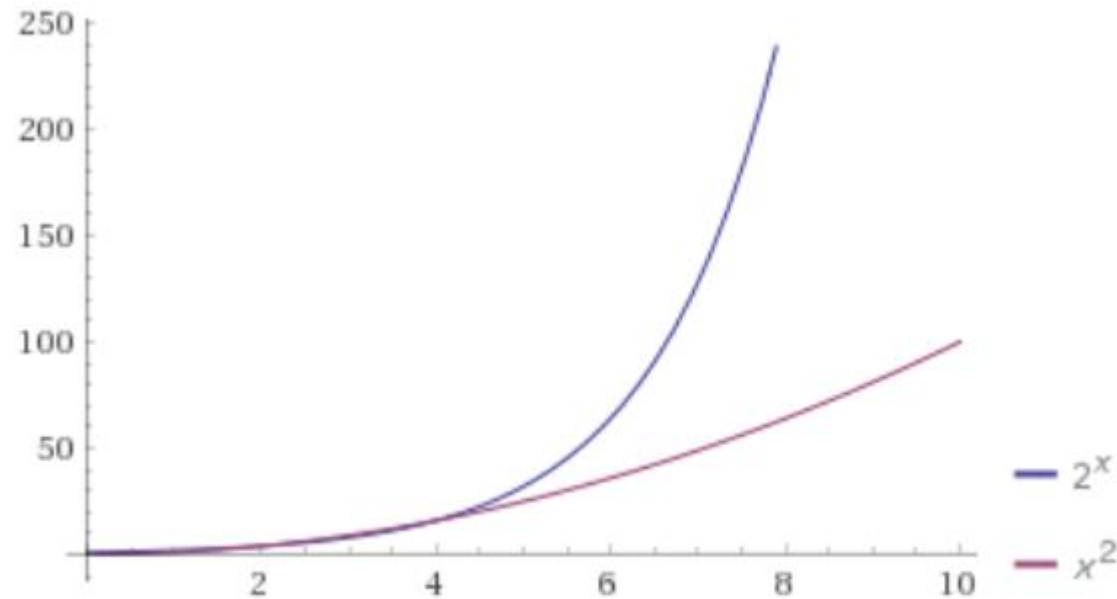
Exponential vs Quadratic

See how rapidly **f1** (exponential) increases compared to **f2** (quadratic)

The value of x here is very small, goes from 0 to 10

$$f1(x) = 2^x$$

$$f2(x) = x^2$$



- <http://www.wolframalpha.com/input/?x=0&y=0&i=plot++++2%5Ex+,+x%5E2+from+x%3D+0+to+10>

Exponential vs Quadratic

And here the value of x goes from 25 to 30.

$$f1(x) = 2^x$$

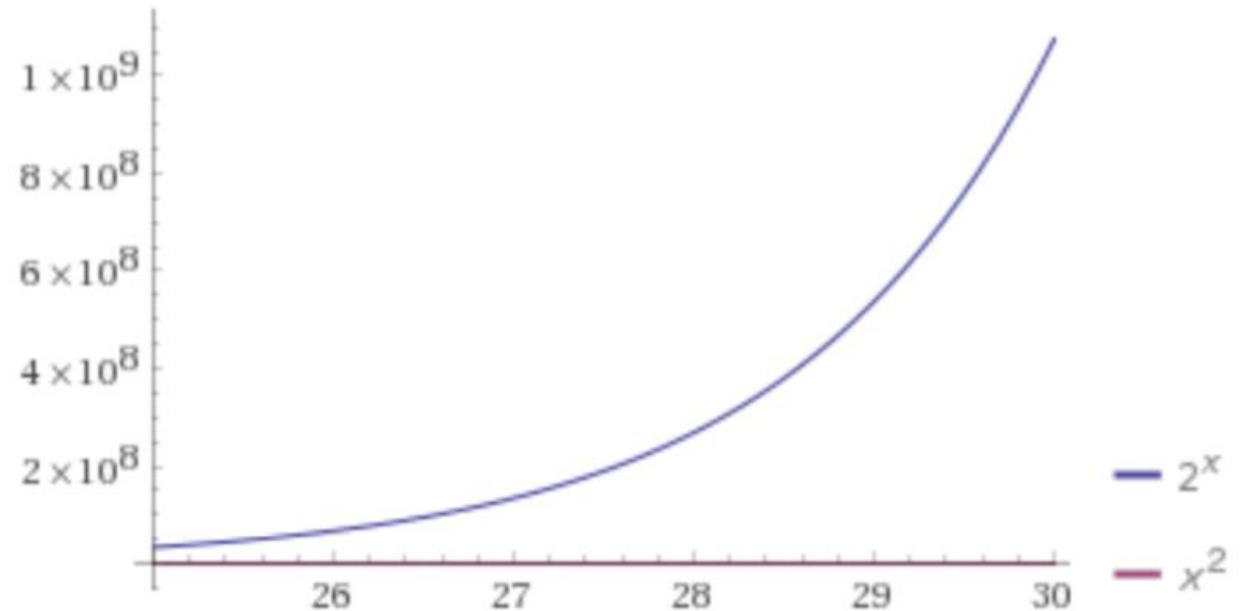
$$f2(x) = x^2$$

For $x=30$:

f1 is about a billion

and

f2 is about 900 only



- <http://www.wolframalpha.com/input/?x=0&y=0&i=plot++++2%5Ex,++x%5E2++from+x%3D+25+to+30>

Factorial vs Exponential

value of x goes from 1 to 10

$$f1(x) = x!$$

$$f2(x) = 2^x$$

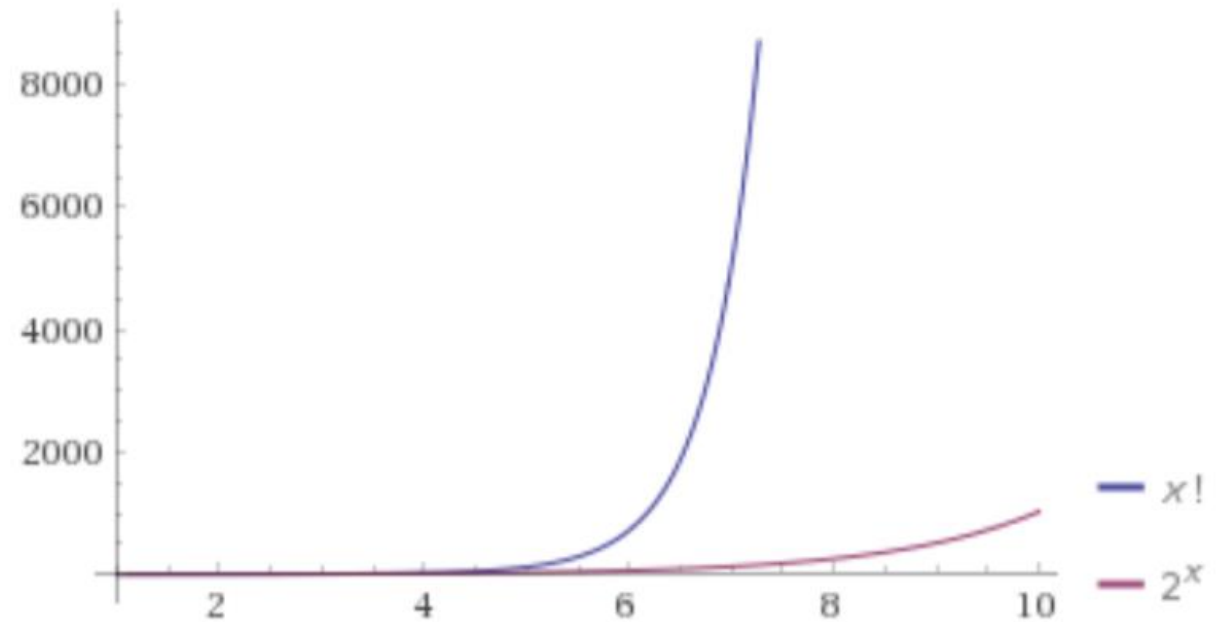
For $x=10$:

$f1$ is about

3.6 million (graph shows incorrect value)

and

$f2$ is about 1000 only



- <http://www.wolframalpha.com/input/?x=0&y=0&i=plot++++x!,+2%5Ex,++from+x%3D+5+to+15>

Factorial vs Exponential

value of x goes from 5 to 15.

$$f1(x) = x!$$

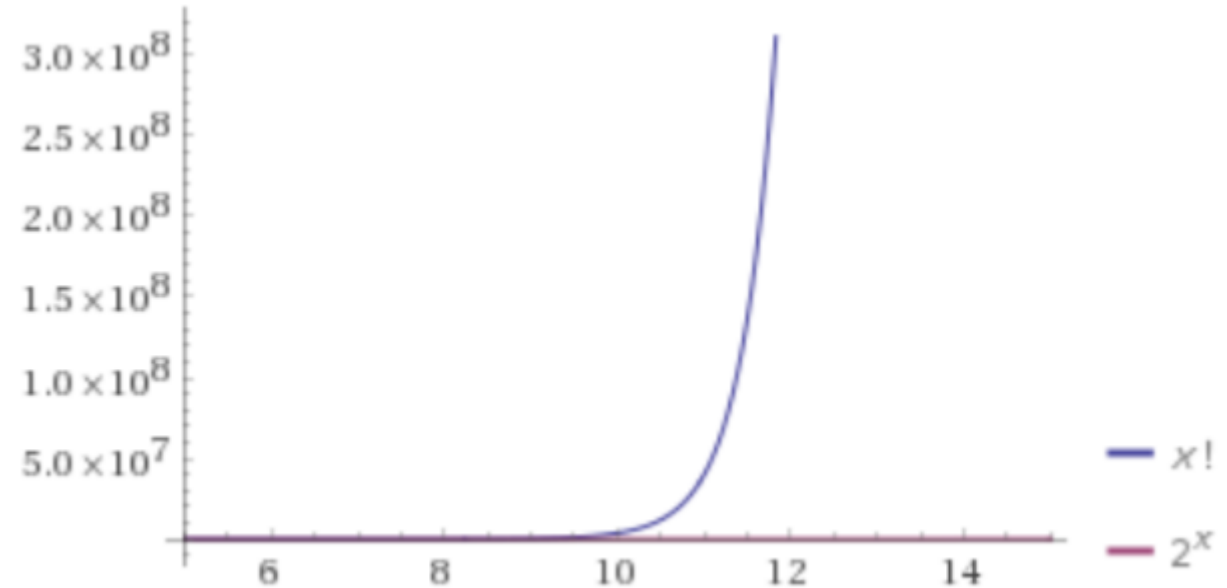
$$f2(x) = 2^x$$

For $x=30$:

f1 is about 2.3×10^{32}

and

f2 is about 1×10^9 only



- <http://www.wolframalpha.com/input/?x=0&y=0&i=plot++++x!,+2%5Ex,++from+x%3D+5+to+15>

- So, in order of growth with respect to input size, we have:
- $O(1)$ constant
- $O(\log N)$ sub linear
- $O(N)$ linear
- $O(N \log N)$
- $O(N^2)$ quadratic
- $O(2^N)$ exponential
- $O(N!)$ factorial
- Polynomial time algorithms are algorithms that are based on powers of N , so constant time, linear, quadratic, cubic, etc. are all polynomial time algorithms.

“Small” values of N

- Note that its not always the case that, say, an $O(N^2)$ algorithm would be slower than an $O(N)$. Yes, in general that is the case, but if your input size (N) is “small”, then it could be that the $O(N^2)$ algorithm is faster.
- As we see in the graph below, for values of $x < \text{approx. } 110$, the quadratic function has lower values than the linear function.

“Directory look up stair case” analogy

