A Model-Constructing Satisfiability Calculus VMCAI 2013

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Symbolic Reasoning

Software analysis/verification tools need some form of symbolic reasoning

Logic is "The Calculus of Computer Science"

Zohar Manna

Symbolic Reasoning

Practical problems often have structure that can be exploited.

Undecidable (FOL + LIA)

Semi Decidable (FOL)

NEXPTIME (EPR)

PSPACE (QBF)

NP (SAT)

Logic Engines as a Service





VeriFast





 $Scala^{Z3}$



















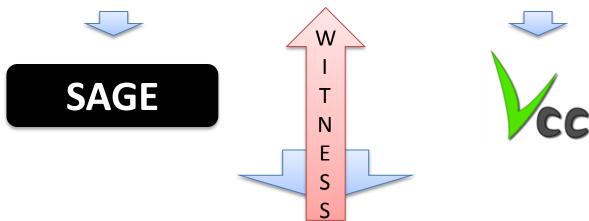
Satisfiability

Solution/Model

$$x^{2} + y^{2} < 1 \text{ and } xy > 0.1$$
 \implies sat, $x = \frac{1}{8}, y = \frac{7}{8}$ $x^{2} + y^{2} < 1 \text{ and } xy > 1$ \implies unsat, Proof

Is execution path *P* feasible?

Is assertion *X* violated?



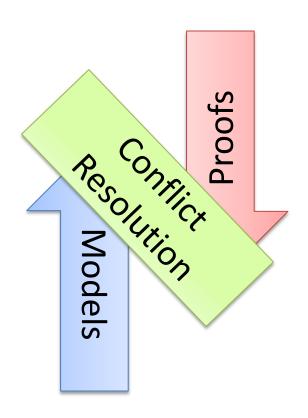
Is Formula F Satisfiable?

The RISE of Model-Based Techniques in SMT

Saturation x Search

Proof-finding

Model-finding



SAT

$$p_1 \lor \neg p_2$$
, $\neg p_1 \lor p_2 \lor p_3$, p_3 $p_1 = true$, $p_2 = true$, $p_3 = true$

CNF is a set (conjunction) set of clauses Clause is a disjunction of literals Literal is an atom or the negation of an atom

Two procedures

| Resolution | DPLL |
|--------------|--------------|
| Proof-finder | Model-finder |
| Saturation | Search |

Resolution

$$C \vee l$$
, $D \vee \neg l \Rightarrow C \vee D$

$$l, \neg l \Rightarrow unsat$$

Improvements

Delete tautologies $l \lor \neg l \lor C$ Ordered Resolution Subsumption (delete redundant clauses)

C subsumes C V D

. . .

$$\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r$$

$$\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r$$

$$\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r \qquad \Rightarrow$$

$$\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r \qquad \Rightarrow$$

$$\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r$$

$$\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r \qquad \Rightarrow \\
\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r \qquad \Rightarrow \\
\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r \qquad \Rightarrow \\
\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r$$

$$\neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ q \lor r, \ r \qquad \Rightarrow \\ \neg p \lor \neg q \lor r, \ \neg p \lor q, \ p \lor r, \ \neg r, \ \neg q \lor r, \ r \rightarrow r, \$$

unsat

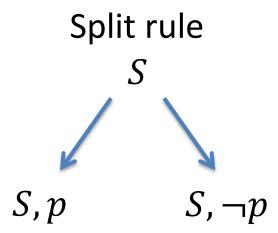
Resolution: Problem

Exponential time and space

Unit Resolution

$$C \vee l, \neg l \Rightarrow C$$

$$C \vee l, \neg l \Rightarrow C$$
subsumes
$$C \vee l$$



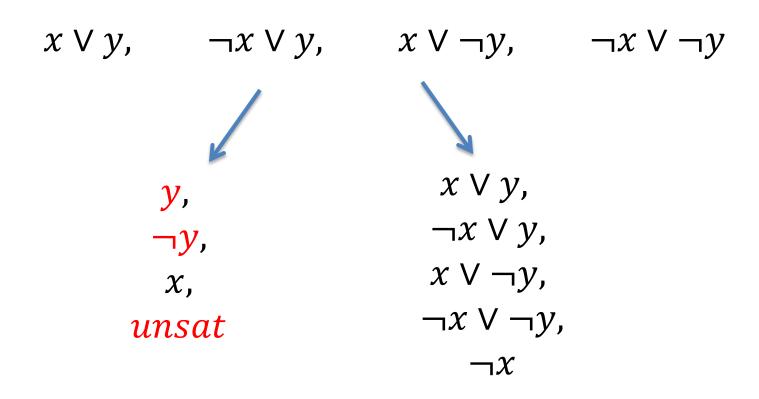
DPLL = Unit Resolution + Split rule

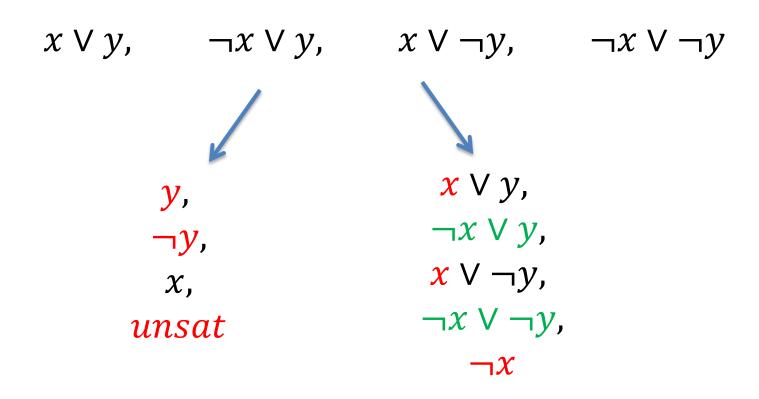
$$x \lor y$$
, $\neg x \lor y$, $x \lor \neg y$, $\neg x \lor \neg y$
 $x \lor y$, $\neg x \lor y$, $x \lor \neg y$,

$$x \lor y$$
, $\neg x \lor y$, $x \lor \neg y$, $\neg x \lor \neg y$
 $x \lor y$, $\neg x \lor y$, $x \lor \neg y$,

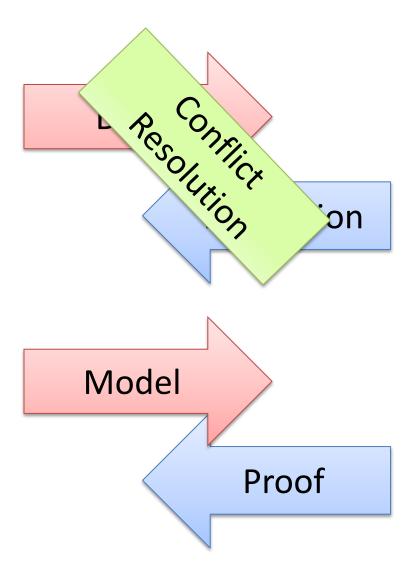
$$x \lor y$$
, $\neg x \lor y$, $x \lor \neg y$, $\neg x \lor \neg y$

$$x \lor y$$
, $\neg x \lor y$, $x \lor \neg y$, $\neg x \lor \neg y$
 y , $\neg y$, x , x , x , x , x





CDCL: Conflict Driven Clause Learning



Linear Arithmetic

| Fourier-Motzkin | Simplex |
|-----------------|--------------|
| Proof-finder | Model-finder |
| Saturation | Search |

Fourier-Motzkin

$$t_1 \le ax$$
, $bx \le t_2$

$$bt_1 \le abx$$
, $abx \le at_2$

$$bt_1 \le at_2$$

Very similar to Resolution

Exponential time and space

Simplex-based procedure

$$x \ge 0$$
, $x + y \le 2$, $x + 2y > 4$

$$S_1 = x + y$$

$$S_2 = x + 2y$$

$$x \ge 0,$$

$$S_1 \le 2,$$

$$S_2 > 4$$

 s_1, s_2 are basic (dependent) x, y are non-basic

Simplex-based procedure: Pivoting

$$s_1 = x + y$$
 $s_1 = x + y$ $s_1 = s_2 - y$
 $s_2 = x + 2y$ $x = s_2 - 2y$ $x = s_2 - 2y$
 $x \ge 0$, $x \ge 0$,

Example:

$$M(x) = 1$$

$$M(y) = 1$$

$$M(s_1) = 2$$

$$M(s_2) = 3$$

Key Property:

If an assignment satisfies the equations before a pivoting step, then it will also satisfy them after!

Simplex: Repairing Models

If the assignment of a non-basic variable does not satisfy a bound, then fix it and propagate the change to all dependent variables.

$$a = c - d$$

 $b = c + d$
 $b =$

Simplex: Repairing Models

If the assignment of a basic variable does not satisfy a bound, then pivot it, fix it, and propagate the change to its new dependent variables.

$$a = c - d$$

$$b = c + d$$

$$M(a) = 0$$

$$M(b) = 0$$

$$M(c) = 0$$

$$M(d) = 0$$

$$1 \le a$$

$$c = a + d$$

$$b = a + 2d$$

$$M(a) = 0$$

$$M(b) = 0$$

$$M(c) = 0$$

$$M(d) = 0$$

$$1 \le a$$

$$c = a + d$$

$$b = a + 2d$$

$$M(a) = 1$$

$$M(b) = 1$$

$$M(c) = 1$$

$$M(d) = 0$$

Polynomial Constraints

AKA
Existential Theory of the Reals

3R

$$x^{2} - 4x + y^{2} - y + 8 < 1$$
$$xy - 2x - 2y + 4 > 1$$

- 1. Project/Saturate set of polynomials
- 2. Lift/Search: Incrementally build assignment $v: x_k \to \alpha_k$ Isolate roots of polynomials $f_i(\alpha, x)$ Select a feasible cell C, and assign x_k some $\alpha_k \in C$ If there is no feasible cell, then backtrack

$$x^{2} + y^{2} - 1 < 0$$
 $x^{4} - x^{2} + 1$
 $xy - 1 > 0$
1. Saturate
 $x^{2} - 1$

2. Search

| | $(-\infty, -1)$ | -1 | (-1, 0) | 0 | (0, 1) | 1 | (1,∞) |
|-----------------|-----------------|----|---------|---|--------|---|-------|
| $x^4 - x^2 + 1$ | + | + | + | + | + | + | + |
| $x^2 - 1$ | + | 0 | - | - | - | 0 | + |
| X | - | - | - | 0 | + | + | + |

$$x^{2} + y^{2} - 1 < 0$$
 $x = x^{2} + y^{2} - 1$
 $x = x^{2} + 1$
 $x = x^{2} - 1$
 $x = x^{2} -$

| | (-∞, -1) | -1 | (-1, 0) | 0 | (0, 1) | 1 | (1,∞) |
|-----------------|----------|----|---------|---|--------|---|-------|
| $x^4 - x^2 + 1$ | + | + | + | + | + | + | + |
| $x^2 - 1$ | + | 0 | - | - | - | 0 | + |
| x | - | - | - | 0 | + | + | + |

$$x^{2} + y^{2} - 1 < 0$$
 $x^{4} - x^{2} + 1$
 $xy - 1 > 0$
1. Saturate
 $x^{2} - 1$
 $x^{2} - 1$

| | $\left(-\infty,-\frac{1}{2}\right)$ | $-\frac{1}{2}$ | $(-\frac{1}{2},\infty)$ |
|---------------|-------------------------------------|----------------|-------------------------|
| $4 + y^2 - 1$ | + | + | + |
| -2y - 1 | + | 0 | - |

CONFLICT

$$x \rightarrow -2$$
 2. Search

| | $(-\infty, -1)$ | -1 | (-1, 0) | 0 | (0, 1) | 1 | (1,∞) |
|-----------------|-----------------|----|---------|---|--------|---|-------|
| $x^4 - x^2 + 1$ | + | + | + | + | + | + | + |
| $x^2 - 1$ | + | 0 | - | - | - | 0 | + |
| x | - | - | - | 0 | + | + | + |

NLSAT: Model-Based Search

Static x Dynamic

Optimistic approach

Key ideas



Start the Search before Saturate/Project

We saturate on demand

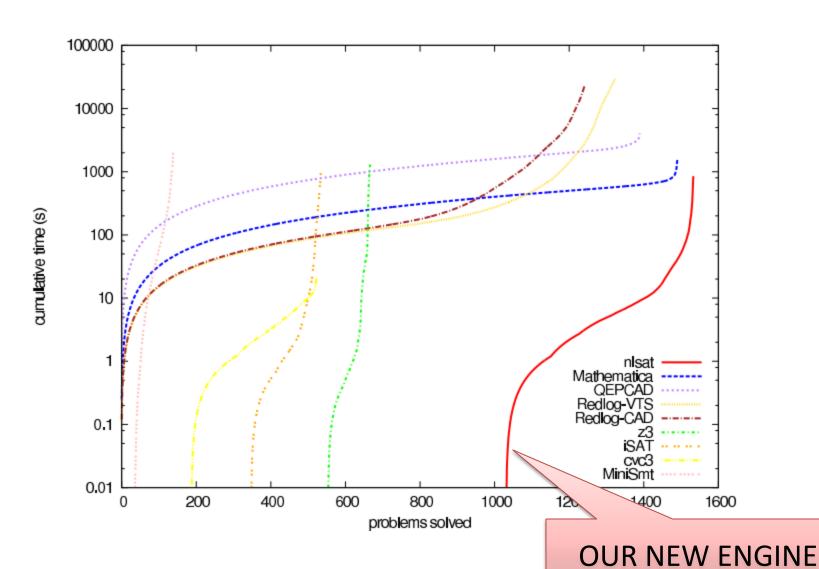
Model guides the saturation

Experimental Results (1)

OUR NEW ENGINE

| | meti-tarski | (1006) | keymaera | (421) | zankl | (166) | hong | (20) | kissin | g (45) | all (1 | 1658) |
|-------------|-------------|-------------|----------|----------|--------|------------|--------|----------|--------|----------|--------|----------|
| solver | solved | time (s) | solved | time (s) | solved | time (s) | solved | time (s) | solved | time (s) | solved | time (s) |
| nlsat | 1002 | 343 | 420 | 5 | 89 | 234 | 10 | 170 | 13 | 95 | 1534 | 849 |
| Mathematica | 1006 | 7 96 | 420 | 171 | 50 | 366 | 9 | 208 | 6 | 29 | 1491 | 1572 |
| QEPCAD | 991 | 2616 | 368 | 1331 | 21 | 38 | 6 | 43 | 4 | 5 | 1390 | 4036 |
| Redlog-VTS | 847 | 28640 | 419 | 78 | 42 | 490 | 6 | 3 | 10 | 275 | 1324 | 29488 |
| Redlog-CAD | 848 | 21706 | 363 | 730 | 21 | 173 | 6 | 2 | 4 | 0 | 1242 | 22613 |
| z3 | 266 | 83 | 379 | 1216 | 21 | 0 | 1 | 0 | 0 | 0 | 667 | 1299 |
| iSAT | 203 | 122 | 291 | 16 | 21 | 24 | 20 | 822 | 0 | 0 | 535 | 986 |
| cvc3 | 150 | 13 | 361 | 5 | 12 | 3 | 0 | 0 | 0 | 0 | 523 | 22 |
| MiniSmt | 40 | 697 | 35 | 0 | 46 | 1370 | 0 | 0 | 18 | 44 | 139 | 2112 |

Experimental Results (2)



Other examples

Delayed
Theory Combination
[Bruttomesso et al 2006]



Model-Based
Theory Combination

Other examples

Array Theory by Axiom Instantiation

X

Lemmas on Demand For Theory of Array [Brummayer-Biere 2009]

```
\forall a, i, v: a[i \coloneqq v][i] = v

\forall a, i, j, v: i = j \lor a[i \coloneqq v][j] = a[j]
```

Other examples

(for linear arithmetic)

Fourier-Motzkin

X

Generalizing DPLL to richer logics
[McMillan et al 2009]

Conflict Resolution [Korovin et al 2009]

Saturation: successful instances

Polynomial time procedures

Gaussian Elimination

Congruence Closure

Basic Idea

$$x \ge 0$$
, $y = x + 1$, $(y > 2 \lor y < 1)$



$$p_1, p_2, (p_3 \lor p_4)$$
 $p_1 \equiv (x \ge 0), p_2 \equiv (y = x + 1),$ $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$

[Audemard et al - 2002], [Barrett et al - 2002], [de Moura et al - 2002]

Basic Idea

$$x \ge 0$$
, $y = x + 1$, $(y > 2 \lor y < 1)$



$$p_1, p_2, (p_3 \vee p_4)$$

$$p_1, p_2, (p_3 \lor p_4)$$
 $p_1 \equiv (x \ge 0), p_2 \equiv (y = x + 1),$ $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$

SAT Solver

Basic Idea

$$x \ge 0$$
, $y = x + 1$, $(y > 2 \lor y < 1)$



$$p_1, p_2, (p_3 \vee p_4)$$

$$p_1, p_2, (p_3 \lor p_4)$$
 $p_1 \equiv (x \ge 0), p_2 \equiv (y = x + 1),$ $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$

SAT Solver Assignment

$$p_1, p_2, \neg p_3, p_4$$

Basic Idea

$$x \ge 0$$
, $y = x + 1$, $(y > 2 \lor y < 1)$



$$p_1, p_2, (p_3 \vee p_4)$$

$$p_1, p_2, (p_3 \lor p_4)$$
 $p_1 \equiv (x \ge 0), p_2 \equiv (y = x + 1),$ $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$



$$p_1, p_2, \neg p_3, p_4$$

Assignment
$$y \ge 0, y = x + 1, y \ge 0, y = x + 1, y \ge 0, y \le 1$$

Basic Idea $x \ge 0$, y = x + 1, $(y > 2 \lor y < 1)$ $p_1, p_2, (p_3 \vee p_4)$ $p_1 \equiv (x \ge 0), p_2 \equiv (y = x + 1),$ $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$ Assignment $p_1, p_2, \neg p_3, p_4 \longrightarrow x \ge 0, y = x + 1,$ $\neg (y > 2), y < 1$

Unsatisfiable

SAT

Solver

$$x \ge 0$$
, $y = x + 1$, $y < 1$

Theory Solver

Basic Idea $x \ge 0$, y = x + 1, $(y > 2 \lor y < 1)$ $p_1, p_2, (p_3 \vee p_4)$ $p_1 \equiv (x \ge 0), p_2 \equiv (y = x + 1),$ $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$ Assignment $x \ge 0, y = x + 1, -(y > 2), y < 1$

New Lemma $\neg p_1 \lor \neg p_2 \lor \neg p_4$



SAT

Solver

Unsatisfiable

$$x \ge 0, y = x + 1, y < 1$$

Theory Solver

SAT + Theory Solvers: refinements

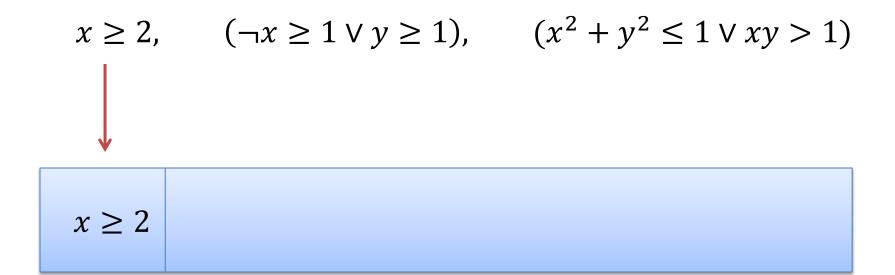
Incrementality

Efficient Backtracking

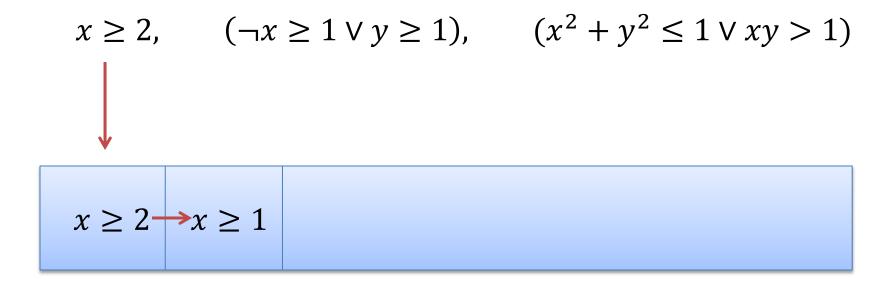
Efficient Lemma Generation

Theory propagation [Ganzinger et all – 2004]

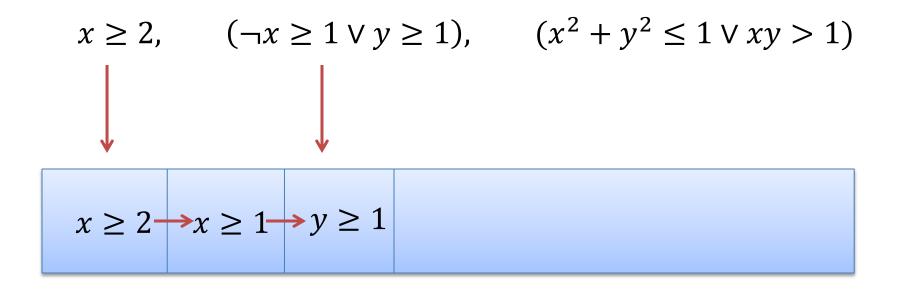
$$x \ge 2$$
, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1 \lor xy > 1)$



Propagations



Propagations



Propagations

Decisions

Model Assignments

Conflict

We can't find a value of y s.t. $4 + y^2 \le 1$

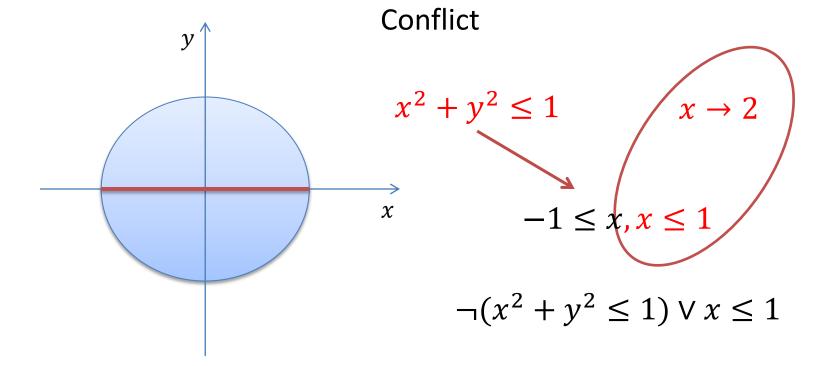
Conflict

We can't find a value of
$$y$$
 s.t. $4 + y^2 \le 1$

Learning that
$$\neg(x^2 + y^2 \le 1) \lor \neg(x=2)$$
 is not productive

$$x \ge 2$$
, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1 \lor xy > 1)$

$$x \ge 2 \longrightarrow x \ge 1 \longrightarrow y \ge 1 \quad x^2 + y^2 \le 1 \quad x \to 2$$



$$\neg(x^2 + y^2 \le 1) \lor x \le 1$$

Learned by resolution

$$\neg(x \ge 2) \lor \neg(x^2 + y^2 \le 1)$$

$$x \ge 2$$
, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1 \lor xy > 1)$
 $x \ge 2 \rightarrow x \ge 1 \rightarrow y \ge 1$ $\neg (x^2 + y^2 \le 1)$
 $\neg (x \ge 2) \lor \neg (x^2 + y^2 \le 1)$ $\neg (x^2 + y^2 \le 1) \lor x \le 1$

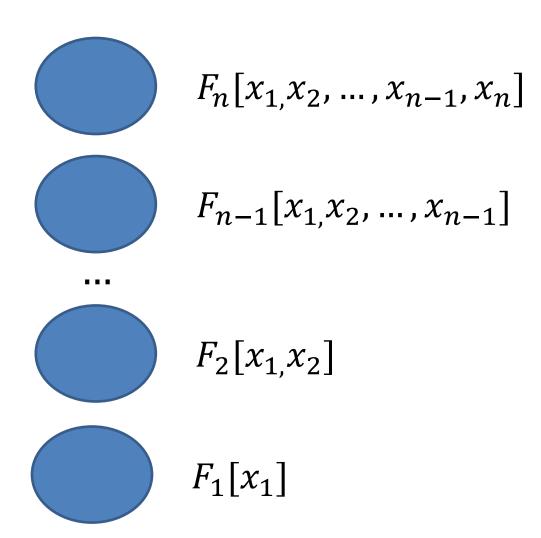
Every theory that admits quantifier elimination has a finite basis (given a fixed assignment order)

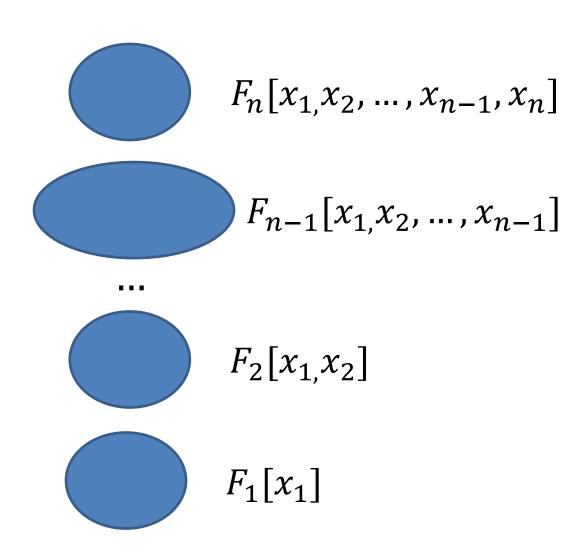
$$F[x_1, \dots, x_n, y_1, \dots, y_m] \qquad y_1 \to \alpha_1, \dots, y_m \to \alpha_m$$

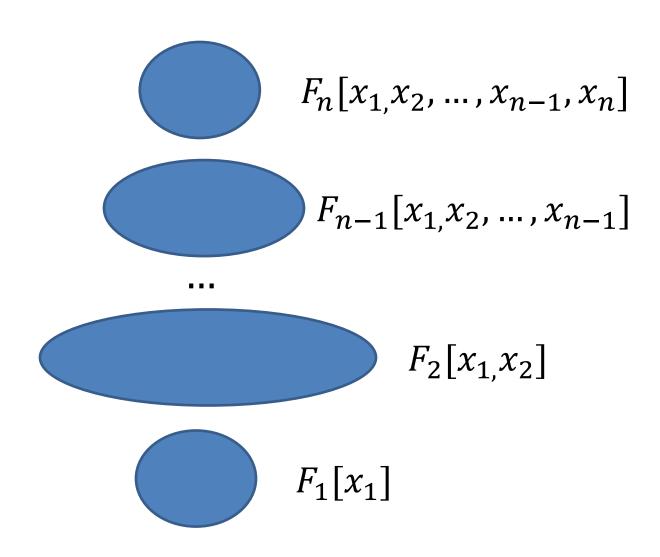
$$\exists x_1, \dots, x_n : F[x_1, \dots, x_n, y]$$

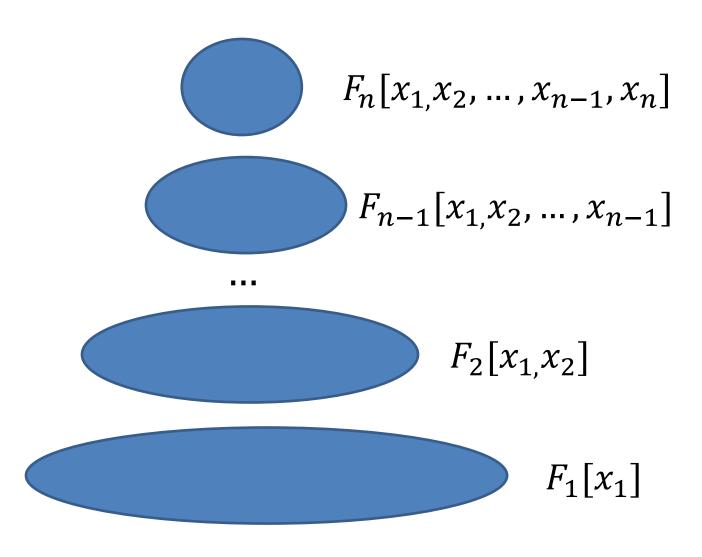
$$C_1[y_1, \dots, y_m] \land \dots \land C_k[y_1, \dots, y_m]$$

$$\neg F[x_1, \dots, x_n, y_1, \dots, y_m] \lor C_k[y_1, \dots, y_m]$$









Every "finite" theory has a finite basis

$$F[x_1, \dots, x_n, y_1, \dots, y_m]$$
 $y_1 \to \alpha_1, \dots, y_m \to \alpha_m$

$$y_1 = \alpha_1, \dots, y_m = \alpha_m$$

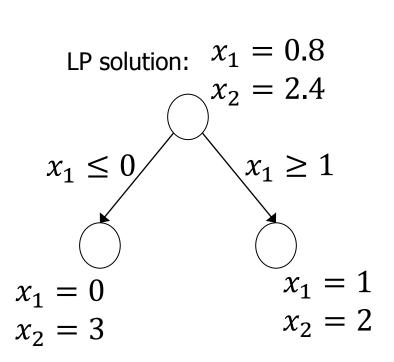
Theory of uninterpreted functions has a finite basis

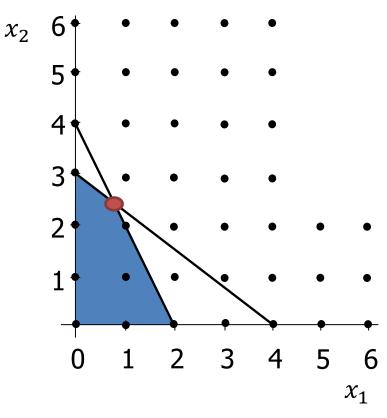
Theory of arrays has a finite basis [Brummayer- Biere 2009]

In both cases the Finite Basis is essentially composed of equalities between existing terms.

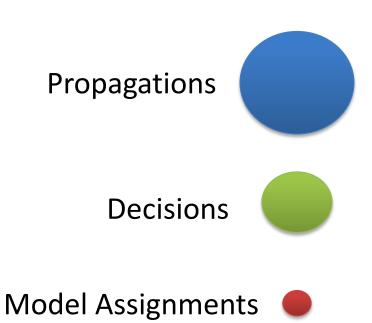
We can also use literals from the finite basis in decisions.

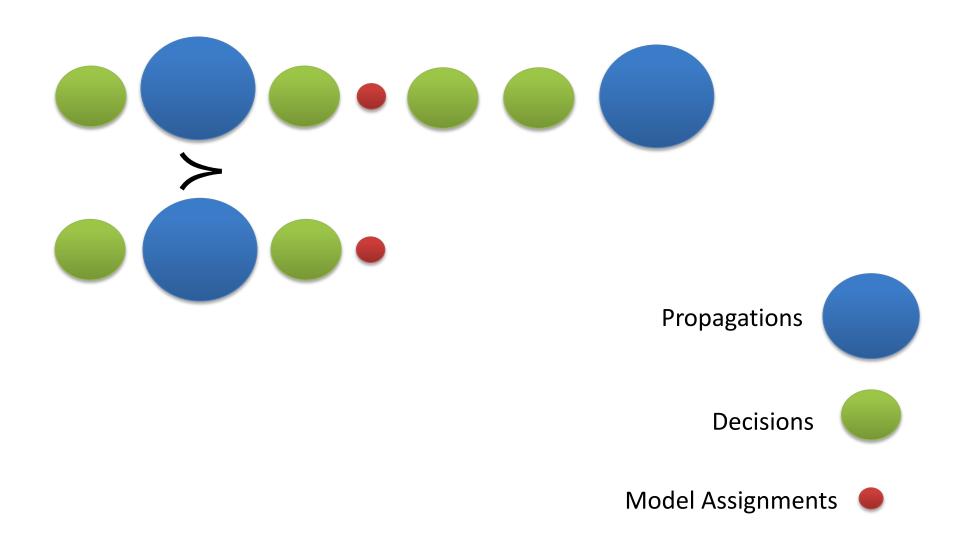
Application: simulate branch&bound for bounded linear integer arithmetic

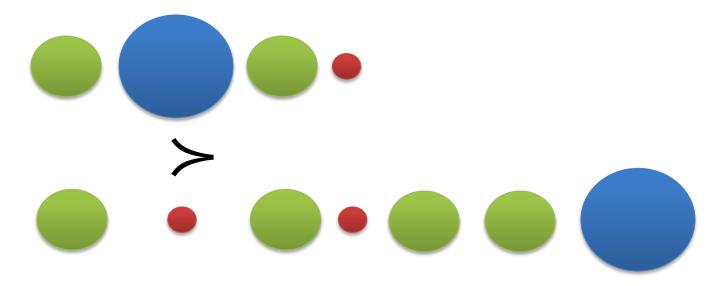




MCSat: Termination





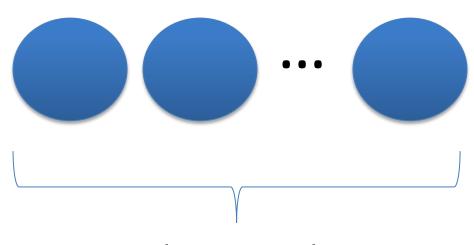


Propagations

Decisions

Model Assignments

Maximal Elements



|FiniteBasis|

$$x \ge 2$$
, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1 \lor xy > 1)$
 $x \ge 2 \longrightarrow x \ge 1 \longrightarrow y \ge 1$ $x^2 + y^2 \le 1 \longrightarrow x \le 1$
Conflict
 $\neg (x \ge 2) \lor \neg (x \le 1)$ $\neg (x^2 + y^2 \le 1) \lor x \le 1$

$$x \ge 2$$
, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1 \lor xy > 1)$
 $x \ge 2 \longrightarrow x \ge 1 \longrightarrow y \ge 1$ $x^2 + y^2 \le 1 \longrightarrow x \le 1$
Conflict
 $\neg (x \ge 2) \lor \neg (x \le 1)$ $\neg (x^2 + y^2 \le 1) \lor x \le 1$

$$x \ge 2$$
, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1 \lor xy > 1)$
 $x \ge 2 \rightarrow x \ge 1 \rightarrow y \ge 1 \quad \neg(x^2 + y^2 \le 1)$
 $x \ge 2 \rightarrow x \ge 1 \rightarrow y \ge 1 \quad \neg(x^2 + y^2 \le 1)$

$$x \ge 2, \qquad (\neg x \ge 1 \lor y \ge 1), \qquad (x^2 + y^2 \le 1 \lor xy > 1)$$

$$x^2 \qquad \le 1$$
Conflict
$$\neg (x \ge 2) \lor \neg (x \le 1) \qquad \neg (x^2 + y^2 \le 1) \lor x \le 1$$

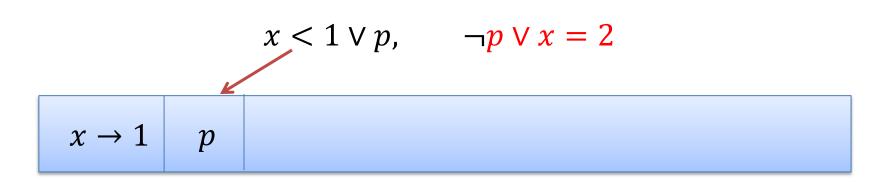
$$x \ge 2$$
, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1 \lor xy > 1)$
 $x \ge 2$, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1 \lor xy > 1)$
 $x \ge 2$, $(\neg x \ge 1 \lor y \ge 1)$, $(x^2 + y^2 \le 1)$
 $x \ge 2$, $(x^2 + y^2 \le 1)$

$$x < 1 \lor p$$
, $\neg p \lor x = 2$

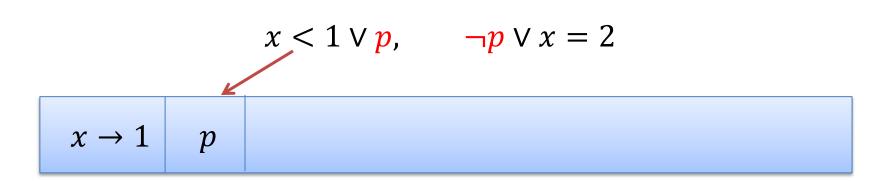
 $x \rightarrow 1$

$$x < 1 \lor p, \qquad \neg p \lor x = 2$$

$$x \to 1 \qquad p$$

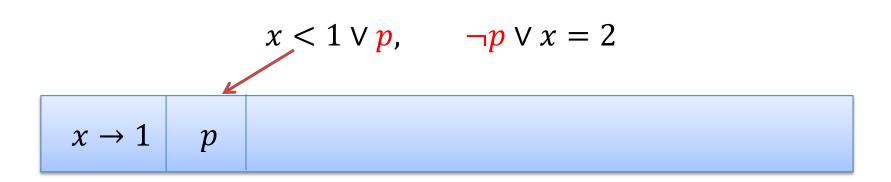


Conflict (evaluates to false)



New clause

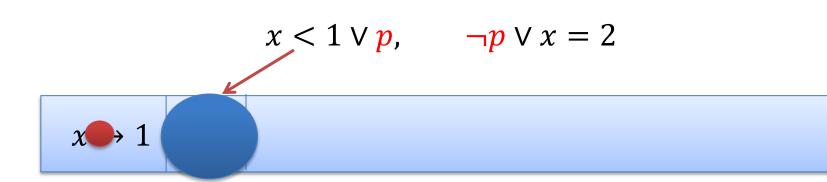
$$x < 1 \lor x = 2$$



New clause

$$x < 1 \lor x = 2$$

x < 1

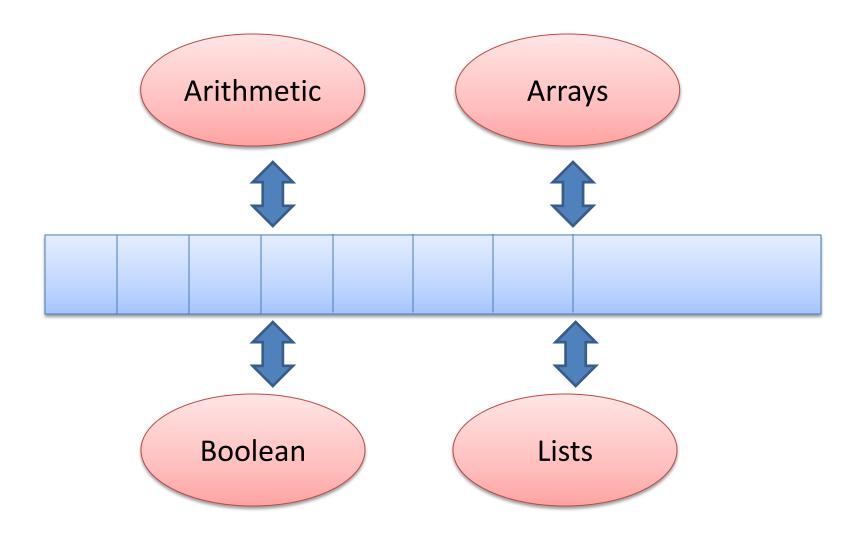


New clause

$$x < 1 \lor x = 2$$



MCSat: Architecture



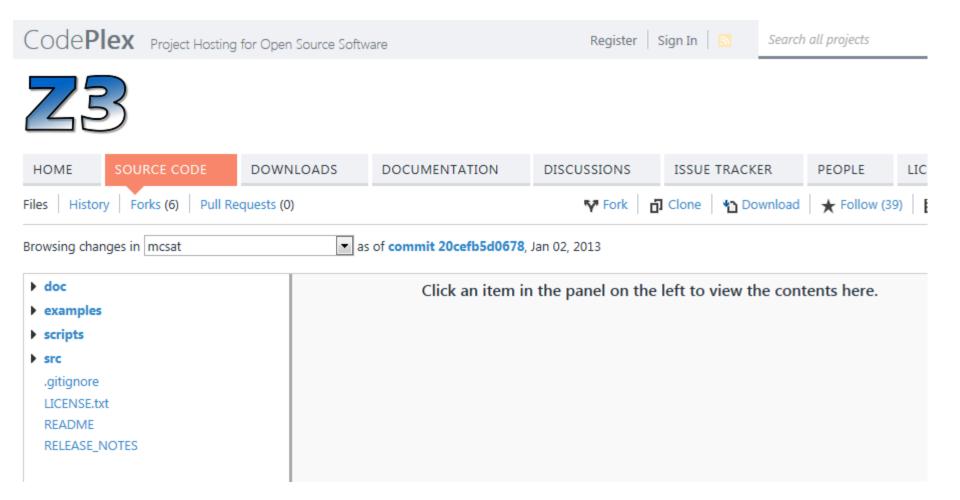
MCSat: development





News: Z3 source code is available

http://z3.codeplex.com



Conclusion

Logic as a Service

Model-Based techniques are very promising

MCSat

http://z3.codeplex.com

http://rise4fun.com/z3py