

# Compiling to Categories

Mathematically-principled program transformation

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# Haskell and Category Theory

Haskell	Category Theory
<b>Category</b>	<b>Category</b>
<b>Type</b>	<b>Object</b>
<b>Function</b>	<b>Morphism</b>
<b><u>Hask</u></b>	<b><u>Set</u></b>
<b>...</b>	<b>Terminal Objects</b>
<b>Tuple</b>	<b>Product</b>
<b>Currying, Function Application</b>	<b>Cartesian Closure</b>

# Closed Cartesian Categories in Category Theory

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# Categories

A category  $\underline{\mathbf{C}}$  consists of

1. a class  $\text{Obj}(\underline{\mathbf{C}})$  of *objects*, and
2. for each pair of objects  $A, B \in \text{Obj}(\underline{\mathbf{C}})$ , a set  $\text{Hom}_{\underline{\mathbf{C}}}(A, B)$  of *arrows* (or *morphisms*) from  $A$  to  $B$ , known as a *hom-set*.

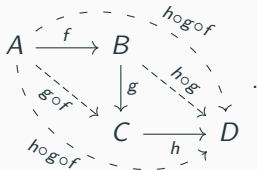
$$\begin{array}{ccc} & \text{Hom}_{\underline{\mathbf{C}}}(A, B) & \\ A & \begin{array}{c} \rightrightarrows \\ \longrightarrow \end{array} & B \end{array}$$

Many familiar parts of Haskell form a category **Hask**: objects are *types* (**Int**, **Char**, etc.), and arrows are *functions* between types (e.g. **ord** :: **Int**  $\rightarrow$  **Char**).

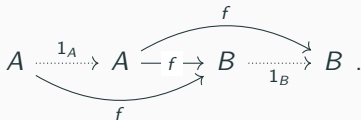
# Category Laws

In a category  $\underline{\mathbf{C}}$ :

1. Given arrows  $f: A \rightarrow B$  and  $g: B \rightarrow C$  in  $\underline{\mathbf{C}}$ , the *composition*  $g \circ f: A \rightarrow C$  ( $= g.f$ ) is also in  $\underline{\mathbf{C}}$ .
2. Given arrows  $f: A \rightarrow B$ ,  $g: B \rightarrow C$  and  $h: C \rightarrow D$ ,  
 $(h \circ g) \circ f = h \circ (g \circ f) = h \circ g \circ f$ :



3. Every object  $A \in \text{Obj}(\underline{\mathbf{C}})$  is associated with an *identity arrow*  $1_A: A \rightarrow A$  ( $= \text{id}$ ). Given any arrow  $f: A \rightarrow B$ , we have



# Examples

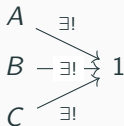
	<u>Set</u>	<u>Hask</u>	<u>POrd</u>	<u>Cat</u>
<b>Objects</b>	sets	types	items	small cats
<b>Morphisms</b>	functions	functions	$a \leq b$	functors
<b>Composition</b>	$f \circ g$	$f.g$	transitivity	$F \circ G$
<b>Identity</b>	$1_A$	<b>id</b>	$a = a$	$1_{\mathcal{C}}$

Not everything in Haskell can be in Hask if we want it to be a category. Every type in the language contains a **Bottom** ( $\perp$ ) or **undefined** value, but these 'values' cause mayhem with the category laws (in particular the **Identity** constraint). So when we talk about Hask we'll be talking about vanilla Hask without these abnormal values. (Haskell wiki page on Hask.)

# Category Theory: Terminal Objects

A *terminal object* is a type  $1$  (a.k.a.  $T$ ) in  $\text{Obj}(\underline{\mathbf{C}})$ , such that there is only a single mapping from any other type  $A$  onto that type:

$$\forall A \in \text{Obj}(\underline{\mathbf{C}}), |\text{Hom}_{\underline{\mathbf{C}}}(A, 1)| = 1.$$



In **Hask**:

```
1  () -- the type corresponding to 1, containing only itself
2  terminalMap :: t -> ()
3  terminalMap _ = ()
```

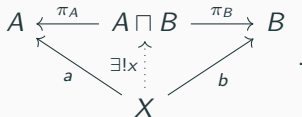


# Examples

	<u>Set</u>	<u>Hask</u>	<u>POrd</u>	<u>Cat</u>
<b>Objects</b>	sets	types	items	small cats
<b>Morphisms</b>	functions	functions	$a \leq b$	functors
<b>Composition</b>	$f \circ g$	$f.g$	transitivity	$F \circ G$
<b>Identity</b>	$1_A$	<b>id</b>	$a = a$	$1_{\underline{c}}$
<b>Terminal obj.</b>	$\{*\}$	$()$	upper bound	$\underline{1}$

# Products

Given objects  $A, B$  in  $\underline{\mathbf{C}}$  there may be a (*pairwise*) *product*  $A \sqcap B \in \text{Obj}(\underline{\mathbf{C}})$  and *projection arrows*  $\pi_A: A \sqcap B \rightarrow A$  and  $\pi_B: A \sqcap B \rightarrow B$  such that for any object  $X$  in the same category and arrows  $a: X \rightarrow A$  and  $b: X \rightarrow B$  there is a *unique* arrow  $x: X \rightarrow A \sqcap B$  such that  $a = \pi_A \circ x$  and  $b = \pi_B \circ x$ :



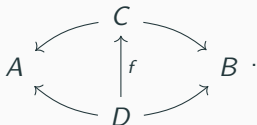
In other words: Given a particular way of mapping  $X$  to  $A$  and to  $B$ , there's only *one* way of mapping  $X$  to  $A \sqcap B$  such that everything's consistent.

# Products

Alternatively, the triplet  $\langle A \sqcap B, \pi_A, \pi_B \rangle$  is a *terminal object* in the category whose objects are diagrams of the form

$$A \longleftarrow C \longrightarrow B ,$$

and whose arrows are (commutative) diagrams of the form



# Products in Haskell

```
1  (a,b) -- the type containing pairs from types a and b ( $A \times B$ )
2  fst :: (a,b) -> a -- the projection function  $\pi_A$ 
3  fst (x,y) = x
4  snd :: (a,b) -> b -- the projection function  $\pi_B$ 
5  snd (x,y) = y
6  factorThroughProd :: (c -> a) -> (c -> b) -> (c -> (a,b))
7  factorThroughProd f g = \ x -> (f x,g x)
```

It should be obvious that

**fst**.(factorThroughProd f g) = f, and

**snd**.(factorThroughProd f g) = g.

# Examples

	<u>Set</u>	<u>Hask</u>	<u>POrd</u>	<u>Cat</u>
<b>Objects</b>	sets	types	items	small cats
<b>Morphisms</b>	functions	functions	$a \leq b$	functors
<b>Composition</b>	$f \circ g$	$f.g$	transitivity	$F \circ G$
<b>Identity</b>	$1_A$	<b>id</b>	$a = a$	$1_{\underline{C}}$
<b>Terminal obj.</b>	$\{*\}$	$()$	upper bound	$\underline{1}$
<b>Product</b>	$A \times B$	$(a,b)$	$\min(a,b)$	$\underline{C} \times \underline{D}$

# Exponential Objects

Given objects  $A$  and  $B$  in  $\underline{\mathbf{C}}$ , an *exponential object*  $B^A$  (also written  $[A \rightarrow B]$ ) is an object with an arrow  $\text{eval}_B^A$  such that for any  $C$  and any arrow  $f: C \sqcap A \rightarrow B$ ,

$$\begin{array}{ccc} C \sqcap A & & \\ \downarrow \exists! & \searrow f & \\ B^A \sqcap A & \xrightarrow{\text{eval}_B^A} & B \end{array} .$$

Alternatively, the pair  $\langle B^A, \text{eval}_B^A \rangle$  constitutes a terminal object in the category whose objects are diagrams of the form

$$C \sqcap A \longrightarrow B ,$$

and whose arrows are commutative diagrams of the form

$$\begin{array}{ccc} D \sqcap A & & \\ \downarrow & \searrow & \\ C \sqcap A & \searrow & B \end{array} .$$

# Exponential Objects in Haskell

In Hask, the exponential object of two types `a` and `b` is the *function type* `(a -> b)` (it's akin to the *hom-set* of `a` and `b`). Let's see how this satisfies the above definition.

```
1  eval :: ((a -> b),a) -> b
2  eval (f,x) = f x
3  factoredArrow :: ((c,a) -> b) -> ((c,a) -> ((a -> b),a))
4  factoredArrow f = \ (y,x) -> ((\ x' -> f(y,x')),x)
```

(Spot the currying!)

It can be proven that `eval . (factoredArrow f) = f` — and that `factoredArrow` is the *only* arrow for which this is true.

# Cartesian-Closed Categories (CCC)

There is a terminal object  $1$ .

There are binary products  $\times$  (and hence all finite products).

For any two objects  $A$  and  $B$ , there is an exponential object  $B^A$ .

Examples:

Set the singleton set, pairs, sets of functions

Hask  $()$ ,  $(a,b)$ ,  $a \rightarrow b$

There are more examples, but they're pretty complicated.



# Conal Elliott: Compiling to Categories

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# Compiling to Categories

So far, we have introduced concepts from standard category theory, with a bit of Haskell flavour.

It is well-known that Haskell (or a near-complete subset of it) has category-theoretic semantics (e.g. our last talk), given in terms of a single category **Hask**.

Elliott's (2017) paper *Compiling to Categories* (hereafter C2C) shows that Category Theory can not only provide semantics, but a range of compile-to domains to which *the same code* can be compiled.

Single most exciting paper in the interpretation of programming languages.

## Compiling to Categories

CONAL ELLIOTT, Target, USA

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It is well-known that the simply typed lambda-calculus is modeled by any cartesian closed category (CCC). This correspondence suggests giving typed functional programs a variety of interpretations, each corresponding to a different category. A convenient way to realize this idea is as a collection of meaning-preserving transformations added to an existing compiler, such as GHC for Haskell. This paper describes such an implementation and demonstrates its use for a variety of interpretations including hardware circuits, automatic differentiation, incremental computation, and interval analysis. Each such interpretation is a category easily defined in Haskell (outside of the compiler). The general technique appears to provide a compelling alternative to deeply embedded domain-specific languages.

CCS Concepts: • **Theory of computation**  $\rightarrow$  *Lambda calculus*; • **Software and its engineering**  $\rightarrow$  *Functional languages*; *Compilers*;

Additional Key Words and Phrases: category theory, compile-time optimization, domain-specific languages

### ACM Reference Format:

Conal Elliott. 2017. Compiling to Categories. *Proc. ACM Program. Lang.* 1, ICFP, Article 27 (September 2017), 27 pages.  
<https://doi.org/10.1145/3110271>

# Compiling to Categories

How it works (black box):

- you specify (using Haskell classes) the application category
- then Haskell code is compiled to constructions in that category
- while the constructions reflect the structure of your program, they do not simply implement it.

# Compiling to Categories

Why this is exciting:

By choosing different CCCs, you can do these things (CCC names not the same as in C2C, but you can work it out):

**free CCC** pretty-printing, syntax-highlighting, or proving correctness

**intervals** verification

**delta** partial memoisation

**hardware** translate software into hardware

**linear spaces** linear approximations to complex numeric functions

**differentials** differentiate any haskell numeric function - automatically

# Compiling to Categories: Overview

How it works (under the hood):

- compile Haskell  $\rightarrow$   $\lambda$ -expressions (grab intermediate output from GHC)
- $\lambda$ -expressions  $\rightarrow$  CCC-constructions
- CCC-constructions applied in category of choice
- output result

# Declaring CCCs

Example: we want to compile numeric expressions/functions into something that tells us about the bounds on outputs (minimum possible output and maximum possible output).

This cannot be achieved with a black-box 2nd-order function, except by enumerating possible inputs.

But can be achieved by compilation to categories.

# Declaring CCCs

First we define the **type family** of intervals. Here `:*` is a pairing operator.

```
1  type family lv a
2  type instance lv ()    = ()
3  type instance lv Float = Float :* Float
4  type instance lv Double = Double :* Double
5  type instance lv Int   = Int    :* Int
```



# Declaring CCCs

Now we define our category. First the data type `IF` which contains our morphisms.

```
1 data IF a b = IF { unIF :: lv a -> lv b }
```

# Declaring CCCs

I'm using `pack0`, `pack1`, `pack2` to map functions of 0-, 1- and 2-arguments in Hask into the new category. Elliott's code uses `pack`, `inNew` and `inNew2`.

```
1 instance Category IF where
2   id = pack0 id
3   (.) = pack2 (.)
```

# Declaring CCCs

```
1 instance ProductCat IF where
2   exl = pack0 exl
3   exr = pack0 exr
4   (&&&) = pack2 (&&&)
```

# Declaring CCCs

```
1 instance ClosedCat IF where
2   apply = pack0 apply
3   curry = pack1 curry
4   uncurry = pack1 uncurry
```

# Declaring CCCs

```
1 instance lv b ~ (b :* b) => ConstCat IF b where
2   const b = pack0 (const (b,b))
3   unitArrow b = pack0 (unitArrow (b,b))
```

# Declaring CCCs

Now define how some atomic Haskell functions map into the CCC.

```
1 instance (lv a ~ (a :* a), Num a, Ord a) => NumCat IF a where  
2   negateC = pack0 \ (al,ah) -> (-ah, -al)  
3   addC = pack0 \ ((al,ah),(bl,bh)) -> (al+bl,ah+bh)  
4   subC = addC . second negateC  
5   mulC = pack0 \ ((al,ah),(bl,bh)) ->  
6       let cs = ((al*bl, al*bh),(ah*bl,ah*bh)) in  
7       (min4 cs, max4 cs)
```

# Compiling to $\lambda$ -expressions

Credit: <https://stackoverflow.com/questions/27635111>.

- use the `GHC` module
- functions `compileToCoreModule` or `compileToCoreSimplified` to compile a file
- the code has been reproduced as `processor.hs` in the repository with today's talk. You need to compile it with

```
1      $ ghc --package ghc --package ghc-paths processor.hs
```

# Haskell to $\lambda$ -Calculus

```
1 example :: Int -> Int -> Int
2 example x y = x + y
```

```
1 example = \ (x :: Int) (y :: Int) -> + @ Int $fNumInt x y
```



# Haskell to $\lambda$ -Calculus

```
1 example :: Int -> Int -> Int
2 example x y = x + y
```

```
1 example = \ (x :: Int) (y :: Int) -> + @ Int $fNumInt x y
```

The mapping operation is implemented as a pseudo-function `ccc`.

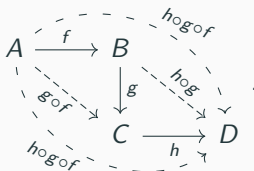
Each transformation either reduces the size of the body of the  $\lambda$ -expression, or eliminates a  $\lambda$ .

Consequently, the transformation process must terminate.

# Category Definition

$$\text{Hom}_{\underline{C}}(A, B)$$

$$A \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} B$$



$$A \xrightarrow{1_A} A \xrightarrow{f} B \xrightarrow{1_B} B$$

Diagram illustrating the identity morphisms  $1_A$  and  $1_B$  and the morphism  $f$ . The sequence of objects is  $A \rightarrow A \rightarrow B \rightarrow B$ . The first arrow is  $1_A$  (dotted), the second is  $f$  (solid), and the third is  $1_B$  (dotted). Curved arrows labeled  $f$  connect the first  $A$  to the first  $B$  and the second  $A$  to the second  $B$ .

# Category Definition

- composition  $g \circ f = \lambda x \mapsto g(f(x))$ .
- identity  $\text{id} = \lambda x \mapsto x$ ,

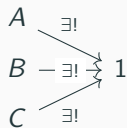
Laws:

- $\text{id} \circ f \equiv f \circ \text{id} \equiv f$
- $h \circ (g \circ f) \equiv (h \circ g) \circ f$

## Expression Body is a Single Variable

$$\text{ccc}(\lambda x \mapsto x) = \text{id}$$

# A Terminal Object



# A Terminal Object

- terminal 1 is the terminal object in the category,
- terminal arrow  $\text{it} = \lambda a \mapsto ()$ .
- $\text{unitarrow unitarrow } b = \lambda () \mapsto b$ .
- constants  $\text{const } b = (\text{unitarrow } b) \circ \text{it}$

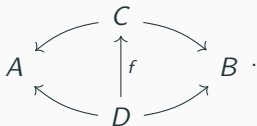
Laws:

- $\text{it} \circ f \equiv \text{it}$

$$\text{ccc}(\lambda x \mapsto c) = \text{const } c$$



# The Product



# The Product

- $\text{fork } f \Delta g = \lambda x \mapsto (f\ x, g\ x),$
- $\text{extract-left } \text{exl} = \lambda (a, b) \mapsto a,$
- $\text{extract-right } \text{exr} = \lambda (a, b) \mapsto b.$

Laws:

- $\text{exl} \circ (f \Delta g) \equiv f$
- $\text{exr} \circ (f \Delta g) \equiv g$
- $\text{exl} \circ h \Delta \text{exr} \circ h \equiv h$

# Exponential Objects

$$\begin{array}{ccc} C \sqcap A & & \\ \downarrow \exists! & \searrow f & \\ B^A \sqcap A & \xrightarrow{\text{eval}_B^A} & B \end{array} .$$

# Exponential Objects

- apply or eval  $\text{apply}(f, x) = f\ x$
- curry  $f = \lambda a\ b \mapsto f(a, b)$
- uncurry  $f = \lambda (a, b) \mapsto f\ a\ b$
- constant functions  $\text{constFun}\ f = \text{curry}(f \circ \text{exr}) = \lambda x \mapsto f\ \text{ignores}\ x, \text{ returns a function}$

Laws:

- $\text{uncurry}(\text{curry}\ f) \equiv f$
- $\text{curry}(\text{uncurry}\ f) \equiv f$
- $\text{apply} \circ (\text{curry}\ f \circ \text{exl} \Delta \text{exr}) \equiv f$

# Expression Body is an Application

## Expression body is an application

$$\text{ccc}(\lambda x \mapsto U\ V) = \text{apply} \circ (\text{ccc}(\lambda x \mapsto U) \Delta \text{ccc}(\lambda x \mapsto V))$$

## Lambda abstraction

$$\text{ccc}(\lambda x \mapsto \lambda y \mapsto U) = \text{curry } \text{ccc}(\lambda (x, y) \mapsto U)$$

## Constant functions

$$\text{ccc}(\lambda x \mapsto f) = \text{constFun } \text{ccc}(f)$$

$f$  may need to be *Curried* to reduce its argument dimensionality.

## Examples

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The simplest application is just to build a tree structure of the functions applying in the CCC.

Each function just records a label (the same as its name) on a tree node, and then builds subtrees from any arguments.

# Syntactic Analysis

```
1 appt :: String -> [DocTree] -> DocTree
2 appt = Node . const . text
3 -- appt s ts = Node (const (text s)) ts
```



# Syntactic Analysis

```
1 atom :: Pretty a ==> a -> Syn a b
2 atom a = Syn (Node (ppretty a) [])
3
4 app0 :: String -> Syn a b
5 app0 s = Syn (appt s [])
6
7 app1 :: String -> Syn a b -> Syn c d
8 app1 s (Syn p) = Syn (appt s [p])
9
10 app2 :: String -> Syn a b -> Syn c d -> Syn e f
11 app2 s (Syn p) (Syn q) = Syn (appt s [p,q])
```

# Syntactic Analysis

```
1 instance Category Syn where
2   id  = app0 "id"
3   (.) = app2 "."
```

# Syntactic Analysis

```
1 instance ProductCat Syn where
2   exl      = app0 "exl"
3   exr      = app0 "exr"
4   (&&&)    = app2 "&&&"
5   ...
```

```
1 instance TerminalCat Syn where
2   it = app0 "it"
```

```
1 instance ClosedCat Syn where
2   apply    = app0 "apply"
3   curry    = app1 "curry"
4   uncurry  = app1 "uncurry"
```

# Syntactic Analysis

```
1 instance BoolCat Syn where
2   notC = app0 "not"
3   andC = app0 "andC"
4   orC  = app0 "orC"
5   xorC = app0 "xorC"
```

```
1 instance NumCat Syn a where
2   negateC = app0 "negate"
3   addC    = app0 "add"
4   subC    = app0 "sub"
5   mulC    = app0 "mul"
6   powlC   = app0 "powI"
```

and more code to do with pretty printing, etc.



Transforms programs into data-flow graphs, which can be visualised via graphviz.

We used this example in showing the declaration of CCCs.

Here some example outputs, shown as syntax tree, and in graph form.

# Interval Analysis

```
1  instance (lv a ~ (a :* a), Num a, Ord a) => NumCat IF a where
2      ..
3      addC = pack \ ((al,ah),( bl,bh)) -> (al+bl,ah+bh))
4      ..
5      {-# INLINE addC #-}
6      ..
```

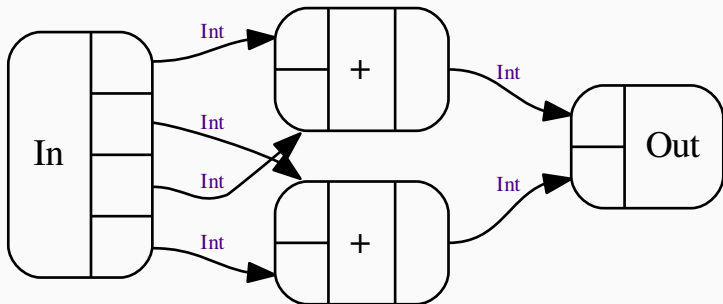
# Interval Analysis

```
1 runSynME "add" $ toCcc $ ivFun $ uncurry ((+) @Int)
```

```
1 uncurry (curry (apply . (exl &&& exr))) .  
2 (curry  
3 (  
4   (add . (exl . exl &&& exl . exr)  
5     &&&  
6     add . (exr . exl &&& exr . exr)  
7   ) . exr  
8 ) &&& id  
9 )
```

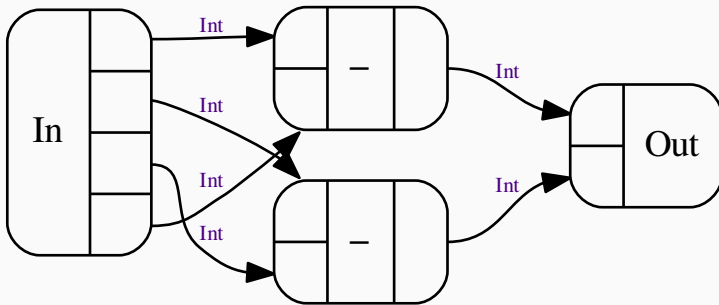
# Interval Analysis

1 `runSynCirc "add" $ toCcc $ ivFun $ uncurry ((+) @Int)`



# Interval Analysis

```
1  instance (lv a ~ (a :* a), Num a, Ord a) => NumCat IF a where  
2      ..  
3      subC = addC . second negateC  
4      ..  
5      {-# INLINE subC #-}  
6      ..
```

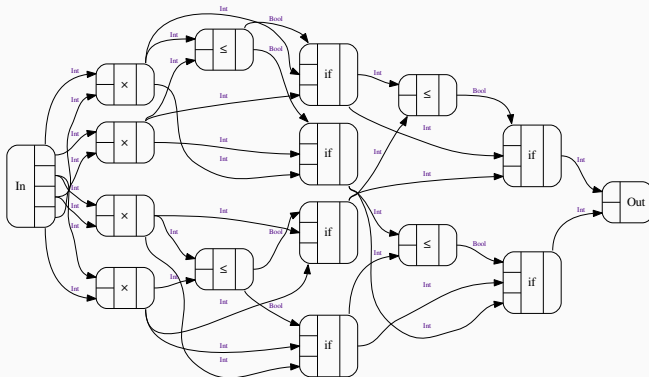


# Interval Analysis

```

1  instance (lv a ~ (a :* a), Num a, Ord a) => NumCat IF a where
2      mulC = pack \ ((al,ah),(bl,bh)) ->
3          let cs = ((al*bl, al*bh),(ah*bl,ah*bh)) in
4              (min4 cs, max4 cs)
5      ..
6      {-# INLINE mulC #-}

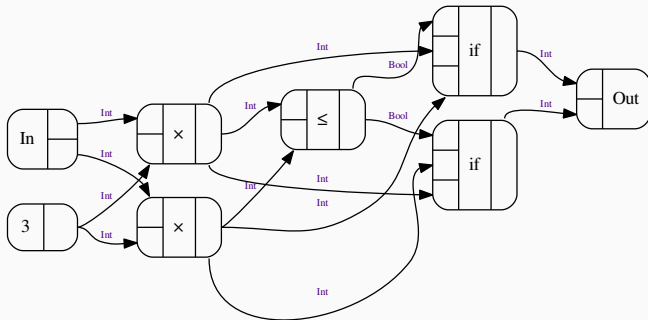
```



# Interval Analysis

1

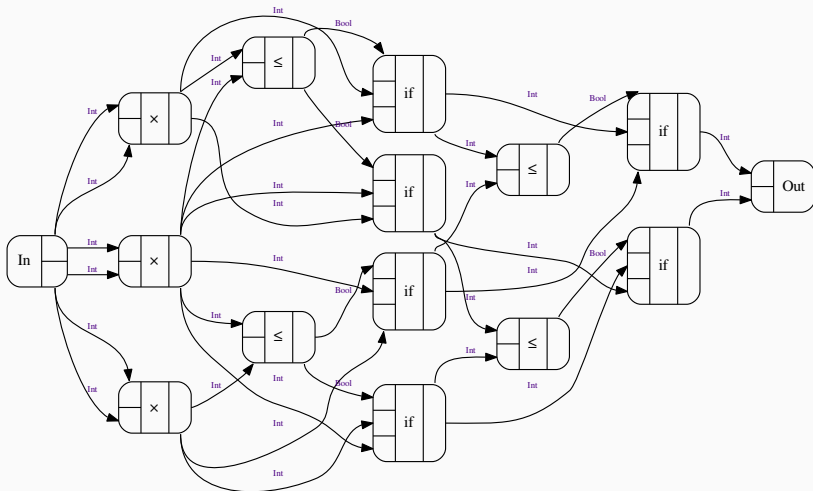
```
runSynCirc "thrice-iv" $ toCcc $ ivFun $ \ x -> 3 * x :: Int
```





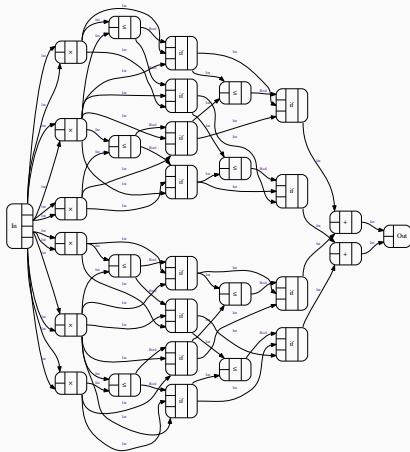
# Interval Analysis

1 `runSynCirc "sqr-iv" $ toCcc $ ivFun $ sqr @Int`



# Interval Analysis

1 `runSynCirc "magSqr-iv" $ toCcc $ ivFun $ magSqr @Int`



Verilog: a language for integrated circuit design.

Verilog code can be realised in a CCC. So Haskell programs can be compiled to silicon.

# Compiling to Hardware

```
1      runVerilog ' "adder" $ \ (x :: Int, y :: Int) -> x + y
```

```
1  module adder (clk, n0_0_d, n0_1_d, n1_0_q);
2      input clk;
3      input [31:0] n0_0_d;
4      input [31:0] n0_1_d;
5      output [31:0] n1_0_q;
6      reg [31:0] n0_0;
7      reg [31:0] n0_1;
8      reg [31:0] n1_0_q;
9      always @(posedge clk)
10         begin
11             n0_0 <= n0_0_d;
12             n0_1 <= n0_1_d;
13             n1_0_q <= n1_0;
14         end
15      assign n1_0 = n0_0 + n0_1;
16 endmodule
```

# Compiling to Hardware

```
1      runVerilog' "cond" $ \ (p :: Bool, x :: Int, y :: Int) -> if p then x else y

1  module cond (clk, n0_0_d, n0_1_d, n0_2_d, n1_0_q);
2      input clk;
3      input n0_0_d;
4      input [31:0] n0_1_d;
5      input [31:0] n0_2_d;
6      output [31:0] n1_0_q;
7      reg n0_0;
8      reg [31:0] n0_1;
9      reg [31:0] n0_2;
10     reg [31:0] n1_0_q;
11     always @(posedge clk)
12         begin
13             n0_0 <= n0_0_d;
14             n0_1 <= n0_1_d;
15             n0_2 <= n0_2_d;
16             n1_0_q <= n1_0;
17         end
18     assign n1_0 = n0_0 ? n0_1 : n0_2;
19 endmodule
```

# Compiling to Hardware

```
1      runVerilog' "odd" $ \ (x :: Int) -> x 'mod' 2
```

```
1  module odd (clk, n0_0_d, n2_0_q);
2      input clk;
3      input [31:0] n0_0_d;
4      output [31:0] n2_0_q;
5      reg [31:0] n0_0;
6      reg [31:0] n2_0_q;
7      wire [31:0] n1_0;
8      always @(posedge clk)
9          begin
10             n0_0 <= n0_0_d;
11             n2_0_q <= n2_0;
12         end
13      assign n1_0 = 32'h2;
14      assign n2_0 = n0_0 % n1_0;
15 endmodule
```

# Linear maps as a category

A **linear map** is a function  $f: \mathbb{R}^m \rightarrow \mathbb{R}^n$  such that  $f(x + y) = f(x) + f(y)$  and  $f(cx) = c f(x)$ . It can also be thought of as an  $n \times m$  matrix (where the columns tell you what the basis vectors of  $\mathbb{R}^m$  map to).

Linear maps form a category, because:

1. Given  $f: \mathbb{R}^m \rightarrow \mathbb{R}^n$  and  $g: \mathbb{R}^n \rightarrow \mathbb{R}^p$ , we can define the composition  $g \circ f: \mathbb{R}^m \rightarrow \mathbb{R}^p$ , which is also a linear map.
2. Composition of linear maps (alternatively: matrix multiplication) is associative.
3. For any vector space  $\mathbb{R}^n$ , the identity function  $1_{\mathbb{R}^n}: \mathbb{R}^n \rightarrow \mathbb{R}^n$  is a linear map, and has the properties we expect of an identity.

# Types of differentiation

**Symbolic differentiation** Rule-based manipulation of algebraic expressions; cumbersome for computers.

**Numeric differentiation** Evaluate the function at two nearby points and compute the slope of the resulting line; easy for computers, not so useful for humans.

**Automatic differentiation** Tell the computer how to compute the derivatives of simple functions, and it will tell you how to compute the derivative of any composition of those functions. Easy for a computer, useful for humans. But takes more work to set up, and only works for functions that are analytically differentiable.



# The chain rule

$$(g \circ f)' = (g' \circ f) \cdot (f')$$

$$\frac{dz}{dx} = \frac{dz}{dy} \frac{dy}{dx}$$

$$\frac{d g(f(x))}{dx} = \frac{d g(f(x))}{d f(x)} \frac{d f(x)}{dx}$$

In higher dimensions, the derivative of a function is a vector or matrix of partial derivatives, and the derivative of a composition of two functions is the product of the two matrices that give the derivatives of the individual functions.

# Derivatives, linear maps, and the chain rule

The derivative of a function at a point is a **linear map** (a line, plane, linear subspace; equivalently, a matrix giving the slope(s) corresponding to a unit move in each dimension). In the category of linear maps, composition is **multiplication** (of matrices, which reduces to scalar multiplication for  $1 \times 1$  matrices). Differentiation is an operation *deriv* with the property that

$$\text{deriv}(g \circ f) = (\text{deriv } g \circ f) \circ (\text{deriv } f).$$

where the second  $\circ$  on the right-hand side is in the category of linear maps (i.e. matrix multiplication). (NB: **not** a functor!)

## Differentiation as a functor

But we want differentiation to be a functor! The solution is to first map every differentiable function  $f$  to a pair  $(f, f')$  (via *andDeriv*), and define composition of such pairs as

$$(g, g') \circ (f, f') = (g \circ f, (g' \circ f) \cdot f').$$

Then *deriv* is just *snd*  $\circ$  *andDeriv*—and this is obviously a functor.

# Implementation of automatic differentiation in Haskell

$$\text{deriv} :: (a \rightarrow b) \rightarrow (a \rightarrow (a \multimap_s b))$$

So *deriv* takes a differentiable function and returns a function that associates each input value with a linear map.

$$\text{andDeriv } f = D(f \Delta \text{deriv } f)$$

*D* is a type constructor for function/derivative pairs. As mentioned before,  $\text{deriv} = \text{snd} \circ \text{andDeriv}$ . NB: not quite.

Chain rule:

$$Dg \circ Df = D(\lambda a \mapsto \text{let } \{(b, f') = f \ a; (c, g') = g \ b\} \text{ in } (c, g' \circ f'))$$

(This is exactly the expression from the previous slide. Confusingly, *f* and *g* now refer to function/derivative pairs, but *f'* and *g'* refer to the derivatives.)

# Possibilities

---

**CCC** like the Syntax CCC, but constructing code according to the rules of language  $X$  (where  $X$  is Python, JavaScript, TypeScript, PHP, R, etc.)

**Use** writing type-checked code which can be used in language-specific environments (e.g. JavaScript in browser, R because you need to use an R-only library, PostScript for your printer, etc.)

**CCC** each type  $a$  replaced by  $\text{Dist } a$ , the distribution over values of type  $a$ . Function  $f$  from  $a$  to  $b$  replaced by function  $f'$  from distributions over  $a$  to the resulting distribution over  $b$  under the action of  $f$ .

**Use** take a model mapping independent variables to dependent variables, supply distributions to the independent variables, work out expected distribution of outputs. Calculate z-scores (likelihoods) trivially from deterministic models and distributions over dependent inputs.

- CCC** objects are predicates over a possibly-composite value,  
maps are deductions from predicates over inputs to  
predicates over outputs
- Use** proving program correctness - each computational step  
maps onto the deduction about output that it corresponds  
to



This approach to compilation extends the mathematical rigour of Haskell (et al) to implementation domains.

## Summary and Conclusion

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C2C offers a mathematically principled way to do program transformation by

1. defining the implementation level as a class of categories (CCCs)
2. showing how any Haskell program can be mapped onto constructions in those categories
3. offering some exciting sample translators for programs:
  - syntactic trees
  - data-flow graphs
  - bound calculation
  - hardware implementation
  - linear approximation maps
  - automatic differentiation
  - incremental adjustmentwith more possibilities to come
4. showing the way to mathematically principled remapping of code.

- <http://conal.net/papers/compiling-to-categories/> is the homepage for this project. There you will find links to the paper we've discussed here, slides from Elliott's own talk on this, links to a youtube lecture, and the link to the repository which we drew code/output from.
- <https://github.com/tyrannomark/CategoryTheory4Haskellions> has the slides for this talk as `ConCat-talk-20171115.pdf`.



C. Elliott.

## **Compiling to categories.**

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