

# III General Relativity

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## 0 Introduction

Office hours: 8:40AM MWF, in MR2. Normal room E1.14. Will follow roughly Reall's course.

General relativity is our best theory of gravitation on the largest scales. It is:

- Classical : No quantum effects.
- Geometrical: Space and time are combined in a curved spacetime.
- Dynamical: In contrast to Newton's theory of gravity, Einstein's gravitational field has its own non-trivial dynamics.

# 1 Differentiable Manifolds

The basic object of study in differential geometry is the (differentiable) manifold. This is an object which ‘locally looks like  $\mathbb{R}^n$ ’, and has enough structure to let us do calculus.

**Definition 1.1.** A *differentiable manifold* of dimension  $n$  is a set  $M$ , together with a collection of coordinate charts  $(O_\alpha, \phi_\alpha)$ , where:

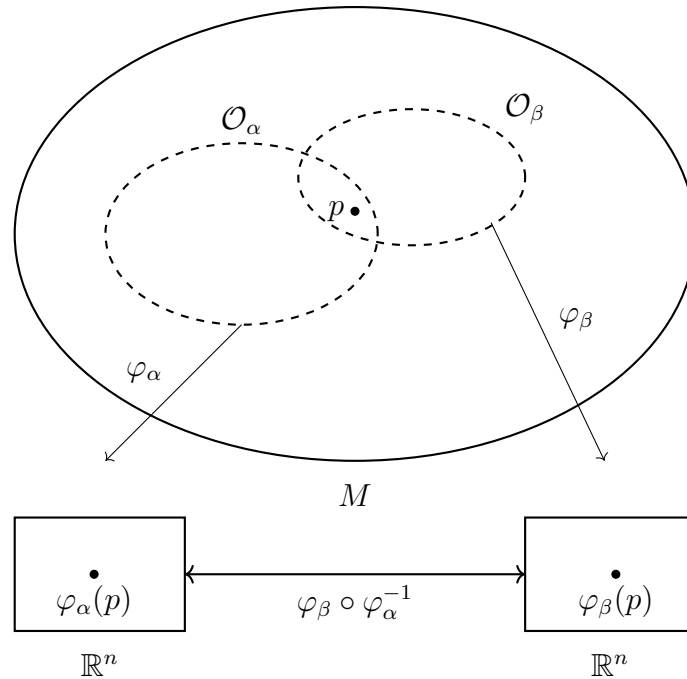
- $O_\alpha \subseteq M$  are subsets of  $M$  such that

$$\bigcup_{\alpha} O_\alpha = M.$$

- $\phi_\alpha$  is a bijective map from  $O_\alpha$  to  $U_\alpha$ , an open subset of  $\mathbb{R}^n$ .
- If  $O_\alpha \cap O_\beta \neq \emptyset$ , then

$$\phi_\beta \circ \phi_\alpha^{-1}$$

is a smooth map from  $\phi_\alpha(O_\alpha \cap O_\beta) \subseteq U_\alpha$  to  $\phi_\beta(O_\alpha \cap O_\beta) \subseteq U_\beta$ .



*Remark.*

- We could replace smooth with finite differentiability (e.g.  $k$ -times differentiable).

- The charts define a topology on  $M$ :  $U \subseteq M$  is open if and only if  $\phi_\alpha(U \cap O_\alpha)$  is open in  $\mathbb{R}^n$  for all  $\alpha$ . Every open subset of  $M$  is itself a manifold, by restricting the charts to  $U$ .

The collection  $\{(O_\alpha, \phi_\alpha)\}$  is called an *atlas*. Two atlases are *compatible* if their union is an atlas.

An atlas  $A$  is *maximal* if there exists no atlas  $B$  which is compatible with  $A$ , and strictly larger than  $A$ . Every atlas is contained in a maximal atlas (by taking the union of all compatible atlases). Hence we can assume without loss of generality that we work with a maximal atlas.

### Example 1.1.

1. If  $U \subseteq \mathbb{R}^n$  is open, we can take  $O = U$ , and  $\phi : U \rightarrow \mathbb{R}^n$  to be the identity on  $U$ . Then  $\{(O, \phi)\}$  is an atlas.
2. Take  $S^1$ . If  $p \in S^1 \setminus \{(-1, 0)\} = O_1$ , there is a unique  $\theta_1 \in (-\pi, \pi)$  such that

$$p = (\cos \theta_1, \sin \theta_1).$$

If  $p \in S^1 \setminus \{(1, 0)\} = O_2$ , there is a unique  $\theta_2 \in (0, 2\pi)$  such that

$$p = (\cos \theta_2, \sin \theta_2).$$

These maps from  $(-\pi, \pi)$  and  $(0, 2\pi)$  to  $O_1, O_2$  give  $\phi_1^{-1}, \phi_2^{-1}$  respectively. Note that  $\phi_1(O_1 \cap O_2) = (-\pi, 0) \cup (0, \pi)$ , and the transition function is

$$\phi_2 \circ \phi_1^{-1}(\theta) = \begin{cases} \theta & \theta \in (0, \pi), \\ \theta + 2\pi & \theta \in (-\pi, 0). \end{cases}$$

This is smooth where defined, and similarly for  $\phi_2 \circ \phi_1^{-1}$ . Hence  $S^1$  is a manifold.

3. More generally, we can consider  $S^n$ , and can define charts by stereographic projections. If  $\{\mathbf{E}_1, \dots, \mathbf{E}_{n+1}\}$  is the standard basis for  $\mathbb{R}^{n+1}$ , and  $\{\mathbf{e}_1, \dots, \mathbf{e}_n\}$  is the standard basis for  $\mathbb{R}^n$ , write

$$\mathbf{P} = P^1 \mathbf{E}_1 + \dots + P^{n+1} \mathbf{E}_{n+1}.$$

Set  $O_1 = S^n \setminus \{\mathbf{E}_{n+1}\}$ , and write

$$\phi_1(\mathbf{P}) = \frac{1}{1 - P^{n+1}}(P^1 \mathbf{e}_1 + \dots + P^n \mathbf{e}_n).$$

In a similar way we may define  $O_2, \phi_2$ , for  $-\mathbf{E}_{n+1}$ . The transition map is then

$$\phi_2 \circ \phi_1^{-1}(\mathbf{x}) = \frac{\mathbf{x}}{|\mathbf{x}|^2},$$

which is smooth on  $\mathbb{R}^n \setminus \{0\} = \phi_1(O_1 \cap O_2)$ .

“Nice” surfaces in  $\mathbb{R}^n$  are manifolds with no cusps, cornered or self-intersections, for example  $S^n \subseteq \mathbb{R}^{n+1}$ .

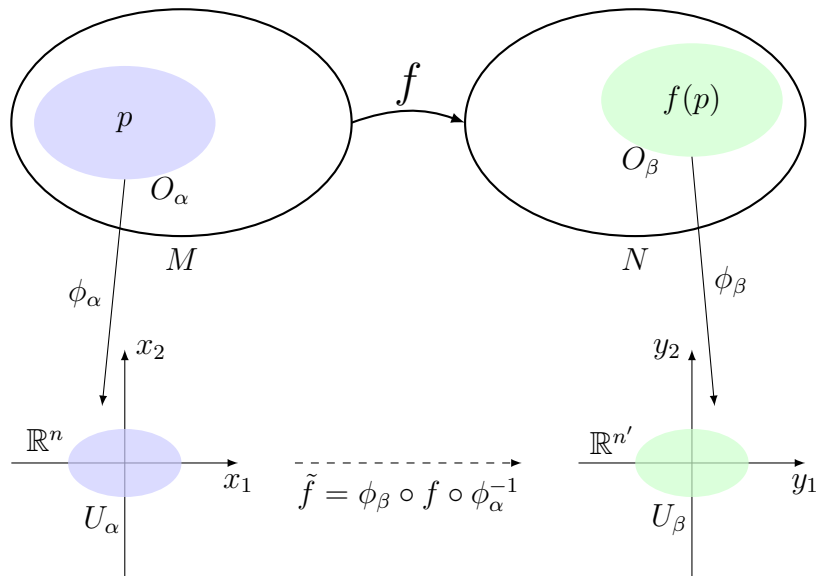
## 1.1 Smooth Functions on Manifolds

Suppose  $M, N$  are manifolds of dimension  $n, n'$  respectively. Let  $f : M \rightarrow N$ .

Then let  $p \in M$ , and pick charts  $(O_\alpha, \phi_\alpha)$  for  $M$ , and  $(O'_\beta, \phi'_\beta)$  for  $N$ , with  $p \in O_\alpha$  and  $f(p) \in O'_\beta$ . Then,

$$\phi'_\beta \circ f \circ \phi_\alpha^{-1}$$

maps a neighbourhood of  $\phi_\alpha(p)$  in  $U_\alpha \subseteq \mathbb{R}^n$ , to  $U'_\beta \subseteq \mathbb{R}^{n'}$ . If this function is smooth for all possible choices of this chart, we say that  $f : M \rightarrow N$  is smooth.



*Remark.*

- A smooth map  $\psi : M \rightarrow N$  which has a smooth inverse is called a *diffeomorphism*.
- If  $N = \mathbb{R}$  or  $\mathbb{C}$ , we sometimes call  $f$  a *scalar field*.
- If  $M = I \subseteq \mathbb{R}$ , an open interval, then  $f : I \rightarrow N$  is a *smooth curve* in  $N$ .

- If  $f$  is smooth in one atlas, then it is smooth in all compatible atlases.

### Example 1.2.

1. Recall  $S^1$ . Let  $f(x, y) = x$ ,  $f : S^1 \rightarrow \mathbb{R}$ . Using our previous charts,

$$\begin{aligned} f \circ \phi_1^{-1} : (-\pi, \pi) &\rightarrow \mathbb{R} \\ \theta_1 &\mapsto \cos \theta_1. \end{aligned}$$

Similarly,

$$\begin{aligned} f \circ \phi_2^{-1} : (0, 2\pi) &\rightarrow \mathbb{R} \\ \theta_2 &\mapsto \cos \theta_2. \end{aligned}$$

Hence  $f$  is smooth.

2. If  $(O, \phi)$  is a coordinate chart on  $M$ , write

$$\phi(p) = (x^1(p), x^2(p), \dots, x^n(p)),$$

for  $p \in O$ . Then  $x^i(p)$  defines a map from  $O$  to  $\mathbb{R}$ . This is smooth for each  $i = 1, \dots, n$ . If  $(O', \phi')$  is another overlapping coordinate chart, then  $x^i \circ (\phi')^{-1}$  is the  $i$ 'th component of  $\phi \circ (\phi')^{-1}$ , hence smooth.

3. We can define a smooth function chart-by-chart. For simplicity, let  $N = \mathbb{R}$ , and let  $\{(O_\alpha, \phi_\alpha)\}$  be an atlas on  $M$ . Define smooth functions

$$F_\alpha : U_\alpha \rightarrow \mathbb{R},$$

and suppose that  $F_\alpha \circ \phi_\alpha = F_\beta \circ \phi_\beta$  on  $O_\alpha \cap O_\beta$ , for all  $\alpha, \beta$ .

Then for  $p \in M$ , we can define

$$f(p) = F_\alpha \circ \phi_\alpha(p),$$

for any chart  $(O_\alpha, \phi_\alpha)$  with  $p \in O_\alpha$ . Now  $f$  is smooth, as

$$f \circ \phi_p^{-1} = F_\alpha \circ \phi_\alpha \circ \phi_p^{-1}.$$

In practice, we often don't distinguish between  $f$  and its coordinate chart representations  $F_\alpha$ .

## 1.2 Curves and Vectors

For a surface in  $\mathbb{R}^3$ , we have a notion of the ‘tangent space’ at a point  $p$ , which consists of all vectors tangent to the surface at that point.

The tangent spaces are vector spaces (copies of  $\mathbb{R}^2$ ). Different points have different tangent spaces.

In order to define the tangent space for a manifold, we first consider tangent vectors of a curve.

Recall that  $\lambda : I \rightarrow M$  a smooth map, is a smooth curve in  $M$ .

If  $\lambda(t)$  is a smooth curve in  $\mathbb{R}^n$ , and  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is a smooth function, the chain rule gives

$$\frac{d}{dt}[f(\lambda(t))] = X(t) \cdot \nabla f(\lambda(t)),$$

where  $X(t) = d\lambda/dt(t)$  is the tangent vector to  $\lambda$  at  $t$ .

**Definition 1.2.** Let  $\lambda : I \rightarrow M$  be a smooth curve with  $\lambda(0) = p$ . The *tangent vector* to  $\lambda$  at  $p$  is the linear map  $X_p$  from the space of smooth functions  $f : M \rightarrow \mathbb{R}$ , given by

$$X_p(f) = \left. \frac{d}{dt}f(\lambda(t)) \right|_{t=0}.$$

We observe that:

- (i)  $X_p$  is linear:  $X_p(f + ag) = X_p(f) + aX_p(g)$ , for  $f, g$  smooth, and  $a \in \mathbb{R}$ .
- (ii)  $X_p$  satisfies the Leibniz rule:

$$X_p(fg) = X_p(f)g(p) + f(p)X_p(g).$$

If  $(O, \phi)$  is a chart and  $p \in O$ , write

$$\phi(p) = (x^1(p), \dots, x^n(p)).$$

Let  $F = f \circ \phi^{-1}$ , and  $x^i(t) = x^i(\lambda(t))$ . Now  $F : \mathbb{R}^n \rightarrow \mathbb{R}$ , and  $x : \mathbb{R} \rightarrow \mathbb{R}^n$ . Then,

$$f \circ \lambda(t) = f \circ \phi^{-1} \circ \phi \circ \lambda(t) = F \circ x(t),$$

and by applying the regular chain rule for functions  $\mathbb{R}^n \rightarrow \mathbb{R}$ ,

$$\left. \frac{d}{dt}f(\lambda(t)) \right|_{t=0} = \frac{\partial F}{\partial x^\mu}(x) \cdot \left. \frac{dx^\mu}{dt} \right|_{t=0}.$$

**Proposition 1.1.** *The set of tangent vectors to curves at  $p$  forms a vector space,  $T_p M$ , of dimension  $n = \dim M$ . We call  $T_p M$  the tangent space to  $M$  at  $p$ .*



**Proof:** Given  $X_p, Y_p$  tangent vectors, we need to show that  $\alpha X_p + \beta Y_p$  is a tangent vector for  $\alpha, \beta \in \mathbb{R}$ .

Let  $\lambda, \kappa$  be smooth curves with  $\lambda(0) = \kappa(0) = p$ , and whose tangent vectors at  $p$  are  $X_p, Y_p$ , respectively.

Let  $(O, \phi)$  be a chart with  $p \in O$  and  $\phi(p) = 0$ , and define

$$\gamma(t) = \phi^{-1}(\alpha\phi(\lambda(t)) + \beta\phi(\kappa(t))).$$

This exists, as this is just the sum of elements in  $\mathbb{R}^n$ .

Now  $\gamma(0) = \phi^{-1}(0) = p$ . If  $Z_p$  is the tangent to  $\gamma$  at  $p$ , then

$$\begin{aligned} Z_p(f) &= \left. \frac{d}{dt} f(\gamma(t)) \right|_{t=0} = \left. \frac{\partial F}{\partial x^\mu} \right|_0 \frac{d}{dt} [\alpha x^\mu(\lambda(t)) + \beta x^\mu(\kappa(t))] \Big|_{t=0} \\ &= \alpha \left. \frac{\partial F}{\partial x^\mu} \right|_0 \frac{d}{dt} x^\mu(\lambda(t)) \Big|_{t=0} + \beta \left. \frac{\partial F}{\partial x^\mu} \right|_0 \frac{d}{dt} x^\mu(\kappa(t)) \Big|_{t=0} \\ &= \alpha X_p(f) + \beta Y_p(f). \end{aligned}$$

Thus  $T_p M$  is a vector space.

To see that  $T_p M$  is  $n$ -dimensional, consider the curves

$$\lambda_\mu(t) = \phi^{-1}(\underbrace{0, \dots, 0, t, 0, \dots, 0}_{\mu\text{'th component}}).$$

We denote the tangent vector to  $\lambda_\mu$  at  $p$  by  $(\partial/\partial x^\mu)_p$ . To see why, note that

$$\left( \frac{\partial}{\partial x^\mu} \right)_p f = \left. \frac{\partial F}{\partial x^\mu} \right|_{\phi(p)=0}.$$

These vectors are linearly independent. Otherwise there exists  $\alpha^\mu$  not all zero such that

$$\alpha^\mu \left( \frac{\partial}{\partial x^\mu} \right)_p = 0 \implies \alpha^\mu \left. \frac{\partial F}{\partial x^\mu} \right|_0 = 0,$$

for all  $F$ . Setting  $F = x^\nu$  gives  $\alpha^\nu = 0$ .

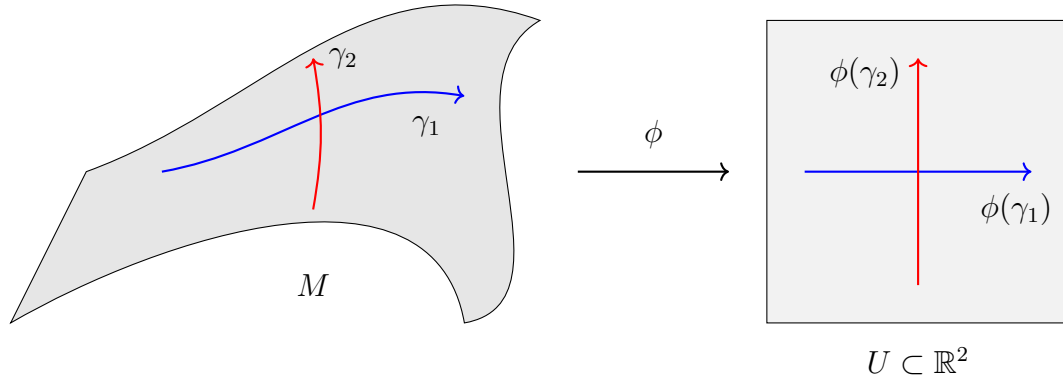
Further, these vectors form a basis for  $T_p M$ , since if  $\lambda$  is any curve with tangent  $X_p$  at  $p$ , then

$$X_p(f) = \left. \frac{\partial F}{\partial x^\mu} \right|_0 \frac{d}{dt} x^\mu(\lambda(t)) \Big|_{t=0} = X^\mu \left( \frac{\partial}{\partial x^\mu} \right) f.$$

Here, we set

$$X^\mu = \left. \frac{d}{dt} x^\mu(\lambda(t)) \right|_{t=0}.$$

These are the components of  $X_p$  with respect to the basis.



Notice that the basis  $\{(\partial/\partial x^\mu)_p\}$  depends on the coordinate chart  $\phi$ . Suppose we choose another chart  $(O', \phi')$ , again centred at  $p$ . Write  $\phi' = (x'^1, \dots, x'^n)$ .

Then if  $F = f \circ (\phi')^{-1}$ , then

$$\begin{aligned} F(x) &= f \circ \phi^{-1}(x) = f \circ (\phi')^{-1} \circ \phi' \circ \phi^{-1}(x) \\ &= F'(x'(x)). \end{aligned}$$

Therefore,

$$\left( \frac{\partial}{\partial x^\mu} \right)_p f = \left. \frac{\partial F}{\partial x^\mu} \right|_{\phi(p)} = \left( \frac{\partial x'^\nu}{\partial x^\mu} \right)_{\phi(p)} \left( \frac{\partial F'}{\partial x'^\nu} \right)_{\phi'(p)} = \left( \frac{\partial x'^\nu}{\partial x^\mu} \right)_{\phi(p)} \cdot \left( \frac{\partial}{\partial x'^\nu} \right)_p f.$$

We deduce that

$$\left( \frac{\partial}{\partial x^\mu} \right)_p = \left( \frac{\partial x'^\nu}{\partial x^\mu} \right)_{\phi(p)} \left( \frac{\partial}{\partial x'^\nu} \right)_p.$$

So let  $X^\mu$  be the components of  $X_p$  with respect to  $\{(\partial/\partial x^\mu)_p\}$ , and  $X'^\mu$  be the components with respect to  $\{(\partial/\partial x'^\mu)_p\}$ . So,

$$\begin{aligned} X_p &= X^\mu \left( \frac{\partial}{\partial x^\mu} \right)_p = X'^\mu \left( \frac{\partial}{\partial x'^\mu} \right)_p \\ &= X^\mu \left( \frac{\partial x'^\sigma}{\partial x^\mu} \right)_{\phi(p)} \left( \frac{\partial}{\partial x'^\sigma} \right)_p. \end{aligned}$$

Therefore,

$$X'^\mu = \left( \frac{\partial x'^\mu}{\partial x^\nu} \right)_{\phi(p)} X^\nu.$$

We do not have to choose a coordinate basis such as  $\{(\partial/\partial x^\mu)_p\}$ . With respect to a general basis  $\{e_\mu\}$  for  $T_p M$ , we write  $X_p = X^\mu e_\mu$ , for  $X^\mu \in \mathbb{R}$  the components.

We always use summation conventions: we always contract one upstairs and one downstairs index.

### 1.3 Covectors

Recall that if  $V$  is a vector space over  $\mathbb{R}$ , the *dual space*  $V^*$  is the space of linear maps from  $V$  to  $\mathbb{R}$ . If  $V$  is  $n$ -dimensional, then so is  $V^*$ .

Given a basis  $\{e_\mu\}$  for  $V$ , we define the *dual basis*  $\{f^\mu\}$  for  $V^*$  by requiring that

$$f^\mu(e_\nu) = \delta^\mu_\nu.$$

If  $V$  is finite dimensional, then  $V^{**} = (V^*)^*$  is isomorphic to  $V$ : to an element  $x$  of  $V$ , we assign a linear map  $\Lambda_x : V^* \rightarrow \mathbb{R}$  by

$$\Lambda_x(\omega) = \omega(x).$$

**Definition 1.3.** The dual space of  $T_p M$  is denoted  $T_p^* M$ , and is called the *cotangent space* to  $M$  at  $p$ .

An element of this space is a covector at  $p$ . If  $\{e_\mu\}$  is a basis for  $T_p M$  and  $\{f^\mu\}$  the dual basis for  $T_p^* M$ , then we can expand a covector  $\eta$  as

$$\eta = \eta_\mu f^\mu,$$

for  $\eta_\mu \in \mathbb{R}$  the components of  $\eta$ .

Note that:

- $\eta(e_\nu) = \eta_\mu f^\mu(e_\nu) = \eta_\mu \delta^\mu_\nu = \eta_\nu.$
- $\eta(X) = \eta(X^\mu e_\mu) = X^\mu \eta(e_\mu) = X^\mu \eta_\mu.$

**Definition 1.4.** If  $f : M \rightarrow \mathbb{R}$  is a smooth function, define  $(df)_p \in T_p^* M$ , the *differential* of  $f$  at  $p$ , by

$$(df)_p(X) = X(f),$$

for any  $X \in T_p M$ .  $(df)_p$  is sometimes also called the *gradient* of  $f$  at  $p$ .

If  $f$  is a constant, then  $X(f) = 0 \implies (df)_p = 0$ .

If  $(O, \phi)$  is a coordinate chart with  $p \in O$  and  $\phi = (x^1, \dots, x^n)$ , then we can set  $f = x^\mu$  to find  $(dx^\mu)_p$ . Now,

$$(dx^\mu)_p \left( \frac{\partial}{\partial x^\nu} \right)_p = \left( \frac{\partial x^\mu}{\partial x^\nu} \right)_{\phi(p)} = \delta^\mu_\nu.$$

Hence,  $\{(dx^\mu)_p\}$  is the dual basis to  $\{(\partial/\partial x^\mu)_p\}$ . In this basis, we can compute

$$[(df)_p]_\mu = (df)_p \left( \frac{\partial}{\partial x^\mu} \right)_p = \left( \frac{\partial}{\partial x^\mu} \right)_p f = \left( \frac{\partial F}{\partial x^\mu} \right)_{\phi(p)},$$

justifying the language of the ‘gradient’.

It can be shown that if  $(O', \phi')$  is another chart with  $p \in O'$ , then

$$(dx^\mu)_p = \left( \frac{\partial x^\mu}{\partial x'^\nu} \right)_{\phi'(p)} (dx'^\nu)_p,$$

where  $x(x') = \phi \circ \phi'^{-1}$ , and hence if  $\eta_\mu, \eta'_\mu$  are components with respect to these bases, then

$$\eta'_\mu = \left( \frac{\partial x^\nu}{\partial x'^\mu} \right)_{\phi'(p)} \eta_\nu.$$

## 1.4 The (Co)tangent bundle

We can glue together the tangent spaces  $T_p M$  as  $p$  varies to get a new  $2n$  dimensional manifold,  $TM$ , the *tangent bundle*. We let

$$TM = \bigcup_{p \in M} \{p\} \times T_p M,$$

the set of ordered pairs  $(p, X)$ , with  $p \in M$ ,  $X \in T_p M$ . If  $\{(O_\alpha, \phi_\alpha)\}$  is an atlas on  $M$ , we obtain an atlas for  $TM$  by setting

$$\tilde{O}_\alpha = \bigcup_{p \in O_\alpha} \{p\} \times T_p M,$$

and

$$\tilde{\phi}_\alpha((p, X)) = (\phi_\alpha(p), X^\mu) \in U_\alpha \times \mathbb{R}^n = \tilde{U}_\alpha,$$

where  $X^\mu$  are the components of  $X$  with respect to the coordinate basis of  $\phi_\alpha$ .

It can be shown that if  $(O, \phi)$  and  $(O', \phi')$  are two charts on  $M$ , on  $\tilde{U} \cap \tilde{U}'$ , if we write  $\phi' \circ \phi^{-1}(x) = x'(x)$ , then

$$\tilde{\phi}' \circ \tilde{\phi}^{-1}(x, X^\mu) = \left( x'(x), \frac{\partial x'^\mu}{\partial x^\nu} X^\nu \right).$$

This lets us deduce that  $TM$  is a manifold.

A similar construction permits us to define the *cotangent bundle*

$$T^*M = \bigcup_{p \in M} \{p\} \times T_p^*M.$$

The map  $\pi : TM \rightarrow M$  which takes  $(p, X) \mapsto p$  is smooth (show this!)

## 1.5 Abstract Index Notation

We have used Greek letters  $\mu, \nu$  to label components of vectors (or covectors) with respect to the bases  $\{e_\mu\}$ . Equations involving these quantities refer to the specific basis, for example if we write  $X^\mu = \delta^\mu$ , this says  $X$  only has one non-zero component *in the current basis*, which will not be true in other bases.

However, we know that some equations hold in all bases, e.g.

$$\eta(X) = X^\mu \eta_\mu.$$

To capture this, we can use *abstract index notation*. We denote a vector by  $X^a$ , where the latin index  $a$  does not denote a component, rather it tells us that  $X^a$  is a vector. Similarly we denote a covector  $\eta$  by  $\eta_a$ .

If an equation is true in all bases, then we can replace Greek indices by latin indices:

$$\eta(X) = X^a \eta_a = \eta_a X^a,$$

or

$$X(f) = X^a (df)_a.$$

An equation in abstract index notation can always be turned into an equation for components, by picking a basis and changing  $a \rightarrow \mu$ ,  $b \rightarrow \nu$ .

## 1.6 Tensors

In Newtonian physics, we know that some quantities are described by higher rank orders, e.g. the inertia tensor or the metric.

**Definition 1.5.** A *tensor* of type  $(r, s)$  is a multilinear map

$$T : (T_p^*M)^r \times (T_pM)^s \rightarrow \mathbb{R}.$$

**Example 1.3.**

1. A tensor of type  $(0, 1)$  is a linear map  $T_p M \rightarrow \mathbb{R}$ , i.e. just a covector.
2. A tensor of type  $(1, 0)$  is a linear map  $T^* p M \rightarrow \mathbb{R}$ , i.e. an element of  $(T^* p M)^* \cong T_p M$ , a vector.
3. We can define a  $(1, 1)$  tensor  $\delta$  by

$$\delta(\omega, X) = \omega(X),$$

where  $\omega \in T_p^* M$ ,  $X \in T_p M$ .

If  $\{e_\mu\}$  is a basis for  $T_p M$  and  $\{f^\mu\}$  its dual basis, then the components of an  $(r, s)$  tensor  $T$  are

$$T^{\mu_1 \dots \mu_r}_{\nu_1 \dots \nu_s} = T(f_1^{\mu_1}, \dots, f_r^{\mu_r}, e_{\nu_1}, \dots, e_{\nu_s}).$$

In abstract index notation, we denote  $T$  by  $T^{a_1 \dots a_r}_{b_1 \dots b_s}$ . Tensors at  $p$  form a vector space over  $\mathbb{R}$  of dimension  $n^{r+s}$ .

**Example 1.4.**

1. Consider  $\delta$  above. Then,

$$\delta^\mu_\nu = \delta(f^\mu, e_\nu) = f^\mu(e_\nu) = \begin{cases} 1 & \mu = \nu, \\ 0 & \mu \neq \nu. \end{cases}$$

We can write  $\delta$  as  $\delta^a_b$  in AIN.

2. Consider a  $(2, 1)$  tensor  $T$ , and let  $\omega, \eta \in T_p^* M$ ,  $X \in T_p M$ . Then,

$$\begin{aligned} T(\omega, \eta, X) &= T(\omega_\mu f^\mu, \eta_\nu f^\nu, X^\sigma e_\sigma) \\ &= \omega_\mu \eta_\nu X^\sigma T(f^\mu, f^\nu, e_\sigma) \\ &= \omega_\mu \eta_\nu X^\sigma T^{\mu\nu}_\sigma. \end{aligned}$$

In AIN,

$$T(\omega, \eta, X) = \omega_a \eta_b X^c T^{ab}_c.$$

This can be generalized to higher ranks.

**1.7 Change of Bases**

We have already seen how the components of  $X$  or  $\eta$  with respect to a coordinate basis  $(X^\mu, \eta_\nu)$  respectively) change under a change of coordinates.

But we do not only have to consider coordinate bases.

Suppose  $\{e_\mu\}$  and  $\{e'_\mu\}$  are two bases for  $T_p M$  with dual bases  $\{f^\mu\}$  and  $\{f'^\mu\}$ .

As these are bases, we can expand

$$f'^\mu = A^\mu{}_\nu f^\nu, \quad e'_\mu = B^\nu{}_\mu e_\nu,$$

for some  $A^\mu{}_\nu, B^\mu{}_\nu \in \mathbb{R}$ . But,

$$\begin{aligned} \delta^\mu{}_\nu &= f'^\mu(e'_\nu) = A^\mu{}_\tau f^\tau(B^\sigma{}_\nu e_\sigma) \\ &= A^\mu{}_\tau B^\sigma{}_\nu f^\tau(e_\sigma) = A^\mu{}_\tau B^\sigma{}_\nu \delta^\tau{}_\sigma \\ &= A^\mu{}_\sigma B^\sigma{}_\nu. \end{aligned}$$

Therefore, looking at these as matrices,

$$B^\mu{}_\nu = (A^{-1})^\mu{}_\nu.$$

If

$$e_\mu = \left( \frac{\partial}{\partial x^\mu} \right)_p, \quad e'_\mu = \left( \frac{\partial}{\partial x'^\mu} \right)_p,$$

then we have already seen

$$A^\mu{}_\nu = \left( \frac{\partial x'^\mu}{\partial x^\nu} \right)_{\phi(p)}, \quad B^\mu{}_\nu = \left( \frac{\partial x^\mu}{\partial x'^\nu} \right)_{\phi(p)},$$

which indeed satisfies  $A^\mu{}_\sigma B^\sigma{}_\nu = \delta^\mu{}_\nu$ , by the chain rule.

A change of bases induces a transformation of tensor components, for example if  $T$  is a  $(1, 1)$ -tensor, then

$$\begin{aligned} T^\mu{}_\nu &= T(f^\mu, e_\nu) \\ T'^\mu{}_\nu &= T(f'^\mu, e'_\nu) = T(A^\mu{}_\sigma f^\sigma, (A^{-1})^\tau{}_\nu e_\tau) \\ &= A^\mu{}_\sigma (A^{-1})^\tau{}_\nu T(f^\sigma, e_\tau) \\ &= A^\mu{}_\sigma (A^{-1})^\tau{}_\nu T^\sigma{}_\tau. \end{aligned}$$

## 1.8 Tensor Operations

Given an  $(r, s)$ -tensor, we can form a  $(r - 1, s - 1)$ -tensor by *contraction*.

For simplicity, assume  $T$  is a  $(2, 2)$ -tensor. Define a  $(1, 1)$ -tensor  $S$  by

$$S(\omega, X) = T(\omega, f^\mu, X, e_\mu).$$

To see that this is independent of the choice of basis, note

$$\begin{aligned} T(\omega, f'^\mu, X, e'_\mu) &= T(\omega, A^\mu_\sigma f^\sigma, X, (A^{-1})^\tau_\mu e_\tau) = A^\mu_\sigma (A^{-1})^\tau_\mu T(\omega, f^\sigma, X, e_\tau) \\ &= \delta^\tau_\sigma T(\omega, f^\sigma, X, e_\tau) = T(\omega, f^\tau, X, e_\tau) = S(\omega, X). \end{aligned}$$

So this does not depend on the choice of basis.  $S$  and  $T$  have components related by

$$S^\mu_\nu = T^{\mu\sigma}_{\nu\sigma}.$$

In any basis in AIN we write

$$S^a_b = T^{ac}_{bc}.$$

We can generalise to contract any upstairs index with any downstairs index in a general  $(r, s)$ -tensor.

Another way to make new tensors from old tensors is to form the *tensor product*. If  $S$  is a  $(p, q)$ -tensor and  $T$  is a  $(r, s)$ -tensor, then  $S \otimes T$  is an  $(p + r, q + s)$ -tensor:

$$\begin{aligned} S \otimes T(\omega^1, \dots, \omega^p, \eta^1, \dots, \eta^r, X_1, \dots, X_q, Y_1, \dots, Y_s) \\ = S(\omega^1, \dots, \omega^p, X_1, \dots, X_q) T(\eta^1, \dots, \eta^r, Y_1, \dots, Y_s). \end{aligned}$$

This is independent of basis. In AIN,

$$(S \otimes T)^{a_1 \dots a_p b_1 \dots b_r}_{c_1 \dots c_q d_1 \dots d_s} = S^{a_1 \dots a_p}_{c_1 \dots c_q} T^{b_1 \dots b_r}_{d_1 \dots d_s}.$$

We can show that for any  $(1, 1)$ -tensor  $T$ , in any basis we have

$$T = T^\mu_\nu e_\mu \otimes f^\nu.$$

The final tensor operations we require are *(anti)symmetrization*. If  $T$  is a  $(0, 2)$ -tensor, we can define two new tensors:

$$\begin{aligned} S(X, Y) &= \frac{1}{2}(T(X, Y) + T(Y, X)), \\ A(X, Y) &= \frac{1}{2}(T(X, Y) - T(Y, X)). \end{aligned}$$

In AIN,

$$\begin{aligned} S_{ab} &= \frac{1}{2}(T_{ab} + T_{ba}) = T_{(ab)}, \\ A_{ab} &= \frac{1}{2}(T_{ab} - T_{ba}) = T_{[ab]}. \end{aligned}$$

These operations can be applied to any pair of matching symmetries in a more general tensor, for example:

$$T^{a(bc)}_{de} = \frac{1}{2}(T^{abc}_{de} + T^{acb}_{de}).$$

We can also (anti)symmetrize over more than two indices.



- To symmetrize over  $n$  indices, we sum over all permutations of the indices and divide by  $n!$ .
- To anti-symmetrize over  $n$  indices, we sum over all permutation weighted by their sign, and then divide by  $n!$ .

For example,

$$T^{(abc)} = \frac{1}{3!}(T^{abc} + T^{bca} + T^{cab} + T^{acb} + T^{cba} + T^{bac}),$$

$$T^{[abc]} = \frac{1}{3!}(T^{abc} + T^{bca} + T^{cab} - T^{acb} - T^{cba} - T^{bac}).$$

To exclude indices from (anti)symmetrization, we use vertical lines:

$$T^{(a|b|c)} = \frac{1}{2}(T^{abc} + T^{cba}).$$

## 1.9 Tensor Bundles

The space of  $(r, s)$ -tensors at a point  $p$  is the vector space  $(T_s^r)_p M$ . These can be glued together to form the bundle of  $(r, s)$ -tensors

$$T_s^r M = \bigcup_{p \in M} \{p\} \times (T_s^r)_p M.$$

If  $(O, \phi)$  is a coordinate chart on  $M$ , set

$$\tilde{O} = \bigcup_{p \in O} \{p\} \times (T_s^r)_p M \subseteq T_s^r M,$$

and

$$\tilde{\phi}(p, S_p) = (\phi(p), S^{\mu_1 \dots \mu_r}_{\nu_1 \dots \nu_s}).$$

$T_s^r M$  is a manifold, with a natural smooth map  $\Pi : T_s^r M \rightarrow M$  such that  $\pi(p, S_p) = p$ .

A *tensor field* is a smooth map  $T : M \rightarrow T_s^r M$  such that  $\pi \circ T = \text{id}$ .

If  $(O, \phi)$  is a coordinate chart on  $M$ , then

$$\tilde{\phi} \circ T \circ \phi(x) = (x, T^{\mu_1 \dots \mu_r}_{\nu_1 \dots \nu_s}(x)),$$

which is smooth provided the components  $T^{\mu_1 \dots \mu_r}_{\nu_1 \dots \nu_s}(x)$  are smooth functions of  $x$ .

**Example 1.5.**

If  $T_s^r M = T_0^1 M \cong TM$ , the tensor field is called a *vector field*. In a local coordinate patch, if  $X$  is a vector field, we write  $X(p) = (p, X_p)$ , with

$$X_p = X^\mu(x) \left( \frac{\partial}{\partial x^\mu} \right)_p.$$

In particular,  $\partial/\partial x^\mu$  are always smooth, but only defined locally.

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